

Requested by Representative BARKER

**PROPOSED AMENDMENTS TO  
SENATE BILL 1550**

1 On page 1 of the printed bill, line 3, delete “132.550, 135.405,”.

2 Delete lines 6 through 25 and delete pages 2 through 20 and insert:

3 **“SECTION 1. (1)(a) The judge charging the grand jury pursuant to**  
4 **ORS 132.070 shall instruct the grand jury that a certified shorthand**  
5 **reporter will be assigned to report on the proceedings of the grand jury**  
6 **described in section 2 of this 2016 Act. For purposes of this section, the**  
7 **proceedings of the grand jury do not include:**

8 **“(A) Nontestimonial matters;**

9 **“(B) A proceeding or portion of a proceeding that solely aids in the**  
10 **production of subpoenaed materials;**

11 **“(C) Grand jury deliberations or voting; or**

12 **“(D) Information not subject to release or disclosure as described**  
13 **in section 4 of this 2016 Act.**

14 **“(b) The court shall appoint a certified shorthand reporter, from**  
15 **funds appropriated for that purpose, who shall be permitted to attend**  
16 **all proceedings of the grand jury for the purpose of taking accurate**  
17 **notes by shorthand or by means of a mechanical or electronic typing**  
18 **device. The certified shorthand reporter shall be sworn to accurately**  
19 **report all proceedings of the grand jury described in section 2 of this**  
20 **2016 Act and to keep secret any information concerning the grand jury**  
21 **proceedings, except as ordered by the court.**

1       “(c) The Chief Justice of the Supreme Court shall establish policies  
2 and procedures to carry out the provisions of this section and sections  
3 2 and 3 of this 2016 Act. The policies and procedures may include es-  
4 tablishing additional requirements for certified shorthand reporters  
5 permitted to transcribe grand jury proceedings under this section.

6       “(d) The prosecuting attorney shall provide access to the premises  
7 in which the grand jury fulfills its obligations to the court and the  
8 certified shorthand reporter for the purpose of carrying out the pro-  
9 visions of this section and section 2 of this 2016 Act. The presiding  
10 judge of each judicial district may enter into an agreement with  
11 prosecuting attorneys that identifies the conditions and terms of ac-  
12 cess.

13       “(2) A failure of a certified shorthand reporter to accurately record  
14 all or part of a grand jury proceeding does not affect the validity of  
15 any prosecution or indictment.

16       “(3) This section and section 2 of this 2016 Act do not apply to grand  
17 jury proceedings under ORS 132.440 that inquire into conditions in  
18 correctional facilities and youth correction facilities.

19       “(4) As used in this section, ‘certified shorthand reporter’ means a  
20 person certified under ORS 8.415 to 8.455 who has passed a criminal  
21 background check.

22       “SECTION 2. (1) Except as provided in subsection (2) of this section,  
23 a certified shorthand reporter described in section 1 of this 2016 Act  
24 shall report all testimonial matters that come before the grand jury  
25 related to a particular case, including:

26       “(a) The case name and number;

27       “(b) The names of witnesses appearing before the grand jury;

28       “(c) Each question asked of a witness appearing to testify before the  
29 grand jury;

30       “(d) Each response given by a witness appearing to testify before

1 the grand jury; and

2 “(e) Any statements made by a grand juror as provided in ORS  
3 132.350 during the proceeding.

4 “(2) The certified shorthand reporter reporting a grand jury pro-  
5 ceeding may not report:

6 “(a) Nontestimonial matters;

7 “(b) A proceeding or portion of a proceeding that solely aids in the  
8 production of subpoenaed materials;

9 “(c) Grand jury deliberations or voting; or

10 “(d) Information not subject to release or disclosure as described in  
11 section 4 of this 2016 Act.

12 “(3) At the conclusion of a grand jury proceeding, the certified  
13 shorthand reporter reporting the grand jury proceeding shall certify  
14 that the report is a true and accurate report of the proceeding.

15 “(4) The certified shorthand reporter shall file reports of grand jury  
16 proceedings with the court.

17 **“SECTION 3. (1) Reports of grand jury proceedings produced pur-  
18 suant to sections 1 and 2 of this 2016 Act, and transcripts prepared  
19 from those reports, may not be released except as described in this  
20 section.**

21 “(2) When an indictment resulting from grand jury proceedings is  
22 indorsed ‘a true bill,’ a report or a transcript may be released only in  
23 the following manner:

24 “(a) When the defendant has been arraigned on the indictment, the  
25 prosecuting attorney and the defense attorney may obtain a copy of a  
26 report by filing a petition requesting the report and upon a court  
27 granting the petition by finding that there is a particularized need for  
28 the report. Unless the court orders otherwise, a person or entity may  
29 not copy, disseminate or republish the report released pursuant to this  
30 subsection except to provide a copy to an agent of the prosecuting

1 attorney, or to an agent of the defense attorney, for the limited pur-  
2 pose of case preparation. Nothing in this subsection prohibits a pros-  
3 ecuting attorney or defense attorney from having a victim or witness  
4 review, in the presence of the prosecuting attorney, the prosecuting  
5 attorney’s agent, the defense attorney or the defense attorney’s agent,  
6 the grand jury testimony of that victim or witness as reported or  
7 transcribed.

8 “(b) When the defendant has been arraigned but is not represented  
9 by an attorney, the defendant may petition the court for an order al-  
10 lowing the defendant to obtain a copy of the report upon the court  
11 finding that there is a particularized need for the report. The court  
12 may set conditions on the release of the report.

