

ANALYSIS

Consent Agenda – Various Agencies Requests for Approval to Apply for Federal Grants

The following state agencies seek approval or retroactive approval to submit grant applications to various federal agencies. Retroactive approval is necessary for grant applications with deadlines that fell before the submittal dates for the June 2022 meeting of the Emergency Board and for which prior legislative approval had not been obtained. All agencies submitted advance notification to legislative leadership of their intent to apply for the grants as required by the Department of Administrative Services and Legislative Fiscal Office.

Item 2: Oregon Judicial Department – Adult Drug Court

Analyst: John Borden

Request: Approve, retroactively, the submission of a federal grant application to the U.S. Department of Justice - Bureau of Justice Assistance in the amount of \$2,000,000 over a period of four federal fiscal years to improve, expand, and enhance adult drug courts.

Analysis: The Oregon Judicial Department (OJD) requests retroactive approval to submit a federal grant application to the U.S. Department of Justice - Bureau of Justice Assistance for an Adult Drug Court Discretionary Grant. The grant, if awarded, will be used to implement a risk screening tool to pre-screen defendants to expedite the entry of eligible participants into an adult drug court. The grant will also be used to enhance data collection to track recidivism and to improve statewide training and technical assistance offered to adult drug courts by the Office of the State Court Administrator. While the grant will not be used to add new adult drug courts beyond the 28 that already exist, the grant is anticipated to expand the capacity of existing adult drug courts to handle more defendants.

The grant was announced on March 22, 2022 and the application deadline was May 25, 2022. OJD provided timely notice to the Legislature on April 22, 2022. If awarded, the grant will begin October 1, 2022 (2021-23 biennium) and end on September 30, 2026 (2025-27 biennium). The grant provides funding of up to \$531,147 for each of four federal fiscal years.

The grant has a 25% state match requirement, which OJD can meet using budgeted General Fund resources (\$132,787 per federal fiscal year). There is no expectation of continued federal or state funding of the grant beyond the grant period. OJD anticipates hiring three new limited duration positions if grant is awarded.

Item 12: Department of Education – Project AWARE

Analyst: Julie Neburka

Request: Approve, retroactively, the submission of a federal grant application to the U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration (SAMHSA), in the amount of \$5,400,000 over a period of five years, to support the development, implementation, and evaluation of a sustainable infrastructure for school-based mental health, promote healthy social and emotional development of school-aged youth, and prevent youth violence in school settings.

Analysis: On April 29, 2022, the Oregon Department of Education (ODE) applied for a Project AWARE (Advancing Wellness and Resiliency in Education) grant from SAMHSA after timely ten-day notification to the Legislature. The 2022 Project AWARE grant program makes \$5.4 million available for an anticipated three awards of \$1.8 million per year, for up to five years. The purpose of the grant program is to develop a sustainable infrastructure for school-based mental health programs and services.

If awarded, the Department will use the grant funds to continue its Strengthening Mental Health in Education project, started in 2021 with federal Elementary and Secondary School Emergency Relief funds. The project focuses on strengthening mental health knowledge, workforce, and infrastructure in Oregon schools through professional learning and by creating mental health navigators in elementary schools. Project AWARE funding will expand the current four-district pilot program to include middle and high schools, broaden the scope of community collaboration, and allow for more detailed evaluation of the project.

Funds will support up to two new part-time ODE positions starting in 2024 and allow the extension of two existing full-time limited duration positions through 2027, as well as fund subawards to the University of Oregon and to the Oregon Family Support Network. Awards are expected to be announced by August 31, 2022. There is no match requirement.

Item 13: Department of Education – Child Care Policy Research Partnerships

Analyst: Julie Neburka

Request: Approve the submission of a federal grant application to the U.S. Department of Health and Human Services, Administration for Children and Families, Office of Planning, Research and Evaluation in the amount of up to \$1,600,000 over a period of four years to design and conduct research on how state child care policies are implemented into practice, and to measure the effect of state and federal investments on provider engagement in state-funded child care programs.

Analysis: The Child Care Policy Research Partnership Program funds research to support evidence-informed child care policymaking. The 2022 grant program makes \$3.2 million available over a four-year period for up to eight cooperative research agreements. If awarded, the Oregon Department of Education Early Learning Division will continue its research partnership with Oregon State University and with the Oregon Department of Human Services on research into the market price of child care, the supply of child care, and family and provider barriers to participation in the Employment Related Day

Care program. The deadline for grant applications is June 10, 2022. Grants are anticipated to be announced by September 30, 2022. There is no match requirement.

Item 25: Department of State Police – Criminal Background Check System

Analyst: John Terpening

Request: Approve, retroactively, the submission of a federal grant application to the U.S. Department of Justice Bureau of Justice Statistics, in the amount of up to \$2,000,000 over a period of two years, for the national criminal background check system.

Analysis: The Bureau of Justice Statistics (BJS) provides funding to assist states with improving criminal records through the National Instant Criminal Background Check System and its Record Improvement Program. The intent of these grants is to improve the completeness, automation, and transmittal of records to state and federal systems that utilize criminal history data. This includes felony convictions, domestic violence and stalking convictions, drug offense arrests, and convictions and records used to determine background checks for possession of a firearm. At this time, BJS is unable to estimate the number of awards to be made or the maximum amount to be awarded per state. Past awards to Oregon have ranged from \$150,000 to \$2 million.

The grant application deadline was May 26, 2022 with a maximum two-year performance period set to begin October 1, 2022. If awarded, Oregon State Police will use the funds for the continuation of a current position that facilitates criminal records history coordination among contributing agencies, and to continue funding for a vendor that collects court and district attorney court record documents to improve the criminal history repository. There is no state match requirement.

Item 32: Business Development Department – Opal Creek Economic Development

Analyst: Matt Stayner

Request: Approve, retroactively, the submission of a federal grant application to the U.S. Forest Service in the amount of \$13,000,000 to provide grants and loans for economic development benefiting local communities in the vicinity of the Opal Creek Wilderness Area.

Analysis: In 1996, 37,500 acres of federal forestlands were transferred to the Opal Creek Wilderness Area. In conjunction with the land transfer, \$15 million in federal funding was authorized to address economic development for communities near the wilderness area to mitigate the potential loss of timber revenues for those communities. Of these funds, \$2 million was appropriated in federal fiscal year 2022 and provided to the Oregon Business Development Department (OBDD) via Congressionally Directed Spending. The remaining \$13 million is anticipated to be made available in the 2023 federal fiscal year. The funding is intended to support primarily construction-related projects such as trails, pedestrian safety, signage, main street improvements, lighting, and tourism opportunities. Funding is also intended to be used to leverage additional state and federal economic development investments.

OBDD submitted notification to the Legislature of its intent to apply for the funding on March 18, 2022 and submitted its application on March 31, 2022. There are no state matching funds required and no

additional positions or FTE are anticipated to be requested. Projects are estimated to be completed by fall of 2024.

Item 40: Department of Land Conservation and Development – Mass Timber Research

Analyst: Doug Wilson

Request: Approve, retroactively, the submission of a federal grant application to the U.S. Economic Development Administration in the amount of up to \$500,000 to assist with mitigation of regulatory barriers to the use of mass timber products.

Analysis: The Department of Land Conservation and Development (DLCD) requests retroactive authority to apply for a federal grant of up to \$500,000 from the U.S. Economic Development Administration’s (EDA) Build Back Better Regional Challenge program. This is a joint effort by the Oregon Mass Timber Coalition to accelerate the use of mass timber in residential construction. Coalition members include DLCD, Port of Portland, partners in the Tallwood Design Institute (Oregon State University and University of Oregon), and the Department of Forestry. Mass timber refers to engineered wood products such as cross-laminated timber that are glued or otherwise connected into layers which are load bearing.

The grant opportunity is for Phase II funding. DLCD received \$40,000 in Phase I funding as a sub-applicant through the Port of Portland. This funding is being used for contracting with a code consultant to evaluate state and local building and zoning codes for communities impacted by the September 2020 wildfires. If awarded, DLCD will use Phase II funding for outreach and technical assistance to remedy any state and local regulatory barriers to the use of mass timber products in the Phase I communities, and to expand this assistance to additional communities in the state. A temporary project coordinator position will also be hired with Phase II funding. Other members of the Oregon Mass Timber Coalition have also applied for their share of the Phase II funding.

The agency met the 10-day notification requirement for the March 15, 2022 application deadline. DLCD will use existing staff time and resources to meet the matching requirement of \$119,000 General Fund.

Item 45: Department of Agriculture – Climate Smart Commodities

Analyst: John Terpening

Request: Approve, retroactively, the submission of a federal grant application to the U.S. Department of Agriculture (USDA) in an amount up to \$62,000,000 over a period of eight years for Climate Smart Commodities.

Analysis: The USDA has provided a one-time funding opportunity designed to provide direct assistance to commodity producers to implement climate-smart practices on their working lands. The grant funding opportunity defines “climate-smart commodity” as an agricultural commodity that is produced using agricultural practices that reduce greenhouse gas emissions or sequester carbon. Of the estimated \$62 million grant proposal, \$38 million would go directly to producer incentives and verification requirements in the form of sample analysis. Additional funds will be used for consultation related to improving management practices, promotion of commodities, and partnership development.

The Oregon Department of Agriculture (ODA) plans to facilitate and collaborate with stakeholders on market opportunities for Oregon-produced climate-smart commodities as part of the USDA grant. Remaining funds would go toward paying costs for greenhouse gas sensor technology setup and maintenance, analysis equipment, data services, laboratory space, and project management.

The grant opportunity closed May 6, 2022 and ODA provided the required 10-day notice to the Legislature on April 25, 2022. The grant is competitive but has no state match requirement. Of the potential amount, ODA anticipates \$934,000 could be awarded in the current biennium and would be utilized to establish the infrastructure for the Climate Smart Commodities program going forward, including potentially the cost of seven limited duration positions. However, this is only an initial estimate from ODA and is subject to USDA approval, and review and potential modification of the scope of work.

Item 46: Department of Forestry – Forest Restoration Byproduct Commercialization

Analyst: Matt Stayner

Request: Approve, retroactively, the submission of a federal grant application to the U.S. Economic Development Administration in the amount of \$3,250,000 over a period of four years to accelerate forest restoration and provide additional wood fiber to support mass-timber manufacturing.

Analysis: The Department of Forestry (ODF) provided notification to the Legislature on March 9, 2022 of its intent to apply for the funding and subsequently submitted its application to the Economic Development Administration (EDA) on March 15, 2022 in conjunction with a consortium of partners known as the Oregon Mass Timber Coalition, which includes the University of Oregon, Oregon State University, and the Port of Portland. The grant opportunity is Phase 2 of the EDA Build Back Better Regional Challenge. The grant funding requested by ODF will be used to accelerate the pace of federal forest restoration work, providing fiber for mass timber manufacturing through the planning and implementation of forest restoration projects. Additionally, funding will be used for contractual services to track and trace products through the forest products supply chain.

Required state matching funds of \$650,000 will be provided by existing budgeted expenditures for personnel and contracted services. No additional positions or FTE will be required.

Item 54: Department of Geology and Mineral Industries – Cooperating Technical Partners Program

Analyst: John Terpening

Request: Approve the submission of a federal grant application to the Federal Emergency Management Agency (FEMA) in the amount of \$1,017,215 for the Cooperating Technical Partners (CTP) Program.

Analysis: The Department of Geology and Mineral Industries (DOGAMI) requests approval to apply for a federal grant from FEMA to support activities including LIDAR acquisition, geohazard mapping, natural hazard risk assessment, and outreach activities. This is an annual competitive grant offered by FEMA and DOGAMI has received an award since 2008. The grant does not have a match requirement.

The Department has identified the following allocation of potential grant funds:

- \$417,715 for LIDAR acquisition in Jackson County.
- \$253,000 for geohazard mapping in Lane County.
- \$158,400 for landslide inventory in Hood River County.
- \$242,100 for historical flood event database in select counties, updating and publishing of Oregon’s Flood Hazard Database, conducting multi-hazard assessments, and conducting outreach and community engagement.

The grant proposals are due June 14, 2022 and awards are anticipated to be announced by October 2022.

Item 55: Department of Geology and Mineral Industries – National Tsunami Hazard Mitigation Program

Analyst: John Terpening

Request: Approve, retroactively, the submission of a federal grant application to the National Oceanic and Atmospheric Administration (NOAA) in the amount of \$494,331 for the National Tsunami Hazard Mitigation Program.

Analysis: The National Tsunami Hazard Mitigation Program administered by NOAA provides support for maintenance of coastal outreach projects including the Oregon tsunami clearinghouse, “Tsunami Safe” project within the hospitality industry, “Beat the Wave” tsunami evacuation modeling, and local community-wide evacuation drills and documents. The grant will prioritize sustaining support for these outreach efforts, improving access to evacuation guidance, and conducting new evacuation modeling and exposure analysis.

The final grant proposals are due by the end of May 2022 and the grant is expected to be awarded by September 2022. This is an annual grant offered by NOAA that the Department of Geology and Mineral Industries has successfully applied for since 2002. The grant does not require a state match and no additional positions are needed to do this work.

Item 56: Department of Environmental Quality – Air Quality Monitoring

Analyst: John Terpening

Request: Approve, retroactively, the submission of a federal grant application to the Environmental Protection Agency in the amount of \$500,000 over a period of three years to enhance air quality monitoring.

Analysis: The Environmental Protection Agency has designated \$20 million in available American Rescue Plan Act monies to enhance air quality monitoring in and around underserved communities with adverse health outcome disparities. Funds will be awarded to eligible entities to support community and local efforts to monitor air quality for pollutants of greatest concern, like Particulate Matter 2.5 and Diesel Particulate Matter, which poses a health risk in economically disadvantaged communities. The Department of Environmental Quality (DEQ) will partner with local communities and advocacy groups to

lend air quality sensors to monitor and improve local air quality and provide educational materials around air quality and public health.

The application period closed March 25, 2022 and DEQ provided the required 10-day notification letter to the Legislature on March 14, 2022. The Department does not need additional position authority to administer the grant and intends to pass through the majority of funds to local communities or community advocacy groups for implementation.

Item 60: Department of Transportation – Motor Carrier Safety ITD

Analyst: Michelle Deister

Request: Approve, retroactively, the submission of a federal grant application to the Federal Motor Carrier Safety Administration in the amount of \$2,000,000 over a period of two years to implement an information technology solution for an Over-Dimension Permitting System.

Analysis: The Oregon Department of Transportation (ODOT) seeks retroactive approval to apply for the Motor Carrier Safety Assistance Program High Priority Innovative Technology Deployment grant from the Federal Motor Carrier Safety Administration. Grant funding will be used to support work ODOT already has in progress on the Over Dimension Permitting System. This project will allow for streamlined exchange of information and quicker permit issuance for routine loads. The project also allows for future enhancements and cooperation with city and county services. The permitting system project began in June 2020 and is currently awaiting Stage Gate 2 approval from the Department of Administrative Services - Enterprise Information Services and the Legislative Fiscal Office. The total project cost is \$7 million and, if awarded, the grant will free up State Highway Fund dollars currently designated for the project.

ODOT submitted a notice of intent to apply for this grant on March 10, 2022 and the grant application deadline was March 31, 2022. ODOT anticipates the need to hire ten staff on a job rotation for one year to do data cleansing in preparation for the system transfer. If rotational employees cannot be found, the agency would seek to hire temporary or limited duration positions. No matching funds are required.

Item 67: Department of Transportation – Rogue Umpqua Scenic Byway

Analyst: Michelle Deister

Request: Approve the submission of a federal grant application to the Federal Highway Administration (FHWA) in the amount of \$360,000 over a period of four years to update the Rogue Umpqua National Scenic Byway Corridor Management Plan.

Analysis: If awarded, funds provided by the FHWA National Scenic Byway Program grant will be used to update the Rogue Umpqua National Scenic Byway Corridor Management Plan to account for changes in conditions due to fires that destroyed recreation sites, trails, scenic viewsheds and other infrastructure. The plan will facilitate collaborative decisions on replacement and/or relocation of facilities, signage, and access points, as well as planning to restore viewsheds in damaged areas.

The grant application is due June 20, 2022. Matching funds in the amount of \$90,000 would come from U.S. Forest Service wildfire recovery funds of the Federal Lands Planning program. No additional positions are anticipated to be needed for the project.

Item 73: Bureau of Labor and Industries – Apprenticeship Programs

Analyst: Ben Ruef

Request: Approve, retroactively, the submission of a federal grant application to the U.S. Department of Labor in the amount of \$4,000,000 over a period of four years to expand the number of registered apprenticeship programs and apprentices across the state.

Analysis: The Bureau of Labor and Industries (BOLI) received notification from the U.S. Department of Labor, Employment and Training Administration regarding Apprenticeship Building America (ABA) Grant funding on February 23, 2022. The purpose of the grant is to expand the number of registered apprenticeship programs and apprentices across the state, diversify the industries that utilize registered apprenticeships and increase access to, and completion of, apprenticeship training for underrepresented populations and underserved communities.

BOLI will use grant funds to work with contractors who will develop and expand at least nine Registered Apprenticeship Programs (RAPs) in emerging apprenticeship industries and at least seven Pre-Apprenticeship Training Programs (PATPs). Of these, at least one RAP will be in each of the emerging apprenticeship industries of manufacturing, healthcare, childcare, and IT, and one PATP will be developed for the healthcare industry. In addition, BOLI will work with contractors to apply best practices and assistance related to outreach, recruitment, and retention of underrepresented populations and underserved communities.

The application deadline was April 25, 2022 and BOLI submitted a notice of intent to the Legislature on April 12, 2022. The grant is anticipated to be awarded by July 1, 2022. BOLI will utilize existing staff and three new limited duration positions established by the Future Ready Oregon initiative authorized by SB 1545 (2022) to administer this grant program. Federal grant funds will extend these limited duration positions for three years. No state match is required.

Item 79: Employment Department – UI Navigation

Analyst: Michelle Deister

Request: Approve, retroactively, the submission of a federal grant application to the U.S. Department of Labor (DOL) in the amount of \$3,000,000 over a period of three years to assist underserved workers in understanding and receiving unemployment insurance benefits and services.

Analysis: If awarded, funds provided by the DOL American Rescue Plan Act Unemployment Insurance Navigator Program will be used to facilitate partnerships with community organizations in order for them to be conduits for supplying underserved populations with information on Unemployment Insurance eligibility, benefits, and application assistance. Information gained from this project would inform future decisions and equity analyses.

The Employment Department submitted a 10-day notice of intent to apply for this grant on March 21, 2022 and applications were due March 31, 2022. No matching funds are required.

Recommendation: The Legislative Fiscal Office recommends that the Emergency Board approve the requests to apply for federal grants, en bloc, with the understanding that if the funds are awarded the agencies will return to the Legislative Assembly for approval of any necessary Federal Funds or Federal Funds as Other Funds expenditure limitation and position authority.



OREGON JUDICIAL DEPARTMENT
Office of the State Court Administrator

May 2, 2022

The Honorable Peter Courtney, Co-Chair
The Honorable Dan Rayfield, Co-Chair
Joint Emergency Board
900 Court Street NE
H-178 State Capitol
Salem, OR 97301

Re: Federal Grant Application BJA Adult Drug Court Discretionary Grant – Retroactive
Approval Request

Dear Co-Chairpersons:

Nature of Request

The Oregon Judicial Department (OJD) requests permission to apply for a \$2,000,000 grant from the Department of Justice's Bureau of Justice Assistance (BJA). The grant was announced on March 22, 2022, and the deadline to submit the grant application is May 25, 2022, which did not allow adequate for an opportunity to make this request at a meeting of the Emergency Board before the deadline.

Background

OJD's Office of the State Court Administrator desires to apply for a Bureau of Justice Assistance (BJA) grant from the Department of Justice to improve, expand, and enhance Oregon's 28 adult drug courts. The priority of the grant application is the procurement and implementation of a risk and needs screening tool that will allow for a fast and unbiased determination for entry into the adult drug court program. This grant will also assist OJD with scaling up program capacity and with collecting data for the purposes of assessing and tracking recidivism through the delivery of training and technical assistance.

OJD intends to use the Risk and Needs Triage (RANT) screening tool, which places defendants in one of four risk and need quadrants to assist in determining appropriate placement in services including eligibility for specialty court. Best practice indicates that programs should have defendants identified as eligible for drug court within 50 days of arrest or incident to begin evaluation or consideration as an accepted participant. Oregon's adult drug courts currently do not meet this timeline, however, this screening tool, additional training, and the technical

assistance provided in this grant is expected to enable OJD's treatment courts to meet best practice.

Technical Assistance: The Center for Court Innovation will partner with OJD as a BJA National Training and Technical Assistance Center (TTA) provider, assigned for State-Based Adult Drug Court TTA funded through the BJA.

The grant, if awarded, is for a period of 48 months, beginning this biennium in October of 2022 and continuing through the 2023-25 biennium and ending in September 2026 (2025-27 biennium). The funding level request for the first year (FY 2022-2023) is for up to \$531,146.83. Similar funding level requests for subsequent years of the grant would follow (through September 30, 2026). There is a state funding match requirement of 25% associated with the grant. OJD proposes to use a percentage of wages and fringe benefit costs of four OJD staff (Statewide Specialty Court Coordinator, Office of General Counsel, Grants Manager, and Grants Officer) along with the cost of office rent and supplies to meet the match obligation. The matching positions, rent, and supplies will be made available from existing budget authority.

Under the grant, OJD would hire two limited duration Analyst 4 positions and a limited duration Management Assistant 2 position to assist with the following:

- A full-time Data Analyst 4, to be recruited in the first quarter of the grant, will support improvements in data collection and data quality in the Specialty Court Case Management System (SCMS), and build equity and referral reports. The data analyst will also create drug court dashboards for the local programs and statewide.
- A Specialty Court Analyst 4, to be recruited in the first quarter, will support implementation of the Statewide RANT Screening tool in 24 judicial district drug courts, and will support courts in meeting best practice standards, and in providing onsite technical assistance for the local districts.
- A full-time Management Assistant 2 will support the Specialty Court Team with administrative tasks and in scheduling the rollout and implementation of the tool.

This grant has been a continuing opportunity from BJA for many years and is expected to be available for renewal at the end of the current grant period. OJD will assess our results throughout the grant and determine the value of continuing this work through a grant renewal.

Action Requested

The Oregon Judicial Department requests that the Joint Emergency Board grant retroactive approval to apply for a federal grant. If awarded, OJD will return at a future meeting of the Joint Emergency Board or appear during legislative session to request an increase in Federal Funds limitation of approximately \$531,146.83 along with the requisite positional authority.

Joint Emergency Board
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May 2, 2022

Legislation Affected

None.

Sincerely,

A handwritten signature in black ink that reads "Nancy J. Cozine". The signature is written in a cursive style with a large, looping initial "N".

