

House Bill 3071

Sponsored by Representative NEARMAN

SUMMARY

The following summary is not prepared by the sponsors of the measure and is not a part of the body thereof subject to consideration by the Legislative Assembly. It is an editor's brief statement of the essential features of the measure **as introduced**.

Deletes residential address of public body employee or volunteer exemption from public record disclosure.

Modifies certain care worker information exemptions from public record disclosure.

A BILL FOR AN ACT

1
2 Relating to public record disclosure exemptions; amending ORS 192.355 and 192.365.

3 **Be It Enacted by the People of the State of Oregon:**

4 **SECTION 1.** ORS 192.355 is amended to read:

5 192.355. The following public records are exempt from disclosure under ORS 192.311 to 192.478:

6 (1) Communications within a public body or between public bodies of an advisory nature to the
7 extent that they cover other than purely factual materials and are preliminary to any final agency
8 determination of policy or action. This exemption shall not apply unless the public body shows that
9 in the particular instance the public interest in encouraging frank communication between officials
10 and employees of public bodies clearly outweighs the public interest in disclosure.

11 (2)(a) Information of a personal nature such as but not limited to that kept in a personal, med-
12 ical or similar file, if public disclosure would constitute an unreasonable invasion of privacy, unless
13 the public interest by clear and convincing evidence requires disclosure in the particular instance.
14 The party seeking disclosure shall have the burden of showing that public disclosure would not
15 constitute an unreasonable invasion of privacy.

16 (b) Images of a dead body, or parts of a dead body, that are part of a law enforcement agency
17 investigation, if public disclosure would create an unreasonable invasion of privacy of the family of
18 the deceased person, unless the public interest by clear and convincing evidence requires disclosure
19 in the particular instance. The party seeking disclosure shall have the burden of showing that public
20 disclosure would not constitute an unreasonable invasion of privacy.

21 (3) Upon compliance with ORS 192.363, public body employee or volunteer [*residential*
22 *addresses,*] residential telephone numbers, personal cellular telephone numbers, personal electronic
23 mail addresses, driver license numbers, employer-issued identification card numbers, emergency
24 contact information, Social Security numbers, dates of birth and other telephone numbers contained
25 in personnel records maintained by the public body that is the employer or the recipient of volunteer
26 services. This exemption:

27 (a) Does not apply to the [*addresses,*] dates of birth and telephone numbers of employees or
28 volunteers who are elected officials, except that a judge or district attorney subject to election may
29 seek to exempt the judge's or district attorney's [*address or*] telephone number[, *or both,*] under the
30 terms of ORS 192.368;

31 (b) Does not apply to employees or volunteers to the extent that the party seeking disclosure

NOTE: Matter in **boldfaced** type in an amended section is new; matter [*italic and bracketed*] is existing law to be omitted.
New sections are in **boldfaced** type.

1 shows by clear and convincing evidence that the public interest requires disclosure in a particular
 2 instance pursuant to ORS 192.363;

3 (c) Does not apply to a substitute teacher as defined in ORS 342.815 when requested by a pro-
 4 fessional education association of which the substitute teacher may be a member; and

5 (d) Does not relieve a public employer of any duty under ORS 243.650 to 243.782.

6 (4) Information submitted to a public body in confidence and not otherwise required by law to
 7 be submitted, where such information should reasonably be considered confidential, the public body
 8 has obliged itself in good faith not to disclose the information, and when the public interest would
 9 suffer by the disclosure.

10 (5) Information or records of the Department of Corrections, including the State Board of Parole
 11 and Post-Prison Supervision, to the extent that disclosure would interfere with the rehabilitation of
 12 a person in custody of the department or substantially prejudice or prevent the carrying out of the
 13 functions of the department, if the public interest in confidentiality clearly outweighs the public in-
 14 terest in disclosure.

