

# WOMEN ON BOARDS

# March 2021 Report

### **Report Methodology**

The March 2021 Report has been created by using publicly available information provided in annual California and annual federal filings by corporations, as well as information provided by the New York Stock Exchange (NYSE), NYSE American (formerly known as the American Stock Exchange or AMEX and more recently as NYSE MKT), National Association of Securities Dealers Automated Quotations (NASDAQ), and other sources available on the internet, including company websites.

The March 2021 Report lists all publicly held corporations that identified a principal executive office in California on their annual report filed with the United States Securities and Exchange Commission (SEC) pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934 (Form 10-K) during the 2020 calendar year and identifies which of those publicly held corporations reported having at least one female director on their 2020 Publicly Traded Corporate Disclosure Statement filing with the Secretary of State.

The information and statistics provided in the March 2021 Report were generated by a search of the SEC's Electronic Data Gathering, Analysis, and Retrieval system (EDGAR) for corporations listing a principal executive office in California on their SEC Form 10-K filing. The Secretary of State's office also searched information provided in the annual Publicly Traded Corporate Disclosure Statements filed by publicly traded corporations pursuant to California Corporations Code sections 1502.1 (domestic) and 2117.1 (foreign). The dates searched were January 1, 2020 through December 31, 2020.

The 2020 Publicly Traded Corporate Disclosure Statement form asked publicly held corporations to select a range representing the total number of directors on their Board of Directors as well as a range representing the total number of female directors. Because publicly held corporations were only required to have one female director by December 31, 2020 regardless of the size of their Board of Directors, the March 2021 Report only identifies whether a corporation reported having at least one female director. More information about a publicly held corporation's Board of Directors, including the number of female directors, may be available by viewing such corporation's Publicly Traded Corporate Disclosure Statement.

Images of filed Publicly Traded Corporate Disclosure Statements, as well as other filings for corporations, limited partnerships and limited liability companies, are available to view for free through the Secretary of State's <u>Business Search</u> by searching by entity number or by entity type and entity name. For information on ordering copies of the official business entity records for a particular corporation, please refer to <u>Information Requests</u>.

Although every attempt has been made to ensure that the information contained in the March 2021 Report is accurate, the research is based on manually searching for and recording information reported by others in multiple sources. In addition, the federal filing deadlines for annual SEC Form 10-Ks (60, 75 or 90 days after the end of the fiscal year) differ from the California deadline for filing the Publicly Traded Corporate Disclosure Statement (150 days after the end of the fiscal year), so there may be gaps in available data. The Secretary of State's office is not responsible for any loss, consequence, or damage resulting directly or indirectly from reliance on the accuracy, reliability, or timeliness of the information that is provided. All such information is provided "as is."

## **Summary of March 2021 Report 2020 Women on Boards Data**

Total number of corporations filing Publicly Traded Corporate Disclosure Statements in 2020	606
Total number of publicly held corporations listing a California principal executive office on their 2020 SEC 10-K filing ("impacted corporations")	647
Total number of <b>impacted corporations</b> that filed a 2020 Publicly Traded Corporate  Disclosure Statement	318
Total number of <b>impacted corporations</b> that reported compliance with 2020 Women on Boards requirements on their 2020 Publicly Traded Corporate Disclosure Statement	311
Total number of publicly held corporations that moved headquarters from another state <i>into</i> California during 2020	6
Total number of publicly held corporations that moved headquarters <i>out</i> of California into another state during 2020	22
Total number of publicly held corporations listed on the March 2020 Report that are no longer publicly traded	28

Publicly Held Corporations Listing a California Principal Executive Office on their 2020 SEC 10-K Filing	CA Entity Number	Jurisdiction of Incorporation	Street Address	City	State	Zip	Exchange	2020 Disclosure Statement Filing Date	Reported Having at Least 1 Female Director in 2020
10X GENOMICS, INC.	C3501016	Delaware	6230 Stoneridge Mall Road	Pleasonton	CA	94588	NASDAQ		
1LIFE HEALTHCARE, INC.	C2567976	Delaware	One Embarcadero Center, Suite 1900	San Francisco	CA	94111	NASDAQ	5/14/20	Y
89BIO, INC.	C4318386	Delaware	142 Sansome Street, Second Floor	San Francisco	CA	94104	NASDAQ		
8X8, INC.	C1993597	Delaware	675 Creekside Way	Campbell	CA	95008	NYSE		
A10 NETWORKS, INC.	C3653066	Delaware	2300 Orchard Parkway	San Jose	CA	95131	NYSE	7/10/20	
ACACIA RESEARCH CORPORATION	C2212026	Delaware	4 Park Plaza, Suite 550	Irvine	CA	92614	NASDAQ		
ACADIA PHARMACEUTICALS INC.	C2054612	Delaware	3611 Valley Centre Drive, Suite 300	San Diego	CA	92130	NASDAQ		
ACCURAY INCORPORATED	C2966930	Delaware	1310 Chesapeake Terrace	Sunnyvale	CA	94089	NASDAQ		
ACELRX PHARMACEUTICALS, INC.	C2848569	Delaware	351 Galveston Drive	Redwood City	CA	94063	NASDAQ	5/11/20	Y
ACM RESEARCH, INC.	C3999875	Delaware	42307 Osgood Road, Suite I	Fremont	CA	94539	NASDAQ		
ACTIVISION BLIZZARD, INC.	C2235977	Delaware	3100 Ocean Park Boulevard	Santa Monica	CA	90405	NASDAQ	6/3/20	Υ
ADAMAS PHARMACEUTICALS, INC.	C2495737	Delaware	1900 Powell Street, Suite 1000	Emeryville	CA	94608	NASDAQ	9/11/20	Υ
ADAMIS PHARMACEUTICALS CORPORATION	C3196251	Delaware	11682 El Camino Real, Suite 300	San Diego	CA	92130	NASDAQ		
ADESTO TECHNOLOGIES CORPORATION	C3832683	Delaware	3600 Peterson Way	Santa Clara	CA	95054	NASDAQ		
ADOBE INC.	C2011006	Delaware	345 Park Avenue	San Jose	CA	95110	NASDAQ		
ADURO BIOTECH, INC.	C3385451	Delaware	740 Heinz Avenue	Berkley	CA	94710	NASDAQ		
ADVANCED MICRO DEVICES, INC.	C0569576	Delaware	2485 Augustine Drive	Santa Clara	CA	95054	NASDAQ	5/28/20	Y
ADVERUM BIOTECHNOLOGIES, INC.	C2890648	Delaware	800 Saginaw Drive	Redwood City	CA	94063	NASDAQ		
AECOM	C1297033	Delaware	300 South Grand Avenue, 9th Floor	Los Angeles	CA	90071	NYSE	2/20/20	Y
AEHR TEST SYSTEMS	C0817312	California	400 Kato Terrace	Fremont	CA	94539	NASDAQ	10/28/20	Y
AEMETIS, INC.	C3094778	Nevada	20400 Stevens Creek Blvd., Suite 700	Cupertino	CA	95014	NASDAQ		
AEROCENTURY CORP.	C2066291	Delaware	1440 Chapin Avenue, Suite 310	Burlingame	CA	94010	NYSE-AM		
AEROJET ROCKETDYNE HOLDINGS, INC.	C3689549	Delaware	222 N. Pacific Coast Highway, Suite 500	El Segundo	CA	90245	NYSE	6/5/20	Y
AEROVIRONMENT, INC.	C2936806	Delaware	900 Innovators Way	Simi Valley	CA	93065	NASDAQ	12/9/20	Y
AETHLON MEDICAL, INC.	C2423717	Nevada	9635 Granite Ridge Drive, Suite 100	San Diego	CA	92123	NASDAQ		
AGEX THERAPEUTICS, INC.	C4050045	Delaware	965 Atlantic Avenue, Suite 101	Alameda	CA	94501	NYSE-AM	3/9/20	Υ
AGILENT TECHNOLOGIES, INC.	C2076655	Delaware	5301 Stevens Creek Blvd.	Santa Clara	CA	95051	NYSE		

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AIMMUNE THERAPEUTICS, INC.	C3430169	Delaware	8000 Marina Blvd Suite #300	Brisbane	CA	94005	NASDAQ		
AIR LEASE CORPORATION	C3283287	Delaware	2000 Avenue of the Stars, Suite 1000N	Los Angeles	CA	90067	NYSE	5/26/20	Υ
AIRGAIN, INC.	C3934535	Delaware	3611 Valley Centre Drive, Suite 150	San Diego	CA	92130	NASDAQ		
AKERO THERAPEUTICS, INC.	C4207796	Delaware	170 Harbor Way, 3rd Floor	South San Francisco	CA	94080	NASDAQ		
ALECTOR, INC.	C4079208	Delaware	131 Oyster Point Blvd, Suite 600	South San Francisco	CA	94080	NASDAQ		
ALEXANDRIA REAL ESTATE EQUITIES, INC.	C1754177	Maryland	26 North Euclid Avenue	Pasadena	CA	91101	NYSE	5/12/20	Υ
ALIGN TECHNOLOGY, INC.	C2034138	Delaware	2820 Orchard Parkway	San Jose	CA	95134	NASDAQ	7/23/20	Υ
ALLAKOS INC.	C3479135	Delaware	975 Island Drive, Suite 201	Redwood City	CA	94065	NASDAQ		
ALLIED ESPORTS ENTERTAINMENT, INC.	C4553637	Delaware	17877 Von Karman Avenue, Suite 300	Irvine	CA	92614	NASDAQ	9/3/20	Y
ALLOGENE THERAPEUTICS, INC.	C4133177	Delaware	210 East Grand Avenue	South San Francisco	CA	94080	NASDAQ	6/3/20	Υ
ALPHABET INC.	C3831672	Delaware	1600 Amphitheatre Parkway	Mountain View	CA	94043	NASDAQ	5/26/20	Υ
ALPHATEC HOLDINGS, INC.	C2849000	Delaware	5818 El Camino Real	Carlsbad	CA	92008	NASDAQ		
ALTERYX, INC.	C3361924	Delaware	3345 Michelson Drive, Suite 400	Irvine	CA	92612	NYSE	6/8/20	Υ
A-MARK PRECIOUS METALS, INC.	C3642823	Delaware	2121 Rosecrans Ave. Suite 6300	El Segundo	CA	90245	NASDAQ	12/30/20	Υ
AMBARELLA, INC.	C2625043	Cayman Islands	3101 Jay Street	Santa Clara	CA	95054	NASDAQ		
AMERICAN ASSETS TRUST, INC.	C3338622	Maryland	11455 El Camino Real, Suite 200	San Diego	CA	92130	NYSE	6/5/20	Υ
AMERICAN HONDA FINANCE CORPORATION	C0951492	California	20800 Madrona Avenue	Torrance	CA	90503	NYSE		
AMERICAN RIVER BANKSHARES	C1926560	California	3100 Zinfandel Drive	Rancho Cordova	CA	95670	NASDAQ	4/23/20	Υ
AMERICAN SHARED HOSPITAL SERVICES	C1178138	California	Two Embarcadero Center, Suite 410	San Francisco	CA	94111	NYSE-AM		
AMERICAN STATES WATER COMPANY	C2070444	California	630 E. Foothill Boulevard	San Dimas	CA	91773	NYSE	6/3/20	Υ
AMERICAN VANGUARD CORPORATION	C0572811	Delaware	4695 MacArthur Court	Newport Beach	CA	92660	NYSE	8/7/20	Υ
AMGEN INC.	C1579467	Delaware	One Amgen Center Drive	Thousand Oaks	CA	91320	NASDAQ	5/29/20	Υ
AMPHASTAR PHARMACEUTICALS, INC.	C2666434	Delaware	11570 6th Street	Rancho Cucamonga	CA	91730	NASDAQ		
AMYRIS, INC.	C3296143	Delaware	5885 Hollis Street, Suite 10	Emeryville	CA	94608	NASDAQ		
ANAPLAN, INC.	C3228964	Delaware	50 Hawthorne Street	San Francisco	CA	94105	NYSE	9/8/20	Y
ANAPTYSBIO, INC.	C2856234	Delaware	10421 Pacific Center Court, Suite 200	San Diego	CA	92121	NASDAQ	6/23/20	Y
ANIXA BIOSCIENCES, INC.	C3563278	Delaware	3150 Almaden Expressway, Suite 250	San Jose	CA	95118	NASDAQ	3/24/20	Υ
ANWORTH MORTGAGE ASSET CORPORATION	C2068472	Maryland	1299 Ocean Avenue, 2nd Floor	Santa Monica	CA	90401	NYSE		