Nancy J. Cozine
State Court Administrator

NC:jm/22eNC014jm

ec: Chief Justice Martha L. Walters
Ken Rocco, Principal Legislative Analyst, LFO
George Naughton, Policy and Budget Analyst, CFO-BAM
Amy Miller, Assistant Deputy State Court Administrator, OJD
Danielle Hanson, Statewide Specialty Court Coordinator, OJD
David Moon, Director, BFSB, OJD
Thujee Lhendup, Grants Manager, OJD



Oregon

Kate Brown, Governor



OREGON
DEPARTMENT OF
EDUCATION

Oregon achieves . . . together!

Colt Gill

Director of the Oregon Department of Education

May 2, 2022

Senator Peter Courtney, Co-Chair
Representative Dan Rayfield, Co-Chair
Joint Emergency Board
900 Court Street NE
H-178 State Capitol
Salem, OR 97301

Dear Co-Chairs:

NATURE OF THE REQUEST

The Oregon Department of Education (ODE) respectfully requests retroactive approval to apply for the federal Substance Abuse and Mental Health Services Administration (SAMHSA), Center for Mental Health Services (CMHS), in response to the Project AWARE (Advancing Wellness and Resiliency in Education) request for proposal (RFP). The application for this grant was submitted on April 29, 2022.

AGENCY ACTION

On March 3, 2022, SAMHSA released the Project AWARE RFP, which invites state and local education agencies (SEAs and LEAs, respectively) and others to apply for the Project AWARE award. Funds are intended to support the development, implementation, and evaluation of a sustainable infrastructure for school-based mental health, promote healthy social and emotional development of school-aged youth, and prevent youth violence in school settings. The anticipated award date is August 31, 2022, with a project start date of September 30, 2022. Grant-funded projects can receive up to \$1.8 million in funding per year, up to 5 years, for a maximum award of \$5,400,000. The grant application was due to SAMHSA by May 2, 2022.

The \$5.4 million SMHiE 2.0 Initiative, funded by one-time ESSER-III funds, involves the design, development, implementation, and comprehensive evaluation of two statewide solutions, and one pilot project implemented in four school districts across Oregon. The project focuses on strengthening mental health knowledge, workforce, and infrastructure across three fronts: (1) increased mental health literacy of school communities (administrators, staff, students, families, community partners); (2) credentialed mental health professional learning for school administrators, staff, and community-based organizations; and (3) development of a credentialed mental health-focused school system navigator position (Community Care Specialists) embedded within elementary schools to provide emotional support, mental health triage, and system navigation services to students and families. This pilot project is being implemented in four school

districts selected for their geographic location, diverse student population, and strong connections with local communities. SAMHSA Project AWARE funding will allow for the expansion of this work to: (1) include middle and high schools; (2) staff project coordinators in each district; (3) broaden the scope of community collaboration, engagement, and sustainability planning; and (4) allow for a more detailed evaluation, implementation, and continuous quality improvement process for an additional three years beyond the ESSER III funded initiative.

ODE estimates that this grant will require a maximum of 2 new positions (1.00 FTE) at the State level, and the extension of two existing, limited duration positions (1.00 FTE). The existing, limited duration positions, Mental Health Project Coordinator (PA3) (1.00 FTE) and Mental Health Administrative Specialist (AS2) (1.00 FTE), are currently supported by ESSER III dollars. Project AWARE funds would sustain these positions through the end of September 2027. Two new positions, a Director of Mental Health in Education (PEM-F) (0.50 FTE) to serve as co-Principal Investigator and Project Director, and a Mental Health Research Analyst (RA3) (0.50 FTE) to lead the development of data systems and data collection strategies and manage all data and analytic aspects of the project will be supported from September 2024 through September 2027 with Project AWARE funds. Each of the 4 LEAs are also required to hire a dedicated site Project Coordinator (1.00 FTE each) using these funds. There will also be two sub-awards: University of Oregon collaborators, and Oregon Family Support Network, per the requirements listed in the RFP.

This effort advances the values, goals and mission of ODE and our partner agency, OHA, of reducing behavioral health disparities and ensuring that Oregon's youth, their families, and school staff have access to equitable, strengths-based, trauma- and SEL-informed, high-quality linguistically and culturally responsive mental and behavioral health services.

This initiative is intended to lead to a number of significant outcomes including:

- Increased mental health literacy across the state of Oregon with a focus on youth mental health and substance use.
- Successful acquisition and application of evidence-based/informed mental health principles and practices in school communities in alignment with ODE's Integrated Model of Mental Health (strengths-based, trauma- and SEL-informed, equity-centered).
- Expansion of Oregon's linguistically and culturally responsive school-based mental health and substance use prevention workforce through the development and implementation of an alternative workforce pathway.
- Easier school-based health and mental health system navigation for students and families.
- Earlier identification of youth in need of services and referral to appropriate behavioral health and substance use/abuse supports and interventions.
- Significant improvements in student and staff mental health and well-being.
- School cultures and climates that foster increased care, connection, belonging, and learning.
- Reductions in student suspensions and expulsions, and increases in school attendance.

ACTION REQUESTED

ODE respectfully requests retroactive approval for permission to apply for the grant. There is no financial obligation for ODE for these funds, as no matching funds are required.

The Honorable Senator Peter Courtney, President of the Senate
The Honorable Representative Tina Kotek, Speaker of the House
August 4, 2021
Page 2

LEGISLATION AFFECTED

No legislation will be affected if this request is approved.

Sincerely,

A handwritten signature in blue ink, appearing to read "Colt Gill", with a stylized flourish at the end.

Colt Gill
Agency Director



Confirmation

Thank you for submitting your grant application package via Grants.gov. Your application is currently being processed by the Grants.gov system. Once your submission has been processed, Grants.gov will send email messages to advise you of the progress of your application through the system. Over the next 24 to 48 hours, you should receive two emails. The first will confirm receipt of your application by the Grants.gov system, and the second will indicate that the application has either been successfully validated by the system prior to transmission to the grantor agency or has been rejected due to errors.

Please do not hit the back button on your browser.

If your application is successfully validated and subsequently retrieved by the grantor agency from the Grants.gov system, you will receive an additional email. This email may be delivered several days or weeks from the date of submission, depending on when the grantor agency retrieves it.

You may also monitor the processing status of your submission within the Grants.gov system by clicking on the "Track My Application" link listed at the end of this form.

Note: Once the grantor agency has retrieved your application from Grants.gov, you will need to contact them directly for any subsequent status updates. Grants.gov does not participate in making any award decisions.

IMPORTANT NOTICE: If you do not receive a receipt confirmation and either a validation confirmation or a rejection email message within 48 hours, please contact us. The Grants.gov Contact Center can be reached by email at support@grants.gov, or by telephone at 1-800-518-4726. Always include your Grants.gov tracking number in all correspondence. The tracking numbers issued by Grants.gov look like GRANTXXXXXXXXX.

If you have questions please contact the Grants.gov Contact Center: support@grants.gov
1-800-518-4726 24 hours a day, 7 days a week. Closed on federal holidays.

The following application tracking information was generated by the system:

Grants.gov Tracking Number:	GRANT13607024
UEI:	CENWLTPXH9A1
Submitter's Name:	B Grace M Bullock
CFDA Number:	93.243
CFDA Description:	Substance Abuse and Mental Health Services Projects of Regional and National Significance
Funding Opportunity Number:	SM-22-001
Funding Opportunity Description:	Project AWARE (Advancing Wellness and Resiliency in Education)
Agency Name:	Substance Abuse and Mental Health Services Adminis
Application Name of this Submission:	Oregon Project AWARE
Date/Time of Receipt:	Apr 29, 2022 06:12:24 PM EDT

TRACK MY APPLICATION – To check the status of this application, please click the link below:

https://apply07.grants.gov/apply/spoExit.jsp?p=web/grants/applicants/track-my-application.html&tracking_num=GRANT13607024

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Project Abstract Summary

This Project Abstract Summary form must be submitted or the application will be considered incomplete. Ensure the Project Abstract field succinctly describes the project in plain language that the public can understand and use without the full proposal. Use 4,000 characters or less. Do not include personally identifiable, sensitive or proprietary information. Refer to Agency instructions for any additional Project Abstract field requirements. If the application is funded, your project abstract information (as submitted) will be made available to public websites and/or databases including USAspending.gov.

Funding Opportunity Number

SM-22-001

CFDA(s)

93.243

Applicant Name

Oregon Department of Education

Descriptive Title of Applicant's Project

Oregon Project AWARE: Strengthening Mental Health in Education

Project Abstract

The Strengthening Mental Health in Education Initiative (SMHiE) addresses the considerable need for a robust, community-driven, linguistically, and culturally responsive behavioral health infrastructure in Oregon school communities. The work is guided by Oregon Department of Education's (ODE) Integrated Model of Mental Health (IMMH), which roots mental health promotion, and suicide and substance use prevention efforts in 4 key pillars of practice: (a) strengths-based, (b) trauma-informed, (c) SEL-focused, and (d) equity-centered. The proposed Project AWARE work will augment Oregon's SMHiE Initiative by fortifying state and local project management infrastructure and extending the existing ESSER III-funded 2-year project period to 5 years. Project AWARE resources will support additional community engagement, co-design, and program development, extend the implementation, iterative evaluation, quality improvement and sustainability phases, and provide additional state and local project management capabilities.

The Initiative will serve roughly 560,917 public school students, 70,198 staff, 2,340 administrators, and CBOs that support Oregon's 197 school districts, and consist of 4 primary components: (1) Increasing mental health literacy via an asynchronous, digital learning educational program and accompanying resources; (2) Developing and deploying credentialed, behavioral health courses and professional learning communities; (3) Co-designing and implementing of a Community Care project (CCP) to enhance behavioral health infrastructure in 4 school districts that represent the racial/ethnic, linguistic, geographic, and socio-demographic diversity of Oregon's school communities; and (4) Understanding formative and summative project impact and recommendations for implementation, sustainability, and continuous quality improvement. Implementation efforts will be guided by ODE's Community Engagement Toolkit and the Exploration, Preparation, Implementation, and Sustainment (EPIS) framework. The Engagement Toolkit provides a systematic strategy for engaging communities in the decision-making and co-design processes. The EPIS framework builds on this engagement approach by accounting for and documenting the multilayered and interactive nature of the socioecological context of mental health promotion and suicide prevention across and within Oregon's school districts. This project will also rely heavily on research-practice partnerships (RPP) that promote long-term collaborations among community partners. The RPP approach incorporates research into decision-making so that goals for addressing district needs focus on addressing meaningful problems of practice. RPPs will be applied according to tenants of Design Based Implementation Research (DBIR), where implementation and research teams closely partner with districts and school staff in a co-design process to ensure continuous engagement between researchers and practitioners. Measurable outcomes include increased mental health literacy, better identification of youth needing support, improved service referral, augmented suicide prevention, intervention and postvention efforts, enhanced student and staff health and well-being, school climates embodying belonging, care and connection, fewer disciplinary referrals, and improved academic success.

Project Narrative File(s)

* Mandatory Project Narrative File Filename:

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Section A – Population Focus and Statement of Need

A-1. The Strengthening Mental Health in Education Initiative (SMHiE) addresses the considerable need for a robust, community-driven, behavioral health infrastructure in Oregon school communities. The Initiative, and the proposed augmentation of efforts made possible by the proposed SAMHSA award, involve 3 primary components: (1) Increasing mental health and substance use literacy via a digital learning educational program and accompanying resources; (2) Developing and deploying credentialed, behavioral health courses and professional learning communities (PLCs) for school staff and youth-serving community-based organizations (CBOs); and (3) Co-designing and implementing a Community Care Project (CCP) in 4 school districts. For Project Narrative and Statement of Need references see the Attachment titled “References”.

Components 1 and 2 of the SMHiE will serve approximately 560,917 students (American Indian/Alaskan Native 1%, Asian 4%, Black/African American 2%, Hispanic/Latino 24%, Multiracial 7%, Native Pacific Islander <1%, White 64%), 70,198 staff, 2,340 administrators, and numerous regional youth-serving CBOs that support Oregon’s 197 school districts (Oregon Department of Education, 2020¹). The 4 districts participating in the CCP were selected because of their representation of the racial/ethnic, linguistic, geographic, and socio-demographic diversity of Oregon communities, and their history of strong family and community engagement.

Hillsboro School District (20,269 students) lies within the greater Portland Metro region, with a racially/ethnically diverse student population (American Indian/Alaskan Native 1%, Asian 7%, Black/African American 2%, Hispanic/Latino 38%, Multiracial 7%, Native Pacific Islander 1%, White 45%). Two rural districts, South Lane and Phoenix-Talent (2,804 and 2,578 students, respectively) and one frontier district, Lake County 7 (757 students), represent the central, southern, and eastern regions of the state. In 2021, approximately 15% of families in these rural/frontier districts fell between 138-200% of the federal poverty level, and the average number of mental health providers per 1,000 people was 0.51 compared to the statewide average of 1.25 (Oregon Office of Rural Health, 2021). These districts were also included to ensure that the CCP and resultant behavioral health infrastructure models will be applicable to urban districts, and those that are geographically isolated, have high rates of poverty, or possess few mental health resources.

The racial/ethnic diversity of students and staff in each rural/frontier district is comparable to the state average. 2020 Oregon Student Health Survey data from Hillsboro, South Lane, and Phoenix-Talent school districts² illustrates that approximately 9-20% of students identify their primary language as non-English, with Spanish being most prevalent at 7-12%. Gender identity and sexual orientation data from 8th and 11th grade students suggest that 7-10% of students from each of the three responding districts identify as “Transgender/ nonconforming,” Lesbian/gay (3-4%), Bisexual (13-17%), “something else or don’t know” (14-16%), or Straight (65-70%).

A-2. The mental health of Oregon’s youth consistently ranks at or near the bottom nationally (Reinert et al., 2021). A 2020 Oregon Secretary of State audit found that “Oregon’s behavioral health system for children is in crisis” in large part due to “chronic workforce shortages throughout the mental health system” (Oregon Secretary of State, 2020, p. 2). The impacts of this workforce deficit are readily evident in the state’s 2017-2019 prevalence rates of youth major depression

¹ 2019-2020 enrollment data are included as they are representative of state demographics compared to 2020-2021 data that were impacted by the significant enrollment fluctuations experience in 2020-2021 due to the COVID pandemic.

² The Student Health Survey is optional and includes data from 6th, 8th, and 11th grade students except for gender identity and sexual orientation questions, which are not completed by 6h grade students. The 2020 survey was not administered in Lake County due to capacity limitations.

(18.6%), and substance use (i.e. alcohol [11.1%], marijuana [10.4%], illicit drug [11.4%], and cigarette [4.3%]), that consistently exceed the national average (14.0%, 9.4%, 6.8%, 8.2%, and 2.7%, respectively: SAMHSA, 2020). Emergency visits for suicide and self-inflicted injury are also trending upward (Oregon Office of Rural Health, 2021).

Nationally, 70% of students receive mental health support in schools, making school-based services critically important for meeting youth needs (Rones & Hoagwood, 2000). In Oregon, decades of underfunding and protracted behavioral health workforce shortages, particularly in rural/frontier areas (Oregon Secretary of State, 2020), are reflected in the 4 pilot districts' mental health provider counts, which fall below the state average: Hillsboro at 0.93 providers per 1,000 population, South Lane 0.47, Phoenix-Talent 0.58, and Lake County 0.37 (Oregon Office of Rural Health, 2021). Phoenix-Talent's services were also impacted by 2020 wildfires that destroyed more than 1,500 homes as well as school facilities and local businesses. Most Oregon regions also have few, if any, linguistically and culturally responsive providers, and high levels of discrimination and medical racism (Coalition of Communities of Color, 2022). This points to the need for ongoing investment in multilingual, multicultural, school-based behavioral health workforce development, and a sustained commitment to provide culturally informed training in topics such as empathy, bias/stereotyping, anti-racism, trust-building, understanding cultural values, beliefs, and social histories, and for community-led initiatives that ensure health equity.

Section B – Proposed Implementation Approach

B-1. The SMHiE Initiative is the cornerstone of the implementation of Oregon Department of Education's (ODE) *Integrated Model of Mental Health* (IMMH). This work represents a collaborative effort with the Oregon Health Authority (OHA), local education agencies (LEAs), Education Service Districts (ESDs), community mental health providers, and coordinated care organizations (CCOs) and partners. The model anchors mental health promotion and substance use prevention in 4 pillars of practice: (a) strengths-based, (b) trauma-informed, (c) SEL-focused, and (d) equity-centered. Proposed Project AWARE efforts will extend Oregon's current 2-year, ESSER III-funded SMHiE Initiative to 5 years. Project AWARE resources will support greater community engagement, co-design, and program development, extension of iterative evaluation and quality improvement in each phase of implementation, and more robust state and local project management and sustainability assurance. ODE is seeking funding from the Oregon legislature to permanently support the Initiative beyond the current funding cycle, which will allow for the: (1) expansion of staff and CBO mental health credential programming; (2) scaling and permanent staffing of the Community Care Specialist and Community Care Coordinator workforce; (3) sustainability of training and credentialing efforts and; (4) permanent funding of ODE project staff.

In 2021, ODE began the co-design of the Initiative with a multi-phased needs assessment using input from across the state. ODE hosted virtual sessions and surveyed numerous stakeholders in different sectors, including government partners, Tribal members, ESDs, universities, community organizations, and the Governor's Racial Justice Council and Healthy Schools Reopening Council. ODE used the results from the needs assessment data to define the primary goals for this project:

Goal 1: Increasing statewide mental health, suicide prevention, and substance use literacy and skill acquisition, to increase the application of evidence-based/informed practices, build workforce capacity, and promote staff mental health and well-being.

Goal 2: Developing and deploying credentialed mental health, suicide prevention, and substance use professional learning and learning communities for school staff and CBOs, with a focus on expanding multilingual, multicultural mental health and substance use service capacity.

Goal 3: Community Care Project (CCP): Creating and implementing a linguistically and culturally responsive, community-driven, sustainable school-based mental health workforce infrastructure strategy focused on providing multi-tiered youth and family mental health supports and system navigation, and strengthening family-school partnerships.

Goal 4: Understanding formative/summative project impact and making recommendations for implementation, sustainability, and continuous quality improvement.

Project activities embody the values of ODE and its state and local partners; the co-design and implementation of a system informed by, and developed in partnership with, students, families, staff, administrators, CBOs, county behavioral health and CCOs, school-based mental health professionals, researchers, professional organizations, the Oregon Health Authority (OHA), and recognized experts in multi-tiered youth mental health, and suicide and substance use prevention and intervention systems. Measurable objectives related to each of the project goals include increased mental health literacy, better identification of youth in need, improved referral to services, augmented suicide prevention, intervention, and postvention training and support, enhanced student and staff health and well-being, school climates that promote belonging, care, and connection, health equity, fewer disciplinary referrals, and improved academic success.

B-2. Project Oversight and Collaboration: Implementation efforts will be guided by ODE's Community Engagement Toolkit (Oregon Department of Education, 2021), and the Exploration, Preparation, Implementation, and Sustainment (EPIS) framework, a comprehensive ecological model for grouping essential aspects of systems-level outcomes as internal and external factors along implementation processes (Moullin et al., 2019). The Engagement Toolkit (2021) provides a systematic strategy for involving communities in decision-making and co-design processes. The EPIS framework builds on this engagement approach by accounting for and documenting the multilayered and interactive nature of the socioecological context of mental health promotion and suicide and substance use prevention across and within Oregon's school districts. Both the outer systems (i.e., state sociopolitical and economic contexts that influence implementation) and inner contexts (i.e., organization- and school-specific structures and processes that influence implementation) for each project goal will be delineated throughout implementation planning and program evaluation to balance program fidelity with adaptations to policies, service environments (outer context) and district culture (inner context).

This approach relies on research-practice partnerships (RPP) to bridge outer and inner contexts and promote long-term collaborations among community and district partners. It incorporates research, community and provider input into decision-making so that goals for addressing district needs focus on developing solutions to meaningful problems of practice (Coburn & Penuel, 2016). RPPs will be applied according to tenets of Design Based Implementation Research (DBIR), where implementation and research teams closely partner with districts, school staff, families, and providers in a co-design process to ensure continuous engagement between the implementation and evaluation teams, school communities, and practitioners (Fishman et al., 2013). Each phase addresses the 4 tenets of DBIR: (1) Deciding on a focus for joint work; (2) Organizing the co-design process; (3) Conducting DBIR research; (4) Developing continuous improvement capacity.

Initial Exploration Phase: The exploration phase began in 2021 via virtual sessions with 806 stakeholders across Oregon, including Government-to-Government (Tribal) representatives, educators, district superintendents, charter and private school leaders, advisory board members, professional organization leaders, and the Governor's Racial Justice Council and Healthy Schools Reopening Council, among others. A brief online survey enumerating family mental health needs was also completed by 531 individuals. ODE subsequently convened 45 representatives of 33

distinct groups (Tribal partners, school districts, ESDs, universities, professional and community-based organizations and associations, affinity groups, and others). Qualitative and quantitative data from these inputs were coded by theme, yielding the following priorities: (1) Creating safe and welcoming schools; (2) Providing linguistically and culturally attuned mental health supports; (3) Improving connection and communication between schools and families, particularly in rural areas; (4) Centering equity; (5) Including families in meaningful school-to-family partnerships.

Project AWARE resources will be used to bolster the **exploration phase** during the project's first 3 months, and support the transition into a goal-specific **preparation phase**. This will involve convening 5 Project Advisory Boards (1 state and 4 local), a Credential Advisory Board (CAB), and an Implementation Team. Following DBIR guidelines for joint work (Moullin et al., 2019), the racially and culturally representative State Project Advisory Board (SPAB) will consist of 2 youths from statewide youth-led organizations, CBO partner and staff members from each LEA, Oregon Family Support Network (OFSN) leads, 2 state school mental health leaders, the OHA Director of Health in Education, the ODE and University of Oregon Project team, and at least one representative from each of the following: family member of a student, school administrator, school-based mental health professional, school staff member, and county behavioral health leader. Each group will convene monthly during year 1, quarterly during year 2, and bi-annually in years 3 to 5 to ensure ongoing, iterative organization of the co-design process, and facilitate collaborative problem-solving throughout the phases of implementation. Each district will also have a Local Project Advisory Board (LPAB) with similar local composition, that will meet using a parallel schedule to the SPAB. The SPAB and LPABs will collaborate with the CAB, Project team, and Implementation and Evaluation Teams on the development of the CCP and credentialing process. Information from each of these meetings will be documented by the Evaluation Team, and disseminated with each group in accordance with their regular meeting schedule.

During the goal-specific **preparation phase**, Project years 1 and 2, the SPAB, LPAB, and Implementation and Evaluation Teams will identify potential barriers to, and facilitators of implementation, and co-design a detailed implementation plan using research, evidence and community input. To promote quality during this process, these groups will co-design professional learning content areas, needs and health equity assessments, and system-mapping, evaluation, implementation, and sustainability plans. These outputs will inform goal-specific project activities, capitalize on implementation facilitators and supports (e.g., training, audit, and feedback), and address potential barriers. Together, these efforts will ensure that project goals align with community-driven values, receive sufficient and timely support, and that local engagement occurs throughout the project lifespan. More details regarding these phases are described below.

