## HOUSE AMENDMENTS TO A-ENGROSSED SENATE BILL 43

By COMMITTEE ON RULES

July 5

1	On page 1 of the printed A-engrossed bill, line 2, after "ORS" delete the rest of the line.
2	Delete line 3 and insert "171.735, 171.740, 171.745, 171.752, 171.772 and 171.992; repealing section
3	3, chapter 701, Oregon Laws 2013; and declaring an emergency.".
4	Delete lines 5 through 22 and delete pages 2 through 4 and insert:
5	"SECTION 1. ORS 171.735 is amended to read:
6	"171.735. (1) Except as provided in subsections (2) and (3) of this section, ORS 171.740 and
7	171.745 apply to any person who is a lobbyist and who:
8	"(a) Agrees to provide personal services for money or any other consideration for the
9	purpose of lobbying in this state;
10	"(b) Is not subject to paragraph (a) of this subsection, but who provides personal services
11	as a representative of a corporation, association, organization or other group, for the pur
12	pose of lobbying in this state and who:
13	"(A) Receives compensation as defined in ORS 292.951, money or any other consideration
14	for lobbying;
15	"(B) Spends an aggregate amount of more than 24 hours lobbying in a calendar quarter
16	or
17	"(C) Spends an aggregate amount of more than \$100 lobbying legislative officials or
18	executive officials during a calendar quarter; or
19	"(c) Is also a public official serving a public body as defined in ORS 174.109, and who:
20	"(A) Holds a position with a written job description that includes lobbying;
21	"(B) Spends an aggregate amount of more than 24 hours lobbying in a calendar quarter
22	or
23	"(C) Spends an aggregate amount of more than \$100 lobbying legislative officials or
24	executive officials during a calendar quarter.
25	"(2) In determining whether the criteria set forth in subsection (1)(b)(A), (1)(b)(C) or
26	(1)(c)(C) of this section have been satisfied, the reimbursement or amounts expended for
27	personal living and travel expenses and office overhead, including salaries and wages paid for
28	staff and secretarial assistance, and maintenance expenses, shall be excluded.
29	"(3) ORS 171.740 and 171.745 do not apply to the following persons:
30	"[(1)] (a) News media, or their employees or agents, that in the ordinary course of business di
31	rectly or indirectly urge legislative action but that engage in no other activities in connection with
32	the legislative action.
33	"[(2)] (b) Any legislative official acting in an official capacity.

"[(3)] (c) Any person who does not meet the definition of lobbyist set forth in ORS 171.725

(9), including an individual meeting with a member of the Legislative Assembly in a personal

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**capacity or an** individual who does not receive compensation or reimbursement of expenses for lobbying, who limits lobbying activities solely to formal appearances to give testimony before public sessions of committees of the Legislative Assembly, or public hearings of state agencies, and who, when testifying, registers an appearance in the records of the committees or agencies.

"[(4) A person who does not:]

