Requested by SENATE COMMITTEE ON JUDICIARY

## PROPOSED AMENDMENTS TO SENATE BILL 1001

- In line 2 of the printed bill, after "records" insert "; amending ORS 132.250, 132.260, 132.270 and 137.225".
- 3 Delete lines 4 through 6 and insert:

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- 4 **"SECTION 1.** ORS 132.250 is amended to read:
- "132.250. (1)(a) The district attorney of a county comprising a judicial district with a population between 150,000 and 300,000 or over 700,000 shall ensure that proceedings before the grand jury are recorded in the manner described in this section and ORS 132.260.
  - "(b) The Chief Justice of the Supreme Court shall designate the types of audio electronic recording devices suitable for recording grand jury proceedings and may establish policies and procedures by rule or order to carry out the provisions of this section and ORS 132.260 and 132.270.
  - "(c) The district attorney shall use to record the grand jury proceedings audio electronic recording devices designated, provided and maintained by the Judicial Department.
  - "(d) The presiding judge of each judicial district shall enter into an agreement with each district attorney in the district that identifies the conditions and terms of access to the audio electronic recording devices for the transfer of the recording from the district attorney to the court for storage.
    - "(2)(a) The district attorney shall delegate the recording of grand jury

- proceedings to a grand juror. [and] **The court** shall provide instruction to the grand juror concerning the audio electronic recording equipment and requirements of the recording.
- "(b) Notwithstanding paragraph (a) of this subsection, the court may, 4 upon request of the prosecuting attorney, appoint a certified shorthand re-5 porter as defined in ORS 8.415 or a shorthand reporter certified by a national 6 certification association, who shall be permitted to attend all proceedings 7 of the grand jury for the purpose of taking accurate notes. The shorthand 8 reporter's services shall be paid for by the prosecuting attorney. The short-9 hand reporter shall be sworn to correctly report the proceedings of the grand 10 jury described in ORS 132.260 and to keep secret any information concerning 11 the grand jury proceedings. 12
- "(c) The grand juror or shorthand reporter recording the proceedings is not subject to subpoena, and may not disclose any information, concerning the grand jury proceedings without prior court order.
- "(3)(a) A failure of an audio electronic recording device to accurately record all or part of a grand jury proceeding does not affect the validity of any prosecution or indictment.
- "(b) A failure of a grand juror to operate an audio electronic recording device in a manner that accurately records all or part of a grand jury proceeding, as required, does not affect the validity of any prosecution or indictment.
  - "(c) A failure of a shorthand reporter to prepare accurate notes or an accurate report of all or part of a grand jury proceeding, as required, does not affect the validity of any prosecution or indictment.
- "(4) This section and ORS 132.260 do not apply to grand jury proceedings under ORS 132.440 that inquire into the condition and management of correctional facilities and youth correction facilities.
- "SECTION 2. ORS 132.250, as amended by section 9, chapter 650, Oregon
  Laws 2017, is amended to read:

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- "132.250. (1)(a) The district attorney of a county shall ensure that proceedings before the grand jury are recorded in the manner described in this section and ORS 132.260.
- "(b) The Chief Justice of the Supreme Court shall designate the types of audio electronic recording devices suitable for recording grand jury proceedings and may establish policies and procedures by rule or order to carry out the provisions of this section and ORS 132.260 and 132.270.
  - "(c) The district attorney shall use to record the grand jury proceedings audio electronic recording devices designated, provided and maintained by the Judicial Department.
    - "(d) The presiding judge of each judicial district shall enter into an agreement with each district attorney in the district that identifies the conditions and terms of access to the audio electronic recording devices for the transfer of the recording from the district attorney to the court for storage.
    - "(2)(a) The district attorney shall delegate the recording of grand jury proceedings to a grand juror. [and] **The court** shall provide instruction to the grand juror concerning the audio electronic recording equipment and requirements of the recording.
  - "(b) Notwithstanding paragraph (a) of this subsection, the court may, upon request of the prosecuting attorney, appoint a certified shorthand reporter as defined in ORS 8.415 or a shorthand reporter certified by a national certification association, who shall be permitted to attend all proceedings of the grand jury for the purpose of taking accurate notes. The shorthand reporter's services shall be paid for by the prosecuting attorney. The shorthand reporter shall be sworn to correctly report the proceedings of the grand jury described in ORS 132.260 and to keep secret any information concerning the grand jury proceedings.
- "(c) The grand juror or shorthand reporter recording the proceedings is not subject to subpoena, and may not disclose any information, concerning

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- 1 the grand jury proceedings without prior court order.
- 2 "(3)(a) A failure of an audio electronic recording device to accurately re-
- 3 cord all or part of a grand jury proceeding does not affect the validity of any
- 4 prosecution or indictment.
- 5 "(b) A failure of a grand juror to operate an audio electronic recording
- 6 device in a manner that accurately records all or part of a grand jury pro-
- 7 ceeding, as required, does not affect the validity of any prosecution or
- 8 indictment.