Goal 1: Mental Health Literacy. In June 2022, ODE will release the Oregon-specific Classroom WISE platform (National Center for School Mental Health and Mental Health Technology Transfer Center [MHTTC] Network Coordinating Office, 2021) statewide, as part of ODE's annual Care and Connection Campaign. The free, digital, asynchronous learning program includes a 16-minute module detailing ODE's Integrated Model of Mental Health and its application, in addition to 6, one-hour, self-paced video modules, and an extensive resource collection addressing mental health promotion, suicide and substance use prevention, and related topics. A Cultural Inclusiveness and Equity WISE companion course will be added later in 2022, and additional modules on substance use prevention, and supporting LGBTQ2SIA+ students are planned for release during year 2 of the Project. Although the content is intended for school staff and administrators, it will be promoted and available to families and culturally specific CBOs, with user-relevant content for each group being clearly identified and linked by user type.

Mental health literacy and workforce capacity will be further augmented in each district via professional learning communities for staff involved in Classroom WISE training. These groups will be adapted from Authentic Connections (AC) Groups; a 12-week peer support intervention, originally developed to foster resilience among mothers facing high stress (Luthar et al., 2007; Luthar & Suchman, 2000). The structured program fosters strong, supportive relationships among participants, and addresses various aspects of relationships, including obstacles to building authentic connections, dealing with anger, and building skills for supporting positive youth development. AC Groups are endorsed by the National Academies of Science, Engineering, & Medicine as an effective low-cost, accessible, and scalable intervention (National Academies of Sciences, Engineering, and Medicine, 2019). Skill acquisition and application will be evaluated using a mixed methods approach, drawing from back-end Classroom WISE user data, informational interviews with participants and facilitators examining perceived effectiveness, participant satisfaction, and other staffing implementation drivers. Data will be used to inform the project's advisory bodies of progress, and guide implementation strategies for Goal 2.

Goal 2: Credentialed Mental Health, Substance Use, and Suicide Prevention Professional Learning. During the first 3 months of the project, we will convene a Credential Advisory Board (CAB) consisting of local higher education partners (i.e., Lane Community College, Oregon Teacher Standards and Practices Commission, and other public universities), school and CBO staff from the SPAB and LPABs, and the Implementation and Evaluation Team. The CAB will identify content areas for credentialed professional learning. Input will be aligned with the needs articulated by the SPAB and LPABs and iterated in a stepwise fashion according to identified priorities. The CAB, SPAB, and LPABs will also collaboratively plan supports for staff (e.g., training, coaching, supervision, audit, and feedback) to ensure that implementation goes as expected, is adequately supported, and is appropriately reinforced. These groups will also identify potential barriers to and facilitators of implementation, assess needs for adaptation, and develop a detailed implementation plan to leverage implementation facilitators and remediate potential barriers.

Goal 3: Community Care Project (CCP). Consistent with the ESSER III SMHiE Initiative timeline, each district will hire their allotment of Community Care Specialists (CCSs) by May 2022 to provide culturally and linguistically responsive mental health support and system navigation to students and families. The inaugural project meeting with district administrators, project leads, and state, university, and CBO project staff will occur in June 2022. This event will affirm state and district project goals and objectives and establish plans for ongoing implementation monitoring and the development of a CCS workforce educational pipeline with partners at Lane Community College. Subsequent annual meetings with the CCSs, SPAB, LPABs, and Implementation Team will be used to assess progress and refine strategies as needed (e.g., sharing engagement strategies, reviewing evaluation and implementation activities).

Between June and August 2022, district leads and CCSs will attend Oregon Classroom WISE and Oregon Family Support Network (OFSN) family support training. Trainings will be scaffolded by weekly, statewide supervision, group learning circles facilitated by ODE, OHA, and OFSN, and AC groups to build community, identify professional learning and supervision needs, pinpoint challenges, and brainstorm solutions. In September 2022, the Evaluation Team will undertake SEA and LEA system mapping, needs assessment, and a behavioral health disparity evaluation. A behavioral health disparity impact report will be delivered by November 2022, followed by a detailed mapping/needs assessment report in January 2023. These documents will inform how the SPAB and LPABs prioritize district youth and family needs. Data will also guide wraparound services and referral pipeline co-design and implementation, to ensure that CCSs and school-based

mental health providers have necessary resources to provide Tier 1 supports or refer youth and families to community-based Tier 2 and Tier 3 providers. They will also help delineate educational priorities for CCSs that will inform the development and implementation of a CCS specialization and related credentialing at community colleges serving the 4 pilot district regions.

The *wraparound approach* to supporting youth and their families is an individualized, intensive care planning and management process, designed to ensure that service referral pathways are relevant to student and families' context. This project will implement wraparound services according to the Wraparound Implementation and Practice Quality Standards (Coldiron et al., 2016), relying on a team of professionals (e.g., CCSs, CCCs, community mental health providers) and natural supports (e.g., families' social support networks) to co-develop a comprehensive, youth- and family-driven plan of care. Service plans routinely include evidence-based interventions, community services, and support from the family's social networks, where teams monitor and revise plans over time.

Wraparound system planning and implementation will occur in conjunction with ODE and OHA's Adi's Act (ORS 339.343) Suicide Prevention, and HB 2497 Section 36 School Safety and Prevention System implementation efforts. Adi's Act requires each school district to adopt a policy outlining their Student Suicide Prevention Plan (SSPP). Comprehensive school district SSPPs compliant with state requirements provide procedural planning, accessibility, and equity-centered supports, as well as a staff training process and protocols that include when and how students and families are referred to appropriate mental health, substance use, and crisis services. Adi's Act implementation is supported by the School Safety and Prevention System (SSPS), which offers a comprehensive school safety and prevention model focused on resilience, racial equity, and access to needed services. The SSPS integrates public health systems through partnership with OHA and county health agencies, and interfaces with public education systems through partnership with ESDs and CBO partners. The SSPS provides a continuum of supports ranging from crisis intervention to curriculum-based universal prevention programs. The wraparound system evaluation for this project will use a mixed methods approach to assess fidelity to wraparound best practices and quality of wraparound implementation.

Goal 4: Understanding formative and summative project impact and recommendations for implementation, sustainability, and continuous quality improvement. During Year 2, the SPAB, LPABs, and Implementation and Evaluation Teams will co-design the project's **sustainment phase**, drawing on artifacts developed throughout the project (e.g., quarterly and annual reports, stakeholder input, and co-designed implementation plans), and building on existing statewide efforts to build a multilingual, multicultural mental health workforce. This process will include thoughtful review of the project's previous implementation phases (i.e., Exploration, Preparation, and Implementation). While sustainability will be an ongoing aspect of implementation planning and program monitoring, this phase of implementation will involve summative assessment of the program's application and stakeholder feedback, with special consideration for adaptations within the identified outer or inner contexts, applied implementation strategies, and implementation feasibility.

Section C: Staff and Organizational Experience

C-1. The proposed work builds on the strong collaborative partnerships between ODE (SEA), OHA (SMHA), the 4 LEAs, the University of Oregon (UO), Lane Community College (LCC), and community partners. ODE and OHA's joint work is organized via a 2021 Memorandum of Understanding (MOU) that codifies agency partnership in alignment with ODE's Integrated Model

of Mental Health (IMMH). This relationship, and related efforts such as the ESSER III-funded SMHiE Initiative, encompasses close coordination in the development, implementation and evaluation of school- and community-based mental health programs, policies, legislative blueprints, and funding streams. ODE has longstanding relationships with the 4 LEAs and their administrative teams, having worked together on numerous initiatives. Each district leader has a demonstrated history of promoting health equity through a strengths-based, trauma-informed, equity-centered lens vis-a-vis robust family and community partnerships. Dr. Seeley and his team at UO currently contract with both ODE and OHA to support the evaluation and implementation of numerous efforts related to mental health promotion, and suicide and substance use prevention including the current SMHiE Initiative. Drs. Bullock and Seeley have a well-established partnership as members of the Oregon Research Institute community. ODE has a strong foundation with Lane Community College (LCC) to develop an academic program to support the professional learning and accreditation of CCSs. LCC’s Family and Human Services Program (FHS), the hub of this work, has a longstanding partnership with UO’s 4-year FHS program. Drs. Bullock and Seeley and OFSN lead Ms. Bumpus serve together on several state councils and advisory bodies focused on promoting youth behavioral health, suicide prevention, and family engagement, and have worked collaboratively to develop policies and legislation.

C-2. ODE and OHA are jointly responsible for ensuring a robust, coordinated, equitable system of care. In addition to years of partnership, the agencies have collaborated on several, nationally recognized initiatives in recent years to support the development and implementation of ODE’s IMMH. These include the *CASEL/CCSSO Effective Multi-Tiered Systems of Support: SEL and Whole Child Development Initiative* (2020-21), the *National Governors Association Supporting Governors Whole Child Initiative* (2021-22), and the *ESSER III-funded Strengthening Mental Health in Education Initiative* (2022-24). Dr. Bullock has served as Project Director on each of these efforts, and has worked in close partnership with OHA leadership, district and ESD leaders and collaborators, Dr. Seeley, and community-based partners to fulfill the goals of these bodies of work. Each of the 4 LEAs were selected due to their strong history of community engagement, mental health promotion, commitment to serving students and families who have been historically underserved, and a sustained collaborative partnership with ODE.

C-3. Key Personnel Staff Positions

Key Personnel and Other Significant Project Staff	Level of Effort	Role	Qualifications
Co-Principal Investigator, Project Director, Dr. B Grace Bullock, Senior Mental Health Officer (ODE) [SEA]	1.0	Oversees all aspects of the project, leads collaborative processes, professional learning, project design. Primary district and SAMHSA point of contact.	Ph.D., Clinical Psychology; 20+ years of community and school-based clinical and research expertise focusing on historically underserved communities. 20+ years project leadership, management, research and program evaluation expertise.
Co-Principal Investigator, Implementation Director, Dr. John Seeley (UO)	.15	Oversees implementation, sustainability planning, and overall evaluation effort. Leads substance abuse and suicide prevention technical assistance.	Ph.D., Special Education and Clinical Sciences; 20+ years conducting effectiveness and implementation research in school-based behavioral health and suicide prevention.

Key Personnel and Other Significant Project Staff	Level of Effort	Role	Qualifications
Principal Mental Health Advisor, Ashley Thirstrup, Director, Health in Education (OHA) [SMHA]	.25	Aligns project goals/activities with OHA health initiatives, policy, and legislation, and provides content expertise.	20+ years in community and state-based prevention/health promotion. Public health leader and ODE collaborative partner.
Project Manager, Arden Robinette, Mental Health Project Coordinator (ODE) [SEA]	1.0	Manages day-to-day operations, timelines, contracts, deliverables, and project activities.	Experienced educator, project manager, with focus on racial and health equity, anti-racism, and health and mental health promotion.
Co-Investigator, Principal Evaluator, Dr. Mark Hammond (UO)	.50	Leads project evaluation, data collection, analysis, reporting, quality improvement.	Ph.D. Prevention Science and MPH, health behaviors and health education, 10 years' experience in mixed methods research and evaluation.
LEA Leader, Dr. Olga Acuna, Executive Director of Federal Programs (Hillsboro SD)	.25	Provides leadership, oversight, for all district activities throughout the initiative period.	Ph.D. in education and leadership. 20+ years championing equity and family/community engagement.
LEA Leader, Jennifer Schulze, Student Services Director (Lake County SD 7)	.25	Provides leadership, oversight, for all district activities throughout the initiative period.	Administrative leader with significant expertise in mental health/health teaching and learning programming.
LEA Leader, Tiffanie Lambert, Asst. Superintendent of Teaching and Learning (Phoenix-Talent SD)	.25	Provides leadership, oversight, for all district activities throughout the initiative period.	20+ years administrative leadership, vision, and systems change facilitation and direction in Oregon schools. Special education expert.
LEA Leader, Heather Murphy, Early Learning & Family Services Administrator (South Lane)	.25	Provides leadership, oversight, for all district activities throughout the initiative period.	20+ years leadership experience in early childhood services, with extensive history in planning, public policy and management.
LEA Project Managers (Community Care Coordinators), 4 to be hired	1.0/ person	Responsible for day-to-day management. Ensures all deliverables are fulfilled.	Administrative leaders with expertise in school-based mental health/MTSS service delivery/family engagement.
Family Support Lead, Sandy Bumpus, Executive Director, Oregon Family Support Network [CBO]	.50 years 1 and 2	Collaborates with ODE/districts on training and supervision of CCSSs.	Extensive experience leading, developing and implementing Family Support and Education Programs.

Section D – Data Collection and Performance Measurement

D-1. Goal 4 of this Initiative involves developing a thorough understanding of SMHiE Initiative impact and developing actionable recommendations for implementation, sustainability, and continuous quality improvement. This process will be guided by comprehensive project implementation and evaluation plans, which will utilize the Quality Implementation Framework

([QIF] Meyers et al., 2012). This strategy will optimize implementation quality through a co-design evaluation process, which will be led by the Project Director and Implementation Director in collaboration with the Principal Evaluator and Principal Mental Health Advisor. Following DBIR guidelines regarding conducting community-driven collaborative problem-solving and data-informed decision-making, the Implementation Team will make decisions around project activities, using data-based decision-making procedures. District-level staff will examine performance data during monthly meetings and submit quarterly process and outcome data to the state-level Implementation Team. The Evaluation Team will cross-reference these data with statewide resources and key stakeholders' input to produce annual reports for monitoring and evaluating the overall process and progress towards the Initiative's 4 goals. Core aspects of the QIF, which are central to implementation, evaluation, and fidelity assurance include: (1) needs, resources, capacity, fit, readiness, and need-for-adaptation assessments; (2) stakeholder engagement; (3) implementation teams to create infrastructure and institutional environments conducive to project success; (4) supportive training and regular coaching; and (5) ongoing, iterative project improvement throughout implementation and goal-specific quarterly Plan-Do-Study-Act cycles, acceptability and participation assessments.

Data Collection and Storage: The Principal Evaluator will lead data collection efforts in collaboration with the Project Manager and LEA Leaders and Community Care Coordinators. In addition to extracting data from extant data repositories, new data collection will utilize online surveys and virtual meetings. Survey data will be collected using Qualtrics, web-based software to create, deliver, and securely store survey data. The Qualtrics system complies with HIPAA and government security standards, protecting data using industry-standard firewalls and password protection. Only the survey owner and their chosen collaborators can access the survey and data. Qualtrics data from formative interviews and focus groups will be collected using the video conference software Zoom for recording video and audio, and Otter.ai will be used to transcribe these conversations. Interview and focus group data will be stored digitally within encrypted files stored on the University of Oregon's centralized network and authentication system.

Participants in the program will be asked for consent to allow the investigators to gather demographic information. Once received, the data will be de-identified and linked only with participant IDs. All client data will be de-identified by assigning unique identifiers. Only UO research staff will be able to make changes to the database. Consent forms containing participant information will be stored in locked cabinets in locked research rooms at each performance site and separate from participant data. Only Project staff, the UO Institutional Review Board (IRB) as the sole responsible IRB, or representatives from federal regulatory agencies will have access to keycodes linking participant names to their IDs. All others involved (e.g., those conducting evaluation analyses) will only have access to deidentified data.

Data Sources: Data sources for measurement of community, district, and school impact of Initiative activities on student and staff health and well-being; mental health literacy; wraparound services; school climate; suicide prevention, intervention, and postvention; and Government Performance and Results Act measures include: (a) The Oregon Student Educational Equity Development Survey – a survey for Oregon students grades 3-12 covering topics related to school environment and climate; (b) The Oregon Student Health Survey – a survey for Oregon students grades 6, 8 and 11 addressing behavioral health, overall health, and risk behaviors; (c) Electronic health records from community health providers for data on service utilization, referrals, and SBIRT measures; (d) Backend user data from the Classroom WISE training modules; (e) Mental

health literacy and climate survey; (f) Strengths and Difficulties Questionnaire (Goodman, 1997); and (g) the Wraparound Fidelity Index (Bruns et al., 2004).

A key project priority will be strengthening data collection systems for tracking mental health needs, prevention services, and service utilization within multi-tiered school systems and across sectors of care (e.g., school clinic vs. outpatient community services). Lack of reporting mechanisms between and within youth and family-serving systems has persistently limited the state’s ability to monitor and implement public mental health promotion for youth. Establishing memoranda of agreement, including a data sharing protocol, will help ensure a more robust understanding of mental health needs, utilization of care, and targets of improvement.

Performance Assessment: Data collection and assessment of goal-specific performance measures will include milestone achievements along defined activity timelines, including but not limited to what is described in the following table:

Program Goal	Milestone Achievements
1. Mental Health Literacy	<ul style="list-style-type: none"> • Number of Classroom WISE modules completed • Number of Classroom WISE participants • Quarterly assessment of participant knowledge, skill acquisition, self-efficacy to utilize knowledge and apply skills, and program acceptability • Extended modules addressing suicide prevention, substance use prevention, LGBTQ2SIA+ student support
2. Credentialed Mental Health, Substance Use, and Suicide Prevention Professional Learning	<ul style="list-style-type: none"> • Established Mental Health Credential with Oregon Teacher Standards and Practices Commission • Number of staff who receive the Mental Health credential • Attendance for learning opportunities and professional learning communities • Number of learning opportunities and range of topics offered • Pre-, mid-year, and year-end process assessment of feasibility, acceptability, fidelity, and training (knowledge, self-efficacy) for professional learning topics • Assemble implementation supports for professional learning communities (e.g., training, coaching, audit, and feedback)
3. Community Care Project (CCP)	<ul style="list-style-type: none"> • Number of hired and trained Community Care Specialists and Coordinators • Number of student screenings, consultation appointments, and service referrals • Number of policy option packages, legislative concepts, and funding strategies • Completed SEA and LEA system mapping and needs assessment • Pre-, mid-year, and year-end assessment of school staff mental health and substance use skills and knowledge acquisition and application • Number of school districts complying with the Student Suicide Prevention Plan policy (see Attachment 9) • Quarterly wraparound services assessment of quality assurance and fidelity
4. Project impact and recommendations for implementation, sustainability, and continuous quality improvement	<ul style="list-style-type: none"> • Distribute quarterly and annual reports, including stakeholder input, and co-designed implementation plan • Sustainability plan describing program adaptations, contextual factors, applied implementation strategies, feasibility, and maintenance

The Principal Evaluator will track performance metrics and measurable objectives and will conduct the data analysis. Data analysis procedures will include quantitative and qualitative methods for both formative and summative evaluation reports. Quarterly de-identified data and performance reports will be submitted to SAMHSA regarding the Project’s four main objectives. Annual de-identified data reports will be stored using a web-based repository dissemination to the main stakeholders.

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Attachment 9: Student Suicide Prevention and Awareness Training Policy

Overview of Oregon Suicide Prevention Legislation and School Policies

Oregon has been at the forefront of the U.S. to pass legislation supporting and mandating the adoption of school suicide prevention policies. Starting in 2014, and with additional investment in 2019, the Oregon Legislature commissioned the Oregon Health Authority (OHA) to:

- Develop and oversee implementation of a five-year Youth Suicide Intervention and Prevention Plan (YSIPP). The first plan covers 2016-2020 and the most recent plan covers 2021-2025.
- Convene an advisory group, the Oregon Alliance to Prevent Suicide.
- Provide suicide prevention, intervention, and postvention programming and supports named in the YSIPP.

In 2019, the Oregon legislature passed Senate Bill 52, also known as “Adi’s Act,” which requires school districts to develop and implement a comprehensive student suicide prevention plan. This statute requires each school district to adopt a policy that requires a comprehensive district plan on student suicide prevention for students in grades K-12. These plans must include the following elements:

- Procedures that relate to suicide prevention, intervention, and activities that reduce risk of suicide attempts and promote healing after a death by suicide.
- Identification of school personnel that are responsible for responding to reports of suicidal risk.
- A procedure that someone can request a school district to review the actions that a school takes when responding to suicidal risk.
- Methods to address the needs of groups at a higher risk of suicide attempts. These groups at a higher risk include youth who are grieving a death by suicide; youth with disabilities, mental health diagnoses, or substance use disorders; youth experiencing homelessness or out-of-home settings like foster care; and youth who are lesbian, gay, transgender, queer, or other minority gender identities and sexual orientation.
- A description and materials for any trainings that will be provided to school employees. These trainings must include: when and how to refer youth and their families to appropriate mental health services; programs that can be completed through self-review of suitable suicide prevention materials; and any other requirement that is made by the State Board of Education through rules that have been based on consultations with subject matter expert organizations.
- School districts may consult with other organizations or community members when developing these plans.
- Plans must be written to ensure that school employees only act within their credentials or licenses.
- Plans must be made available yearly to the community the school district is in and must be readily available at both the school district office and on their website.

Section 1.4 Allowable Activities

In 2019, the legislature invested in dedicated funding for youth suicide prevention activities. This is called “Big River” programming. These activities launched throughout 2020 and continued to grow in 2021-2022, despite the challenges COVID-19 presented. Big River programming is

offered statewide. It includes a statewide coordinator for each Big River program and support for train-the-trainer events. This combination allows for locally delivered suicide prevention programs with robust human and funding support from the state, including schools as one of the key sectors. OHA's suicide prevention coordinators have worked closely with the evaluation team at University of Oregon (UO) and the advocates who serve on the Oregon Alliance to Prevent Suicide to develop a framework for suicide prevention. This framework outlines the work that Oregon needs to do during the 2021-2025 five-year period. The framework is centered on equity and the voices of those with lived experience, and informs on policy through comprehensive data and evaluation, and delivering services in a trauma informed and culturally responsive practices.

The suicide prevention practices and programs supported by OHA and ODE include supports to assist all school staff to develop skills that promote wellness, mental well-being, and resilience to better support and refer school-aged youth with behavioral health issues to needed services. In addition to the staff training programs (e.g., Question, Persuade, Refer [QPR]; Mental Health First Aid [MHFA]; Applied Suicide Intervention Skills Training [ASIST]), OHA provides training on both secondary and elementary versions of Sources of Strength to provide students with suicide prevention training, methods for promoting student help seeking, and strength-based resources for suicide awareness and prevention.

In coordination with OHA and the Oregon Alliance to Prevent Suicide, the UO evaluation team provides direct support to school districts for the selection, adoption, and equitable implementation of evidence-based programs and practices for suicide prevention. The UO evaluation team has developed practical implementation tools and technical assistance to schools using team-based decision making for implementation and evaluation within multi-tiered prevention framework.

Youth Suicide Prevention Programing Available at low or no cost

Logos and programs shown in the graphic include: SOURCES OF STRENGTH (ELEMENTARY CURRICULUM), SOURCES OF STRENGTH, USA MENTAL HEALTH FIRST AID, Youth SAVE, SSAL, ASIST, CALM, QPR, and Connect Postvention.