15 (6) Records, reports and other information received or compiled by the Director of the Depart-
 16 ment of Consumer and Business Services in the administration of ORS chapters 723 and 725 not
 17 otherwise required by law to be made public, to the extent that the interests of lending institutions,
 18 their officers, employees and customers in preserving the confidentiality of such information out-
 19 weighs the public interest in disclosure.

20 (7) Reports made to or filed with the court under ORS 137.077 or 137.530.

21 (8) Any public records or information the disclosure of which is prohibited by federal law or
 22 regulations.

23 (9)(a) Public records or information the disclosure of which is prohibited or restricted or other-
 24 wise made confidential or privileged under Oregon law.

25 (b) Subject to ORS 192.360, paragraph (a) of this subsection does not apply to factual information
 26 compiled in a public record when:

27 (A) The basis for the claim of exemption is ORS 40.225;

28 (B) The factual information is not prohibited from disclosure under any applicable state or fed-
 29 eral law, regulation or court order and is not otherwise exempt from disclosure under ORS 192.311
 30 to 192.478;

31 (C) The factual information was compiled by or at the direction of an attorney as part of an
 32 investigation on behalf of the public body in response to information of possible wrongdoing by the
 33 public body;

34 (D) The factual information was not compiled in preparation for litigation, arbitration or an
 35 administrative proceeding that was reasonably likely to be initiated or that has been initiated by
 36 or against the public body; and

37 (E) The holder of the privilege under ORS 40.225 has made or authorized a public statement
 38 characterizing or partially disclosing the factual information compiled by or at the attorney's di-
 39 rection.

40 (10) Public records or information described in this section, furnished by the public body ori-
 41 ginally compiling, preparing or receiving them to any other public officer or public body in con-
 42 nection with performance of the duties of the recipient, if the considerations originally giving rise
 43 to the confidential or exempt nature of the public records or information remain applicable.

44 (11) Records of the Energy Facility Siting Council concerning the review or approval of security
 45 programs pursuant to ORS 469.530.

1 (12) Employee and retiree address, telephone number and other nonfinancial membership records
2 and employee financial records maintained by the Public Employees Retirement System pursuant to
3 ORS chapters 238 and 238A.

4 (13) Records of or submitted to the State Treasurer, the Oregon Investment Council or the
5 agents of the treasurer or the council relating to active or proposed publicly traded investments
6 under ORS chapter 293, including but not limited to records regarding the acquisition, exchange or
7 liquidation of the investments. For the purposes of this subsection:

8 (a) The exemption does not apply to:

9 (A) Information in investment records solely related to the amount paid directly into an invest-
10 ment by, or returned from the investment directly to, the treasurer or council; or

11 (B) The identity of the entity to which the amount was paid directly or from which the amount
12 was received directly.

13 (b) An investment in a publicly traded investment is no longer active when acquisition, exchange
14 or liquidation of the investment has been concluded.

15 (14)(a) Records of or submitted to the State Treasurer, the Oregon Investment Council, the
16 Oregon Growth Board or the agents of the treasurer, council or board relating to actual or proposed
17 investments under ORS chapter 293 or 348 in a privately placed investment fund or a private asset
18 including but not limited to records regarding the solicitation, acquisition, deployment, exchange or
19 liquidation of the investments including but not limited to:

20 (A) Due diligence materials that are proprietary to an investment fund, to an asset ownership
21 or to their respective investment vehicles.

22 (B) Financial statements of an investment fund, an asset ownership or their respective invest-
23 ment vehicles.

24 (C) Meeting materials of an investment fund, an asset ownership or their respective investment
25 vehicles.

26 (D) Records containing information regarding the portfolio positions in which an investment
27 fund, an asset ownership or their respective investment vehicles invest.

28 (E) Capital call and distribution notices of an investment fund, an asset ownership or their re-
29 spective investment vehicles.

30 (F) Investment agreements and related documents.

31 (b) The exemption under this subsection does not apply to:

32 (A) The name, address and vintage year of each privately placed investment fund.

33 (B) The dollar amount of the commitment made to each privately placed investment fund since
34 inception of the fund.