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APEX GLOBAL BRANDS INC.	NOT REGISTERED	Delaware	5990 Sepulveda Boulevard	Sherman Oaks	CA	91411	NASDAQ		
APEX TECHNOLOGY ACQUISITION CORPORATION	C4318472	Delaware	533 Airport Blvd Suite 400	Burlingame	CA	94010	NASDAQ		
APOLLO MEDICAL HOLDINGS, INC.	C3530176	Delaware	1668 S. Garfield Avenue, 2nd Floor	Alhambra	CA	91801	NASDAQ		
APPFOLIO, INC.	C2968512	Delaware	50 Castilian Drive	Santa Barbara	CA	93117	NASDAQ	5/4/20	Y
APPLE INC.	C0806592	California	One Apple Park Way	Cupertino	CA	95014	NASDAQ	2/18/20	Y
APPLIED MATERIALS, INC.	C1587699	Delaware	3050 Bowers Avenue	Santa Clara	CA	95052	NASDAQ	3/5/20	Y
ARC DOCUMENT SOLUTIONS, INC.	C2630013	Delaware	12657 Alcosta Blvd, Suite 200	San Ramon	CA	94583	NYSE	5/29/20	Y
ARCADIA BIOSCIENCES, INC.	C3770633	Delaware	202 Cousteau Place, Suite 105	Davis	CA	95618	NASDAQ		
ARCTURUS THERAPEUTICS HOLDINGS INC.	C3539281	Delaware	10628 Science Center Drive, Suite 250	San Diego	CA	92121	NASDAQ		
ARCUS BIOSCIENCES, INC.	C3795873	Delaware	3928 Point Eden Way	Hayward	CA	94545	NYSE		
ARCUTIS BIOTHERAPEUTICS, INC.	C3917959	Delaware	2945 Townsgate Road, Suite 110	Westlake Village	CA	91361	NASDAQ	5/28/20	Y
ARDELYX, INC.	C3054054	Delaware	34175 Ardenwood Blvd., Suite 200	Fremont	CA	94555	NASDAQ		
ARENA PHARMACEUTICALS, INC.	C2059472	Delaware	6154 Nancy Ridge Drive	San Diego	CA	92121	NASDAQ		
ARES MANAGEMENT CORPORATION	C4314586	Delaware	2000 Avenue of the Stars, 12th Floor	Los Angeles	CA	90067	NYSE		
ARIDIS PHARMACEUTICALS, INC.	C3747672	Delaware	5941 Optical Ct.	San Jose	CA	95138	NASDAQ	6/15/20	Y
ARISTA NETWORKS, INC.	C3660401	Delaware	5453 Great America Parkway	Santa Clara	CA	95054	NYSE	5/26/20	Y
ARLO TECHNOLOGIES, INC.	C4131607	Delaware	3030 Orchard Parkway	San Jose	CA	95134	NYSE	12/23/20	Y
ARMATA PHARMACEUTICALS, INC.	NOT REGISTERED	Washington	4503 Glencoe Avenue	Marina Del Rey	CA	90292	NYSE-AM		
ARROWHEAD PHARMACEUTICALS, INC.	C2288610	Delaware	177 E. Colorado Blvd, Suite 700	Pasadena	CA	91105	NASDAQ	3/16/20	Y
ARTELO BIOSCIENCES, INC.	C4155968	Nevada	888 Prospect Street, Suite 210	La Jolla	CA	92037	NASDAQ		
ASGN INCORPORATED	C1523714	Delaware	26745 Malibu Hills Road	Calabasas	CA	91301	NYSE		
ASSEMBLY BIOSCIENCES, INC.	C3785050	Delaware	331 Oyster Point Blvd., Fourth Floor	South San Francisco	CA	94080	NASDAQ		
ASSETMARK FINANCIAL HOLDINGS, INC.	NOT REGISTERED	Delaware	1655 Grant Street, 10th Floor	Concord	CA	94520	NYSE		
ATARA BIOTHERAPEUTICS, INC.	C3537131	Delaware	611 Gateway Blvd., Suite 900	South San Francisco	CA	94080	NASDAQ	4/29/20	Υ
ATOMERA INCORPORATED	C3830727	Delaware	750 University Avenue, Suite 280	Los Gatos	CA	95032	NASDAQ	4/27/20	Y
ATRECA, INC.	C3328043	Delaware	450 East Jamie Court	South San Francisco	CA	94080	NASDAQ		
ATYR PHARMA, INC.	C2932029	Delaware	3545 John Hopkins Court, Suite #250	San Diego	CA	92121	NASDAQ	6/8/20	Υ
AUTODESK, INC.	C1893790	Delaware	111 McInnis Parkway	San Rafael	CA	94903	NASDAQ	6/30/20	Υ

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AVERY DENNISON CORPORATION	C0812367	Delaware	207 Goode Avenue	Glendale	CA	91203	NYSE		
AVID BIOSERVICES, INC.	C1991690	Delaware	2642 Michelle Drive, Suite 200	Tustin	CA	92780	NASDAQ		
AVINGER, INC.	C3002296	Delaware	400 Chesapeake Drive	Redwood City	CA	94063	NASDAQ		
AVITA THERAPEUTICS, INC.	NOT REGISTERED	Delaware	28159 Avenue Stanford Suite 220	Valencia	CA	91355	NASDAQ		
AXONICS MODULATION TECHNOLOGIES, INC.	C3609237	Delaware	26 Technology Drive	Irvine	CA	92618	NASDAQ		
AXT, INC.	C2061607	Delaware	4281 Technology Drive	Fremont	CA	94538	NASDAQ	5/28/20	Υ
B. RILEY FINANCIAL, INC.	C3226178	Delaware	21255 Burbank Boulevard, Suite 400	Woodland Hills	CA	91367	NASDAQ	6/22/20	Υ
BANC OF CALIFORNIA, INC.	C2420857	Maryland	3 MacArthur Place	Santa Ana	CA	92707	NYSE	10/28/20	Υ
BANK OF COMMERCE HOLDINGS	C1101478	California	555 Capitol Mall, Suite 1255	Sacramento	CA	95814	NASDAQ	6/4/20	Υ
BANK OF MARIN BANCORP	C2970843	California	504 Redwood Blvd, Suite 100	Novato	CA	94947	NASDAQ	6/3/20	Υ
BAYCOM CORP	C3961219	California	500 Ygnacio Valley Road	Walnut Creek	CA	94596	NASDAQ		
BENITEC BIOPHARMA INC.	C4644529	Delaware	3940 Trust Way	Hayward	CA	94545	NASDAQ		
BEYOND MEAT, INC.	C3441275	Delaware	119 Standard Street	El Segundo	CA	90245	NASDAQ	5/21/20	Y
BIG 5 SPORTING GOODS CORPORATION	C2059959	Delaware	2525 East El Segundo Boulevard	El Segundo	CA	90245	NASDAQ	5/18/20	Υ
BILL.COM HOLDINGS, INC.	C4199328	Delaware	1800 Embarcadero Road	Palo Alto	CA	94303	NYSE		
BIOCARDIA, INC.	C3969524	Delaware	125 Shoreway Road, Suite B	San Carlos	CA	94070	NASDAQ	6/3/20	
BIOCEPT, INC.	C3591743	Delaware	5810 Nancy Ridge Drive	San Diego	CA	92121	NASDAQ		
BIOLASE, INC.	C1680182	Delaware	4 Cromwell	Irvine	CA	92618	NASDAQ		
BIOMARIN PHARMACEUTICAL INC.	C1996795	Delaware	770 Lindaro Street	San Rafael	CA	94901	NASDAQ	5/27/20	Y
BIOMERICA, INC.	C0643440	Delaware	17571 Von Karman Avenue	Irvine	CA	92614	NASDAQ	11/2/20	Υ
BIONANO GENOMICS, INC.	C3384714	Delaware	9540 Towne Centre Drive, Suite 100	San Diego	CA	92121	NASDAQ		
BIOPHARMX CORPORATION	C4304578	Delaware	900 E. Hamilton Ave., Suite 100	Campbell	CA	95008	NYSE-AM		
BIO-RAD LABORATORIES, INC.	C0735123	Delaware	1000 Alfred Nobel Drive	Hercules	CA	94547	NYSE	6/3/20	Y
BJ'S RESTAURANTS, INC.	C1694672	California	7755 Center Avenue, Suite 300	Huntington Beach	CA	92647	NASDAQ		
BLACKLINE, INC.	NOT REGISTERED	Delaware	21300 Victory Boulevard, 12th Floor	Woodland Hills	CA	91367	NASDAQ		
BLACKROCK TCP CAPITAL CORP.	C3455846	Delaware	2951 28th Street, Suite 1000	Santa Monica	CA	90405	NASDAQ		
BLOOM ENERGY CORPORATION	C2412427	Delaware	4353 North First Street	San Jose	CA	95134	NYSE		

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BOINGO WIRELESS, INC.	C2346974	Delaware	10960 Wilshire Blvd., 23rd Floor	Los Angeles	CA	90024	NASDAQ		
BOOT BARN HOLDINGS, INC.	C3936243	Delaware	15345 Barranca Pkwy	Irvine	CA	92618	NYSE		
BOX, INC.	C3092629	Delaware	900 Jefferson Ave.	Redwood City	CA	94063	NYSE		
BRIDGEBIO PHARMA, INC.	C4280884	Delaware	421 Kipling Street	Palo Alto	CA	94301	NASDAQ	6/16/20	Y
BRIDGFORD FOODS CORPORATION	C0269682	California	1308 North Patt Street	Anaheim	CA	92801	NASDAQ		
BROADCOM INC.	NOT REGISTERED	Delaware	1320 Ridder Park Drive	San Jose	CA	95131	NASDAQ		
BROADWAY FINANCIAL CORPORATION	C1955785	Delaware	5055 Wilshire Boulevard, Suite 500	Los Angeles	CA	90036	NASDAQ		
CADENCE DESIGN SYSTEMS INC	C1585262	Delaware	2655 Seely Avenue, Building 5	San Jose	CA	95134	NASDAQ	5/29/20	Y
CADIZ INC.	C1706299	Delaware	550 S. Hope Street, Suite 2850	Los Angeles	CA	90071	NASDAQ		
CAI INTERNATIONAL, INC.	C2966713	Delaware	Steuart Tower, 1 Market Plaza, Suite 2400	San Francisco	CA	94105	NYSE		
CALAMP CORP.	C1603477	Delaware	15635 Alton Parkway, Suite 250	Irvine	CA	92618	NASDAQ		
CALAVO GROWERS, INC.	C2325889	California	1141-A Cummings Road	Santa Paula	CA	93060	NASDAQ		
CALIFORNIA BANCORP	C4007339	California	1300 Clay Street, Suite 500	Oakland	CA	94612	NASDAQ		
CALIFORNIA RESOURCES CORPORATION	C3669629	Delaware	27200 Tourney Road, Suite 200	Santa Clarita	CA	91355	NYSE	6/3/20	Y
CALIFORNIA WATER SERVICE GROUP	C2183341	Delaware	1720 North First Street	San Jose	CA	95112	NYSE	8/20/20	Y
CALITHERA BIOSCIENCES, INC.	C3298532	Delaware	343 Oyster Point Blvd., Suite 200	South San Francisco	CA	94080	NASDAQ		
CALIX, INC.	C2204939	Delaware	2777 Orchard Parkway	San Jose	CA	95134	NYSE	5/11/20	Y
CALLAWAY GOLF COMPANY	C2163312	Delaware	2180 Rutherford Road	Carlsbad	CA	92008	NYSE	5/11/20	Y
CAPRICOR THERAPEUTICS, INC.	C3063169	Delaware	8840 Wilshire Blvd., 2nd Floor	Beverly Hills	CA	90211	NASDAQ	1/13/20	Y
CAPSTONE TURBINE CORPORATION	C2249695	Delaware	16640 Stagg Street	Van Nuys	CA	91406	NASDAQ		
CAREDX, INC.	C2130446	Delaware	1 Tower Place	South San Francisco	CA	94080	NASDAQ		
CARETRUST REIT, INC.	C4550407	Maryland	905 Calle Amanecer, Suite 300	San Clemente	CA	92673	NASDAQ	6/12/20	Y
CASTLIGHT HEALTH, INC.	C3087469	Delaware	150 Spear Street, Suite 400	San Francisco	CA	94105	NYSE		
CATALYST BIOSCIENCES, INC.	C3827998	Delaware	611 Gateway Blvd. Suite 710	South San Francisco	CA	94080	NASDAQ		
CATASYS, INC.	C2560454	Delaware	2120 Colorado Ave., Suite 230	Santa Monica	CA	90404	NASDAQ		
CATHAY GENERAL BANCORP	C1677366	Delaware	777 North Broadway	Los Angeles	CA	90012	NASDAQ	6/3/20	Y
CBRE GROUP, INC.	C2346048	Delaware	400 South Hope Street, 25th Floor	Los Angeles	CA	90071	NYSE	5/4/20	Y
CENTRAL GARDEN & PET COMPANY	C1523877	Delaware	1340 Treat Boulevard, Suite 600	Walnut Creek	CA	94597	NASDAQ	4/29/20	Y