Advanced Skills

- CAMS • DBT
- AMSR • CBT

Click on any logo for more information

MHEA – Mental Health First Aid
QPR – Question, Persuade, Refer
ASIST – Applied Suicide Intervention Skills Training
Youth SAVE – Youth Suicide Assessment in Virtual Environments
SSAL – Student Suicide Assessment Line 503-575-3760
CALM – Counseling on Access to Lethal Means
Advanced Skills
CAMS – Collaborative Assessment and Management of Suicidality
DBT – Dialectical Behavioral Therapy
AMSR – Assessment and Management of Suicide Risk
CBT – Cognitive Behavioral Therapy

Oregon Health Authority Youth Suicide Prevention Staff:
Jill Baker, LSC
 Youth Suicide Prevention Policy Coordinator
jill.baker@dhsoha.state.or.us
 503-339-6264
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OHA 3548 (9/29/2021)

Section I.6 Data Collection & Reporting

Consistent with NOFO Section I.6 and the overall evaluation plan, data will be collected and reported on (a) the number of trainings conducted, including the number of student trainings conducted and the training delivery methods used; (b) the number of students trained, disaggregated by age and grade level; and (c) the number of help-seeking reports made by students. As summarized above, the project will implement the evidence-based programs and policies provided by OHA and ODE (as well as other evidence-based programs identified) that are strengths-based, trauma-informed, SEL-driven, equity-centered, and recovery-oriented. Several of the evidence-based programs and practices are included in SAMHSA's *Prevention Suicide: A Toolkit for High Schools* and the Suicide Prevention Resource Center's Resources and Programs web-based repository.

Student Suicide Awareness Prevention Training Policy: Example Suicide Prevention Plan

In accordance with Senate Bill 52 (Adi's Act), the 4 LEAs have adopted district-level suicide prevention policies to provide staff and student suicide prevention training as well as informational materials to caregivers. As an exemplar, the Lake County suicide prevention plan includes the following:

Staff: All staff should receive training (or a refresher) once a year on the policies, procedures, and best practices for intervening with students and/or staff at risk for suicide. The QPR Suicide Prevention model provides training on best practices.

- Annual QPR Training for ALL staff.
- Annual review of prevention, intervention, and postvention protocols.

Specific staff members receive specialized training to intervene, assess, and refer students at risk for suicide. Training should be best practice suicide program such as ASIST: Applied Suicide Intervention Skills Training.

- 2 ASIST Trained staff per school to be renewed every three years.
- Through annual QPR training references to who is the suicide prevention/intervention coordinators within the district.

Students: Provide students with developmentally appropriate, student-centered education about suicide and suicide prevention throughout their elementary and high school years. The purpose of this curriculum is to teach students how to access help at their school for themselves, their peers, or others in the community. Examples include but are not limited to:

- Health 7, 8, 9 and 11 use RESPONSE and coordinate with community and school resources.
- Sources of Strength.
- Character Strong.
- Messaging of resources including printed material and social media posted annually.

Parents: Provide parents with informational materials to help them identify whether their child or another person is at risk for suicide. Information should include how to access school and community resources to support students or to others in their community that may be at risk for suicide.

- Student Handbook.
- Posted in Front Office.
- School District Website-Counseling Program.

Budget Narrative File(s)

* **Mandatory Budget Narrative Filename:**

To add more Budget Narrative attachments, please use the attachment buttons below.

Oregon Project AWARE: Strengthening Mental Health in Education

Budget Introduction

The Oregon Department of Education (ODE) is requesting SAMHSA Project AWARE funds to expand the preparation, implementation, performance assessment, sustainment, and continuous improvement phases and scope of its existing, \$5.5 million Strengthening Mental Health in Education Initiative (SMHiE). The Initiative is currently supported by ESSER III set-aside dollars that fund 10 Community Care Specialists in 4 Oregon school districts, 2 limited-duration positions at ODE (Mental Health Project Coordinator and Mental Health Administrative Specialist), and the implementation, evaluation, sustainability, and continuous quality improvement work performed by the University of Oregon College of Education. These positions, and the contract with the University of Oregon, conclude on October 1, 2024.

ODE proposes to braid ESSER and SAMHSA funds to maximize the impact of the SMHiE, with the overarching goal of promoting student and staff mental health and well-being via community-driven multi-tiered systems of support.

If awarded, Project AWARE funds will be used to:

- Support 4 LEA Project Managers (Community Care Coordinator) positions at 1.0 FTE per LEA for 5 years (2022-2027).
- Fund a Project Director position at ODE for 5 years (2022-2027).
- Extend funding for the Project Coordinator and Administrative Specialist from October 1, 2024 to September 30, 2027.
- Extend ODE's existing contract with the University of Oregon through September 30, 2027.

ODE is seeking funding from the Oregon legislature to permanently support the Initiative beyond the current funding cycle, which will allow for the: (1) expansion of staff and CBO mental health credential programming; (2) scaling and permanent staffing of Community Care Specialist and Community Care Coordinator workforce; (3) sustainability of training and credentialing efforts and; (4) permanent funding of ODE project staff.

Please see the completed SAMHSA Budget Template below for the full budget narrative and justification.

Applicant/Recipient Oregon Department of Education	Application/Award Number
--	---------------------------------

Project Title:	Oregon Project AWARE: Strengthening Mental Health in Education
-----------------------	--

	Start Date	End Date	Budget Year
Budget Period:	09/30/2022	09/29/2023	1

For Multi-Year Funded (MYF) awards only
(not applicable to new applications for funding)
Check the box to select the Incremental Period

COST SHARING AND MATCHING

Matching Required: YES NO

A. Personnel

Line Item #	Position	Name	Key Position per the NOFO	Check if Hourly Rate	Calculation					Personnel Cost	FEDERAL REQUEST
					Hourly Rate	Hours	# of Staff	Annual Salary	% Level of Effort (LOE)		
1	Co-Principal Investigator, Project Director	Grace Bullock	<input checked="" type="checkbox"/>	<input type="checkbox"/>			1	\$134,016	100.00%	\$134,016	\$134,016
TOTAL										\$134,016	\$134,016

Line Item #	Personnel Narrative:										
1	Co-Principal Investigator, Project Director	Grace Bullock	Key Personnel	Salary \$134,016	# of Staff 1	LOE 100.00%	Personnel Cost \$134,016	Oversees all aspects of the project, leads collaborative processes, professional learning, project design. Primary district point of contact.			

Show In-Kind Personnel Table

In-Kind Personnel

Line Item #	Position	Name	Key Position per the FOA	Check if Hourly Rate	Hourly Rate	Hours	# of Staff	Annual Salary	% Level of Effort (LOE)
1	Principal Mental Health Advisor	Ashley Thirstrup	<input checked="" type="checkbox"/>	<input type="checkbox"/>			1	\$134,016	25.00%
2	Project Manager	Arden Robinette	<input type="checkbox"/>	<input type="checkbox"/>			1	\$95,952	100.00%
3	Project Strategist	TBD, hiring fall 2022	<input type="checkbox"/>	<input type="checkbox"/>			1	\$110,724	100.00%
4	Safety and Suicide Prevention Program Coordinator	Spencer Delbridge	<input type="checkbox"/>	<input type="checkbox"/>			1	\$95,952	10.00%
5	Administrative Specialist	TBD, hiring spring 2022	<input type="checkbox"/>	<input type="checkbox"/>			1	\$62,496	100.00%

Line Item #	In-Kind Personnel Narrative:										
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Line Item #	In-Kind Personnel Narrative:						
1	Principal Mental Health Advisor	Ashley Thirstrup	Key Personnel	Salary \$134,016	# of Staff 1	LOE 25.00%	Personnel Cost \$33,504
Aligns project goals/activities with Oregon Health Authority initiatives, policy, and legislation, and provides content expertise. Serves as SMHA Coordinator. This position is in-kind for all 5 years of the project.							
2	Project Manager	Arden Robinette		Salary \$95,952	# of Staff 1	LOE 100.00%	Personnel Cost \$95,952
Manages day-to-day operations, timelines, contracts, deliverables, and project activities. This position is in-kind for Years 1 and 2, as it is funded via ESSER III funding through September 30, 2024. Charges for this position will appear in Years 3, 4, and 5 of this budget.							
3	Project Strategist	TBD, hiring fall 2022		Salary \$110,724	# of Staff 1	LOE 100.00%	Personnel Cost \$110,724
Aligns the SMHiE and Integrated Model of Mental Health implementation with health and behavioral health activities across the Oregon Department of Education, and provides leadership, training, and technical assistance to other offices within the agency that are engaged in health-related work. This position is in-kind for all 5 years of the project.							
4	Safety and Suicide Prevention Program	Spencer Delbridge		Salary \$95,952	# of Staff 1	LOE 10.00%	Personnel Cost \$9,595
Oversees and manages state School Safety and Prevention System, including safety assessment, school climate and culture, bullying/harassment prevention, and suicide prevention. Works closely with School Safety & Prevention Specialists embedded in local Education Service Districts and statewide School Suicide Prevention and Wellness Specialists, who provide technical assistance to school districts. This position is in-kind for all 5 years of the project.							
5	Administrative Specialist	TBD, hiring spring 2022		Salary \$62,496	# of Staff 1	LOE 100.00%	Personnel Cost \$62,496
Assists in day-to-day operations, timelines, contracts, deliverables, and project activities. This position is in-kind for Years 1 and 2, as it is funded via ESSER III funding through September 30, 2024. Charges for this position will appear in Years 3, 4, and 5 of this budget.							

B. Fringe Benefits

Our organization's fringe benefits consist of the components shown below:

Fringe Component	Rate (%)
Public Employees' Retirement	17.13%
Social Security Taxes	7.65%
Total Fringe Rate	24.78%

Fringe Benefits Cost

Line Item #	Position	Name	Calculation				FEDERAL REQUEST
			Personnel Cost	Total Fringe Rate (%)	Fixed / Lump Sum Fringe (if any)	Fringe Benefits Cost	
1	Co-Principal Investigator, Project Director	Grace Bullock	\$134,016	24.78%	\$19,168	\$52,377	\$52,377
TOTAL						\$52,377	\$52,377

Fringe Benefits Narrative:

All Oregon Department of Education (ODE) employees receive the same fringe rate percentages for retirement and Social Security (24.78% total fringe rate). All ODE employees also receive a fixed/lump sum fringe of \$19,168 which is comprised of 3 subcategories: Flexible Benefits (includes medical, dental, and other coverage, \$19,116 annually), Employee Relations Board (\$29 annually), and Worker's Comp Assessment (\$23 annually).

C. Travel

Trip #	Purpose	Destination	Calculation					FEDERAL REQUEST	
			Item	Cost / Rate per Item	Basis	Quantity per Person	Number of Persons		Travel Cost
1	ODE travel package	Statewide, various	Other (No registration fees)	\$7,875.00		1.00	1	\$7,875	\$7,875
TOTAL							\$7,875	\$7,875	

Trip #	Travel Narrative:	
1	ODE travel package	Statewide, various
		Travel Cost \$7,875
	<p>All ODE employees must have \$7,875 allocated for travel expenses. This may apply to all item categories above (hotel/lodging, per diems, airfare, etc.). All travel must be approved by both a supervisor and ODE Procurement and must adhere to the Oregon Department of Administrative Services' travel policies, which can be found here: https://www.oregon.gov/das/Procurement/Pages/Travel.aspx.</p> <p>For the purposes of this project, travel will be necessary for overseeing project implementation. It will likely include 2 site visits per year to each of the 4 LEAs partnering with ODE for this project, so the Co-Principal Investigator/Project Director can meet with contractual personnel, discuss implementation progress, and/or train contractual personnel and other school staff. To the fullest extent possible, meetings will be conducted virtually. Meetings that occur on a biweekly or monthly basis, such as statewide Implementation Team meetings, Community Care Coordinator & Specialist professional learning communities, and statewide and local advisory board meetings, will be hosted virtually.</p>	

D. Equipment

Line Item #	Item	Check if Item is a Vehicle	Calculation				FEDERAL REQUEST
			Quantity	Purchase or Rental/Lease Cost	Percent Charged to the Project	Equipment Cost	
1	N/A	<input type="checkbox"/>				\$0	\$0
TOTAL						\$0	\$0

Line Item #	Equipment Narrative:				
1	N/A	Quantity	Purchase or Rental/Lease Cost	% Charged to the Project	Equipment Cost \$0
	N/A				

E. Supplies

Line Item #	Item	Calculation				FEDERAL REQUEST
		Unit Cost	Basis	Quantity	Supplies Cost	
1	ODE telecommunications package	\$660.00		1.00	\$660	\$660
2	ODE office supplies package	\$415.00		1.00	\$415	\$415
3	ODE equipment package	\$500.00		1.00	\$500	\$500
TOTAL					\$1,575	\$1,575

Line Item #	Supplies Narrative:				
	ODE telecommunications package	Unit Cost \$660.00	Basis	Quantity 1.00	Supplies Cost \$660

Line Item #	Supplies Narrative:					
1	All ODE employees must have \$660 allocated annually for telecommunications expenses. All purchases must be approved by both a supervisor and ODE Procurement and must follow the procurement policies of the Oregon Department of Administrative Services.					
	ODE office supplies package	Unit Cost \$415.00	Basis	Quantity 1.00	Duration	Supplies Cost \$415
2	All ODE employees must have \$415 allocated annually for office supply expenses. All purchases must be approved by both a supervisor and ODE Procurement and must follow the procurement policies of the Oregon Department of Administrative Services.					
	ODE equipment package	Unit Cost \$500.00	Basis	Quantity 1.00	Duration	Supplies Cost \$500
3	All ODE employees must have \$500 allocated annually for equipment expenses. All purchases must be approved by both a supervisor and ODE Procurement and must follow the procurement policies of the Oregon Department of Administrative Services.					

F. Contractual

Summary of Contractual Costs

Agreement #	Name of Organization or Consultant	Type of Agreement	Contractual Cost	FEDERAL REQUEST
1	University of Oregon (in-kind Years 1 and 2)	Contract	\$0	\$0
2	Hillsboro School District	Contract	\$124,500	\$124,500
3	Lake County School District 7	Contract	\$126,000	\$126,000
4	Phoenix-Talent School District	Contract	\$124,764	\$124,764
5	South Lane School District	Contract	\$126,000	\$126,000
6	Oregon Family Support Network (in-kind Years 1 and 2)	Contract	\$0	\$0
TOTAL			\$501,264	\$501,264

Contractual Details for University of Oregon (in-kind Years 1 and 2)

Agreement #	Services and Deliverables Provided
1	<p>This contract is funded with ESSER III set-aside funds for Years 1 and 2. SAMHSA Project AWARE funds are requested to extend this contract through Years 3, 4, and 5 (October 1, 2024 - September 30, 2027).</p> <p>A. Method of selection: The Oregon Department of Education (ODE) has a longstanding working relationship with the University of Oregon (UO) College of Education. Drs. Seeley and Hammond are currently under contract with ODE through September 30, 2024 to provide services listed below as part of ODE's SMHiE Initiative funded through ESSER III dollars. UO partners have a detailed understanding of Oregon's school-based mental health multi-tiered landscape, and possess tremendous depth and expertise in project planning, co-design, implementation, and evaluation.</p> <p>B. Summary of specific tasks: Collaborators at UO will be responsible for co-developing comprehensive implementation and evaluation plans using a Quality Implementation Framework and facilitating a co-designed evaluation process following DBIR guidelines to ensure community-drive, data-informed decision-making. The QIF is central to Integrated Model of Mental Health (IMMH) implementation, evaluation, and fidelity assurance including:</p> <ul style="list-style-type: none"> (1) needs, resources, capacity, fit, readiness, and need-for-adaptation assessments; (2) stakeholder engagement; (3) implementation teams to create infrastructure and institutional environments conducive to project success; (4) supportive training and regular coaching; and (5) ongoing, iterative project improvement throughout the SMHiE project, and activity-specific quarterly Plan-Do-Study-Act

Agreement #	Services and Deliverables Provided
	<p>cycles, acceptability assessments, and reach measurements.</p> <p>The UO team will be responsible for leading all aspects of data collection in collaboration with the SEA and LEA Project Managers, and authoring formative and summative annual reports that include recommendations that ensure fidelity, sustainability, and continuous quality improvement.</p> <p>C. Method of accountability: Accountability will be monitored through weekly team project meetings and feedback, and through regular progress, implementation, and evaluation reports outlined in the contract scope of work. Deliverables include semi-annual progress report, comprehensive annual report, and final report including debrief and sharing findings, and all required SAMHSA Project AWARE reports.</p> <p>D. Period of performance: Years 1 and 2 of this contract period (June 2022 - September 30, 2024) are funded through ESSER III set-aside funds. Project AWARE funds will be used to support activities in Years 3, 4, and 5 (October 1, 2024 - September 30, 2027) with a contractual cost of \$172,800 per year (\$160,000 in direct costs and an 8% indirect rate).</p>

<input type="checkbox"/> Personnel	<input type="checkbox"/> Travel	<input type="checkbox"/> Supplies	<input type="checkbox"/> Indirect Charges
<input type="checkbox"/> Fringe Benefits	<input type="checkbox"/> Equipment	<input type="checkbox"/> Other	

Contractual Total Direct Charges for University of Oregon (in-kind Years 1 and 2)

TOTAL DIRECT CHARGES FOR THIS AGREEMENT	TOTAL FEDERAL REQUEST
	\$0

Contractual Total Cost for University of Oregon (in-kind Years 1 and 2)

TOTAL COST	TOTAL FEDERAL REQUEST
\$0	\$0

Contractual Details for Hillsboro School District

Agreement #	Services and Deliverables Provided
	<p>Hillsboro School District is under contract through September 30, 2024 to hire, onboard, and train Community Care Specialists. For Years 1 and 2, ODE will fund Community Care Specialists (CCSs) using ESSER III set-aside funds. For Years 3, 4, and 5, Hillsboro School District will continue funding CCSs through in-kind contributions. SAMHSA Project AWARE funds are requested to fund the 1.0 FTE LEA Project Manager position required by the NOFO for all 5 years of the project. The LEA Project Manager will serve as a Community Care Coordinator and will oversee the work of the CCSs.</p> <p>A. Method of selection: ODE has a longstanding working relationship with Hillsboro School District. The district is currently under contract with ODE through September 30, 2024 to provide services listed below as part of ODE's SMHiE Initiative, funded through ESSER III dollars. The district was selected due to their prioritization of student, family, and staff mental health and well-being, strong history of community engagement and co-design of school and district initiatives, and commitment to health and educational equity.</p> <p>B. Summary of specific tasks: District partners have committed to hiring and onboarding Community Care Coordinators (LEA Project Managers) and Community Care Specialists, and working with ODE, Oregon Health Authority (OHA), Oregon Family Support Network (OFSN), and other collaborators to provide required training, supervision, and ongoing professional learning to Community Care staff. Districts will also work collaboratively with state, county, higher education, CBO, and other partners to complete district mental health system mapping, needs assessments, and behavioral health equity reports, and co-design short- and long-term goals, systems, and implementation, evaluation, and sustainability plans in accordance with UO performance models. Districts are also required to furnish data, and provide interpretation of local data in accordance with the evaluation plan and associated scope of work.</p>

Agreement #	Services and Deliverables Provided
	<p>C. Method of accountability: Accountability will be monitored through weekly team project meetings and feedback, and through regular progress, implementation, and evaluation reports outlined in the contract scope of work. Deliverables include participation in the development of semi-annual progress reports, a comprehensive annual report, and a final report including debrief and sharing findings, and all required SAMHSA Project AWARE reports.</p> <p>D. Period of performance: For Years 1 and 2 of this contract period (June 2022 - September 30, 2024), Community Care Specialists and district planning and evaluation costs are funded through ESSER III set-aside funds (\$1,082,552 total for Years 1 and 2). Districts will support Community Care Specialists through in-kind contributions for Years 3, 4, and 5 (\$96,219 per specialist per year for 3 years: October 1, 2024 - September 30, 2027). Project AWARE funds will be used to support Community Care Coordinators (LEA Project Managers) for 5 years (September 2022 - September 2027), with an annual contractual cost of \$124,500 (\$120,000 in salary/fringe benefits and a 3.75% indirect rate).</p>

<input checked="" type="checkbox"/> Personnel	<input type="checkbox"/> Travel	<input type="checkbox"/> Supplies	<input checked="" type="checkbox"/> Indirect Charges
<input checked="" type="checkbox"/> Fringe Benefits	<input type="checkbox"/> Equipment	<input type="checkbox"/> Other	

Contractual Personnel Costs for Hillsboro School District

Line Item #	Position	Name	Key Position per the NOFO	Check if Annual Salary	Calculation						FEDERAL REQUEST
					Hourly Rate	Hours	# of Persons	Annual Salary	% Level of Effort (LOE)	Contractual Personnel Cost	
1	Community Care Coordinator (LEA Project Manager)	TBD, hiring fall 2022	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			1	\$80,000	100.00%	\$80,000	\$80,000
TOTAL										\$80,000	\$80,000

Line Item #	Contractual Personnel Narrative:						
1	Community Care Coordinator (LEA Project)	TBD, hiring fall 2022	Key Personnel	Salary \$80,000	# of Persons 1	LOE 100.00%	Personnel Cost \$80,000
	The Community Care Coordinator will serve as the LEA Project Manager and will supervise and coordinate activities of the Community Care Specialists in their district, serve on the statewide Implementation Team, organize a Local Project Advisory Board, and liaise with SEA and evaluation team staff.						

Contractual Fringe Benefits Costs for Hillsboro School District

Contractual fringe benefits consist of the components shown below:

Contractual Fringe Component	Rate (%)
N/A	
Total Fringe Rate	

Contractual Fringe Benefits Costs

Line Item #	Position	Name	Calculation				FEDERAL REQUEST
			Contractual Personnel Cost	Total Fringe Rate (%)	Fixed / Lump Sum Fringe (if any)	Contractual Fringe Benefits Cost	
1	Community Care Coordinator (LEA Project Manager)	TBD, hiring fall 2022	\$80,000		\$40,000	\$40,000	\$40,000
TOTAL						\$40,000	\$40,000

Contractual Fringe Benefits Narrative:

Fixed/lump sum fringe benefits were determined in consultation with our LEA partners, who stated a fixed cost of \$40,000 for a Community Care Coordinator role.

Contractual Total Direct Charges for Hillsboro School District

TOTAL DIRECT CHARGES FOR THIS AGREEMENT	TOTAL FEDERAL REQUEST
	\$120,000

Contractual Indirect Charges for Hillsboro School District

Calculation			FEDERAL REQUEST
IDC Rate (%)	Base	Contractual IDC	
3.75%	\$120,000	\$4,500	\$4,500
TOTAL			\$4,500

Contractual Indirect Charges Narrative:

The indirect rate was applied to salary and fringe benefits for the Community Care Coordinator. ODE has a negotiated indirect rate of 3.75% for Hillsboro School District - please see attached agreement.