- "[(a) Agree to provide personal services for money or any other consideration for the purpose of lobbying;]
- 8 "[(b) Spend more than an aggregate amount of 24 hours during any calendar quarter lobbying; 9 and]
  - "[(c) Spend an aggregate amount in excess of \$100 lobbying during any calendar quarter.]
  - "[(5)] (d) The Governor, chief of staff for the Governor, deputy chief of staff for the Governor, legal counsel to the Governor, deputy legal counsel to the Governor, Secretary of State, Deputy Secretary of State appointed pursuant to ORS 177.040, State Treasurer, Deputy State Treasurer appointed pursuant to ORS 178.060, chief of staff for the office of the State Treasurer, Attorney General, Deputy Attorney General appointed pursuant to ORS 180.130, Deputy Superintendent of Public Instruction appointed pursuant to ORS 326.300, Commissioner of the Bureau of Labor and Industries, deputy commissioner of the Bureau of Labor and Industries appointed pursuant to ORS 651.060, members and staff of the Oregon Law Commission who conduct the law revision program of the commission or any judge.
    - "(e) An elected public official:
    - "(A) Who is elected to serve a:
    - "(i) Local government;
    - "(ii) Local service district as defined in ORS 174.116; or
    - "(iii) Special government body as defined in ORS 174.117; and
  - "(B) Whose lobbying activities are limited to lobbying in the public official's official capacity as an elected official.
  - "(f) A representative of a corporation, association, organization or other group who is responsible for supervising lobbying activities of the corporation, association, organization or other group in this state or other states, but who does not spend an aggregate amount of more than 24 hours lobbying in this state during a calendar quarter.
  - "SECTION 2. ORS 171.740, as amended by section 2, chapter 101, Oregon Laws 2016, is amended to read:
  - "171.740. (1) Within three business days [after exceeding the limit of time or expenditure specified in ORS 171.735 (4), or within three business days after agreeing to provide personal services for money or any other consideration for the purpose of lobbying,] of meeting the requirements for any situation described in ORS 171.735 (1), a lobbyist who is not exempt from filing a statement under ORS 171.735 (2) or (3) shall register with the Oregon Government Ethics Commission by filing with the commission a statement containing the following information:
    - "(a) The name, address, electronic mail address and telephone number of the lobbyist.
  - "(b) The name, address, electronic mail address and telephone number of each person that employs the lobbyist or in whose interest the lobbyist appears or works.
  - "(c) A general description of the trade, business, profession or area of endeavor of any person designated under paragraph (b) of this subsection, and a statement by the person that the lobbyist is officially authorized to lobby for the person.
  - "(d) The name of any member of the Legislative Assembly employed, retained or otherwise

1 compensated by:

- "(A) The lobbyist designated under paragraph (a) of this subsection; or
- 3 "(B) A person designated under paragraph (b) of this subsection.
- 4 "(e) The general subject or subjects of the legislative action of interest to the person for whom 5 the lobbyist is registered.
  - "(f) The name of each political committee as defined in ORS 260.005 that:
  - "(A) Is advised or controlled by the lobbyist; and
  - "(B) Makes political campaign contributions to an elected public official in this state or to a candidate for elected public office in this state.
  - "(g) An acknowledgment that the lobbyist has read and fully understands the applicable laws and administrative rules governing lobbyist conduct in this state.
  - "(2)(a) Not later than 10 calendar days after a lobbyist files a registration statement under this section, the designation of official authorization to lobby shall be signed by an official of each person that employs the lobbyist or in whose interest the lobbyist appears or works.
  - "(b) A lobbyist may unilaterally withdraw a registration statement filed under this section not more than one time per calendar year for each person designated under subsection (1)(b) of this section if the withdrawal is made:
  - "(A) Before the designation of official authorization to lobby has been signed in the manner required under paragraph (a) of this subsection; and
    - "(B) No more than 10 calendar days after the lobbyist filed the registration statement.
  - "(3) A lobbyist must file a separate registration statement under subsection (1) of this section for each person that employs the lobbyist or in whose interest the lobbyist appears or works. If a lobbyist appears or works for a person for whom the lobbyist has not registered, the lobbyist shall register with the commission not later than three business days after the day the lobbyist first appears or works for the person.
  - "(4)(a) Except as provided in paragraph (b) of this subsection, if any of the information submitted by a lobbyist in the statement required under subsection (1) of this section changes, the lobbyist shall revise the statement within 30 days of the change.
  - "(b) A lobbyist shall notify the commission within three business days if the lobbyist ceases to represent a person for whom the lobbyist is registered. Notification must be made by updating the registration statement required under subsection (1) of this section.
  - "(5) A lobbyist registration expires December 31 of each [odd-numbered] year. If a lobbyist renews the registration before January 31 of the following [even-numbered] year, the commission shall consider the registration to have been effective as of December 31 of the [odd-numbered] year on which the registration expired.
  - "(6) For the statement required by subsection (1) of this section, an entity composed of more than one lobbyist may file one statement for the lobbyists who compose the entity. The statement the entity files must include the names of the individuals authorized to lobby on behalf of the client listed in the statement.
  - "SECTION 3. ORS 171.745, as amended by section 2, chapter 701, Oregon Laws 2013, is amended to read:
  - "171.745. (1) A lobbyist registered with the Oregon Government Ethics Commission or required to register with the commission shall, according to the schedule described in ORS 171.752, file with the commission a statement showing for the applicable reporting period:
  - "(a) The total amount of all moneys expended for food, refreshments and entertainment by the

lobbyist for the purpose of lobbying.