35 (C) The dollar amount of cash contributions made to each privately placed investment fund since
36 inception of the fund.

37 (D) The dollar amount, on a fiscal year-end basis, of cash distributions received by the State
38 Treasurer, the Oregon Investment Council, the Oregon Growth Board or the agents of the treasurer,
39 council or board from each privately placed investment fund.

40 (E) The dollar amount, on a fiscal year-end basis, of the remaining value of assets in a privately
41 placed investment fund attributable to an investment by the State Treasurer, the Oregon Investment
42 Council, the Oregon Growth Board or the agents of the treasurer, council or board.

43 (F) The net internal rate of return of each privately placed investment fund since inception of
44 the fund.

45 (G) The investment multiple of each privately placed investment fund since inception of the fund.

1 (H) The dollar amount of the total management fees and costs paid on an annual fiscal year-end
2 basis to each privately placed investment fund.

3 (I) The dollar amount of cash profit received from each privately placed investment fund on a
4 fiscal year-end basis.

5 (15) The monthly reports prepared and submitted under ORS 293.761 and 293.766 concerning the
6 Public Employees Retirement Fund and the Industrial Accident Fund may be uniformly treated as
7 exempt from disclosure for a period of up to 90 days after the end of the calendar quarter.

8 (16) Reports of unclaimed property filed by the holders of such property to the extent permitted
9 by ORS 98.352.

10 (17)(a) The following records, communications and information submitted to the Oregon Business
11 Development Commission, the Oregon Business Development Department, the State Department of
12 Agriculture, the Oregon Growth Board, the Port of Portland or other ports as defined in ORS
13 777.005, or a county or city governing body and any board, department, commission, council or
14 agency thereof, by applicants for investment funds, grants, loans, services or economic development
15 moneys, support or assistance including, but not limited to, those described in ORS 285A.224:

16 (A) Personal financial statements.

17 (B) Financial statements of applicants.

18 (C) Customer lists.

19 (D) Information of an applicant pertaining to litigation to which the applicant is a party if the
20 complaint has been filed, or if the complaint has not been filed, if the applicant shows that such
21 litigation is reasonably likely to occur; this exemption does not apply to litigation which has been
22 concluded, and nothing in this subparagraph shall limit any right or opportunity granted by discov-
23 ery or deposition statutes to a party to litigation or potential litigation.

24 (E) Production, sales and cost data.

25 (F) Marketing strategy information that relates to applicant's plan to address specific markets
26 and applicant's strategy regarding specific competitors.

27 (b) The following records, communications and information submitted to the State Department
28 of Energy by applicants for tax credits or for grants awarded under ORS 469B.256:

29 (A) Personal financial statements.

30 (B) Financial statements of applicants.

31 (C) Customer lists.

32 (D) Information of an applicant pertaining to litigation to which the applicant is a party if the
33 complaint has been filed, or if the complaint has not been filed, if the applicant shows that such
34 litigation is reasonably likely to occur; this exemption does not apply to litigation which has been
35 concluded, and nothing in this subparagraph shall limit any right or opportunity granted by discov-
36 ery or deposition statutes to a party to litigation or potential litigation.

37 (E) Production, sales and cost data.

38 (F) Marketing strategy information that relates to applicant's plan to address specific markets
39 and applicant's strategy regarding specific competitors.

40 (18) Records, reports or returns submitted by private concerns or enterprises required by law
41 to be submitted to or inspected by a governmental body to allow it to determine the amount of any
42 transient lodging tax payable and the amounts of such tax payable or paid, to the extent that such
43 information is in a form which would permit identification of the individual concern or enterprise.
44 Nothing in this subsection shall limit the use which can be made of such information for regulatory
45 purposes or its admissibility in any enforcement proceedings. The public body shall notify the tax-

1 payer of the delinquency immediately by certified mail. However, in the event that the payment or
2 delivery of transient lodging taxes otherwise due to a public body is delinquent by over 60 days, the
3 public body shall disclose, upon the request of any person, the following information:

4 (a) The identity of the individual concern or enterprise that is delinquent over 60 days in the
5 payment or delivery of the taxes.