13 “(c) Unless otherwise ordered by the court or prohibited by law,  
14 upon a finding of a particularized need, the court shall make the re-  
15 port available to the prosecuting attorney and the defense attorney or  
16 defendant, subject to the restrictions provided for in this section and  
17 section 4 of this 2016 Act, as soon as practicable and within 10 days  
18 after the arraignment on the indictment, unless the court orders an  
19 extension of the time period for good cause.

20 “(d) If the court’s determination of whether a particularized need  
21 exists under this subsection requires that the court examine the re-  
22 port, or a transcript prepared from the report, of the grand jury pro-  
23 ceedings, the examination shall occur in camera outside the presence  
24 of the parties.

25 “(e) A petition to request a report of a grand jury proceeding, or a  
26 portion of a report, under this subsection must be filed no later than  
27 14 days before the first scheduled trial date unless good cause is shown  
28 by the petitioning party for the delay in filing the petition.

29 “(f) As used in this subsection:

30 “(A) ‘Agent’ means a person that has a fiduciary responsibility to

1 the prosecuting attorney or to the defense attorney. Under no cir-  
2 cumstances shall the defendant be considered an agent of the prose-  
3 cuting attorney or the defense attorney.

4 “(B) ‘Particularized need’ means:

5 “(i) The report of a grand jury proceeding is needed to avoid a  
6 manifest injustice, the need for the disclosure is substantially greater  
7 than the need for the secrecy of the grand jury proceedings and the  
8 request for the report is limited only to the portion or portions of the  
9 report necessary to avoid the manifest injustice; or

10 “(ii) The disclosure of the report is required by a constitutional or  
11 statutory provision of law.

12 “(3) When an indictment resulting from a grand jury proceeding is  
13 indorsed ‘not a true bill,’ the report of the grand jury proceeding  
14 produced pursuant to sections 1 and 2 of this 2016 Act may not be re-  
15 leased and shall remain sealed and preserved with the court.

16 “(4)(a) Except as provided in paragraph (b) of this subsection, when  
17 grand jury proceedings do not result in an indictment indorsed as ei-  
18 ther ‘a true bill’ or ‘not a true bill,’ the report of grand jury pro-  
19 ceedings produced pursuant to sections 1 and 2 of this 2016 Act may  
20 not be disclosed or released.

21 “(b)(A) When subsequent grand jury proceedings occur inquiring  
22 into the same criminal episode as the grand jury proceedings described  
23 in paragraph (a) of this subsection, and the subsequent proceedings  
24 result in an indictment indorsed as ‘a true bill,’ the prosecuting at-  
25 torney shall provide notice to the person charged in the indictment  
26 of the occurrence of the earlier grand jury proceedings. After the  
27 person is arraigned on the indictment, the report produced during the  
28 earlier grand jury proceedings may be obtained in the manner set  
29 forth in subsection (2) of this section.

30 “(B) As used in this paragraph, ‘criminal episode’ has the meaning

1 given that term in ORS 131.505.

2 “(5) The Chief Justice of the Supreme Court may establish a fee for  
3 the cost of providing a copy of a report of a grand jury proceeding to  
4 a person requesting a copy under this section. The fee must be waived  
5 when the requesting person is a prosecuting attorney, a defense at-  
6 torney or a defendant who is not represented by an attorney.

7 “(6) A report of a grand jury proceeding produced pursuant to  
8 sections 1 and 2 of this 2016 Act, or a transcript prepared from the  
9 report:

10 “(a) May not be used as evidence in any subsequent proceeding ex-  
11 cept in the criminal proceeding arising from the grand jury’s  
12 indictment following the grand jury proceeding, or in a subsequent  
13 proceeding against a properly joined codefendant, and only as permit-  
14 ted under ORS 40.375, 40.380, 40.450, 40.460 or 40.465.

15 “(b) May not be used to challenge the indorsement of an indictment  
16 ‘a true bill’ or the proceedings that led to the indorsement.

17 “(c) May not be used to challenge the validity of any prosecution  
18 or indictment based on the fact that the grand jury received evidence  
19 that would have been or was excluded at trial.

20 “(d) May be used as evidence in a prosecution for perjury or false  
21 swearing committed by a witness while giving testimony during the  
22 grand jury proceeding, trial or other proceeding involving a sworn  
23 statement.

24 “(7)(a) The report, or a transcript prepared from the report, of a  
25 grand jury proceeding is not considered discovery under ORS 135.805  
26 to 135.873 or any other discovery provision in state or federal law.

27 “(b) Nothing in this section or sections 1 and 2 of this 2016 Act shall  
28 be construed as creating a right for a defendant or a defense attorney  
29 to receive discovery, as described in ORS 135.805 to 135.873, prior to the  
30 indictment of the defendant.

