High Level Summary of Anticipated Permits and Approvals, exclusive of Water Rights

Permit / Approval and Responsible Agency	Triggers and Process
National Environmental	Trigger:
Policy Act (NEPA)	USACE approval required
	Process:
U.S. Army Corps of Engineers (USACE)	 A federal agency must comply with NEPA when they have an action (e.g., providing funding or regulatory authorization)
	 The lead federal agency would need to be determined based on the federal "nexus." At this juncture, USACE is presumed to be the lead federal agency. This could change if federal funding from another federal agency is issued or have other federal agencies use the USACE document as their basis for NEPA.
	 The level of environmental review (Categorical Exclusion, Environmental Assessment, or Environmental Impact Statement) depends on the potential effects and standards of the lead agency in determining the significance of those effects.
Clean Water Act Section	Trigger:
404	 Permanent or temporary discharge of fill in waters of the U.S. including wetlands.
	Process:
U.S. Army Corps of	Anticipate that an Individual Permit will be required.
Engineers (USACE)	 Submit a Joint Permit Application (JPA) that includes project plans, biological information (i.e., BA), wetland delineation, and other pertinent information.
	 A Compensatory Mitigation plan may need to be developed prior to completion of the permit application if resources are permanently affected.
	Temporary impacts would require development of a restoration plan.
Clean Water Act Section	Trigger:
401 Water Quality Certification	 Any federal agency issuing a permit or an approval (e.g., Section 404, Section 402 permist) must comply with Section 401 of the Clean Water Act; DEQ has been delegated the federal jurisdiction to perform Section 401 review for projects in Oregon.
Oregon Department of Environmental Quality	Process:
(DEQ)	 Review Clean Water Act Section 404 permit to determine if the project would affect beneficial uses of waters (including wetlands).
Federal Endangered	Trigger:
Species Act (ESA)	 Any federal agency issuing a permit or an approval must comply with the federal ESA
U.S. Fish and Wildlife	Process:
Service (USFWS) and	 The applicant would conduct appropriate literature and field studies and prepare a Biological Assessment documenting the potential effects to species and/or critical habitat protected under the ESA.
National Marine Fisheries Service (NMFS)	 The federal agency (i.e., USACE) will conduct Section 7 consultation with the Service responsible for the species.
	Will likely require a "formal" consultation process (i.e., likely to adversely affect).

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Permit / Approval a Responsible Agen		
Magnuson Stevens	Trigger:	
Act	 Review required for potential impacts to Essential Fish Habitat (EFH) for ocean species and all anadromous fish throughout their migratory range. 	
NMFS	Process:	
	 The applicant would conduct appropriate literature and field studies to identify the potential presence of anadromous fish species at the project site. 	
	 Assessment included as part of the BA prepared for ESA consultation. 	
lational Historic	Trigger:	
reservation Act	 Any federal Agency issuing a permit or an approval must comply with the NHPA. 	
NHPA), Section 106	Process:	
Oregon State Historic	 The applicant would conduct appropriate literature and field studies to identify the potential presence of cultural and archeological resources at the project site. 	
Preservation Office (SHPO)	 The NHPA requires consideration of potential project-related effects on properties listed, or eligible for listing in the National Register of Historic Places as well as cultural resources. Section 106 requires federal agencies to consult with SHPO to determine if activities may affect historic properties or cultural resources. 	
	 SHPO is also required to consult with local Native American Tribes regarding cultural resources. 	
	 If the project results in adversely affecting aneligible property or cultural resource, preparation of Determinations of Eligibility and Findings of Effect would be required. 	
WA Section 402 –	Trigger:	
National Pollutant	 Clearing, grading, and excavation that disturbs 1 acre or more of land. 	
Discharge Elimination Bystem (NPDES)	Process:	
Permit	Adherence to the Clean Water Act Section 402 requires NPDES permits from DEQ.	
	 A Stormwater Plan and Erosion and Sediment Control Plan would need to be prepared 	
Dregon DEQ	for these activities.	
Migratory Bird Treaty Act (MBTA)	Trigger:	
ict (MDTA)	 Under the MBTA, taking, killing, or possessing migratory birds is unlawful, except as authorized under a valid permit. 	
J.S. Fish Wildlife	Process:	
Service (USFWS)	 Measures are usually part of the construction specifications and include timing certain activities and tree removal outside of nesting and mating season, or conducting individual tree nest clearances. 	
Bald and Golden	Trigger:	
agle Protection Act	 Potential impacts from the project that would harass or harm bald or golden eagles. 	
	Process:	
J.S. Fish and Wildlife Service (USFWS)	 If an eagle roosting area or nest is within 0.5 mile of the project an analysis of visual and noise effects is required. If no effect would occur, no further documentation is required. 	
	 If the project may affect eagles, a permit from the USFWS would be required. The permit includes a brief project description, effects analysis, and general site plans. 	