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CENTRAL VALLEY COMMUNITY BANCORP	C2078782	California	7100 N. Financial Dr., Suite 101	Fresno	CA	93720	NASDAQ		
CERUS CORPORATION	C1992916	Delaware	1220 Concord Avenue, Suite 600	Concord	CA	94520	NASDAQ	1/27/20	Y
CEVA, INC.	C2268307	Delaware	1174 Castro Street, Suite 210	Mountain View	CA	94040	NASDAQ		
CHASERG TECHNOLOGY ACQUISITION CORP.	C4201286	Delaware	7660 Fay Avenue, Suite H, Unit 339	La Jolla	CA	92037	NASDAQ		
CHEGG, INC.	C2860804	Delaware	3990 Freedom Circle	Santa Clara	CA	95054	NYSE	4/29/20	Y
CHEMOCENTRYX, INC.	C2058953	Delaware	850 Maude Avenue	Mountain View	CA	94043	NASDAQ	5/29/20	Y
CHEVRON CORPORATION	C0117531	Delaware	6001 Bollinger Canyon Road	San Ramon	CA	94583	NYSE	5/27/20	Y
CHIPOTLE MEXICAN GRILL, INC.	C2237008	Delaware	610 Newport Center Drive, Suite 1300	Newport Beach	CA	92660	NYSE	5/20/20	Y
CHROMADEX CORPORATION	C3152567	Delaware	10900 Wilshire Blvd. Suite 600	Los Angeles	CA	90024	NASDAQ		
CIDARA THERAPEUTICS, INC.	C3679314	Delaware	6310 Nancy Ridge Drive, Suite 101	San Diego	CA	92121	NASDAQ		
CISCO SYSTEMS, INC.	C1183477	California	170 West Tasman Drive	San Jose	CA	95134	NASDAQ	12/16/20	Y
CLEAN ENERGY FUELS CORP.	C2997430	Delaware	4675 MacArthur Court, Suite 800	Newport Beach	CA	92660	NASDAQ	4/30/20	Υ
CLEARPOINT NEURO, INC.	C3150669	Delaware	5 Musick	Irvine	CA	92618	NASDAQ	10/15/20	Y
CLOUDERA, INC.	C3163588	Delaware	395 Page Mill Road	Palo Alto	CA	94306	NYSE	2/5/20	Υ
CLOUDFLARE, INC.	C3274841	Delaware	101 Townsend Street	San Francisco	CA	94107	NYSE		
CODEXIS, INC.	C2410016	Delaware	200 Penobscot Drive	Redwood City	CA	94063	NASDAQ		
COHBAR, INC.	C3388928	Delaware	1455 Adams Drive, Suite 2050	Menlo Park	CA	94025	NASDAQ		
COHERENT, INC.	C1674014	Delaware	5100 Patrick Henry Drive	Santa Clara	CA	95054	NASDAQ		
COHERUS BIOSCIENCES, INC.	C3324524	Delaware	333 Twin Dolphin Drive, Suite 600	Redwood City	CA	94065	NASDAQ		
сони, імс.	C0337373	Delaware	12367 Crosthwaite Circle	Poway	CA	92064	NASDAQ	5/12/20	Y
COLLECTORS UNIVERSE, INC.	C2158483	Delaware	1610 E. Saint Andrew Place	Santa Ana	CA	92705	NASDAQ	1/16/20	Y
COLONY CAPITAL, INC.	NOT REGISTERED	Maryland	515 South Flower Street, 44th Floor	Los Angeles	CA	90071	NYSE		
COLONY CREDIT REAL ESTATE, INC.	NOT REGISTERED	Maryland	515 S. Flower Street, 44th Floor	Los Angeles	CA	90071	NYSE		
COMMUNITY WEST BANCSHARES	C1994567	California	445 Pine Avenue	Goleta	CA	93117	NASDAQ	3/16/20	Y
CONATUS PHARMACEUTICALS INC.	C2759234	Delaware	16745 West Bernardo Dr., Suite 250	San Diego	CA	92127	NASDAQ		
CORCEPT THERAPEUTICS INCORPORATED	C2108969	Delaware	149 Commonwealth Drive	Menlo Park	CA	94025	NASDAQ	9/15/20	Y
CORELOGIC, INC	C3295060	Delaware	40 Pacifica	Irvine	CA	92618	NYSE	5/28/20	Y
CORNERSTONE ONDEMAND, INC.	C2204264	Delaware	1601 Cloverfield Blvd., Suite 620 South	Santa Monica	CA	90404	NASDAQ		

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CORTEXYME, INC.	C3678999	Delaware	269 East Grand Ave.	South San Francisco	CA	94080	NASDAQ		
CORVEL CORPORATION	C1518941	Delaware	1920 Main Street, Suite 900	Irvine	CA	92614	NASDAQ	8/27/20	Y
CORVUS PHARMACEUTICALS, INC.	C3642167	Delaware	863 Mitten Road, Suite 102	Burlingame	CA	94010	NASDAQ		
COUPA SOFTWARE INCORPORATED	C2858114	Delaware	1855 S. Grant Street	San Mateo	CA	94402	NASDAQ		
CRESCENT ACQUISITION CORP	C4210274	Delaware	11100 Santa Monica Blvd., Suite 2000	Los Angeles	CA	90025	NASDAQ		
CRESCENT CAPITAL BDC, INC.	NOT REGISTERED	Maryland	11100 Santa Monica Blvd., Suite 2000	Los Angeles	CA	90025	NASDAQ		
CRINETICS PHARMACEUTICALS, INC.	C3299696	Delaware	10222 Barnes Canyon Road, Bldg. #2	San Diego	CA	92121	NASDAQ		
CROWDSTRIKE HOLDINGS, INC.	C3517280	Delaware	150 Mathilda Place, Suite 300	Sunnyvale	CA	94086	NASDAQ	12/2/20	Y
CUBIC CORPORATION	C1277777	Delaware	9333 Balboa Avenue	San Diego	CA	92123	NYSE		
CUTERA, INC.	C2073455	Delaware	3240 Bayshore Blvd.	Brisbane	CA	94005	NASDAQ		
CVB FINANCIAL CORP.	C1042528	California	701 N. Haven Avenue, Suite 350	Ontario	CA	91764	NASDAQ		
CYMABAY THERAPEUTICS, INC.	C1512105	Delaware	7575 Gateway Blvd, Suite 110	Newark	CA	94560	NASDAQ	6/8/20	Υ
CYPRESS SEMICONDUCTOR CORPORATION	C1580598	Delaware	198 Champion Court	San Jose	CA	95134	NASDAQ		
CYTOKINETICS, INCORPORATED	C2038652	Delaware	280 East Grand Avenue	South San Francisco	CA	94080	NASDAQ	8/13/20	Υ
CYTOMX THERAPEUTICS, INC.	C3317198	Delaware	151 Oyster Point Boulevard, Suite 400	South San Francisco	CA	94080	NASDAQ		
DAILY JOURNAL CORPORATION	C1584453	South Carolina	915 East First Street	Los Angeles	CA	90012	NASDAQ		
DARÉ BIOSCIENCE, INC.	C4047780	Delaware	3655 Nobel Drive, Suite 260	San Diego	CA	92121	NASDAQ	4/3/20	Υ
DASAN ZHONE SOLUTIONS, INC.	C2645272	Delaware	1350 South Loop Road, Suite 130	Alameda	CA	94502	NASDAQ		
DECKERS OUTDOOR CORPORATION	C1865635	Delaware	250 Coromar Drive	Goleta	CA	93117	NYSE		
DEL TACO RESTAURANTS, INC.	C4318653	Delaware	25521 Commercentre Drive	Lake Forest	CA	92630	NASDAQ	6/8/20	Υ
DENALI THERAPEUTICS INC.	C3770646	Delaware	161 Oyster Point Blvd.	South San Francisco	CA	94080	NASDAQ		
DERMTECH, INC.	C4524922	Delaware	11099 N. Torrey Pines Road, Suite 100	La Jolla	CA	92037	NASDAQ		
DEXCOM, INC.	C2045827	Delaware	6340 Sequence Drive	San Diego	CA	92121	NASDAQ		
DIAMOND EAGLE ACQUISITION CORP.	NOT REGISTERED	Delaware	2121 Avenue of the Stars, Suite 2300	Los Angeles	CA	90067	NASDAQ		
DIGITAL REALTY TRUST, INC.	C2675167	Maryland	Four Embarcadero Center, Suite 3200	San Francisco	CA	94111	NYSE	4/22/20	Υ
DINE BRANDS GLOBAL, INC.	C0786097	Delaware	450 North Brand Boulevard	Glendale	CA	91203	NYSE	5/29/20	Y
DOCUSIGN, INC.	C3778647	Delaware	221 Main St. Suite 1550	San Francisco	CA	94105	NASDAQ		
DOLBY LABORATORIES, INC.	C2673917	Delaware	1275 Market Street	San Francisco	CA	94103	NYSE	1/24/20	Υ

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DOUGLAS EMMETT, INC.	C2929967	Maryland	1299 Ocean Avenue, Suite 1000	Santa Monica	CA	90401	NYSE	7/10/20	Y
DPW HOLDINGS, INC.	C4113631	Delaware	201 Shipyard Way, Suite E	Newport Beach	CA	92663	NYSE-AM	8/10/20	Υ
DROPBOX, INC.	C3049974	Delaware	1800 Owens Street	San Francisco	CA	94158	NASDAQ	4/20/20	Υ
DSP GROUP, INC.	C1881829	Delaware	2055 Gateway Place, Suite 480	San Jose	CA	95110	NASDAQ	11/20/20	Υ
DUCOMMUN INCORPORATED	C0604702	Delaware	200 Sandpointe Avenue, Suite 700	Santa Ana	CA	92707	NYSE	5/19/20	Υ
DURECT CORPORATION	C2104966	Delaware	10260 Bubb Road	Cupertino	CA	95014	NASDAQ	5/5/20	Υ
DYNAVAX TECHNOLOGIES CORPORATION	C2270294	Delaware	2100 Powell Street, Suite 900	Emeryville	CA	94608	NASDAQ	6/3/20	Υ
E.L.F. BEAUTY, INC.	C4305949	Delaware	570 10th Street	Oakland	CA	94607	NYSE	9/4/20	Υ
EAST WEST BANCORP, INC.	C2123980	Delaware	135 North Los Robles Ave., 7th Floor	Pasadena	CA	91101	NASDAQ		
EBAY INC.	C2110301	Delaware	2025 Hamilton Avenue	San Jose	CA	95125	NASDAQ		
EDISON INTERNATIONAL	C1585456	California	2244 Walnut Grove Avenue	Rosemead	CA	91770	NYSE	6/17/20	Υ
EDWARDS LIFESCIENCES CORPORATION	C2219275	Delaware	One Edwards Way	Irvine	CA	92614	NYSE	6/4/20	Υ
EGAIN CORPORATION	C2106950	Delaware	1252 Borregas Avenue	Sunnyvale	CA	94089	NASDAQ	1/15/20	Υ
EHEALTH, INC.	C2379891	Delaware	2625 Augustine Drive, Second Floor	Santa Clara	CA	95054	NASDAQ		
EIDOS THERAPEUTICS, INC.	C3603303	Delaware	101 Montgomery Street, Suite 2000	San Francisco	CA	94104	NASDAQ	5/29/20	Υ
EIGER BIOPHARMACEUTICALS, INC.	C3168101	Delaware	2155 Park Boulevard	Palo Alto	CA	94306	NASDAQ	1/31/20	Υ
EKSO BIONICS HOLDINGS, INC.	C3883661	Nevada	1414 Harbour Way South, Suite 1201	Richmond	CA	94804	NASDAQ		
EL POLLO LOCO HOLDINGS, INC.	C4310800	Delaware	3535 Harbor Blvd., Suite 100	Costa Mesa	CA	92626	NASDAQ		
ELASTIC N.V.	NOT REGISTERED	The Netherlands	800 West El Camino Real, Suite 350	Mountain View	CA	94040	NYSE		
ELECTRONIC ARTS INC.	C1520080	Delaware	209 Redwood Shores Parkway	Redwood City	CA	94065	NASDAQ		
EMCORE CORPORATION	C2224595	New Jersey	2015 W. Chestnut Street	Alhambra	CA	91803	NASDAQ	12/8/20	Υ
EMERALD EXPOSITIONS EVENTS, INC.	NOT REGISTERED	Delaware	31910 Del Obispo Street, Suite 200	San Juan Capistrano	CA	92675	NYSE		
ENCORE CAPITAL GROUP, INC.	C2320424	Delaware	350 Camino De La Reina, Suite 100	San Diego	CA	92108	NASDAQ		
ENDOLOGIX, INC.	C1860097	Delaware	2 Musick	Irvine	CA	92618	NASDAQ		
ENERGOUS CORPORATION	C3556462	Delaware	3590 North First Street, Suite 210	San Jose	CA	95134	NASDAQ		
ENERGY RECOVERY, INC.	C2629620	Delaware	1717 Doolittle Drive	San Leandro	CA	94577	NASDAQ	10/26/20	Υ
ENOCHIAN BIOSCIENCES INC.	C4069111	Delaware	2080 Century Park East Suite 906	Los Angeles	CA	90067	NASDAQ		
ENPHASE ENERGY, INC.	C2877051	Delaware	47281 Bayside Parkway	Fremont	CA	94538	NASDAQ	5/29/20	

