

HOUSE AMENDMENTS TO HOUSE BILL 3377

By JOINT COMMITTEE ON CAPITOL CULTURE

May 6

1 In line 2 of the printed bill, after “branch” insert “; creating new provisions; amending ORS
2 40.252, 171.415, 171.740, 171.745 and 244.050; and declaring an emergency”.

3 Delete lines 4 and 5 and insert:

4 **“SECTION 1. (1) The Joint Committee on Conduct is established as a joint committee of
5 the Legislative Assembly.**

6 **“(2) The Legislative Equity Office is established as a nonpartisan office of the Legislative
7 Assembly that is independent of any other nonpartisan office.**

8 **“(3) By concurrent resolution, the Legislative Assembly shall select a Legislative Equity
9 Officer, to serve as the executive officer of the Legislative Equity Office.**

10 **“(4) The committee may recruit, conduct interviews and make recommendations for the
11 appointment of the Legislative Equity Officer by the Legislative Assembly. The committee
12 shall consult with the Capitol Leadership Team established under section 13 of this 2019 Act
13 in the performance of the committee’s duties under this section.**

14 **“(5) Subject to the limitations otherwise provided by law for expenses of state officers,
15 the Legislative Equity Officer shall be reimbursed for actual and necessary expenses incurred
16 or paid by the officer in the performance of duties of the officer.**

17 **“(6) The Legislative Equity Officer serves for a four-year term and is eligible for reap-
18 pointment to succeeding terms. An officer may be removed for cause by the committee upon
19 a finding by the committee that supports a for-cause removal of the officer.**

20 **“(7) The committee shall:**

21 **“(a) Receive from the Legislative Equity Officer annual reports described in section 5 of
22 this 2019 Act and any other reports the committee or officer determines are warranted;**

23 **“(b) Provide office facilities for the officer that are sufficiently independent to ensure
24 that the officer operates independently of any other legislative branch organization, including
25 but not limited to authorizing the officer to maintain facilities that are located near but
26 outside of the State Capitol;**

27 **“(c) Ensure that the officer possesses all possible indicia of independence from the other
28 parts of the legislative branch;**

29 **“(d) Provide ongoing direction and oversight for continual updating of the training de-
30 scribed in section 7 of this 2019 Act;**

31 **“(e) Develop and maintain a respectful workplace policy to be administered by the Leg-
32 islative Administrator and that is designed to address conduct that is inconsistent with a
33 respectful workplace in the State Capitol and that does not rise to the level of creating a
34 hostile work environment or violating public accommodation law;**

35 **“(f) Provide policy direction and oversight to the officer; and**

1 “(g) Perform other duties as assigned by joint rules of the Legislative Assembly.

2 “(8) The Joint Committee on Conduct shall be composed of those members of the Senate
3 Committee on Conduct formed to perform delegated and assigned functions described in Ar-
4 ticle IV, section 15, of the Oregon Constitution, for the Senate and the House Committee on
5 Conduct formed to perform delegated and assigned functions described in Article IV, section
6 15, of the Oregon Constitution, for the House of Representatives.

7 “(9) In any hearing to perform a function described in Article IV, section 15, of the
8 Oregon Constitution, Joint Committee on Conduct members of the other legislative chamber
9 may attend and be seated at the dais as nonvoting observers.

10 “(10) Notwithstanding subsection (8) of this section, a member of the Joint Committee
11 on Conduct shall be recused from service on a committee on conduct performing functions
12 under Article IV, section 15, of the Oregon Constitution, if the facts and circumstances at
13 issue could impair the member’s ability to act impartially and without bias. In the event of
14 a recusal, the appointing authority shall appoint an acting member until the functions per-
15 formed under Article IV, section 15, of the Oregon Constitution, have been resolved.

16 “SECTION 2. (1) The Joint Committee on Conduct has a continuing existence and may
17 meet, act and conduct its business during the sessions of the Legislative Assembly or any
18 recess thereof, and in the interim period between sessions, but the committee has no au-
19 thority to affect the rules of either legislative chamber.

20 “(2) The committee may not transact business unless a quorum is present. A quorum
21 consists of a majority of committee members from the House of Representatives and a ma-
22 jority of committee members from the Senate.

23 “(3) Action by the committee requires the affirmative vote of a majority of committee
24 members from the House of Representatives and a majority of committee members from the
25 Senate.

26 “SECTION 3. Notwithstanding sections 1 and 2 of this 2019 Act, if a vacancy occurs in
27 the position of the Legislative Equity Officer before the expiration of the term of the officer,
28 the Joint Committee on Conduct may select an acting replacement for the vacated officer.
29 The acting equity officer selected by the committee serves at the committee’s pleasure until
30 a Legislative Equity Officer is appointed under section 1 of this 2019 Act.

31 “SECTION 4. Notwithstanding sections 1 and 2 of this 2019 Act, if the 2019 regular session
32 of the Eightieth Legislative Assembly adjourns sine die before the Legislative Equity Officer
33 has been appointed by the Legislative Assembly, the Joint Committee on Conduct may select
34 an acting equity officer for the vacant position. The acting equity officer selected by the
35 committee serves at the committee’s pleasure until a Legislative Equity Officer is appointed
36 under section 1 of this 2019 Act.

