

12/07/2018

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* Lead Assignment:

Licensors:Lisa D. Carter

Entered By:Blake TingeyIntake

Source:

* Provider Incident Report (e.g., incident report)

Other Regulatory Agency (e.g., DCFS, JJS, Law Enforcement)

Public/Other (e.g., complaint, news report)

Date of Incident:

12/01/2018

...

Date Reported:

12/06/2018

...

Notification: The provider reported within one business day.

Reported By:

Shawn Ashworth

Contact Info:

User Email: shawn.ashworth@sequelyouthservices.com

User Phone: 4352627306

Summary of Issue:

██████████ had started flirting with ██████████ during the day. While preparing for bed Staff was distracted from watching them brush their teeth by the actions of other students. At this time ██████████ performed oral sex on ██████████ for the duration of 5-10 seconds until staff stepped back into their post. After investigation notes were found were ██████████ had been grooming ██████████ and another student. No known acting out with the other student.

This happened when we have our weekly fun trip for those with positive behaviors during the week. There were 5 students on the trip with Sam Martinez and Shawn Ashworth. The remaining 7 students were with Brandon Hope and Craig Nielsen.

Clients Involved:

██

Licensees, Staff, and Witnesses Involved:

Brandon Hope, Craig Nielsen

Licensee Follow-Up

Actions Taken by Licensee:

CPS in Utah and Oregon have been notified. ██████████ is 17 and ██████████ is 13.

Staffed were ADDRESSED AND RE-TRAINED ON 12/05/18.

Actions Planned by Licensee:

Supporting any and all decisions from CPS and this Utah State licensing.

Additional Comments:

Office Follow-Up

Investigate Within:

Priority Due Date:

12/21/2018

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Document Admin Staffing:

Requesting OL investigative team to review due to their current investigation which is in process and to coordinate with assigned licensing specialist to review for compliance to supervision and reporting requirement of 24 hours for critical incidents; assigning a 10 day priority.

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QA Monitoring

Activity Log:

Add

Date	Time	Type	Who
02/26/2019	01:36	Site Visit - Unscheduled	Serena Hubert
01/22/2019	11:55	Collateral Contacts	Serena Hubert
01/15/2019	10:46	Other Licensee Contact	Serena Hubert
01/07/2019	02:25	Collateral Contacts	Serena Hubert
12/19/2018	01:38	Other Licensee Contact	Serena Hubert
12/13/2018	05:07	Other Licensee Contact	Lisa D. Carter

Documents:

Add

Date	Name	Comment
12/07/2018	Incident report	delete

Violations:

Add

Violation Date	Rule#	Resolved Date
02/26/2019		
501-19-5 Residential Treatment Programs. Staffing.		
02/26/2019		delete

Update Status

Findings

* Critical Incident:

A critical incident occurred related to this Investigation.

*Yes No

Type of Incident:

*Abuse - Physical

Abuse - Sexual

Abuse - Other

Neglect

Exploitation

Serious Injury

Any Injury - Staff/Client

Client Death

Runaway / AWOL

Self Harm

Illness Outbreak
Environmental Conditions
Weapons
Alcohol / Substances
Other

Sites Affected:

*All Sites

Conclusion Summary:

technical assistance provided regarding appropriate supervision - especially given the format of the building. Program already has put more staff per shift.

Finalized Date: 02/26/2019

VIOLATION

DATE: 2/26/19

Site Licenses Affected:

*All Licenses at This Site

*RT - Residential Treatment

Rule Number:

501-19-5 Residential Treatment Programs. Staffing.

Rule Text:

D. Professional staff shall include the following individuals who have received training in the specific area listed below:

1. Mental Health
 - a. a licensed physician or consulting licensed physician,
 - b. a licensed psychologist, or consulting licensed psychologist,
 - c. a licensed mental health therapist,
 - d. a licensed advanced practice registered nurse-psychiatric mental health nurse specialist, or a consulting advanced practice registered nurse-psychiatric mental health nurse specialist, and
 - e. if unlicensed staff are used, they shall be supervised by a licensed clinical professional.
2. Substance Abuse
 - a. a licensed physician, or a consulting licensed physician,
 - b. a licensed psychologist or consulting licensed psychologist,
 - c. a licensed mental health therapist or consulting licensed, mental health therapist, and
 - d. a licensed substance abuse counselor or unlicensed staff who work with substance abusers shall be supervised by a licensed clinical professional.
3. Children and Youth
 - a. a licensed physician, or consulting licensed physician,
 - b. a licensed psychologist, or consulting licensed psychologist, and
 - c. a licensed mental health therapist or consulting licensed mental health therapist, to provide a minimum of one hour of service to the program per week per consumer enrolled.
 - d. A licensed medical practitioner, by written agreement, shall be available to provide, as needed, a minimum of one hour of service per week for every two consumers enrolled.
 - e. Other staff trained to work with emotionally and behaviorally disturbed, or conduct disordered children and youth shall be under the supervision of a licensed clinical professional.
 - f. A minimum of two staff on duty and, a staff ratio of no less than one staff to every four consumers shall exist at all times, except nighttime sleeping hours when staff may be reduced.
 - g. A mixed gender population shall have at least one male and one female staff on duty at all times.