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ENTRAVISION COMMUNICATIONS CORPORATION	C2344313	Delaware	2425 Olympic Boulevard, Suite 6000 West	Santa Monica	CA	90404	NYSE		
ENVISION SOLAR INTERNATIONAL, INC.	C3417636	Nevada	5660 Eastgate Dr.	San Diego	CA	92121	NASDAQ	6/25/20	
ENVISTA HOLDINGS CORPORATION	C4296075	Delaware	200 S. Kraemer Blvd., Building E	Brea	CA	92821	NYSE	9/22/20	Y
EQUILLIUM, INC.	C4027173	Delaware	2223 Avenida de la Playa, Suite 105	La Jolla	CA	92037	NASDAQ	6/8/20	Y
EQUINIX, INC.	C2123641	Delaware	One Lagoon Drive	Redwood City	CA	94065	NASDAQ		
ESSEX PROPERTY TRUST, INC.	C1890146	Maryland	1100 Park Place, Suite 200	San Mateo	CA	94403	NYSE	8/3/20	Y
EVENTBRITE, INC.	C3113277	Delaware	155 5th Street, 7th Floor	San Francisco	CA	94103	NYSE	6/12/20	Y
EVOFEM BIOSCIENCES, INC.	C2969993	Delaware	12400 High Bluff Drive, Suite 600	San Diego	CA	92130	NASDAQ	6/11/20	Y
EVOKE PHARMA, INC.	C2979723	Delaware	420 Stevens Avenue, Suite 370	Solana Beach	CA	92075	NASDAQ	5/1/20	Y
EVOLUS, INC.	C3518996	Delaware	520 Newport Center Dr., Suite 1200	Newport Beach	CA	92660	NASDAQ		
EXAGEN INC.	C3396686	Delaware	1261 Liberty Way Suite C	Vista	CA	92081	NASDAQ		
EXELIXIS, INC.	C2004441	Delaware	1851 Harbor Bay Parkway	Alameda	CA	94502	NASDAQ		
EXPONENT, INC.	C1508730	Delaware	149 Commonwealth Drive	Menlo Park	CA	94025	NASDAQ		
EXTREME NETWORKS, INC.	C2159326	Delaware	6480 Via del Oro	San Jose	CA	95119	NASDAQ	12/22/20	Y
FACEBOOK, INC.	C2711108	Delaware	1601 Willow Road	Menlo Park	CA	94025	NASDAQ	9/1/20	Y
FAIR ISAAC CORPORATION	C1197339	Delaware	181 Metro Drive, Suite 700	San Jose	CA	95110	NYSE	9/22/20	Y
FASTLY, INC.	C3362131	Delaware	475 Brannan Street, Suite 300	San Francisco	CA	94107	NYSE		
FAT BRANDS INC.	C4067057	Delaware	9720 Wilshire Blvd., Suite 500	Beverly Hills	CA	90212	NASDAQ		
FATE THERAPEUTICS, INC.	C3157997	Delaware	3535 General Atomics Court, Suite 200	San Diego	CA	92121	NASDAQ	6/4/20	Υ
FIBROGEN, INC.	C2108377	Delaware	409 Illinois Street	San Francisco	CA	94158	NASDAQ		
FIREEYE, INC.	C2641046	Delaware	601 McCarthy Blvd.	Milpitas	CA	95035	NASDAQ	4/16/20	Υ
FIRST AMERICAN FINANCIAL CORPORATION	C3379704	Delaware	1 First American Way	Santa Ana	CA	92707	NYSE	5/26/20	Υ
FIRST CHOICE BANCORP	C4060868	California	17785 Center Court Drive N., Suite 750	Cerritos	CA	90703	NASDAQ		
FIRST FOUNDATION INC.	C3839847	Delaware	18101 Von Karman Avenue, Suite 700	Irvine	CA	92612	NASDAQ	6/3/20	Y
FIRSTHAND TECHNOLOGY VALUE FUND, INC.	C3460464	Maryland	150 Almaden Boulevard, Suite 1250	San Jose	CA	95113	NASDAQ		
FITBIT, INC.	C3064516	Delaware	199 Fremont Street, 14th Floor	San Francisco	CA	94105	NYSE	5/26/20	Y
FIVE PRIME THERAPEUTICS, INC.	C2375010	Delaware	111 Oyster Point Boulevard	South San Francisco	CA	94080	NASDAQ	5/26/20	Υ
FIVE9, INC.	C2339833	Delaware	Bishop Ranch 8, 4000 Executive Parkway, Suite 400	San Ramon	CA	94583	NASDAQ	6/3/20	Υ

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FLUIDIGM CORPORATION	C2949141	Delaware	7000 Shoreline Ct, Ste 100	South San Francisco	CA	94080	NASDAQ	6/3/20	Y
FLUX POWER HOLDINGS, INC.	C4295196	Nevada	2685 S. Melrose Drive	Vista	CA	92081	NASDAQ	12/19/20	Y
FORESCOUT TECHNOLOGIES, INC.	C2369377	Delaware	190 West Tasman Drive	San Jose	CA	95134	NASDAQ		
FORMFACTOR, INC.	C1941086	Delaware	7005 Southfront Road	Livermoore	CA	94551	NASDAQ	5/5/20	Υ
FORTINET, INC.	C2323567	Delaware	899 Kifer Road	Sunnyvale	CA	94086	NASDAQ		
FORTY SEVEN, INC.	C3813906	Delaware	1490 O'Brien Drive, Suite A	Menlo Park	CA	94025	NASDAQ		
FOUNDATION BUILDING MATERIALS, INC.	C3989272	Delaware	2520 Red Hill Avenue	Santa Ana	CA	92705	NYSE	7/14/20	Υ
FOUR CORNERS PROPERTY TRUST, INC.	C3864250	Maryland	591 Redwood Highway, Suite 1150	Mill Valley	CA	94941	NYSE	5/27/20	Υ
FRANKLIN RESOURCES, INC.	C0674833	Delaware	One Franklin Parkway	San Mateo	CA	94403	NYSE	2/10/20	Υ
FULGENT GENETICS, INC.	C3919900	Delaware	4978 Santa Anita Avenue, Suite 205	Temple City	CA	91780	NASDAQ		
GENASYS INC.	C2233129	Delaware	16262 West Bernardo Drive	San Diego	CA	92127	NASDAQ		
GENERAL FINANCE CORPORATION	C2807627	Delaware	39 East Union Street	Pasadena	CA	91103	NASDAQ		
GENIUS BRANDS INTERNATIONAL, INC.	C3484079	Nevada	190 N. Canon, 4th Fl.	Beverly Hills	CA	90210	NASDAQ		
GENMARK DIAGNOSTICS, INC.	C3279235	Delaware	5964 La Place Court	Carlsbad	CA	92008	NASDAQ		
GERON CORPORATION	C1522939	Delaware	149 Commonwealth Drive, Suite 2070	Menlo Park	CA	94025	NASDAQ		
GIGCAPITAL2, INC.	C4325773	Delaware	1731 Embarcadero Rd., Suite 200	Palo Alto	CA	94303	NYSE		
GILEAD SCIENCES, INC.	C1595386	Delaware	333 Lakeside Drive	Foster City	CA	94404	NASDAQ	7/15/20	Υ
GLAUKOS CORPORATION	C2115019	Delaware	229 Avenida Fabricante	San Clemente	CA	92672	NYSE	7/24/20	Y
GLOBAL BLOOD THERAPEUTICS, INC.	C3468736	Delaware	171 Oyster Point Boulevard, Suite 300	South San Francisco	CA	94080	NASDAQ	5/29/20	Υ
GLOBAL EAGLE ENTERTAINMENT INC.	C3532094	Delaware	6080 Center Drive, Suite 1200	Los Angeles	CA	90045	NASDAQ		
GLU MOBILE INC.	C2971060	Delaware	875 Howard Street, Suite 100	San Francisco	CA	94103	NASDAQ	8/4/20	Υ
GOPRO, INC.	C3429146	Delaware	3000 Clearview Way	San Mateo	CA	94402	NASDAQ	1/14/20	Υ
GORES HOLDINGS IV, INC	NOT REGISTERED	Delaware	9800 Wilshire Blvd.	Beverly Hills	CA	90212	NASDAQ		
GORES METROPOULOS, INC.	NOT REGISTERED	Delaware	9800 Wilshire Blvd.	Beverly Hills	CA	90212	NASDAQ		
GOSSAMER BIO, INC.	C4005318	Delaware	3013 Science Park Road	San Diego	CA	92121	NASDAQ	7/15/20	Υ
GREEN DOT CORPORATION	C2326294	Delaware	3465 E. Foothill Blvd.	Pasadena	CA	91107	NYSE	6/16/20	Y
GRITSTONE ONCOLOGY, INC.	C3818146	Delaware	5959 Horton Street, Suite 300	Emeryville	CA	94608	NASDAQ	9/28/20	Y
GROCERY OUTLET HOLDING CORP.	C3841424	Delaware	5650 Hollis Street	Emeryville	CA	94608	NASDAQ		

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GSI TECHNOLOGY, INC.	C2265352	Delaware	1213 Elko Drive	Sunnyvale	CA	94089	NASDAQ	8/24/20	Υ
GUARDANT HEALTH, INC.	C3465645	Delaware	505 Penobscot Dr.	Redwood City	CA	94063	NASDAQ		
GUARDION HEALTH SCIENCES, INC.	C3820951	Delaware	15150 Avenue of Science, Suite 200	San Diego	CA	92128	NASDAQ		
GUESS?, INC.	C1863304	Delaware	1444 South Alameda Street	Los Angeles	CA	90021	NYSE	8/24/20	Υ
GUIDEWIRE SOFTWARE, INC.	C2366122	Delaware	2850 S. Delaware St., Suite 400	San Mateo	CA	94403	NYSE	11/23/20	Υ
HALOZYME THERAPEUTICS, INC.	C3051030	Delaware	11388 Sorrento Valley Road	San Diego	CA	92121	NASDAQ	6/3/20	Y
HANCOCK JAFFE LABORATORIES, INC.	C2877799	Delaware	70 Doppler	Irvine	CA	92618	NASDAQ		
HANMI FINANCIAL CORPORATION	C2236389	Delaware	3660 Wilshire Boulevard, Penthouse Suite A	Los Angeles	CA	90010	NASDAQ		
HARMONIC INC.	C1932085	Delaware	4300 North First Street	San Jose	CA	95134	NASDAQ	10/5/20	Υ
HARPOON THERAPEUTICS, INC.	C3780782	Delaware	131 Oyster Point Blvd, Suite 300	South San Francisco	CA	94080	NASDAQ	5/18/20	Υ
HEALTHPEAK PROPERTIES, INC. (NAME CHANGED FROM HCP, INC. 12-2-19)	C1275142	Maryland	1920 Main Street, Suite 1200	Irvine	CA	92614	NYSE	6/15/20	Y
HENNESSY ADVISORS, INC.	C1632558	California	7250 Redwood Blvd., Suite 200	Novato	CA	94945	NASDAQ	12/28/20	Υ
HERCULES CAPITAL, INC.	C2641589	Maryland	400 Hamilton Avenue, Suite 310	Palo Alto	CA	94301	NYSE		
HERITAGE COMMERCE CORP	C2057891	California	150 Almaden Boulevard	San Jose	CA	95113	NASDAQ	7/6/20	Y
HERON THERAPEUTICS, INC.	C3853048	Delaware	4242 Camous Point Court, Suite 200	San Diego	CA	92121	NASDAQ		
HOPE BANCORP, INC.	C2200033	Delaware	3200 Wilshire Boulevard, Suite 1400	Los Angeles	CA	90010	NASDAQ		
HOULIHAN LOKEY, INC.	C3816767	Delaware	10250 Constellation Blvd., 5th Floor	Los Angeles	CA	90067	NYSE		
HP INC.	C2106969	Delaware	1501 Page Mill Road	Palo Alto	CA	94304	NYSE	3/17/20	Υ
HUDSON PACIFIC PROPERTIES, INC.	C3296736	Maryland	11601 Wilshire Blvd., Ninth Floor	Los Angeles	CA	90025	NYSE		
HYRECAR INC.	C3833792	Delaware	355 South Grand Avenue, Suite 1650	Los Angeles	CA	90071	NASDAQ		
ICHOR HOLDINGS, LTD.	C4291467	Cayman Islands	3185 Laurelview Ct.	Fremont	CA	94538	NASDAQ	5/22/20	Y
ICU MEDICAL, INC.	C1814105	Delaware	951 Calle Amanecer	San Clemente	CA	92673	NASDAQ		
IDEAYA BIOSCIENCES, INC.	C3804093	Delaware	7000 Shoreline Court, Suite 350	South San Francisco	CA	94080	NASDAQ		
IDENTIV, INC.	C2006562	Delaware	2201 Walnut Avenue, Suite 100	Fremont	CA	94538	NASDAQ	7/1/20	Y
IGM BIOSCIENCES, INC.	C2508770	Delaware	325 E. Middlefield Road	Mountain View	CA	94043	NASDAQ		
ILLUMINA, INC.	C2250289	Delaware	200 Illumina Way	San Diego	CA	92122	NASDAQ		
IMMERSION CORPORATION	C2175932	Delaware	50 Rio Robles	San Jose	CA	95134	NASDAQ	6/22/20	Y
IMPAC MORTGAGE HOLDINGS, INC.	C1959663	Maryland	19500 Jamboree Road	Irvine	CA	92612	NYSE-AM	5/19/20	Υ