1       **“(8) A certified shorthand reporter reporting grand jury proceedings**  
2 **under sections 1 and 2 of this 2016 Act is not subject to subpoena and**  
3 **may not disclose any information concerning the grand jury pro-**  
4 **ceedings without prior court order.**

5       **“SECTION 4. Notwithstanding section 3 of this 2016 Act, the fol-**  
6 **lowing information presented to a grand jury is not subject to release**  
7 **or disclosure in a report, transcript or other form:**

8       **“(1) Testimony concerning ‘protected health information’ as defined**  
9 **in 45 C.F.R. 160.103, unless relevant to a charge considered by the**  
10 **grand jury.**

11       **“(2) A witness’s address, telephone number or other information**  
12 **constituting personal identification as defined in ORS 165.800 other**  
13 **than the witness’s name.**

14       **“(3) The identity of any grand juror.**

15       **“(4) Financial records, or testimony concerning financial records,**  
16 **of a witness obtained pursuant to a subpoena issued under the au-**  
17 **thority of the grand jury.**

18       **“SECTION 5. ORS 132.090 is amended to read:**

19       **“132.090. (1) Except as provided in subsections (2) and (3) of this section**  
20 **and sections 1 and 2 of this 2016 Act, no person other than the district**  
21 **attorney or a witness actually under examination shall be present during the**  
22 **sittings of the grand jury.**

23       **“(2) Upon a motion filed by the district attorney in the circuit court, the**  
24 **circuit judge may appoint a** *[reporter who shall attend the sittings of the*  
25 *grand jury to take and report the testimony in any matters pending before the*  
26 *grand jury, and may appoint a]* **parent, guardian or other appropriate person**  
27 **18 years of age or older to accompany any child 12 years of age or younger,**  
28 **or any person with an intellectual disability, during an appearance before**  
29 **the grand jury. The circuit judge, upon the district attorney’s showing to the**  
30 **court that it is necessary for the proper examination of a witness appearing**

1 before the grand jury, may appoint a guard, medical or other special at-  
2 tendant or nurse, who shall be present in the grand jury room and shall at-  
3 tend such sittings.

4 “(3) The district attorney may designate an interpreter who is certified  
5 under ORS 45.291 to interpret the testimony of witnesses appearing before  
6 the grand jury. The district attorney may designate a qualified interpreter,  
7 as defined in ORS 45.288, if the circuit court determines that a certified in-  
8 terpreter is not available and that the person designated by the district at-  
9 torney is a qualified interpreter as defined in ORS 45.288. An interpreter  
10 designated under this subsection may be present in the grand jury room and  
11 attend the sittings of the grand jury.

12 “(4) No person other than members of the grand jury shall be present  
13 when the grand jury is deliberating or voting upon a matter before it.

14 “(5) As used in this section, ‘intellectual disability’ has the meaning given  
15 that term in ORS 427.005. Intellectual disability may be shown by attaching  
16 to the motion of the district attorney:

17 “(a) Documentary evidence of intellectual functioning; or

18 “(b) The affidavit of a qualified person familiar with the person with an  
19 intellectual disability. ‘Qualified person’ includes, but is not limited to, a  
20 teacher, therapist or physician.

21 **“SECTION 6.** ORS 132.320 is amended to read:

22 “132.320. (1) Except as provided in subsections (2) to [(12)] (13) of this  
23 section, in the investigation of a charge for the purpose of indictment, the  
24 grand jury shall receive no other evidence than such as might be given on  
25 the trial of the person charged with the crime in question.

26 “(2) A report or a copy of a report made by a physicist, chemist, medical  
27 examiner, physician, firearms identification expert, examiner of questioned  
28 documents, fingerprint technician, or an expert or technician in some com-  
29 parable scientific or professional field, concerning the results of an exam-  
30 ination, comparison or test performed by such person in connection with a

1 case which is the subject of a grand jury proceeding, shall, when certified  
2 by such person as a report made by such person or as a true copy thereof,  
3 be received in evidence in the grand jury proceeding.

4 “(3) An affidavit of a witness who is unable to appear before the grand  
5 jury shall be received in evidence in the grand jury proceeding if, upon ap-  
6 plication by the district attorney, the presiding judge for the judicial district  
7 in which the grand jury is sitting authorizes the receipt after good cause has  
8 been shown for the witness’ inability to appear. An affidavit taken in an-  
9 other state or territory of the United States, the District of Columbia or in  
10 a foreign country must be authenticated as provided in ORS chapter 194 be-  
11 fore it can be used in this state.

12 “(4) A grand jury that is investigating a charge of criminal driving while  
13 suspended or revoked under ORS 811.182 may receive in evidence an affidavit  
14 of a peace officer with a report or copy of a report of the peace officer con-  
15 cerning the peace officer’s investigation of the violation of ORS 811.182 by  
16 the defendant.

17 “(5) A grand jury may receive testimony of a witness by means of simul-  
18 taneous television transmission allowing the grand jury and district attorney  
19 to observe and communicate with the witness and the witness to observe and  
20 communicate with the grand jury and the district attorney.

21 “(6) A grand jury that is investigating a charge of failure to appear under  
22 ORS 133.076, 153.992, 162.195 or 162.205 may receive in evidence an affidavit  
23 of a court employee certifying that the defendant failed to appear as required  
24 by law and setting forth facts sufficient to support that conclusion.

25 “(7)(a) Except as otherwise provided in this subsection, a grand jury may  
26 receive in evidence through the testimony of one peace officer involved in  
27 the criminal investigation under grand jury inquiry information from an of-  
28 ficial report of another peace officer involved in the same criminal investi-  
29 gation concerning the other peace officer’s investigation of the matter before  
30 the grand jury. The statement of a person suspected of committing an offense

1 or inadmissible hearsay of persons other than the peace officer who compiled  
2 the official report may not be presented to a grand jury under this para-  
3 graph.

4 “(b) If the official report contains evidence other than chain of custody,  
5 venue or the name of the person suspected of committing an offense, the  
6 grand jurors must be notified that the evidence is being submitted by report  
7 and that the peace officer who compiled the report will be made available  
8 for testimony at the request of the grand jury. When a grand jury requests  
9 the testimony of a peace officer under this paragraph, the peace officer may  
10 present sworn testimony by telephone if requiring the peace officer’s pres-  
11 ence before the grand jury would constitute an undue hardship on the peace  
12 officer or the agency that employs or utilizes the peace officer.