- "(b) The name of any legislative official or executive official to whom or for whose benefit, on any one occasion, an expenditure is made for the purposes of lobbying, and the date, name of payee, purpose and amount of that expenditure. This paragraph applies if the total amount expended on the occasion by one or more persons exceeds \$50.
  - "(2) Statements required by this section need not include:
- "(a) Amounts expended by the lobbyist for personal living and travel expenses and office overhead, including salaries and wages paid for staff and secretarial assistance, and maintenance expenses[.]; or
- "(b) Amounts expended by the lobbyist on lobbying another lobbyist who is registered with the commission or required to register with the commission, or on lobbying any person on whose behalf a lobbyist is registered or required to register. This paragraph does not apply if the person lobbied is a legislative official, an executive official or a member of a state board or commission.
- "(3) If the amount of any expenditure required to be included in a statement is not accurately known at the time the statement is required to be filed, an estimate of the expenditure shall be submitted in the statement and designated as an estimate. The exact amount expended for which a previous estimate was made shall be submitted in a subsequent report when the information is available.
- "(4) A statement required by this section shall include a copy of any notice provided to a public official or candidate under ORS 244.100.
- "SECTION 4. ORS 171.745, as amended by section 2, chapter 701, Oregon Laws 2013, and section 3 of this 2017 Act, is amended to read:
- "171.745. (1) A lobbyist registered with the Oregon Government Ethics Commission or required to register with the commission shall, according to the schedule described in ORS 171.752, file with the commission a statement showing for the applicable reporting period:
- "(a) The total amount of all moneys expended for food, refreshments and entertainment by the lobbyist for the purpose of lobbying.
- "(b) The name of any legislative official or executive official to whom or for whose benefit, on any one occasion, an expenditure is made for the purposes of lobbying, and the date, name of payee, purpose and amount of that expenditure. This paragraph applies if the total amount expended on the occasion by one or more persons exceeds \$50.
- "(c)(A) Each bill or measure that is introduced before the Legislative Assembly for which the lobbyist has engaged in lobbying activities.
- "(B) For each bill or measure reported under subparagraph (A) of this paragraph, the name of each person or entity that hired the lobbyist for the purpose of lobbying on the bill or measure.
- "(d)(A) A listing of each legislative topic for which the lobbyist has engaged in lobbying activities that is not part of a bill or measure that is introduced before the Legislative Assembly reported under paragraph (c) of this subsection.
- "(B) For each topic reported under subparagraph (A) of this paragraph, the name of each person or entity that hired the lobbyist for the purpose of lobbying.
  - "(2) Statements required by this section need not include:
- 44 "(a) Amounts expended by the lobbyist for personal living and travel expenses and office over-45 head, including salaries and wages paid for staff and secretarial assistance, and maintenance ex-

penses; [or]

