

OREGON BOARD OF DENTISTRY

2019 - 2021 BUDGET PRESENTATION

Joint Committee On Ways and Means Subcommittee On Education

February 4, 2019

Presented by:
Stephen Prisby, Executive Director

**OREGON BOARD OF DENTISTRY
2019-2021 Budget Presentation**

Joint Committee On Ways and Means Subcommittee On Education

AGENCY OVERVIEW

The Board of Dentistry was established in 1887 to regulate the practice of Dentistry. In 1946, Dental Hygiene was established as a licensed profession in Oregon and added to the purview of the Board. The Mission of the Board is to promote high quality oral health care in the State of Oregon by equitably regulating dental professionals.

There are ten members appointed to this policymaking Board and eight permanent full-time staff. The ten Board members include six dentists, one of whom must be a specialist, two dental hygienists and two public members. Members of the Board are appointed by the Governor and confirmed by the Senate.

The Board's highest priorities are the enforcement, monitoring, licensing and examination of Dentists and Dental Hygienists in Oregon.

The Board's goals are to protect the public from unsafe, incompetent or fraudulent practitioners; encourage licensees to practice safely and competently in the best interests of their patients; and educate the public on acceptable and appropriate dental and hygiene practices.

The OBD's funding is 100% Other Funds generated primarily from fees paid by licensees and applicants for new licenses, license renewals and various permits and certifications. A portion (less than six percent) of the Board's revenue is from miscellaneous revenues generated from civil penalties, the sale of documents, late fees and interest.

Current Board Roster

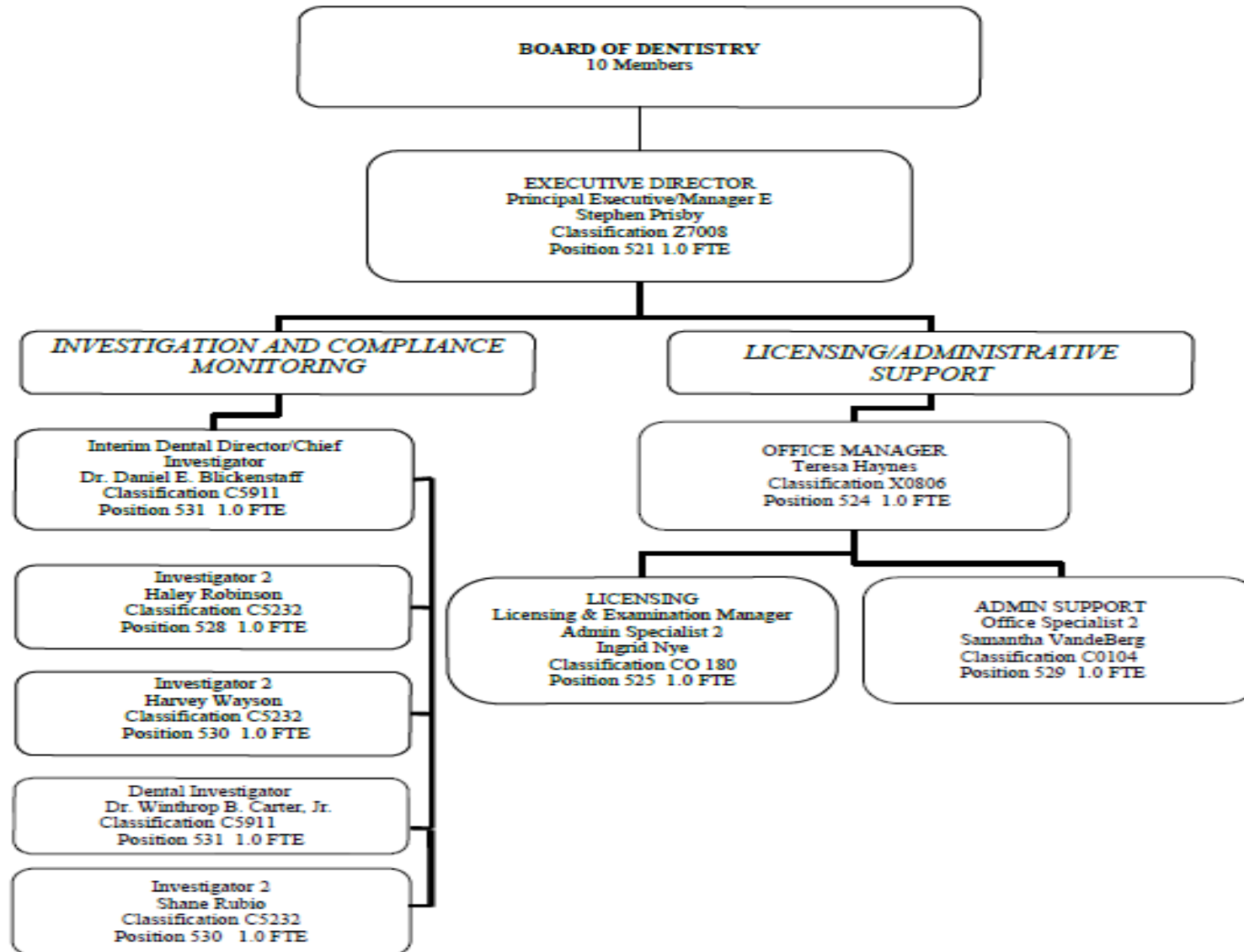
Name	Location	Term ends
Gary Underhill, DMD - President	Enterprise	4/1/2022
Amy B. Fine, DMD - Vice President	Medford	4/1/2022
Yadira Martinez, RDH	Hillsboro	4/1/2022
Alicia Riedman, RDH	Eugene	4/30/2021
Julie Ann Smith, DDS, MD, MCR	Happy Valley	5/10/2019
Todd Beck, DMD	Portland	3/31/2021
Charles "Chip" Dunn	Happy Valley	4/2/2021
Hai Pham, DMD	Hillsboro	4/2/2021
Jose Javier, DDS	Portland	4/1/2020
Jennifer Brixey	Portland	4/6/2020