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INFINERA CORPORATION	C2332209	Delaware	140 Caspian Court	Sunnyvale	CA	94089	NASDAQ	6/3/20	Y
INMUNE BIO INC.	C4065812	Nevada	1200 Prospect Street, Suite 525	La Jolla	CA	92037	NASDAQ		
INNOVIVA, INC.	C2007099	Delaware	1350 Old Bayshore Highway, Suite 400	Burlingame	CA	94010	NASDAQ		
INOGEN, INC.	C2367633	Delaware	326 Bollay Drive	Goleta	CA	93117	NASDAQ	6/19/20	Y
INPHI CORPORATION	C2320168	Delaware	2953 Bunker Hill Lane, Suite 300	Santa Clara	CA	95054	NYSE	1/21/20	Y
INPIXON	C2648177	Nevada	2479 E. Bayshore Road	Palo Alto	CA	94303	NASDAQ		
INTEL CORPORATION	C1636032	Delaware	2200 Mission College Boulevard	Santa Clara	CA	95054	NASDAQ	5/27/20	Y
INTERSECT ENT, INC.	C2561040	Delaware	1555 Adams Drive	Menlo Park	CA	94025	NASDAQ	6/3/20	Y
INTEVAC, INC.	C3007368	Delaware	3560 Bassett Street	Santa Clara	CA	95054	NASDAQ		
INTUIT INC.	C1851241	Delaware	2700 Coast Avenue	Mountain View	CA	94043	NASDAQ		
INTUITIVE SURGICAL, INC.	C1955037	Delaware	1020 Kifer Road	Sunnyvale	CA	94086	NASDAQ	4/7/20	Υ
INVITAE CORPORATION	C3278461	Delaware	1400 16th Street	San Francisco	CA	94103	NYSE		
IONIS PHARMACEUTICALS, INC.	C1684168	Delaware	2855 Gazelle Court	Carlsbad	CA	92010	NASDAQ	5/28/20	Y
IOVANCE BIOTHERAPEUTICS, INC.	C4059549	Delaware	999 Skyway Road, Suite 150	San Carlos	CA	94070	NASDAQ		
IRHYTHM TECHNOLOGIES, INC.	C2930987	Delaware	699 8th Street, Suite 600	San Francisco	CA	94103	NASDAQ		
IRIDEX CORPORATION	C1957676	Delaware	1212 Terra Bella Avenue	Mountain View	CA	94043	NASDAQ		
ITERIS, INC.	C1598600	Delaware	1700 Carnegie Ave.	Santa Ana	CA	92705	NASDAQ		
J2 GLOBAL, INC.	C3707010	Delaware	700 S. Flower Street, 15th Floor	Los Angeles	CA	90017	NASDAQ	5/18/20	Υ
JACK IN THE BOX INC.	C0631563	Delaware	9357 Spectrum Center Blvd.	San Diego	CA	92123	NASDAQ		
JAGUAR HEALTH, INC.	C3627219	Delaware	201 Mission Street, Suite 2375	San Francisco	CA	94105	NASDAQ		
JAKKS PACIFIC, INC.	C1950733	Delaware	2951 28th St.	Santa Monica	CA	90405	NASDAQ		
JUNIPER NETWORKS, INC.	C2059118	Delaware	1133 Innovation Way	Sunnyvale	CA	94089	NYSE		
KAISER ALUMINUM CORPORATION	C1196035	Delaware	27422 Portola Parkway, Suite 200	Foothill Ranch	CA	92610	NASDAQ		
кв номе	C1098054	Delaware	10990 Wilshire Boulevard	Los Angeles	CA	90024	NYSE	4/13/20	Y
KENNEDY-WILSON HOLDINGS, INC.	NOT REGISTERED	Delaware	151 S El Camino Drive	Beverly Hills	CA	90212	NYSE		
KEYSIGHT TECHNOLOGIES, INC.	C3642933	Delaware	1400 Fountaingrove Parkway	Santa Rosa	CA	95403	NYSE	6/19/20	Y
KEZAR LIFE SCIENCES, INC.	C3766493	Delaware	4000 Shoreline Court, Suite 300	South San Francisco	CA	94080	NASDAQ	1/13/20	Υ
KILROY REALTY CORPORATION	C1991841	Maryland	12200 W. Olympic Boulevard, Suite 200	Los Angeles	CA	90064	NYSE	5/22/20	Υ

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KINDRED BIOSCIENCES, INC.	C3520121	Delaware	1555 Bayshore Highway, Suite 200	Burlingame	CA	94010	NASDAQ		
KINTARA THERAPEUTICS, INC.	NOT REGISTERED	Nevada	12707 High Bluff Dr., Suite 200	San Diego	CA	92130	NASDAQ		
KLA CORPORATION	C0818303	Delaware	One Technology Drive	Milpitas	CA	95035	NASDAQ		
KODIAK SCIENCES INC.	C3825945	Delaware	2631 Hanover Street	Palo Alto	CA	94304	NASDAQ	6/5/20	Y
KORN FERRY	C2177682	Delaware	1900 Avenue of the Stars, Suite 2600	Los Angeles	CA	90067	NYSE		
KRATOS DEFENSE & SECURITY SOLUTIONS, INC.	C2040166	Delaware	10680 Treena St., Suite 600	San Diego	CA	92131	NASDAQ		
KURA ONCOLOGY, INC.	C3775624	Delaware	3033 Science Park Road, Suite 220	San Diego	CA	92121	NASDAQ	6/3/20	Y
KURA SUSHI USA, INC.	C4070314	Delaware	17461 Derian Avenue, Suite 200	Irvine	CA	92614	NASDAQ		
LA JOLLA PHARMACEUTICAL COMPANY	C3469945	California	4550 Towne Centre Court	San Diego	CA	92121	NASDAQ		
LAM RESEARCH CORPORATION	C1654186	Delaware	4650 Cushing Parkway	Fremont	CA	94538	NASDAQ	10/12/20	Y
LANDEC CORPORATION	C3167346	Delaware	2811 Airpark Drive	Santa Maria	CA	93455	NASDAQ		
LANTRONIX, INC.	C2081188	Delaware	7535 Irvine Center Drive, Suite 100	Irvine	CA	92618	NASDAQ	11/9/20	Υ
LEAF GROUP LTD.	C2864618	Delaware	1655 26th Street	Santa Monica	CA	90404	NYSE		
LENDINGCLUB CORPORATION	C2936801	Delaware	595 Market Street, Suite 200	San Francisco	CA	94105	NYSE		
LEVI STRAUSS & CO.	C0616995	Delaware	1155 Battery Street	San Francisco	CA	94111	NYSE	4/21/20	Υ
LIGAND PHARMACEUTICALS INCORPORATED	C1598280	Delaware	3911 Sorrento Valley Boulevard, Suite 110	San Diego	CA	92121	NASDAQ		
LIMONEIRA COMPANY	C1670423	Delaware	1141 Cummings Road	Santa Paula	CA	93060	NASDAQ		
LINEAGE CELL THERAPEUTICS, INC. MERGER AND	C1516389	California	2173 Salk Avenue, Suite 200	Carlsbad	CA	92008	NYSE-AM	8/27/20	Y
LIONS GATE ENTERTAINMENT CORP.	C2264540	British Columbia, Canada	2700 Colorado Avenue	Santa Monica	CA	90404	NYSE		
LIVE NATION ENTERTAINMENT, INC.	C3633110	Delaware	9348 Civic Center Drive	Beverly Hills	CA	90210	NYSE		
LIVERAMP HOLDINGS, INC.	C4258000	Delaware	225 Bush Street, Seventeenth Floor	San Francisco	CA	94104	NYSE	7/20/20	Y
LIVEXLIVE MEDIA, INC.	C4070776	Delaware	9200 Sunset Boulevard, Suite #1201	West Hollywood	CA	90069	NASDAQ		
LIVONGO HEALTH, INC.	C3731125	Delaware	150 West Evelyn Avenue, Suite 150	Mountain View	CA	94041	NASDAQ		
LOGITECH INTERNATIONAL S.A.	NOT REGISTERED	Canton of Vaud, Switzerland	7700 Gateway Boulevard	Newark	CA	94560	NASDAQ		
LPL FINANCIAL HOLDINGS INC.	C2860594	Delaware	4707 Executive Drive	San Diego	CA	92121	NASDAQ		
LTC PROPERTIES, INC.	C1712815	Maryland	2829 Townsgate Road, Suite 350	Westlake Village	CA	91361	NYSE	10/13/20	Y
LUMENTUM HOLDINGS INC.	C3996236	Delaware	1001 Ridder Park Drive	San Jose	CA	95131	NASDAQ	, 10, 20	
LUTHER BURBANK CORPORATION	C1518675	California	520 Third St, Fourth Floor	Santa Rosa	CA	95401	NASDAQ	5/1/20	Y

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LYFT, INC.	C3181802	Delaware	185 Berry Street, Suite 5000	San Francisco	CA	94107	NASDAQ	10/29/20	Y
MANNKIND CORPORATION	C2369952	Delaware	30930 Russell Ranch Road, Suite 300	Westlake Village	CA	91362	NASDAQ		
MARCUS & MILLICHAP, INC.	C3614566	Delaware	23975 Park Sorrento, Suite 400	Calabasas	CA	91302	NYSE	6/11/20	Y
MARIN SOFTWARE INCORPORATED	C2894948	Delaware	123 Mission Street, 27th Floor	San Francisco	CA	94105	NASDAQ		
MARRONE BIO INNOVATIONS, INC.	C2886301	Delaware	1540 Drew Avenue	Davis	CA	95618	NASDAQ		
MASIMO CORPORATION	C1968920	Delaware	52 Discovery	Irvine	CA	92618	NASDAQ		
MATTEL, INC.	C0543990	Delaware	333 Continental Blvd.	El Segundo	CA	90245	NASDAQ	5/27/20	Y
MAXIM INTEGRATED PRODUCTS, INC.	C1595022	Delaware	160 Rio Robles	San Jose	CA	95134	NASDAQ		
MAXLINEAR, INC.	C2298702	Delaware	5966 La Place Court, Suite 100	Carlsbad	CA	92008	NYSE	7/7/20	Y
MCGRATH RENTCORP	C0914516	California	5700 Las Positas Road	Livermoore	CA	94551	NASDAQ	7/6/20	Y
MEDALLIA, INC.	C3420432	Delaware	575 Market Street, Suite 1850	San Francisco	CA	94105	NYSE		
MEDICINOVA, INC.	C2321118	Delaware	4275 Executive Square, Suite 300	La Jolla	CA	92037	NASDAQ	5/21/20	Υ
MEI PHARMA, INC.	C3320089	Delaware	11455 El Camino Real	San Diego	CA	92130	NASDAQ	12/8/20	Υ
MENLO THERAPEUTICS INC.	C3539016	Delaware	200 Cardinal Way, 2nd Floor	Redwood City	CA	94063	NASDAQ		
MERCURY GENERAL CORPORATION	C0408367	California	4484 Wilshire Boulevard	Los Angeles	CA	90010	NYSE		
MIRATI THERAPEUTICS, INC.	C3563089	Delaware	9393 Towne Centre Drive, Suite 200	San Diego	CA	92121	NASDAQ	9/3/20	Y
MIRUM PHARMACEUTICALS, INC.	C4149950	Delaware	950 Tower Lane, Suite 1050	Foster City	CA	94404	NASDAQ	8/20/20	Y
MITEK SYSTEMS, INC.	C1597047	Delaware	600 B Street, Suite 100	San Diego	CA	92101	NASDAQ		
MOBILEIRON, INC.	C3048859	Delaware	490 East Middlefield Road	Mountain View	CA	94043	NASDAQ	11/16/20	Υ
MODEL N, INC.	C2236567	Delaware	777 Mariners Island Boulevard, Suite 300	San Mateo	CA	94404	NYSE	2/25/20	Y
MOLINA HEALTHCARE, INC.	C2509877	Delaware	200 Oceangate, Suite 100	Long Beach	CA	90802	NYSE	6/15/20	Υ
MONSTER BEVERAGE CORPORATION	C3888751	Delaware	1 Monster Way	Corona	CA	92879	NASDAQ	7/16/20	Υ
MOSYS, INC.	C2268151	Delaware	2309 Bering Drive	San Jose	CA	95131	NASDAQ	7/20/20	
MOTORCAR PARTS OF AMERICA, INC.	C0785754	NEW YORK	2929 California Street	Torrance	CA	90503	NASDAQ		
MYOKARDIA, INC.	C3481136	Delaware	1000 Sierra Point Parkwa	Brisbane	CA	94005	NASDAQ	6/15/20	Υ
NANTHEALTH, INC.	C3911703	Delaware	9920 Jefferson Blvd.	Culver City	CA	90232	NASDAQ		
NANTKWEST, INC.	C3787824	Delaware	3530 John Hopkins Court	San Diego	CA	92121	NASDAQ		
NATERA, INC.	C2966490	Delaware	201 Industrial Road, Suite 410	San Carlos	CA	94070	NASDAQ		

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NATURAL ALTERNATIVES INTERNATIONAL, INC.	C1675072	Delaware	1535 Faraday Ave	Carlsbad	CA	92008	NASDAQ	4/16/20	Y
NATUS MEDICAL INCORPORATED	C2257288	Delaware	6701 Koll Center Parkway, Suite 120	Pleasonton	CA	94566	NASDAQ	7/1/20	Y
NEBULA ACQUISITION CORPORATION	C4301583	Delaware	Four Embarcadero Center, Suite 2350	San Francisco	CA	94111	NASDAQ		
NEKTAR THERAPEUTICS	C2111361	Delaware	55 Mission Bay Boulevard South	San Francisco	CA	94158	NASDAQ	6/5/20	Υ
NEOPHOTONICS CORPORATION	C1995909	Delaware	3081 Zanker Road	San Jose	CA	95134	NYSE	5/4/20	Y
NETAPP, INC.	C2370651	Delaware	1395 Crossman Avenue	Sunnyvale	CA	94089	NASDAQ		
NETFLIX, INC.	C2061762	Delaware	100 Winchester Circle	Los Gatos	CA	95032	NASDAQ		
NETGEAR, INC.	C1962674	Delaware	350 East Plumeria Drive	San Jose	CA	95134	NASDAQ	7/6/20	Υ
NETSOL TECHNOLOGIES, INC.	C1907558	Nevada	23975 Park Sorrento, Suite 250	Calabasas	CA	91302	NASDAQ	11/13/20	Υ
NEUROCRINE BIOSCIENCES, INC.	C1970205	Delaware	12780 El Camino Real	San Diego	CA	92130	NASDAQ		
NEVRO CORP.	C2930363	Delaware	1800 Bridge Parkway	Redwood City	CA	94065	NYSE		
NEW RELIC, INC.	C3088981	Delaware	188 Spear Street, Suite 1000	San Francisco	CA	94105	NYSE	8/26/20	Y
NEXTGEN HEALTHCARE, INC.	C0706809	California	18111 Von Karman Avenue, Suite 800	Irvine	CA	92612	NASDAQ		
NGM BIOPHARMACEUTICALS, INC.	C3062460	Delaware	333 Oyster Point Boulevard	South San Francisco	CA	94080	NASDAQ		
NMI HOLDINGS, INC.	C3537926	Delaware	2100 Powell Street	Emeryville	CA	94608	NASDAQ		
NOVA LIFESTYLE, INC.	C3411269	Nevada	6565 E. Washington Blvd.	Commerce	CA	90040	NASDAQ		
NOVABAY PHARMACEUTICALS, INC.	C3299276	Delaware	2000 Powell Street, Suite 1150	Emeryville	CA	94608	NYSE-AM		
NOVUS THERAPEUTICS, INC.	C4024558	Delaware	19900 MacArthur Boulevard, Suite 550	Irvine	CA	92612	NASDAQ		
NTN BUZZTIME, INC.	C1276982	Delaware	1800 Aston Avenue, Suite 100	Carlsbad	CA	92008	NYSE-AM	9/11/20	Υ
NUTANIX, INC.	C3263363	Delaware	1740 Technology Drive, Suite 150	San Jose	CA	95110	NASDAQ		
NUVASIVE, INC.	C2037478	Delaware	7475 Lusk Boulevard	San Diego	CA	92121	NASDAQ		
NVIDIA CORPORATION	C2083071	Delaware	2788 San Tomas Expressway	Santa Clara	CA	95051	NASDAQ		
OAK VALLEY BANCORP	C2953598	California	125 North Third Avenue	Oakdale	CA	95361	NASDAQ		
OAKTREE ACQUISITION CORP.	NOT REGISTERED	Cayman Islands	333 South Grand Avenue	Los Angeles	CA	90071	NYSE		
OAKTREE SPECIALTY LENDING CORPORATION	C3088784	Delaware	333 South Grand Avenue, 28th Floor	Los Angeles	CA	90071	NASDAQ		
OAKTREE STRATEGIC INCOME CORPORATION	C4095519	Delaware	333 South Grand Avenue, 28th Floor	Los Angeles	CA	90071	NASDAQ		
OBALON THERAPEUTICS, INC.	C3063585	Delaware	5421 Avenida Encinas, Suite F	Carlsbad	CA	92008	NASDAQ		
ODONATE THERAPEUTICS, INC.	C4088319	Delaware	4747 Executive Drive, Suite 510	San Diego	CA	92121	NASDAQ		

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OKTA, INC.	C3287355	Delaware	100 First Street, Suite 600	San Francisco	CA	94105	NASDAQ	6/26/20	Υ
OMNICELL, INC.	C2231215	Delaware	590 East Middlefield Road	Mountain View	CA	94043	NASDAQ	4/6/20	Y
ONCOCYTE CORPORATION	C3231738	California	15 Cushing	Irvine	CA	92618	NYSE-AM	4/13/20	Y
ONCOSEC MEDICAL INCORPORATED	C3383517	Nevada	3565 General Atomics Court, Suite 100	San Diego	CA	92121	NASDAQ		
ONCTERNAL THERAPEUTICS, INC.	C4286626	Delaware	12230 El Camino Real, Suite 300	San Diego	CA	92130	NASDAQ	7/20/20	Y
ONE STOP SYSTEMS, INC.	C4099397	Delaware	2235 Enterprise Street #110	Escondido	CA	92029	NASDAQ		
OOMA, INC.	C2642676	Delaware	525 Almanor Avenue, Suite 200	Sunnyvale	CA	94085	NYSE	6/9/20	Y
OP BANCORP	C3887789	California	1000 Wilshire Blvd., Suite 500	Los Angeles	CA	90017	NASDAQ	10/28/20	Υ
OPIANT PHARMACEUTICALS, INC.	C3877903	Delaware	233 Wilshire Blvd., Suite 280	Santa Monica	CA	90401	NASDAQ		
OPORTUN FINANCIAL CORPORATION	C3411782	Delaware	2 Circle Star Way	San Carlos	CA	94070	NASDAQ		
ORACLE CORPORATION	C2973001	Delaware	500 Oracle Parkway	Redwood City	CA	94065	NYSE	10/16/20	Y
ORGANOVO HOLDINGS, INC.	C3590238	Delaware	440 Stevens Ave, Suite 200	Solana Beach	CA	92075	NASDAQ		
OSI SYSTEMS, INC.	C3278247	Delaware	12525 Chadron Avenue	Hawthorne	CA	90250	NASDAQ	11/23/20	Υ
отолому, ілс.	C3162672	Delaware	4796 Executive Drive	San Diego	CA	92121	NASDAQ		
PACIFIC BIOSCIENCES OF CALIFORNIA, INC.	C2657056	Delaware	1305 O'Brien Drive	Menlo Park	CA	94025	NASDAQ	6/9/20	Υ
PACIFIC ETHANOL, INC.	C2741932	Delaware	400 Capitol Mall, Suite 2060	Sacramento	CA	95814	NASDAQ	4/3/20	Υ
PACIFIC MERCANTILE BANCORP	C2207953	California	949 South Coast Drive, Suite 300	Costa Mesa	CA	92626	NASDAQ	6/10/20	Υ
PACIFIC PREMIER BANCORP, INC.	C2001753	Delaware	17901 Von Karman Avenue, Suite 1200	Irvine	CA	92614	NASDAQ	6/22/20	Υ
PACWEST BANCORP	C3098313	Delaware	9701 Wilshire Blvd., Suite 700	Beverly Hills	CA	90212	NASDAQ	5/28/20	Υ
PAGERDUTY, INC.	C3331140	Delaware	600 Townsend St., Suite 200	San Francisco	CA	94103	NYSE		
PALO ALTO NETWORKS, INC.	C2727491	Delaware	3000 Tannery Way	Santa Clara	CA	95054	NYSE	12/24/20	Υ
PALOMAR HOLDINGS, INC.	C4252176	Delaware	7979 Ivanhoe Avenue, Suite 500	La Jolla	CA	92037	NASDAQ		
PATTERN ENERGY GROUP INC.	C3605999	Delaware	1088 Sansome Street	San Francisco	CA	94111	NASDAQ		
PAYPAL HOLDINGS, INC.	C3842984	Delaware	2211 North First Street	San Jose	CA	95131	NASDAQ	5/27/20	Υ
PCB BANCORP	C2972741	California	3701 Wilshire Boulevard, Suite 900	Los Angeles	CA	90010	NASDAQ		
PDF SOLUTIONS, INC.	C2351901	Delaware	2858 De La Cruz Blvd.	Santa Clara	CA	95050	NASDAQ	7/1/20	Υ
PENNYMAC FINANCIAL SERVICES, INC.	C4211996	Delaware	3043 Townsgate Road	Westlake Village	CA	91361	NYSE	5/29/20	Υ
PENUMBRA, INC.	C2667565	Delaware	One Penumbra Place	Alameda	CA	94502	NYSE	8/3/20	Υ

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PERFORMANT FINANCIAL CORPORATION	C3748007	Delaware	333 North Canyons Parkway	Livermore	CA	94551	NASDAQ	7/1/20	Υ
PERSONALIS, INC.	C3364711	Delaware	1330 O'Brien Drive	Menlo Park	CA	94025	NASDAQ		
PFENEX INC.	C3260954	Delaware	10790 Roselle Street	San Diego	CA	92121	NYSE-AM	7/14/20	Y
PG&E CORPORATION	C1953580	California	77 Beale Street	San Francisco	CA	94117	NYSE	6/11/20	Y
PINTEREST, INC.	C3216437	Delaware	505 Brannan Street	San Francisco	CA	94107	NYSE	5/6/20	Υ
PIXELWORKS, INC	C2331032	Oregon	226 Airport Parkway, Suite 595	San Jose	CA	95110	NASDAQ	5/14/20	Υ
PLANTRONICS, INC.	C1641071	Delaware	345 Encinal Street	Santa Cruz	CA	95060	NYSE		
PLUMAS BANCORP	C2373764	California	35 S. Lindan Avenue	Quincy	CA	95971	NASDAQ	4/29/20	Υ
POLAR POWER, INC.	C4082155	Delaware	249 E. Gardena Blvd.	Gardena	CA	90248	NASDAQ		
PORTOLA PHARMACEUTICALS, INC.	C2553869	Delaware	270 E. Grand Avenue	South San Francisco	CA	94080	NASDAQ	5/19/20	Υ
POWER INTEGRATIONS, INC.	C2033289	Delaware	5245 Hellyer Avenue	San Jose	CA	95138	NASDAQ	6/29/20	Υ
PRICESMART, INC.	C1911113	Delaware	9740 Scranton Road	San Diego	CA	92121	NASDAQ	1/27/20	Υ
PRINCIPIA BIOPHARMA INC.	C3166531	Delaware	220 East Grand Avenue	South San Francisco	CA	94080	NASDAQ		
PRO-DEX, INC.	C2405853	Colorado	2361 McGaw Avenue	Irvine	CA	92614	NASDAQ		
PROLOGIS, INC.	C2061707	Maryland	Pier 1, Bay 1	San Francisco	CA	94111	NYSE	6/30/20	Υ
PROOFPOINT, INC.	C2422106	Delaware	892 Ross Drive	Sunnyvale	CA	94089	NASDAQ	5/29/20	Υ
PROTAGONIST THERAPEUTICS, INC.	C3107553	Delaware	7707 Gateway Boulevard, Suite 140	Newark	CA	94560	NASDAQ		
PROVIDENT FINANCIAL HOLDINGS, INC.	C1958076	Delaware	3756 Central Avenue	Riverside	CA	92506	NASDAQ		
PS BUSINESS PARKS, INC.	C1666951	California	701 Western Avenue	Glendale	CA	91201	NYSE	5/26/20	Υ
PULSE BIOSCIENCES, INC.	C4163293	Delaware	3957 Point Eden Way	Hayward	CA	94545	NASDAQ		
PUMA BIOTECHNOLOGY, INC.	C3414596	Delaware	10880 Wilshire Boulevard, Suite 2150	Los Angeles	CA	90024	NASDAQ		
PURE STORAGE, INC.	C3111153	Delaware	650 Castro Street, Suite 400	Mountain View	CA	94041	NYSE	11/12/20	Y
QAD INC.	C1988199	Delaware	100 Innovation Place	Santa Barbara	CA	93108	NASDAQ		
QUALCOMM INCORPORATED	C1520131	Delaware	5775 Morehouse Dr	San Diego	CA	92121	NASDAQ	3/16/20	Y
QUALSTAR CORPORATION	C1253420	California	1267 Flynn Road	Camarillo	CA	93012	NASDAQ		
QUALYS, INC.	C2219004	Delaware	919 E. Hillsdale Boulevard, 4th Floor	Foster City	CA	94404	NASDAQ		
QUANTUM CORPORATION	C1586442	Delaware	224 Airport Parkway, Suite 550	San Jose	CA	95110	NASDAQ	8/28/20	Υ
QUICKLOGIC CORPORATION	C2176803	Delaware	2220 Lundy Avenue	San Jose	CA	95131	NASDAQ		