6 (b) The period for which the taxes are delinquent.

7 (c) The actual, or estimated, amount of the delinquency.

8 (19) All information supplied by a person under ORS 151.485 for the purpose of requesting ap-
9 pointed counsel, and all information supplied to the court from whatever source for the purpose of
10 verifying the financial eligibility of a person pursuant to ORS 151.485.

11 (20) Workers' compensation claim records of the Department of Consumer and Business Services,
12 except in accordance with rules adopted by the Director of the Department of Consumer and Busi-
13 ness Services, in any of the following circumstances:

14 (a) When necessary for insurers, self-insured employers and third party claim administrators to
15 process workers' compensation claims.

16 (b) When necessary for the director, other governmental agencies of this state or the United
17 States to carry out their duties, functions or powers.

18 (c) When the disclosure is made in such a manner that the disclosed information cannot be used
19 to identify any worker who is the subject of a claim.

20 (d) When a worker or the worker's representative requests review of the worker's claim record.

21 (21) Sensitive business records or financial or commercial information of the Oregon Health and
22 Science University that is not customarily provided to business competitors.

23 (22) Records of Oregon Health and Science University regarding candidates for the position of
24 president of the university.

25 (23) The records of a library, including:

26 (a) Circulation records, showing use of specific library material by a named person;

27 (b) The name of a library patron together with the address or telephone number of the patron;
28 and

29 (c) The electronic mail address of a patron.

30 (24) The following records, communications and information obtained by the Housing and Com-
31 munity Services Department in connection with the department's monitoring or administration of
32 financial assistance or of housing or other developments:

33 (a) Personal and corporate financial statements and information, including tax returns.

34 (b) Credit reports.

35 (c) Project appraisals, excluding appraisals obtained in the course of transactions involving an
36 interest in real estate that is acquired, leased, rented, exchanged, transferred or otherwise disposed
37 of as part of the project, but only after the transactions have closed and are concluded.

38 (d) Market studies and analyses.

39 (e) Articles of incorporation, partnership agreements and operating agreements.

40 (f) Commitment letters.

41 (g) Project pro forma statements.

42 (h) Project cost certifications and cost data.

43 (i) Audits.

44 (j) Project tenant correspondence.

45 (k) Personal information about a tenant.

1 (L) Housing assistance payments.

2 (25) Raster geographic information system (GIS) digital databases, provided by private forestland
3 owners or their representatives, voluntarily and in confidence to the State Forestry Department,
4 that is not otherwise required by law to be submitted.

5 (26) Sensitive business, commercial or financial information furnished to or developed by a
6 public body engaged in the business of providing electricity or electricity services, if the information
7 is directly related to a transaction described in ORS 261.348, or if the information is directly related
8 to a bid, proposal or negotiations for the sale or purchase of electricity or electricity services, and
9 disclosure of the information would cause a competitive disadvantage for the public body or its re-
10 tail electricity customers. This subsection does not apply to cost-of-service studies used in the de-
11 velopment or review of generally applicable rate schedules.

12 (27) Sensitive business, commercial or financial information furnished to or developed by the
13 City of Klamath Falls, acting solely in connection with the ownership and operation of the Klamath
14 Cogeneration Project, if the information is directly related to a transaction described in ORS 225.085
15 and disclosure of the information would cause a competitive disadvantage for the Klamath
16 Cogeneration Project. This subsection does not apply to cost-of-service studies used in the develop-
17 ment or review of generally applicable rate schedules.