- 9 "(c) A failure of a shorthand reporter to prepare accurate notes or an
- accurate report of all or part of a grand jury proceeding, as required, does
- 11 not affect the validity of any prosecution or indictment.
- "(4) This section and ORS 132.260 do not apply to grand jury proceedings
- under ORS 132.440 that inquire into the condition and management of
- 14 correctional facilities and youth correction facilities.

## **"SECTION 3.** ORS 132.260 is amended to read:

- "132.260. (1) Except as provided in subsection (2) of this section, the grand
- 17 juror described in ORS 132.250 (2)(a), or the shorthand reporter described in
- ORS 132.250 (2)(b), who is recording grand jury proceedings in a judicial
- district with a population between 150,000 and 300,000 or over 700,000 shall
- 20 record all testimony given before the grand jury, including:
- 21 "(a) The case name and number;
- 22 "(b) The name of each witness appearing before the grand jury; and
- "(c) Each question asked of, and each response provided by, a witness
- 24 appearing before the grand jury.
- 25 "(2) The grand juror operating the audio electronic recording device or
- 26 the shorthand reporter may not record:
- 27 "(a) The deliberations or voting of the grand jury.
- 28 "(b) A presentment made pursuant to ORS 132.370.
- 29 "(c) Any statements made by a grand juror who is examined as a witness
- 30 as provided in ORS 132.350.

- "(d) A procedure related to the production of records, or the unsealing of records, subpoenaed pursuant to ORS 136.583 and to be presented before the grand jury.
- "(3) The district attorney shall [maintain] transfer the audio recordings, or report of the shorthand reporter, produced pursuant to this section and ORS 132.250, to the court. The court shall maintain the recordings or report.
- 8 "SECTION 4. ORS 132.260, as amended by section 10, chapter 650, Oregon 9 Laws 2017, is amended to read:
- "132.260. (1) Except as provided in subsection (2) of this section, the grand jury described in ORS 132.250 (2)(a), or the shorthand reporter described in ORS 132.250 (2)(b), who is recording grand jury proceedings shall record all testimony given before the grand jury, including:
- "(a) The case name and number;
- 15 "(b) The name of each witness appearing before the grand jury; and
- 16 "(c) Each question asked of, and each response provided by, a witness
  17 appearing before the grand jury.
- 18 "(2) The grand juror operating the audio electronic recording device or 19 the shorthand reporter may not record:
- 20 "(a) The deliberations or voting of the grand jury.
- 21 "(b) A presentment made pursuant to ORS 132.370.
- 22 "(c) Any statements made by a grand juror who is examined as a witness 23 as provided in ORS 132.350.
- "(d) A procedure related to the production of records, or the unsealing of records, subpoenaed pursuant to ORS 136.583 and to be presented before the grand jury.
- "(3) The district attorney shall [maintain] transfer the audio recordings, or report of the shorthand reporter, produced pursuant to this section and ORS 132.250, to the court. The court shall maintain the recordings or report.

## **"SECTION 5.** ORS 132.270 is amended to read:

- "132.270. (1) Audio recordings and the notes or report of a shorthand reporter produced pursuant to ORS 132.250 and 132.260 in a judicial district with a population between 150,000 and 300,000 or over 700,000 are confidential and may not be released except as described in this section.
- "(2) When an indictment resulting from grand jury proceedings is indorsed 'a true bill,' the audio recording or the notes or report of a shorthand reporter of the grand jury proceedings may be released only in the following manner:
  - "(a) The prosecuting attorney may [access] obtain from the court a copy of the audio recording or the notes or report of a shorthand reporter at any time after the defendant's arraignment on the indictment.
  - "(b) When the defendant has been arraigned on the indictment and is represented by an attorney, the [district attorney shall] defense attorney may obtain from the court a copy of the audio recordings or the notes or report of a shorthand reporter:
  - "(A) [Provide a copy to the defense attorney of all audio recordings, or the notes or report of a shorthand reporter, related to an indictment] After 10 days have passed since the defendant's arraignment on the indictment and no motion described in subsection (4) of this section has been filed; or
  - "(B) [Provide a copy of the audio recordings, or the notes or report of a shorthand reporter, to the defense attorney] In accordance with the court's ruling on the motion described in subsection (4) of this section, if a motion has been filed.
  - "(c) Unless the court orders otherwise for good cause shown, the prosecuting attorney and the defense attorney may not copy, disseminate or republish the audio recording, the notes or report of a shorthand reporter, or a transcript prepared from the audio recording, notes or report, released pursuant to this subsection, except to provide a copy to an agent of the prosecuting attorney or defense attorney for the limited purpose of case

- preparation. Unless a court orders otherwise for good cause shown, in con-1
- sulting with the defendant the defense attorney may not disclose to the de-2
- fendant: 3

