

A-Engrossed
Senate Bill 1550

Ordered by the Senate February 15
Including Senate Amendments dated February 15

Printed pursuant to Senate Interim Rule 213.28 by order of the President of the Senate in conformance with pre-session filing rules, indicating neither advocacy nor opposition on the part of the President (at the request of Senate Interim Committee on Judiciary)

SUMMARY

The following summary is not prepared by the sponsors of the measure and is not a part of the body thereof subject to consideration by the Legislative Assembly. It is an editor's brief statement of the essential features of the measure.

Directs presiding judges of judicial districts within state to ensure proceedings before grand jury are recorded. **Authorizes Chief Justice of Supreme Court to establish policies and procedures by rule or order to carry out recording requirement.** Provides for phase-in of recording process based on population of district. Requires audio recording by clerk of court or reporting by shorthand reporter. Specifies matters that must be recorded and matters that may not be recorded.

Creates procedures for release of audio recordings or shorthand reporter reports. Provides process **for prosecuting attorney** to request and enter protective order prohibiting or restricting access to recordings or reports. **Requires prosecuting attorney to describe specific portion of recording or report to be redacted.** Specifies when recording or report, or transcript prepared from recording or report, may be used in court proceedings.

Allows statements of certain persons to be received through testimony of peace officer.

Prohibits release of grand jury proceeding recordings, reports or transcripts as public record.

[Declares emergency, effective on passage.]

Takes effect on 91st day following adjournment sine die.

A BILL FOR AN ACT

1
2 Relating to recording of grand jury proceedings; creating new provisions; amending ORS 132.090,
3 132.320, 132.430, 132.550, 135.405 and 192.502; repealing ORS 132.080; and prescribing an effective
4 date.

5 **Be It Enacted by the People of the State of Oregon:**

6 **SECTION 1. (1)(a) The presiding judge of a judicial district with a population between**
7 **150,000 and 300,000 or over 700,000 shall ensure that proceedings before the grand jury are**
8 **recorded in the manner described in this section and section 2 of this 2016 Act.**

9 **(b) The court shall provide and maintain suitable audio electronic recording devices to**
10 **record grand jury proceedings.**

11 **(c) The Chief Justice of the Supreme Court shall designate the types of audio electronic**
12 **recording devices that are suitable for recording grand jury proceedings and may establish**
13 **policies and procedures by rule or order to carry out the provisions of this section and**
14 **sections 2 and 3 of this 2016 Act.**

15 **(d) The prosecuting attorney shall provide the court access to the premises in which the**
16 **grand jury fulfills its obligations and the recording equipment used to carry out the pro-**
17 **visions of this section and section 2 of this 2016 Act. The presiding judge of each judicial**
18 **district may enter into an agreement with the prosecuting attorney that identifies the con-**
19 **ditions and terms of access.**

20 **(2)(a) A clerk of the court shall be permitted to attend all proceedings of the grand jury**

NOTE: Matter in **boldfaced** type in an amended section is new; matter *[italic and bracketed]* is existing law to be omitted. New sections are in **boldfaced** type.

1 for the purpose of electronically recording the proceedings described in section 2 of this 2016
2 Act.

3 (b) Notwithstanding paragraph (a) of this subsection, the court may, upon request of the
4 prosecuting attorney or defense attorney, appoint a certified shorthand reporter as defined
5 in ORS 8.415 or a shorthand reporter certified by a national certification association, who
6 shall be permitted to attend all proceedings of the grand jury for the purpose of taking ac-
7 curate notes. The shorthand reporter's services shall be paid for by the party requesting the
8 shorthand reporter. The shorthand reporter shall be sworn to correctly report the pro-
9 ceedings of the grand jury described in section 2 of this 2016 Act and to keep secret any in-
10 formation concerning the grand jury proceedings.

11 (c) A clerk of the court or shorthand reporter is not subject to subpoena, and may not
12 disclose any information, concerning the grand jury proceedings without prior court order.

13 (3)(a) A failure of an audio electronic recording device to accurately record all or part
14 of a grand jury proceeding does not affect the validity of any prosecution or indictment.