18 (28) Personally identifiable information about customers of a municipal electric utility or a
19 people's utility district or the names, dates of birth, driver license numbers, telephone numbers,
20 electronic mail addresses or Social Security numbers of customers who receive water, sewer or
21 storm drain services from a public body as defined in ORS 174.109. The utility or district may re-
22 lease personally identifiable information about a customer, and a public body providing water, sewer
23 or storm drain services may release the name, date of birth, driver license number, telephone num-
24 ber, electronic mail address or Social Security number of a customer, if the customer consents in
25 writing or electronically, if the disclosure is necessary for the utility, district or other public body
26 to render services to the customer, if the disclosure is required pursuant to a court order or if the
27 disclosure is otherwise required by federal or state law. The utility, district or other public body
28 may charge as appropriate for the costs of providing such information. The utility, district or other
29 public body may make customer records available to third party credit agencies on a regular basis
30 in connection with the establishment and management of customer accounts or in the event such
31 accounts are delinquent.

32 (29) A record of the street and number of an employee's address submitted to a special district
33 to obtain assistance in promoting an alternative to single occupant motor vehicle transportation.

34 (30) Sensitive business records, capital development plans or financial or commercial information
35 of Oregon Corrections Enterprises that is not customarily provided to business competitors.

36 (31) Documents, materials or other information submitted to the Director of the Department of
37 Consumer and Business Services in confidence by a state, federal, foreign or international regulatory
38 or law enforcement agency or by the National Association of Insurance Commissioners, its affiliates
39 or subsidiaries under ORS 86A.095 to 86A.198, 697.005 to 697.095, 697.602 to 697.842, 705.137, 717.200
40 to 717.320, 717.900 or 717.905, ORS chapter 59, 723, 725 or 726, the Bank Act or the Insurance Code
41 when:

42 (a) The document, material or other information is received upon notice or with an under-
43 standing that it is confidential or privileged under the laws of the jurisdiction that is the source of
44 the document, material or other information; and

45 (b) The director has obligated the Department of Consumer and Business Services not to dis-

1 close the document, material or other information.

2 (32) A county elections security plan developed and filed under ORS 254.074.

3 (33) Information about review or approval of programs relating to the security of:

4 (a) Generation, storage or conveyance of:

5 (A) Electricity;

6 (B) Gas in liquefied or gaseous form;

7 (C) Hazardous substances as defined in ORS 453.005 (7)(a), (b) and (d);

8 (D) Petroleum products;

9 (E) Sewage; or

10 (F) Water.

11 (b) Telecommunication systems, including cellular, wireless or radio systems.

12 (c) Data transmissions by whatever means provided.

13 (34) The information specified in ORS 25.020 (8) if the Chief Justice of the Supreme Court des-
14 ignates the information as confidential by rule under ORS 1.002.

15 (35)(a) Employer account records of the State Accident Insurance Fund Corporation.

16 (b) As used in this subsection, "employer account records" means all records maintained in any
17 form that are specifically related to the account of any employer insured, previously insured or un-
18 der consideration to be insured by the State Accident Insurance Fund Corporation and any infor-
19 mation obtained or developed by the corporation in connection with providing, offering to provide
20 or declining to provide insurance to a specific employer. "Employer account records" includes, but
21 is not limited to, an employer's payroll records, premium payment history, payroll classifications,
22 employee names and identification information, experience modification factors, loss experience and
23 dividend payment history.

24 (c) The exemption provided by this subsection may not serve as the basis for opposition to the
25 discovery documents in litigation pursuant to applicable rules of civil procedure.

26 (36)(a) Claimant files of the State Accident Insurance Fund Corporation.

27 (b) As used in this subsection, "claimant files" includes, but is not limited to, all records held
28 by the corporation pertaining to a person who has made a claim, as defined in ORS 656.005, and all
29 records pertaining to such a claim.

30 (c) The exemption provided by this subsection may not serve as the basis for opposition to the
31 discovery documents in litigation pursuant to applicable rules of civil procedure.

32 (37) Except as authorized by ORS 408.425, records that certify or verify an individual's discharge
33 or other separation from military service.

