House Bill 3413

Sponsored by Representatives WILLIAMS, SMITH G, KENY-GUYER; Representatives DOHERTY, FINDLEY, LEIF, MITCHELL, NOBLE, POWER, PRUSAK, SMITH DB, WILDE, ZIKA

SUMMARY

The following summary is not prepared by the sponsors of the measure and is not a part of the body thereof subject to consideration by the Legislative Assembly. It is an editor's brief statement of the essential features of the measure as introduced.

Changes name of office of Long Term Care Ombudsman to office of Long Term Care Ombudsmen. Adds three ombudsmen to office. Declares emergency, effective on passage.

A BILL FOR AN ACT

2 Relating to long term care ombudsmen; creating new provisions; amending ORS 124.090, 125.060,

125.075, 125.085, 125.678, 125.687, 410.550, 441.402, 441.403, 441.404, 441.406, 441.407, 441.408, 441.409, 441.411, 441.412, 441.413, 441.416, 441.417, 441.418, 441.419, 441.650, 441.671, 443.380, 4

 $\mathbf{5}$ 443.382, 443.386, 443.447, 443.738, 443.767, 443.878 and 476.030 and section 15, chapter 608,

6 Oregon Laws 2013, and section 2, chapter 36, Oregon Laws 2018; and declaring an emergency.

7 Be It Enacted by the People of the State of Oregon:

8 SECTION 1. ORS 441.402 is amended to read:

9 441.402. As used in ORS 441.402 to 441.419:

10 (1) "Administrative action" means any action, inaction or decision made by an owner, employee 11 or agent of a long term care facility or by a public agency that affects the services to residents of 12long term care facilities.

13

(2) "Designee" means an individual appointed by the office of the Long Term Care 14 [Ombudsman] Ombudsmen under ORS 441.413 to serve as a representative in a local community in 15order to carry out the purpose of ORS 441.402 to 441.419.

16 (3) "Long term care facility" means:

17 (a) Any licensed skilled nursing facility or intermediate care facility, as defined in rules adopted 18 under ORS 442.015;

(b) Adult foster homes, as defined in ORS 443.705, with residents over 60 years of age; 19

20 (c) Residential care facilities, as defined in ORS 443.400; and

21(d) Continuing care retirement communities, as defined in ORS 101.020.

22(4) "Long Term Care Ombudsman Program" means the services provided by [the] a Long Term 23 Care Ombudsman.

24

1

3

SECTION 2. ORS 441.403 is amended to read:

25441.403. (1) The office of the Long Term Care [Ombudsman] Ombudsmen is established. The [Long Term Care Ombudsman] office shall function separately and independently from any other 2627 state agency. The Governor shall appoint [the] four Long Term Care [Ombudsman] Ombudsmen for 28[a] four-year [term] terms from a list of [three] 12 nominees nominated by the Residential Ombuds-29 man and Public Guardianship Advisory Board established under ORS 441.416. The appointment of 30 [the] a Long Term Care Ombudsman is subject to Senate confirmation under ORS 171.562 and

1 171.565. A vacancy shall be filled within 60 days in the same manner as an appointment is made.

2 (2) [The] A Long Term Care Ombudsman may be removed for just cause, upon recommendation

3 to the Governor by the Residential Ombudsman and Public Guardianship Advisory Board.

4 (3) [*The*] **A** Long Term Care Ombudsman shall have background and experience in the following 5 areas:

6 (a) The fields of aging, mental health or developmental disabilities;

7 (b) Physical or behavioral health care;

8 (c) Working with community programs;

9 (d) Strong understanding of long term care issues, both regulatory and policy;

10 (e) Working with health care providers;

11 (f) Working with and involvement in volunteer programs; and

12 (g) Administrative and managerial experience.

13 **SECTION 3.** ORS 441.404 is amended to read:

14 441.404. The funding for the office of the Long Term Care [Ombudsman] Ombudsmen shall in-15 clude at least one percent of Title III(B) of the Older Americans Act (Public Law 89-73) funding 16 received by this state.

17 <u>SECTION 4.</u> ORS 441.406, as amended by section 17, chapter 61, Oregon Laws 2018, is amended 18 to read:

441.406. (1) The office of the Long Term Care [Ombudsman] Ombudsmen shall carry out the
 following duties:

(a) Investigate and resolve complaints made by or for residents of long term care facilities about
administrative actions that may adversely affect their health, safety, welfare or rights, including
subpoending any person to appear, to give sworn testimony or to produce documentary or other
evidence that is reasonably material to any matter under investigation.

(b) Undertake, participate in or cooperate with persons and agencies in such conferences, inquiries, meetings or studies as may lead to improvements in the functioning of long term care facilities.

(c) Monitor the development and implementation of federal, state and local laws, regulations and
 policies that relate to long term care facilities in this state.

30 (d) Provide information to public agencies about the problems of residents of long term care31 facilities.

(e) Work closely with cooperative associations and citizen groups in this state and the state
 protection and advocacy system under ORS 192.517.

(f) Widely publicize the [Long Term Care Ombudsman's] office's services, purpose and mode of
 operation.

(g) Collaborate with the Oregon Health Authority, the Department of Human Services, the Long
 Term Care Administrators Board and any other appropriate agencies and organizations to establish
 a statewide system to collect and analyze information on complaints and conditions in long term
 care facilities for the purpose of publicizing improvements and resolving significant problems.

(h) Contract with the state protection and advocacy system described in ORS 192.517 (1) to
provide services and assistance to persons who are prospective or current residents of a mental
health treatment facility or of a residential facility for individuals with developmental disabilities
when the system has received a notice regarding the person pursuant to ORS 125.060 (7)(c) or (8)(c).
(i) Appoint designees to serve as local representatives of the office of the Long Term Care
[Ombudsman] Ombudsmen in various districts of the state and regularly monitor their functions.

(j) Specify qualifications and duties of designees. 1

2 (k) Adopt rules necessary for carrying out ORS 441.402 to 441.414, after consultation with the Residential Ombudsman and Public Guardianship Advisory Board. 3

(L) Provide periodically, or at least annually, a report to the Governor, authority, department 4 and Legislative Assembly. 5

(m) Prepare necessary reports with the assistance of the authority and the department. 6

(n) Advise and support the Oregon Public Guardian and Conservator appointed under ORS 7 125.678. 8

9 (o) Supervise, monitor, advise and support the Residential Facilities Ombudsman appointed under ORS 443.382. 10

(2) At least quarterly, the Department of Human Services shall provide the office of the Long 11 12 Term Care [Ombudsman] Ombudsmen with a list of the number of licensed or certified beds in each

13 long term care facility for which the [ombudsman] office has responsibilities under this section.

SECTION 5. ORS 441.407 is amended to read: 14

15 441.407. The office of the Long Term Care [Ombudsman] Ombudsmen shall establish procedures to maintain the confidentiality of the records and files of residents of long term care facilities. 16 These procedures must meet the following requirements: 17

18 (1) [The] An ombudsman or a designee may not disclose the identity of any resident unless the 19 complainant or the resident, or the legal representative of either, consents in writing to the disclo-20sure and specifies to whom the disclosure may be made.

(2) The identity of any complainant or resident on whose behalf a complaint is made, or indi-2122vidual providing information on behalf of the complainant or the resident, shall be confidential. If 23the complaint becomes the subject of judicial proceedings, the investigative information held by the ombudsman or the designee shall be disclosed for the purpose of the proceedings if requested by the 24 25court.

26

SECTION 6. ORS 441.408 is amended to read:

27441.408. (1) [The] A Long Term Care Ombudsman and each designee shall have the right of entry into long term care facilities at any time considered necessary and reasonable by the ombudsman 28 or the designee for the purpose of: 29

30 (a) Investigating and resolving complaints made by residents or made on their behalf;

31 (b) Interviewing residents, with their consent, in private;

(c) Offering the services of the ombudsman or the designee to any resident, in private; 32

(d) Interviewing employees or agents of the facility; 33

34 (e) Consulting regularly with the facility administration; and

35(f) Providing services authorized by law or by rule.

(2)(a) [The] An ombudsman shall have access to any resident's records, and to records of any 36 37 public agency necessary to the duties of the ombudsman, including records on reports of resident abuse made pursuant to ORS 124.050 to 124.095 and 441.630 to 441.680. The provisions of ORS 38 192.553 to 192.581 are not intended to limit the access of the ombudsman to medical records of res-39 idents of long term care facilities. If necessary to investigate a complaint, designees shall have ac-40 cess to individual resident's records, including medical records as authorized by the resident or the 41 resident's legal representative. 42

(b) If a resident's legal representative denies access to the resident's records by [the] an om-43 budsman or a designee, [the] an ombudsman shall have access to the records if the ombudsman has 44 reasonable cause to believe that the legal representative is not acting in the best interests of the 45

1 resident.

2 (3) The [ombudsman] office of the Long Term Care Ombudsmen shall enter into 3 confidentiality agreements with the Department of Human Services and with the Oregon Health 4 Authority permitting the [ombudsman] ombudsmen to have access to electronic records of the de-5 partment and the authority that are necessary to carry out the duties of the [ombudsman] 6 ombudsmen. The agreement must ensure that records obtained by [the] an ombudsman from the 7 department or the authority that are confidential, privileged or otherwise protected from disclosure 8 are not further disclosed, except as permitted by state and federal law.

9 (4) Entry and investigation authorized by this section shall be done in a manner that does not 10 disrupt significantly the providing of nursing, residential or other personal care or treatment to 11 residents.

12 (5) [*The*] **An** ombudsman or [*the*] **a** designee must show identification to the person in charge 13 of the facility. The resident shall have the right to refuse to communicate with the ombudsman or 14 the designee. The refusal shall be made directly to the ombudsman or the designee and not through 15 an intermediary.

(6) The resident shall have the right to participate in planning any course of action to be takenon behalf of the resident by the ombudsman or the designee.

18 **S**

SECTION 7. ORS 441.409 is amended to read:

19 441.409. (1) Following an investigation, [the] a Long Term Care Ombudsman or [the] a designee 20 shall report opinions or recommendations to the party or parties affected and, if appropriate, shall 21 attempt to resolve the complaint using informal techniques of mediation, conciliation and persuasion. 22 Complaints of conditions adversely affecting residents of long term care facilities, or complaints of 23 conditions threatening the safety or well-being of residents that cannot be resolved in the manner 24 described in this section, shall be referred to an appropriate state agency.

(2) Programs that promote the safety or emotional or physical well-being of residents of long
term care facilities shall be promoted and publicized by the [*ombudsman*] **ombudsmen** and the
designees.

28

SECTION 8. ORS 441.411 is amended to read:

441.411. (1) The office of the Long Term Care [*Ombudsman*] Ombudsmen shall prepare and distribute to each long term care facility in this state a written notice describing the procedures to follow in making a complaint, including the address and telephone number of the [*ombudsman*] office and local designee, if any, and a poster describing the Long Term Care Ombudsman Program and providing contact information.

(2) The administrator of each long term care facility shall post the written notice and poster
required by this section in conspicuous places in the facility in accordance with procedures provided
by the [*ombudsman*] office and shall give the written notice to each resident and legally appointed
guardian, if any.

38

SECTION 9. ORS 441.412 is amended to read:

441.412. (1) Any employee or agent of a long term care facility acting in good faith in discussing
resident care pursuant to ORS 441.408 shall have immunity from any civil liability that might otherwise be incurred or imposed with respect to the making of such report.

42 (2) Any employee or agent who makes a report pursuant to ORS 441.408 may not be subjected
43 to any retaliation by any official or other employee of a long term care facility solely for making
44 a report, including but not limited to restriction of otherwise lawful access to the facility or to any
45 resident of the facility, or, if an employee, to dismissal or harassment.

1 (3) [The] A Long Term Care Ombudsman or [the] a designee acting in good faith in discussing 2 resident care pursuant to ORS 441.408 shall have immunity from any civil liability, that might oth-3 erwise be incurred or imposed with respect to the discussion.

4 **SECTION 10.** ORS 441.413 is amended to read:

5 441.413. (1) The **office of the** Long Term Care [*Ombudsman*] **Ombudsmen** shall appoint 6 designees in consultation with local screening committees that may consist of but not be limited to 7 persons representing:

- 8 (a) The area agency, as defined in ORS 410.040.
- 9 (b) The local office of the Department of Human Services.
- 10 (c) The local health department.
- 11 (d) Senior citizens groups in the area.
- 12 (e) Local elected officials.

(2) To be appointed as a designee, a person must complete an initial training, as prescribed by the office of the Long Term Care [*Ombudsman*] **Ombudsmen** by rule, and attend quarterly training sessions that are approved by the [*ombudsman*] office and that shall be coordinated and funded by the Department of Human Services and the Oregon Health Authority, subject to the availability of funds. Local screening committees shall be appointed by and serve at the pleasure of the [*ombuds-man*] ombudsmen.

(3) Designees must sign a contract with the state that outlines the scope of their duties. In districts where a designee is an employee or agent of a local entity, a three-party contract shall be executed. Violation of the contract is cause for the termination of the appointment. A directory of all designees shall be maintained in the office of the Long Term Care [Ombudsman] Ombudsmen.

(4) The qualifications of designees shall include experience with long term care facilities or
residents or potential residents of long term care facilities, and the ability to communicate well, to
understand laws, rules and regulations, and to be assertive, yet objective.

(5) Applicants who have experience in either social service, mental health, developmental disa bility services, gerontology, nursing or paralegal work shall be given preference in the appointment
 of designees.

(6) The contract shall include statements that the purpose of the Long Term Care OmbudsmanProgram is to:

(a) Promote rapport and trust between the residents and staff of the long term care facilities
 and Long Term Care [Ombudsman] Ombudsmen;

(b) Assist residents with participating more actively in determining the delivery of services atthe facilities;

35 (c) Serve as an educational resource;

(d) Receive, resolve or relay concerns to the office of the Long Term Care [Ombudsman]
 Ombudsmen or the appropriate agency; and

- 38 (e) Ensure equitable resolution of problems.
- 39 (7) The duties of the designees are to:
- 40 (a) Visit each assigned long term care facility on a regular basis:
- 41 (A) Upon arrival and departure, inform a specified staff member.
- 42 (B) Review, with a specified staff member, any problems or concerns that need to be considered.
- 43 (C) Visit individual residents and resident councils.

44 (b) Maintain liaison with appropriate agencies and the Long Term Care [Ombudsman]
45 Ombudsmen.

1 (c) Report, in writing, monthly to the **office of the** Long Term Care [Ombudsman] 2 **Ombudsmen**.

3 (d) Keep residents and staff informed of the Long Term Care Ombudsman Program.

4 (e) Periodically review the rights prescribed in ORS 441.605, 441.610 and 441.612, and any other 5 applicable rights to services, with residents, families, guardians, administrators and staff of long 6 term care facilities.

7 (f) Perform other related duties as specified.

8 **SECTION 11.** ORS 441.416 is amended to read:

9 441.416. (1) There is established a Residential Ombudsman and Public Guardianship Advisory
 10 Board of 11 members to be appointed in the following manner:

11 (a) One person appointed by the Speaker of the House of Representatives;

12 (b) One person appointed by the President of the Senate;

13 (c) One person appointed by the House Minority Leader;

14 (d) One person appointed by the Senate Minority Leader;

(e) One person appointed by the Governor from each list of four names submitted by organiza tions for seniors, organizations for persons with mental illness and the Oregon Council on Develop mental Disabilities; and

(f) Four persons appointed by the Governor, two of whom must have expertise in the provisionof guardianship, conservatorship and other fiduciary services for persons with inadequate resources.

20 (2) Members described in subsection (1)(e) and (f) of this section are subject to confirmation by 21 the Senate under ORS 171.562 and 171.565.

(3) The term of office of each member is four years. Before the expiration of the term of a
member, the appointing authority shall appoint a successor whose term begins on July 1 next following. A member is eligible for reappointment. If there is a vacancy for any cause, the appointing
authority shall make an appointment to become immediately effective for the unexpired term.

(4) The members of the board must be residents of this state who are broadly representative, to the extent possible, of persons residing in residential facilities as defined in ORS 443.380 or long term care facilities, including members of racial and ethnic minorities, who have knowledge and interest in the problems of persons residing in residential facilities, and who are representative of all areas of this state and the demographics of groups served by the **office of the** Long Term Care [*Ombudsman*] **Ombudsmen**.

(5) A member of the board may not have a financial or fiduciary interest in residential facilities
as defined in ORS 443.380, long term care facilities or service providers, or involvement in the licensing or certification of residential facilities, long term care facilities or service providers.

(6) The board shall select one of its members as chairperson and another as vice chairperson,
for such terms and with duties and powers necessary for the performance of the functions of such
offices as the board determines.

(7) A majority of the members of the board constitutes a quorum for the transaction of business.Decisions may be made by a majority of the quorum.

(8) The board shall meet at least once each month at a place, day and hour determined by the
board. The board also shall meet at other times and places specified by the call of the chairperson
or of a majority of the members of the board. The board shall confer each month with the Long
Term Care [Ombudsman] Ombudsmen. All meetings are subject to ORS 192.610 to 192.690.

44 (9) A member of the board is entitled to compensation and expenses as provided in ORS 292.495.
45 SECTION 12. ORS 441.417 is amended to read:

441.417. The Residential Ombudsman and Public Guardianship Advisory Board shall: 1

2 (1) Monitor the Long Term Care Ombudsman Program.

(2) Advise the Governor and the Legislative Assembly on the Long Term Care Ombudsman 3 4 Program.

