

D R A F T

SUMMARY

Modifies provisions relating to criminal records checks performed by Department of Human Services and Oregon Health Authority.
Declares emergency, effective on passage.

A BILL FOR AN ACT

Relating to criminal records checks of individuals who provide care; amending ORS 181A.195 and 443.004; and declaring an emergency.

Be It Enacted by the People of the State of Oregon:

SECTION 1. ORS 181A.195 is amended to read:

181A.195. (1) As used in this section:

(a) “Authorized agency” means state government as defined in ORS 174.111 and the Oregon State Bar. “Authorized agency” does not include:

(A) The Oregon State Lottery Commission or the Oregon State Lottery;

or

(B) A criminal justice agency, as defined in ORS 181A.010, that is authorized by federal law to receive fingerprint-based criminal records checks from the Federal Bureau of Investigation.

(b) “Subject individual” means a person from whom an authorized agency may require fingerprints pursuant to statute for the purpose of enabling the authorized agency to request a state or nationwide criminal records check.

(2)(a) An authorized agency may request that the Department of State Police conduct a criminal records check on a subject individual for non-criminal justice purposes.

(b) A criminal records check under this subsection must, if requested by

1 the authorized agency, include a name-based check of the national sex
2 offender registry maintained by the National Crime Information Center.

3 (c) If a nationwide criminal records check of a subject individual is nec-
4 essary, the authorized agency may request that the Department of State Po-
5 lice conduct the check, including fingerprint identification, through the
6 Federal Bureau of Investigation.

7 (3) The Department of State Police shall provide the results of a criminal
8 records check conducted pursuant to subsection (2) of this section to the
9 authorized agency requesting the check.

10 (4) The Federal Bureau of Investigation shall return or destroy the fin-
11 gerprint cards used to conduct the criminal records check and may not keep
12 any record of the fingerprints, except that the Federal Bureau of Investi-
13 gation may retain the fingerprint cards and records of the fingerprints for
14 purposes described in ORS 181A.205. If the federal bureau policy authorizing
15 return or destruction of the fingerprint cards is changed, the Department of
16 State Police shall cease to send the cards to the federal bureau but shall
17 continue to process the information through other available resources.

18 (5) If the Federal Bureau of Investigation returns the fingerprint cards
19 to the Department of State Police, the Department of State Police shall de-
20 stroy the fingerprint cards and may not retain facsimiles or other material
21 from which a fingerprint can be reproduced, except that the Department of
22 State Police may retain the fingerprint cards or create facsimiles for the
23 purpose of providing information under ORS 181A.205.

24 (6) If only a state criminal records check is conducted, after the criminal
25 records check is completed, the Department of State Police shall destroy the
26 fingerprint cards and the results of the criminal records check provided to
27 the authorized agency and may not retain facsimiles or other material from
28 which a fingerprint can be reproduced, except that the Department of State
29 Police may retain the fingerprint cards and results or create facsimiles for
30 the purpose of providing information under ORS 181A.205.

31 (7) An authorized agency may conduct criminal records checks on subject

1 individuals through the Law Enforcement Data System maintained by the
2 Department of State Police in accordance with rules adopted, and procedures
3 established, by the Department of State Police.

4 (8) An authorized agency and the Department of State Police shall permit
5 a subject individual for whom a fingerprint-based criminal records check was
6 conducted to inspect the individual's own state and national criminal
7 offender records and, if requested by the subject individual, provide the in-
8 dividual with a copy of the individual's own state and national criminal
9 offender records.

10 (9) Each authorized agency, in consultation with the Department of State
11 Police, may adopt rules to implement this section and other statutes relating
12 to criminal offender information obtained through fingerprint-based criminal
13 records checks. The rules may include but need not be limited to:

14 (a) Identifying applicable categories of subject individuals as specified by
15 the Oregon Department of Administrative Services under ORS 181A.215 who
16 are subject to criminal records checks by the authorized agency.

17 (b) Identifying applicable information that may be required from a subject
18 individual to permit a criminal records check as specified by the Oregon
19 Department of Administrative Services under ORS 181A.215.

20 (c) Specifying which programs or services are subject to this section.

21 (d) If the authorized agency uses criminal records checks for agency em-
22 ployment purposes:

23 (A) Determining when and under what conditions a subject individual
24 may be hired on a preliminary basis pending a criminal records check; and

25 (B) Defining the conditions under which a subject individual may partic-
26 ipate in training, orientation and work activities pending completion of a
27 criminal records check.

28 (e) Establishing fees in an amount not to exceed the actual cost of ac-
29 quiring and furnishing criminal offender information.

30 (10)(a) Except as otherwise provided in ORS 181A.400, 181A.875, 342.143,
31 342.223, 443.735, 475B.785 to 475B.949 and 703.090 and paragraph (d) of this

1 subsection, an authorized agency, using the rules adopted by the Oregon
2 Department of Administrative Services under ORS 181A.215, shall determine
3 whether a subject individual is fit to hold a position, provide services, be
4 employed or be granted a license, certification, registration or permit. If a
5 subject individual is determined to be unfit, then the individual may not hold
6 the position, provide services, be employed or be granted a license, certi-
7 fication, registration or permit.

8 (b)(A) Subject to subparagraph (B) of this paragraph, an authorized
9 agency making a fitness determination of an individual under this subsection
10 may request results of a previously made fitness determination from an au-
11 thorized agency that has already made a fitness determination for the indi-
12 vidual. An authorized agency that receives a request under this paragraph
13 shall provide the requested information.

14 (B) An authorized agency may make a request under this paragraph only
15 for individuals:

16 (i) Who are applying to hold a position, provide services, be employed or
17 be granted a license, certification, registration or permit;

18 (ii) Who are in a category of individuals as specified by the Oregon De-
19 partment of Administrative Services by rule under ORS 181A.215; and

20 (iii) For whom a fitness determination has already been made.

21 (c) Except as otherwise provided in ORS 181A.400, in making the fitness
22 determination under this subsection, the authorized agency shall consider:

23 (A) The nature of the crime;

24 (B) The facts that support the conviction or pending indictment or that
25 indicate the making of a false statement;

26 (C) The relevancy, if any, of the crime or the false statement to the spe-
27 cific requirements of the subject individual's present or proposed position,
28 services, employment, license, certification or registration; and

29 (D) Intervening circumstances relevant to the responsibilities and cir-
30 cumstances of the position, services, employment, license, certification, reg-
31 istration or permit, such as:

- 1 (i) The passage of time since the commission of the crime;
- 2 (ii) The age of the subject individual at the time of the crime;
- 3 (iii) The likelihood of a repetition of offenses or of the commission of
4 another crime;
- 5 (iv) The subsequent commission of another relevant crime;
- 6 (v) Whether the conviction was set aside and the legal effect of setting
7 aside the conviction; and
- 8 (vi) The recommendation of an employer.

9 (d) A subject individual is not entitled to a fitness determination under
10 this subsection if the subject individual:

11 (A) Is or seeks to be employed in any capacity having contact with a re-
12 cipient of support services or a resident of a residential facility or adult
13 foster home, as provided in ORS 443.004 (3), and has been convicted of any
14 crime listed in ORS 443.004 (3) or (5).

15 (B) Is prohibited by federal law from holding a position, providing ser-
16 vices, being employed or being granted a license, certification, registration
17 or permit for which the fitness determination is requested by an authorized
18 agency.

19 (11)(a) In conducting a fitness determination regarding a subject individ-
20 ual other than an individual described in paragraph (b) of this subsection,
21 the Department of Human Services or the Oregon Health Authority may not
22 consider:

23 (A) A conviction that is more than 10 years old unless the conviction is
24 for a crime listed in ORS 443.004 (3) or (5);

25 (B) A charge or arrest for which there was no conviction unless the
26 charge or arrest is for a crime listed in ORS 443.004 (3) or (5);

27 (C) A conviction on a charge relating to marijuana if the charge is no
28 longer a criminal offense;

29 (D) A conviction under ORS 813.010 **or 830.325, or a conviction under**
30 **a law in another jurisdiction that imposes criminal penalties for op-**
31 **erating a vehicle or boat while under the influence of intoxicants, if**

1 the subject individual had no more than one conviction [*under ORS*
2 *813.010*] **described in this subparagraph** in the five-year period prior to the
3 date of the criminal records check;

4 (E) A deferred sentence, conditional discharge or participation in a di-
5 version program for any crime unless the crime is listed in ORS 443.004 (3)
6 and (5); and

7 (F) A pending indictment for a crime unless the crime is listed in ORS
8 443.004 (3) or (5).

9 (b) The department or the authority may consider a charge, arrest, con-
10 viction, deferred sentence, conditional discharge, participation in a diversion
11 program or pending indictment that may not be considered under paragraph
12 (a) of this subsection in making a fitness determination for a subject indi-
13 vidual who is:

14 (A) Described in ORS 418.016;

15 (B) An employee, volunteer, contractor or provider in, or an agent of, a
16 proctor foster home as defined in ORS 418.205 or a child-caring agency as
17 defined in ORS 418.205;

18 (C) An exempt family child care provider, as defined in ORS 329A.430, the
19 provider's household members who are 16 years of age or older or a frequent
20 visitor of a provider who is subject to a criminal records check;

21 (D) An employee or volunteer in a facility that:

22 (i) Provides care to children and is operated by a school district, as de-
23 fined in ORS 332.002, a political subdivision of this state, a preschool re-
24 corded program, as defined in ORS 329A.250, or a government agency; and

25 (ii) Is not required to be certified under ORS 329A.280; or

26 (E) An emergency medical services provider, as defined in ORS 682.025,
27 for the purpose of determining the fitness of the emergency medical services
28 provider to receive or hold a license under ORS 670.280.

29 (12) Criminal offender information is confidential. Authorized agencies
30 and the Department of State Police shall adopt rules to restrict dissem-
31 ination of information received under this section to persons with a demon-

1 strated and legitimate need to know the information.

2 (13) If a subject individual refuses to consent to the criminal records
3 check or refuses to be fingerprinted, the authorized agency shall deny the
4 employment of the individual, or revoke or deny any applicable position,
5 authority to provide services, license, certification, registration or permit.

6 (14) If an authorized agency requires a criminal records check of em-
7 ployees, prospective employees, contractors, vendors or volunteers or appli-
8 cants for a license, certification, registration or permit, the application forms
9 of the authorized agency must contain a notice that the person is subject to
10 fingerprinting and a criminal records check.

11 **SECTION 2.** ORS 443.004 is amended to read:

12 443.004. (1)(a) The Department of Human Services or the Oregon Health
13 Authority shall complete a criminal records check under ORS 181A.195 on:

14 (A) An employee of a residential facility or an adult foster home;

15 (B) Any individual who is paid directly or indirectly with public funds
16 who has or will have contact with a recipient of support services or a resi-
17 dent of an adult foster home or a residential facility; and

18 (C) A home care worker or personal support worker registering with the
19 Home Care Commission or renewing a registration with the Home Care
20 Commission.

21 (b) The department or the authority shall complete the criminal records
22 check under paragraph (a) of this subsection not more than once during [*a*
23 *two-year*] **an 18-month** period unless the department or the authority:

24 (A) Receives credible evidence of a new criminal conviction;

25 (B) Receives credible evidence to substantiate a complaint of abuse or
26 neglect;

27 (C) Is required by federal law to conduct more frequent criminal records
28 checks; [*or*]

29 (D) Is notified that a subject individual has changed positions or duties
30 for which there are different criminal records check requirements[.]; **or**

31 **(E) Determines, under criteria set forth in rules adopted by the**

1 **department or the authority, that it would be burdensome for a sub-**
2 **ject individual to wait for a new criminal records check.**

3 (2)(a) A home health agency shall conduct a criminal background check
4 before hiring or contracting with an individual and before allowing an indi-
5 vidual to volunteer to provide services on behalf of the home health agency,
6 if the individual will have direct contact with a patient of the home health
7 agency.

8 (b) An in-home care agency shall conduct a criminal background check
9 before hiring or contracting with an individual and before allowing an indi-
10 vidual to volunteer to provide services on behalf of the in-home care agency,
11 if the individual will have direct contact with a client of the in-home care
12 agency.

13 (c) The authority shall prescribe by rule the process for conducting a
14 criminal background check.