13 “(8) A grand jury that is investigating a charge of failure to report as a  
14 sex offender under ORS 163A.040 may receive in evidence certified copies of  
15 the form required by ORS 163A.050 (2) and sex offender registration forms  
16 and an affidavit of a representative of the Oregon State Police, as keepers  
17 of the state’s sex offender registration records, certifying that the certified  
18 copies of the forms constitute the complete record for the defendant.

19 “(9) The grand jury shall weigh all the evidence submitted to it; and when  
20 it believes that other evidence within its reach will explain away the charge,  
21 it should order such evidence to be produced, and for that purpose may re-  
22 quire the district attorney to issue process for the witnesses.

23 “(10) A grand jury that is investigating a charge of driving while under  
24 the influence of intoxicants in violation of ORS 813.010 may receive in evi-  
25 dence an affidavit of a peace officer regarding any or all of the following:

26 “(a) Whether the defendant was driving.

27 “(b) Whether the defendant took or refused to take tests under any pro-  
28 vision of ORS chapter 813.

29 “(c) The administration of tests under any provision of ORS chapter 813  
30 and the results of such tests.

1       “(d) The officer’s observations of physical or mental impairment of the  
2 defendant.

3       “(11)(a) A grand jury may receive in evidence an affidavit of a represen-  
4 tative of a financial institution for the purpose of authenticating records of  
5 the financial institution.

6       “(b) As used in this subsection, ‘financial institution’ means a financial  
7 institution as defined in ORS 706.008, an entity that regularly issues, pro-  
8 cesses or services credit cards or any other comparable entity that regularly  
9 produces financial records.

10       “(12)(a) A defendant who has been arraigned on an information alleging  
11 a felony charge that is the subject of a grand jury proceeding and who is  
12 represented by an attorney has a right to appear before the grand jury as a  
13 witness if, prior to the filing of an indictment, the defense attorney serves  
14 upon the district attorney written notice requesting the appearance. The  
15 notice shall include an electronic mail address at which the defense attorney  
16 may be contacted.

17       “(b) A district attorney is not obligated to inform a defendant that a  
18 grand jury proceeding investigating charges against the defendant is pend-  
19 ing, in progress or about to occur.

20       “(c) Upon receipt of the written notice described in paragraph (a) of this  
21 subsection, the district attorney shall provide in writing the date, time and  
22 location of the defendant’s appearance before the grand jury to the defense  
23 attorney at the indicated electronic mail address. In the event of a schedul-  
24 ing conflict, the district attorney shall reasonably accommodate the sched-  
25 ules of the defendant and the defense attorney if the accommodation does  
26 not delay the grand jury proceeding beyond the time limit for holding a  
27 preliminary hearing described in ORS 135.070 (2).

28       “(d) Notwithstanding ORS 135.070 and paragraph (c) of this subsection,  
29 in order to accommodate a scheduling conflict, upon the request of the de-  
30 fendant the time limit for holding a preliminary hearing described in ORS

1 135.070 (2) may be extended by a maximum of an additional five judicial days  
2 and the district attorney and the defendant may stipulate to an extension  
3 of greater duration. During a period of delay caused by a scheduling conflict  
4 under this subsection, ORS 135.230 to 135.290 shall continue to apply con-  
5 cerning the custody status of the defendant.

6 **“(13) If a grand jury proceeding is subject to reporting pursuant to**  
7 **sections 1 and 2 of this 2016 Act, the grand jury may receive in evi-**  
8 **dence, and an indictment may be based in whole or in part upon, the**  
9 **sworn testimony of a peace officer as defined in ORS 161.015 who re-**  
10 **lates an out-of-court statement of a victim or witness that is offered**  
11 **for the truth of the matter asserted. In order for a peace officer to**  
12 **testify to a hearsay statement pursuant to this section, the officer**  
13 **must at the time of the proceeding have at least two years of law**  
14 **enforcement experience or have completed a training course, certified**  
15 **by the Department of Public Safety Standards and Training, that in-**  
16 **cludes training in investigating and reporting cases and testifying at**  
17 **grand jury proceedings.**

18 **“SECTION 7.** ORS 132.430 is amended to read:

19 “132.430. (1) When a person has been held to answer a criminal charge  
20 and the indictment in relation thereto is not found ‘a true bill,’ it must be  
21 indorsed ‘not a true bill,’ which indorsement must be signed by the foreman  
22 and filed with the clerk of the court, in whose office it shall remain a public  
23 record. In the case of an indictment not found ‘a true bill’ against a person  
24 not so held, the same[, *together with the minutes of the evidence in relation*  
25 *thereto,*] must be destroyed by the grand jury.

26 “(2) When an indictment indorsed ‘not a true bill’ has been filed with the  
27 clerk of the court, the effect thereof is to dismiss the charge; and the same  
28 cannot be again submitted to or inquired of by the grand jury unless the  
29 court so orders.

30 **“SECTION 8.** ORS 192.502 is amended to read:

1 “192.502. The following public records are exempt from disclosure under  
2 ORS 192.410 to 192.505:

3 “(1) Communications within a public body or between public bodies of an  
4 advisory nature to the extent that they cover other than purely factual ma-  
5 terials and are preliminary to any final agency determination of policy or  
6 action. This exemption shall not apply unless the public body shows that in  
7 the particular instance the public interest in encouraging frank communi-  
8 cation between officials and employees of public bodies clearly outweighs the  
9 public interest in disclosure.