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- "(A) Any personal identifiers of a victim, witness or grand juror obtained 4
- from the audio recording, report, notes or transcript; or 5
- "(B) Any portion of the audio recording, report, notes or transcript that 6 contains any personal identifiers of a victim, witness or grand juror. 7
- "(d) The defense attorney may not provide a copy of the audio recording, 8 notes or report, or a transcript prepared from the audio recording, notes or 9 report, to the defendant.
- "(e) When the defendant has been arraigned but is not represented by an 11 attorney, the defendant may request by motion that the court issue an order 12 allowing the defendant access to review the contents of the audio recording 13 or the notes or report of the shorthand reporter. A copy of the motion must 14 be provided to the prosecuting attorney. The prosecuting attorney may re-15 quest a hearing on the motion within 10 days after receiving a copy. At the 16 hearing, or in response to receiving the motion, the court shall appoint 17 counsel for the defendant for the limited purpose of reviewing the audio re-18 cording, notes or report and may set reasonable conditions on the review of 19 the audio recording, notes or report. 20
  - "(3)(a) When a grand jury inquires into the conduct of a public servant as defined in ORS 162.005 for acts occurring in the performance of the public servant's duties, and an indictment resulting from the grand jury proceedings is indorsed 'not a true bill':
  - "(A) The public servant or the prosecuting attorney may file a motion requesting a court order [releasing] for the production and release of all or a portion of a transcript of the grand jury proceedings. A copy of the motion must be served on the opposing party. The prosecuting attorney shall obtain from the court a copy of the audio recording for the purpose of preparing the transcript. In deciding whether to issue such an

- order, the court shall determine whether the public interest in disclosure outweighs the interest in maintaining the secrecy of the grand jury proceedings. If the court orders disclosure, the court may set reasonable conditions on copying, disseminating or republishing the transcript.
- "(B) A member of the public may file a motion requesting a court order 5 for production and release of a transcript of the grand jury proceedings. A 6 copy of the motion must be served on the prosecuting attorney and the public 7 servant's attorney, or the public servant if the public servant is not repres-8 ented by an attorney. The person filing the motion is responsible for the cost 9 of producing the transcript and a court order for production and release of 10 the transcript must be conditioned on receipt of payment. In deciding 11 whether to issue such an order, the court shall determine whether the public 12 interest in disclosure outweighs the interest in maintaining the secrecy of 13 the grand jury proceedings. If the court orders disclosure, the court may set 14 reasonable conditions on copying, disseminating or republishing the tran-15 script. 16
  - "(b) The release of any transcript under this subsection may not include:
  - "(A) The release of any personal identifiers of a victim or witness; or
  - "(B) The release of the name or any personal identifiers of a grand juror.
  - "(4)(a) A motion for a protective order concerning an audio recording, the notes or report of a shorthand reporter or a transcript of grand jury proceedings may be filed as follows:
  - "(A) The prosecuting attorney may file a motion for a protective order within 10 days after the defendant's arraignment on the indictment. The motion may be filed on behalf of a victim or a witness. The prosecuting attorney shall inform the victim of the ability to seek a protective order.
- "(B) The prosecuting attorney may file a motion for a protective order within 10 days after receiving a motion described in subsection (2)(e) of this section.
  - "(C) The prosecuting attorney, the public servant who is the subject of

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- an indictment indorsed 'not a true bill' or the public servant's attorney may file a motion for a protective order within 10 days of receiving a motion described in subsection (3)(a) of this section.
- "(b) If the motion for a protective order requests that a portion of the audio recording, notes, report or transcript be redacted, the motion must be accompanied by a specific description, including the date and time, of the portion of the audio recording, notes, report or transcript to be redacted.
  - "(c) In response to a motion filed under this subsection, the court may order that the access of the person requesting release to a copy of the audio recording, notes, report or transcript be denied, restricted or deferred, or may make any other order, upon a finding of substantial and compelling circumstances. In deciding whether to grant the motion and enter a protective order under this paragraph, the court may consider the following:
  - "(A) Protection of witnesses and others from physical harm, threats of harm, bribes, economic interference, reprisal and other forms of intimidation;
  - "(B) Maintenance of secrecy regarding informants, as required for effective investigation of criminal activity;
  - "(C) Confidential information recognized under law, including the protection of confidential relationships and privileges and the contents of confidential records unrelated to a crime alleged in the indictment; and
    - "(D) Any other relevant considerations.
  - "(d) The court may permit the evidence of substantial and compelling circumstances described in paragraph (c) of this subsection to be made in the form of a written statement to be inspected by the court only or by oral testimony given on the record.
- "(5)(a) Except as provided in paragraph (b) **or** (c) of this subsection, when grand jury proceedings do not result in an indictment indorsed as either 'a true bill' or 'not a true bill,' the audio recording or notes or report of the shorthand reporter produced pursuant to ORS 132.250 and 132.260 may not be disclosed or released.