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Responsible Agency		
Coastal Zone Management Act (CZMA)	Trigger: Activities and developme federal licenses or permit	

 Activities and development affecting coastal resources that involve federal activities, federal licenses or permits, and federal assistance programs (funding) require written Coastal Zone Management (CZM) federal consistency determinations by the DLCD.

Triggers and Process

Oregon Department of Land Conservation and Development (DLCD)

Permit / Approval and

Process:

- As part of the JPA submittal, the applicant completes Federal Consistency documentation. The USACE and DSL provide the documentation to DLCD for review.
- DLCD provides either written concurrence or objects to the consistency determination.
- Public review of the consistency documentation occurs as part of the public notice requirements associated with the Clean Water Act Section 404 and Removal-Fill permits.

Oregon Removal-Fill Authorization

Trigger:

Oregon Department of State Lands (DSL)

Removal or fill activities within waters of the state (i.e., wetlands/streams) in any amount
for Essential Salmonid Habitat (ESH) and or more than 50 cubic yards in non- ESH
designated waters. Project would require an individual permit due to the type and scale of
the project.

Process:

- Similar to the Section 404 Permit, a JPA would be summitted, that included the project description, functional assessments off affected waters, and impact assessment.
- A Compensatory Mitigation plan may need to be developed prior to completion of the permit application if resources are permanently affected.
- Temporary impacts would require development of a restoration plan.
- DSL will solicit and rely on comments from state agencies related to impacts and factor into determination of the Removal-Fill authorization (e.g., ODFW regarding project effects to fish habitat, DEQ regarding effects to water quality).

Oregon Fish Passage Approval

Trigger:

ODFW is responsible for reviewing and approving projects that may affect fish passage.
 Any in-water work, whether temporary or permanent, would require adherence to the fish passage laws.

Oregon Fish and Wildlife Department (ODFW)

Process:

Oregon Fish and Wildlife Commission

- Fish passage past the dam for native migratory fish must be provided, unless a waiver is approved from the Fish and Wildlife Commission.
- An application for fish passage waiver would need to demonstrate that providing fish
 passage at the dam is not feasible and that alternate mitigation provides a net benefit to
 native migratory fish production.
- An isolation and fish recovery plan would be required with the permit submittal (to both ODFW and DSL) and implemented during construction to demonstrate passage or downstream fish habitat is provided during construction, as appropriate.
- Fish capture and release efforts require a Scientific Sampling Permit from ODFW and NMFS if fish need to be handled during construction (i.e., relocated during dewatering).

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Permit / Approval Responsible Age	www.	
Oregon Endangered	Trigger:	
Species Act	 Potential impacts to state listed wildlife, fish, and plant species from project implementation. 	
ODFW and Oregon	Process:	
Department of Agriculture	 State ESA protection is limited to state-owned land, state-leased land, and land over that the state has a recorded easement. Based on known information, the project does not occur on state land, and thus the state ESA does not apply. 	
City of Newport	Trigger:	
Conditional Use Permit	 The alternatives are within Residential Low Density Single Family (R-1) or Public Structure (P-1) zoning depending on the alternative. This would require a conditional use permit from the City. 	
City of Newport	Process:	
Community Development Department	 It is anticipated that the conditional use permit would be processed as a Type II decision. Application including narrative and plans is submitted to the City for approval. 	
City of Newport	Trigger:	
Building, Electrical, Plumbing, Mechanical,	 The dam alternative locations are within Lincoln County. Construction and/or modification of a dam would trigger the need for building, electrical, plumbing, mechanical, sewer/water permits. 	
Sewer/Water Permit	Process:	
City of Navymont	 Application including plans is submitted to the County for approval. 	
City of Newport Building Department	Each permit is a separate process.	
9 _ 0ps011	 These are typically obtained immediately prior to construction, as information regarding the contractor is required for the application. 	