10 “(2) Information of a personal nature such as but not limited to that kept  
11 in a personal, medical or similar file, if public disclosure would constitute  
12 an unreasonable invasion of privacy, unless the public interest by clear and  
13 convincing evidence requires disclosure in the particular instance. The party  
14 seeking disclosure shall have the burden of showing that public disclosure  
15 would not constitute an unreasonable invasion of privacy.

16 “(3) Upon compliance with ORS 192.437, public body employee or volun-  
17 teer residential addresses, residential telephone numbers, personal cellular  
18 telephone numbers, personal electronic mail addresses, driver license num-  
19 bers, employer-issued identification card numbers, emergency contact infor-  
20 mation, Social Security numbers, dates of birth and other telephone numbers  
21 contained in personnel records maintained by the public body that is the  
22 employer or the recipient of volunteer services. This exemption:

23 “(a) Does not apply to the addresses, dates of birth and telephone numbers  
24 of employees or volunteers who are elected officials, except that a judge or  
25 district attorney subject to election may seek to exempt the judge’s or dis-  
26 trict attorney’s address or telephone number, or both, under the terms of  
27 ORS 192.445;

28 “(b) Does not apply to employees or volunteers to the extent that the  
29 party seeking disclosure shows by clear and convincing evidence that the  
30 public interest requires disclosure in a particular instance pursuant to ORS

1 192.437;

2 “(c) Does not apply to a substitute teacher as defined in ORS 342.815  
3 when requested by a professional education association of which the substi-  
4 tute teacher may be a member; and

5 “(d) Does not relieve a public employer of any duty under ORS 243.650 to  
6 243.782.

7 “(4) Information submitted to a public body in confidence and not other-  
8 wise required by law to be submitted, where such information should rea-  
9 sonably be considered confidential, the public body has obliged itself in good  
10 faith not to disclose the information, and when the public interest would  
11 suffer by the disclosure.

12 “(5) Information or records of the Department of Corrections, including  
13 the State Board of Parole and Post-Prison Supervision, to the extent that  
14 disclosure would interfere with the rehabilitation of a person in custody of  
15 the department or substantially prejudice or prevent the carrying out of the  
16 functions of the department, if the public interest in confidentiality clearly  
17 outweighs the public interest in disclosure.

18 “(6) Records, reports and other information received or compiled by the  
19 Director of the Department of Consumer and Business Services in the ad-  
20 ministration of ORS chapters 723 and 725 not otherwise required by law to  
21 be made public, to the extent that the interests of lending institutions, their  
22 officers, employees and customers in preserving the confidentiality of such  
23 information outweighs the public interest in disclosure.

24 “(7) Reports made to or filed with the court under ORS 137.077 or 137.530.

25 “(8) Any public records or information the disclosure of which is prohib-  
26 ited by federal law or regulations.

27 “(9)(a) Public records or information the disclosure of which is prohibited  
28 or restricted or otherwise made confidential or privileged under Oregon law.

29 “(b) Subject to ORS 192.423, paragraph (a) of this subsection does not  
30 apply to factual information compiled in a public record when:

1       “(A) The basis for the claim of exemption is ORS 40.225;

2       “(B) The factual information is not prohibited from disclosure under any  
3 applicable state or federal law, regulation or court order and is not other-  
4 wise exempt from disclosure under ORS 192.410 to 192.505;

5       “(C) The factual information was compiled by or at the direction of an  
6 attorney as part of an investigation on behalf of the public body in response  
7 to information of possible wrongdoing by the public body;

8       “(D) The factual information was not compiled in preparation for liti-  
9 gation, arbitration or an administrative proceeding that was reasonably  
10 likely to be initiated or that has been initiated by or against the public body;  
11 and

12       “(E) The holder of the privilege under ORS 40.225 has made or authorized  
13 a public statement characterizing or partially disclosing the factual infor-  
14 mation compiled by or at the attorney’s direction.

15       “(10) Public records or information described in this section, furnished  
16 by the public body originally compiling, preparing or receiving them to any  
17 other public officer or public body in connection with performance of the  
18 duties of the recipient, if the considerations originally giving rise to the  
19 confidential or exempt nature of the public records or information remain  
20 applicable.

21       “(11) Records of the Energy Facility Siting Council concerning the review  
22 or approval of security programs pursuant to ORS 469.530.

23       “(12) Employee and retiree address, telephone number and other nonfi-  
24 nancial membership records and employee financial records maintained by  
25 the Public Employees Retirement System pursuant to ORS chapters 238 and  
26 238A.

27       “(13) Records of or submitted to the State Treasurer, the Oregon Invest-  
28 ment Council or the agents of the treasurer or the council relating to active  
29 or proposed publicly traded investments under ORS chapter 293, including  
30 but not limited to records regarding the acquisition, exchange or liquidation

1 of the investments. For the purposes of this subsection:

2 “(a) The exemption does not apply to:

3 “(A) Information in investment records solely related to the amount paid  
4 directly into an investment by, or returned from the investment directly to,  
5 the treasurer or council; or

6 “(B) The identity of the entity to which the amount was paid directly or  
7 from which the amount was received directly.

8 “(b) An investment in a publicly traded investment is no longer active  
9 when acquisition, exchange or liquidation of the investment has been con-  
10 cluded.

11 “(14)(a) Records of or submitted to the State Treasurer, the Oregon In-  
12 vestment Council, the Oregon Growth Board or the agents of the treasurer,  
13 council or board relating to actual or proposed investments under ORS  
14 chapter 293 or 348 in a privately placed investment fund or a private asset  
15 including but not limited to records regarding the solicitation, acquisition,  
16 deployment, exchange or liquidation of the investments including but not  
17 limited to:

18 “(A) Due diligence materials that are proprietary to an investment fund,  
19 to an asset ownership or to their respective investment vehicles.

20 “(B) Financial statements of an investment fund, an asset ownership or  
21 their respective investment vehicles.

22 “(C) Meeting materials of an investment fund, an asset ownership or their  
23 respective investment vehicles.

24 “(D) Records containing information regarding the portfolio positions in  
25 which an investment fund, an asset ownership or their respective investment  
26 vehicles invest.

27 “(E) Capital call and distribution notices of an investment fund, an asset  
28 ownership or their respective investment vehicles.

29 “(F) Investment agreements and related documents.

30 “(b) The exemption under this subsection does not apply to:

1 “(A) The name, address and vintage year of each privately placed invest-  
2 ment fund.

3 “(B) The dollar amount of the commitment made to each privately placed  
4 investment fund since inception of the fund.

5 “(C) The dollar amount of cash contributions made to each privately  
6 placed investment fund since inception of the fund.

7 “(D) The dollar amount, on a fiscal year-end basis, of cash distributions  
8 received by the State Treasurer, the Oregon Investment Council, the Oregon  
9 Growth Board or the agents of the treasurer, council or board from each  
10 privately placed investment fund.

11 “(E) The dollar amount, on a fiscal year-end basis, of the remaining value  
12 of assets in a privately placed investment fund attributable to an investment  
13 by the State Treasurer, the Oregon Investment Council, the Oregon Growth  
14 Board or the agents of the treasurer, council or board.

15 “(F) The net internal rate of return of each privately placed investment  
16 fund since inception of the fund.

17 “(G) The investment multiple of each privately placed investment fund  
18 since inception of the fund.

19 “(H) The dollar amount of the total management fees and costs paid on  
20 an annual fiscal year-end basis to each privately placed investment fund.

21 “(I) The dollar amount of cash profit received from each privately placed  
22 investment fund on a fiscal year-end basis.

23 “(15) The monthly reports prepared and submitted under ORS 293.761 and  
24 293.766 concerning the Public Employees Retirement Fund and the Industrial  
25 Accident Fund may be uniformly treated as exempt from disclosure for a  
26 period of up to 90 days after the end of the calendar quarter.

27 “(16) Reports of unclaimed property filed by the holders of such property  
28 to the extent permitted by ORS 98.352.

29 “(17)(a) The following records, communications and information submitted  
30 to the Oregon Business Development Commission, the Oregon Business De-

1 velopment Department, the State Department of Agriculture, the Oregon  
2 Growth Board, the Port of Portland or other ports as defined in ORS 777.005,  
3 or a county or city governing body and any board, department, commission,  
4 council or agency thereof, by applicants for investment funds, grants, loans,  
5 services or economic development moneys, support or assistance including,  
6 but not limited to, those described in ORS 285A.224:

7 “(A) Personal financial statements.

8 “(B) Financial statements of applicants.

9 “(C) Customer lists.

10 “(D) Information of an applicant pertaining to litigation to which the  
11 applicant is a party if the complaint has been filed, or if the complaint has  
12 not been filed, if the applicant shows that such litigation is reasonably likely  
13 to occur; this exemption does not apply to litigation which has been con-  
14 cluded, and nothing in this subparagraph shall limit any right or opportunity  
15 granted by discovery or deposition statutes to a party to litigation or po-  
16 tential litigation.

17 “(E) Production, sales and cost data.

18 “(F) Marketing strategy information that relates to applicant’s plan to  
19 address specific markets and applicant’s strategy regarding specific compet-  
20 itors.

21 “(b) The following records, communications and information submitted to  
22 the State Department of Energy by applicants for tax credits or for grants  
23 awarded under ORS 469B.256:

24 “(A) Personal financial statements.

25 “(B) Financial statements of applicants.

26 “(C) Customer lists.

27 “(D) Information of an applicant pertaining to litigation to which the  
28 applicant is a party if the complaint has been filed, or if the complaint has  
29 not been filed, if the applicant shows that such litigation is reasonably likely  
30 to occur; this exemption does not apply to litigation which has been con-

1 cluded, and nothing in this subparagraph shall limit any right or opportunity  
2 granted by discovery or deposition statutes to a party to litigation or po-  
3 tential litigation.

4 “(E) Production, sales and cost data.

5 “(F) Marketing strategy information that relates to applicant’s plan to  
6 address specific markets and applicant’s strategy regarding specific compet-  
7 itors.

8 “(18) Records, reports or returns submitted by private concerns or enter-  
9 prises required by law to be submitted to or inspected by a governmental  
10 body to allow it to determine the amount of any transient lodging tax pay-  
11 able and the amounts of such tax payable or paid, to the extent that such  
12 information is in a form which would permit identification of the individual  
13 concern or enterprise. Nothing in this subsection shall limit the use which  
14 can be made of such information for regulatory purposes or its admissibility  
15 in any enforcement proceedings. The public body shall notify the taxpayer  
16 of the delinquency immediately by certified mail. However, in the event that  
17 the payment or delivery of transient lodging taxes otherwise due to a public  
18 body is delinquent by over 60 days, the public body shall disclose, upon the  
19 request of any person, the following information:

20 “(a) The identity of the individual concern or enterprise that is delinquent  
21 over 60 days in the payment or delivery of the taxes.

22 “(b) The period for which the taxes are delinquent.

23 “(c) The actual, or estimated, amount of the delinquency.