37 “SECTION 5. (1) The Legislative Equity Officer shall:

38 “(a) Prepare and present an annual report to the Joint Committee on Conduct. The an-
39 nual report shall include:

40 “(A) A description of the activities of the officer since the last report;

41 “(B) A detailed description of the training curricula and subjects addressed in the train-
42 ing described in section 7 of this 2019 Act;

43 “(C) Statistics that list the number of confidential disclosures, conduct reports and con-
44 duct complaints made under any applicable rule, policy or law addressing harassment, dis-
45 crimination and retaliation in the legislative branch and the number of investigations

1 conducted, except that statistics reported under this subparagraph may not disclose any
2 characteristics that would permit confidential identities to be determined or inferred;

3 “(D) The results, or a summary of the results, of the most recent culture and climate
4 survey undertaken by the officer under section 11 of this 2019 Act; and

5 “(E) Any other information required by the committee.

6 “(b) Establish and maintain a Capitol Leadership Team to perform the duties described
7 in section 13 of this 2019 Act.

8 “(2) The Legislative Equity Officer may employ and fix the compensation of such profes-
9 sional assistants and other employees as the officer deems necessary for the work under the
10 officer’s charge.

11 “(3) The Legislative Equity Officer may enter into contracts to carry out the functions
12 of the Legislative Equity Office.

13 “SECTION 6. (1) Under the direction of the Joint Committee on Conduct, the Legislative
14 Equity Officer shall contract with one or more individuals who are unaffiliated with the leg-
15 islative branch and who meet the standards and criteria established by the committee for
16 performing services for the legislative branch as an independent investigator. An independ-
17 ent investigator shall:

18 “(a) Receive complaints and reports alleging harassment or other conduct that is as-
19 serted to violate standards of harassment, discrimination or retaliation prescribed by legis-
20 lative branch personnel rules;

21 “(b) Conduct investigations, determine facts, write investigative reports and report out-
22 comes of investigations to appointing authorities or other persons or entities identified in
23 legislative branch personnel rules or chamber rules as recipients of independent investigator
24 reports; and

25 “(c) Make recommendations regarding interim safety measures to appointing authorities
26 or other persons or entities identified in legislative branch personnel rules or chamber rules
27 as recipients of independent investigator recommendations on interim safety measures.

28 “(2) An independent investigator may not have access to confidential files and records
29 of the Legislative Equity Officer.

30 “SECTION 7. (1) The Legislative Equity Officer shall at least once each calendar quarter
31 conduct a minimum of two hours of respectful workplace training, including training on:

32 “(a) Legislative branch workplace harassment avoidance policies and rules;

33 “(b) Legislative branch procedures and rules for reporting or filing complaints to address
34 instances of harassment; and

35 “(c) Free speech and free expression rights guaranteed under the Oregon and United
36 States Constitutions.

37 “(2) The training described in subsection (1) of this section must be attended annually
38 by all legislators, legislative staff and legislative interns and volunteers. Employees of con-
39 tractors who reasonably expect to be regularly present in the State Capitol must also attend
40 the training described in subsection (1) of this section. Lobbyists and executive branch and
41 judicial branch personnel who are regularly present in the State Capitol may be invited to
42 attend the training. The Legislative Equity Officer shall record attendance at the trainings
43 and shall make attendance records publicly available.

44 “(3)(a) The Legislative Equity Officer shall make the training described in subsection (1)
45 of this section available online. The officer shall maintain records of all persons who have

1 taken online training.

2 “(b) The online training described in this subsection is intended to be a last-resort al-
3 ternative to the in-person training described in subsection (1) of this section. An individual
4 required to attend training under this section may substitute online training for in-person
5 attendance only once in any two-year period.

6 “(4)(a) The Legislative Equity Officer shall strive to present the training in small group
7 settings and employ best practices to maximize attendance at in-person trainings.

8 “(b) Of the trainings described in subsection (1) of this section, at least one training
9 session annually shall be on basic requirements under legislative branch personnel rules and
10 at least one training session annually shall be an in-depth focus on one of the following top-
11 ics:

12 “(A) Conduct that constitutes harassment under legislative branch personnel rules or
13 other law;

14 “(B) Specific guidance addressing subtle forms of discrimination and harassment that
15 become unlawful because of the pervasiveness of the conduct;

16 “(C) Available methods of reporting harassment;

17 “(D) Supervisor obligations to report harassment;

18 “(E) The authority every individual has to withdraw consent to intimate conduct and the
19 challenges associated with consensual relationships in the workplace;

20 “(F) Examples of positive workplace behaviors and constructive working relationships;

21 “(G) Skills necessary for individuals to become active bystanders who promote culture
22 change and oppose harassing behavior they observe in the workplace;

23 “(H) Methods for discouraging behavior that does not promote a productive and inclusive
24 work environment;

25 “(I) The human impact and harm to the work environment that harassment causes; or

26 “(J) Free speech and free expression rights guaranteed under the Oregon and United
27 States Constitutions.

28 “(5) The Legislative Equity Officer may contract with other persons or entities with ex-
29 perience performing harassment avoidance and respectful workplace training for the per-
30 formance of the training described in this section.