Contractual Total Cost for Hillsboro School District

TOTAL COST	TOTAL FEDERAL REQUEST
\$124,500	\$124,500

Contractual Details for Lake County School District 7

Agreement #	Services and Deliverables Provided
3	<p>Lake County School District 7 is under contract through September 30, 2024 to hire, onboard, and train Community Care Specialists. For Years 1 and 2, ODE will fund Community Care Specialists (CCSs) using ESSER III set-aside funds. For Years 3, 4, and 5, Lake County School District 7 will continue funding CCSs through in-kind contributions. SAMHSA Project AWARE funds are requested to fund the 1.0 FTE LEA Project Manager position required by the NOFO for all 5 years of the project. The LEA Project Manager will serve as a Community Care Coordinator and will oversee the work of the CCSs.</p> <p>A. Method of selection: ODE has a longstanding working relationship with Lake County School District 7. The district is currently under contract with ODE through September 30, 2024 to provide services listed below as part of ODE's SMHIE Initiative, funded through ESSER III dollars. The district was selected due to their prioritization of student, family, and staff mental health and well-being, strong history of community engagement and co-design of school and district initiatives, and commitment to health and educational equity.</p> <p>B. Summary of specific tasks: District partners have committed to hiring and onboarding Community Care Coordinators (LEA Project Managers) and Community Care Specialists, and working with ODE, Oregon Health Authority (OHA), Oregon Family Support Network (OFSN), and other collaborators to provide required training, supervision, and ongoing professional learning to Community Care staff. Districts will also work collaboratively with state, county, higher education, CBO, and other partners to complete district mental health system mapping, needs assessments, and behavioral health equity reports, and co-design short- and long-term goals, systems, and implementation, evaluation, and sustainability plans in accordance with UO performance models. Districts are also required to furnish data, and provide interpretation of local data in accordance with the evaluation plan and associated scope of work.</p>

Agreement #	Services and Deliverables Provided
	<p>C. Method of accountability: Accountability will be monitored through weekly team project meetings and feedback, and through regular progress, implementation, and evaluation reports outlined in the contract scope of work. Deliverables include participation in the development of semi-annual progress reports, a comprehensive annual report, and a final report including debrief and sharing findings, and all required SAMHSA Project AWARE reports.</p> <p>D. Period of performance: For Years 1 and 2 of this contract period (June 2022 - September 30, 2024), Community Care Specialists and district planning and evaluation costs are funded through ESSER III set-aside funds (\$391,793 total for Years 1 and 2). Districts will support Community Care Specialists through in-kind contributions for Years 3, 4, and 5 (\$96,219 per specialist per year for 3 years: October 1, 2024 - September 30, 2027). Project AWARE funds will be used to support Community Care Coordinators (LEA Project Managers) for 5 years (September 2022 - September 2027), with an annual contractual cost of \$126,000 (\$120,000 in salary/fringe benefits and a 5% indirect rate).</p>

<input checked="" type="checkbox"/> Personnel	<input type="checkbox"/> Travel	<input type="checkbox"/> Supplies	<input checked="" type="checkbox"/> Indirect Charges
<input checked="" type="checkbox"/> Fringe Benefits	<input type="checkbox"/> Equipment	<input type="checkbox"/> Other	

Contractual Personnel Costs for Lake County School District 7

Line Item #	Position	Name	Key Position per the NOFO	Check if Annual Salary	Calculation						FEDERAL REQUEST
					Hourly Rate	Hours	# of Persons	Annual Salary	% Level of Effort (LOE)	Contractual Personnel Cost	
1	Community Care Coordinator (LEA Project Manager)	TBD, hiring fall 2022	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			1	\$80,000	100.00%	\$80,000	\$80,000
TOTAL										\$80,000	\$80,000

Line Item #	Contractual Personnel Narrative:						
1	Community Care Coordinator (LEA Project)	TBD, hiring fall 2022	Key Personnel	Salary \$80,000	# of Persons 1	LOE 100.00%	Personnel Cost \$80,000
	The Community Care Coordinator will serve as the LEA Project Manager and will supervise and coordinate activities of the Community Care Specialists in their district, serve on the statewide Implementation Team, organize a Local Project Advisory Board, and liaise with SEA and evaluation team staff.						

Contractual Fringe Benefits Costs for Lake County School District 7

Contractual fringe benefits consist of the components shown below:

Contractual Fringe Component	Rate (%)
N/A	
Total Fringe Rate	

Contractual Fringe Benefits Costs

Line Item #	Position	Name	Calculation				FEDERAL REQUEST
			Contractual Personnel Cost	Total Fringe Rate (%)	Fixed / Lump Sum Fringe (if any)	Contractual Fringe Benefits Cost	
1	Community Care Coordinator (LEA Project Manager)	TBD, hiring fall 2022	\$80,000		\$40,000	\$40,000	\$40,000
TOTAL						\$40,000	\$40,000

Contractual Fringe Benefits Narrative:

Fixed/lump sum fringe benefits were determined in consultation with our LEA partners, who stated a fixed cost of \$40,000 for a Community Care Coordinator role.

Contractual Total Direct Charges for Lake County School District 7

TOTAL DIRECT CHARGES FOR THIS AGREEMENT	TOTAL FEDERAL REQUEST
	\$120,000

Contractual Indirect Charges for Lake County School District 7

Calculation			FEDERAL REQUEST
IDC Rate (%)	Base	Contractual IDC	
5.00%	\$120,000	\$6,000	\$6,000
TOTAL			\$6,000

Contractual Indirect Charges Narrative:

The indirect rate was applied to salary and fringe benefits for the Community Care Coordinator. ODE currently does not have a negotiated indirect rate with Lake County School District 7, but will determine one in consultation with the district. The 5% IDC rate included here is an estimate.

Contractual Total Cost for Lake County School District 7

TOTAL COST	TOTAL FEDERAL REQUEST
\$126,000	\$126,000

Contractual Details for Phoenix-Talent School District

Agreement #	Services and Deliverables Provided
4	<p>Phoenix-Talent School District is under contract through September 30, 2024 to hire, onboard, and train Community Care Specialists. For Years 1 and 2, ODE will fund Community Care Specialists (CCSs) using ESSER III set-aside funds. For Years 3, 4, and 5, Phoenix-Talent School District will continue funding CCSs through in-kind contributions. SAMHSA Project AWARE funds are requested to fund the 1.0 FTE LEA Project Manager position required by the NOFO for all 5 years of the project. The LEA Project Manager will serve as a Community Care Coordinator and will oversee the work of the CCSs.</p> <p>A. Method of selection: ODE has a longstanding working relationship with Phoenix-Talent School District. The district is currently under contract with ODE through September 30, 2024 to provide services listed below as part of ODE's SMHiE Initiative, funded through ESSER III dollars. The district was selected due to their prioritization of student, family, and staff mental health and well-being, strong history of community engagement and co-design of school and district initiatives, and commitment to health and educational equity.</p> <p>B. Summary of specific tasks: District partners have committed to hiring and onboarding Community Care Coordinators (LEA Project Managers) and Community Care Specialists, and working with ODE, Oregon Health Authority (OHA), Oregon Family Support Network (OFSN), and other collaborators to provide required training, supervision, and ongoing professional learning to Community Care staff. Districts will also work collaboratively with state, county, higher education, CBO, and other partners to complete district mental health system mapping, needs assessments, and behavioral health equity reports, and co-design short- and long-term goals, systems, and implementation, evaluation, and sustainability plans in accordance with UO performance models. Districts are also required to furnish data, and provide interpretation of local data</p>

Agreement #	Services and Deliverables Provided
	<p>in accordance with the evaluation plan and associated scope of work.</p> <p>C. Method of accountability: Accountability will be monitored through weekly team project meetings and feedback, and through regular progress, implementation, and evaluation reports outlined in the contract scope of work. Deliverables include participation in the development of semi-annual progress reports, a comprehensive annual report, and a final report including debrief and sharing findings, and all required SAMHSA Project AWARE reports.</p> <p>D. Period of performance: For Years 1 and 2 of this contract period (June 2022 - September 30, 2024), Community Care Specialists and district planning and evaluation costs are funded through ESSER III set-aside funds (\$1,082,552 total for Years 1 and 2). Districts will support Community Care Specialists through in-kind contributions for Years 3, 4, and 5 (\$96,219 per specialist per year for 3 years: October 1, 2024 - September 30, 2027). Project AWARE funds will be used to support Community Care Coordinators (LEA Project Managers) for 5 years (September 2022 - September 2027), with an annual contractual cost of \$124,764 (\$120,000 in salary/fringe benefits and a 3.97% indirect rate).</p>

<input checked="" type="checkbox"/> Personnel	<input type="checkbox"/> Travel	<input type="checkbox"/> Supplies	<input checked="" type="checkbox"/> Indirect Charges
<input checked="" type="checkbox"/> Fringe Benefits	<input type="checkbox"/> Equipment	<input type="checkbox"/> Other	

Contractual Personnel Costs for Phoenix-Talent School District

Line Item #	Position	Name	Key Position per the NOFO	Check if Annual Salary	Calculation						FEDERAL REQUEST
					Hourly Rate	Hours	# of Persons	Annual Salary	% Level of Effort (LOE)	Contractual Personnel Cost	
1	Community Care Coordinator (LEA Project Manager)	TBD, hiring fall 2022	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			1	\$80,000	100.00%	\$80,000	\$80,000
TOTAL										\$80,000	\$80,000

Line Item #	Contractual Personnel Narrative:						
1	Community Care Coordinator (LEA Project)	TBD, hiring fall 2022	Key Personnel	Salary \$80,000	# of Persons 1	LOE 100.00%	Personnel Cost \$80,000
	The Community Care Coordinator will serve as the LEA Project Manager and will supervise and coordinate activities of the Community Care Specialists in their district, serve on the statewide Implementation Team, organize a Local Project Advisory Board, and liaise with SEA and evaluation team staff.						

Contractual Fringe Benefits Costs for Phoenix-Talent School District

Contractual fringe benefits consist of the components shown below:

Contractual Fringe Component	Rate (%)
N/A	
Total Fringe Rate	

Contractual Fringe Benefits Costs

Line Item #	Position	Name	Calculation				FEDERAL REQUEST
			Contractual Personnel Cost	Total Fringe Rate (%)	Fixed / Lump Sum Fringe (if any)	Contractual Fringe Benefits Cost	
1	Community Care Coordinator (LEA Project Manager)	TBD, hiring fall 2022	\$80,000		\$40,000	\$40,000	\$40,000
TOTAL						\$40,000	\$40,000

Contractual Fringe Benefits Narrative:

Fixed/lump sum fringe benefits were determined in consultation with our LEA partners, who stated a fixed cost of \$40,000 for a Community Care Coordinator role.

Contractual Total Direct Charges for Phoenix-Talent School District

TOTAL DIRECT CHARGES FOR THIS AGREEMENT	TOTAL FEDERAL REQUEST
	\$120,000

Contractual Indirect Charges for Phoenix-Talent School District

Calculation			FEDERAL REQUEST
IDC Rate (%)	Base	Contractual IDC	
3.97%	\$120,000	\$4,764	\$4,764
TOTAL			\$4,764

Contractual Indirect Charges Narrative:

The indirect rate was applied to salary and fringe benefits for the Community Care Coordinator. ODE has a negotiated indirect rate of 3.97% for Phoenix-Talent School District - please see attached agreement.

Contractual Total Cost for Phoenix-Talent School District

TOTAL COST	TOTAL FEDERAL REQUEST
\$124,764	\$124,764

Contractual Details for South Lane School District

Agreement #	Services and Deliverables Provided
5	<p>South Lane School District is under contract through September 30, 2024 to hire, onboard, and train Community Care Specialists. For Years 1 and 2, ODE will fund Community Care Specialists (CCSs) using ESSER III set-aside funds. For Years 3, 4, and 5, South Lane School District will continue funding CCSs through in-kind contributions. SAMHSA Project AWARE funds are requested to fund the 1.0 FTE LEA Project Manager position required by the NOFO for all 5 years of the project. The LEA Project Manager will serve as a Community Care Coordinator and will oversee the work of the CCSs.</p> <p>A. Method of selection: ODE has a longstanding working relationship with South Lane School District. The district is currently under contract with ODE through September 30, 2024 to provide services listed below as part of ODE's SMHiE Initiative, funded through ESSER III dollars. The district was selected due to their prioritization of student, family, and staff mental health and well-being, strong history of community engagement and co-design of school and district initiatives, and commitment to health and educational equity.</p> <p>B. Summary of specific tasks: District partners have committed to hiring and onboarding Community Care Coordinators (LEA Project Managers) and Community Care Specialists, and working with ODE, Oregon Health Authority (OHA), Oregon Family Support Network (OFSN), and other collaborators to provide required training, supervision, and ongoing professional learning to Community Care staff. Districts will also work collaboratively with state, county, higher education, CBO, and other partners to complete district mental health system mapping, needs assessments, and behavioral health equity reports, and co-design short- and long-term goals, systems, and implementation, evaluation, and sustainability plans in accordance with UO performance models. Districts are also required to furnish data, and provide interpretation of local data in accordance with the evaluation plan and associated scope of work.</p>

Agreement #	Services and Deliverables Provided
	<p>C. Method of accountability: Accountability will be monitored through weekly team project meetings and feedback, and through regular progress, implementation, and evaluation reports outlined in the contract scope of work. Deliverables include participation in the development of semi-annual progress reports, a comprehensive annual report, and a final report including debrief and sharing findings, and all required SAMHSA Project AWARE reports.</p> <p>D. Period of performance: For Years 1 and 2 of this contract period (June 2022 - September 30, 2024), Community Care Specialists and district planning and evaluation costs are funded through ESSER III set-aside funds (\$935,379.69 total for Years 1 and 2). Districts will support Community Care Specialists through in-kind contributions for Years 3, 4, and 5 (\$96,219 per specialist per year for 3 years: October 1, 2024 - September 30, 2027). Project AWARE funds will be used to support Community Care Coordinators (LEA Project Managers) for 5 years (September 2022 - September 2027), with an annual contractual cost of \$126,000 (\$120,000 in salary/fringe benefits and a 5% indirect rate).</p>

<input checked="" type="checkbox"/> Personnel	<input type="checkbox"/> Travel	<input type="checkbox"/> Supplies	<input checked="" type="checkbox"/> Indirect Charges
<input checked="" type="checkbox"/> Fringe Benefits	<input type="checkbox"/> Equipment	<input type="checkbox"/> Other	

Contractual Personnel Costs for South Lane School District

Line Item #	Position	Name	Key Position per the NOFO	Check if Annual Salary	Calculation						FEDERAL REQUEST
					Hourly Rate	Hours	# of Persons	Annual Salary	% Level of Effort (LOE)	Contractual Personnel Cost	
1	Community Care Coordinator (LEA Project Manager)	TBD, hiring fall 2022	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>			1	\$80,000	100.00%	\$80,000	\$80,000
TOTAL										\$80,000	\$80,000

Line Item #	Contractual Personnel Narrative:						
1	Community Care Coordinator (LEA Project)	TBD, hiring fall 2022	Key Personnel	Salary \$80,000	# of Persons 1	LOE 100.00%	Personnel Cost \$80,000
	The Community Care Coordinator will serve as the LEA Project Manager and will supervise and coordinate activities of the Community Care Specialists in their district, serve on the statewide Implementation Team, organize a Local Project Advisory Board, and liaise with SEA and evaluation team staff.						

Contractual Fringe Benefits Costs for South Lane School District

Contractual fringe benefits consist of the components shown below:

Contractual Fringe Component	Rate (%)
N/A	
Total Fringe Rate	

Contractual Fringe Benefits Costs

Line Item #	Position	Name	Calculation				FEDERAL REQUEST
			Contractual Personnel Cost	Total Fringe Rate (%)	Fixed / Lump Sum Fringe (if any)	Contractual Fringe Benefits Cost	
1	Community Care Coordinator (LEA Project Manager)	TBD, hiring fall 2022	\$80,000		\$40,000	\$40,000	\$40,000
TOTAL						\$40,000	\$40,000

Contractual Fringe Benefits Narrative:

Fixed/lump sum fringe benefits were determined in consultation with our LEA partners, who stated a fixed cost of \$40,000 for a Community Care Coordinator role.

Contractual Total Direct Charges for South Lane School District

TOTAL DIRECT CHARGES FOR THIS AGREEMENT	TOTAL FEDERAL REQUEST
	\$120,000

Contractual Indirect Charges for South Lane School District

Calculation			FEDERAL REQUEST
IDC Rate (%)	Base	Contractual IDC	
5.00%	\$120,000	\$6,000	\$6,000
TOTAL		\$6,000	\$6,000

Contractual Indirect Charges Narrative:

The indirect rate was applied to salary and fringe benefits for the Community Care Coordinator. ODE currently does not have a negotiated indirect rate with South Lane School District, but will determine one in consultation with the district. The 5% IDC rate included here is an estimate.

Contractual Total Cost for South Lane School District

TOTAL COST	TOTAL FEDERAL REQUEST
\$126,000	\$126,000

Contractual Details for Oregon Family Support Network (in-kind Years 1 and 2)

Agreement #	Services and Deliverables Provided
6	<p>A. Method of selection: The Oregon Family Support Network (OFSN) is a statewide leader in advocating for students and families within state and local systems of care. OFSN was selected due to their unparalleled depth of expertise working with Oregon families and providing education to families and Family Support Specialists across a number of mental health and education-related domains.</p> <p>B. Summary of specific tasks: OFSN'S responsibilities will include serving on the Implementation Team, including attending kick-off and monthly meetings, collaborating on the development of an implementation plan, contributing to training and supervision of Community Care Specialists and Community Care Coordinators, supporting the work of Community Care professional learning communities, providing targeted training, technical assistance, and support, participating in state and local engagement efforts, collaborating in the co-design and refinement of professional learning and implementation models, and assisting in the development of a college credentialing pathway for Community Care Specialists. OFSN will also respond to requests for data from the evaluation team, and assist in the preparation of reports as needed.</p> <p>C. Method of accountability: Accountability will be monitored through weekly team project meetings and feedback, and through regular progress, implementation, and evaluation reports outlined in the contract scope of work. Deliverables will include semi-annual progress and final reports, including data on CCS training and attendance, and technical assistance/support provided to CCSs.</p> <p>D. Period of performance: The contract with OFSN is in-kind for Years 1 and 2 of the project. Years 1 and 2 of this</p>

Agreement #	Services and Deliverables Provided
	contract period (June 2022 - September 30, 2024) will be funded through ESSER III set-aside funds. In Years 3, 4, and 5, training will be provided by higher education institutions in Oregon (also funded in-kind).

<input type="checkbox"/> Personnel	<input type="checkbox"/> Travel	<input type="checkbox"/> Supplies	<input type="checkbox"/> Indirect Charges
<input type="checkbox"/> Fringe Benefits	<input type="checkbox"/> Equipment	<input type="checkbox"/> Other	

Contractual Total Direct Charges for Oregon Family Support Network (in-kind Years 1 and 2)

TOTAL DIRECT CHARGES FOR THIS AGREEMENT	TOTAL FEDERAL REQUEST
	\$0

Contractual Total Cost for Oregon Family Support Network (in-kind Years 1 and 2)

TOTAL COST	TOTAL FEDERAL REQUEST
\$0	\$0

G. Construction: Not Applicable

H. Other

Line Item #	Item	Check if Minor A&R	Calculation				FEDERAL REQUEST	
			Unit Cost / Rate	Basis	Quantity	Duration		Other Cost
1	ODE training package	<input type="checkbox"/>	\$400.00		1.00		\$400	\$400
2	ODE data processing fees	<input type="checkbox"/>	\$5,000.00		1.00		\$5,000	\$5,000
3	ODE publicity and publications package	<input type="checkbox"/>	\$250.00		1.00		\$250	\$250
4	ODE Attorney General fees	<input type="checkbox"/>	\$1,500.00		1.00		\$1,500	\$1,500
TOTAL							\$7,150	\$7,150

Line Item #	Other Narrative:								
1	ODE training package	Unit Cost/Rate	\$400.00	Basis	Quantity	1.00	Duration	Other Cost	\$400
	All ODE employees must have \$400 allocated annually for training expenses. All purchases must be approved by both a supervisor and ODE Procurement and must follow the procurement policies of the Oregon Department of Administrative Services.								
2	ODE data processing fees	Unit Cost/Rate	\$5,000.00	Basis	Quantity	1.00	Duration	Other Cost	\$5,000
	All ODE employees must have \$5,000 allocated annually for data processing.								
3	ODE publicity and publications package	Unit Cost/Rate	\$250.00	Basis	Quantity	1.00	Duration	Other Cost	\$250
	All ODE employees must have \$660 allocated annually for publicity and publications expenses.								
4	ODE Attorney General fees	Unit Cost/Rate	\$1,500.00	Basis	Quantity	1.00	Duration	Other Cost	\$1,500
	All ODE employees must have \$1,500 allocated annually for required fees charged by the Attorney General.								

I. Total Direct Charges

TOTAL DIRECT CHARGES	TOTAL FEDERAL REQUEST
	\$704,257

J. Indirect Charges

Type of IDC Rate / Cost Allocation Plan

We will apply the training grant rate of 8%

Indirect Charges

Calculation			FEDERAL REQUEST
Training Grant Rate (%)	MTDC Base	IDC	
8.00%	\$301,833	\$24,147	\$24,147
TOTAL			\$24,147

Indirect Charges Narrative:
The training grant indirect rate of 8.00% was applied to the MTDC base of \$301,833. This includes all costs listed in Budget Categories A, B, C, and H, as well as the \$415 for office supplies listed in Category E (line item 2) and an additional \$100,000 (the first \$25,000 of each of the four contracts funded during Year 1). All costs are allowable under ODE's approved federally negotiated IDC rate agreement. ODE has elected to charge the training grant rate of 8% IDC, because this grant will be used to train Community Care Coordinators and Community Care Specialists.

REVIEW OF COST SHARING AND MATCHING

Cost sharing or matching is not required for this grant.

BUDGET SUMMARY: YEAR 1

BUDGET CATEGORY	FEDERAL REQUEST
A. Personnel	\$134,016
B. Fringe Benefits	\$52,377
C. Travel	\$7,875
D. Equipment	\$0
E. Supplies	\$1,575
F. Contractual	\$501,264
G. Construction (N/A)	\$0
H. Other	\$7,150
I. Total Direct Charges (sum of A to H)	\$704,257
J. Indirect Charges	\$24,147

Total Projects Costs (sum of I and J)	\$728,404
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BUDGET SUMMARY FOR REQUESTED FUTURE YEARS

	Year 2	Year 3	Year 4	Year 5
Budget Category	FEDERAL REQUEST	FEDERAL REQUEST	FEDERAL REQUEST	FEDERAL REQUEST
A. Personnel	\$138,170	\$312,084	\$323,007	\$334,312
B. Fringe Benefits	\$54,001	\$138,697	\$143,551	\$148,576
C. Travel	\$7,875	\$23,625	\$23,625	\$23,625
D. Equipment	\$0	\$0	\$0	\$0
E. Supplies	\$1,575	\$4,725	\$4,725	\$4,725
F. Contractual	\$501,264	\$674,064	\$674,064	\$674,064
G. Construction	\$0	\$0	\$0	\$0
H. Other	\$7,150	\$21,450	\$21,450	\$21,450
I. Total Direct Charges (sum A to H)	\$710,035	\$1,174,645	\$1,190,422	\$1,206,752
J. Indirect Charges	\$24,609	\$49,768	\$51,030	\$52,337
Total Project Costs (sum of I and J)	\$734,644	\$1,224,413	\$1,241,452	\$1,259,089

Budget Summary Narrative:

Personnel costs for future years include a cost-of-living adjustment (COLA) for all ODE personnel. The 2022 rate has been negotiated at 3.1%, historic rates have ranged from 1.48% (2015-2019) to 3.00% (2019-2021). Year 2 reflects a 3.1% COLA, as negotiated. Years 3, 4, and 5 reflect an estimated 3.5% COLA, though the rate of increase cannot be accurately predicted. See attached SEIU 503 policy containing COLA information for all ODE employees.