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- "(b) When subsequent grand jury proceedings occur inquiring into the 1 same criminal episode as the grand jury proceedings described in paragraph 2 (a) of this subsection, and the subsequent proceedings result in an indictment 3 indorsed as 'a true bill,' the prosecuting attorney shall provide notice to the 4 person charged in the indictment of the occurrence of the earlier grand jury 5 proceedings. After the person is arraigned on the indictment and the time 6 period described in subsection (2)(b) of this section has passed, the audio 7 recording or the notes or report of the shorthand reporter produced during 8 the earlier grand jury proceedings may be obtained in the manner set forth 9 in subsection (2) of this section. 10
  - "(c) After presenting a case to the grand jury, if the prosecuting attorney elects to proceed by way of information instead of indictment, the recording of the grand jury proceeding shall be released in accordance with subsection (2) of this section as if the defendant had been arraigned on the indictment.
- "[(c)] (d) As used in this subsection, 'criminal episode' has the meaning given that term in ORS 131.505.
  - "(6) The [district attorney of each county] Chief Justice of the Supreme Court may establish a fee for the cost of providing a copy of any audio recording, [or] the notes or report of a shorthand reporter or a transcript, of a grand jury proceeding to a person requesting a copy under this section.
  - "(7) An audio recording, the notes or report of a shorthand reporter or a transcript of a grand jury proceeding obtained pursuant to this section and ORS 132.250 and 132.260:
- 25 "(a) May not be used as evidence in any subsequent proceeding, except 26 as permitted under ORS 40.375, 40.380, 40.450, 40.460 or 40.465.
- "(b) May not be used to challenge the indorsement of an indictment 'a true bill' or the proceedings that led to the indorsement.
- 29 "(c) May be used as evidence in a prosecution for perjury or false 30 swearing committed by a witness while giving testimony during the grand

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- 1 jury proceeding or during trial.
- 2 "(d) May be used as evidence in a proceeding for contempt of court 3 against a person alleged to have violated the terms of a court order con-
- 4 cerning the audio recording, notes, report or transcript.
- 5 "(e) May be submitted to the court and used as evidence for a hearing 6 on a protective order described in subsection (4) of this section.
- "(8) The release of audio recordings, shorthand reporter notes or reports or transcripts of grand jury proceedings under this section does not affect discovery obligations under ORS 135.805 to 135.873.
- "(9) As used in this section:

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- "(a) 'Personal identifiers' means:
- "(A) In relation to a witness or a grand juror, the person's address, telephone number, driver license, vehicle registration information, Social Security number, date of birth and the identifying number of the person's
  depository account at a financial institution, as defined in ORS 706.008, or
  credit card account.
  - "(B) In relation to a victim, the victim's address, electronic mail address, telephone number, driver license, vehicle registration information, Social Security number, date of birth, any user names or other identifying information associated with the victim's social media accounts and the identifying number of the victim's depository account at a financial institution, as defined in ORS 706.008, or credit card account.
    - "(b) 'Social media' has the meaning given that term in ORS 659A.330.
- "SECTION 6. ORS 132.270, as amended by section 11, chapter 650, Oregon Laws 2017, is amended to read:
- "132.270. (1) Audio recordings and the notes or report of a shorthand reporter produced pursuant to ORS 132.250 and 132.260 are confidential and may not be released except as described in this section.
- "(2) When an indictment resulting from grand jury proceedings is indorsed 'a true bill,' the audio recording or the notes or report of a short-

- 1 hand reporter of the grand jury proceedings may be released only in the 2 following manner:
- "(a) The prosecuting attorney may [access] **obtain from the court** a copy of the audio recording or the notes or report of a shorthand reporter at any time **after the defendant's arraignment on the indictment**.
- "(b) When the defendant has been arraigned on the indictment and is represented by an attorney, the [district attorney shall] defense attorney may obtain from the court a copy of the audio recordings or the notes or report of a shorthand reporter:
  - "(A) [Provide a copy to the defense attorney of all audio recordings, or the notes or report of a shorthand reporter, related to an indictment] After 10 days have passed since the defendant's arraignment on the indictment and no motion described in subsection (4) of this section has been filed; or
  - "(B) [Provide a copy of the audio recordings, or the notes or report of a shorthand reporter, to the defense attorney] In accordance with the court's ruling on the motion described in subsection (4) of this section, if a motion has been filed.
  - "(c) Unless the court orders otherwise for good cause shown, the prosecuting attorney and the defense attorney may not copy, disseminate or republish the audio recording, the notes or report of a shorthand reporter, or a transcript prepared from the audio recording, notes or report, released pursuant to this subsection, except to provide a copy to an agent of the prosecuting attorney or defense attorney for the limited purpose of case preparation. Unless a court orders otherwise for good cause shown, in consulting with the defendant the defense attorney may not disclose to the defendant:
- "(A) Any personal identifiers of a victim, witness or grand juror obtained from the audio recording, report, notes or transcript; or
- 29 "(B) Any portion of the audio recording, report, notes or transcript that 30 contains any personal identifiers of a victim, witness or grand juror.