24 “(19) All information supplied by a person under ORS 151.485 for the  
25 purpose of requesting appointed counsel, and all information supplied to the  
26 court from whatever source for the purpose of verifying the financial eligi-  
27 bility of a person pursuant to ORS 151.485.

28 “(20) Workers’ compensation claim records of the Department of Con-  
29 sumer and Business Services, except in accordance with rules adopted by the  
30 Director of the Department of Consumer and Business Services, in any of the

1 following circumstances:

2 “(a) When necessary for insurers, self-insured employers and third party  
3 claim administrators to process workers’ compensation claims.

4 “(b) When necessary for the director, other governmental agencies of this  
5 state or the United States to carry out their duties, functions or powers.

6 “(c) When the disclosure is made in such a manner that the disclosed in-  
7 formation cannot be used to identify any worker who is the subject of a  
8 claim.

9 “(d) When a worker or the worker’s representative requests review of the  
10 worker’s claim record.

11 “(21) Sensitive business records or financial or commercial information  
12 of the Oregon Health and Science University that is not customarily pro-  
13 vided to business competitors.

14 “(22) Records of Oregon Health and Science University regarding candi-  
15 dates for the position of president of the university.

16 “(23) The records of a library, including:

17 “(a) Circulation records, showing use of specific library material by a  
18 named person;

19 “(b) The name of a library patron together with the address or telephone  
20 number of the patron; and

21 “(c) The electronic mail address of a patron.

22 “(24) The following records, communications and information obtained by  
23 the Housing and Community Services Department in connection with the  
24 department’s monitoring or administration of financial assistance or of  
25 housing or other developments:

26 “(a) Personal and corporate financial statements and information, in-  
27 cluding tax returns.

28 “(b) Credit reports.

29 “(c) Project appraisals, excluding appraisals obtained in the course of  
30 transactions involving an interest in real estate that is acquired, leased,

1 rented, exchanged, transferred or otherwise disposed of as part of the project,  
2 but only after the transactions have closed and are concluded.

3 “(d) Market studies and analyses.

4 “(e) Articles of incorporation, partnership agreements and operating  
5 agreements.

6 “(f) Commitment letters.

7 “(g) Project pro forma statements.

8 “(h) Project cost certifications and cost data.

9 “(i) Audits.

10 “(j) Project tenant correspondence.

11 “(k) Personal information about a tenant.

12 “(L) Housing assistance payments.

13 “(25) Raster geographic information system (GIS) digital databases, pro-  
14 vided by private forestland owners or their representatives, voluntarily and  
15 in confidence to the State Forestry Department, that is not otherwise re-  
16 quired by law to be submitted.

17 “(26) Sensitive business, commercial or financial information furnished to  
18 or developed by a public body engaged in the business of providing electricity  
19 or electricity services, if the information is directly related to a transaction  
20 described in ORS 261.348, or if the information is directly related to a bid,  
21 proposal or negotiations for the sale or purchase of electricity or electricity  
22 services, and disclosure of the information would cause a competitive disad-  
23 vantage for the public body or its retail electricity customers. This sub-  
24 section does not apply to cost-of-service studies used in the development or  
25 review of generally applicable rate schedules.

26 “(27) Sensitive business, commercial or financial information furnished to  
27 or developed by the City of Klamath Falls, acting solely in connection with  
28 the ownership and operation of the Klamath Cogeneration Project, if the  
29 information is directly related to a transaction described in ORS 225.085 and  
30 disclosure of the information would cause a competitive disadvantage for the

1 Klamath Cogeneration Project. This subsection does not apply to cost-of-  
2 service studies used in the development or review of generally applicable rate  
3 schedules.

4 “(28) Personally identifiable information about customers of a municipal  
5 electric utility or a people’s utility district or the names, dates of birth,  
6 driver license numbers, telephone numbers, electronic mail addresses or So-  
7 cial Security numbers of customers who receive water, sewer or storm drain  
8 services from a public body as defined in ORS 174.109. The utility or district  
9 may release personally identifiable information about a customer, and a  
10 public body providing water, sewer or storm drain services may release the  
11 name, date of birth, driver license number, telephone number, electronic mail  
12 address or Social Security number of a customer, if the customer consents  
13 in writing or electronically, if the disclosure is necessary for the utility,  
14 district or other public body to render services to the customer, if the dis-  
15 closure is required pursuant to a court order or if the disclosure is otherwise  
16 required by federal or state law. The utility, district or other public body  
17 may charge as appropriate for the costs of providing such information. The  
18 utility, district or other public body may make customer records available  
19 to third party credit agencies on a regular basis in connection with the es-  
20 tablishment and management of customer accounts or in the event such ac-  
21 counts are delinquent.

22 “(29) A record of the street and number of an employee’s address submit-  
23 ted to a special district to obtain assistance in promoting an alternative to  
24 single occupant motor vehicle transportation.

25 “(30) Sensitive business records, capital development plans or financial  
26 or commercial information of Oregon Corrections Enterprises that is not  
27 customarily provided to business competitors.

28 “(31) Documents, materials or other information submitted to the Director  
29 of the Department of Consumer and Business Services in confidence by a  
30 state, federal, foreign or international regulatory or law enforcement agency

1 or by the National Association of Insurance Commissioners, its affiliates or  
2 subsidiaries under ORS 86A.095 to 86A.198, 697.005 to 697.095, 697.602 to  
3 697.842, 705.137, 717.200 to 717.320, 717.900 or 717.905, ORS chapter 59, 723,  
4 725 or 726, the Bank Act or the Insurance Code when:

5 “(a) The document, material or other information is received upon notice  
6 or with an understanding that it is confidential or privileged under the laws  
7 of the jurisdiction that is the source of the document, material or other in-  
8 formation; and

9 “(b) The director has obligated the Department of Consumer and Business  
10 Services not to disclose the document, material or other information.