Two ODE positions, the Project Manager and Administrative Specialist, are in-kind personnel for Years 1 and 2. They are currently funded with ESSER III funds through September 30, 2024. In Years 3, 4, and 5, these positions will be charged to the project. They are included in the Budget Summary for Requested Future Years. Fringe benefits, travel costs, supplies costs, and other costs are calculated the same way for these two positions as they are in the detailed budget breakdown above. All ODE employees receive the same fringe benefits rates, fringe benefits fixed/lump-sum costs, and Services & Supplies costs (including travel, supplies, and other costs).

The contractual costs increase in Years 3, 4, and 5 to fund the contract with the University of Oregon, which is detailed in the Contractual section above, though it is funded in-kind in Years 1 and 2.

FUNDING LIMITATIONS / RESTRICTIONS

Funding Limitation/Restriction

"No more than 15 percent of the total grant award for the budget period may be used for data collection, performance measurement, and performance assessment, including incentives for participating in the required data collection follow-up."

Year	1	Year	2	Year	3	Year	4	Year	5	Total for Budget Category
------	---	------	---	------	---	------	---	------	---	---------------------------

A. Personnel	\$0	\$0	\$0	\$0	\$0	\$0
B. Fringe Benefits	\$0	\$0	\$0	\$0	\$0	\$0
C. Travel	\$0	\$0	\$0	\$0	\$0	\$0
D. Equipment	\$0	\$0	\$0	\$0	\$0	\$0
E. Supplies	\$0	\$0	\$0	\$0	\$0	\$0
F. Contractual	\$0	\$0	\$160,000	\$160,000	\$160,000	\$480,000
H. Other	\$0	\$0	\$0	\$0	\$0	\$0
I. Total Direct Charges (sum A to H)	\$0	\$0	\$160,000	\$160,000	\$160,000	\$480,000
J. Indirect Charges	\$0	\$0	\$12,800	\$12,800	\$12,800	\$38,400
TOTAL for the Budget Year	\$0	\$0	\$172,800	\$172,800	\$172,800	\$518,400
Percentage of the Budget	0.000%	0.000%	14.113%	13.919%	13.724%	

Funding Limitation/Restriction Narrative:

The \$172,800 in Years 3, 4, and 5 are contractual costs (direct cost \$160,000 plus 8% indirect rate) for the program evaluation conducted by the University of Oregon (see Contractual section above for details). This falls within the funding limitations/restrictions for this NOFO, as evaluation services are less than 15% of the budget in Years 3, 4, and 5. This contract is funded in-kind in Years 1 and 2, which is why it does not appear in those budget years.

BUDGET INFORMATION - Non-Construction Programs

OMB Number: 4040-0006
Expiration Date: 02/28/2022

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.				\$728,404	\$0	\$728,404
2.						
3.						
4.						
5. Totals				\$728,404	\$0	\$728,404

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY			Total (5)
	(1)	(2)	(3)	
a. Personnel	\$134,016	\$0		\$134,016
b. Fringe Benefits	\$52,377	\$0		\$52,377
c. Travel	\$7,875	\$0		\$7,875
d. Equipment	\$0	\$0		\$0
e. Supplies	\$1,575	\$0		\$1,575
f. Contractual	\$501,264	\$0		\$501,264
g. Construction	\$0	\$0	\$0	\$0
h. Other	\$7,150	\$0		\$7,150
i. Total Direct Charges (sum of 6a-6h)	\$704,257	\$0		\$704,257
j. Indirect Charges	\$24,147	\$0		\$24,147
k. TOTALS (sum of 6i and 6j)	\$728,404	\$0		\$728,404
7. Program Income				

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SECTION C - NON-FEDERAL RESOURCES

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8.				
9.				
10.				
11.				
12. TOTAL (sum of lines 8-11)				

SECTION D - FORECASTED CASH NEEDS

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal					
14. Non-Federal					
15. TOTAL (sum of lines 13 and 14)					

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

(a) Grant Program	FUTURE FUNDING PERIODS (YEARS)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16.	\$734,644	\$1,224,413	\$1,241,452	\$1,259,089
17.				
18.				
19.				
20. TOTAL (sum of lines 16 - 19)	\$734,644	\$1,224,413	\$1,241,452	\$1,259,089

SECTION F - OTHER BUDGET INFORMATION

21. Direct Charges:	
22. Indirect Charges:	
23. Remarks:	

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Oregon

Kate Brown, Governor



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DEPARTMENT OF
EDUCATION

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Colt Gill

Director of the Oregon Department of Education

4/25/2022

Dear Hillsboro SD 1J

Your approved, federal indirect cost rate for 2021-2022 is 3.75 %. ODE finalized your indirect rate on 4/20/2021 and the rate is effective from 07/01/2021 - 06/30/2022. If you are unsure if indirect costs are an allowable cost, please review the official grant award agreement or contact your ODE Grant Manager.

School districts and educational service districts must follow the guidelines listed in the OMB Title 2 CFR Part 200; Subpart E (relocation of OMB Circular A-87) contains provisions for determining indirect cost rates for grantees and sub grantees of federal grants. Please contact your ODE Grant Manager if you have specific, grant related questions including, but not limited to, application of indirect.

The majority of ED funding provided to Local Education Agencies (LEAs) are passed-through from the State Education Agency (SEA). Although LEAs may also receive awards directly from a federal agency, ED delegates authority for LEAs' indirect cost rate determination to the SEA (34 CFR 561 (b)). ODE, as the authorized federal cognizant agency, approves all LEA federal indirect cost rates on an annual basis.

Sincerely,



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DEPARTMENT OF
EDUCATION

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Julie Hansen
Fiscal Analyst
Financial Services | Office of Finance & Administration
(503) 947-5680
julie.b.hansen@state.or.us | www.oregon.gov/ode



Oregon

Kate Brown, Governor



OREGON
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Colt Gill

Director of the Oregon Department of Education

4/25/2022

Dear Phoenix-Talent SD 4

Your approved, federal indirect cost rate for 2021-2022 is 3.97 %. ODE finalized your indirect rate on 9/23/2021 and the rate is effective from 07/01/2021 - 06/30/2022. If you are unsure if indirect costs are an allowable cost, please review the official grant award agreement or contact your ODE Grant Manager.

School districts and educational service districts must follow the guidelines listed in the OMB Title 2 CFR Part 200; Subpart E (relocation of OMB Circular A-87) contains provisions for determining indirect cost rates for grantees and sub grantees of federal grants. Please contact your ODE Grant Manager if you have specific, grant related questions including, but not limited to, application of indirect.

The majority of ED funding provided to Local Education Agencies (LEAs) are passed-through from the State Education Agency (SEA). Although LEAs may also receive awards directly from a federal agency, ED delegates authority for LEAs' indirect cost rate determination to the SEA (34 CFR 561 (b)). ODE, as the authorized federal cognizant agency, approves all LEA federal indirect cost rates on an annual basis.

Sincerely,



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EDUCATION

Oregon achieves . . . together!

Julie Hansen
Fiscal Analyst
Financial Services | Office of Finance & Administration
(503) 947-5680
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INDIRECT COST RATE AGREEMENT
STATE EDUCATION AGENCY

Organization:

Oregon Department of Education
255 Capitol Street, NE
Salem, OR 97310-1300

Date: September 15, 2021

Agreement No: 2021-086

Filing Reference: This replaces previous Agreement No. 2019-058

Dated: 6/27/2019

The approved indirect cost rates herein are for use on grants, contracts, and other agreements with the Federal Government. The rates are subject to the conditions included in Section II of this Agreement and regulations issued by the Office of Management and Budget (OMB) Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards under 2 CFR 200.

Section I - Rates and Bases

<u>Type</u>	<u>From</u>	<u>To</u>	<u>Rate</u>	<u>Base</u>	<u>Applicable To</u>
Predetermined	07/01/2021	6/30/2023	19.5%	MTDC	Unrestricted
Predetermined	07/01/2021	6/30/2023	16.1%	MTDC	Restricted

Distribution Base:

MTDC Modified Total Direct Cost - Total direct costs excluding equipment, capital expenditures, participant support costs, pass-through funds and the portion of each subaward (subcontract or subgrant) above \$25,000 (each award; each year).

Applicable To:

Unrestricted Unrestricted rates apply to programs that do not require a restricted rate per 34 CFR 75.563 and 34 CFR 76.563.

Restricted Restricted rates apply to programs that require a restricted rate per 34 CFR 75.563 and 34 CFR 76.563.

Treatment of Fringe Benefits:

Fringe benefits applicable to direct salaries and wages are treated as direct costs. Pursuant to 2 CFR 200.431, (b), (3), Paragraph (i), unused leave costs for all employees are allowable in the year of payment. The treatment of unused leave costs should be allocated as an indirect cost except for those employee salaries designated as a direct cost for the restricted rate calculation.

Capitalization Policy: Items of equipment are capitalized and depreciated if the initial acquisition cost is equal to or greater than \$5,000.

Section II – Particulars

Limitations: Application of the rates contained in this Agreement is subject to all statutory or administrative limitations on the use of funds, and payments of costs hereunder are subject to the availability of appropriations applicable to a given grant or contract. Acceptance of the rates agreed to herein is predicated on the following conditions: (A) that no costs other than those incurred by the Organization were included in the indirect cost pools as finally accepted, and that such costs are legal obligations of the Organization and allowable under the governing cost principles; (B) the same costs that have been treated as indirect costs are not claimed as direct costs; (C) that similar types of information which are provided by the Organization, and which were used as a basis for acceptance of rates agreed to herein, are not subsequently found to be materially incomplete or inaccurate; and (D) that similar types of costs have been accorded consistent accounting treatment.

Accounting Changes: The rates contained in this agreement are based on the organizational structure and the accounting systems in effect at the time the proposal was submitted. Changes in organizational structure or changes in the method of accounting for costs which affect the amount of reimbursement resulting from use of the rates in this agreement, require the prior approval of the responsible negotiation agency. Failure to obtain such approval may result in subsequent audit disallowance.

Provisional/Final/Predetermined Rates: A proposal to establish a final rate must be submitted. The awarding office should be notified if the final rate is different from the provisional rate so that appropriate adjustments to billings and charges may be made. Predetermined rates are not subject to adjustment.

Fixed Rate: The negotiated fixed rate is based on an estimate of the costs that will be incurred during the period to which the rate applies. When the actual costs for such period have been determined, an adjustment will be made to a subsequent rate calculation to compensate for the difference between the costs used to establish the fixed rate and the actual costs.

Notification to Other Federal Agencies: Copies of this document may be provided to other Federal agencies as a means of notifying them of the agreement contained herein.

Audit: All costs (direct and indirect, federal and non-federal) are subject to audit. Adjustments to amounts resulting from audit of the cost allocation plan or indirect cost rate proposal upon which the negotiation of this agreement was based may be compensated for in a subsequent negotiation.

Reimbursement Ceilings/Limitations on Rates: Awards that include ceiling provisions and statutory/regulatory requirements on indirect cost rates or reimbursement amounts are subject to the stipulations in the grant or contract agreements. If a ceiling is higher than the negotiated rate in Section I of this agreement, the negotiated rate will be used to determine the maximum allowable indirect cost.

Section III - Special Remarks

Alternative Reimbursement Methods: If any federal programs are reimbursing indirect costs by a methodology other than the approved rates in this agreement, such costs should be credited to the programs and the approved rates should be used to identify the maximum amount of indirect costs allocable.

Submission of Proposals: New indirect cost proposals are necessary to obtain approved indirect cost rates for future fiscal years. **The next indirect cost rate proposal is due six months prior to the expiration dates of the rates in this agreement.**

Section IV – Approvals

For the State Education Agency:

Oregon Department of Education
255 Capitol Street, NE
Salem, OR 97310-1300

Rick Crager

Digitally signed by Rick Crager
Date: 2021.09.17 16:06:33 -07'00'

Signature

Rick Crager

Name

Assistant Superintendent, Office of Finance & Information Technology

Title

September 17, 2021

Date

For the Federal Government:

U.S. Department of Education
OFO / OAGA / ICD
400 Maryland Avenue, SW
Washington, DC 20202-4500

**Andre
Hylton**

Digitally signed
by Andre Hylton
Date: 2021.09.15
20:45:15 -04'00'

Signature

Andre Hylton

Name

Director, Indirect Cost Division

Title

September 15, 2021

Date

Negotiator: Mae Ewell

Telephone Number: (202) 453-7898



COLLECTIVE BARGAINING AGREEMENT

between

DAS

THE DEPARTMENT OF
ADMINISTRATIVE SERVICES

and

SEIU

SERVICE EMPLOYEES
INTERNATIONAL UNION,
LOCAL 503, OPEU

2021

-

2023

MASTER AGREEMENT

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2021-2023 STATE OF OREGON AND SEIU LOCAL 503, OPEU COLLECTIVE BARGAINING AGREEMENT MASTER AGREEMENT INDEXING SYSTEM

The indexing system used in this Agreement assigns a reference number to each Coalition and a letter to each Agency within the Coalition. These numbers and letters are as follows:

.1 HUMAN SERVICES COALITION

- .1C** Employment Department
- .1M** Department of Human Services-Oregon Health Authority (DHS-OHA)

.2 INSTITUTIONS COALITION

- .2A** Oregon Youth Authority Youth Correctional Facilities and Camps (OYA)
- .2C** Oregon State Hospital (OSH)
- .2H** Pendleton State-Delivered Secure Residential Treatment Facility (Pendleton Cottage)
- .2K** Oregon Youth Authority Admin. and Field Services (OYA)

.3 ODOT COALITION

- .3A** Oregon Department of Transportation (ODOT)
- .3B** Oregon Parks & Recreation Department (OPRD)
- .3C** Oregon Department of Forestry (ODF)
- .3D** Oregon Department of Aviation (ODOA)
- .3E** Oregon Department of Fish & Wildlife (ODFW)
- .3F** Department of Geology and Mineral Industries (DOGAMI)
- .3G** Oregon Department of Agriculture
- .3H** Oregon Water Resources Department (WRD)
- .3I** Oregon Watershed Enhancement Board (OWEB)

.5 SPECIAL AGENCIES COALITION

- .5A** Department of Education (ODE)
(Including School for the Deaf (OSD) & Youth Corrections Education Program (YCEP))
- .5C** Oregon State Library (OSL)
- .5D** Oregon State Treasury (OST)
- .5E** Department of Administrative Services (DAS)
- .5F** Commission for the Blind
- .5G** Public Employees Retirement System (PERS)
- .5H** Department of Justice (DOJ)
- .5I** Oregon Housing & Community Services (OHCS)
- .5J** Teachers Standards and Practices Commission (TSPC)
- .5N** Department of Revenue
- .5Q** Department of Consumer & Business Services (DCBS)
- .5S** Bureau of Labor and Industries (BOLI)
- .5T** Department of Veterans' Affairs (DVA)
- .5V** Workers' Compensation Board (WCB)
- .5W** Licensing Boards:
 - Board of Dentistry
 - Board of Examiners for Engineering and Land Surveying
 - Board of Examiners for Speech Pathology & Audiology
 - Board of Massage Therapists
 - Board of Medical Imaging
 - Board of Naturopathic Medicine
 - Board of Nursing
 - Board of Pharmacy
 - Mortuary and Cemetery Board
 - Occupational Therapy Licensing Board
 - Oregon Medical Board
 - Oregon Mental Health Regulatory Board
- .5Y** Higher Education Coordinating Commission (HECC)

In this Agreement, four types of Article numbers appear. These numbers have different applications. For example, some Articles apply to all Agencies covered under this Agreement, some Articles apply only to the Agencies within a particular coalition, some Articles apply only to a particular Agency within a coalition, and some apply only to temporary employees. The types of numbers used are as follows:

1. Articles which were negotiated centrally and apply to all coalitions and all Agencies within the four coalitions have numbers without any subcategories, e.g.:

- Article 20--Discipline and Discharge
- Article 27--Salary Increase
- Article 56--Sick Leave
- Article 70--Layoff

2. Article subcategories with no letter following the number signify that the Article applies to all Agencies within a particular coalition, e.g.:

- Article 18.3--Reorganization Notification (ODOT Coalition)
- Article 100.1--Security (Human Services Coalition)

The last digit in these subcategories identifies the coalition that the Article applies to:

- .1** Human Services Coalition
- .2** Institutions Coalition
- .3** ODOT Coalition
- .5** Special Agencies Coalition

3. Articles which have subcategories with a letter following the number signify that the Article applies only to a particular Agency within a coalition, e.g.:

- Article 32.5I--Overtime (OHCS)
- Article 35.2K--Phone Calls (OYA Administration and Field)
- Article 70.2A--Geographic Area for Layoff (OYA Youth Correctional Facilities and Camps)

4. Centrally negotiated Articles which have a "T" attached signify Articles that apply only to temporary employees, e.g.:

- Article 19T--Personnel Records (Temporary Employees)
- Article 22T--No Discrimination (Temporary Employees)

(See Article 2, Section 5(c)(d) for a full listing of Articles and Letters of Agreement which apply to temporary employees.)

If an employee using the Master Agreement is looking for a contractual provision for their particular Agency, they must locate the subject by using either the Table of Contents or the Index.

NOTE: The Parties may elect to assemble and print a coalition agreement in addition to a Master Agreement.

Section 3. Shift Differential.

- (a) Eligibility. All employees required to work a designated schedule shall be paid a differential as outlined in Subsections (b) and (c) below, for each hour or major portion thereof (thirty (30) minutes or more), worked between 6:00 pm and 6:00 am and for each hour or major portion thereof worked on Saturday or Sunday. When a work schedule is requested by an employee and approved by the Agency, and the requested schedule contains hours outside of the hours designated for the employee's position by the Agency, shift differential pay shall be waived by the employee for the hours affected by the change.
- (b) Registered Nurses, Nurse Practitioners, and Licensed Practical Nurses will receive a shift differential of one-dollar and eighty-five cents (\$1.85) per hour. Employees in Mental Health Therapist 2 positions who are certified LPNs and also have the working title of Licensed Practical Nurse will receive this shift differential.
- (c) All other personnel will receive a differential of one dollar (\$1.00) per hour.

REV: 2015,2017,2021

ARTICLE 26.3E--PAY DIFFERENTIAL (ODFW)

Light Fixed Wing and Helicopter Flights.

- (a) An employee who is assigned work that is performed in a light fixed wing aircraft or helicopter involving one (1) or more of the following duties, such as:
 - (1) flying grid patterns
 - (2) low altitude tracking and spotting
 - (3) locate and capture animals
 - (4) collecting census data
 shall receive one-dollar and fifty cents (\$1.50) per hour in the performance of these duties for actual air time only.
- (b) Employees that are being transported to a job site, normal courier duties, point-to-point travel, or similar circumstances shall not qualify for this differential. Pilots are excluded from any part of this provision.

REV: 2015

ARTICLE 27--SALARY INCREASE

Section 1. Cost of Living Adjustments. Effective December 1, 2021, Compensation Plan salary rates shall be increased by two and five tenths percent (2.5%) but not less than eighty-five dollars (\$85) per month (prorated for part-time employees). Effective December 1, 2022, Compensation Plan salary rates shall be increased by three and one tenth percent (3.1%) but not less than one hundred dollars (\$100) per month (prorated for part-time employees). (See Appendix C & E.)

Section 2. Compensation Plan for Non-Strikeable Unit. The Parties agree to maintain a separate wage compensation plan for SEIU Local 503, OPEU-represented employees in the non-strikeable unit, including employees at Oregon State Hospital in positions designated as security. (See Appendix D.)

Section 3. Compensation Plan Changes.

- (a) **Selective Salary Increases.** Effective July 1, 2021, the classifications listed below shall be adjusted as follows:

CLASS #	CLASS TITLE	SALARY RANGE	
		FROM	TO
0101	Office Assistant 1	7	8
0102	Office Assistant 2	9	10
4032	Facility Energy Technician 1	16	18
4033	Facility Energy Technician 2	20	22
4034	Facility Energy Technician 3*	24	26
4035	Facility Energy Technician 4	26	29
5671	Securities Examiner	26	30
6348	Radiologic Technologist	21	25
6394	Dental Assistant 2	16	20
6502	Physical Therapy Assistant	18	21
6503	Physical Therapist	29	31
6506	Certified Occupational Therapist Assistant	18	20

Effective July 1, 2021, all employees in these classifications will retain their current salary rate in the new range except that employees whose current rate is below the first (1st) step of the new range shall be moved to the first (1st) step in the new range and a new salary eligibility date will be established twelve (12) months later. For an employee whose rate is within the new salary range, but not at a corresponding salary step, their current salary rate shall be adjusted to the next higher rate closest to their current salary upon the effective date. "Red-circle" under Article 81, Section 3 will apply when appropriate, (i.e., in cases of downward reclassification).

*Facility and Energy Technician 3s will be placed on-step in the new range for the revised classification to the nearest step which is greater than the employee's current adjusted salary rate. The adjusted salary rate is inclusive of the employee's base rate of pay and the five percent (5%) FETs Recruitment and Retention Differential. These employees will retain their current salary eligibility date, if applicable.

(See Letters of Agreement 27.00-19-325 & 27.00-19-364 in Appendix A.)

(See also Special Agencies Coalition Letter of Agreement 27.5A-03-73 in Appendix A.)

REV: 2013, 2015, 2017, 2019, 2021



Oregon

Kate Brown, Governor



OREGON
DEPARTMENT OF
EDUCATION

Oregon achieves . . . together!

Colt Gill

Director of the Oregon Department of Education

May 2, 2022

Senator Peter Courtney, Co-Chair
Representative Dan Rayfield, Co-Chair
Joint Emergency Board
900 Court Street NE
H-178 State Capitol
Salem, OR 97301

Dear Co-Chairs:

Nature of the Request

Oregon Department of Education (ODE), Early Learning Division (ELD) respectfully requests permission to apply for a Federal grant offered by the Office of Planning, Research, and Evaluation (OPRE) within the Administration for Children and Families (ACF), titled: "Child Care Policy Research Partnership Grants" (Funding Opportunity Number: HHS-2022-ACF-OPRE-YE-0106; [Grant Opportunity Link](#)). The current closing date for applications to this funding opportunity is June 10, 2022. ACF has not yet posted a date for the anticipated announcement of awards.

Agency Action

This Child Care Policy Research Partnership grant would provide \$100,000-\$400,000 to support a 4-year project period and budget period. This grant provides an opportunity for ELD to work with our research partners at Oregon State University (OSU) to design and conduct research on how state child care policies are implemented into practice, and to measure the effect of state and federal investments on provider engagement in state-funded child care programs (such as CCDF-funded child care subsidy). This research will inform continued efforts toward building the supply of high-quality child care for low-income families in Oregon. We will complete the grant application and (if awarded) conduct the research activities with consultation from our colleagues at Oregon Department of Human Services (ODHS). This is a timely opportunity for Oregon, given the upcoming expansion of several ELD-administered programs and as the ELD transitions into the Department of Early Learning and Care (DELIC).

