

## SENATE AMENDMENTS TO SENATE BILL 503

By COMMITTEE ON JUDICIARY

April 27

1 On page 1 of the printed bill, delete lines 4 through 29 and delete pages 2 through 5 and insert:

2 **“SECTION 1.** ORS 125.165 is amended to read:

3 **“125.165. (1)(a)** [*A presiding judge shall by court order establish:*]

4 **“[(a)** *Qualifications for persons serving as visitors for the court, in addition to those qualifications*  
5 *established by this section; and]*

6 **“[(b)** *Standards and procedures to be used by visitors in the performance of their duties]* **The Chief**  
7 **Justice of the Supreme Court shall adopt rules to establish uniform visitor policies, including**  
8 **standards, protocols and procedures to be used by visitors in the performance of their**  
9 **duties.**

10 **“(b)** **A presiding judge may by court order establish policies that do not conflict with**  
11 **rules adopted by the Chief Justice under paragraph (a) of this subsection to be followed by**  
12 **visitors in the performance of their duties in the court of that presiding judge, including**  
13 **policies regarding the use of visitors approved by other presiding judges in other courts when**  
14 **a qualified visitor is otherwise unavailable.**

15 **“(2)(a)** **The Chief Justice of the Supreme Court shall adopt rules to establish qualifica-**  
16 **tions for visitors appointed by the court. At a minimum, the rules must address the follow-**  
17 **ing:**

18 **“(A)** **The professional licenses or certifications that a visitor must possess; and**

19 **“(B)** **Completion of a mandatory training for visitors, as prescribed by the Judicial De-**  
20 **partment, that includes education on guardianships, conservatorships, decision-making ca-**  
21 **capacity, the fundamentals of abuse and neglect of vulnerable adults and the function of**  
22 **visitors for the court.**

23 **“(b)** **A presiding judge may by court order establish qualifications for visitors in the court**  
24 **of that presiding judge that do not conflict with rules adopted by the Chief Justice under**  
25 **paragraph (a) of this subsection.**

26 **“[(2)] (3)** **A visitor may be an employee of the court. The visitor may not have any personal in-**  
27 **terest in the respondent or protected person, or any pecuniary or financial interest in the**  
28 **proceedings[, if those interests could compromise or otherwise affect the decisions of the visitor. A**  
29 **visitor may not receive compensation for services rendered as a fiduciary for two or more protected**  
30 **persons at the same time who are not related to the fiduciary].**

31 **“[(3)] (4)** **[A visitor must] The court shall oversee visitors appointed by the court and ensure**  
32 **that a visitor meets all of the following requirements:**

33 **“(a)** **[Have] Has** the training and expertise adequate to allow the person to conduct the inter-  
34 views and make the recommendations required under ORS 125.150 and 125.155, to communicate with,  
35 assess and interact with respondents and protected persons[,] and to perform the other duties re-

1 quired of a visitor; [and]

2 “(b) [*Demonstrate*] **Demonstrates** sufficient knowledge of the law so as to be able to inform a  
3 respondent or protected person of the nature and effect of a protective proceeding, to inform a re-  
4 spondent or protected person of the rights of the respondent or protected person in the protective  
5 proceeding, to answer the questions of a respondent or protected person and to inform fiduciaries  
6 concerning their powers and duties[.];

7 “(c) **Notifies the court of any change in status of a professional license or certificate,**  
8 **including investigations of the visitor by the authority that issued the license or certifica-**  
9 **tion; and**

10 “(d) **Undergoes a criminal records check as described in subsection (5) of this section and**  
11 **provides the results of the criminal records check to the court before appointment by the**  
12 **court.**

13 “(5)(a) **Upon the request of the court, and in compliance with procedures adopted by the**  
14 **Department of State Police under ORS 181A.230, the Department of State Police shall furnish**  
15 **to the court such information on a prospective visitor as the Department of State Police**  
16 **may have in its possession, including but not limited to manual or computerized criminal**  
17 **offender information. With the approval of the Department of State Police, a local law**  
18 **enforcement agency may furnish the information described in this subsection to the court.**

19 “(b) **Subsequent to furnishing the information required under paragraph (a) of this sub-**  
20 **section, the Department of State Police shall conduct a nationwide criminal records check**  
21 **of the prospective visitor through the Federal Bureau of Investigation by use of the pro-**  
22 **spective visitor’s fingerprints and shall report the results to the court. In accordance with**  
23 **the procedures of the Department of State Police, a local law enforcement agency may con-**  
24 **duct the criminal records check described in this paragraph if the local law enforcement**  
25 **agency has received approval under paragraph (a) of this subsection.**

26 “(c) **The Department of State Police or local law enforcement agency may not transfer**  
27 **the fingerprint card used to conduct a criminal records check under this subsection unless**  
28 **the public agency or person receiving the fingerprint card agrees to destroy the fingerprint**  
29 **card or to return the fingerprint card to the Department of State Police or local law**  
30 **enforcement agency.**

31 “(d) **If a public agency or person returns a fingerprint card to the Department of State**  
32 **Police or local law enforcement agency, the Department of State Police or local law**  
33 **enforcement agency shall destroy the fingerprint card or return the fingerprint card to the**  
34 **prospective visitor. The Department of State Police or local law enforcement agency may not**  
35 **keep a record of the fingerprints.**

36 “(e) **Results of criminal records checks submitted to the court are confidential and shall**  
37 **be subject to inspection only by the parties to the proceedings and their attorneys, and are**  
38 **not subject to inspection by members of the public except pursuant to a court order entered**  
39 **after a showing of good cause.**

40 “(f) **A visitor must disclose to the court any criminal conviction of the visitor that occurs**  
41 **after the criminal records check was performed.**

42 “**SECTION 2.** ORS 125.150 is amended to read:

43 “125.150. (1)(a) The court shall appoint a visitor upon the filing of a petition in a protective  
44 proceeding that seeks the appointment of:

45 “(A) A guardian **or conservator** for an adult respondent;

1 “(B) A guardian for a minor respondent who is more than 16 years of age, in cases where the  
2 court determines there is the likelihood that a petition seeking appointment of a guardian for the  
3 respondent as an adult will be filed before the date that the respondent attains majority, in ac-  
4 cordance with ORS 125.055 (6), or as an adult; or

5 “(C) A temporary fiduciary who will exercise the powers of a guardian for an adult respondent.

6 “(b) The court may appoint a visitor:

7 “(A) In any other protective proceeding; [or]

8 “(B) In a proceeding under ORS 109.329; or

9 “(C) **At any time during a guardianship or conservatorship proceeding under ORS 125.025**  
10 **(3).**

11 “(c) **The petitioner may recommend a visitor from a court-approved list of visitors, in-**  
12 **cluding a visitor from a court-approved list in a different court or from a statewide list of**  
13 **visitors maintained by the Judicial Department. The court shall consider and approve or deny**  
14 **a recommendation of a visitor made by a petitioner under this paragraph.**

15 “(2) A visitor may be an officer, employee or special appointee of the court. The person ap-  
16 pointed may not have any personal interest in the proceedings. The person appointed must have  
17 training or expertise adequate to allow the person to appropriately evaluate the functional capacity  
18 and needs of a respondent or protected person, or each petitioner and the person to be adopted  
19 under ORS 109.329. The court shall provide a copy of the petition and other filings in the pro-  
20 ceedings that may be of assistance to the visitor.

21 “(3) A visitor appointed by the court under this section shall interview a person nominated or  
22 appointed as fiduciary and the respondent or protected person, or each petitioner and the person to  
23 be adopted under ORS 109.329, personally at the place where the respondent or protected person,  
24 or each petitioner or the person to be adopted under ORS 109.329, is located.

25 “(4) Subject to any law relating to confidentiality, the visitor may interview any physician or  
26 psychologist who has examined the respondent or protected person, or each petitioner under ORS  
27 109.329, the person or officer of the institution having the care, custody or control of the respondent  
28 or protected person, or each petitioner under ORS 109.329, and any other person who may have  
29 relevant information.

30 “(5) If requested by a visitor under subsection (4) of this section, a physician or psychologist  
31 who has examined the respondent or protected person, or each petitioner under ORS 109.329, may,  
32 with patient authorization or, in the case of a minor respondent, with the authorization of the  
33 minor’s parent or the person having custody of the minor, or in response to a court order in ac-  
34 cordance with ORCP 44 or a subpoena under ORCP 55, provide any relevant information the phy-  
35 sician or psychologist has regarding the respondent or protected person, or each petitioner under  
36 ORS 109.329.

37 “(6) A visitor shall determine whether it appears that the respondent or protected person, or  
38 each petitioner or the person to be adopted under ORS 109.329, is able to attend the hearing and,  
39 if able to attend, whether the respondent or protected person, or each petitioner or the person to  
40 be adopted under ORS 109.329, is willing to attend the hearing.

41 “(7) If a petition is filed seeking the appointment of a guardian for an adult respondent, a visitor  
42 shall investigate the following matters:

43 “(a) The inability of the respondent to provide for the needs of the respondent with respect to  
44 physical health, food, clothing and shelter;

45 “(b) The location of the respondent’s residence and the ability of the respondent to live in the

1 residence while under guardianship;

2 “(c) Alternatives to guardianship considered by the petitioner and reasons why those alterna-  
3 tives are not available;

4 “(d) Health or social services provided to the respondent during the year preceding the filing  
5 of the petition, when the petitioner has information as to those services;

6 “(e) The inability of the respondent to resist fraud or undue influence; and

7 “(f) Whether the respondent’s inability to provide for the needs of the respondent is an isolated  
8 incident of negligence or improvidence, or whether a pattern exists.

9 “(8) If a petition is filed seeking the appointment of a fiduciary, a visitor shall determine  
10 whether the respondent objects to:

11 “(a) The appointment of a fiduciary; and

12 “(b) The nominated fiduciary or prefers another person to act as fiduciary.

13 “(9) If a petition is filed seeking the appointment of a conservator in addition to the appointment  
14 of a guardian, a visitor shall investigate whether the respondent is financially incapable. The visitor  
15 shall interview the person nominated to act as conservator and shall interview the respondent per-  
16 sonally at the place where the respondent is located.

17 “(10) A visitor shall determine whether the respondent or protected person, or each petitioner  
18 or the person to be adopted under ORS 109.329, wishes to be represented by counsel and, if so,  
19 whether the respondent or protected person, or each petitioner or the person to be adopted under  
20 ORS 109.329, has retained counsel and, if not, the name of an attorney the respondent or protected  
21 person, or each petitioner or the person to be adopted under ORS 109.329, wishes to retain.

22 “(11) If the respondent or protected person, or each petitioner or the person to be adopted under  
23 ORS 109.329, has not retained counsel, a visitor shall determine whether the respondent or protected  
24 person, or each petitioner or the person to be adopted under ORS 109.329, desires the court to ap-  
25 point counsel.

26 “(12) If the respondent or protected person, or each petitioner or the person to be adopted under  
27 ORS 109.329, does not plan to retain counsel and has not requested the appointment of counsel by  
28 the court, a visitor shall determine whether the appointment of counsel would help to resolve the  
29 matter and whether appointment of counsel is necessary to protect the interests of the respondent  
30 or protected person, or each petitioner or the person to be adopted under ORS 109.329.

31 “**SECTION 3.** ORS 125.160 is amended to read:

32 “125.160. (1) At any time after the appointment of a fiduciary, the court may appoint a visitor.  
33 The court may require the visitor to perform any duty the visitor could have performed if appointed  
34 at the time the fiduciary was appointed, including interviewing relevant persons, examining relevant  
35 records, reporting in writing to the court and being present at any hearing.

36 “(2) **In addition to the duties specified in subsection (1) of this section, the court may  
37 appoint a visitor to:**

38 “(a) **Investigate whether a protected person is no longer incapacitated and whether the  
39 protected person remains in need of a fiduciary;**

40 “(b) **Assess the condition and welfare of the protected person;**

41 “(c) **Evaluate the action or inaction of a fiduciary in order to assess if the fiduciary re-  
42 mains suitable and qualified to serve as the fiduciary of the protected person; and**

43 “(d) **Assess whether a fiduciary should be removed for any reason, including that removal  
44 is in the best interests of the protected person.”**