
Behavioral Health Licensed Facility Overview



BEHAVIORAL HEALTH DIVISION
Licensing & Certification Unit

About the Licensing & Certification Unit

Within the Behavioral Health Division, the Licensing & Certification Unit (L&C) is responsible for:

- Licensure, certification, and registration of various facilities and providers
- Onsite inspections
- Complaint investigations
- Review of critical incident reports
- Review of less than 30-day eviction notices
- Enforcement and sanctions
- Technical Assistance
- Development and implementation of Oregon Administrative Rules

Behavioral Health L&C Facilities

The BH L&C team licenses and provides oversight of more than 100 facilities with capacity to serve more than 1,700 individuals.

- **Intensive Treatment Services**

- *Psychiatric Residential Treatment Facilities:* Provide residential substance use disorders treatment and psychiatric residential treatment services to children and adolescents.
- *Sub-Acute Psychiatric Residential Treatment:* Provide 24-hour intensive mental health and/or substance use disorder services and supports in a secure setting to assess, evaluate, stabilize or resolve the symptoms of an acute episode.
- *Integrated Psychiatric Residential Treatment:* Provide residential substance use disorders treatment and psychiatric residential treatment services to children and adolescents.
- *Secure Adolescent Inpatient Program:* Provide inpatient psychiatric and/or substance use disorder stabilization and treatment services to individuals under the age of 21 who require a secure intensive treatment setting.
- *Secure Childrens Inpatient Program:* Provide inpatient psychiatric and/or substance use disorder stabilization and treatment services to children up to age 14 who require a secure intensive treatment setting.
- *Psychiatric Day Treatment:* Provide active psychiatric treatment, family treatment and therapeutic activities integrated with an accredited education program.

Behavioral Health L&C Facilities, continued

- Problem Gambling Residential
 - Provide treatment, services and supports in a residential setting for individuals with gambling related problems.
- Substance Use Disorder Residential Treatment
 - Provide treatment, services and supports in a residential setting for individuals with substance use disorders.
- Substance Use Disorder Residential Withdrawal Management (*clinical and medical*)
 - Provide treatment, services and supports in a residential setting for individuals with substance use disorders and substance use intoxication and withdrawal.

Mental Health L&C Facilities

The MH L&C team licenses and provides oversight of more than 250 facilities with capacity to serve nearly 1,900 individuals

- **Adult Foster Homes**
 - Provide 24-hour services and assistance with activities of daily living to five or fewer adults diagnosed with a mental illness.
- **Regional Acute Care Psychiatric Services (*non-hospital*)**
 - Provide 24-hours a day psychiatric, multi-disciplinary, inpatient or residential stabilization care and treatment to adults with severe psychiatric disabilities in a designated region of the state. May be hospital or non-hospital.
- **Residential Treatment Facilities**
 - Provide 24-hour services, treatment or treatment coordination, and assistance with activities of daily living for six to 16 adults with mental health disorders in a community-based setting.
- **Residential Treatment Homes**
 - Provide 24-hour services, treatment or treatment coordination, and assistance with activities of daily living for up to five adults with mental health disorders in a community-based setting.

Mental Health L&C Facilities, continued

- Secure Residential Treatment Facilities

- *Class 1:* Provide 24-hour services, treatment or treatment coordination, and assistance with activities of daily living for one to 16 adults with mental health disorders in a community-based setting that restricts an individual's exit from the setting. Allows for use of seclusion, physical restraint and involuntary medications.
- *Class 2:* Provide 24-hour services, treatment or treatment coordination, and assistance with activities of daily living for one to 16 adults with mental health disorders in a community-based setting that restricts an individual's exit from the setting.

Crisis Stabilization Centers

- Open 24/7, 365 for urgent or emergent mental health or substance use disorders
- Diversion from hospital emergency departments
- Short- term services – less than 24 hours – in a safe environment with certified staff
 - Voluntary services to individuals – walk-in or drop-off
 - Initial screening, observation, stabilization and referrals

Capacity & Staffing

Adult Foster Home

- Maximum Capacity = 5
- Minimum Staff = 1 at all times

Intensive Treatment Services

- Maximum Capacity = None
- Minimum Staff = 1:3 day, 1:6 night
- Additional professional staff requirements

Regional Acute Care Psychiatric Services

- Maximum Capacity = 16 non-hospital
- Minimum Staff = 2 at all times
- Additional professional staff requirements

Residential Problem Gambling Treatment Program

- Maximum Capacity = None
- Minimum Staff = 1 at all times

Capacity & Staffing

Residential Treatment Facility

- Maximum Capacity = 16
- Minimum Staff = 1 at all times

Residential Treatment Home

- Maximum Capacity = 5
- Minimum Staff = 1 at all times

Secure Residential Treatment Facility

- Maximum Capacity = 16
- Minimum Staff = 2 at all times
- Additional professional staff requirements

Substance Use Disorder Residential Treatment Facility

- Maximum Capacity = None
- Minimum Staff = 1 at all times
- Additional professional staff requirements

Substance Use Disorder Withdrawal Management Facility

- Maximum Capacity = None
- Minimum Staff = 1 at all times
- Additional professional staff requirements

Regulatory Cycle

1-Year

- Adult Foster Homes
- Community-Based Structured Housing

2-Year

- Crisis Stabilization Centers
- Intensive Treatment Services
- Psychiatric Emergency Services
- Regional Acute Care Psychiatric Services
- Residential Problem Gambling Treatment Programs
- Residential Treatment Facilities
- Residential Treatment Homes
- Secure Residential Treatment Facilities
- Substance Use Disorder Residential Treatment Facilities
- Substance Use Disorder Withdrawal Management Facilities

Regulatory Framework

Licensing & Certification is responsible for regulatory and compliance functions to promote the health, safety and independence of individuals receiving services and supports. Compliance Specialists review and evaluate:

- Administering application processes
- Reviewing policies and procedures
- Reviewing personnel records
 - Qualifications, background checks, training
- Reviewing patient/resident records
 - Complete, updated timely
- Conducting interviews
- Conducting onsite inspections
 - Safety, evacuation standards,
- Identifying deficiencies in site review reports
- Reviewing plans of correction
 - Ensure completed and meets rule
- Providing technical assistance
 - 90-day reviews and as needed
- Reviewing critical incidents
 - Appropriate interventions and actions
- Reviewing less than 30-day notice of evictions
 - Meets rule requirements, ensure appeal rights
- Conducting monitoring inspections
- Conducting complaint investigations
- Imposing corrective actions and sanctions
 - Progressive actions to reinforce compliance based on scope, severity and facility history

Relevant Oregon Administrative Rules

All providers and facilities are governed under various Oregon Administrative Rules (OAR). Many must also meet some Federal requirements as part of their respective Medicaid waiver. Related OAR's include:

- 309-008 – Certification of Behavioral Health Treatment Services
- 309-018 – Residential Substance Use Disorders and Problem Gambling Treatment and Recovery Services
- 309-022 – Children & Adolescents: Intensive Treatment Services; Integrated Licenses; Children's Emergency Safety Intervention Specialists
- 309-032 – Community Treatment and Supports
- 309-033 – Civil Commitment Proceedings
- 309-035 – Residential Treatment Facilities and Residential Treatment Homes for Adults with Mental Health Disorders (*Federal HCBS rules apply*)
- 309-040 – Adult Foster Homes (*Federal HCBS rules apply*)
- 309-073 – Crisis Stabilization Services (*coming soon*)
- 309-415-012 – Licensure of Substance Use Disorders and Problem Gambling Residential Treatment and Recovery Services

Thank you