ELD has a strong history of ongoing child care partnership efforts across state agencies and the OSU research partners, including an ongoing federal OPRE grant to evaluate the effects of the CCDBG 2014 Reauthorization policies for children, families, and providers – a project with direct state and federal policy implications. Receiving this funding for a planning grant would enable ELD to build upon recent studies conducted in partnership with OSU about the [market price of child care](#), [child care supply](#), and [stability and barriers to families' participation in Oregon's ERDC program](#), as well as [family and provider barriers to ERDC program participation](#).

Action Requested

ELD respectfully requests the Emergency Board to grant us permission to apply for this ACF OPRE grant.

Senator Peter Courtney, Co-Chair
Representative Dan Rayfield, Co-Chair
Joint Emergency Board

Legislation Affected

No legislation will be affected if this request is approved.

Thank you for considering the approval of this request.

Sincerely,



Alyssa Chatterjee
Early Learning System Director, Early Learning Division



Colt Gill
Director of the Oregon Department of Education



Orego

Kate Brown, Governor

Oregon State Police

General Headquarters
3565 Trelstad Ave SE
Salem, OR 97317
Voice: 503-378-3720
Fax: 503-378-8282
TTY: 503-585-1452

May 2, 2022

Senator Peter Courtney, Co-Chair
Representative Dan Rayfield, Co-Chair
Joint Emergency Board
900 Court Street NE
H-178 State Capitol
Salem, OR 97301

Dear Co-Chairs:

Nature of the Request

The Oregon State Police (OSP) respectfully requests permission to apply to the U.S. Department of Justice (DOJ), Office of Justice Programs (OJP), Bureau of Justice Statistics (BJS) for the FY 2022 National Instant Criminal Background Check System (NICS) Act Record Improvement Program (NARIP) Competitive Grant. BJS is unable to estimate the number of awards to be made or the maximum amount to be awarded per state.

This grant provides funding to assist eligible states and federally recognized Indian tribal governments with improving the completeness, automation, and transmittal of records to state and federal systems used by the NICS. These records include criminal history data such as felony convictions, convictions for misdemeanors involving domestic violence and stalking, and drug offense arrests and convictions. Other priority records used by the NICS to determine if an individual is disqualified from possessing or receiving a firearm under federal law include protective orders, wanted persons and mental health adjudications.

BJS expects to make awards for a maximum of a 24-month period of performance, to begin on October 1, 2022. This grant does not require a state funding match. The grant application deadline is May 26, 2022.

Agency Action

This grant solicitation was posted on March 24, 2022. The agency is currently working on developing a grant proposal to help support projects that align with Oregon's goal of 1) maintaining the most complete and accurate criminal history database and 2) to ensure records are readily available for firearm transfer background checks in support of public safety nationwide. Historically, the agency has received NARIP grants in the range of approximately \$149,000 to \$2 million. Funds have been used to further the goals of enhancing public safety through various activities such as the record reconciliation project to obtain missing or incomplete disposition records from Oregon courts and county district attorney case outcomes; and record improvement efforts, including providing training to statewide partners for protective order and federal firearm prohibition entries; assist agencies with transitioning to automated reporting of arrest and final case outcomes; assist partner agencies with the development and implementation of processes for improved data reporting; and establishing a method for reporting mental health adjudications, as well as orders granting relief from firearms prohibition.

It is anticipated that grant funds will be used for Personnel/OPE for continuation of an Operations and a Policy Analyst 3 position to facilitate NARIP-related record improvements in coordination with contributing agencies; and Contractual Services to continue funding a vendor to collect court and district attorney court record documents to assist with the completion of many of OSP's criminal history records thus enhancing the completeness of the Oregon criminal history repository.

Action Requested

Approve OSP's request to apply for the FY 2022 National Instant Criminal Background Check System (NICS) Act Record Improvement Program (NARIP) Competitive Grant through the U.S. Department of Justice, Office of Justice Programs, Bureau of Justice Statistics; and approve reoccurring grant application requests.

Legislation Affected

None

Thank you for your consideration of this request.

Sincerely,



Terri Davie
Superintendent
Oregon State Police

cc: Constantin Severe, Governor's Office
April McDonald, Dept. of Administrative Services - Chief Financial Office
John Terpening, Legislative Fiscal Office
Jon Harrington, OSP Deputy Superintendent
Jenny Cribbs, OSP Chief Financial Officer



April 29, 2022

The Honorable Senator Peter Courtney, Co-Chair
The Honorable Representative Dan Rayfield, Co-Chair
Joint Emergency Board
900 Court Street NE
H-178 State Capitol
Salem, OR 97301

Dear Co-Chairs:

Nature of the Request

The Oregon Business Development Department (Department) requests retroactive approval of the submission of a federal grant from the United States Department of Agriculture Forest Services (USDA FS) in the amount of \$13M for the purpose of providing loans and grants for economic development projects that benefit the local communities in the vicinity of the Opal Creek Wilderness area.

Federal Grant Information

In the late 1990's, Congress authorized a \$15M economic development fund, the State and Private Forestry Account, that was never fully appropriated. There is \$13M remaining to be appropriated; the funds are to be committed through the FY23 omnibus budget bill and will require submission of this request.

The Department submitted its application on March 31, 2022.

No matching funds are required, and no additional FTE will be required to administer these grant funds.

This funding opportunity supports the Departments mission and goals to assist Oregon communities with community and economic development opportunities. If the Department is successful with its application, we will work with our partners in Marion County and the Santiam Canyon to achieve the goal of building economic development opportunities in the Opal Creek Wilderness communities.

Action Requested

The Department respectfully requests retroactive approval of the submission of a federal grant from the United States Department of Agriculture Forest Services (USDA FS) in the amount of \$13M for the purpose of providing loans and grants for economic development projects that benefit the local communities in the vicinity of the Opal Creek Wilderness area.

Legislation Affected

This request does not affect current legislation.

Sincerely,

A handwritten signature in black ink that reads "Chris Cummings". The signature is written in a cursive style with a long, sweeping tail that loops back to the right.

Chris Cummings
Assistant Director



Oregon

Kate Brown, Governor

Department of Land Conservation and Development

Director's Office

635 Capitol Street NE, Suite 150

Salem, Oregon 97301-2540

Phone: 503-373-0050

Fax: 503-378-5518

www.oregon.gov/LCD



April 29, 2022

Senator Peter Courtney, Co-Chair
Representative Dan Rayfield, Co-Chair
State Emergency Board
900 Court Street NE
H-178 State Capitol
Salem, OR 97301

Dear Co-Chairs:

Nature of the Request

As part of the Oregon Mass Timber Coalition, the Department of Land Conservation and Development (DLCD) requests authority to seek and receive funding as a component project lead US Economic Development Administration [Build Back Better Regional Challenge](#). The coalition is focused on the acceleration of mass timber in residential construction. More common outside of the US, cross laminated timber may be used as an alternate to concrete and steel in taller buildings. This grant request focuses on using advanced manufacturing of wood products in residential and multifamily contexts. Led by the Port of Portland, the Oregon Mass Timber Coalition was successful in applying for Phase I of the Build Back Better Challenge. In this case, DLCD was a grant subrecipient, focused on researching and ultimately removing regulatory barriers to the siting of mass timber built modular residential homes. The Oregon Mass Timber Coalition and the Port of Portland as the lead applicant were notified of the Phase I award on December 13, 2021. Members of the Coalition are now eligible to apply for amplified funding in Phase II.

Oregon's Mass Timber Coalition includes a successful collaboration in 2015 led by Business Oregon called the Pacific Northwest Manufacturing Partnership (PNMP). The PNMP is embedded in Oregon statute by way of [Senate Bill 482 \(2015\)](#) and received designation as one of 24 nationally designated manufacturing communities under the Investing in Manufacturing Communities Partnership program (EDA) also in 2015. Since 2015, the Oregon Legislature has invested in the formation of the Tallwood Design Institute, a leading research institution in the design and manufacturing of advanced wood products for commercial and residential use. In addition to DLCD, coalition partners of Tallwood Design Institute, the Port of Portland and the Oregon Department of Forestry are requesting \$25 million (\$17 million UO, \$8 million OSU), \$69.5M and \$5M respectively for a total \$100M application. Coalition partners submitted our respective requests by the deadline on March 15, 2022. Since that time, we have come to understand that the US Economic Development

Request for Retroactive Grant Application Authority

April 29, 2022

Page 2 of 3

Administration has asked applicants to reduce their requests by half. Coalition partners are in the process of working out those details.

As background, DLCD regional representatives have been working on fire-recovery with communities in coordination with FEMA and Regional Solutions Team members in fire-affected areas across the state. The 2020 Labor Day Fires exacerbated pre-existing housing shortages which this new housing product seeks to help address. We are working in five fire-affected communities with the Phase I funds: Phoenix, Talent, Gates, Detroit and Lincoln City.

Our original grant request on March 15 was for \$575,000. With the 50% reduction, we expect our modified request will be for \$278,500. DLCD regional representatives, code and economic development specialists' time provides a modest match to the project with outreach and assistance to understand barriers to the siting of these new mass modular structures, with a focus in wildfire affected areas. Individual staff would contribute 5% or less as the work will be done primarily in the community. The work is consistent with the agency's mission as well as our agency State Recovery Function #1 responsibilities for Community Planning and Capacity Building and biennial priority of rural community and economic development.

Agency Action

Key elements:

- Community outreach to increase understanding this mass timber product, produced both as panelized timber, as well as volumetric prefabricated construction.
- Identification of zoning and development code barriers.
- Removal of barriers through the local adoption process.

Summary of Grant Application Information

Source of Grant: US Economic Development Administration

Amount Being Requested: \$278,500

Purpose of the Grant: remove barriers to mass produced mass timber housing in development codes, leading with fire-affected areas

Grant application deadline: Originally March 15; modified budget request due May 16, 2022

Description of any state match required for the grant: \$119,000 of matching state funds.

Source of funding of any required match: general fund.

Description of impact the match will have on budgeted services and performance measures: no impact; the work performed for this grant is consistent with the agencies existing services and performance measures

Estimate of any additional FTE that the grant will require: none; only part time graduate assistance is envisioned.

Description of how the grant supports the agency mission and goals: DLCD's mission is to help communities plan for, protect and improve the built and natural systems that provide a high quality of life. This work is particularly consistent with statewide land use Goal 10: housing, as would help increase and expedite housing supply of a product to help satisfy middle and workforce housing needs.


Action Requested

DLCD requests retroactive authority to apply for federal funds at the next scheduled meeting of the Emergency Board.

Legislation Affected

No legislation is affected.

Sincerely,

A handwritten signature in black ink, appearing to be "Jim Rue", written in a cursive style.

Jim Rue, Director
503.881.0667

CC: Morgan Gratz-Weiser, Governor's Office
Land Conservation and Development Commission
Doug Wilson, Legislative Fiscal Office
Simone Filimoehala, Chief Financial Office
Carol Bovett, Administrative Services Division Manager
Leigh McIlvaine, Economic Development Specialist



**OREGON
DEPARTMENT OF
AGRICULTURE**

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635 Capitol St NE, Salem, OR 97301-2532
503.986.4550 | Oregon.gov/ODA

May 3, 2022

Senator Peter Courtney, Co-Chair
Representative Dan Rayfield, Co-Chair
Joint Emergency Board
900 Court Street NE
H-178 State Capitol
Salem, OR 97301

Dear Co-Chairs:

Nature of the Request

The Oregon Department of Agriculture (ODA) requests retroactive approval to apply for a United States Department of Agriculture (USDA) Partnerships for Climate-Smart Commodities one-time funding opportunity. The funding ODA is requesting will provide direct monetary assistance to producers in Oregon to implement climate-smart practices on their working lands with verifiable achievement and create market opportunities for their new climate-smart commodities.

This funding opportunity was published February 7, 2022, and closes May 6, 2022. It is a competitive grant that has no match requirement. ODA continues to work on the details of the grant application, but may request up to approximately \$62 million in total project funding over four (4) state biennia for the USDA Partnerships for Climate-Smart Commodities Grant.

If the grant is successful, ODA would plan to work with institutions of higher education in Oregon to provide facilitation, project management, evaluation design, and oversight of the project. The estimated \$62 million request appropriates approximately \$38 million of the total project for grant funds directly to producer incentives and verification representing 61% of the total budget. In addition to this direct benefit, landowners across the state will receive benefits in sample analysis, consultation of how to improve management practices on their land, incentives for producers to participate in focus groups and surveys, promotion for their climate-smart commodities, and partnership development. The request also includes funding for sample analysis which is required for verification of the Climate-Smart Commodities grant and funding for technical assistance and consultation to producers.

ODA plans to facilitate and collaborate with stakeholders on market opportunities for climate-smart commodities produced in Oregon. This is a required component of the USDA grant and will provide an ongoing incentive and reward for producers using climate-smart practices. This component includes direct monetary assistance for producers to compensate for time spent during in-depth interviews, focus groups, and surveys.

The remaining project funds are intended to go towards paying costs for greenhouse gas (GHG) sensor technology setup and maintenance and technicians, soil and biomass sampling workers and equipment needed to collect and ship samples, sample analysis workers, equipment, and laboratory space, data services (database curator, management, privacy, and analysis), project management, and indirect costs.

The project spans multiple biennia, and as ODA continues to develop the final grant application, it may include up to the following:

2021-23 Biennium	\$934 thousand
2023-25 Biennium	\$40.9 million
2025-27 Biennium	\$17.8 million
2027-29 Biennium	\$2.4 million
Total	\$62 million

The first Biennium (2021-23) request is approximately \$934 thousand and designed to go towards setting the infrastructure needed to be put in place. This includes seven limited duration positions (1.75 FTE): a Program Area Director (Business Operations Administrator 1), two Operations and Policy Analyst 3 positions, two Program Analyst 1 positions, Accountant 3, and Accounting Technician. There may also be significant investment in working with stakeholders to ensure we get their input to meet their needs. Stakeholder outreach in the beginning and throughout the project will be critical to overall project success.

The second Biennium (2023-25) request of the budget, is up to approximately \$40.9 million and 17 limited duration positions (16.04 FTE). Positions include the ones that are planned to begin in 2021-23 plus a COMET-Planner (CarbOn Management and Emissions Tool) expert (NRS 3), two field sensor technicians (NRS 2), four field samplers (NRS2), and three lab technicians (NRS 2). During this time, we anticipate providing grants to producers around the state to implement climate-smart practices on their working lands. This money is critical



for wide-spread adoption of climate-smart practices in Oregon working lands. Most producers are too financially limited to take on the risk associated with changing practices. This opportunity is designed to help remove those financial risks, allowing producers to transition to climate-smart practices and allowing the agricultural community to better understand which practices, under what conditions can help mitigate a changing climate. A significant portion of this money is requested to go to the required verification of greenhouse gas reductions or carbon sequestered. Oregon's diverse cropping systems have limited quality or no continuous greenhouse gas emissions measurements. This project's goal is to help provide significant insight into Oregon's potential to reduce greenhouse gas emissions in the agricultural sector. Many of the costs in the 23-25 Biennium are requested to go towards sample analysis and the labor required for sample collection and analysis. During this time, we will work on the required development of marketing opportunities for our climate-smart commodities.

The third Biennium (2025-27) requests up to approximately \$17.8 million. This Biennium has similar outcome goals to the Biennium 2023-25, but with a reduction in grant monies to disperse as we expect to give a significant portion during the previous Biennium. It is anticipated that the limited duration positions identified in 2023-25 would continue into this biennium.

The final Biennium (2027-29) requests up to approximately \$2.4 million. This Biennium we plan to be wrapping up sampling analyses and providing final reports for the project. Limited duration positions are anticipated to phase out October of 2027.

Federal Grant Information

The USDA Partnerships for Climate-Smart Commodities grant request is seeking to fund projects up to five years that provide voluntary incentives to producers and landowners to a) implement climate-smart production practices, activities, and systems on working lands, b) measure and verify carbon and GHG benefits associated with those practices, and c) develop markets and promote the resulting climate-smart commodities. For the purposes of this funding opportunity, a "climate-smart commodity" is an agricultural commodity that is produced using agricultural practices that reduce GHG emissions or sequester carbon.

The first funding pool can request \$5 million to \$100 million per proposal. This pool which ODA is applying to are for large-scale projects that emphasize GHG benefits of climate-smart commodity production and include direct, meaningful benefits to a representative cross-section of production agriculture, including small and/or historically underserved



Senator Peter Courtney, Co-Chair
Representative Dan Rayfield, Co-Chair
May 3, 2022
Page 4

producers. All projects must be tied to the development of markets and promotion of climate-smart commodities.

ODA is applying for this grant opportunity as it aligns with our mission of ensuring healthy natural resources, environment, and economy for Oregonians now and in the future. ODA acknowledges that this is a large-scale effort and that it has not undertaken a grant opportunity like this before. Partnerships throughout the state, especially with the agriculture community, will be critical to successful implementation of this funding opportunity if awarded.

Action Requested

The ODA respectfully requests retroactive approval to apply for the USDA Partnership for Climate-Smart Commodities grant. Following the USDA review and potential award agreement, the scope and degree of the project may be altered. ODA will return for approval of limitation for awarded amounts and USDA approved scope of work.

Legislation Affected

If the grant application is successful, ODA will return to the Legislature for increased federal funds expenditure limitation and limited duration positions.

Sincerely,



Alexis M. Taylor, Director
Oregon Department of Agriculture





Oregon

Kate Brown, Governor

Department of Forestry

State Forester's Office

2600 State St.

Salem, OR, 97310

503-945-7200

www.oregon.gov/ODF

May 2, 2022

Senator Peter Courtney, Co-Chair
Representative Dan Rayfield, Co-Chair
State Emergency Board
900 Court Street NE
H-178 State Capitol
Salem, OR 97301

RE: Request Seeking Retroactive Approval to Apply for Federal Funds: EDA Build Back Better Regional Challenge

Dear Co-Chairs:

The Oregon Department of Forestry seeks retroactive approval to apply for federal grant funds through the U.S. Economic Development Administration's [Build Back Better Regional Challenge](#). The department will partner with the Tallwood Design Institute and Port of Portland to help advance a unique project utilizing small diameter trees from forest restoration projects to produce mass timber for the development of affordable housing solutions. This project enjoys strong political and financial support from both the Governor's Office and all the members of Oregon's congressional delegation. The Oregon Legislature recently authorized \$5 million to Hacienda CDC to support mass timber affordable housing prototype development, which is a related effort.

Nature of the Request

The Oregon Mass Timber Coalition is focused on expanding the use of mass timber in residential construction. More common outside of the U.S., cross-laminated timber may be used as an alternative to concrete and steel in taller buildings. This grant request focuses on using advanced manufacturing of wood products in residential and multifamily contexts. Led by the Port of Portland, the Oregon Mass Timber Coalition was successful in applying for Phase I of the Build Back Better Challenge. In this case, ODF was a grant subrecipient, focused on increasing wildfire resilience and accelerating the pace and scale of federal forest restoration. The Oregon Mass Timber Coalition and the Port of Portland as the lead applicant were notified of the Phase I award on December 13, 2021. Members of the coalition are now eligible to apply for additional funding in Phase II.

The coalition's successes include its involvement with the Pacific Northwest Manufacturing Partnership (PNMP), which was a collaborative effort led by Business Oregon in 2015. The PNMP was codified in Oregon statute through [Senate Bill 482 \(2015\)](#) and received designation as one of 24 nationally designated manufacturing communities under the Investing in Manufacturing Communities Partnership program of the U.S. Economic Development Administration (EDA). Since 2015, the Oregon Legislature has invested in the formation of the Tallwood Design Institute, a leading

research institution in the design and manufacturing of advanced wood products for commercial and residential use. The coalition partners are requesting a combined total of \$98.25M: \$25 million for the Tallwood Design Institute (\$17 million UO, \$8 million OSU); \$70M for the Port of Portland; and \$3.25M for the Oregon Department of Forestry.

Agency Action

ODF staff through the Planning Branch and Federal Forest Restoration Program will identify and conduct an environmental assessment of forest restoration projects on the Willamette National Forest, in partnership with federal agency staff and local and regional stakeholders. This effort will have the dual benefit of increasing fire resilience and providing fiber for mass timber manufacturing. Efforts to make forests more fire resilient focus on removing small trees and brush while leaving larger trees behind; cross-laminated timber and mass plywood panel manufacturing is a ready-made market for these materials.

ODF will also implement a robust “track and trace” program to bring additional transparency and accountability to the forest supply chain. This effort will use a respected third-party contractor and include pre- and post-ecological monitoring. Additionally, the funds will support multi-media communications and storytelling to help the public understand the benefits of forest restoration efforts and advanced manufacturing.

Summary of Grant Application Information

Source of Grant: U.S. Economic Development Administration

Amount Being Requested: \$3,250,000

Planning & Implementation of Forest	
Restoration projects (personnel & contracted service).....	\$1,500,000
Track & trace program (contracted service)	\$500,000
Communications & outreach (contracted service)	\$500,000
Contingency (cost overruns for restoration projects).....	\$750,000

Purpose of the grant: Accelerate forest restoration and provide additional wood fiber to support affordable housing with mass timber, implement robust track and trace program.

Grant application deadline: March 15, 2022

Description of any state match required for the grant: The EDA grant request totals \$3.25 million. ODF’s \$650,000 in matching funds will be accrued over a period of four years and come from a mix of personnel time (\$550,000) and contracted services (\$100,000) supported through General Fund appropriations from both the Planning Branch and Federal Forest Restoration Program.

1 OPA-4 – 12 months	\$150,000
1 NRS-3 – 24 months	\$260,000
1 NRS-3 – 16 months.....	\$140,000
Contracted services.....	\$100,000

Description of impact the match will have on budgeted services and performance measures: The work performed for this grant is consistent with the agency's existing services and performance measures. The project lead is already dedicated to this body of work; additional staff support from the Federal Forest Restoration Program to help implement forest restoration projects is consistent with the scope of work for that program.

Estimate of any additional FTE that the grant will require: No additional staff required.

Description of how the grant supports the agency mission and goals: ODF's mission is to serve the people of Oregon by protecting, managing, and promoting the stewardship of Oregon's forests to enhance environmental, economic, and community sustainability. This work will reduce wildfire risk, thus protecting those forests and the environmental, economic, and community values they provide. It will also provide additional economic and community benefits in rural areas.

Action Requested

The agency respectfully requests retroactive approval and federal fund limitation to apply for the EDA Build Back Better Regional Challenge grant.

Legislation Affected

SB 5518, Chapter 605, Section 4(5), Oregon Laws 2021

Thank you for your time and consideration. Please feel free to contact me directly with any questions.