31 “(6) The Legislative Equity Officer shall employ best practices in:

32 “(a) Developing training content described in this section and delivery methodologies for
33 the training content; and

34 “(b) Writing and publishing written guidance on Legislative Branch Rules and policies
35 relating to:

36 “(A) Promoting a respectful and inclusive workplace;

37 “(B) Reporting or filing complaints about harassing, discriminatory or retaliatory be-
38 havior, or other behavior prohibited by branch rules or policies; and

39 “(C) Understanding options available to those who are experiencing behavior prohibited
40 by branch rules or policies.

41 “(7) The Legislative Equity Officer shall consult with the Legislative Administrator and
42 the committee described in section 11 (2) of this 2019 Act in the development of respectful
43 workplace training.

44 “SECTION 8. The Legislative Equity Officer shall begin undertaking training described
45 in section 7 of this 2019 Act as soon as is practicable after the effective date of this 2019 Act.

1 “**SECTION 9.** Section 7 of this 2019 Act is amended to read:

2 “**Sec. 7.** (1) The Legislative Equity Officer shall at least once each calendar quarter conduct a
3 minimum of two hours of respectful workplace training, including training on:

4 “(a) Legislative branch workplace harassment avoidance policies and rules;

5 “(b) Legislative branch procedures and rules for reporting or filing complaints to address in-
6 stances of harassment; and

7 “(c) Free speech and free expression rights guaranteed under the Oregon and United States
8 Constitutions.

9 “(2) The training described in subsection (1) of this section must be attended annually by all
10 legislators, legislative staff, [and] legislative interns, [and] **legislative volunteers and lobbyists who**
11 **are required to register with the Oregon Government Ethics Commission.** Employees of con-
12 tractors who reasonably expect to be regularly present in the State Capitol must also attend the
13 training described in subsection (1) of this section. [Lobbyists and] Executive branch and judicial
14 branch personnel who are regularly present in the State Capitol may be invited to attend the
15 training. The Legislative Equity Officer shall record attendance at the trainings and shall make at-
16 tendance records publicly available.

17 “(3)(a) The Legislative Equity Officer shall make the training described in subsection (1) of this
18 section available online. The officer shall maintain records of all persons who have taken online
19 training.

20 “(b) The online training described in this subsection is intended to be a last-resort alternative
21 to the in-person training described in subsection (1) of this section. **Except for a registered**
22 **lobbyist whose principal office is outside of this state,** an individual required to attend training
23 under this section may substitute online training for in-person attendance only once in any two-year
24 period.

25 “(4)(a) The Legislative Equity Officer shall strive to present the training in small group settings
26 and employ best practices to maximize attendance at in-person trainings.

27 “(b) Of the trainings described in subsection (1) of this section, at least one training session
28 annually shall be on basic requirements under legislative branch personnel rules and at least one
29 training session annually shall be an in-depth focus on one of the following topics:

30 “(A) Conduct that constitutes harassment under legislative branch personnel rules or other law;

31 “(B) Specific guidance addressing subtle forms of discrimination and harassment that become
32 unlawful because of the pervasiveness of the conduct;

33 “(C) Available methods of reporting harassment;

34 “(D) Supervisor obligations to report harassment;

35 “(E) The authority every individual has to withdraw consent to intimate conduct and the chal-
36 lenges associated with consensual relationships in the workplace;

37 “(F) Examples of positive workplace behaviors and constructive working relationships;

38 “(G) Skills necessary for individuals to become active bystanders who promote culture change
39 and oppose harassing behavior they observe in the workplace;

40 “(H) Methods for discouraging behavior that does not promote a productive and inclusive work
41 environment;

42 “(I) The human impact and harm to the work environment that harassment causes; or

43 “(J) Free speech and free expression rights guaranteed under the Oregon and United States
44 Constitutions.

45 “(5) The Legislative Equity Officer may contract with other persons or entities with experience

1 performing harassment avoidance and respectful workplace training for the performance of the
2 training described in this section.

3 “(6) The Legislative Equity Officer shall employ best practices in:

4 “(a) Developing training content described in this section and delivery methodologies for the
5 training content; and

6 “(b) Writing and publishing written guidance on Legislative Branch Rules and policies relating
7 to:

8 “(A) Promoting a respectful and inclusive workplace;

9 “(B) Reporting or filing complaints about harassing, discriminatory or retaliatory behavior, or
10 other behavior prohibited by branch rules or policies; and

11 “(C) Understanding options available to those who are experiencing behavior prohibited by
12 branch rules or policies.

13 “(7) The Legislative Equity Officer shall consult with the Legislative Administrator and the
14 committee described in section 11 (2) of this 2019 Act in the development of respectful workplace
15 training.