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- "(d) The defense attorney may not provide a copy of the audio recording, notes or report, or a transcript prepared from the audio recording, notes or report, to the defendant.
- "(e) When the defendant has been arraigned but is not represented by an attorney, the defendant may request by motion that the court issue an order allowing the defendant access to review the contents of the audio recording or the notes or report of the shorthand reporter. A copy of the motion must be provided to the prosecuting attorney. The prosecuting attorney may re-quest a hearing on the motion within 10 days after receiving a copy. At the hearing, or in response to receiving the motion, the court shall appoint counsel for the defendant for the limited purpose of reviewing the audio re-cording, notes or report and may set reasonable conditions on the review of the audio recording, notes or report.
  - "(3)(a) When a grand jury inquires into the conduct of a public servant as defined in ORS 162.005 for acts occurring in the performance of the public servant's duties, and an indictment resulting from the grand jury proceedings is indorsed 'not a true bill':
  - "(A) The public servant or the prosecuting attorney may file a motion requesting a court order [releasing] for the production and release of all or a portion of a transcript of the grand jury proceedings. A copy of the motion must be served on the opposing party. The prosecuting attorney shall obtain from the court a copy of the audio recording for the purpose of preparing the transcript. In deciding whether to issue such an order, the court shall determine whether the public interest in disclosure outweighs the interest in maintaining the secrecy of the grand jury proceedings. If the court orders disclosure, the court may set reasonable conditions on copying, disseminating or republishing the transcript.
  - "(B) A member of the public may file a motion requesting a court order for production and release of a transcript of the grand jury proceedings. A copy of the motion must be served on the prosecuting attorney and the public

- servant's attorney, or the public servant if the public servant is not repres-
- 2 ented by an attorney. The person filing the motion is responsible for the cost
- 3 of producing the transcript and a court order for production and release of
- 4 the transcript must be conditioned on receipt of payment. In deciding
- 5 whether to issue such an order, the court shall determine whether the public
- 6 interest in disclosure outweighs the interest in maintaining the secrecy of
- 7 the grand jury proceedings. If the court orders disclosure, the court may set
- 8 reasonable conditions on copying, disseminating or republishing the tran-
- 9 script.

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- "(b) The release of any transcript under this subsection may not include:
  - "(A) The release of any personal identifiers of a victim or witness; or
    - "(B) The release of the name or any personal identifiers of a grand juror.
- "(4)(a) A motion for a protective order concerning an audio recording, the notes or report of a shorthand reporter or a transcript of grand jury proceedings may be filed as follows:
  - "(A) The prosecuting attorney may file a motion for a protective order within 10 days after the defendant's arraignment on the indictment. The motion may be filed on behalf of a victim or a witness. The prosecuting attorney shall inform the victim of the ability to seek a protective order.
  - "(B) The prosecuting attorney may file a motion for a protective order within 10 days after receiving a motion described in subsection (2)(e) of this section.
  - "(C) The prosecuting attorney, the public servant who is the subject of an indictment indorsed 'not a true bill' or the public servant's attorney may file a motion for a protective order within 10 days of receiving a motion described in subsection (3)(a) of this section.
  - "(b) If the motion for a protective order requests that a portion of the audio recording, notes, report or transcript be redacted, the motion must be accompanied by a specific description, including the date and time, of the portion of the audio recording, notes, report or transcript to be redacted.

- "(c) In response to a motion filed under this subsection, the court may order that the access of the person requesting release to a copy of the audio recording, notes, report or transcript be denied, restricted or deferred, or may make any other order, upon a finding of substantial and compelling circumstances. In deciding whether to grant the motion and enter a protective order under this paragraph, the court may consider the following:
  - "(A) Protection of witnesses and others from physical harm, threats of harm, bribes, economic interference, reprisal and other forms of intimidation;
  - "(B) Maintenance of secrecy regarding informants, as required for effective investigation of criminal activity;
  - "(C) Confidential information recognized under law, including the protection of confidential relationships and privileges and the contents of confidential records unrelated to a crime alleged in the indictment; and
    - "(D) Any other relevant considerations.