Mission:

The mission of the Oregon Board of Dentistry is to promote high quality oral health care in the State of Oregon by equitably regulating dental professionals.





AGENCY PERFORMANCE OVERVIEW

**OREGON BOARD OF DENTISTRY
ANNUAL PERFORMANCE PROGRESS REPORT 2018
(the full report is posted on OLIS)**

Performance Measure Definition	2018 Goal	2018 Performance
#1 Percent of licensees in compliance with continuing education requirements	100%	100%
#2 Average time from receipt of a new complaint to completed investigation (ready to be submitted to the Board)	7.5 months	7 months
#3 Average Number of working days for the receipt of completed paperwork to issuance of license (new or renewal)	7 Days	7 Days
#4 Agency Overall Satisfaction – Percent of customers rating their overall satisfaction with the agency above average or excellent.	85% Positive Response	80% Positive Response
#5 Board Best Practices – Percent of total of best practices met by Board.	100%	100%

PROGRAM PRIORITIES

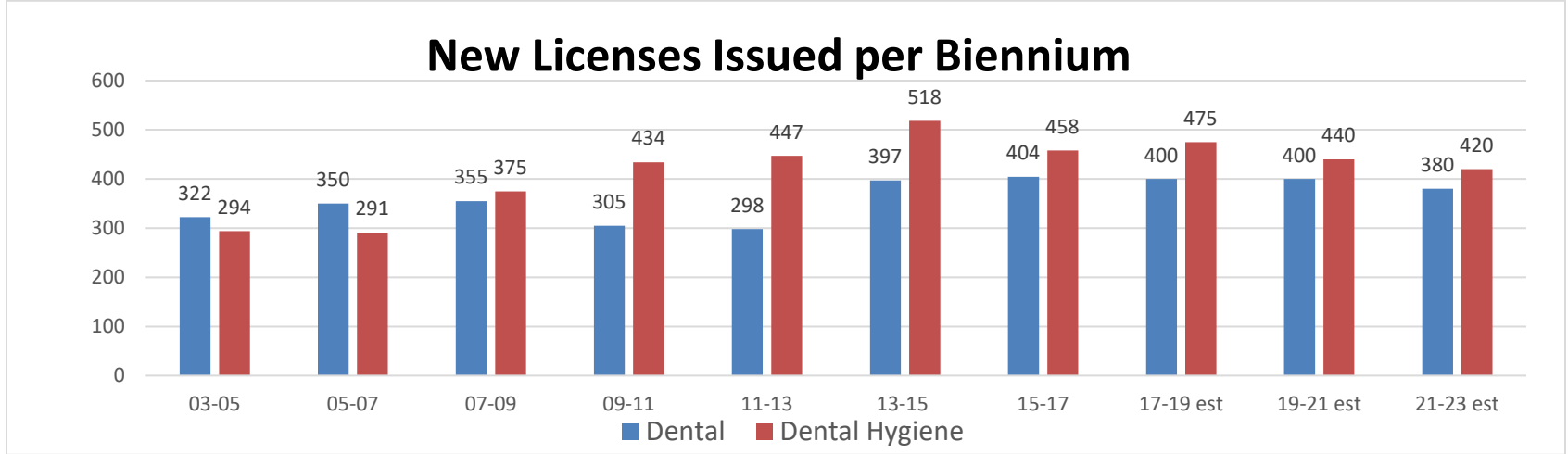
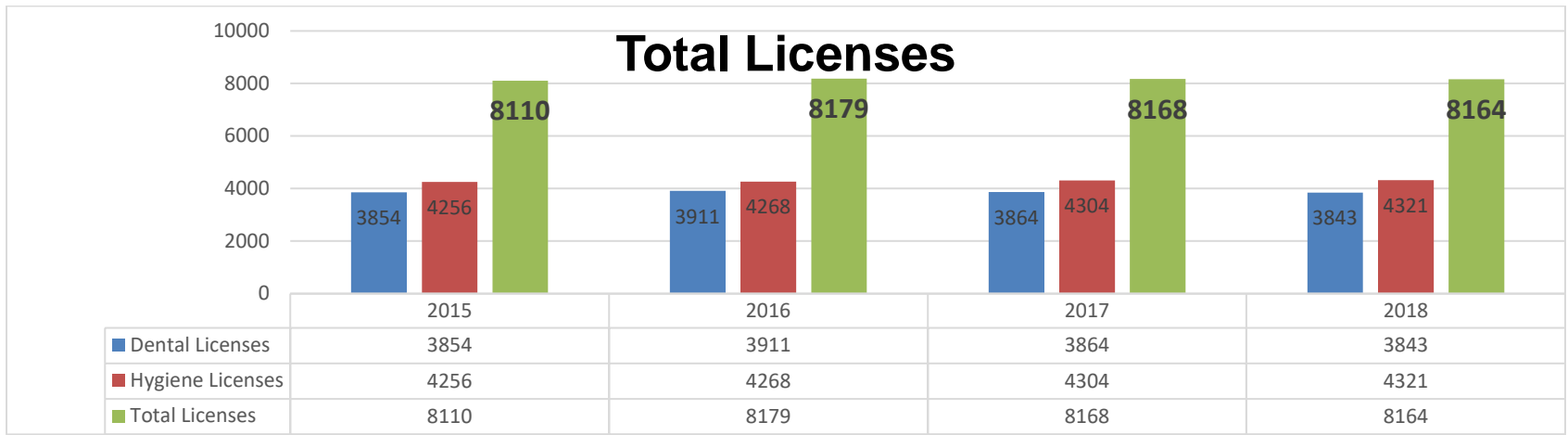
The Board has three major areas of service:

- **Licensing and Examination**

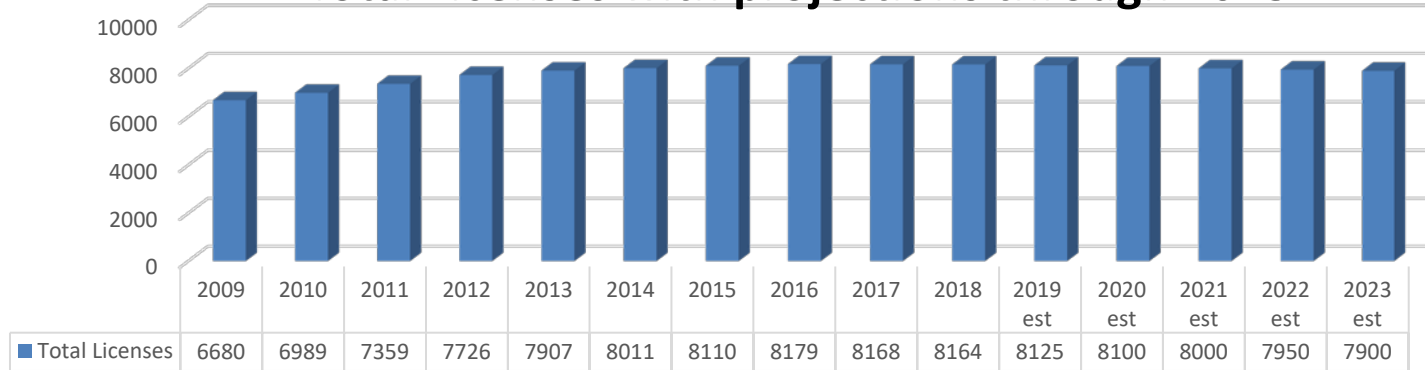
The Board licenses dentists and dental hygienists, establishes standards for the use of anesthesia in dental offices, issues four levels of anesthesia permits, and certifies dental assistants. Background checks are conducted on all new applicants. Applicants must pass a written national examination; a clinical examination conducted by a dental testing agency recognized by the Board, and passes the Board's Jurisprudence examination. 15% of all licensees renewing their licenses each year are audited for compliance with the Board's Continuing Education requirements. In addition, when an investigation is opened, the continuing education records are also requested from the Licensee involved in the matter.

As of January 1, 2019 there are 3843 licensed dentists and 4321 licensed dental hygienists. We anticipate issuing about 900 new licenses in the 2019-2021 biennium almost equally divided between dentists and dental hygienists. During the 2017 – 2019 biennium, 915 new licenses were issued. We anticipate that the total number of Licensees will slightly decline over the next two to six years. Demographics point to a steady flow of retirements and licenses lapse for any number of reasons along with practitioners moving out of state. Each year (through the last four) approximately 375 licensees chose to stop practicing in Oregon. Oregon's net inflow population migration has also slowed and is projected to continue decreasing in the near future.

The Board offers licensure through examination; by credential: and for dental specialists, the ability to be examined by the Board's designated testing agency in a particular specialty and then the license is limited to that special area of expertise; i.e., Oral and Maxillofacial Surgery, or Pediatric Dentistry. Applicants for a general dental license or a dental hygiene license must pass a written examination, called the "National Boards," which is conducted by the American Dental Association's Commission on Dental Education. Applicants must also pass a clinical examination conducted by any state or regional testing agency as described in statute.

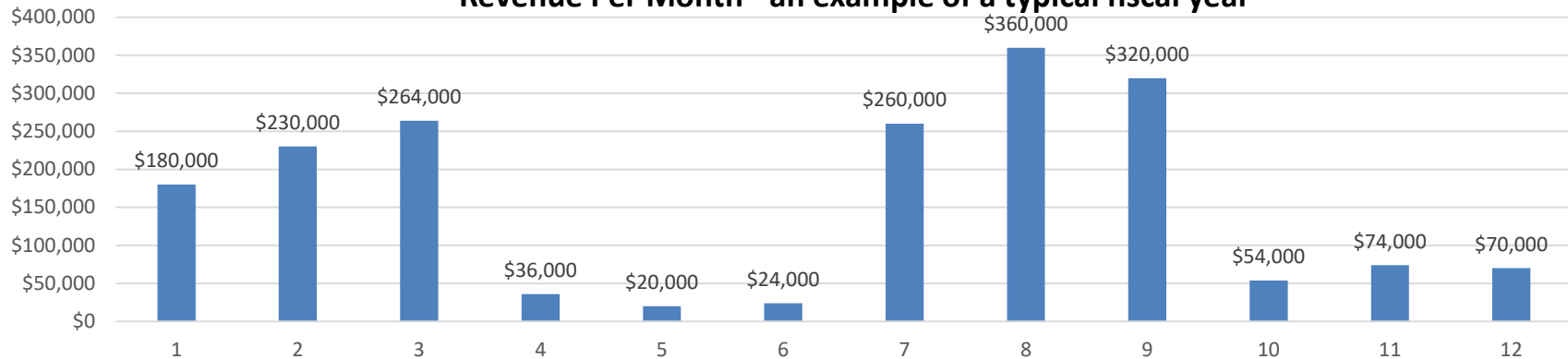


Total Licenses with projections through 2023



Revenue stream is uneven during a biennium, with a 2 –year licensing period. Each year one-half of our dentists renew their licenses between January – March and one-half of our dental hygienists renew every July – September.

Revenue Per Month - an example of a typical fiscal year



The table below shows the historical and projected workload for the agency in licensing.

Licensing and Examination Workload	2003-05 Actual	2005-07 Actual	2007-09 Actual	2009-11 Actual	2011-13 Actual	2013-15 Actual	2015-17 Actual	2017-19 <i>Est</i>	2019-21 <i>Est</i>
Licenses Issued:									
Dental	311	350	355	305	340	397	402	420	410
Dental Hygiene	294	335	375	434	450	518	447	450	440
Total New Licenses Issued:	616	685	731	739	790	915	849	870	850
Licenses Renewed:									
Dental	3254	3300	3325	3389	3400	3431	3482	3450	3400
Dental Hygiene	3180	3265	3386	3613	3700	3715	3633	3600	3600
Total Licenses Renewed:	6434	6595	6712	7002	7100	7146	7354	7050	7000
Specialty Examinations Conducted	9	5	3	3	3	4	3	0	0
Candidates Examined	7	5	3	5	3	4	3	0	0
Anesthesia Permits Issued/Renewed	3795	3969	3750	4359	4400	4783	5092	5100	5000
Dental Assistants Certified	1751	2260	2449	2638	2650	2263	1873	1900	1900
Dental Assisting Instructor Permits Issued/Renewed	102	124	106	110	125	131	143	145	145
Limited Access/Expanded Practice Dental Hygiene Permits Issued/Renewed	59	67	84	171	300	534	676	700	700

- Enforcement and Monitoring

The Board conducts investigations of complaints filed with the Board alleging unacceptable patient care or other issues ranging from unprofessional conduct, improper prescribing practices, substance abuse, unauthorized use of auxiliaries, advertising or disciplinary action in another state. The majority of cases involve allegations of unacceptable patient care. Investigations are also conducted based on reports of malpractice claims that are submitted by insurance companies. Disciplinary actions are reported to the National Practitioners Data Bank and to the Healthcare Integrity and Protection Data Bank. Licensees under disciplinary sanction are actively monitored to assure their compliance with the terms of their Order including licensees with substance abuse issues who have long-term treatment and recovery needs.

There are usually about 60 - 70 Licensees being monitored on a regular basis. During fiscal year 2018 the Board opened 272 complaints and closed 260 case investigations. Disposition of those cases are shown in the table below

Dismissed	114
Letter of Concern	67
Disciplinary Actions	89

Statute gives the Board wide latitude in types of discipline that the Board may impose. These are common types of discipline:

- Formal Reprimand
- Civil Penalty/Restitution payment
- Require Education/Training including community service
- Accept license resignation
- Evaluation/Treatment Monitoring

Board Actions	2014	2015	2016	2017	2018
No Violation	61	59	50	56	52
No Further Action	41	84	38	58	62
Letter of Concern	62	50	76	77	67
Discipline	31	45	60	57	89
Total	195	238	224	248	270

(There is typically more than one type of discipline incorporated in a disciplinary action; i.e. reprimand, civil penalty and community service is the standard discipline for working without a current license or allowing a person to perform duties for which they do not hold the appropriate license or permit.)

- Administration

Administrative activities include implementation of Board policy, communication and collaboration with the Oregon Health Authority, professional associations, the OHSU School of Dentistry and other educational programs, related licensing agencies such as the Board of Pharmacy, the Oregon Medical Board and the Board of Denture Technology in addition to State Boards of Dentistry in other states. Administration also includes legislative activities, budget development, monitoring, and staffing. A major component of Administration is carrying out the Board's primary goal of communicating with licensees and the public. This includes maintenance of a web site, production of newsletters each year, and scheduling and presenting information to students, licensees and the public about the Board and its activities. We strive to maintain great working relationships with the Oregon Dental Association, Oregon Dental Hygienists' Association and the Oregon Dental Assistants Association.

Agency accomplishments during 2017- 2019 include:

- Onboarding of 1 new Board Member.
- Retirement of Dental Director/Chief Investigator who had over 28 years of experience.
- Retirements of two other investigators who had about 20 years of experience each.
- These retirements have been managed well by staff with transition of new hires and retention of institutional knowledge for agency.
- New OBD Website became operational in January 2019 after months of preparation
- Transition to new IT support provider for desktop and other user support.
- OBD Strategic Plan initiatives continue to be addressed with significant work on dental implant and anesthesia safety workgroups meetings and recommendations forwarded to the Board.
- Continued to cultivate and strengthen positive relationships with ODA, ODHA, ODAA, OHA and OHSU School of Dentistry and all dental hygiene and dental assisting programs with a continuation of the outreach programs including a presentation to Washington state's equivalent Board of Dentistry and Idaho scheduled in 2019.
- The Board created and implemented Bylaws.
- Board Members participate in national dental organizations like the American Association of Dental Boards to stay current and up to date on national oral health issues and trends.
- Executive Director elected as an officer to the American Association of Dental Administrators, a national group of state dental board executive directors.

Financial Notes for 2019 - 2021

- The initial beginning balance for the 2017-19 Biennium was approximately \$240,000 higher than budgeted.
- The anticipated ending balance for 2017 -19 will be approximately \$800,000 higher than originally budgeted. Ending balance for 2017- 19 is projected to be approximately \$1.5 million versus LAB \$700,000. The variance can be attributed to some key factors:
 - The beginning balance for 2017-19 was higher than what was initially projected.
 - Higher revenue collected for Fines (civil penalties) is projected to be over \$350,000 versus the LAB of \$100,000.
 - Interest revenues are also tracking a little higher than LAB by about \$45,000.
 - Personal Services expenses are projected to be under LAB projections by about \$250,000, with most of the vacancy savings attributed to retirements and positions unfilled as the replacements were recruited and hired. All positions are now filled.
 - Services and supplies expenses are projected to be under LAB projections by about \$80,000 with a variety of expenses in this category accounting for this surplus in this category.

Key budget data points on the next page will provide more detail on balances and projections.

Dental Board Actuals and Projections through November 2018

	2013-15 Actuals	2015-17 Actuals	% change from 13-15	2017-19 LAB	2017-19 November Actuals	2017-19 Projected	% change from 15-17	2019-21 CSL	2019-21 Revised	% change from 17-19 projected
Beginning Balance	645,548	508,012	-21.3%	719,701	963,946	963,946	9.9%	950,000	1,528,557	58.6%
Biz License/Fees	2,536,541	3,168,850	25.0%	3,350,000	2,291,671	3,499,723	10.4%	3,270,000	3,617,200	4.9%
Non-biz Fees	10,200	7,050	-30.1%	10,000	8,800	10,000		10,000	10,000	
Charges for Services	17,108	23,818	39.2%	17,500	18,897	20,000		20,000	20,000	
Fines and Forfeitures	101,500	201,200	98.2%	100,000	355,805	350,000	74.0%	200,000	350,000	
Interest	7,642	16,842		7,500	37,889	54,000		20,000	60,000	
Other Revenue	45,286	38,959	14.0%	50,000	11,195	20,000		50,000	50,000	
Total Revenue	2,718,277	3,456,719	27.2%	3,535,000	2,724,257	3,933,723	15.8%	3,570,000	4,107,200	
Transfers Out Available	(204,775)	(179,189)		(226,800)	(105,598)	(150,000)		(226,800)	(226,800)	
Revenue	3,159,050	3,759,956	19.0%	4,027,901	2,618,659	4,747,669	26.3%	4,293,200	5,408,957	13.4%
Expenditures	2,650,838	2,821,996	6.5%	3,328,763	2,232,328	2,934,712	6.3%	3,491,238	3,609,564	23.0%
Ending Balance	508,012	963,946	89.7%	669,138		1,528,557	58.6%	801,862	1,799,393	22.6%
	4.6 months	8.2 months				12.5 months			12.0 months	

POLICY OPTION PACKAGES:

Package 100 – IT support and database transition to new environment

- **Estimated Cost - \$100,000**
- **Ongoing per Biennium**
- The OBD is in process of transitioning to a new IT provider, which will entail migrating over to ETS for email and server support and find a new vendor to transition our current database to a new system. A firm cost estimate is very hard to determine but a conservative estimate of \$100,000 is provided. This figure is based on a survey of other Boards who have gone through a similar transition (Pharmacy Board, Optometry Board, etc...) spent on their transition, which ours will be very similar to.
- **How Achieved:** The Oregon Board of Dentistry will work with the state procurement, CIOS's Office and other affected boards.
- **Staffing Impact:** Current staff will be impacted with implementation, based on experiences other boards shared with the OBD.
- **Services and Supplies:** TBD
- **Revenue Source:** The Board of Dentistry's funding is 100% Other Funds generated primarily from fees paid by licensees and applicants for licenses and permits

Package 105 - Fee Implementation - Non-Resident Dental Permit Background Check Fee

- **\$14,000**
- **Initiate fee \$100**
- **Ongoing**
- The purpose of this package is to allow the Board to charge a background check fee for those requesting a Non-Resident Dental Permit. This has been discussed by the OBD a few years back, as the number of requests for the Non-Resident Permit have grown from previous years. Dentists from other states and countries may come to Oregon to demonstrate or receive instruction in the practice of dentistry to a dental organization or a dental study club. The staff time and resources needed to process these permits, is substantial enough that we are requesting a background check fee be implemented, to ensure these practitioners meet our agency's mission to protect the public.
- **How Achieved:** The Oregon Board of Dentistry will update fees and forms to reflect this background check fee.
- **Staffing Impact:** None
- **Services and Supplies:** None

Agency goals for 2019-2021 include:

- Continue work and Implementation of 2017-2020 Strategic Plan Initiatives.
 - Transition of key employees with retirements and training new hires
 - Focus on patient safety – dental implants and anesthesia safety recommendations will be acted on by the Board
 - Retain Agency Autonomy
- Continue to promote and encourage participation in the Statewide HPSP diversion program for licensees with substance abuse addictions.
- Continue to promote and encourage participation in the volunteer Dentist/Dental Hygienist program to increase access to quality dental care.
- Continue to use OBD/OAGD Mentoring Program as one avenue to resolve disciplinary cases.
- Continue to promote the Prescription Drug Monitoring Program to all licensees in conjunction with OHA and new rules regarding compliance with signing up and continue promoting safe prescribing practices
- Utilize the website, newsletter and personal presentations to communicate Board policies and expectations.
- Continue to collect data on the ethnic and racial makeup of licensees and work with policy makers, educators, and students to encourage a representative diversity in the dental workforce.
- Refine participation in the Health Care Workforce Initiative project to address the issues of health care workforce shortages and access to care.

Agency challenges for 2019-2021 and beyond:

- 2017- 2020 Strategic Plan initiatives and objectives
- Onboarding of new Board members & staff
- Retention of employees
- Database Vendor selection, transition and implementation