

Analysis

Item 23: Criminal Justice Commission

Specialty Courts Case Management System

Analyst: Julie Neburka

Request: Increase Other Funds expenditure limitation by \$450,000 for implementation of a new case management system for Oregon's specialty courts.

Recommendation: The Legislative Fiscal Office recommends that the interim Joint Committee on Ways and Means recommend the Criminal Justice Commission Other Funds expenditure limitation be increased by \$450,000 in a budget reconciliation bill during the 2018 legislative session, for implementation of a new case management system for Oregon's specialty courts.

Analysis: The Criminal Justice Commission (CJC) is purchasing and implementing a new case management information technology system for use by the more than seventy specialty courts currently operating in Oregon. Case management – by facilitating communication, coordination, and navigation – binds together the pieces of the specialty court program, standardizes the specialty court process, and explicitly documents participants' progress throughout their specialty court tenure. Without competent case management, the multi-disciplinary team approach to a specialty court program lacks the assurance that quality services are being provided, that needed services are accessible, and that participants are, in fact, complying, progressing, and being appropriately rewarded or sanctioned. Without comprehensive case data, the courts, CJC, and other public safety agencies have limited insight into the efficiency and effectiveness of the specialty court system.

Oregon's specialty courts and the CJC currently use a system developed in 2002 that both has exceeded its useful life and is unable to support the requirements set forth in ORS 137.680 that direct the CJC to analyze, develop, and disseminate evidence-based standards and best practices for the specialty court system in Oregon. The agency has worked with the Department of Administrative Services' Office of the State Chief Information Officer (OSCIO) through the stage gate process to develop its business case, issue a request for proposals, and select a vendor. First year implementation costs are currently estimated at \$2,119,500, with annual operating costs of \$376,000 after the second year of the contract.

The CJC's legislatively adopted budget contains \$775,000 General Fund and \$1 million Federal Funds expenditure limitation for this project. The agency requests \$450,000 additional Other Funds expenditure limitation in order to use available asset forfeiture revenue to pay for the balance of the first-year system implementation costs. Asset forfeiture revenues received by CJC are statutorily dedicated to specialty courts by ORS 131A.365(3)(c), and are budgeted conservatively, as it is difficult to predict the level of asset forfeiture activity with any degree of accuracy. As of November 2017, the agency has received \$744,458 in asset forfeiture revenue this biennium, \$406,000 of which has been awarded to specialty court programs throughout the state.

The Legislative Fiscal Office recommends that the interim Joint Committee on Ways and Means recommend the Criminal Justice Commission Other Funds expenditure limitation be increased by \$450,000 in a budget reconciliation bill during the 2018 legislative session.

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Criminal Justice Commission
McDonald

Request: Increase Other Funds expenditure limitation by \$450,000 for the Specialty Court Case Management System.

Recommendation: Approve the request during the 2018 Legislative Session.

Discussion: The Criminal Justice Commission (CJC) released a Request for Proposal (RFP) on July 11, 2017 for a Specialty Court Online Case Management System. The solution the agency is seeking would serve over 70 specialty courts throughout the state including adult and juvenile drug courts, family treatment courts, veteran courts, mental health courts and DUII courts. The aim of this solution is to provide a universal case management system to increase operational efficiencies. The system will also provide data collection for analysis, program evaluation and reporting purposes both locally and statewide. The CJC is working with the Office of the State Chief Information Officer (OSCIO) to move the project through the Stage Gate process and currently through Stage Gate 2.

The 2017-19 Legislatively Adopted Budget for CJC includes \$775,000 General Fund and \$1,000,000 Federal Funds expenditure limitation for this project. The supporting revenues come from the Justice Reinvestment program (General Fund) and the Byrne JAG grant funding (Federal Funds).