- "(d) The court may permit the evidence of substantial and compelling circumstances described in paragraph (c) of this subsection to be made in the form of a written statement to be inspected by the court only or by oral testimony given on the record.
- "(5)(a) Except as provided in paragraph (b) **or** (c) of this subsection, when grand jury proceedings do not result in an indictment indorsed as either 'a true bill' or 'not a true bill,' the audio recording or notes or report of the shorthand reporter produced pursuant to ORS 132.250 and 132.260 may not be disclosed or released.
- "(b) When subsequent grand jury proceedings occur inquiring into the same criminal episode as the grand jury proceedings described in paragraph (a) of this subsection, and the subsequent proceedings result in an indictment indorsed as 'a true bill,' the prosecuting attorney shall provide notice to the person charged in the indictment of the occurrence of the earlier grand jury proceedings. After the person is arraigned on the indictment and the time period described in subsection (2)(b) of this section has passed, the audio

- 1 recording or the notes or report of the shorthand reporter produced during
- 2 the earlier grand jury proceedings may be obtained in the manner set forth
- 3 in subsection (2) of this section.
- 4 "(c) After presenting a case to the grand jury, if the prosecuting
- 5 attorney elects to proceed by way of information instead of
- 6 indictment, the recording of the grand jury proceeding shall be re-
- 7 leased in accordance with subsection (2) of this section as if the de-
- 8 fendant had been arraigned on the indictment.
- 9 "[(c)] (d) As used in this subsection, 'criminal episode' has the meaning given that term in ORS 131.505.
- "(6) The [district attorney of each county] Chief Justice of the Supreme
- 12 Court may establish a fee for the cost of providing a copy of any audio re-
- cording, [or] the notes or report of a shorthand reporter or a transcript, of
- 14 a grand jury proceeding to a person requesting a copy under this section.
- 15 "(7) An audio recording, the notes or report of a shorthand reporter or a
- transcript of a grand jury proceeding obtained pursuant to this section and
- 17 ORS 132.250 and 132.260:
- 18 "(a) May not be used as evidence in any subsequent proceeding, except
- as permitted under ORS 40.375, 40.380, 40.450, 40.460 or 40.465.
- 20 "(b) May not be used to challenge the indorsement of an indictment 'a
- 21 true bill' or the proceedings that led to the indorsement.
- 22 "(c) May be used as evidence in a prosecution for perjury or false
- 23 swearing committed by a witness while giving testimony during the grand
- 24 jury proceeding or during trial.
- 25 "(d) May be used as evidence in a proceeding for contempt of court
- 26 against a person alleged to have violated the terms of a court order con-
- 27 cerning the audio recording, notes, report or transcript.
- 28 "(e) May be submitted to the court and used as evidence for a hearing
- on a protective order described in subsection (4) of this section.
- "(8) The release of audio recordings, shorthand reporter notes or reports

- or transcripts of grand jury proceedings under this section does not affect discovery obligations under ORS 135.805 to 135.873.
- 3 "(9) As used in this section:

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- 4 "(a) 'Personal identifiers' means:
- "(A) In relation to a witness or a grand juror, the person's address, telephone number, driver license, vehicle registration information, Social Security number, date of birth and the identifying number of the person's
  depository account at a financial institution, as defined in ORS 706.008, or
  credit card account.
  - "(B) In relation to a victim, the victim's address, electronic mail address, telephone number, driver license, vehicle registration information, Social Security number, date of birth, any user names or other identifying information associated with the victim's social media accounts and the identifying number of the victim's depository account at a financial institution, as defined in ORS 706.008, or credit card account.
  - "(b) 'Social media' has the meaning given that term in ORS 659A.330.
  - "SECTION 7. ORS 137.225, as amended by section 12, chapter 120, Oregon Laws 2018, is amended to read:
  - "137.225. (1)(a) Except as provided in paragraph (c) of this subsection, at any time after the lapse of three years from the date of pronouncement of judgment, any defendant who has fully complied with and performed the sentence of the court and whose conviction is described in subsection (5) of this section by motion may apply to the court where the conviction was entered for entry of an order setting aside the conviction. A person who is still under supervision, or who is still incarcerated, as part of the sentence for the offense that is the subject of the motion has not fully complied with or performed the sentence of the court.
- "(b) At any time after the lapse of one year from the date of any arrest, issuance of a criminal citation or criminal charge, if no accusatory instrument was filed, or at any time after an acquittal or a dismissal of the charge,

- the arrested, cited or charged person may apply to the court that would have
- 2 jurisdiction over the crime for which the person was arrested, cited or
- 3 charged, for entry of an order setting aside the record of the arrest, citation
- 4 or charge. For the purpose of computing the one-year period, time during
- 5 which the person has secreted himself or herself within or without this state
- 6 is not included.
- 7 "(c) A person whose sentence of probation was revoked may not apply to
- 8 the court for entry of an order setting aside the conviction for which the
- 9 person was sentenced to probation for a period of 10 years from the date of
- 10 revocation.
- "(2)(a) A copy of the motion and a full set of the defendant's fingerprints
- shall be served upon the office of the prosecuting attorney who prosecuted
- 13 the crime or violation, or who had authority to prosecute the charge if there
- 14 was no accusatory instrument filed, and opportunity shall be given to contest
- the motion. The fingerprint card with the notation 'motion for setting aside
- 16 conviction,' or 'motion for setting aside arrest, citation or charge record' as
- 17 the case may be, shall be forwarded to the Department of State Police. In-
- 18 formation resulting from the fingerprint search along with the fingerprint
- 19 card shall be returned to the prosecuting attorney.
- 20 "(b) When a prosecuting attorney is served with a copy of a motion to set
  - aside a conviction under this section, the prosecuting attorney shall provide
  - a copy of the motion and notice of the hearing date to the victim, if any, of
  - the crime by mailing a copy of the motion and notice to the victim's last-
- 24 known address.

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- 25 "(c) When a person makes a motion under subsection (1)(a) of this section,
- 26 the person must pay a fee of \$80 to the Department of State Police. The
- 27 person shall attach a certified check payable to the Department of State
- Police in the amount of \$80 to the fingerprint card that is served upon the
- 29 prosecuting attorney. The office of the prosecuting attorney shall forward
- 30 the check with the fingerprint card to the Department of State Police.

- "(d) In addition to the fee established under paragraph (c) of this subsection, when a person makes a motion under subsection (1)(a) of this section the person must pay the filing fee established under ORS 21.135.
- "(e) The prosecuting attorney may not charge the defendant a fee for performing the requirements described in this section.
- "(3) Upon hearing the motion, the court may require the filing of such 6 affidavits and may require the taking of such proofs as the court deems 7 proper. The court shall allow the victim to make a statement at the hearing. 8 Except as otherwise provided in subsection (12) of this section, if the court 9 determines that the circumstances and behavior of the applicant from the 10 date of conviction, or from the date of arrest, citation or charge as the case 11 may be, to the date of the hearing on the motion warrant setting aside the 12 conviction, or the arrest, citation or charge record as the case may be, the 13 court shall enter an appropriate order that shall state the original arrest or 14 citation charge and the conviction charge, if any and if different from the 15 original, date of charge, submitting agency and disposition. The order shall 16 further state that positive identification has been established by the De-17 partment of State Police and further identified as to Department of State 18 Police number or submitting agency number. Upon the entry of the order, 19 the applicant for purposes of the law shall be deemed not to have been pre-20 viously convicted, or arrested, cited or charged as the case may be, and the 21 court shall issue an order sealing the record of conviction and other official 22 records in the case, including the records of arrest, citation or charge 23 whether or not the arrest, citation or charge resulted in a further criminal 24 proceeding. 25
  - "(4) The clerk of the court shall forward a certified copy of the order to such agencies as directed by the court. A certified copy must be sent to the Department of Corrections when the person has been in the custody of the Department of Corrections. Upon entry of the order, the conviction, arrest, citation, charge or other proceeding shall be deemed not to have occurred,

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- and the applicant may answer accordingly any questions relating to its occurrence.
- 3 "(5) The provisions of subsection (1)(a) of this section apply to a con-4 viction for:
- "(a) A Class B felony, except for a violation of ORS 166.429 or any crime classified as a person felony as that term is defined in the rules of the Oregon Criminal Justice Commission, only if:
- "(A)(i) Twenty years or more have elapsed from the date of the conviction sought to be set aside or of the release of the person from imprisonment for the conviction sought to be set aside, whichever is later; and
- "(ii) The person has not been convicted of, arrested or criminally cited for or charged with any other offense, excluding motor vehicle violations, after the date the person was convicted of the offense sought to be set aside. Notwithstanding subsection (1) of this section, a conviction, arrest, citation or charge that has been set aside under this section shall be considered for the purpose of determining whether this subparagraph is applicable; or
- "(B) The Class B felony is described in paragraphs (b) to (d) of this subsection.
- 19 "(b) Any misdemeanor, Class C felony or felony punishable as a 20 misdemeanor pursuant to ORS 161.705.
- 21 "(c) An offense constituting a violation under state law or local ordi-22 nance.
  - "(d) An offense committed before January 1, 1972, that, if committed after that date, would qualify for an order under this section.
- 25 "(6) Notwithstanding subsection (5) of this section, the provisions of sub-26 section (1)(a) of this section do not apply to a conviction for:
- "(a) Criminal mistreatment in the second degree under ORS 163.200 if the victim at the time of the crime was 65 years of age or older.
- 29 "(b) Criminal mistreatment in the first degree under ORS 163.205 if the 30 victim at the time of the crime was 65 years of age or older, or when the

- offense constitutes child abuse as defined in ORS 419B.005.
- "(c) Endangering the welfare of a minor under ORS 163.575 (1)(a), when the offense constitutes child abuse as defined in ORS 419B.005.
- "(d) Criminally negligent homicide under ORS 163.145, when that offense was punishable as a Class C felony.
- 6 "(e) Assault in the third degree under ORS 163.165 (1)(h).
- 7 "(f) Any sex crime, unless:
- 8 "(A) The sex crime is listed in ORS 163A.140 (1)(a) and:
- "(i) The person has been relieved of the obligation to report as a sex offender pursuant to a court order entered under ORS 163A.145 or 163A.150; and
- "(ii) The person has not been convicted of, found guilty except for insanity of or found to be within the jurisdiction of the juvenile court based on a crime for which the court is prohibited from setting aside the conviction under this section; or
- 16 "(B) The sex crime constitutes a Class C felony and:
- "(i) The person was under 16 years of age at the time of the offense;
- 18 "(ii) The person is:
- "(I) Less than two years and 180 days older than the victim; or
- "(II) At least two years and 180 days older, but less than three years and 180 days older, than the victim and the court finds that setting aside the conviction is in the interests of justice and of benefit to the person and the community;
- 24 "(iii) The victim's lack of consent was due solely to incapacity to consent 25 by reason of being less than a specified age;
- 26 "(iv) The victim was at least 12 years of age at the time of the offense;
- "(v) The person has not been convicted of, found guilty except for insanity
  of or found to be within the jurisdiction of the juvenile court based on a
  crime for which the court is prohibited from setting aside the conviction
  under this section; and

- "(vi) Each conviction or finding described in this subparagraph involved the same victim.
- 3 "(7) Notwithstanding subsection (5) of this section, the provisions of sub-4 section (1) of this section do not apply to:
- 5 "(a) A conviction for a state or municipal traffic offense.
- "(b) A person convicted, within the 10-year period immediately preceding 6 the filing of the motion pursuant to subsection (1) of this section, of any 7 other offense, excluding motor vehicle violations, whether or not the other 8 conviction is for conduct associated with the same criminal episode that 9 caused the arrest, citation, charge or conviction that is sought to be set 10 aside. A single violation, other than a motor vehicle violation, within the 11 last 10 years is not a conviction under this subsection. Notwithstanding 12 subsection (1) of this section, a conviction that has been set aside under this 13 section shall be considered for the purpose of determining whether this par-14 agraph is applicable. 15
  - "(c) A person who at the time the motion authorized by subsection (1) of this section is pending before the court is under charge of commission of any crime.
    - "(8) The provisions of subsection (1)(b) of this section do not apply to:
- "(a) A person arrested or criminally cited for or charged with an offense 20 within the three-year period immediately preceding the filing of the motion 21 for any offense, excluding motor vehicle violations, and excluding arrests, 22 citations or charges for conduct associated with the same criminal episode 23 that caused the arrest, citation or charge that is sought to be set aside. An 24 arrest, citation or charge that has been set aside under this section may not 25 be considered for the purpose of determining whether this paragraph is ap-26 plicable. 27
- "(b) An arrest or citation for driving while under the influence of intoxicants if the charge is dismissed as a result of the person's successful completion of a diversion agreement described in ORS 813.200.

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- "(9) The provisions of subsection (1) of this section apply to convictions, arrests, citations and charges that occurred before, as well as those that occurred after, September 9, 1971. There is no time limit for making an application.
- "(10) For purposes of any civil action in which truth is an element of a claim for relief or affirmative defense, the provisions of subsection (3) of this section providing that the conviction, arrest, citation, charge or other proceeding be deemed not to have occurred do not apply and a party may apply to the court for an order requiring disclosure of the official records in the case as may be necessary in the interest of justice.
  - "(11)(a) Upon motion of any prosecutor or defendant in a case involving records sealed under this section, supported by affidavit showing good cause, the court with jurisdiction may order the reopening and disclosure of any records sealed under this section for the limited purpose of assisting the investigation of the movant. However, such an order has no other effect on the orders setting aside the conviction or the arrest, citation or charge record.
  - "(b) For the limited purpose of assisting the court's investigation of the movant, the Department of State Police may unseal any record of a prior conviction that has been set aside under this section and disclose the record to the prosecuting attorney.
  - "(12) Unless the court makes written findings by clear and convincing evidence that granting the motion would not be in the best interests of justice, the court shall grant the motion and enter an order as provided in subsection (3) of this section if the defendant has been convicted of one of the following crimes and is otherwise eligible for relief under this section:
    - "(a) Abandonment of a child, ORS 163.535.
- "(b) Attempted assault in the second degree, ORS 163.175.
- 28 "(c) Assault in the third degree, ORS 163.165.
- 29 "(d) Coercion, ORS 163.275.

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"(e) Criminal mistreatment in the first degree, ORS 163.205.

- "(f) Attempted escape in the first degree, ORS 162.165.
- "(g) Incest, ORS 163.525, if the victim was at least 18 years of age.
- 3 "(h) Intimidation in the first degree, ORS 166.165.
- 4 "(i) Attempted kidnapping in the second degree, ORS 163.225.
- 5 "(j) Attempted robbery in the second degree, ORS 164.405.
- 6 "(k) Robbery in the third degree, ORS 164.395.
- 7 "(L) Supplying contraband, ORS 162.185.
- 8 "(m) Unlawful use of a weapon, ORS 166.220.
- 9 "(13) As used in this section, 'sex crime' has the meaning given that term
- 10 in ORS 163A.005.".

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