4. Services for People With Disabilities shall have a staff person responsible for program supervision and operation of the facility. Staff person shall be adequately trained to provide the services and treatment stated in the consumer plan.

Description:

Due to configuration of the building and inadequate supervision a sexual assault did occur out of site of staff.

Program handled this previous to investigation by having more staff on site at a time. Therefore this will be considered a technical assistance.

Other State Statutes: Is this a violation of a specific State statute not addressed in the rules?

State Statute Violated:

Resolved Date: 2/26/19

1/29/19
Start Date:
01/29/2019

...
* Lead Assignment:
Licensor:Lisa D. Carter
Entered By:Serena HubertIntake
Source:

*Provider Incident Report (e.g., incident report)
Other Regulatory Agency (e.g., DCFS, JJS, Law Enforcement)
Public/Other (e.g., complaint, news report)
Date of Incident:
01/23/2019

...
Date Reported:
01/29/2019

...
Notification: The provider reported within one business day.
Reported By:
Heather Hansen
Contact Info:
User Email: heather.hansen@sequelyouthservices.com

User Phone: 801-899-4111

Summary of Issue:

██████████ put his hand on ██████████ over his clothes. ██████████ then put his mouth on ██████████ over Chay's clothing. A couple of days later during a movie ██████████ put his hand on ██████████ penis over clothing, ██████████ pushed ██████████ hand away.

Clients Involved:

████████████████████

Licensees, Staff, and Witnesses Involved:

██

Licensee Follow-Up

Actions Taken by Licensee:

Investigation initiated, all parties POA have been notified, In-servicing of staff initiated.

Actions Planned by Licensee:

Therapist will continue to work with both parties. Staff will continue with supervision of students at all times.

Additional Comments:

Office Follow-Up

Investigate Within:

Priority Due Date:

02/04/2019

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Document Admin Staffing:

Licensee seems to have responded to incident appropriately in order to adhere to rule. However, the licensee did not report within the required time frame of one business day; assigning a 10 day priority.

Date Priority Met:

02/04/2019

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QA Monitoring

Activity Log:

Add

Date	Time	Type	Who
01/24/2019	08:03	Other Licensee Contact	Lisa D. Carter

Documents:

Add

Date	Name	Comment
01/29/2019	IR email	delete

Violations:

Add

Violation Date	Rule#	Resolved Date
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02/04/2019

501-1-10 General Provisions for Licensing. Investigations of Alleged Violations.

02/05/2019 delete

Update Status

Findings

* Critical Incident:

A critical incident occurred related to this Investigation.

*Yes No

Type of Incident:

Abuse - Physical

*Abuse - Sexual

Abuse - Other

Neglect

Exploitation

Serious Injury

Any Injury - Staff/Client

Client Death

Runaway / AWOL

Self Harm

Illness Outbreak

Environmental Conditions

Weapons

Alcohol / Substances

Other

Sites Affected:

* All Sites

Conclusion Summary:

Program has been offered final tech support regarding 24 hour notice to OL. I had rec'd a text from Shawn Ashworth on 1/24/19 regarding the allegation. He said report would be submitted. Looks like there was a break-down in communication between Shawn and the office staff who was supposed to get report in.

Finalized Date: 02/05/2019

VIOLATION DATE 2/4/19

Site Licenses Affected:

*All Licenses at This Site

*RT - Residential Treatment

Rule Number:

501-1-10 General Provisions for Licensing. Investigations of Alleged Violations.

Rule Text:

(2) Licensed Program Complaints and Critical Incidents

(a) The Office shall investigate critical incidents and complaints involving alleged licensing violations regarding a licensed human services program.

(b) Complaints about licensees can come to the Office via any means from any source.

(c) The Office retains discretion to decline investigation of a complaint that is anonymous, unrelated to current conditions of the program, or not an alleged violation of a rule or statute.

(d) Critical incidents shall be reported by the program to the Office by the end of the following business day, to legal guardians of involved clients, and to any other agencies as required by law, including:

(i) Child and Adult Protective Services; or

(ii) Law Enforcement.

(e) Pending investigations or those that result in no rule violation findings in regards to the complaints or critical incidents shall be classified as protected and only released in accordance with Utah Code title 63G chapter 2, Utah Government Access and Management Act.

Description:

Licensee did not report incident within one business day.

Other State Statutes: Is this a violation of a specific State statute not addressed in the rules?

State Statute Violated:

Resolved Date:

02/05/2019

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