 $\mathbf{5}$ (3) Nominate, after interviews and according to prescribed criteria, [three] 12 persons to fill the Long Term Care Ombudsman [position] positions or to fill a vacancy in [the] a position. 6

7

SECTION 13. ORS 441.418 is amended to read:

441.418. (1) A residential facility as defined in ORS 443.380 or a long term care facility that files 8 9 a complaint against a designee appointed under ORS 441.413 and objects to the action of [the] **a** Long Term Care Ombudsman in resolving the complaint may appeal the ombudsman's action to a 10 panel of the Residential Ombudsman and Public Guardianship Advisory Board. 11

12 (2) The board on its own motion may review any action by [the] an ombudsman appealable under 13 this section. The review shall provide an opportunity for written and oral presentation by the residential facility as defined in ORS 443.380 or a long term care facility and the ombudsman. The board 14 15 shall issue its findings and any instructions to the ombudsman in written form consistent with the 16 federal Older Americans Act or other applicable federal law.

(3) If the board disagrees with the action of the ombudsman, the board may refer the resolution 17 18 back to the ombudsman with instructions consistent with the federal Older Americans Act or other applicable federal law to conform the ombudsman's action in the matter to the recommendations of 19 20the board.

21

SECTION 14. ORS 441.419 is amended to read:

22441.419. The Long Term Care Ombudsman Account is established in the State Treasury, separate and distinct from the General Fund. All miscellaneous receipts, gifts and federal and other grants 23received by the Long Term Care [Ombudsman] Ombudsmen shall be deposited into the Long Term 94 Care Ombudsman Account and are continuously appropriated to the office of the Long Term Care 25[Ombudsman] Ombudsmen for carrying out the responsibilities of the office [of the Long Term Care 2627Ombudsman].

28

SECTION 15. ORS 124.090 is amended to read:

124.090. (1) Notwithstanding the provisions of ORS 192.311 to 192.478, the names of the public 2930 or private official or any other person who made the complaint, the witnesses and the elderly per-31 sons, and the reports and records compiled under the provisions of ORS 124.050 to 124.095, are confidential and are not accessible for public inspection. 32

(2) Notwithstanding subsection (1) of this section, the Department of Human Services or the 33 34 department's designee may, if appropriate, make the names of the witnesses and the elderly persons, and the reports and records compiled under ORS 124.050 to 124.095, available to: 35

36 (a) A law enforcement agency;

37 (b) A public agency that licenses or certifies residential facilities or licenses or certifies the 38 persons practicing in the facilities;

(c) A public agency or private nonprofit agency or organization providing protective services for 39 the elderly person; 40

(d) The office of the Long Term Care [Ombudsman] Ombudsmen; 41

(e) A public agency that licenses or certifies a person that has abused or is alleged to have 42 abused an elderly person; 43

(f) A court pursuant to a court order or as provided in ORS 125.012; and 44

(g) An administrative law judge in an administrative proceeding when necessary to provide 45

1 protective services as defined in ORS 410.040 to an elderly person, when in the best interests of the 2 elderly person or when necessary to investigate, prevent or treat abuse of an elderly person.

3 (3) Information made available under subsection (2) of this section, and the recipient of the in-4 formation, are otherwise subject to the confidentiality provisions of ORS 124.050 to 124.095.

5 **SECTION 16.** ORS 125.060 is amended to read:

6 125.060. (1) The notices required by this section must be given to all persons whose identities 7 and addresses can be ascertained in the exercise of reasonable diligence by the person required to 8 give the notice.

9 (2) Notice of the filing of a petition for the appointment of a fiduciary or entry of other pro-10 tective order must be given by the petitioner to the following persons:

11 (a) The respondent, if the respondent has attained 14 years of age.

12 (b) The spouse, parents and adult children of the respondent.

(c) If the respondent does not have a spouse, parent or adult child, the person or persons most
 closely related to the respondent.

(d) Any person who is cohabiting with the respondent and who is interested in the affairs orwelfare of the respondent.

(e) Any person who has been nominated as fiduciary or appointed to act as fiduciary for the respondent by a court of any state, any trustee for a trust established by or for the respondent, any person appointed as a health care representative under the provisions of ORS 127.505 to 127.660 and any person acting as attorney-in-fact for the respondent under a power of attorney.

(f) If the respondent is a minor, the person who has exercised principal responsibility for the care and custody of the respondent during the 60-day period before the filing of the petition.

(g) If the respondent is a minor and has no living parents, any person nominated to act as
fiduciary for the minor in a will or other written instrument prepared by a parent of the minor.

(h) If the respondent is receiving moneys paid or payable by the United States through the De partment of Veterans Affairs, a representative of the United States Department of Veterans Affairs
 regional office that has responsibility for the payments to the protected person.

(i) If the respondent is receiving moneys paid or payable for public assistance provided under
 ORS chapter 411 by the State of Oregon through the Department of Human Services, a representative of the department.

(j) If the respondent is receiving moneys paid or payable for medical assistance provided under
 ORS chapter 414 by the State of Oregon through the Oregon Health Authority, a representative of
 the authority.

(k) If the respondent is committed to the legal and physical custody of the Department of Corrections, the Attorney General and the superintendent or other officer in charge of the facility in
 which the respondent is confined.

37 38

(L) If the respondent is a foreign national, the consulate for the respondent's country.

(m) Any other person that the court requires.

(3) Notice of a motion for the termination of the protective proceedings, for removal of a
fiduciary, for modification of the powers or authority of a fiduciary, for approval of a fiduciary's
actions or for protective orders in addition to those sought in the petition must be given by the
person making the motion to the following persons:

43 (a) The protected person, if the protected person has attained 14 years of age.

44 (b) Any person who has filed a request for notice in the proceedings.

45 (c) Except for a fiduciary who is making a motion, any fiduciary who has been appointed for the

1 protected person.

2 (d) If the protected person is receiving moneys paid or payable by the United States through the 3 Department of Veterans Affairs, a representative of the United States Department of Veterans Af-4 fairs regional office that has responsibility for the payments to the protected person.

5 (e) If the protected person is committed to the legal and physical custody of the Department of 6 Corrections, the Attorney General and the superintendent or other officer in charge of the facility 7 in which the protected person is confined.

8

94

43

(f) Any other person that the court requires.

9 (4) A request for notice under subsection (3)(b) of this section must be in writing and include 10 the name, address and phone number of the person requesting notice. A copy of the request must 11 be mailed by the person making the request to the petitioner or to the fiduciary if a fiduciary has 12 been appointed. The original request must be filed with the court. The person filing the request must 13 pay the fee specified by ORS 21.135.

(5) A person who files a request for notice in the proceedings in the manner provided by subsection (4) of this section is entitled to receive notice from the fiduciary of any motion specified in subsection (3) of this section and of any other matter to which a person listed in subsection (2) of this section is entitled to receive notice under a specific provision of this chapter.

(6) If the Department of Human Services is nominated as guardian for the purpose of consenting
to the adoption of a minor, the notice provided for in this section must also be given to the minor's
brothers, sisters, aunts, uncles and grandparents.

(7) In addition to the requirements of subsection (2) of this section, notice of the filing of a petition for the appointment of a guardian for a person who is alleged to be incapacitated must be
given by the petitioner to the following persons:

(a) Any attorney who is representing the respondent in any capacity.

(b) If the respondent is a resident of a nursing home or residential facility, or if the person nominated to act as fiduciary intends to place the respondent in a nursing home or residential facility, the office of the Long Term Care [*Ombudsman*] **Ombudsmen**.

(c) If the respondent is a resident of a mental health treatment facility or a residential facility
for individuals with developmental disabilities, or if the person nominated to act as fiduciary intends
to place the respondent in such a facility, the system described in ORS 192.517 (1).

(8) In addition to the requirements of subsection (3) of this section, in a protective proceeding
in which a guardian has been appointed, notice of the motions specified in subsection (3) of this
section, and the address, telephone number and other contact information of the protected person,
must be given by the person making the motion to the following persons:

(a) Any attorney who represented the protected person at any time during the protective pro-ceeding.

(b) If the protected person is a resident of a nursing home or residential facility, or if the motion
seeks authority to place the protected person in a nursing home or residential facility, the office of
the Long Term Care [Ombudsman] Ombudsmen.

40 (c) If the protected person is a resident of a mental health treatment facility or a residential
41 facility for individuals with developmental disabilities, or if the motion seeks authority to place the
42 protected person in such a facility, the system described in ORS 192.517 (1).

(9) A respondent or protected person may not waive the notice required under this section.

(10) The requirement that notice be served on an attorney for a respondent or protected person
 under subsection (7)(a) or (8)(a) of this section does not impose any responsibility on the attorney

1 receiving the notice to represent the respondent or protected person in the protective proceeding.

2 **SECTION 17.** ORS 125.075 is amended to read:

125.075. (1) Any person who is interested in the affairs or welfare of a respondent or protected
person may present objections to a petition or to a motion in a protective proceeding, including but
not limited to:

6 (a) Any person entitled to receive notice under ORS 125.060.

7 (b) Any stepparent or stepchild of the respondent or protected person.

8 (c) Any other person the court may allow.

9 (2) Objections to a petition may be either written or oral. Objections to a motion must be in writing, except that a protected person may object orally in person or by other means that are in-10 tended to convey the protected person's objections to the court. Objections to a petition or to a 11 12 motion must be made or filed with the court within 15 days after notice of the petition or motion is served or mailed in the manner prescribed by ORS 125.065. The court shall designate the manner 13 in which oral objections may be made that ensures that a protected person will have the protected 14 15 person's objection presented to the court. The clerk of the court shall provide a means of reducing 16 the oral objections to a signed writing for the purpose of filing the objection.

(3) If objections are presented by any of the persons listed in subsection (1) of this section, the court shall schedule a hearing on the objections. The petitioner or person making the motion shall give notice to all persons entitled to notice under ORS 125.060 (3) of the date, time and place of the scheduled hearing at least 15 days before the date set for hearing. Notice shall be given in the manner prescribed by ORS 125.065.

(4) Notwithstanding ORS 21.170, the court may not charge or collect any fee for the filing of
objections under the provisions of this section or for the filing of any motion from any of the following:

25 (a) The respondent or the protected person;

26 (b) The office of the Long Term Care [Ombudsman] Ombudsmen; or

27 (c) The system described in ORS 192.517 (1).

(5) The court for good cause shown may provide for a different method or time of giving noticeunder subsection (3) of this section.

30 SECTION 18. ORS 125.085 is amended to read:

125.085. (1) The court may remove a fiduciary on the motion of any person who is entitled to file an objection to a petition under the provisions of ORS 125.075, on a motion of [the] **a** Long Term Care Ombudsman or upon the court's own motion.

(2) On motion of the fiduciary, the court may accept the resignation of the fiduciary and makeany other order that may be appropriate, including appointment of a successor fiduciary.

(3) Upon motion by any person who is entitled to file an objection to a petition under the provisions of ORS 125.075, on a motion of [the] a Long Term Care Ombudsman or upon the court's own motion, the court may order a modification of the powers or authority of the fiduciary or termination of the protective proceedings.

40 (4)(a) When [the] a Long Term Care Ombudsman files a motion under this section, the ombuds 41 man shall disclose to the court only:

42 (A) Such information as is allowed under ORS 441.407; and

(B) The minimum amount of protected information about the resident who is the subject of the
motion that the ombudsman believes in good faith is reasonably necessary to prevent or lessen a
serious and imminent threat to the health or safety of a resident of a long term care facility, resi-

1 dential facility or adult foster home.

2 (b) Any protected information disclosed by the ombudsman under this subsection shall remain 3 confidential and must be identified and marked by the ombudsman as confidential and protected in-4 formation that is subject to the requirements of this subsection.

5 (c) Protected information disclosed under this subsection is subject to inspection only by the 6 parties to the proceeding and their attorneys as provided in paragraph (d) of this subsection. Pro-7 tected information disclosed under this subsection is not subject to inspection by members of the 8 public except pursuant to a court order entered after a showing of good cause.

9 (d) The court may enter an order allowing inspection of protected information subject to dis-10 closure under this subsection upon the filing of a written request for inspection and the payment 11 of any fees or costs charged to copy the protected information.

(e) Notwithstanding ORS 125.155 (4), to the extent that the report of a visitor appointed by the court under ORS 125.150 contains protected information that is subject to the requirements of this subsection, the report in its entirety shall be considered subject to the requirements of this subsection and may be disclosed only as provided in paragraphs (c) and (d) of this subsection.

16 **SECTION 19.** ORS 125.678 is amended to read:

125.678. (1) The Long Term Care [Ombudsman] Ombudsmen appointed under ORS 441.403, in 17 18 consultation with the Residential Ombudsman and Public Guardianship Advisory Board, shall appoint the Oregon Public Guardian and Conservator in the office of the Long Term Care 19 20 [Ombudsman] Ombudsmen for a four-year term. The Oregon Public Guardian and Conservator serves at the pleasure of the Long Term Care [Ombudsman] Ombudsmen and may be removed by 2122a vote of at least three of the Long Term Care [Ombudsman] Ombudsmen for good cause. If there 23is a vacancy for any cause, the Long Term Care [Ombudsman] Ombudsmen shall make an appointment within 60 days. The Oregon Public Guardian and Conservator shall receive a salary as 94 fixed by the Long Term Care [Ombudsman] Ombudsmen and be reimbursed for all reasonable travel 25and other expenses incurred in the performance of official duties. 26

(2) The Oregon Public Guardian and Conservator shall be responsible for carrying out the
powers, duties and functions of the Oregon Public Guardian and Conservator pursuant to ORS
125.675 to 125.691, within the office of the Long Term Care [Ombudsman] Ombudsmen.

(3) The Oregon Public Guardian and Conservator may:

(a) Hire or contract with volunteers, staff, deputy public guardians and conservators and other
 qualified individuals, as necessary, to carry out the powers, duties and functions of the Oregon
 Public Guardian and Conservator;

34 (b) Prescribe the duties and assignments of persons hired or under contract with the Oregon35 Public Guardian and Conservator;

(c) Fix the compensation, including reasonable travel and other expenses incurred in the per formance of official duties, of persons hired by or under contract with the Oregon Public Guardian
 and Conservator subject to the State Personnel Relations Law; and

39

30

(d) Adopt rules to carry out the provisions of ORS 125.675 to 125.691.

(4) The office of the Long Term Care [Ombudsman] Ombudsmen may hire or contract with
staff to serve in the office [of the Long Term Care Ombudsman] as necessary to advise and support
the Oregon Public Guardian and Conservator.

(5)(a) The Oregon Public Guardian and Conservator may delegate the exercise or discharge of
any power, duty or function that is vested in or imposed by law upon the Oregon Public Guardian
and Conservator to a deputy public guardian and conservator, staff person or volunteer hired by or

under contract with the Oregon Public Guardian and Conservator as appropriate for the purpose 1

of conducting an official act in the name of the Oregon Public Guardian and Conservator. The offi-2

cial act of any person acting in the name of the Oregon Public Guardian and Conservator by the 3

authority of the Oregon Public Guardian and Conservator is an official act of the Oregon Public 4

Guardian and Conservator. 5

(b) Notwithstanding paragraph (a) of this subsection, a court may not appoint a deputy public 6 guardian and conservator as a fiduciary in a proceeding under ORS 125.675 to 125.691 but shall 7 appoint the Oregon Public Guardian and Conservator as the fiduciary in the proceeding. 8

9 (6) The Oregon Public Guardian and Conservator may solicit and accept gifts, grants and donations from public and private sources for the purpose of carrying out the provisions of ORS 10 125.675 to 125.691, which moneys shall be deposited in the Oregon Public Guardian and Conservator 11 12 Fund established under ORS 125.689.

13

SECTION 20. ORS 125.687 is amended to read:

125.687. (1) A court may not appoint the Oregon Public Guardian and Conservator as a fiduciary 14 15 for a person unless the Oregon Public Guardian and Conservator has petitioned for or consented to the appointment. If appointed as a fiduciary by the court, the Oregon Public Guardian and 16 Conservator, and any deputy public guardian and conservator designated to act on behalf of the 17 18 Oregon Public Guardian and Conservator, shall serve as provided in this chapter and ORS 127.005 19 and 127.015, except as expressly stated otherwise in ORS 125.675 to 125.691 or by order of the court. 20(2) The Oregon Public Guardian and Conservator shall file an official bond in an amount determined in consultation with the Oregon Department of Administrative Services. The bond shall inure 2122to the joint benefit of the several public guardianship and conservatorship estates in which the 23 Oregon Public Guardian and Conservator is providing services, but a bond is not required to be filed 24 in individual estates.

25(3) The court may not charge the Oregon Public Guardian and Conservator a fee for the filing of a petition or any other pleading under this chapter when the filing is made in connection with 2627the provision of public guardian and conservator services under ORS 125.675 to 125.691.

(4)(a) The court shall order the client or the client's estate to pay for reasonable expenses in-28curred, including compensation for services rendered, in the provision of public guardian and 2930 conservator services to the client, including but not limited to court costs and attorney fees.

31 (b) If a client is indigent, the Oregon Public Guardian and Conservator shall have a claim against the client or the client's estate for the portion of any payment ordered under paragraph (a) 32of this subsection that remains unpaid. 33

34 (5) The court may not order the Oregon Public Guardian and Conservator, a deputy public 35guardian and conservator or the office of the Long Term Care [Ombudsman] Ombudsmen to pay court costs or attorney fees in a proceeding brought on behalf of a client under ORS 125.675 to 36 37 125.691.

38

SECTION 21. ORS 410.550 is amended to read:

410.550. (1) The Medicaid Long Term Care Quality and Reimbursement Advisory Council is 39 created, to consist of 12 members. Appointed members shall be residents of the State of Oregon and 40 representative of the geographic locations of all long term care facilities and community-based care 41 facilities in this state. The members shall include: 42

(a) [The] A Long Term Care Ombudsman, selected by the Governor, who shall serve as a 43 standing member of the council; 44

45

(b) A representative of the Governor's Commission on Senior Services, to be appointed by the

1 commission;

2 (c) A representative of the Oregon Disabilities Commission, to be appointed by the commission;

3 (d) A representative of the Oregon Association of Area Agencies on Aging and Disabilities, to

4 be appointed by the Governor;

5 (e) A representative of a senior or disabilities advocacy organization or an individual who ad-6 vocates on behalf of seniors or persons with disabilities, to be appointed by the Governor;

7 (f) A nursing home administrator licensed under ORS 678.710 to 678.820 who has practiced 8 continuously in Oregon in long term care for three years immediately preceding appointment, to be 9 appointed by the Speaker of the House of Representatives;

(g) Two consumers of residential or long term care facilities, as defined in ORS 441.402 and
442.015, or community-based care facilities or family members of such residents, to be appointed by
the Speaker of the House of Representatives;

(h) A director of nurses of an Oregon long term care facility who has practiced in this state in
long term care for three years preceding appointment, to be appointed by the Speaker of the House
of Representatives;

(i) A representative of an assisted living facility or a residential care facility, to be appointedby the President of the Senate;

18 (j) A representative of an adult foster home, to be appointed by the President of the Senate; and

19 (k) An in-home care agency provider, to be appointed by the President of the Senate.

(2) The term of office for each member appointed under this section shall be three years or until
a successor has been appointed and qualified.

(3) Members of the council shall receive no compensation for their services but unpaid volun teers not otherwise compensated shall be allowed actual and necessary travel expenses incurred in
 the performance of their duties.

25 (4) The council shall:

(a) Elect a chairperson from among its members and elect or appoint a secretary, each of whomshall hold office for one year or until successors are elected;

(b) Hold an annual meeting and hold other meetings at such times and places as the Department
of Human Services or the chairperson of the council may direct;

30

(c) Keep a record of its proceedings that is open to inspection at all times; and

(d) Act in an advisory capacity to the department on matters pertaining to quality of long term
 care facilities and community-based care facilities and reimbursement for long term care services
 and community-based care services.

34

SECTION 22. ORS 441.650 is amended to read:

441.650. (1) Upon receipt of the oral or written report required under ORS 441.640, or of an abuse complaint, the area agency on aging, the Department of Human Services or the law enforcement agency shall cause an investigation to be commenced as follows:

(a) Within two hours, if the complaint alleges that a resident's health or safety is in imminent
 danger or that the resident has recently died, been hospitalized or been treated in an emergency
 room; or

(b) Prior to the end of the next working day, if the complaint alleges that circumstances exist
that could result in abuse and that the circumstances could place a resident's health or safety in
imminent danger.

(2) If the law enforcement agency conducting the investigation finds reasonable cause to believethat abuse has occurred, the law enforcement agency shall notify in writing the local office of the

area agency or the department as appropriate. Except in cases where the investigation is part of 1 2 nursing facility surveyor activity pursuant to federal law, the area agency or the department shall complete an initial status report within two working days of the start of the investigation that in-3 4 cludes: $\mathbf{5}$ (a) A summary of the complaint that identifies each alleged incident or problem; (b) The status of the investigation; 6 (c) Whether an abuse complaint was initially filed at the direction of the administration of the 7 facility; 8 9 (d) A determination of whether protection of the resident is needed and whether the facility 10 must take action; (e) The name and telephone number of the investigator; and 11 12(f) The projected date that the investigation report will be completed and a statement that the 13 report will be available upon request after the department issues a letter of determination. (3) The initial status report described in subsection (2) of this section shall be provided either 14 15 in person or by mail to the following individuals as soon as practicable, but no later than two working days after its completion: 16 (a) The complainant, unless the complainant waives the requirement; 17 18 (b) If the complaint involves a specific resident, the resident or a person designated to receive information concerning the resident; 19 (c) A representative of the office of the Long Term Care [Ombudsman] Ombudsmen, upon re-2021quest; and 22(d) The long term care facility. 23(4) The initial status report described in subsection (2) of this section shall be available for 24 public inspection. (5) When copies of the initial status report described in subsection (2) of this section are made 25available to individuals listed in subsection (3) of this section, the names of the resident involved, 2627the complainant and any individuals interviewed by the investigator shall be deleted from the copies. (6) In investigating an abuse complaint, the investigator shall: 28(a) Make an unannounced visit to the facility, except as provided by ORS 441.690, to determine 2930 the nature and cause of the abuse of the resident; 31 (b) Interview all available witnesses identified by any source as having personal knowledge rel-32evant to the abuse complaint, such interviews to be private unless the witness expressly requests the interview not to be private; 33 34 (c) Make personal inspection of all physical circumstances that are relevant and material and 35that are susceptible to objective observation; and (d) Write an investigation report that includes: 36 37 (A) The investigator's personal observations; (B) A review of documents and records; 38 (C) A summary of all witness statements; and 39 (D) A statement of the factual basis for the findings for each incident or problem alleged in the 40 complaint. 41 (7) Within five working days of completion of the investigation and not later than 60 days from 42 completion of the initial status report described in subsection (2) of this section, the investigator 43 shall provide the department with the written report required by subsection (6) of this section. The 44 department shall make the investigation report available upon request after the letter of determi-45

nation is complete. When copies of the report are made available, the names of the resident in-1 2 volved, the complainant and any individuals interviewed by the investigator shall be deleted from the copies. 3 SECTION 23. ORS 441.671 is amended to read: 4 441.671. (1) Notwithstanding the provisions of ORS 192.311 to 192.478, the names of 5 complainants, witnesses and residents, and the reports and records compiled under the provisions 6 of ORS 441.630 to 441.680, are confidential and are not accessible for public inspection. 7 (2) Notwithstanding subsection (1) of this section, the Department of Human Services or the 8 9 department's designee may, if appropriate, make the names of witnesses and residents, and the reports and records compiled under ORS 441.630 to 441.680, available to: 10 (a) A law enforcement agency; 11 12 (b) A public agency that licenses or certifies long term care facilities; 13 (c) A public agency that licenses or certifies the persons practicing the healing arts in long term care facilities: 14 15 (d) The office of the Long Term Care [Ombudsman] Ombudsmen; (e) A public agency that licenses or certifies a person that has abused or is alleged to have 16 abused a resident; 17 18 (f) A court pursuant to a court order or as provided in ORS 125.012; and (g) An administrative law judge in an administrative proceeding when necessary to provide 19 protective services as defined in ORS 410.040 to a resident, when in the best interests of the resident 20or when necessary to investigate, prevent or treat abuse of a resident. 2122(3) Information made available under subsection (2) of this section, and the recipient of the information, are otherwise subject to the confidentiality provisions of ORS 441.630 to 441.680. 23SECTION 24. ORS 443.380 is amended to read: 24 443.380. As used in ORS 443.380 to 443.394: 25(1) "Administrative action" means an action, inaction or decision by an owner, employee or 2627agent of a residential facility or by a state, local, social service or health agency that could affect the health, safety, welfare or rights of residents of the facility. 28 (2) "Designee" means an individual appointed by the Residential Facilities Ombudsman in ac-2930 cordance with ORS 443.386. 31 (3) "Legal representative" means a person to whom a resident or a court has granted legal au-32thority to permit access to the resident's personal information and medical records. (4) "Long Term Care Ombudsman" means [the] an individual appointed by the Governor under 33 34 ORS 441.403. 35(5) "Resident" means an individual who resides in a residential facility. (6)(a) "Residential facility" means one of the following: 36 37 (A) A residential training facility, as defined in ORS 443.400. (B) A residential training home, as defined in ORS 443.400. 38 (C) A licensed adult foster home as defined in ORS 443.705 that serves persons with mental ill-39 ness or developmental disabilities. 40 (D) A developmental disability child foster home, as defined in ORS 443.830. 41 (E) A residential treatment facility, as defined in ORS 443.400. 42 (F) A residential treatment home, as defined in ORS 443.400. 43 (G) A conversion facility licensed under ORS 443.431. 44 (b) "Residential facility" does not include a: 45

(A) Secured facility housing persons committed under ORS 161.327; or 1

2 (B) Facility licensed by the Oregon Health Authority to provide alcohol and drug treatment.

3 (7) "Residential Facilities Ombudsman Program" means the services provided by the Residential Facilities Ombudsman. 4

SECTION 25. ORS 443.382 is amended to read:

443.382. (1) The Long Term Care [Ombudsman] Ombudsmen, in consultation with the Residen-6 tial Ombudsman and Public Guardianship Advisory Board established under ORS 441.416, shall ap-7 point a Residential Facilities Ombudsman for a four-year term. The Residential Facilities 8 9 Ombudsman serves at the pleasure of the Long Term Care [Ombudsman] Ombudsmen and may be removed by a vote of at least three of the Long Term Care [Ombudsman] Ombudsmen for cause. 10 The Long Term Care [Ombudsman] Ombudsmen shall fill any vacancy within 60 days. The salary 11 12 of the Residential Facilities Ombudsman shall be determined by the Long Term Care [Ombudsman] 13 **Ombudsmen**. The Residential Facilities Ombudsman shall be reimbursed for all reasonable travel and other expenses incurred in the performance of the ombudsman's official duties. 14

15 (2) The Residential Facilities Ombudsman may, subject to the approval of the Long Term Care 16 [Ombudsman] Ombudsmen, hire or contract with volunteers, staff, deputy ombudsmen and other qualified individuals as necessary to perform the duties of the ombudsman. 17

18

 $\mathbf{5}$

(3) The Residential Facilities Ombudsman shall:

19 (a) Identify, investigate and resolve complaints made by or on behalf of residents about administrative actions. 20

(b) Provide residents, families of residents, guardians, community members and administrators 2122and staff of residential facilities with information regarding the rights of residents as set forth in 23ORS 427.107 and 430.210 and any other applicable rights of residents.

(c) Widely publicize the Residential Facilities Ombudsman's services, purpose and mode of op-24 25eration.

26(d) Undertake, participate in or cooperate with persons and agencies in conferences, inquiries, 27meetings or studies that may lead to improvements in the functioning of residential facilities.

(e) Work closely with associations and citizen groups in this state and the state protection and 28advocacy system under ORS 192.517. 29

30 (f) Provide services to residents to assist them in protecting their health, safety, welfare and 31 rights.

(g) Ensure that residents have regular, timely, private and unimpeded access to the Residential 32Facilities Ombudsman's services and that a resident or an individual acting on behalf of a resident 33 34 who files a complaint receives a timely response to the complaint from the ombudsman or a 35designee.

(h) Represent the interests of residents before government agencies and seek administrative, le-36 37 gal or other appropriate remedies to protect the health, safety, welfare and rights of residents.

38 (i) Analyze, comment on and monitor the development and implementation of federal, state and local laws and other governmental policies pertaining to the health, safety, welfare and rights of 39 residents. 40

(j) Recommend any changes to state or local laws to improve the health, safety, welfare and 41 rights of residents. 42

(k) Facilitate public comment on laws and policies that affect the health, safety, welfare and 43 rights of residents. 44

(L) Train designees. 45

(m) Promote the development of organizations to advocate on behalf of residents of residential 1 2 facilities. (n) To the extent practicable, assist residents who move from a residential facility to a home 3 4 care setting. (o) Assist residents and individuals acting on their behalf in locating and accessing resources 5 in the community and in connecting with local service providers. 6 (p) Engage the participation of residents in general studies, conferences, inquiries or meetings 7 related to residential care in this state. 8 9 (q) Make recommendations for improvements in the functioning of the residential facility system 10 in this state. (r) Collaborate with the Oregon Health Authority, the Department of Human Services, and any 11 12 other appropriate agencies and organizations to establish a statewide system to collect and analyze 13 information on complaints about and conditions in residential facilities for the purpose of publicizing improvements and resolving significant problems for residents. 14 15 (s) Provide information to public agencies about the problems of residents. 16 (t) Collect and compile data necessary to prepare the report submitted to the Governor under ORS 182.500. 17 18 (u) Adopt rules necessary for carrying out ORS 443.380 to 443.394, in accordance with ORS chapter 183, in consultation with the Long Term Care [Ombudsman] Ombudsmen and the Residen-19 20 tial Ombudsman and Public Guardianship Advisory Board. SECTION 26. ORS 443.386 is amended to read: 2122443.386. (1) The Residential Facilities Ombudsman shall, in consultation with the Long Term Care [Ombudsman] Ombudsmen, appoint designees to serve as representatives of the ombudsman 23in local communities. The ombudsman shall regularly monitor the functions of designees. 24 (2) The Residential Facilities Ombudsman shall prescribe the qualifications of designees by rule. 25(3) To be appointed as a designee, a person must complete an initial training prescribed by the 2627Residential Facilities Ombudsman by rule and attend required continuing educational training sessions that are approved by the ombudsman. 28 (4) Designees must sign a contract with the Residential Facilities Ombudsman that outlines the 2930 scope of their duties. Violation of the contract is cause for terminating the appointment of a 31 designee. SECTION 27. ORS 443.447 is amended to read: 32443.447. (1) The Quality Measurement Council is established in the Department of Human Ser-33 34 vices to prescribe how the department shall implement the Residential Care Quality Measurement Program established under ORS 443.446. 35 (2) The council consists of eight members, appointed by the Governor, as follows: 36 37 (a) One individual representing the Oregon Patient Safety Commission; (b) One individual representing residential care facilities; 38 (c) One consumer representative from an Alzheimer's advocacy organization; 39 (d) One licensed health care practitioner with experience in geriatrics; 40 (e) Two individuals associated with an academic institution who have expertise in research us-41 ing data and analytics and in community-based care and quality reporting; 42 (f) [The] A Long Term Care Ombudsman or a designee of [the] a Long Term Care Ombudsman; 43 and 44

45 (g) One individual representing the department.

1 (3)(a) On and after January 1, 2022, the council may update by rule the quality metrics to be 2 reported by residential care facilities under ORS 443.446.

3 (b) In developing quality metrics the council shall consider whether the data that must be re-4 ported reflect and promote quality care and whether reporting the data is unnecessarily burdensome 5 on residential care facilities.

6

SECTION 28. ORS 443.738 is amended to read:

443.738. (1) Except as provided in subsection (3) of this section, all providers, resident managers 7 and substitute caregivers for adult foster homes shall satisfactorily meet all educational require-8 9 ments established by the licensing agency. After consultation with representatives of providers, educators, residents' advocates and the Long Term Care [Ombudsman] Ombudsmen or the Resi-10 dential Facilities Ombudsman, the licensing agency shall adopt by rule standards governing the ed-11 12 ucational requirements. The rules shall require that a person may not provide care to any resident 13 prior to acquiring education or supervised training designed to impart the basic knowledge and skills necessary to maintain the health, safety and welfare of the resident. Each provider shall doc-14 15 ument compliance with the educational requirements for persons subject to the requirements.

(2) The rules required under subsection (1) of this section shall include but need not be limitedto the following:

(a) A requirement that, before being licensed, a provider successfully completes training that
 satisfies a defined curriculum, including demonstrations and practice in physical caregiving,
 screening for care and service needs, appropriate behavior toward residents with physical, cognitive
 and mental disabilities and issues related to architectural accessibility;

(b) A requirement that a provider pass a test before being licensed or becoming a resident manager. The test shall evaluate the ability to understand and respond appropriately to emergency situations, changes in medical conditions, physicians' orders and professional instructions, nutritional needs, residents' preferences and conflicts; and

(c) A requirement that, after being licensed, a provider or resident manager successfully com pletes continuing education as described in ORS 443.742.

(3) After consultation with representatives of providers, educators, residents' advocates and the
Long Term Care [Ombudsman] Ombudsmen or the Residential Facilities Ombudsman, the licensing
agency may adopt by rule exceptions to the training requirements of subsections (1) and (2) of this
section for persons who are appropriately licensed medical care professionals in Oregon or who
possess sufficient education, training or experience to warrant an exception. The licensing agency
may not make any exceptions to the testing requirements.

(4) The licensing agency may permit a person who has not completed the training or passed the test required in subsection (2)(a) and (b) of this section to act as a resident manager until the training and testing are completed or for 60 days, whichever is shorter, if the licensing agency determines that an unexpected and urgent staffing need exists. The licensed provider must notify the licensing agency of the situation and demonstrate that the provider is unable to find a qualified resident manager, that the person has met the requirements for a substitute caregiver for the adult foster home and that the provider will provide adequate supervision.

41 (5) Providers shall serve three nutritionally balanced meals to residents each day. A menu for42 the meals for the coming week shall be prepared and posted weekly.

(6) Providers shall make available at least six hours of activities each week which are of interest to the residents, not including television or movies. The licensing agency shall make information
about resources for activities available to providers upon request. Providers or substitute caregivers

1 shall be directly involved with residents on a daily basis.

2 (7) Providers shall give at least 30 days' written notice to the residents, and to the legal repre-3 sentative, guardian or conservator of any resident, before selling, leasing or transferring the adult 4 foster home business or the real property on which the adult foster home is located. Providers shall 5 inform real estate licensees, prospective buyers, lessees and transferees in all written communi-6 cations that the license to operate an adult foster home is not transferable and shall refer them to 7 the licensing agency for information about licensing.

8 (8) If a resident dies or leaves an adult foster home for medical reasons and indicates in writing 9 the intent to not return, the provider may not charge the resident for more than 15 days or the time specified in the provider contract, whichever is less, after the resident has left the adult foster home. 10 The provider has an affirmative duty to take reasonable actions to mitigate the damages by ac-11 12 cepting a new resident. However, if a resident dies or leaves an adult foster home due to neglect 13 or abuse by the provider or due to conditions of imminent danger to life, health or safety, the provider may not charge the resident beyond the resident's last day in the home. The provider shall 14 15 refund any advance payments within 30 days after the resident dies or leaves the adult foster home. 16 (9) Chemical and physical restraints may be used only after considering all other alternatives and only when required to treat a resident's medical symptoms or to maximize a resident's physical 17

18 functioning. Restraints may not be used for discipline of a resident or for the convenience of the 19 adult foster home. Restraints may be used only as follows:

20 (a) Psychoactive medications may be used only pursuant to a prescription that specifies the 21 circumstances, dosage and duration of use.

(b) Physical restraints may be used only pursuant to a qualified practitioner's order that specifies the type, circumstances and duration of use in accordance with rules adopted by the licensing agency. The rules adopted by the licensing agency relating to physical restraints shall include standards for use and training.

(10) If the physical characteristics of the adult foster home do not encourage contact between caregivers and residents and among residents, the provider shall demonstrate how regular positive contact will occur. Providers may not place residents who are unable to walk without assistance in a basement, split-level, second story or other area that does not have an exit at ground level. Nonambulatory residents shall be given first floor rooms.

(11)(a) The provider may not transfer or discharge a resident from an adult foster home unless the transfer or discharge is necessary for medical reasons, for the welfare of the resident or for the welfare of other residents, or due to nonpayment. In such cases, the provider shall give the resident written notice as soon as possible under the circumstances.

(b) The provider shall give the resident and the resident's legal representative, guardian or conservator written notice at least 30 days prior to the proposed transfer or discharge, except in a medical emergency including but not limited to a resident's experiencing an increase in level of care needs or engaging in behavior that poses an imminent danger to self or others. In such cases, the provider shall give the resident written notice as soon as possible under the circumstances.

(c) The resident has the right to an administrative hearing prior to an involuntary transfer or discharge. If the resident is being transferred or discharged for a medical emergency, or to protect the welfare of the resident or other residents, as defined by rule, the hearing must be held within seven days of the transfer or discharge. The provider shall hold a space available for the resident pending receipt of an administrative order. ORS 441.605 (4) and the rules thereunder governing transfer notices and hearings for residents of long term care facilities shall apply to adult foster 1 homes.

2 (12) The provider may not include any illegal or unenforceable provision in a contract with a 3 resident and may not ask or require a resident to waive any of the resident's rights.

4 (13) Any lessor of a building in which an adult foster home is located may not interfere with the 5 admission, discharge or transfer of any resident in the adult foster home unless the lessor is a pro-6 vider or coprovider on the license.

7

SECTION 29. ORS 443.767 is amended to read:

8 443.767. (1) When the licensing agency receives a complaint that alleges that a resident of a li-9 censed adult foster home has been injured, abused or neglected, and that the resident's health or 10 safety is in imminent danger, or that the resident has died or been hospitalized, the investigation 11 shall begin immediately after the complaint is received. If the investigator determines that the 12 complaint is substantiated, the licensing agency shall take appropriate corrective action imme-13 diately.

(2) When the licensing agency receives a complaint that alleges the existence of any circumstance that could result in injury, abuse or neglect of a resident of a licensed adult foster home, and that the circumstance could place the resident's health or safety in imminent danger, the agency shall investigate the complaint promptly. If the investigator determines that the complaint is substantiated, the agency shall take appropriate corrective action promptly.

(3) After public hearing, the licensing agency shall by rule set standards for the procedure, content and time limits for the initiation and completion of investigations of complaints. The time limits shall be as short as possible and shall vary in accordance with the severity of the circumstances alleged in the complaint. In no event shall the investigation exceed a duration of 60 days, unless there is an ongoing concurrent criminal investigation, in which case the licensing agency may take a reasonable amount of additional time in which to complete the investigation.

(4) The licensing agency shall take no longer than 60 days from the completion of the investigation report to take appropriate corrective action in the case of any complaint that the investigator determines to be substantiated.

(5)(a) The licensing agency shall mail a copy of the investigation report within seven days of the
 completion of the report to:

30 (A) The complainant, unless the complainant requests anonymity;

(B) The resident, and any person designated by the resident to receive information concerningthe resident;

33 (C) The facility; and

34 (D) The Office of the Long Term Care [Ombudsman] Ombudsmen.

(b) The copy of the report shall be accompanied by a notice that informs the recipient of theright to submit additional evidence.

(6)(a) The complaint and the investigation report shall be available to the public at the local office of the licensing agency or the type B area agency, if appropriate. When the licensing agency or type B area agency concludes the investigation of a complaint, the licensing agency or type B area agency shall clearly designate the outcome of the complaint investigation and make the designation available to the public together with the complaint and the investigation report.

42 (b) As used in this subsection, "area agency" has the meaning given that term in ORS 410.040.

43 (7) A copy of the report shall be forwarded to the licensing agency whether or not the investi-44 gation report concludes that the complaint is substantiated.

45 **SECTION 30.** ORS 443.878 is amended to read:

443.878. (1) As used in this section: 1 2 (a) "Elderly person" means a person who is 65 years of age or older; (b) "Person with a disability" has the meaning given that term in ORS 410.040; and 3 (c) "Psychotropic medication" has the meaning given that term in ORS 418.517. 4 (2) The Department of Human Services, in collaboration with the State Board of Pharmacy, the 5 Oregon Medical Board, the Oregon State Board of Nursing and the office of the Long Term Care 6 [Ombudsman] Ombudsmen, shall adopt rules related to the prescription of a psychotropic 7 medication to an elderly person or a person with a disability who resides in: 8 9 (a) An adult foster home, as defined in ORS 443.705; (b) A residential care facility, as defined in ORS 443.400; or 10 11 (c) A long term care facility, as defined in ORS 442.015. 12 (3) The requirements of this section do not apply to an elderly person or person with a disability 13 in a hospice program, as defined in ORS 443.850. (4) The rules adopted under subsection (2) of this section must include, but are not limited to, 14 15 requirements that: 16 (a) If a prescription of psychotropic medication is written by a licensed health care practitioner other than the primary care provider or mental health care provider, or other licensed health care 17 practitioner designated by the department, of the elderly person or person with a disability, the 18 prescription must be reviewed by the primary care provider or mental health care provider, or other 19 20 licensed health care practitioner designated by the department, of the elderly person or person with a disability to: 2122(A) Limit the adverse side effects of the psychotropic medication; and 23(B) Ensure that the psychotropic medication is prescribed in the lowest possible effective 24 dosage; (b) A licensed health care practitioner other than the primary care provider or mental health 25care provider, or other licensed health care practitioner designated by the department, of the elderly 2627person or person with a disability who prescribes a psychotropic medication notify the primary care provider or mental health care provider, or other licensed health care practitioner designated by the 28department, of the elderly person or person with a disability of the prescription not later than 24 2930 hours after issuing the prescription;

(c) Psychotropic medication prescribed by a licensed health care practitioner other than the primary care provider or mental health care provider, or other licensed health care practitioner designated by the department, of the elderly person or person with a disability may be in an amount sufficient for a seven-day supply; and

(d) The facility or home in which the elderly person or person with a disability resides demon strates that:

(A) A person-centered assessment has been performed for the elderly person or person with a
 disability; and

(B) Based on the findings of the assessment, the best course of treatment, including the use of
 nonpharmacological interventions, psychotropic medication or a combination of nonpharmacological
 interventions and psychotropic medication, is followed.

42 (5) The department may adopt other rules necessary to carry out the provisions of this section.
43 SECTION 31. ORS 476.030 is amended to read:

476.030. (1) The State Fire Marshal shall enforce all statutes, and make rules relating to:

45 (a) The prevention of fires.

1 (b) The storage and use of combustibles and explosives.

(c) The maintenance and regulation of structural fire safety features in occupied structures and overseeing the safety of and directing the means and adequacy of exit in case of fire from factories, asylums, hospitals, churches, schools, halls, theaters, amphitheaters, all buildings, except private residences, which are occupied for sleeping purposes, and all other places where large numbers of persons work, live or congregate from time to time for any purpose except that structural changes shall not be required in buildings built, occupied and maintained in conformity with state building code regulations applicable at the time of construction.

9 (d) Standards for equipment used for fire protection purposes within this state including stand-10 ard thread for fire hose couplings and hydrant fittings.

(2) The State Fire Marshal and deputies shall have such powers and perform such other dutiesas are prescribed by law.

13 (3) If, in the opinion of the State Fire Marshal, a governmental subdivision of the state has enacted adequate regulations generally conforming to state and national standards concerning fire 14 15prevention, fire safety measures and building construction requirements for safety, and if the gov-16 ernmental subdivision provides reasonable enforcement of its regulations, the State Fire Marshal may exempt the area subject to such regulation either partially or fully from the statutes, rules and 17 18 regulations administered by the State Fire Marshal. Prior to adoption of any such exemption, the 19 State Fire Marshal may request from the Department of Public Safety Standards and Training con-20 sideration of and recommendations regarding the exemption. The exemption may extend for a twoyear period, and may be renewed from time to time, but may be canceled by the State Fire Marshal 2122following 30 days' written notice if the State Fire Marshal finds that the governmental subdivision's 23regulations or enforcement thereof are not reasonably sufficient. The governmental subdivision shall furnish a copy of such regulations to the State Fire Marshal and shall file with the State Fire 24 25Marshal any amendment thereto within 30 days before the effective date of such amendment. The State Fire Marshal shall designate a person or division within such governmental subdivision as an 2627approved authority for exercising functions relating to fire prevention, fire safety measures and building construction. Upon request of a local official having enforcement responsibility and a 28 29 showing of unusual fire hazard or other special circumstances, the State Fire Marshal shall make 30 investigation and appropriate recommendations.

(4) The State Fire Marshal may investigate or cause an investigation to be made to determine
the probable cause, origin and circumstances of any fire and shall classify such findings as the State
Fire Marshal may find appropriate to promote fire protection and prevention.

34 (5) The State Fire Marshal shall provide training in fire safety inspection to the Department of Human Services, area agencies, the Oregon Health Authority, community mental health programs, 35 developmental disabilities programs and designees of the office of the Long Term Care 36 37 [Ombudsman] Ombudsmen and the Residential Facilities Ombudsman. If an adult foster home has 38 been inspected by the Department of Human Services, the Oregon Health Authority, an area agency, a community mental health program or a developmental disabilities program and the agency con-39 40 ducting the inspection reasonably believes that the adult foster home is not in compliance with applicable fire safety rules, the agency conducting the inspection may request the State Fire Marshal 41 42to inspect or cause an inspection to be made. If a designee of the office of the Long Term Care 43 [Ombudsman] Ombudsmen or the Residential Facilities Ombudsman, in the course of visiting an adult foster home, believes that the adult foster home is not in compliance with applicable fire safety 44 rules, the designee shall report the problem to the appropriate agency to request a fire safety in-45

spection by the office of the State Fire Marshal or by a designated representative of the office ofthe State Fire Marshal.

3 (6) Upon the request of the Department of Human Services, the Oregon Health Authority, an 4 area agency, a community mental health program or a developmental disabilities program, the State 5 Fire Marshal shall inspect or cause an inspection to be made to determine if the adult foster home 6 is in compliance with rules jointly adopted by the Department of Human Services and the State Fire 7 Marshal establishing fire safety standards for adult foster homes.

8 (7) As used in subsections (5) and (6) of this section:

9 (a) "Adult foster home" has the meaning given that term in ORS 443.705.

10 (b) "Area agency" has the meaning given that term in ORS 410.040.

11 (c) "Community mental health program" means a program established under ORS 430.620 (1)(b).

12 (d) "Developmental disabilities program" means a program established under ORS 430.620 (1)(a).

13 SECTION 32. Section 15, chapter 608, Oregon Laws 2013, is amended to read:

14 Sec. 15. (1) The Legislative Assembly finds that:

(a) A significant amount of public and private funds are expended each year for long term care
 services provided to Oregonians;

(b) Oregon has established itself as the national leader in providing a choice of noninstitutional
care to low income Oregonians in need of long term care services by developing an extensive system
of home health care and community-based care; and

(c) Long term care facilities continue to provide critical services to some of Oregon's most frail
 and vulnerable residents with complex needs. Increasingly, long term care facilities are filling a
 need for transitional care between hospitals and home settings in a cost-effective manner, reducing
 the overall costs of long term care.

(2) The Legislative Assembly declares its support for collaboration among state agencies that
purchase health services and private health care providers in order to align financial incentives
with the goals of achieving better patient care and improved health status while restraining growth
in the per capita cost of health care.

(3) It is the goal of the Legislative Assembly that the long term care facility bed capacity in
Oregon be reduced by 1,500 beds by December 31, 2015, except for bed capacity in nursing facilities
operated by the Department of Veterans' Affairs and facilities that either applied to the Oregon
Health Authority for a certificate of need between August 1, 2011, and December 1, 2012, or submitted a letter of intent under ORS 442.315 (7) between January 15, 2013, and January 31, 2013.

(4) In order to reduce the long term care facility bed capacity statewide, the Department of
 Human Services may permit an operator of a long term care facility to purchase another long term
 care facility's entire bed capacity if:

(a) The long term care facility bed capacity being purchased is not in an essential long term
 care facility; and

(b) The long term care facility's entire bed capacity is purchased and the seller agrees to sur render the long term care facility's license on the earlier of the date that:

40 (A) The last resident is transferred from the facility; or

41 (B) Is 180 days after the date of purchase.

(5) If a long term care facility's entire bed capacity is purchased, the facility may not admit new
residents to the facility except in accordance with criteria adopted by the Department of Human
Services by rule.

45 (6) Long term care bed capacity purchased under this section may not be transferred to another

1 long term care facility.

2 (7) The Department of Human Services may convene meetings with representatives of entities 3 that include, but are not limited to, long term care providers, nonprofit trade associations and state 4 and local governments to collaborate in strategies to reduce long term care facility bed capacity 5 statewide. Participation shall be on a voluntary basis. Meetings shall be held at a time and place 6 that is convenient for the participants.

(8) The Department of Human Services may conduct surveys of entities and individuals specified
in subsection (7) of this section concerning current long term care facility bed capacity and strategies for increasing future capacity.

(9) Based on the findings in subsection (1) of this section and the declaration expressed in subsection (2) of this section, the Legislative Assembly declares its intent to exempt from state antitrust
laws and provide immunity from federal antitrust laws through the state action doctrine individuals
and entities that engage in transactions, meetings or surveys described in subsections (4), (7) and (8)
of this section that might otherwise be constrained by such laws.

(10) The Director of Human Services or the director's designee shall engage in appropriate state supervision necessary to promote state action immunity under state and federal antitrust laws, and may inspect or request additional documentation to verify that the individuals and entities acting pursuant to subsection (4), (7) or (8) of this section are acting in accordance with the legislative intent expressed in this section.

(11) The Director of Human Services or the director's designee, in consultation with the Long
 Term Care [Ombudsman] Ombudsmen, shall engage in regional planning necessary to promote the
 safety and dignity of residents living in a long term care facility that surrenders its license under
 this section.

SECTION 33. Section 2, chapter 36, Oregon Laws 2018, is amended to read:

Sec. 2. (1) The Advance Directive Adoption Committee is established within the division of the
 Oregon Health Authority that is charged with performing the public health functions of the state.

27 (2)(a) The committee consists of 13 members.

94

(b) One member shall be [the] a Long Term Care Ombudsman or the designee of the office of
the Long Term Care [Ombudsman] Ombudsmen.

30 (c) The other 12 members shall be appointed by the Governor as follows:

31 (A) One member who represents primary health care providers.

32 (B) One member who represents hospitals.

(C) One member who is a clinical ethicist affiliated with a health care facility located in this
 state, or affiliated with a health care organization offering health care services in this state.

35 (D) Two members who are health care providers with expertise in palliative or hospice care, one 36 of whom is not employed by a hospital or other health care facility, a health care organization or 37 an insurer.

38 (E) One member who represents individuals with disabilities.

39 (F) One member who represents consumers of health care services.

40 (G) One member who represents the long term care community.

41 (H) One member with expertise advising or assisting consumers with end-of-life decisions.

42 (I) One member from among members proposed by the Oregon State Bar who has extensive ex-43 perience in elder law and advising individuals on how to execute an advance directive.

(J) One member from among members proposed by the Oregon State Bar who has extensive ex perience in estate planning and advising individuals on how to make end-of-life decisions.

(K) One member from among members proposed by the Oregon State Bar who has extensive experience in health law.

3 (3) The term of office of each member of the committee is four years, but a member serves at 4 the pleasure of the appointing authority. Before the expiration of the term of a member, the ap-5 pointing authority shall appoint a successor whose term begins on January 1 next following. A 6 member is eligible for reappointment. If there is a vacancy for any cause, the appointing authority 7 shall make an appointment to become immediately effective for the unexpired term.

8 (4) A majority of the members of the committee constitutes a quorum for the transaction of 9 business.

10 (5) Official action by the committee requires the approval of a majority of the members of the 11 committee.

12 (6) The committee shall elect one of its members to serve as chairperson.

(7) The committee shall meet at times and places specified by the call of the chairperson or of
a majority of the members of the committee, provided that the committee meets at least twice a
year.

16 (8) The committee may adopt rules necessary for the operation of the committee.

(9) Members of the committee are not entitled to compensation, but may be reimbursed for actual and necessary travel and other expenses incurred by them in the performance of their official duties in the manner and amounts provided for in ORS 292.495. Claims for expenses shall be paid out of funds appropriated to the Oregon Health Authority for purposes of the committee.

SECTION 34. (1) The amendments to ORS 124.090, 125.060, 125.075, 125.085, 125.678, 125.687, 410.550, 441.402, 441.403, 441.404, 441.406, 441.407, 441.408, 441.409, 441.411, 441.412, 441.413, 441.416, 441.417, 441.418, 441.419, 441.650, 441.671, 443.380, 443.382, 443.386, 443.447, 443.738, 443.767, 443.878 and 476.030 and section 15, chapter 608, Oregon Laws 2013, and section 2, chapter 36, Oregon Laws 2018, by sections 1 to 33 of this 2019 Act are intended to change the name of the "office of Long Term Care Ombudsman" to the "office of Long Term Care Ombudsmen."

(2) For the purpose of harmonizing and clarifying statutory law, the Legislative Counsel
 may substitute for words designating the "office of the Long Term Care Ombudsman,"
 wherever they occur in statutory law, other words designating the "office of the Long Term
 Care Ombudsmen."

32 <u>SECTION 35.</u> This 2019 Act being necessary for the immediate preservation of the public 33 peace, health and safety, an emergency is declared to exist, and this 2019 Act takes effect 34 on its passage.

35

1 2