34 (38) Records of or submitted to a domestic violence service or resource center that relate to the
35 name or personal information of an individual who visits a center for service, including the date of
36 service, the type of service received, referrals or contact information or personal information of a
37 family member of the individual. As used in this subsection, "domestic violence service or resource
38 center" means an entity, the primary purpose of which is to assist persons affected by domestic or
39 sexual violence by providing referrals, resource information or other assistance specifically of ben-
40 efit to domestic or sexual violence victims.

41 (39) Information reported to the Oregon Health Authority under ORS 431A.860, except as pro-
42 vided in ORS 431A.860 (2)(b) information disclosed by the authority under ORS 431A.865 and any
43 information related to disclosures made by the authority under ORS 431A.865, including information
44 identifying the recipient of the information.

45 (40)(a) Electronic mail addresses in the possession or custody of an agency or subdivision of the

1 executive department, as defined in ORS 174.112, the legislative department, as defined in ORS
2 174.114, a local government or local service district, as defined in ORS 174.116, or a special gov-
3 ernment body, as defined in ORS 174.117.

4 (b) This subsection does not apply to electronic mail addresses assigned by a public body to
5 public employees for use by the employees in the ordinary course of their employment.

6 (c) This subsection and ORS 244.040 do not prohibit the campaign office of the current
7 officeholder or current candidates who have filed to run for that elective office from receiving upon
8 request the electronic mail addresses used by the current officeholder's legislative office for news-
9 letter distribution, except that a campaign office that receives electronic mail addresses under this
10 paragraph may not make a further disclosure of those electronic mail addresses to any other person.

11 (41) Residential addresses, residential telephone numbers, personal cellular telephone numbers,
12 personal electronic mail addresses, driver license numbers, emergency contact information, Social
13 Security numbers, dates of birth and other telephone numbers of individuals currently or previously
14 certified or licensed by the Department of Public Safety Standards and Training contained in the
15 records maintained by the department.

16 (42) Personally identifiable information and contact information of veterans as defined in ORS
17 408.225 and of persons serving on active duty or as reserve members with the Armed Forces of the
18 United States, National Guard or other reserve component that was obtained by the Department of
19 Veterans' Affairs in the course of performing its duties and functions, including but not limited to
20 names, residential and employment addresses, dates of birth, driver license numbers, telephone
21 numbers, electronic mail addresses, Social Security numbers, marital status, dependents, the char-
22 acter of discharge from military service, military rating or rank, that the person is a veteran or has
23 provided military service, information relating to an application for or receipt of federal or state
24 benefits, information relating to the basis for receipt or denial of federal or state benefits and in-
25 formation relating to a home loan or grant application, including but not limited to financial infor-
26 mation provided in connection with the application.

27 **SECTION 2.** ORS 192.365 is amended to read:

28 192.365. (1) [*Upon compliance with ORS 192.363,*] A public body that is the custodian of or is
29 otherwise in possession of the following information pertaining to a home care worker as defined in
30 ORS 410.600, an operator of a child care facility as defined in ORS 329A.250, an exempt family child
31 care provider as defined in ORS 329A.430 or an operator of an adult foster home as defined in ORS
32 443.705 shall disclose that information in response to a request to inspect public records under ORS
33 192.311 to 192.478:

34 (a) Residential address [*and telephone numbers*];

35 (b) Personal electronic mail addresses [*and personal cellular telephone numbers*]; **and**

36 (c) **Upon compliance with ORS 192.363, residential and personal cellular telephone num-
37 bers, Social Security numbers, employer-issued identification card numbers and emergency
38 contact information.** [*Social Security numbers and employer-issued identification card numbers;
39 and*]

40 [*(d) Emergency contact information.*]

41 (2) Subsection (1) of this section does not apply to the Judicial Department or the Department
42 of Transportation or to any records in the custody of the Judicial Department or the Department
43 of Transportation.

44 **SECTION 3.** ORS 192.365, as amended by section 10, chapter 75, Oregon Laws 2018, is amended
45 to read:

