

SB 5520 BUDGET REPORT and MEASURE SUMMARY

Joint Committee On Ways and Means

Prepared By: Robert Otero, Department of Administrative Services

Reviewed By: Amanda Beitel, Legislative Fiscal Office

Oregon Government Ethics Commission

2017-19

PRELIMINARY

Budget Summary*

	2015-17 Legislatively Approved Budget ⁽¹⁾	2017-19 Current Service Level	2017-19 Committee Recommendation	Committee Change from 2015-17 Leg. Approved	
				\$ Change	% Change
Other Funds Limited	\$ 2,791,303	\$ 2,704,274	\$ 2,733,861	\$ (57,442)	-2.1%
Total	\$ 2,791,303	\$ 2,704,274	\$ 2,733,861	\$ (57,442)	-2.1%

Position Summary

Authorized Positions	9	9	9	0
Full-time Equivalent (FTE) positions	8.88	9.00	9.00	0.12

⁽¹⁾ Includes adjustments through December 2016

* Excludes Capital Construction expenditures

Summary of Revenue Changes

The funding for the Oregon Government Ethics Commission comes from an assessment equally shared between state agencies and local government entities. State agencies are assessed based upon their number of full-time equivalent positions. Local entities are assessed based upon a formula connected to the Municipal Audit charge collected by the Secretary of State. A portion of these assessment revenues originate as General Fund. Additionally, the Commission collects fines and forfeitures through the imposition of civil penalties. These revenues are transferred to the General Fund and are not used to support agency operations. The estimated Other Funds ending balance for the Commission is \$517,093, which provides a four-and-a-half-month operating reserve.

Summary of General Government Subcommittee Action

The mission of the Government Ethics Commission is to impartially administer and enforce Oregon’s government ethics laws. Oregon Government Ethics law, Lobbying Regulation law, and the executive session provisions of Oregon Public Meetings law are within the regulatory jurisdiction of the Commission.

The Subcommittee approved a budget of \$2,733,861 Other Funds. The approved budget is a 2.1 percent decrease from the 2015-17 Legislatively Approved Budget and includes nine positions (9.00 FTE).

The Subcommittee approved the following recommendations:

- Package 90, Analyst Adjustment - Increase Other Funds expenditure limitation by \$25,308 to reclassify the agency Executive Director position from a Principal Executive/Manager D to a Principal Executive/Manager E. The agency has continued to grow over the last several biennia due to changes in government ethics law and an increased focus on government transparency. As the single management position for the agency, this growth, including additional full-time equivalency (FTE) positions and board members, has resulted in increased responsibilities and visibility for the Executive Director. The Department of Administrative Services, Chief Human Resource Office (DAS, CHRO) determined the position meets the Principal Executive/Manager E classification.
- Package 101, Reclassification Package - Increase Other Funds expenditure limitation by \$9,566 to reclassify the staff position that supports the Electronic Filing System from a Program Analyst 1 to an Operations and Policy Analyst 2. The Electronic Filing System, launched in December 2015, is used to collect and make available to the public: Statements of Economic Interest (SEIs), lobbyist registrations, lobbying expenditure reports and legal expense trust fund reports. In response to changes in the duties of the position and at the request of the agency, DAS CHRO reviewed the position and determined the expanded job duties meet the Operations and Policy Analyst 2 classification.
- Package 801, LFO Analyst Adjustments - Technical adjustment decreasing Other Funds expenditure limitation by \$5,287 to adjust the amount included in the budget for the Electronic Case Management System subscription fees. The package also increases the Other Funds beginning balance by \$76,645 to account for updated projections for the remainder of the 2015-17 biennium and reduces assessment revenue by \$200,000, for a total 2017-19 assessment of \$2,524,954.

Summary of Performance Measure Action

See attached Legislatively Adopted 2017-19 Key Performance Measures form.

DETAIL OF JOINT COMMITTEE ON WAYS AND MEANS ACTION

Oregon Government Ethics Commission
Robert Otero-- 503-986-8670

DESCRIPTION	GENERAL FUND	LOTTERY FUNDS	OTHER FUNDS		FEDERAL FUNDS		TOTAL ALL FUNDS	POS	FTE
			LIMITED	NONLIMITED	LIMITED	NONLIMITED			
2015-17 Legislatively Approved Budget at Dec 2016 *	\$ -	\$ -	\$ 2,791,303	\$ -	\$ -	\$ -	\$ 2,791,303	9	8.88
2017-19 Current Service Level (CSL)*	\$ -	\$ -	\$ 2,704,274	\$ -	\$ -	\$ -	\$ 2,704,274	9	9.00
SUBCOMMITTEE ADJUSTMENTS (from CSL)									
SCR 010 - General Program									
Package 090: Analyst Adjustment									
Personal Services	\$ -	\$ -	\$ 25,308	\$ -	\$ -	\$ -	\$ 25,308	0	0.00
Package 101: Reclassification Package									
Personal Services	\$ -	\$ -	\$ 9,566	\$ -	\$ -	\$ -	\$ 9,566	0	0.00
Package 801: LFO Analyst Adjustments									
Services and Supplies	\$ -	\$ -	\$ (5,287)	\$ -	\$ -	\$ -	\$ (5,287)		
TOTAL ADJUSTMENTS	\$ -	\$ -	\$ 29,587	\$ -	\$ -	\$ -	\$ 29,587	0	0.00
SUBCOMMITTEE RECOMMENDATION *	\$ -	\$ -	\$ 2,733,861	\$ -	\$ -	\$ -	\$ 2,733,861	9	9.00
% Change from 2015-17 Leg Approved Budget	0.0%	0.0%	-2.1%	0.0%	0.0%	0.0%	-2.1%	0.0%	1.4%
% Change from 2017-19 Current Service Level	0.0%	0.0%	1.1%	0.0%	0.0%	0.0%	1.1%	0.0%	0.0%

*Excludes Capital Construction Expenditures

Legislatively Approved 2017 - 2019 Key Performance Measures

Published: 4/13/2017 10:53:37 AM

Agency: Government Ethics Commission

Mission Statement:

The Oregon Government Ethics Commission will impartially and effectively administer and enforce Oregon's government ethics laws for the benefit of Oregon's citizens. The Commission will emphasize education in achieving its mission. The regulatory jurisdiction of the Oregon Government Ethics Commission covers provisions of ORS Chapter 244, Oregon Government Ethics law, ORS 171.725 to 171.785 and 171.992, Lobby Regulation law, and executive session provisions of Oregon Public Meetings law, ORS 192.660

Legislatively Approved KPMs	Metrics	Agency Request	Last Reported Result	Target 2018	Target 2019
1. Percentage of statutory time limit used for preliminary reviews, investigations, staff opinions and Commission advisory opinions.		Approved	98.20%	85%	85%
2. Quality of investigations completed		Approved	5	5	5
3. Training Program's Effectiveness		Approved	69.84%	70%	70%
4. Minimize Case Disposition Costs - Percentage of contested cases settled before hearing.		Approved	100	100	100
5. Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Overall	Approved	77	95	95
	Accuracy		78	85	85
	Timeliness		85	90	90
	Helpfulness		76	95	95
	Expertise		76	90	90
	Availability of Information		73	75	75
6. Governance Best Practices - Percent of total best practices met by the commission.		Approved	99	100	100

LFO Recommendation:

The Legislative Fiscal Office recommends approval of the proposed Key Performance Measures and targets.

SubCommittee Action:

The General Government Subcommittee approved the Legislative Fiscal Office recommendations.