15 (b) A failure of a clerk of the court to operate an audio electronic recording device in a
16 manner that accurately records all or part of a grand jury proceeding, as required, does not
17 affect the validity of any prosecution or indictment.

18 (c) A failure of a shorthand reporter to prepare accurate notes or an accurate report of
19 all or part of a grand jury proceeding, as required, does not affect the validity of any prose-
20 cution or indictment.

21 (4) This section and section 2 of this 2016 Act do not apply to grand jury proceedings
22 under ORS 132.440 that inquire into the condition and management of correctional facilities
23 and youth correction facilities.

24 **SECTION 2.** (1) Except as provided in subsection (2) of this section, the clerk of the court
25 described in section 1 (2)(a) of this 2016 Act, or the shorthand reporter described in section
26 1 (2)(b) of this 2016 Act, who is recording grand jury proceedings in a judicial district with a
27 population between 150,000 and 300,000 or over 700,000 shall record all matters that come
28 before the grand jury, including:

29 (a) The case name and number;

30 (b) The names of witnesses appearing before the grand jury;

31 (c) Each statement made or question asked by the prosecuting attorney; and

32 (d) Each question asked of, and each response given by, a witness who appears before the
33 grand jury.

34 (2) The clerk of the court operating the audio electronic recording device or the short-
35 hand reporter reporting the grand jury proceedings may not record or report:

36 (a) The deliberations or voting of the grand jury.

37 (b) A presentment made pursuant to ORS 132.370.

38 (c) Any statements made by a grand juror who is examined as a witness as provided in
39 ORS 132.350.

40 (d) A procedure related to the production of records, or the unsealing of records,
41 subpoenaed pursuant to ORS 136.583 and to be presented before the grand jury.

42 (3) The clerk of the court or the shorthand reporter shall file with the court the audio
43 recordings or the notes or reports of the shorthand reporter.

44 **SECTION 3.** (1) Audio recordings and shorthand reporter notes and reports produced
45 pursuant to sections 1 and 2 of this 2016 Act in a judicial district with a population between

1 150,000 and 300,000 or over 700,000 are confidential and may not be released except as de-
2 scribed in this section.

3 (2) When an indictment resulting from grand jury proceedings is indorsed "a true bill,"
4 the audio recording of the grand jury proceedings or the notes or report of a shorthand re-
5 porter may be released only in the following manner:

6 (a) The prosecuting attorney may obtain a copy of the audio recording, notes or report
7 at any time after the defendant's arraignment on the indictment.

8 (b) When the defendant has been arraigned on the indictment, the defense attorney may
9 obtain a copy of the audio recording, notes or report:

10 (A) After 10 days have passed since the defendant's arraignment on the indictment and
11 no motion described in subsection (3) of this section has been filed; or

12 (B) In accordance with the court's ruling on the motion described in subsection (3) of this
13 section, if a motion has been filed.

14 (c) Unless the court orders otherwise for good cause shown, the prosecuting attorney and
15 the defense attorney may not copy, disseminate or republish the audio recording, notes or
16 report, or a transcript prepared from the audio recording, notes or report released pursuant
17 to this subsection, except to provide a copy to an agent of the prosecuting attorney or de-
18 fense attorney for the limited purpose of case preparation. Unless a court orders otherwise
19 for good cause shown, in consulting with the defendant the defense attorney may not dis-
20 close:

21 (A) The address, telephone number, date of birth, driver license or vehicle registration
22 information of a victim, witness or grand juror, obtained from a recording, notes, report or
23 transcript; or

24 (B) Any portion of a recording, notes, report or transcript that contains the address,
25 telephone number, date of birth, driver license or vehicle registration information of a vic-
26 tim, witness or grand juror.

27 (d) The defense attorney may not provide a copy of the audio recording, notes or report,
28 or a transcript prepared from the audio recording, notes or report, to the defendant.