Sincerely,



Cal Mukumoto, State Forester
(503) 945-7211

c: Jason Miner, Governor's Office
Oregon Board of Forestry
Matt Stayner, Legislative Fiscal Office
Renee Klein, Chief Financial Office



Oregon

Kate Brown, Governor

Department of Geology and Mineral Industries

Administrative Offices
800 NE Oregon St., Suite 965
Portland, OR 97232-2162
(971) 673-1555
Fax: (971) 673-1562
www.oregongeology.org

April 29, 2022

Senator Peter Courtney, Co-Chair
Representative Dan Rayfield, Co-Chair
Joint Emergency Board
900 Court Street NE
H-178 State Capitol
Salem, OR 97301

Dear Co-Chairs:

Nature of the Request

The purpose of this letter is to request legislative approval regarding a federal grant application. The Department of Geology and Mineral Industries (DOGAMI) proposes to apply for a federal grant with the Federal Emergency Management Agency (FEMA) requesting up to a total of \$1,017,215 under the Cooperating Technical Partnership (CTP) RiskMAP program.

DOGAMI has been a Cooperative Technical Partner with FEMA since 2008. The grant opening is anticipated to open May 1 and will close June 14, 2022. DOGAMI is planning to submit on June 6-7th. If successful, DOGAMI will be awarded grant funding from FEMA before October 2022.

The proposed grant would fund the following projects:

- Jackson County LiDAR for \$417,715.
- Oregon Digital Flood Hazard Database – Update and publication for \$50,300.
- Historical Flood Event Database for select counties for \$55,800.
- Hood River County Landslide Inventory for \$158,400.
- Outreach and Community Engagement for \$24,000.
- Multi-Hazard Risk Assessments for \$112,000.
- South-Central Lane County Geohazard Mapping & Risk Reduction for \$253,000.

This grant opportunity supports DOGAMI's mission and goals to provide earth science information and regulation to make Oregon safe and prosperous. This grant supports Key Performance Measure #1: Hazard and Risk Assessment Completion. This grant does not require a non-federal funding match and can charge full indirects. No additional funds or staffing are needed.

Agency Action

Under DOGAMI's funding model it is essential that the Agency pursue relevant federal grant funding opportunities as they become available to satisfy biennial budget projections. DOGAMI has developed improved grant management tools including identification of available staff with appropriate expertise and required financial resources.

Action Requested

The department is requesting approval to apply to FEMA for the above FY22 CTP RiskMAP program grant. DOGAMI may request a federal fund limitation increase if funding is awarded.

Legislation Affected

Legislation affected by this grant proposal is HB 5010-A-Engrossed (2021) if additional federal limitation is necessary.

Thank you for your consideration of this request. If you have any questions, please contact me at 971-610-8968.

Sincerely,



Ruarri Day-Stirrat
Executive Director/State Geologist

cc: George Naughton, Chief Financial Officer
Renee Klein, Chief Financial Office
Morgan Gratz-Weiser, Governor's Office
John Terpening, Legislative Fiscal Office
Amanda Beitel, Legislative Fiscal Officer



Oregon

Kate Brown, Governor

Department of Geology and Mineral Industries

Administrative Offices

800 NE Oregon St., Suite 965

Portland, OR 97232-2162

(971) 673-1555

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www.oregongeology.org

April 29, 2022

Senator Peter Courtney, Co-Chair
Representative Dan Rayfield, Co-Chair
Joint Emergency Board
900 Court Street NE
H-178 State Capitol
Salem, OR 97301

Dear Co-Chairs:

RE: Retroactive Grant Request

Nature of the Request

The purpose of this letter is to request retroactive legislative approval regarding a federal grant application. The Department of Geology and Mineral Industries (DOGAMI) proposes to apply for a grant with the National Tsunami Hazard Mitigation Program (NTHMP) of the National Oceanic and Atmospheric Administration (NOAA) National Weather Service (NWS) for \$494,331.

DOGAMI has applied for and been awarded funds from this competitive grant opportunity since 2002. Per the Funder, the grant application is expected to be opened soon and will have a short window to apply with an anticipated due date of the end of May. DOGAMI is planning to submit the application between May 24-25.

DOGAMI, Oregon Emergency Management and local communities work in partnership to build a resilient Oregon coast against a great earthquake and accompanying tsunami occurring on the Cascadia subduction zone. The FY22 tsunami proposal to NOAA places a priority on sustaining support for outreach efforts on the coast, improving access to evacuation guidance, while building on additional risk awareness and hazard mitigation activities through new evacuation modeling and exposure analyses.

This grant opportunity supports DOGAMI's mission and goals to provide earth science information and regulation to make Oregon safe and prosperous. This grant supports Key Performance Measure #1: Hazard and Risk Assessment Completion.

This grant does not require a non-federal funding match and can charge full indirects. No additional funds or staffing are needed.

Senate President and Speaker of the house
April 29, 2022
Page 2

Agency Action

Under DOGAMI's funding model it is essential that the Agency pursue relevant federal grant funding opportunities as they become available to satisfy biennial budget projections. DOGAMI has developed improved grant management tools including identification of available staff with appropriate expertise and required financial resources.

Action Requested

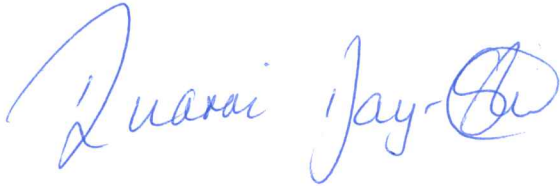
The department is requesting approval to apply to NOAA for the above FY22 NTHMP grant. DOGAMI may request a federal fund limitation increase if funding is awarded.

Legislation Affected

Legislation affected by this grant proposal is HB 5010-A-Engrossed (2021) if additional federal limitation is necessary.

Thank you for your consideration of this request. If you have any questions, please contact me at 971-610-8968.

Sincerely,



Ruarri Day-Stirrat
Executive Director/State Geologist

cc: George Naughton, Chief Financial Officer
Renee Klein, Chief Financial Office
Morgan Gratz-Weiser, Governor's Office
John Terpening, Legislative Fiscal Office
Amanda Beitel, Legislative Fiscal Officer



Oregon

Kate Brown, Governor

Department of Environmental Quality
Agency Headquarters
700 NE Multnomah Street, Suite 600
Portland, OR 97232
(503) 229-5696
FAX (503) 229-6124
TTY 711

May 2, 2022

Senator Peter Courtney, Co-Chair
Speaker Dan Rayfield, Co-Chair
State Emergency Board
900 Court Street NE
H-178 State Capitol
Salem, OR 97301

Dear Co-Chairs:

Nature of the Request

The Department of Environmental Quality respectfully requests retroactive approval to apply to the Environmental Protection Agency's (EPA) 2022 Enhanced Air Quality Monitoring for Communities (RFA#: EPA-OAR-OAQPS-22-01) grant. The application period for this federal grant closed March 25, 2022.

Agency Action

Under the American Rescue Plan Act of 2021 (ARP), Congress provided EPA with a one-time supplemental appropriation of \$100 million to address health outcome disparities from pollution and the COVID-19 pandemic. EPA has made a portion of these funds available to enhance air quality monitoring in and near underserved communities across the United States. EPA is designating \$20 million of these funds for projects to monitor air pollutants of greatest concern in communities with adverse health outcome disparities. EPA will award funds to eligible entities to support community and local efforts to monitor their own air quality and to promote air quality monitoring partnerships between communities and tribal, state, and local governments. DEQ is seeking **\$500,000 in funding** over a three-year project period. The application does not require a state match. EPA intends to announce awards this summer.

Particulate Matter 2.5 (PM2.5) and Diesel Particulate Matter (DPM) in Oregon continue to pose a health risk, despite an already established strong regulatory framework, especially in socio and economically disadvantaged communities. Additionally, there are disproportionate burdens to Black Oregonians—who are exposed to ambient PM2.5 levels of 6.26 micrograms/cubic meter and diesel-driven excess cancer risk of 6.13 excess cases of cancer per million – and Oregonians of Asian heritage – who are exposed to ambient PM2.5 levels of 6.22 micrograms/cubic meter and diesel-driven excess cancer risk of 5.40 excess cases of cancer per million – both of which are higher than the statewide average of 5.90 micrograms/cubic meter of ambient PM2.5 and 3.92 diesel-driven excess cases of cancer. DEQ's proposal intends to establish a lending library of low-cost PM2.5 and DPM sensors to create a collaborative and equitable framework to empower communities to monitor and improve their local air quality.

DEQ plans to collaborate with communities, advocacy groups, and agency partners to determine the parameters for identifying overburdened, vulnerable, and historically underserved communities to prioritize monitoring efforts. DEQ anticipates there will be four communities identified through the framework process. As part of the project, the following will be developed to ensure completion of the framework efforts in the identified communities within the grant period:

- A community engagement framework that will provide guidelines to ensure that community monitoring projects are equitable (i.e., accessible to all communities) and sustainable (i.e., can scale to multiple communities and pollutants, as well as provide feedback for learning and improving community stewardship efforts).
- Curriculum to build community capacity around air quality and its connection to public health.
- A lending library of monitors, weather stations/anemometers, and a community portal infrastructure that will include an interactive map, graphs, and the ability to download graphs.
- Materials regarding air quality and the lending library in multiple languages to be disseminated to underserved communities.
- Developed community capacity and collaboration framework by bringing various diverse stakeholders to participate in the process and develop strategies that produce an equitable framework for collaborative community monitoring that can be used by agencies for air quality or other environmental burden going forward.

DEQ will not need additional FTE. While DEQ will serve as the grant administrator, the agency will pass-through the majority of funds to community advocacy groups and local communities for implementation. If awarded and depending on the award structure DEQ may need adjustments to its Air Quality Federal Funds limitation in the 2023-2025 biennium.

DEQ is very excited for this opportunity to provide a focused number of resources to support local air quality monitoring efforts. Thank you for your review of this request letter. Please do not hesitate to reach out with any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard Whitman". The signature is fluid and cursive, with the first name "Richard" and last name "Whitman" clearly distinguishable.

Richard Whitman
Director



Oregon

Kate Brown, Governor

Department of Transportation

Office of the Director

355 Capitol St NE

Salem, OR 97301

May 2, 2022

Senator Peter Courtney, Co-Chair
Representative Dan Rayfield, Co-Chair
State Emergency Board
900 Court Street NE
H-178 State Capitol
Salem, OR 97301-4048

Dear Co-Chairs:

NATURE OF THE REQUEST

The Oregon Department of Transportation (ODOT) Commerce and Compliance Division (CCD) requests retroactive permission to apply for the Motor Carrier Safety Assistance Program (MCSAP) High Priority Innovative Technology Deployment Grant (“Grant”) for \$2,000,000 provided by Federal Motor Carrier Safety Administration (FMCSA) for Federal Fiscal Year 2022.

AGENCY ACTION

The Fixing America’s Surface Transportation Act provides long-term funding opportunities through FMCSA’s MCSAP and High Priority Grant Programs. The Grant program purpose is to “advance the technological capability and promote the deployment of intelligent transportation system applications for commercial vehicle operations.” ODOT became eligible for grant funds after certifying Performance and Registration Information System Management compliance in 2020. The 2022 Grant application was due March 31, 2022, and award recipients will likely be notified by September 30, 2022. ODOT has identified a project in the Agency’s Strategic Action Plan that meets program criteria.

CCD is working to implement an Over-Dimension (OD) Permitting System to assist the Agency in meeting customer demand and increase the system’s flexibility for future industry growth. This intelligent technology system will streamline the information exchange network between various work units and divisions within the agency to allow for real time data updates and quicker permit issuance for routine loads. It also provides for future opportunities to increase access to local city and county size and weight permitting services. The functionality of the system will be achieved through multiple phases with the goal of providing enhanced automation and access to various permits at all hours, increasing customer satisfaction while protecting Oregon’s infrastructure and the traveling public. This automation increases efficiency and reduces permit processing times, freeing up analysts’ time during standard business hours to focus on the detailed coordination needed to move extremely large loads through the state.

The OD Permit System Replacement Project has gained agency approval and prioritization to meet Agency strategic goals of investing in innovative technologies and modern transportation

May 2, 2022

Page 2

systems. The total cost of the project will be \$7 million, and this grant opportunity will assist CCD with meeting the aggressive timeline and free up state highway fund dollars for other operational needs including capital infrastructure projects that are currently on hold. With federal funds, CCD will modernize data sharing and integration processes with affiliated work groups, integrate key stakeholder routing data, improve stakeholder involvement in permit review and approval practices, and provide carriers more robust permitting, routing, and reporting functionalities.

Grant Request: \$ 2,000,000
Matching Funds: \$ 0

This grant cycle, there is no required match. If CCD is not awarded federal funding the project will continue utilizing CCD's biennial budget and rotational staffing assignments to advance the work. This may result in slower project progress as resources are spread over a longer of period of time and potential for capital improvement projects to deteriorate to a higher-cost solution in the future as funds are used utilized elsewhere. If the agency is successful, ODOT may need to return to a future legislative body to request expenditure limitation and related limited duration position authority to help execute the grant.

ACTION REQUESTED

ODOT requests retroactive approval to submit a Grant application to FMCSA for \$2,000,000 in MCSAP High Priority Innovative Technology Deployment incentive funds.

LEGISLATION AFFECTED

None.

Sincerely,



Kristopher W. Strickler
Director



Oregon

Kate Brown, Governor

Department of Transportation

Office of the Director

355 Capitol St NE

Salem, OR 97301

May 2, 2022

Senator Peter Courtney, Co-Chair
Representative Dan Rayfield, Co-Chair
Joint Emergency Board
900 Court Street NE
H-178 State Capitol
Salem, OR 97301-4048

Dear Co-Chairs:

NATURE OF THE REQUEST

The Oregon Department of Transportation (ODOT) Delivery and Operations Division requests permission to apply for a National Scenic Byway Program (NSBP) Grant for \$360,000 from the Federal Highway Administration (FHWA).

AGENCY ACTION

The National Scenic Byways Program Grant funds activities, such as:

- The planning, design, or development of a State or Indian Tribe scenic byway program.
- Development and implementation of a corridor management plan to maintain the scenic, historical, recreational, cultural, natural, and archaeological characteristics of a byway corridor while providing for accommodation of increased tourism and development of related amenities.
- Construction along a scenic byway of a facility for pedestrians and bicyclists, rest area, turnout, highway shoulder improvement, overlook, or interpretive facility.
- An improvement to a scenic byway that will enhance access to an area for the purpose of recreation, including water-related recreation.
- Protection of scenic, historical, recreational, cultural, natural, and archaeological resources in an area adjacent to a scenic byway.

The Notice of Funding Opportunity was issued by FHWA on March 16, 2022, and applications are due by June 20, 2022. No date of award has been indicated, but grant funds must be spent between 2025 and 2029. Federal law permits, in certain cases, federal funds to qualify as matching funds for federal grant opportunities. As this project falls within the Umpqua and Rogue National Forests, USFS has committed to pay the match required by the grant which is permissible under federal law.

ODOT, FHWA-Western Federal Lands Division, and the US Forest Service have identified updating the Rogue Umpqua National Scenic Byway Corridor Management Plan (CMP) as an excellent candidate for this grant program. The [Rogue-Umpqua Scenic Byway](#) is a National Scenic Byway, located between Roseburg and Gold Hill, connects the public land gateway areas of Roseburg and Medford, Oregon, with destinations like Crater Lake National Park, Diamond Lake Recreation Area, and other recreation sites along the Rogue and Umpqua rivers. The corridor includes sections of OR 138, OR 230, OR 62, and OR 234. Portions of the corridor were impacted by the catastrophic wildfires of 2020 that burned over one million acres statewide, primarily in high use recreation areas.

If awarded, the grant will be used to update the Rogue Umpqua National Scenic Byway Corridor Management Plan (CMP) to account for the changes in forest and Byway conditions due to the fires that destroyed recreation sites, trails, scenic viewsheds, and other infrastructure. The project includes creation of a Viewshed Corridor Plan for post-wildfire recovery to guide federal agencies' management of fuels along the corridor, and will help protect the public lands that are a primary economic driver of the communities in the area. The CMP update will address collaborative decisions regarding replacement and/or relocation of critical recreation assets that contribute to the economic vitality of rural gateway communities and ensure access and equity in outdoor recreation experiences. ODOT will serve as project manager, facilitating stakeholder and community meetings. Engagement with communities of interest will serve to reinvigorate and revitalize the coordination across multiple public and private sectors to achieve truly shared stewardship outcomes.

The Umpqua National Forest and parts of the Rogue Forest suffered significant fire damage along the highway corridor in 2020. As efforts to rebuild properties, trails, and replant lost trees and other vegetation continue, the goal of the CMP is to maintain the scenic, historical, recreational, cultural, natural, and archaeological characteristics of a byway corridor while providing for accommodation of increased tourism and development of related amenities. This is a high priority corridor for the USFS and National Forests as they seek to recover from the devastating wildfires.

Total Project Cost: \$450,000
Grant Request: \$360,000
Matching Funds: \$ 90,000

The source of the matching funds will come from USFS wildfire recovery funds of the Federal Lands Planning Program. ODOT and USFS would establish an Inter-Governmental Agreement if the grant application is successful. If the grant application is not funded, the Rogue Umpqua National Scenic Byway Corridor Management Plan will not be updated. ODOT anticipates the timeline to develop the plan will fall within 2025 to 2029. If awarded, ODOT may need to return for additional expenditure limitation depending on the timing of implementation of the grant; no additional positions are needed.

ACTION REQUESTED

ODOT requests approval to submit a grant application to the Federal Highway Administration for \$360,000 in National Scenic Byways Program grant funds.

LEGISLATION AFFECTED

None.

Sincerely,



Kristopher W. Strickler
Director

**April 26, 2022**

The Honorable Senator Peter Courtney, President of the Senate
The Honorable Representative Dan Rayfield, Speaker of the House
900 Court Street NE
H-178 State Capitol
Salem, OR 97301

Dear Senator Courtney and Representative Rayfield:

Nature of the Request

The Bureau of Labor and Industries (BOLI) respectfully requests retroactive permission for the application for the U.S. Department of Labor, Employment and Training Administration, Apprenticeship Building America (ABA) Grant funding in the amount of \$4,000,000. The funding opportunity was posted on February 23, 2022 and updated on March 25, 2022.

The original 10-day grant notification was submitted on April 12, 2022. The application requesting \$4,000,000 was due, and submitted, on April 25, 2022. The period of performance will be four years, with an anticipated start date of July 1, 2022 and end date of June 30, 2026.

Federal Grant Information

The source of the federal grant is the U.S. Department of Labor, Employment and Training Administration, Apprenticeship Building America (ABA) Grant and the amount being funding being requested is \$4,000,000. The application was due, and submitted, on April 25, 2022, with an anticipated start date of July 1, 2022, and end date of June 30, 2026.

BOLI Apprenticeship and Training Division (BOLI-ATD) will be administering the grant and has identified staff to coordinate the application process and provide project and grant management. BOLI-ATD is currently managing Future Ready Oregon grant funds in the amount of \$18.9 million and other grant funds through an interagency agreement with the Oregon Department of Transportation.

There is no state match requirement or maintenance of effort requirement as part of the ABA Grant.

BOLI-ATD will perform the grant management activities including reporting, monitoring, fiscal, and technical assistance with Limited-Duration positions associated with Future Ready Oregon. As part of its 2023-2025 budget request, BOLI will include a Policy Option Package requesting federal fund expenditure limitation and position authority to continuing three positions to manage the grant: 1.0 FTE Program Analyst 3, 1.0 FTE Operations Policy Analyst 2, and an Accountant 3.

The purpose of the grant is to expand the number of registered apprenticeship programs and apprentices across the state, diversify the industries that utilize registered apprenticeship and increase access to, and completion of, apprenticeship training for underrepresented populations and underserved communities.

Oregon employers are reporting record numbers of job vacancies, while simultaneously identifying 72% of these jobs as difficult to fill. The primary reasons that employers cite are lack of applicants followed by lack of qualified candidates¹. These are problems that Registered Apprenticeship Programs (RAPs) and pre-apprenticeship training programs (PATPs) are particularly well situated to mitigate if they can recruit and prepare an adequate number of diverse workers. However,

¹ State of Oregon Employment Department. "Oregon Economic Update: Recovery, Risks, and Challenges." <https://www.qualityinfo.org/-/oregon-economic-update-recovery-risks-and-challenges?inheritRedirect=true&redirect=%2Fed>



the ability of both Oregon and national registered apprenticeship systems to solve these problems has been undermined by the historical underrepresentation of women, Black, Indigenous, People of Color (BIPOC), and individuals with disabilities, among others. BOLI-ATD will utilize the grant funds to build on our work to combat these disparities, ensure that stakeholders along the apprenticeship continuum are operating from a place in which equity in access and retention is a central concern, and help RAPs to meet their Equal Employment Opportunity (EEO) obligations with the support of a stable and sustainable community of PATP partners feeding the pipeline of diverse new apprentices.

Further, the current challenges that Oregon employers face in filling job vacancies is exacerbated by the projected growth estimates in industries such as manufacturing, healthcare, childcare, and technology (collectively the “Emerging Apprenticeship Industries”) and the growth and replacement need in construction, which requires more focused diversity efforts. Creating a pipeline of diverse, skilled workers in each of these sectors will require nuanced approaches led by industry experts to meet their unique circumstances.

The ABA Grant will allow BOLI-ATD to address the workforce challenges in Oregon by creating a pipeline of diverse, skilled workers in critical economic sectors by expanding on RAPs and PATPs. BOLI-ATD will be able to further its mission to register and support apprenticeship programs that build Oregon's workforce and increase access to jobs by:

- **Expanding Pre-Apprenticeship Training** to better meet demand for new apprentices by recruiting pre-apprentices for classes designed to meet the needs of trades with a high demand for new workers; completing pre-apprentice classes; providing job coaching and mentoring; support pre-apprentices in applying for RAPs; and coordinating with BOLI's supportive services contractors for pre-apprentices who become registered in RAPs. This will improve diversity, equity, and inclusion measures by establishing new high-quality PATPs to prepare individuals from underrepresented target populations and expanding current PATPs to graduate more students. The training will also increase percentages of women and people of Color and create opportunities for individuals with disabilities.
- **Expanding current Registered Apprenticeship Programs in Emerging Apprenticeship Industries** by working with industry, labor, and workforce development partners to do outreach to and recruitment of employers and to explain the benefits of becoming Training Agents with RAPs. ABA grant funds will be used to expand employer participation in the existing and newly established RAPs.
- **Creating of new Registered Apprenticeship Programs in Emerging Apprenticeship Industries** by working with industry partners to identify specific occupations in the Emerging Apprenticeship Industries to target for developing new RAPs and select contractors to develop the required components to get a registered program approved. The potential trades for new RAPs include machinists, pharmacist technicians, surgical technologists, network administrators, information security analysts, childcare workers, and Early Childhood Educators.
- **Supportive Services** for apprentices that achieve family-focused results and increase retention and completion rates for apprentices with an emphasis on women, BIPOC, Veterans, and individuals with disabilities. Staff will develop assessment tools to assist any interested apprentice with budgeting and financial planning, make referrals for any needed services, provide hardship assistance, provide childcare subsidies, and track individual progress.

Sincerely,

Val Hoyle
Labor Commissioner