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- "(b) Amounts expended by the lobbyist on lobbying another lobbyist who is registered with the commission or required to register with the commission, or on lobbying any person on whose behalf a lobbyist is registered or required to register. This paragraph does not apply if the person lobbied is a legislative official, an executive official or a member of a state board or commission[.]; or
  - "(c) References to a bill, measure or legislative topic that the lobbyist:
  - "(A) Monitored, but did not influence, or attempt to influence, legislative action on;
- "(B) Supported or opposed, but did not influence, or attempt to influence, legislative action on; or
- "(C) Provided information or data on, or responded to a request from a legislative official or an executive official to provide information or data on, without the intent to influence, or attempt to influence, legislative action.
- "(3) If the amount of any expenditure required to be included in a statement is not accurately known at the time the statement is required to be filed, an estimate of the expenditure shall be submitted in the statement and designated as an estimate. The exact amount expended for which a previous estimate was made shall be submitted in a subsequent report when the information is available.
- "(4) A statement required by this section shall include a copy of any notice provided to a public official or candidate under ORS 244.100.
- "SECTION 5. The amendments to ORS 171.745 by section 4 of this 2017 Act become operative on April 1, 2018.
- "SECTION 6. Section 3, chapter 701, Oregon Laws 2013, as amended by section 1, chapter 813, Oregon Laws 2015, is repealed.
- "SECTION 7. If this 2017 Act does not become effective until after June 30, 2017, the amendments to ORS 171.745 by section 3 of this 2017 Act and the repeal of section 3, chapter 701, Oregon Laws 2013, by section 6 of this 2017 Act revive the amendments to ORS 171.745 by section 1, chapter 701, Oregon Laws 2013. If this 2017 Act does not become effective until after June 30, 2017, this 2017 Act shall be operative retroactively to that date, and the operation and effect of the amendments to ORS 171.745 by section 1, chapter 701, Oregon Laws 2013, shall continue unaffected from June 30, 2017, to the effective date of this 2017 Act. Any otherwise lawful action taken or otherwise lawful obligation incurred under the authority of ORS 171.745, as amended by section 1, chapter 701, Oregon Laws 2013, after June 30, 2017, and before the effective date of this 2017 Act, is ratified and approved.
  - "SECTION 8. ORS 171.772 is amended to read:
- "171.772. In carrying out the provisions of ORS 171.725 to 171.785, the Oregon Government Ethics Commission shall:
- "(1) Prescribe by rule forms for registrations, statements and reports required to be filed by ORS 171.725 to 171.785 and provide the forms to persons required to register and to file the statements and reports.
- 40 "(2) Accept and file any information voluntarily supplied that exceeds the requirements of ORS 171.725 to 171.785.
- "(3) Make registrations, statements and reports filed available for public inspection and copying 42 during regular office hours, and make copying facilities available at a charge not to exceed actual 43 44
  - "(4) Adopt by rule an electronic filing system under which statements required to be filed under

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- ORS 171.745 and 171.750 must be filed with the commission in an electronic format. The commission may not charge a fee for filing a statement under this subsection.
  - "(5) Provide training on procedures for filing statements under subsection (4) of this section.
- "(6) Make statements and information contained within the statements filed under ORS 171.745 and 171.750 available in a searchable format for review by the public using the Internet.
- The searchable format must permit the public to view:
- "(a) For each bill or measure reported on by a lobbyist under ORS 171.745, the name of each lobbyist who has engaged in lobbying activities with respect to the bill or measure and the name of the person or entity that hired each lobbyist identified in this paragraph.
  - "(b) For each person or entity that hired a lobbyist:
  - "(A) The name of each lobbyist hired by the person or entity;
- "(B) Each bill or measure that is introduced before the Legislative Assembly for which each lobbyist identified in subparagraph (A) of this paragraph engaged in lobbying activities;
- "(C) Each legislative topic other than a bill or measure before the Legislative Assembly for which each lobbyist identified in subparagraph (A) of this paragraph engaged in lobbying activities; and
- "(D) The total amount of all moneys expended for lobbying activities on the person's or entity's behalf, excluding living and travel expenses incurred for a lobbyist performing lobbying services.
  - "(c) For each lobbyist:

- "(A) Each bill or measure that is introduced before the Legislative Assembly for which the lobbyist engaged in lobbying activities, and the name of the person or entity that hired the lobbyist for each bill or measure identified in this subparagraph;
- "(B) Each legislative topic for which the lobbyist has engaged in lobbying activities that is not included in subparagraph (A) of this paragraph, and the name of the person or entity that hired the lobbyist for each topic identified in this subparagraph; and
- "(C) The total amount of all moneys expended for lobbying activities by the person or entity on whose behalf the lobbyist engaged in lobbying activities, excluding living and travel expenses incurred for the lobbyist performing lobbying services.
- "(7) Prominently display a direct link on the commission's website to the laws and administrative rules governing lobbyist conduct in this state.
- "SECTION 9. Section 10 of this 2017 Act is added to and made a part of ORS 171.725 to 171.785.
- "SECTION 10. (1) The information that a lobbyist is required to file with the Oregon Government Ethics Commission under ORS 171.745 (1)(c) and (d) must be accurate on the date the information is filed.
- "(2) Except as provided in subsection (3) of this section, a lobbyist may, without penalty, amend a statement filed under ORS 171.745 at any time before the commission receives a complaint, or proceeds on the commission's own motion as if the commission received a complaint, under ORS 171.778.
- "(3) The commission may not fine a lobbyist for filing false or inaccurate information required under ORS 171.745 (1)(c) and (d) if the commission determines that the lobbyist mistakenly filed false or inaccurate information.
- "SECTION 11. ORS 171.992 is amended to read:
- 45 "171.992. (1)(a) Except as provided in paragraph (b) of this subsection, any person who vio-

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lates any provision of ORS 171.740 to 171.762, or any rule adopted under ORS 171.725 to 171.785, shall forfeit and pay to the General Fund for each violation a civil penalty of not more than \$5,000, to be determined by the Oregon Government Ethics Commission.