29 (e) When the defendant has been arraigned but is not represented by an attorney, the
30 defendant may request by motion that the court issue an order allowing the defendant access
31 to review the contents of the audio recording, notes or report. A copy of the motion must
32 be provided to the prosecuting attorney. The prosecuting attorney may request a hearing
33 on the motion within 10 days after receiving a copy. At the hearing, or in response to re-
34 ceiving the motion, the court may appoint counsel for the defendant for the limited purpose
35 of reviewing the audio records, notes or report and may set reasonable conditions on the
36 review of the audio recording, notes or report.

37 (3)(a) The prosecuting attorney may file a motion for a protective order within 10 days
38 after the defendant's arraignment on the indictment. The motion may be filed on behalf of
39 a victim or a witness. The prosecuting attorney may also file a motion for a protective order
40 within 10 days after receiving a motion described in subsection (2)(e) of this section.

41 (b) If the motion for a protective order requests that a portion of the audio recording,
42 notes or report be redacted, the motion must be accompanied by a specific description, in-
43 cluding the date and time, of the portion of the audio recording, notes or report to be
44 redacted.

45 (c) In response to a motion filed under this subsection, the court may order that the

1 defense attorney's or defendant's access to a copy of the audio recording, notes or report
2 be denied, restricted or deferred, or may make any other order, upon a finding of substantial
3 and compelling circumstances. In deciding whether to grant the motion and enter a protec-
4 tive order under this paragraph, the court may consider the following:

5 (A) Protection of witnesses and others from physical harm, threats of harm, bribes,
6 economic interference, reprisal and other forms of intimidation;

7 (B) Maintenance of secrecy regarding informants, as required for effective investigation
8 of criminal activity;

9 (C) Confidential information recognized under law, including the protection of confiden-
10 tial relationships and privileges and the contents of confidential records unrelated to a crime
11 alleged in the indictment; and

12 (D) Any other relevant considerations.

13 (d) The court may permit the evidence of substantial and compelling circumstances de-
14 scribed in paragraph (c) of this subsection to be made in the form of a written statement to
15 be inspected by the court only or by oral testimony given on the record.

16 (4)(a) Except as provided in paragraph (b) of this subsection, when grand jury proceedings
17 do not result in an indictment indorsed as either "a true bill" or "not a true bill," the audio
18 recording or the notes or report of a shorthand reporter, or a transcript prepared from the
19 audio recording, notes or report, produced pursuant to sections 1 and 2 of this 2016 Act may
20 not be disclosed or released.

21 (b) When subsequent grand jury proceedings occur inquiring into the same criminal epi-
22 sode as the grand jury proceedings described in paragraph (a) of this subsection, and the
23 subsequent proceedings result in an indictment indorsed as "a true bill," the prosecuting
24 attorney shall provide notice to the person charged in the indictment of the occurrence of
25 the earlier grand jury proceedings. After the person is arraigned on the indictment and the
26 time period described in subsection (2)(b) of this section has passed, the audio recording,
27 notes or report produced during the earlier grand jury proceedings may be obtained in the
28 manner set forth in subsection (2) of this section.

29 (c) As used in this subsection, "criminal episode" has the meaning given that term in
30 ORS 131.505.

31 (5) The Chief Justice of the Supreme Court may establish a fee for the cost of providing
32 a copy of any audio recording or shorthand reporter notes or report of a grand jury pro-
33 ceeding to a person requesting a copy under this section.

34 (6) An audio recording, notes, report or transcript of a grand jury proceeding obtained
35 pursuant to sections 1 and 2 of this 2016 Act:

36 (a) May not be used as evidence in any subsequent proceeding, except as permitted under
37 ORS 40.375, 40.380, 40.450, 40.460 or 40.465.

38 (b) May not be used to challenge the indorsement of an indictment "a true bill" or the
39 proceedings that led to the indorsement.

40 (c) May be used as evidence in a prosecution for perjury or false swearing committed by
41 a witness while giving testimony during the grand jury proceeding or during trial.

42 (d) May be used as evidence in a proceeding for contempt of court against a person al-
43 leged to have violated the terms of a court order concerning the audio recording, notes, re-
44 port or transcript.