The agency also receives Other Funds revenue from asset forfeiture, intended for specialty courts. This revenue has come in higher than forecasted during the agency's budget development. As of November 2017, the agency has received \$376,873 in additional asset forfeiture revenue and projects a total of \$500,000 by the end of the biennium. This Other Funds expenditure limitation request for the project is supported by this higher than projected asset forfeiture revenue.

Contract negotiations took place in December and provided more finalized project cost estimates. The current year-one cost, including implementation, hosting and maintenance is \$2,119,500. Total 5-year cost is estimated at \$3,142,500. This request for additional Other Funds expenditure limitation is supported by available and anticipated revenue and combined with the existing expenditure limitation, is projected to cover the 2017-19 cost for the CJC Case Management System.

Legal Reference: Increase Other Funds expenditure limitation established by chapter 505, section 2, Oregon Laws 2017, for the Criminal Justice Commission by \$450,000 for the 2017-19 biennium.



Oregon

Kate Brown, Governor

Criminal Justice Commission

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December 4, 2017

The Honorable Senator Richard Devlin, Co-Chair
The Honorable Representative Nancy Nathanson, Co-Chair
State Emergency Board
900 Court St. NE
H-178 Capitol
Salem, Oregon 97301-4048

Request for Increase in Other Fund Limitation

Dear Co-Chairpersons:

Nature of the Request:

The Criminal Justice Commission (CJC) respectfully requests an increase in Other Fund expenditure limitation of \$450,000. This is the total of the limitation needed for the remaining carryover of the existing Asset Forfeiture funds.

Agency Action:

On July 11, 2017, DAS issued an RFP on behalf of CJC for a case management system for specialty courts. In order to meet the obligations of ORS 137.680, a requisite of the solution is increased operational efficiencies through modern and improved technology systems which will reduce time and costs dedicated by specialty courts to data entry and system maintenance tasks. As important, the solution will sustain data collection for analysis and evaluation purposes, reporting, and program modification to be used locally and statewide. A shared statewide solution will create an opportunity for enterprise analysis of the reported information.

Currently CJC has funding built into the base budget through the \$775,000 General Fund and \$1,000,000 in Federal Fund. The Other Fund limitation would be included in this total for Year 1 implementation and be used going forward to sustain the maintenance annual maintenance costs.

As of November 2017, CJC has \$744,458 revenues in the OF account dedicated to drug courts. We received \$406,541 in limitation and have paid \$38,946 of that for Q1 RFRs. The remaining limitation will be put towards the courts, however that leaves us with a current balance of \$376,873 in funds that we have received but do not have limitation for. We expect to continue to receive payments and expect that we will have \$500,000 revenue that we do not have limitation for by the end of the biennium.

Michael Schmidt
Executive Director

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The project is through the RFP stage and an Intent to Award has been issued. CJC is also working with OSCIO in Stage Gate. Contract negotiations are going to be December 11 and 12. CJC will have a more final number at that time. The current cost proposal is as follows:

Year 1 Implementation, Hosting, Maintenance	\$2,716,500
Year 2 Hosting and Maintenance	\$147,000
Year 3 Hosting and Maintenance	\$294,000
Year 4 Hosting and Maintenance	\$385,560
Year 5 Hosting and Maintenance	\$393,347

The solution is evergreen and change orders are not expected. Yearly costs include inflation for an accurate reflections of approximate expenditures.

Action Requested:

The Criminal Justice Commission respectfully requests an increase in Other Funds expenditure limitation of \$450,000 to fund the Specialty Court Case Management System during the 2017-19 biennium.

Legislation Affected:

Chapter 505, Section 2, Oregon Laws 2017

Thank you for your consideration. If you have any questions, please contact Tiffany Quintero at 503-866-1006.

Sincerely,



Michael Schmidt
Executive Director, Criminal Justice Commission

cc: Ken Rocco, Legislative Fiscal Office
Julie Neburka, Legislative Fiscal Office
George Naughton, Chief Financial Office
Dustin Ball, Chief Financial Office
April McDonald, Chief Financial Office