16 **“SECTION 10. The amendments to section 7 of this 2019 Act by section 9 of this 2019 Act
17 become operative on January 1, 2021.**

18 **“SECTION 11. (1) The Legislative Equity Officer shall regularly conduct culture and cli-
19 mate surveys of legislators, legislative staff, lobbyists and others who regularly interact with
20 the legislative branch to ascertain the alignment between stated legislative branch policies
21 and goals relating to workplace culture and standards of behavior, and actual beliefs and
22 experiences of those who work in the legislative branch or regularly interact with the legis-
23 lative branch. The officer shall make the results of culture and climate surveys publicly
24 available.**

25 **“(2) If a diversity, equity and inclusion committee composed of members of the Legisla-
26 tive Assembly and partisan and nonpartisan staff of the legislative branch exists at the time
27 of consultation, the Legislative Equity Officer shall consult with the committee on culture
28 and climate surveys, training, building policies and practices that may affect legislative
29 branch employees.**

30 **“(3) The Legislative Equity Officer may contract with other persons or entities with ex-
31 perience conducting culture and climate surveys to conduct the surveys described in sub-
32 section (1) of this section.**

33 **“(4) The Legislative Equity Officer may collaborate with the Legislative Administrator
34 to provide training, coaching and the production of materials intended to improve State
35 Capitol culture and on matters other than workplace harassment.**

36 **“SECTION 12. (1) The Legislative Equity Officer shall be available to receive information
37 from any individual about harassing behavior occurring in the State Capitol or involving
38 legislators, legislative staff, lobbyists or others who are present in the State Capitol or who
39 engage with legislators, legislative staff or lobbyists, whether in the State Capitol or else-
40 where.**

41 **“(2) The Legislative Equity Officer shall provide confidential process counseling to indi-
42 viduals who believe they have experienced or observed harassment, including but not limited
43 to:**

44 **“(a) Providing information on legislative branch personnel rules, policies and reporting
45 processes; and**

1 “(b) Providing information on the extent to which information may be kept confidential
2 or may be subject to disclosure.

3 “(3) If the Legislative Equity Officer receives information concerning conduct that is in-
4 consistent with a respectful workplace policy adopted by the Joint Committee on Conduct
5 but that does not rise to the level of creating a hostile work environment or violating public
6 accommodation law, the officer shall refer the reporter to the Legislative Administrator.

7 “(4) The Legislative Equity Officer may not engage in any investigation following a report
8 or complaint alleging harassment or following any consultation described in subsection (1)
9 or (2) of this section.

10 “(5) The Legislative Equity Officer may not share any information acquired during a
11 disclosure or consultation made confidential by legislative rule with an independent investi-
12 gator performing services under section 6 of this 2019 Act, except that nonpersonally iden-
13 tifiable information may be disclosed to facilitate the taking of any action that is consistent
14 with legislative rules and with the principles of the Due Process Clause of the United States
15 Constitution.

16 “SECTION 13. (1) The Legislative Equity Officer shall establish and maintain a Capitol
17 Leadership Team, consisting of legislators, legislative staff, lobbyists, executive and judicial
18 branch staff who regularly interact with the legislative branch, employees of contractors who
19 regularly interact with the legislative branch, and interested members of the public, who
20 have an interest in promoting a productive and inclusive environment in the State Capitol
21 and at functions and events outside of the State Capitol at which legislators, staff, lobbyists
22 and others interact. The officer shall give preference to interested individuals who wish to
23 serve on the team and who also have had experience working on issues related to diversity,
24 equity and inclusion. Capitol Leadership Team members who are legislators or partisan leg-
25 islative staff shall be composed equally of those affiliated with the majority party and the
26 minority party, so that there are equal numbers of legislators from the majority party and
27 from the minority party and equal numbers of partisan staff team members from the ma-
28 jority party and from the minority party.

29 “(2) The Legislative Administrator shall provide members of the Capitol Leadership Team
30 with advanced respectful workplace training, with an emphasis on implementing cultural
31 change in the workplace.

32 “(3) Capitol Leadership Team members shall serve as mentors and informal resources
33 of information for others who are interested in promoting a more respectful workplace or
34 who are facing challenges in the workplace.

35 “(4) The Capitol Leadership Team shall identify additional services or additional training
36 needs and shall report those identified additional services or training needs to the Legislative
37 Equity Officer and to the Joint Committee on Conduct.

38 “SECTION 14. (1) At the direction of the Joint Committee on Conduct, the Legislative
39 Equity Officer shall contract with one or more offsite process counselors to perform the
40 duties described in section 15 of this 2019 Act or such other duties as are assigned by legis-
41 lative rule or by the committee.

42 “(2) The committee shall establish minimum qualifications for an offsite process coun-
43 selor and may establish other criteria for the selection of an offsite process counselor, in-
44 cluding criteria by which a request for proposals may be evaluated or by which external
45 experts may be invited to advise the committee on the selection of an offsite process coun-

1 selector.

2 **“SECTION 15.** (1) An offsite process counselor under contract with the Legislative Equity
3 Officer under section 14 of this 2019 Act shall be available to receive information from any
4 individual about harassing behavior occurring in the State Capitol or involving legislators,
5 legislative staff, lobbyists or others who are present in the State Capitol or who engage with
6 legislators, legislative staff or lobbyists, whether in the State Capitol or elsewhere.

7 **“(2)** The offsite process counselor shall provide confidential process counseling to indi-
8 viduals who believe they have experienced or observed harassment, including but not limited
9 to:

10 **“(a)** Providing information on legislative branch personnel rules, policies and reporting
11 processes; and

12 **“(b)** Providing information on the extent to which information may be kept confidential
13 or may be subject to disclosure.

14 **“(3)** The offsite process counselor may not engage in any investigation following a report
15 or complaint alleging harassment or following any consultation described in subsection (1)
16 or (2) of this section.