45 (7) The release of audio recordings, notes or reports of grand jury proceedings under this

1 **section does not affect discovery obligations under ORS 135.805 to 135.873.**

2 **SECTION 4.** ORS 132.090 is amended to read:

3 132.090. (1) Except as provided in subsections (2) and (3) of this section **and sections 1 and 2**
4 **of this 2016 Act**, no person other than the district attorney or a witness actually under examination
5 shall be present during the sittings of the grand jury.

6 (2) Upon a motion filed by the district attorney in the circuit court, the circuit judge may ap-
7 point a reporter who shall attend the sittings of the grand jury to take and report the testimony in
8 any matters pending before the grand jury, and may appoint a parent, guardian or other appropriate
9 person 18 years of age or older to accompany any child 12 years of age or younger, or any person
10 with an intellectual disability, during an appearance before the grand jury. The circuit judge, upon
11 the district attorney's showing to the court that it is necessary for the proper examination of a
12 witness appearing before the grand jury, may appoint a guard, medical or other special attendant
13 or nurse, who shall be present in the grand jury room and shall attend such sittings.

14 (3) The district attorney may designate an interpreter who is certified under ORS 45.291 to in-
15 terpret the testimony of witnesses appearing before the grand jury. The district attorney may des-
16 ignate a qualified interpreter, as defined in ORS 45.288, if the circuit court determines that a
17 certified interpreter is not available and that the person designated by the district attorney is a
18 qualified interpreter as defined in ORS 45.288. An interpreter designated under this subsection may
19 be present in the grand jury room and attend the sittings of the grand jury.

20 (4) No person other than members of the grand jury shall be present when the grand jury is
21 deliberating or voting upon a matter before it.

22 (5) As used in this section, "intellectual disability" has the meaning given that term in ORS
23 427.005. Intellectual disability may be shown by attaching to the motion of the district attorney:

24 (a) Documentary evidence of intellectual functioning; or

25 (b) The affidavit of a qualified person familiar with the person with an intellectual disability.
26 "Qualified person" includes, but is not limited to, a teacher, therapist or physician.

27 **SECTION 5.** ORS 132.320 is amended to read:

28 132.320. (1) Except as provided in subsections (2) to [(12)] **(13)** of this section, in the investi-
29 gation of a charge for the purpose of indictment, the grand jury shall receive no other evidence than
30 such as might be given on the trial of the person charged with the crime in question.

31 (2) A report or a copy of a report made by a physicist, chemist, medical examiner, physician,
32 firearms identification expert, examiner of questioned documents, fingerprint technician, or an ex-
33 pert or technician in some comparable scientific or professional field, concerning the results of an
34 examination, comparison or test performed by such person in connection with a case which is the
35 subject of a grand jury proceeding, shall, when certified by such person as a report made by such
36 person or as a true copy thereof, be received in evidence in the grand jury proceeding.

37 (3) An affidavit of a witness who is unable to appear before the grand jury shall be received in
38 evidence in the grand jury proceeding if, upon application by the district attorney, the presiding
39 judge for the judicial district in which the grand jury is sitting authorizes the receipt after good
40 cause has been shown for the witness' inability to appear. An affidavit taken in another state or
41 territory of the United States, the District of Columbia or in a foreign country must be
42 authenticated as provided in ORS chapter 194 before it can be used in this state.

43 (4) A grand jury that is investigating a charge of criminal driving while suspended or revoked
44 under ORS 811.182 may receive in evidence an affidavit of a peace officer with a report or copy of
45 a report of the peace officer concerning the peace officer's investigation of the violation of ORS

1 811.182 by the defendant.

2 (5) A grand jury may receive testimony of a witness by means of simultaneous television trans-
3 mission allowing the grand jury and district attorney to observe and communicate with the witness
4 and the witness to observe and communicate with the grand jury and the district attorney.