15 (3) Public funds may not be used to support, in whole or in part, the
16 employment in any capacity having contact with a recipient of support ser-
17 vices or a resident of a residential facility or an adult foster home, of an
18 individual, other than a mental health or substance abuse treatment pro-
19 vider, who has been convicted:

20 (a) Of a crime described in ORS 163.095, 163.107, 163.115, 163.118, 163.125,
21 163.145, 163.149, 163.165, 163.175, 163.185, 163.187, 163.200, 163.205, 163.225,
22 163.235, 163.263, 163.264, 163.266, 163.275, 163.465, 163.467, 163.535, 163.537,
23 163.547, 163.689, 163.700, 163.701, 164.055, 164.057, 164.098, 164.125 (5)(c) or (d),
24 164.215, 164.225, 164.325, 164.377 (2) or (3), 164.405, 164.415, 165.013, 165.022,
25 165.032, 165.800, 165.803, 167.012, 167.017, 167.057, 167.320 or 167.322;

26 (b) Notwithstanding paragraph (a) of this subsection, of a crime described
27 in ORS 163.465, 163.467, 163.700, 163.701, 164.055, 164.125 or 164.377, the date
28 of conviction for which was within the five years immediately preceding
29 employment in any capacity of an individual, other than a mental health or
30 substance abuse treatment provider, having contact with a recipient of sup-
31 port services, a resident of a residential facility or a resident of an adult

1 foster home, when the recipient or resident is 65 years of age or older;

2 (c) Of a crime listed in ORS 163A.005;

3 (d) In the last 10 years, of a crime involving the delivery or manufacture
4 of a controlled substance;

5 (e) Of an attempt, conspiracy or solicitation to commit a crime described
6 in paragraphs (a) to (d) of this subsection; or

7 (f) Of a crime in another jurisdiction that is substantially equivalent, as
8 defined by rule, to a crime described in paragraphs (a) to (e) of this sub-
9 section.

10 (4) If the criminal background check conducted by a home health agency
11 or in-home care agency under subsection (2) of this section reveals that the
12 individual who is subject to the criminal background check has been con-
13 victed of any of the crimes described in subsection (3) of this section, the
14 home health agency or in-home care agency may not employ the individual.

15 (5) Public funds may not be used to support, in whole or in part, the
16 employment, in any capacity having contact with a recipient of support ser-
17 vices or a resident of a residential facility or an adult foster home, of a
18 mental health or substance abuse treatment provider who has been convicted
19 of committing, or convicted of an attempt, conspiracy or solicitation to
20 commit, a crime described in ORS 163.095, 163.107, 163.115, 163.375, 163.405,
21 163.411 or 163.427.

22 (6) Upon the request of a mental health or substance abuse treatment
23 provider, the department or authority shall maintain a record of the results
24 of any fitness determination made under ORS 181A.195 (10). The department
25 or authority may disclose the record only to a person the provider specif-
26 ically authorizes, by a written release, to receive the information.

27 (7) If the department or authority has a record of substantiated abuse
28 committed by an employee or potential employee of a home health agency,
29 in-home care agency, adult foster home or residential facility, regardless of
30 whether criminal charges were filed, the department or authority shall no-
31 tify, in writing, the employer and the employee or potential employee and

1 may conduct a fitness determination in accordance with this section and
2 ORS 181A.195.

3 (8) As used in this section:

4 (a) "Adult foster home" has the meaning given that term in ORS 443.705.

5 (b) "Home care worker" has the meaning given that term in ORS 410.600.

6 (c) "Home health agency" has the meaning given that term in ORS
7 443.014.

8 (d) "In-home care agency" has the meaning given that term in ORS
9 443.305.

10 (e) "Mental health or substance abuse treatment provider" means:

11 (A) A peer support specialist;

12 (B) An employee of a residential treatment facility or a residential treat-
13 ment home that is licensed under ORS 443.415 to provide treatment for in-
14 dividuals with alcohol or drug dependence;

15 (C) An individual who provides treatment or services for persons with
16 substance use disorders; or

17 (D) An individual who provides mental health treatment or services.

18 (f) "Peer support specialist" has the meaning given that term in ORS
19 414.025.

20 (g) "Personal support worker" has the meaning given that term in ORS
21 410.600.

22 (h) "Residential facility" has the meaning given that term in ORS 443.400.

23 **SECTION 3. This 2020 Act being necessary for the immediate pres-**
24 **ervation of the public peace, health and safety, an emergency is de-**
25 **clared to exist, and this 2020 Act takes effect on its passage.**

26