17 **“(4)** The offsite process counselor may not share any information acquired during a
18 consultation described in subsection (1) or (2) of this section with the independent investi-
19 gator performing services under section 6 of this 2019 Act and legislative branch personnel
20 rules, except that nonpersonally identifiable information may be disclosed to facilitate the
21 taking of any action that is consistent with legislative rules and with the principles of the
22 Due Process Clause of the United States Constitution.

23 **“(5)** Upon request of a person making a disclosure, report or complaint to the Legislative
24 Equity Officer, the offsite process counselor may be present when the disclosure, report or
25 complaint is made.

26 **“SECTION 16.** Section 17 of this 2019 Act is added to and made a part of ORS 40.225 to
27 40.295.

28 **“SECTION 17.** (1) As used in this section:

29 **“(a)** ‘Confidential communication’ means a communication between an offsite process
30 counselor and an individual reporting information or seeking consultative services from the
31 offsite process counselor.

32 **“(b)** ‘Harassment’ has the meaning given that term in legislative branch personnel rules
33 that establish a standard of conduct that applies to legislators, legislative staff or executive
34 or judicial branch staff that regularly are present in the State Capitol or regularly interact
35 with the legislative branch, lobbyists who are required to be registered under ORS 171.740,
36 or contractors, including employees of contractors, who regularly perform services in the
37 State Capitol. ‘Harassment’ includes conduct that constitutes sexual harassment or retali-
38 ation as those terms are used in the legislative branch personnel rules that address
39 harassment. ‘Harassment’ includes discrimination in a place of public accommodation.

40 **“(c)** ‘Legislative branch’ means the legislative department, as defined in ORS 174.114.

41 **“(d)** ‘Offsite process counselor’ means an offsite process counselor who meets the quali-
42 fications established under section 14 (2) of this 2019 Act, who performs services under sec-
43 tion 15 of this 2019 Act and who has completed at least 40 hours of training in advocacy for
44 victims of domestic violence, sexual assault, stalking or workplace harassment, including
45 harassment based on race, gender or disability, that has been approved by the Attorney

1 **General by rule.**

2 **“(2) A person who reports information to an offsite process counselor that concerns**
3 **harassment that the person has experienced or witnessed has a privilege to refuse to disclose**
4 **and to prevent any other person from disclosing:**

5 **“(a) Confidential communication made by the person to or received by the person from**
6 **the offsite process counselor; and**

7 **“(b) Records that are created or maintained by the offsite process counselor in the**
8 **course of the person reporting information that concerns harassment in the State Capitol.**

9 **“(3) A person who consults with an offsite process counselor for the purpose of under-**
10 **standing what options are available for reporting harassment or filing a harassment com-**
11 **plaint has a privilege to refuse to disclose and to prevent any other person from disclosing:**

12 **“(a) Confidential communication made by the person to or received by the person from**
13 **the offsite process counselor; and**

14 **“(b) Records that are created or maintained by the offsite process counselor in the**
15 **course of providing counsel or services to the person.**

16 **“(4) This section does not prohibit the disclosure of:**

17 **“(a) Any information if the offsite process counselor reasonably believes that the disclo-**
18 **sure is necessary to prevent immediate physical harm or other harm described in ORS 40.252;**
19 **or**

20 **“(b) Nonpersonally identifying data.**

21 **“(5) This section applies to civil, criminal and administrative proceedings and to legisla-**
22 **tive branch disciplinary proceedings.**

23 **“SECTION 18. ORS 40.252 is amended to read:**

24 **“40.252. (1) In addition to any other limitations on privilege that may be imposed by law, there**
25 **is no privilege under ORS 40.225, 40.230, 40.250 or 40.264 or section 17 of this 2019 Act for com-**
26 **munications if:**

27 **“(a) In the professional judgment of the person receiving the communications, the communi-**
28 **cations reveal that the declarant has a clear and serious intent at the time the communications are**
29 **made to subsequently commit a crime involving physical injury, a threat to the physical safety of**
30 **any person, sexual abuse or death or involving an act described in ORS 167.322;**

31 **“(b) In the professional judgment of the person receiving the communications, the declarant**
32 **poses a danger of committing the crime; and**

33 **“(c) The person receiving the communications makes a report to another person based on the**
34 **communications.**

35 **“(2) The provisions of this section do not create a duty to report any communication to any**
36 **person.**

37 **“(3) A person who discloses a communication described in subsection (1) of this section, or fails**
38 **to disclose a communication described in subsection (1) of this section, is not liable to any other**
39 **person in a civil action for any damage or injury arising out of the disclosure or failure to disclose.**

40 **“SECTION 19. Records and information of the Legislative Equity Officer appointed in**
41 **section 1 of this 2019 Act that relate to disclosures, reports or other allegations made to the**
42 **equity officer or that relate to investigations, reports or counseling undertaken by the equity**
43 **officer or by an independent investigator or offsite process counselor at the request or di-**
44 **rection of the equity officer are exempt from required disclosure under ORS 192.311 to**
45 **192.478, except that:**

1 “(1) A conduct complaint made under legislative branch personnel rules is disclosable
2 when requested;

3 “(2) Records relating to an investigation of a member of the Legislative Assembly fol-
4 lowing a conduct complaint being made concerning the member are subject to disclosure af-
5 ter the fact-finding investigation has concluded, even if a legislative committee has not yet
6 met or deliberated on the investigation’s findings; and

7 “(3) Records relating to an investigation of allegations of conduct prohibited by legislative
8 branch personnel rules and not described in subsection (1) or (2) of this section are subject
9 to disclosure upon a determination being made that the person who was the subject of the
10 investigation is subject to remedial measures or discipline.

11 “**SECTION 20.** ORS 171.415 is amended to read:

12 “171.415. (1) Except as provided in subsections (2) and (3) of this section, a committee or em-
13 ployee of the Legislative Assembly having possession of legislative records that are not required for
14 the regular performance of official duties shall, within 10 days after the adjournment sine die of a
15 regular or special session, deliver all such legislative records to the Legislative Administration
16 Committee.

17 “(2) The chairperson, member or employee of a legislative interim committee responsible for
18 maintaining the legislative records of that committee shall, within 10 days after the committee
19 ceases to function or before January 1 next preceding the beginning of an odd-numbered year reg-
20 ular session of the Legislative Assembly, whichever is earlier, deliver all such legislative records to
21 the Legislative Administration Committee.

22 “(3) This section does not apply to the records of the Emergency Board, the Legislative Ad-
23 ministration Committee, the Legislative Counsel Committee, the Legislative Policy and Research
24 Committee, **the Joint Committee on Conduct and the Legislative Equity Officer** or the Joint
25 Committee on Ways and Means.

26 “**SECTION 21.** ORS 244.050 is amended to read:

27 “244.050. (1) On or before April 15 of each year the following persons shall file with the Oregon
28 Government Ethics Commission a verified statement of economic interest as required under this
29 chapter:

30 “(a) The Governor, Secretary of State, State Treasurer, Attorney General, Commissioner of the
31 Bureau of Labor and Industries, district attorneys and members of the Legislative Assembly.

32 “(b) Any judicial officer, including justices of the peace and municipal judges, except any pro
33 tem judicial officer who does not otherwise serve as a judicial officer.

34 “(c) Any candidate for a public office designated in paragraph (a) or (b) of this subsection.

35 “(d) The Deputy Attorney General.

36 “(e) The Deputy Secretary of State.

37 “(f) The Legislative Administrator, the Legislative Counsel, the Legislative Fiscal Officer, the
38 Legislative Policy and Research Director, the Secretary of the Senate, [and] the Chief Clerk of the
39 House of Representatives **and the Legislative Equity Officer.**

40 “(g) The president and vice presidents, or their administrative equivalents, in each public uni-
41 versity listed in ORS 352.002.

42 “(h) The following state officers:

43 “(A) Adjutant General.

44 “(B) Director of Agriculture.

45 “(C) Manager of State Accident Insurance Fund Corporation.

1 “(D) Water Resources Director.
2 “(E) Director of Department of Environmental Quality.
3 “(F) Director of Oregon Department of Administrative Services.
4 “(G) State Fish and Wildlife Director.
5 “(H) State Forester.
6 “(I) State Geologist.
7 “(J) Director of Human Services.
8 “(K) Director of the Department of Consumer and Business Services.
9 “(L) Director of the Department of State Lands.
10 “(M) State Librarian.
11 “(N) Administrator of Oregon Liquor Control Commission.
12 “(O) Superintendent of State Police.
13 “(P) Director of the Public Employees Retirement System.
14 “(Q) Director of Department of Revenue.
15 “(R) Director of Transportation.
16 “(S) Public Utility Commissioner.
17 “(T) Director of Veterans’ Affairs.
18 “(U) Executive director of Oregon Government Ethics Commission.
19 “(V) Director of the State Department of Energy.
20 “(W) Director and each assistant director of the Oregon State Lottery.
21 “(X) Director of the Department of Corrections.
22 “(Y) Director of the Oregon Department of Aviation.
23 “(Z) Executive director of the Oregon Criminal Justice Commission.
24 “(AA) Director of the Oregon Business Development Department.
25 “(BB) Director of the Office of Emergency Management.
26 “(CC) Director of the Employment Department.
27 “(DD) Chief of staff for the Governor.
28 “(EE) Director of the Housing and Community Services Department.
29 “(FF) State Court Administrator.
30 “(GG) Director of the Department of Land Conservation and Development.
31 “(HH) Board chairperson of the Land Use Board of Appeals.
32 “(II) State Marine Director.
33 “(JJ) Executive director of the Oregon Racing Commission.
34 “(KK) State Parks and Recreation Director.
35 “(LL) Public defense services executive director.
36 “(MM) Chairperson of the Public Employees’ Benefit Board.
37 “(NN) Director of the Department of Public Safety Standards and Training.
38 “(OO) Executive director of the Higher Education Coordinating Commission.
39 “(PP) Executive director of the Oregon Watershed Enhancement Board.
40 “(QQ) Director of the Oregon Youth Authority.
41 “(RR) Director of the Oregon Health Authority.
42 “(SS) Deputy Superintendent of Public Instruction.
43 “(i) The First Partner, the legal counsel, the deputy legal counsel and all policy advisors within
44 the Governor’s office.
45 “(j) Every elected city or county official.

1 “(k) Every member of a city or county planning, zoning or development commission.
2 “(L) The chief executive officer of a city or county who performs the duties of manager or
3 principal administrator of the city or county.
4 “(m) Members of local government boundary commissions formed under ORS 199.410 to 199.519.
5 “(n) Every member of a governing body of a metropolitan service district and the auditor and
6 executive officer thereof.
7 “(o) Each member of the board of directors of the State Accident Insurance Fund Corporation.
8 “(p) The chief administrative officer and the financial officer of each common and union high
9 school district, education service district and community college district.
10 “(q) Every member of the following state boards and commissions:
11 “(A) Governing board of the State Department of Geology and Mineral Industries.
12 “(B) Oregon Business Development Commission.
13 “(C) State Board of Education.
14 “(D) Environmental Quality Commission.
15 “(E) Fish and Wildlife Commission of the State of Oregon.
16 “(F) State Board of Forestry.
17 “(G) Oregon Government Ethics Commission.
18 “(H) Oregon Health Policy Board.
19 “(I) Oregon Investment Council.
20 “(J) Land Conservation and Development Commission.
21 “(K) Oregon Liquor Control Commission.
22 “(L) Oregon Short Term Fund Board.
23 “(M) State Marine Board.
24 “(N) Mass transit district boards.
25 “(O) Energy Facility Siting Council.
26 “(P) Board of Commissioners of the Port of Portland.
27 “(Q) Employment Relations Board.
28 “(R) Public Employees Retirement Board.
29 “(S) Oregon Racing Commission.
30 “(T) Oregon Transportation Commission.
31 “(U) Water Resources Commission.
32 “(V) Workers’ Compensation Board.
33 “(W) Oregon Facilities Authority.
34 “(X) Oregon State Lottery Commission.
35 “(Y) Pacific Northwest Electric Power and Conservation Planning Council.
36 “(Z) Columbia River Gorge Commission.
37 “(AA) Oregon Health and Science University Board of Directors.
38 “(BB) Capitol Planning Commission.
39 “(CC) Higher Education Coordinating Commission.
40 “(DD) Oregon Growth Board.
41 “(EE) Early Learning Council.
42 “(r) The following officers of the State Treasurer:
43 “(A) Deputy State Treasurer.
44 “(B) Chief of staff for the office of the State Treasurer.
45 “(C) Director of the Investment Division.

1 “(s) Every member of the board of commissioners of a port governed by ORS 777.005 to 777.725
2 or 777.915 to 777.953.

3 “(t) Every member of the board of directors of an authority created under ORS 441.525 to
4 441.595.

5 “(u) Every member of a governing board of a public university listed in ORS 352.002.

6 “(v) Every member of the board of directors of an authority created under ORS 465.600 to
7 465.621.

8 “(2) By April 15 next after the date an appointment takes effect, every appointed public official
9 on a board or commission listed in subsection (1) of this section shall file with the Oregon Govern-
10 ment Ethics Commission a statement of economic interest as required under ORS 244.060, 244.070
11 and 244.090.

12 “(3) By April 15 next after the filing deadline for the primary election, each candidate described
13 in subsection (1) of this section shall file with the commission a statement of economic interest as
14 required under ORS 244.060, 244.070 and 244.090.

15 “(4) Not later than the 40th day before the date of the statewide general election, each candi-
16 date described in subsection (1) of this section who will appear on the statewide general election
17 ballot and who was not required to file a statement of economic interest under subsections (1) to (3)
18 of this section shall file with the commission a statement of economic interest as required under
19 ORS 244.060, 244.070 and 244.090.

20 “(5) Subsections (1) to (3) of this section apply only to persons who are incumbent, elected or
21 appointed public officials as of April 15 and to persons who are candidates on April 15.

22 “(6) If a statement required to be filed under this section has not been received by the com-
23 mission within five days after the date the statement is due, the commission shall notify the public
24 official or candidate and give the public official or candidate not less than 15 days to comply with
25 the requirements of this section. If the public official or candidate fails to comply by the date set
26 by the commission, the commission may impose a civil penalty as provided in ORS 244.350.

27 **“SECTION 22. Section 23 of this 2019 Act is added to and made a part of ORS 171.725 to
28 171.785.**

29 **“SECTION 23. (1) A lobbyist registered with the Oregon Government Ethics Commission
30 or required to register with the commission shall annually attend at least two hours of
31 training described in section 7 of this 2019 Act.**

32 **“(2) A lobbyist registered with the commission or required to register with the commis-
33 sion shall certify attendance at two hours of training described in section 7 of this 2019 Act,
34 including attendance at online training authorized under section 7 of this 2019 Act, on
35 statements the lobbyist files under ORS 171.745.**

36 **“(3) On or before February 1 of each year, the commission shall submit a report to the
37 Legislative Equity Officer that lists for each lobbyist the date the lobbyist registered under
38 ORS 171.740 and the dates and duration of training that the lobbyist attended or completed
39 for the prior calendar year.**

40 **“(4) The commission may adopt rules to implement lobbyist training reporting require-
41 ments.**

42 **“SECTION 24. The Joint Committee on Conduct established under section 1 of this 2019
43 Act may adopt policies that establish content and duration requirements for training ses-
44 sions described in section 23 of this 2019 Act.**

45 **“SECTION 25. ORS 171.740 is amended to read:**

1 “171.740. (1) Within three business days after exceeding the limit of time or expenditure speci-
2 fied in ORS 171.735 (4), or within three business days after agreeing to provide personal services for
3 money or any other consideration for the purpose of lobbying, a lobbyist shall register with the
4 Oregon Government Ethics Commission by filing with the commission a statement containing the
5 following information:

6 “(a) The name, address, electronic mail address and telephone number of the lobbyist.

7 “(b) The name, address, electronic mail address and telephone number of each person that em-
8 ploys the lobbyist or in whose interest the lobbyist appears or works.

9 “(c) A general description of the trade, business, profession or area of endeavor of any person
10 designated under paragraph (b) of this subsection, and a statement by the person that the lobbyist
11 is officially authorized to lobby for the person.

12 “(d) The name of any member of the Legislative Assembly employed, retained or otherwise
13 compensated by:

14 “(A) The lobbyist designated under paragraph (a) of this subsection; or

15 “(B) A person designated under paragraph (b) of this subsection.

16 “(e) The general subject or subjects of the legislative action of interest to the person for whom
17 the lobbyist is registered.

18 **“(f) A commitment by the lobbyist to comply with the training requirements of section**
19 **23 of this 2019 Act.**

20 “(2)(a) Not later than 10 calendar days after a lobbyist files a registration statement under this
21 section, the designation of official authorization to lobby shall be signed by an official of each person
22 that employs the lobbyist or in whose interest the lobbyist appears or works.

23 “(b) A lobbyist may unilaterally withdraw a registration statement filed under this section not
24 more than one time per calendar year for each person designated under subsection (1)(b) of this
25 section if the withdrawal is made:

26 “(A) Before the designation of official authorization to lobby has been signed in the manner re-
27 quired under paragraph (a) of this subsection; and

28 “(B) No more than 10 calendar days after the lobbyist filed the registration statement.

29 “(3) A lobbyist must file a separate registration statement under subsection (1) of this section
30 for each person that employs the lobbyist or in whose interest the lobbyist appears or works. If a
31 lobbyist appears or works for a person for whom the lobbyist has not registered, the lobbyist shall
32 register with the commission not later than three business days after the day the lobbyist first ap-
33 pears or works for the person.

34 “(4)(a) Except as provided in paragraph (b) of this subsection, if any of the information submitted
35 by a lobbyist in the statement required under subsection (1) of this section changes, the lobbyist
36 shall revise the statement within 30 days of the change.

37 “(b) A lobbyist shall notify the commission within three business days if the lobbyist ceases to
38 represent a person for whom the lobbyist is registered. Notification must be made by updating the
39 registration statement required under subsection (1) of this section.

40 “(5) A lobbyist registration expires December 31 of each odd-numbered year. If a lobbyist renews
41 the registration before January 31 of the following even-numbered year, the commission shall con-
42 sider the registration to have been effective as of December 31 of the odd-numbered year on which
43 the registration expired.

44 “(6) For the statement required by subsection (1) of this section, an entity composed of more
45 than one lobbyist may file one statement for the lobbyists who compose the entity. The statement

1 the entity files must include the names of the individuals authorized to lobby on behalf of the client
2 listed in the statement.

3 **“SECTION 26.** ORS 171.745 is amended to read:

4 **“171.745.** (1) A lobbyist registered with the Oregon Government Ethics Commission or required
5 to register with the commission shall, according to the schedule described in ORS 171.752, file with
6 the commission a statement showing for the applicable reporting period:

7 **“(a)** The total amount of all moneys expended for food, refreshments and entertainment by the
8 lobbyist for the purpose of lobbying.

9 **“(b)** The name of any legislative official or executive official to whom or for whose benefit, on
10 any one occasion, an expenditure is made for the purposes of lobbying, and the date, name of payee,
11 purpose and amount of that expenditure. This paragraph applies if the total amount expended on the
12 occasion by one or more persons exceeds \$50.

13 **“(c) Certification of the date, location and duration of any training described in section**
14 **23 of this 2019 Act that the lobbyist attended or completed.**

15 **“(2)** Statements required by this section need not include amounts expended by the lobbyist for
16 personal living and travel expenses and office overhead, including salaries and wages paid for staff
17 and secretarial assistance, and maintenance expenses.

18 **“(3)** If the amount of any expenditure required to be included in a statement is not accurately
19 known at the time the statement is required to be filed, an estimate of the expenditure shall be
20 submitted in the statement and designated as an estimate. The exact amount expended for which
21 a previous estimate was made shall be submitted in a subsequent report when the information is
22 available.

23 **“(4)** A statement required by this section shall include a copy of any notice provided to a public
24 official or candidate under ORS 244.100.

25 **“SECTION 27. Section 23 of this 2019 Act and the amendments to ORS 171.740 and 171.745**
26 **by sections 25 and 26 of this 2019 Act become operative on January 1, 2021.**

27 **“SECTION 28. This 2019 Act being necessary for the immediate preservation of the public**
28 **peace, health and safety, an emergency is declared to exist, and this 2019 Act takes effect**
29 **on its passage.”.**