11 “(32) A county elections security plan developed and filed under ORS  
12 254.074.

13 “(33) Information about review or approval of programs relating to the  
14 security of:

15 “(a) Generation, storage or conveyance of:

16 “(A) Electricity;

17 “(B) Gas in liquefied or gaseous form;

18 “(C) Hazardous substances as defined in ORS 453.005 (7)(a), (b) and (d);

19 “(D) Petroleum products;

20 “(E) Sewage; or

21 “(F) Water.

22 “(b) Telecommunication systems, including cellular, wireless or radio  
23 systems.

24 “(c) Data transmissions by whatever means provided.

25 “(34) The information specified in ORS 25.020 (8) if the Chief Justice of  
26 the Supreme Court designates the information as confidential by rule under  
27 ORS 1.002.

28 “(35)(a) Employer account records of the State Accident Insurance Fund  
29 Corporation.

30 “(b) As used in this subsection, ‘employer account records’ means all re-

1 cords maintained in any form that are specifically related to the account of  
2 any employer insured, previously insured or under consideration to be in-  
3 sured by the State Accident Insurance Fund Corporation and any informa-  
4 tion obtained or developed by the corporation in connection with providing,  
5 offering to provide or declining to provide insurance to a specific employer.  
6 ‘Employer account records’ includes, but is not limited to, an employer’s  
7 payroll records, premium payment history, payroll classifications, employee  
8 names and identification information, experience modification factors, loss  
9 experience and dividend payment history.

10 “(c) The exemption provided by this subsection may not serve as the basis  
11 for opposition to the discovery documents in litigation pursuant to applicable  
12 rules of civil procedure.

13 “(36)(a) Claimant files of the State Accident Insurance Fund Corporation.

14 “(b) As used in this subsection, ‘claimant files’ includes, but is not limited  
15 to, all records held by the corporation pertaining to a person who has made  
16 a claim, as defined in ORS 656.005, and all records pertaining to such a  
17 claim.

18 “(c) The exemption provided by this subsection may not serve as the basis  
19 for opposition to the discovery documents in litigation pursuant to applicable  
20 rules of civil procedure.

21 “(37) Except as authorized by ORS 408.425, records that certify or verify  
22 an individual’s discharge or other separation from military service.

23 “(38) Records of or submitted to a domestic violence service or resource  
24 center that relate to the name or personal information of an individual who  
25 visits a center for service, including the date of service, the type of service  
26 received, referrals or contact information or personal information of a family  
27 member of the individual. As used in this subsection, ‘domestic violence  
28 service or resource center’ means an entity, the primary purpose of which is  
29 to assist persons affected by domestic or sexual violence by providing refer-  
30 rals, resource information or other assistance specifically of benefit to do-

1 mestic or sexual violence victims.

2 “(39) Information reported to the Oregon Health Authority under ORS  
3 431A.860, except as provided in ORS 431A.860 (2)(b) information disclosed by  
4 the authority under ORS 431A.865 and any information related to disclosures  
5 made by the authority under ORS 431A.865, including information identifying  
6 the recipient of the information.

7 “(40)(a) Electronic mail addresses in the possession or custody of an  
8 agency or subdivision of the executive department, as defined in ORS 174.112,  
9 a local government or local service district, as defined in ORS 174.116, or a  
10 special government body, as defined in ORS 174.117.

11 “(b) This subsection does not apply to electronic mail addresses assigned  
12 by a public body to public employees for use by the employees in the ordi-  
13 nary course of their employment.

14 “(41) Residential addresses, residential telephone numbers, personal cel-  
15 lular telephone numbers, personal electronic mail addresses, driver license  
16 numbers, emergency contact information, Social Security numbers, dates of  
17 birth and other telephone numbers of individuals currently or previously  
18 certified or licensed by the Department of Public Safety Standards and  
19 Training contained in the records maintained by the department.

20 “(42) Personally identifiable information and contact information of vet-  
21 erans as defined in ORS 408.225 and of persons serving on active duty or as  
22 reserve members with the Armed Forces of the United States, National  
23 Guard or other reserve component that was obtained by the Department of  
24 Veterans’ Affairs in the course of performing its duties and functions, in-  
25 cluding but not limited to names, residential and employment addresses,  
26 dates of birth, driver license numbers, telephone numbers, electronic mail  
27 addresses, Social Security numbers, marital status, dependents, the character  
28 of discharge from military service, military rating or rank, that the person  
29 is a veteran or has provided military service, information relating to an ap-  
30 plication for or receipt of federal or state benefits, information relating to

1 the basis for receipt or denial of federal or state benefits and information  
2 relating to a home loan or grant application, including but not limited to  
3 financial information provided in connection with the application.

4 **“(43) A report, or a transcript prepared from a report, of a grand  
5 jury proceeding produced pursuant to sections 1 and 2 of this 2016 Act.**

6 **“SECTION 9. ORS 132.080 is repealed.**

7 **“SECTION 10. Sections 1 to 4 of this 2016 Act, the amendments to  
8 ORS 132.090, 132.320, 132.430 and 192.502 by sections 5 to 8 of this 2016  
9 Act and the repeal of ORS 132.080 by section 9 of this 2016 Act become  
10 operative on October 1, 2018.”.**

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