## "(b) The commission may not fine a person for filing false or inaccurate information required under ORS 171.745 (1)(c) and (d).

- "(2)(a) The commission may impose civil penalties upon a person who fails to file the statement required under ORS 171.745 or 171.750. In enforcing this subsection, the commission is not required to follow the procedures in ORS 171.778 before finding that a violation of ORS 171.745 or 171.750 has occurred.
- "(b) Failure to file the required statement in timely fashion is prima facie evidence of a violation of ORS 171.745 or 171.750.
- "(c) The commission may impose a civil penalty of \$10 for each of the first 14 days the statement is late beyond the date set by law and \$50 for each day thereafter. The maximum penalty that may be imposed under this subsection is \$5,000.
- "(3) A civil penalty imposed under this section may be recovered in an action brought in the name of the State of Oregon in any court of appropriate jurisdiction or may be imposed as provided in ORS 183.745. In any proceedings before the court, including judicial review under ORS 183.745, the court may review the penalty as to both liability and reasonableness of amount.
- "(4) In lieu of or in conjunction with finding a violation of law or rule or imposing a civil penalty under this section, the commission may issue a written letter of reprimand, explanation or education.
  - "SECTION 12. ORS 171.992, as amended by section 11 of this 2017 Act, is amended to read:
- "171.992. (1)(a) Except as provided in paragraph (b) of this subsection, any person who violates any provision of ORS 171.740 to 171.762, or any rule adopted under ORS 171.725 to 171.785, shall forfeit and pay to the General Fund for each violation a civil penalty of not more than \$5,000, to be determined by the Oregon Government Ethics Commission.
- "(b) [The commission may not fine a person for filing false or inaccurate information required under ORS 171.745 (1)(c) and (d).] Except as provided in section 10 (3) of this 2017 Act, a person who files false or inaccurate information required under ORS 171.745 (1)(c) and (d) shall forfeit and pay to the General Fund for each violation a civil penalty of not more than \$500, to be determined by the commission.
- "(2)(a) The commission may impose civil penalties upon a person who fails to file the statement required under ORS 171.745 or 171.750. In enforcing this subsection, the commission is not required to follow the procedures in ORS 171.778 before finding that a violation of ORS 171.745 or 171.750 has occurred.
- "(b) Failure to file the required statement in timely fashion is prima facie evidence of a violation of ORS 171.745 or 171.750.
- "(c) The commission may impose a civil penalty of \$10 for each of the first 14 days the statement is late beyond the date set by law and \$50 for each day thereafter. The maximum penalty that may be imposed under this subsection is \$5,000.
- "(3) A civil penalty imposed under this section may be recovered in an action brought in the name of the State of Oregon in any court of appropriate jurisdiction or may be imposed as provided in ORS 183.745. In any proceedings before the court, including judicial review under ORS 183.745, the court may review the penalty as to both liability and reasonableness of amount.
- "(4) In lieu of or in conjunction with finding a violation of law or rule or imposing a civil pen-

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- alty under this section, the commission may issue a written letter of reprimand, explanation or education.
- "SECTION 12a. Section 10 of this 2017 Act and the amendments to ORS 171.992 by section
  11 of this 2017 Act become operative on the operative date specified in section 5 of this 2017
  Act.
  - "SECTION 13. The amendments to ORS 171.992 by section 12 of this 2017 Act become operative on January 1, 2019.
  - "SECTION 14. ORS 171.752 is amended to read:

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- 9 "171.752. (1) Except as provided in subsection (2) of this subsection, statements required to 10 be filed with the Oregon Government Ethics Commission under ORS 171.745 and 171.750 shall be 11 filed in each calendar year:
- "[(1)] (a) Not later than April 15, for the accounting period beginning January 1 and ending
  March 31;
- "[(2)] (b) Not later than July 15, for the accounting period beginning April 1 and ending June 30:
  - "[(3)] (c) Not later than October 15, for the accounting period beginning July 1 and ending September 30; and
- 18 "[(4)] (d) Not later than January 15 of the following calendar year, for the accounting period 19 beginning October 1 and ending December 31.
  - "(2) Statements required to be filed with the commission under ORS 171.745 and 171.750 shall be filed one time per month, in a manner established by the commission by rule, during each regular session of the Legislative Assembly.
  - "(3)(a) The Oregon Government Ethics Commission shall review statements filed under ORS 171.745 and 171.750 that are selected for review as described in paragraph (b) of this subsection. For each review, the commission shall require the lobbyist or person on whose behalf a lobbyist was registered, or was required to register with the commission, to provide documentation of not more than 10 transactions related to lobbying activities.
  - "(b) The commission by rule shall designate a method by which 10 percent of the statements required to be filed with the commission under ORS 171.745 and 171.750 shall be randomly selected for review under this subsection. Reviews required under this subsection shall take place after each filing date set forth under subsection (1) of this section.
- "SECTION 15. (1) The Advisory Committee on Lobbying Transparency is established, consisting of six members as follows:
  - "(a) The President of the Senate shall appoint:
  - "(A) One registered lobbyist; and
  - "(B) One member of the Senate.
  - "(b) The Speaker of the House of Representatives shall appoint:
  - "(A) One registered lobbyist; and
- 39 "(B) One member of the House of Representatives.
- 40 "(c) The executive director of the Oregon Government Ethics Commission shall appoint one member.
- 42 "(d) The Director of the Oregon Department of Administrative Services shall appoint one 43 member.
  - "(2) Members of the advisory committee serve at the pleasure of the appointing entity.
- 45 "(3) Members of the advisory committee who are not members of the Legislative As-

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sembly are not entitled to compensation or reimbursement for expenses and serve as volunteers on the advisory committee.

- "(4) The Oregon Government Ethics Commission shall provide staff support for the advisory committee.
- "(5) The advisory committee shall advise and assist in the development, design, testing and implementation of an Internet website that makes the information contained within the lobbying statements filed under ORS 171.745 and 171.750 available in a searchable format for review by the public in the manner set forth in ORS 171.772 (6).
  - "SECTION 16. Section 15 of this 2017 Act is repealed on January 2, 2021.

- "SECTION 17. The amendments to ORS 171.772 by section 8 of this 2017 Act become operative on August 1, 2020.
- "SECTION 18. (1) The amendments to ORS 171.735 and 171.740 by sections 1 and 2 of this 2017 Act first apply to lobbying, the registration of lobbyists and the filing of lobbyist registration statements and updates or revisions to lobbyist registration statements that occur on or after the effective date of this 2017 Act.
- "(2)(a) The amendments to ORS 171.745 by section 4 of this 2017 Act apply to lobbying statements filed on or after the operative date specified in section 5 of this 2017 Act.
- "(b) For lobbying statements filed on or after the operative date specified in section 5 of this 2017 Act and before the operative date specified in section 17 of this 2017 Act, the information required to be filed under ORS 171.745 (1)(c) and (d) may be included as an attachment to the lobbying statement.
- "(3) The amendments to ORS 171.772 by section 8 of this 2017 Act apply to all lobbyist statements, and the information contained within lobbyist statements, filed on or after the operative date specified in section 17 of this 2017 Act.
- "(4) Section 10 of this 2017 Act and the amendments to ORS 171.992 by section 11 of this 2017 Act first apply to lobbying and the filing of lobbyist statements and updates or revisions to lobbyist statements that occur on or after April 1, 2018.
- "(5) The amendments to ORS 171.752 by section 14 of this 2017 Act first apply to lobbyist statements filed on or after the operative date specified in section 5 of this 2017 Act.
- "SECTION 19. This 2017 Act being necessary for the immediate preservation of the public peace, health and safety, an emergency is declared to exist, and this 2017 Act takes effect on its passage."