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QUIDEL CORPORATION	C1591679	Delaware	9975 Summers Ridge Road	San Diego	CA	92121	NASDAQ	6/5/20	Υ
QUINSTREET, INC.	C3114850	Delaware	950 Tower Lane, 6th Floor	Foster City	CA	94404	NASDAQ	12/30/20	Υ
QUOTIENT TECHNOLOGY INC.	C3217461	Delaware	400 Logue Avenue	Mountain View	CA	94043	NYSE	6/19/20	Υ
RA MEDICAL SYSTEMS, INC.	C4181125	Delaware	2070 Las Palmas Drive	Carlsbad	CA	92011	NYSE		
RADNET, INC.	C3160583	Delaware	1510 Cotner Avenue	Los Angeles	CA	90025	NASDAQ		
RAMBUS INC.	C2004351	Delaware	1050 Enterprise Way, Suite 700	Sunnyvale	CA	94089	NASDAQ		
RAPT THERAPEUTICS, INC.	C3762236	Delaware	561 Eccles Avenue	South San Francisco	CA	94080	NASDAQ		
RBB BANCORP	C3301719	California	1055 Wilshire Blvd., 12th floor	Los Angeles	CA	90017	NASDAQ	6/3/20	Υ
READING INTERNATIONAL, INC.	C2810622	Nevada	5995 Sepulveda Boulevard, Suite 300	Culver City	CA	90230	NASDAQ		
REALTY INCOME CORPORATION	C2012840	Maryland	11995 El Camino Real	San Diego	CA	92130	NYSE		
REDWOOD TRUST, INC.	C1887272	Maryland	One Belvedere Place, Suite 300	Mill Valley	CA	94941	NYSE		
REGULUS THERAPEUTICS INC.	C3210921	Delaware	10628 Science Center Drive, Suite 225	San Diego	CA	92121	NASDAQ		
RELIANCE STEEL & ALUMINUM CO.	C3795238	Delaware	350 South Grand Avenue, Suite 5100	Los Angeles	CA	90071	NYSE	7/8/20	Υ
RESMED INC.	C2477304	Delaware	9001 Spectrum Center Blvd.	San Diego	CA	92123	NYSE	12/17/20	Υ
RESONANT INC.	C3576274	Delaware	175 Cremona Drive, Suite 200	Goleta	CA	93117	NASDAQ		
RESOURCES CONNECTION, INC.	C2159297	Delaware	17101 Armstrong Avenue	Irvine	CA	92614	NASDAQ	11/17/20	Υ
RETAIL OPPORTUNITY INVESTMENTS CORP.	C3503816	Maryland	11250 El Camino Real	San Diego	CA	92130	NASDAQ	5/28/20	Υ
RETROPHIN, INC.	C3617121	Delaware	3721 Valley Centre Drive, Suite 200	San Diego	CA	92130	NASDAQ	12/30/20	Υ
REVANCE THERAPEUTICS, INC.	C2420423	Delaware	7555 Gateway Boulevard	Newark	CA	94560	NASDAQ		
REVOLUTION MEDICINES, INC.	C3727427	Delaware	700 Saginaw Drive	Redwood City	CA	94063	NASDAQ		
REVOLVE GROUP, INC.	C4292373	Delaware	12889 Moore Street	Cerritos	CA	90703	NYSE		
REXFORD INDUSTRIAL REALTY, INC.	C3577388	Maryland	11620 Wilshire Boulevard, Suite 1000	Los Angeles	CA	90025	NYSE	6/5/20	Y
RF INDUSTRIES, LTD.	C1002573	Nevada	7610 Miramar Road, Bldg. 6000	San Diego	CA	92126	NASDAQ		
RH	C3566976	Delaware	15 Koch Road	Corte Madera	CA	94925	NYSE	7/13/20	Υ
RIGEL PHARMACEUTICALS, INC.	C1975394	Delaware	1180 Veterans Blvd.	South San Francisco	CA	94080	NASDAQ		
RINGCENTRAL, INC.	C3608475	Delaware	20 Davis Drive	Belmont	CA	94002	NYSE	7/9/20	Υ
RITTER PHARMACEUTICALS, INC.	C3161981	Delaware	1880 Century Park East, Suite 1000	Los Angeles	CA	90067	NASDAQ	8/28/20	Υ
ROBERT HALF INTERNATIONAL INC.	C0971792	Delaware	2884 Sand Hill Road	Menlo Park	CA	94025	NYSE	5/13/20	Υ

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ROKU, INC.	C3089185	Delaware	150 Winchester Circle	Los Gatos	CA	95032	NASDAQ	5/27/20	Υ
ROSS STORES, INC.	C1642535	Delaware	5130 Hacienda Drive	Dublin	CA	94568	NASDAQ	6/22/20	Υ
SABRA HEALTH CARE REIT, INC.	C3322907	Maryland	18500 Von Karman Avenue, Suite 550	Irvine	CA	92612	NASDAQ	5/18/20	Υ
SALEM MEDIA GROUP, INC.	C2156356	Delaware	4880 SANTA ROSA ROAD	Camarillo	CA	93012	NASDAQ		
SALESFORCE.COM, INC.	C2167918	Delaware	415 Mission Street, 3rd Fl	San Francisco	CA	94105	NYSE		
SANGAMO THERAPEUTICS, INC.	C1965794	Delaware	7000 Marina Blvd.	Brisbane	CA	94005	NASDAQ		
SANMINA CORPORATION	C1682722	Delaware	2700 N. First St.	San Jose	CA	95134	NASDAQ	2/20/20	Υ
SATSUMA PHARMACEUTICALS, INC.	C3936323	Delaware	400 Oyster Point Boulevard, Suite 221	South San Francisco	CA	94080	NASDAQ		
SEASPINE HOLDINGS CORPORATION	C3857640	Delaware	5770 Armada Drive	Carlsbad	CA	92008	NASDAQ	11/23/20	Υ
SECOND SIGHT MEDICAL PRODUCTS, INC.	C2536744	California	12744 San Fernando Road, Suite 400	Sylmar	CA	91342	NASDAQ	9/16/20	
SEMPRA ENERGY	C1991515	California	488 8th Avenue	San Diego	CA	92101	NYSE	5/26/20	Y
SEMTECH CORPORATION	C0407621	Delaware	200 Flynn Road	Camarillo	CA	93012	NASDAQ	9/17/20	Υ
SERVICENOW, INC.	C3479942	Delaware	2225 Lawson Lane	Santa Clara	CA	95054	NYSE		
SHOCKWAVE MEDICAL, INC.	C3211725	Delaware	5403 Betsy Ross Drive	Santa Clara	CA	95054	NASDAQ	10/15/20	Υ
SHOTSPOTTER, INC.	C2719913	Delaware	7979 Gateway Blvd., Suite 210	Newark	CA	94560	NASDAQ		
SI-BONE, INC.	C3098114	Delaware	471 El Camino Real, Suite 101	Santa Clara	CA	95050	NASDAQ	5/19/20	Υ
SIENTRA, INC.	C2978119	Delaware	420 South Fairview Avenue, Suite 200	Santa Barbara	CA	93117	NASDAQ		
SIERRA BANCORP	C2320190	California	86 North Main Street	Porterville	CA	93257	NASDAQ		
SILK ROAD MEDICAL, INC.	C2997478	Delaware	1213 Innsbruck Dr.	Sunnyvale	CA	94089	NASDAQ	4/24/20	Υ
SILVERGATE CAPITAL CORPORATION	C2226838	Maryland	4250 Executive Square, Suite 300	La Jolla	CA	92037	NYSE		
SIMPSON MANUFACTURING CO., INC.	C2161430	Delaware	5956 W. Las Positas Blvd.	NYSE	CA	94588	NYSE		
SIMULATIONS PLUS, INC.	C1787402	California	42505 Tenth Street West	Lancaster	CA	93534	NASDAQ	3/9/20	Υ
SITIME CORPORATION	C2576158	Delaware	5451 Patrick Henry Drive	Santa Clara	CA	95054	NASDAQ	5/26/20	Υ
SJW GROUP	C3809193	Delaware	110 West Taylor Street	San Jose	CA	95110	NYSE	7/7/20	Υ
SKECHERS U.S.A., INC.	C2163448	Delaware	228 Manhattan Beach Blvd	Manhattan Beach	CA	90266	NYSE	6/4/20	Υ
SKYWORKS SOLUTIONS, INC.	C2263427	Delaware	5260 California Avenue	Irvine	CA	92617	NASDAQ	5/11/20	Υ
SLACK TECHNOLOGIES, INC.	C3191734	Delaware	500 Howard Street	San Francisco	CA	94105	NYSE		
SNAP INC.	C3475311	Delaware	2772 Donald Douglas Loop North	Santa Monica	CA	90405	NYSE	2/25/20	Υ

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SOCKET MOBILE, INC.	C1934634	Delaware	39700 Eureka Drive	Newark	CA	94560	NASDAQ		
SOLENO THERAPEUTICS, INC.	C2178585	Delaware	203 Redwood Shores Parkway, Suite 500	Redwood City	CA	94065	NASDAQ	6/29/20	Υ
SONOS, INC.	C2465272	Delaware	614 Chapala Street	Santa Barbara	CA	93101	NASDAQ	3/9/20	Υ
SORRENTO THERAPEUTICS, INC.	C3263030	Delaware	4955 Directors Place	San Diego	CA	92121	NASDAQ		
SOUTHERN CALIFORNIA EDISON COMPANY	C0057827	California	2245 Walnut Grove Avenue	Rosemead	CA	91770	NYSE-AM	6/17/20	Υ
SPLUNK INC.	C2877351	Delaware	270 Brannan Street	San Francisco	CA	94107	NASDAQ	6/29/20	Υ
SQUARE, INC.	C3222576	Delaware	1455 Market Street, Suite 600	San Francisco	CA	94103	NYSE	5/12/20	Υ
SRAX, INC.	NOT REGISTERED	Delaware	456 Seaton Street	Los Angeles	CA	90013	NASDAQ		
STAAR SURGICAL COMPANY	C1538378	Delaware	25651 Atlantic Ocean Drive	Lake Forest	CA	92630	NASDAQ	7/27/20	Υ
STABLE ROAD ACQUISITION CORP.	C4527016	Delaware	1345 Abbot Kinney Blvd	Venice	CA	90291	NASDAQ		
STAMPS.COM INC.	C2068385	Delaware	1990 E. Grand Avenue	El Segundo	CA	90245	NASDAQ	7/6/20	Υ
STITCH FIX, INC.	C3369548	Delaware	1 Montgomery Street, Suite 1500	San Francisco	CA	94104	NASDAQ	7/20/20	Υ
SUMMIT WIRELESS TECHNOLOGIES, INC.	C4161199	Delaware	6840 Via Del Oro Ste. 280	San Jose	CA	95119	NASDAQ		
SUNESIS PHARMACEUTICALS INC.	C2083988	Delaware	395 Oyster Point Boulevard, Suite 400	South San Francisco	CA	94080	NASDAQ	6/3/20	Υ
SUNPOWER CORPORATION	C2809805	Delaware	51 Rio Robles	San Jose	CA	95134	NASDAQ		
SUNRUN INC.	C3150364	Delaware	225 Bush Street, Suite 1400	San Francisco	CA	94104	NASDAQ		
SUNSTONE HOTEL INVESTORS, INC.	C2677472	Maryland	200 Spectrum Center Drive, 21st Floor	Irvine	CA	92618	NYSE	8/12/20	Υ
SUNWORKS, INC.	C2377264	Delaware	1030 Winding Creek Road, Suite 100	Roseville	CA	95678	NASDAQ		
SUPER LEAGUE GAMING, INC.	C3716233	Delaware	2906 Colorado Ave.	Santa Monica	CA	90404	NASDAQ		
SUPER MICRO COMPUTER, INC.	C2936256	Delaware	980 Rock Avenue	San Jose	CA	95131	NASDAQ		
SUTRO BIOPHARMA, INC.	C2793946	Delaware	310 Utah Avenue, Suite 150	South San Francisco	CA	94080	NASDAQ		
SUTTER ROCK CAPITAL CORP.	NOT REGISTERED	Maryland	One Sansome Street, Suite 730	San Francisco	CA	94104	NASDAQ		
SVB FINANCIAL GROUP	C2163658	Delaware	3003 Tasman Drive	Santa Clara	CA	95054	NASDAQ	5/29/20	Υ
SVMK INC.	NOT REGISTERED	Delaware	One Curiosity Way	San Mateo	CA	94403	NASDAQ		
SYNAPTICS INCORPORATED	C2373271	Delaware	1251 McKay Drive	San Jose	CA	95131	NASDAQ	11/24/20	Y
SYNNEX CORPORATION	C2436132	Delaware	44201 Nobel Drive	Fremont	CA	94538	NYSE	5/18/20	Υ
SYNOPSYS, INC.	C1600966	Delaware	690 East Middlefield Road	Mountain View	CA	94043	NASDAQ	9/1/20	Υ
TAITRON COMPONENTS INCORPORATED	C1654940	California	28040 West Harrison Parkway	Valencia	CA	91355	NASDAQ	6/3/20	Υ

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TANDEM DIABETES CARE, INC.	C3087400	Delaware	11075 Roselle Street	San Diego	CA	92121	NASDAQ	3/16/20	Y
TEJON RANCH CO.	C1593049	Delaware	P.O. Box 1000	Tejon Ranch	CA	93243	NYSE	5/19/20	Y
TELEDYNE TECHNOLOGIES INCORPORATED	C2181837	Delaware	1049 Camino Dos Rios	Thousand Oaks	CA	91360	NYSE	5/18/20	Y
TELENAV, INC.	C3269199	Delaware	4655 Great America Parkway, Suite 300	Santa Clara	CA	95054	NASDAQ		
TERADATA CORPORATION	C1591737	Delaware	17095 Via Del Campo	San Diego	CA	92127	NYSE		
TERRENO REALTY CORPORATION	C3289003	Maryland	101 Montgomery Street, Suite 200	San Francisco	CA	94104	NYSE		
TESLA, INC.	C2550630	Delaware	3500 Deer Creek Road	Palo Alto	CA	94304	NASDAQ	5/29/20	Y
TETRA TECH, INC.	C1609644	Delaware	3475 East Foothill Boulevard	Pasadena	CA	91107	NASDAQ	2/4/20	Y
THE CHARLES SCHWAB CORPORATION	C1579128	Delaware	211 Main Street	San Francisco	CA	94105	NYSE	5/15/20	Υ
THE CHEESECAKE FACTORY INCORPORATED	C1837970	Delaware	26901 Malibu Hills Road	Calabasas Hills	CA	91301	NASDAQ	5/12/20	Υ
THE CLOROX COMPANY	C1542295	Delaware	1221 Broadway	Oakland	CA	94612	NYSE	12/1/20	Υ
THE COOPER COMPANIES, INC.	C0986090	Delaware	6101 Bollinger Canyon Road, Suite 500	San Ramon	CA	94583	NYSE	9/9/20	Y
THE ENSIGN GROUP, INC.	C3454285	Delaware	29222 Rancho Viejo Road, Suite 127	San Juan Capistrano	CA	92675	NASDAQ		
THE GAP, INC.	C1502593	Delaware	Two Folsom Street	San Francisco	CA	94105	NYSE	7/21/20	Y
THE HABIT RESTAURANTS, INC.	C3726876	Delaware	17320 Red Hill Avenue, Suite 140	Irvine	CA	92614	NASDAQ		
THE INTERGROUP CORPORATION	C1298262	Delaware	12121 Wilshire Boulevard, Suite 610	Los Angeles	CA	90025	NASDAQ		
THE MACERICH COMPANY	C1875609	Maryland	401 Wilshire Boulevard, Suite 700	Santa Monica	CA	90401	NYSE	6/18/20	Υ
THE MCCLATCHY COMPANY	C2082443	Delaware	2100 Q Street	Sacramento	CA	95816	NYSE-AM		
THE NEW HOME COMPANY INC.	C3642213	Delaware	85 Enterprise, Suite 450	Aliso Viejo	CA	92656	NYSE	5/28/20	Y
THE REALREAL, INC.	C3365911	Delaware	55 Francisco Street Suite 600	San Francisco	CA	94133	NASDAQ		
THE RUBICON PROJECT, INC.	C2996053	Delaware	12181 Bluff Creek Drive, 4th Floor	Los Angeles	CA	90094	NYSE		
THE TRADE DESK, INC.	C3274824	Delaware	42 N. Chestnut Street	Ventura	CA	93001	NASDAQ		
THE WALT DISNEY COMPANY	C4251867	Delaware	500 South Buena Vista Street	Burbank	CA	91521	NYSE	2/24/20	Υ
THERMOGENESIS HOLDINGS, INC.	C1546467	Delaware	2711 Citrus Road	Rancho Cordova	CA	95742	NASDAQ	12/3/20	Υ
TILLY'S, INC.	C3460789	Delaware	10 Whatney	Irvine	CA	92618	NYSE		
TITAN PHARMACEUTICALS, INC.	C1861340	Delaware	400 Oyster Point Blvd., Suite 505	South San Francisco	CA	94080	NASDAQ		
TIVO CORPORATION	C4045824	Delaware	2160 Gold Street	San Jose	CA	95002	NASDAQ		
TOCAGEN INC.	C3050427	Delaware	4242 Campus Point Court, Suite 600	San Diego	CA	92121	NASDAQ		

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TOUGHBUILT INDUSTRIES, INC.	C3898651	Nevada	25371 Commercentre Drive, Suite 200	Lake Forest	CA	92630	NASDAQ		
TRACON PHARMACEUTICALS, INC.	C2934264	Delaware	4350 La Jolla Village Drive, Suite 800	San Diego	CA	92122	NASDAQ	3/18/20	
TRI POINTE GROUP, INC.	C3807047	Delaware	19540 Jamboree Road, Suite 300	Irvine	CA	92612	NYSE	5/22/20	Y
TRICIDA, INC.	C3591737	Delaware	7000 Shoreline Court, Suite 201	South San Francisco	CA	94080	NASDAQ		
TRICO BANCSHARES	C1092709	California	63 Constitution Drive	Chico	CA	95973	NASDAQ	6/4/20	Υ
TRIMBLE INC.	C3949432	Delaware	935 Stewart Drive	Sunnyvale	CA	94085	NASDAQ		
TRINET GROUP, INC.	C2218641	Delaware	One Park Place, Suite 600	Dublin	CA	94568	NYSE	6/4/20	Υ
TROVAGENE, INC.	C3284505	Delaware	11055 Flintkote Avenue	San Diego	CA	92121	NASDAQ		
TRUECAR, INC.	C2725150	Delaware	120 Broadway, Suite 200	Santa Monica	CA	90401	NASDAQ	6/18/20	Υ
TTM TECHNOLOGIES, INC.	C2790940	Delaware	200 East Sandpointe, Suite 400	Santa Ana	CA	92707	NASDAQ		
TURNING POINT THERAPEUTICS, INC.	C3630097	Delaware	10628 Science Center Drive, Ste. 200	San Diego	CA	92121	NASDAQ		
TURTLE BEACH CORPORATION	C3385219	Nevada	11011 Via Frontera, Suite A/B	San Diego	CA	92127	NASDAQ	5/26/20	Υ
TUTOR PERINI CORPORATION	C0308111	Massachusetts	15901 Olden Street	Sylmar	CA	91342	NYSE	5/29/20	Υ
TWILIO INC.	C3152782	Delaware	101 Spear Street, First Floor	San Francisco	CA	94105	NYSE		
TWIST BIOSCIENCE CORPORATION	C3548142	Delaware	681 Gateway Blvd	South San Francisco	CA	94080	NASDAQ	9/25/20	Y
TWITTER, INC.	C3006676	Delaware	1355 Market Street, Suite 900	San Francisco	CA	94103	NYSE	5/28/20	Υ
U.S. AUTO PARTS NETWORK, INC.	C2856108	Delaware	16941 Keegan Avenue	Carson	CA	90746	NASDAQ		
UBER TECHNOLOGIES, INC.	C3318029	Delaware	1455 Market Street, 4th Floor	San Francisco	CA	94103	NYSE	6/23/20	Y
ULTRA CLEAN HOLDINGS, INC.	C2473734	Delaware	26462 Corporate Avenue	Hayward	CA	94545	NASDAQ		
ULTRAGENYX PHARMACEUTICAL INC.	C3385960	Delaware	60 Leveroni Court	Novato	CA	94949	NASDAQ		
UNICO AMERICAN CORPORATION	C0566375	Nevada	26050 Mureau Road	Calabasas	CA	91302	NASDAQ	7/6/20	Υ
UNITED SECURITY BANCSHARES	C2334169	California	2126 Inyo Street	Fresno	CA	93721	NASDAQ	3/4/20	Υ
UNITY BIOTECHNOLOGY, INC.	C3109089	Delaware	285 East Grand Ave.	South San Francisco	CA	94080	NASDAQ	6/3/20	Υ
UPWORK INC.	C3662600	Delaware	2625 Augustine Drive, Suite 601	Santa Clara	CA	95054	NASDAQ		
VARIAN MEDICAL SYSTEMS, INC.	C0779451	Delaware	3100 Hansen Way	Palo Alto	CA	94304	NYSE	2/18/20	Υ
VAXART, INC.	C4132916	Delaware	385 Oyster Point Boulevard, Suite 9A	South San Francisco	CA	94080	NASDAQ		
VEEVA SYSTEMS INC.	C2943580	Delaware	4280 Hacienda Drive	Pleasonton	CA	94588	NYSE	7/3/20	Υ
VELOCITY FINANCIAL, INC.	C4557279	Delaware	30699 Russell Ranch Road, Suite 295	Westlake Village	CA	91362	NYSE		

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VERACYTE, INC.	C2925200	Delaware	6000 Shoreline Court, Suite 300	South San Francisco	CA	94080	NASDAQ	4/10/20	Υ
VERB TECHNOLOGY COMPANY, INC.	C3678840	Nevada	2210 Newport Boulevard, Suite 200	Newport Beach	CA	92663	NASDAQ	6/19/20	Y
VERITONE, INC.	C3693800	Delaware	575 Anton Blvd., Suite 100	Costa Mesa	CA	92626	NASDAQ	7/28/20	Y
VIASAT, INC.	C1994400	Delaware	6155 El Camino Real	Carlsbad	CA	92009	NASDAQ	9/24/20	Y
VIAVI SOLUTIONS INC.	C1830522	Delaware	6001 America Center Drive	San Jose	CA	95002	NASDAQ		
VIKING THERAPEUTICS, INC.	C3655597	Delaware	12340 El Camino Real, Suite 250	San Diego	CA	92130	NASDAQ	5/11/20	Y
VIR BIOTECHNOLOGY, INC.	C4022288	Delaware	499 Illinois Street, Suite 500	San Francisco	CA	94158	NASDAQ		
VIRCO MFG. CORPORATION	C1251445	Delaware	2027 Harpers Way	Torrance	CA	90501	NASDAQ		
VISA INC.	C3048066	Delaware	One Market Plaza	San Francisco	CA	94105	NYSE	1/30/20	Υ
VISTAGEN THERAPEUTICS, INC.	C2109458	Nevada	343 Allerton Avenue	San Francisco	CA	94080	NASDAQ		
VIVUS, INC.	C1970084	Delaware	900 E. Hamilton Avenue, Suite 550	Campbell	CA	95008	NASDAQ		
VMWARE, INC.	C2069963	Delaware	3401 Hillview Avenue	Palo Alto	CA	94304	NYSE	7/10/20	Y
VOCERA COMMUNICATIONS, INC.	C2221572	Delaware	525 Race Street	San Jose	CA	95126	NYSE	10/26/20	Υ
WD-40 COMPANY	C2048100	Delaware	9715 Businesspark Avenue	San Diego	CA	92131	NASDAQ		
WELLS FARGO & COMPANY	C2160471	Delaware	420 Montgomery Street	San Francisco	CA	94104	NYSE	6/25/20	Υ
WESTAMERICA BANCORPORATION	C0645328	California	1108 Fifth Avenue	San Rafael	CA	94901	NASDAQ	3/16/20	Y
WESTERN ASSET MORTGAGE CAPITAL CORPORATION	NOT REGISTERED	Delaware	385 East Colorado Boulevard	Pasadena	CA	91101	NYSE		
WESTERN DIGITAL CORPORATION	C2338280	Delaware	5601 Great Oaks Parkway	San Jose	CA	95119	NASDAQ	11/12/20	Υ
WILLDAN GROUP, INC.	C2860510	Delaware	2401 East Katella Avenue, Suite 300	Anaheim	CA	92806	NASDAQ	4/13/20	Υ
WILLIAMS-SONOMA, INC.	C3380430	Delaware	3250 Van Ness Avenue	San Francisco	CA	94109	NYSE	8/3/20	Υ
WORKDAY, INC.	C3492613	Delaware	6110 Stoneridge Mall Road	Pleasonton	CA	94588	NASDAQ	7/13/20	Υ
XENCOR, INC.	C2659444	Delaware	111 West Lemon Avenue	Monrovia	CA	91016	NASDAQ	6/15/20	Υ
XILINX, INC.	C1512915	Delaware	2100 Logic Drive	San Jose	CA	95124	NASDAQ		
XOMA CORPORATION	C3438603	Delaware	2200 Powell Street, Suite 310	Emeryville	CA	94608	NASDAQ	6/4/20	Υ
XPERI CORPORATION	C4005625	Delaware	3025 Orchard Parkway	San Jose	CA	95134	NASDAQ		
YELP INC.	C2677032	Delaware	140 New Montgomery Street, 9th Floor	San Francisco	CA	94105	NYSE	6/5/20	Y
ZENDESK, INC.	C3295670	Delaware	1019 Market Street	San Francisco	CA	94103	NYSE	6/3/20	Υ
ZOGENIX, INC.	C2891189	Delaware	5959 Horton Street, Suite 500	Emeryville	CA	94608	NASDAQ		

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ZOOM VIDEO COMMUNICATIONS, INC.	C3404423	Delaware	55 Almaden Boulevard, 6th Floor	San Jose	CA	95113	NASDAQ		
ZOSANO PHARMA CORPORATION	C3695283	Delaware	34790 Ardentech Court	Fremont	CA	94555	NASDAQ	6/17/20	Y
ZSCALER, INC.	C3051392	Delaware	120 Holger Way	San Jose	CA	95134	NASDAQ		
ZUORA, INC.	C2965538	Delaware	101 Redwood Shores Parkway	Redwood City	CA	94065	NYSE	7/1/20	Y
ZYNGA INC.	C3055259	Delaware	699 Eighth Street	San Francisco	CA	94103	NASDAQ		