5 (6) A grand jury that is investigating a charge of failure to appear under ORS 133.076, 153.992,
6 162.195 or 162.205 may receive in evidence an affidavit of a court employee certifying that the de-
7 fendant failed to appear as required by law and setting forth facts sufficient to support that con-
8 clusion.

9 (7)(a) Except as otherwise provided in this subsection, a grand jury may receive in evidence
10 through the testimony of one peace officer involved in the criminal investigation under grand jury
11 inquiry information from an official report of another peace officer involved in the same criminal
12 investigation concerning the other peace officer's investigation of the matter before the grand jury.
13 The statement of a person suspected of committing an offense or inadmissible hearsay of persons
14 other than the peace officer who compiled the official report may not be presented to a grand jury
15 under this paragraph.

16 (b) If the official report contains evidence other than chain of custody, venue or the name of the
17 person suspected of committing an offense, the grand jurors must be notified that the evidence is
18 being submitted by report and that the peace officer who compiled the report will be made available
19 for testimony at the request of the grand jury. When a grand jury requests the testimony of a peace
20 officer under this paragraph, the peace officer may present sworn testimony by telephone if requir-
21 ing the peace officer's presence before the grand jury would constitute an undue hardship on the
22 peace officer or the agency that employs or utilizes the peace officer.

23 (8) A grand jury that is investigating a charge of failure to report as a sex offender under ORS
24 163A.040 may receive in evidence certified copies of the form required by ORS 163A.050 (2) and sex
25 offender registration forms and an affidavit of a representative of the Oregon State Police, as
26 keepers of the state's sex offender registration records, certifying that the certified copies of the
27 forms constitute the complete record for the defendant.

28 (9) The grand jury shall weigh all the evidence submitted to it; and when it believes that other
29 evidence within its reach will explain away the charge, it should order such evidence to be
30 produced, and for that purpose may require the district attorney to issue process for the witnesses.

31 (10) A grand jury that is investigating a charge of driving while under the influence of
32 intoxicants in violation of ORS 813.010 may receive in evidence an affidavit of a peace officer re-
33 garding any or all of the following:

34 (a) Whether the defendant was driving.

35 (b) Whether the defendant took or refused to take tests under any provision of ORS chapter 813.

36 (c) The administration of tests under any provision of ORS chapter 813 and the results of such
37 tests.

38 (d) The officer's observations of physical or mental impairment of the defendant.

39 (11)(a) A grand jury may receive in evidence an affidavit of a representative of a financial in-
40 stitution for the purpose of authenticating records of the financial institution.

41 (b) As used in this subsection, "financial institution" means a financial institution as defined in
42 ORS 706.008, an entity that regularly issues, processes or services credit cards or any other com-
43 parable entity that regularly produces financial records.

44 (12)(a) A defendant who has been arraigned on an information alleging a felony charge that is
45 the subject of a grand jury proceeding and who is represented by an attorney has a right to appear

1 before the grand jury as a witness if, prior to the filing of an indictment, the defense attorney serves
2 upon the district attorney written notice requesting the appearance. The notice shall include an
3 electronic mail address at which the defense attorney may be contacted.

4 (b) A district attorney is not obligated to inform a defendant that a grand jury proceeding in-
5 vestigating charges against the defendant is pending, in progress or about to occur.

6 (c) Upon receipt of the written notice described in paragraph (a) of this subsection, the district
7 attorney shall provide in writing the date, time and location of the defendant's appearance before
8 the grand jury to the defense attorney at the indicated electronic mail address. In the event of a
9 scheduling conflict, the district attorney shall reasonably accommodate the schedules of the de-
10 fendant and the defense attorney if the accommodation does not delay the grand jury proceeding
11 beyond the time limit for holding a preliminary hearing described in ORS 135.070 (2).

12 (d) Notwithstanding ORS 135.070 and paragraph (c) of this subsection, in order to accommodate
13 a scheduling conflict, upon the request of the defendant the time limit for holding a preliminary
14 hearing described in ORS 135.070 (2) may be extended by a maximum of an additional five judicial
15 days and the district attorney and the defendant may stipulate to an extension of greater duration.
16 During a period of delay caused by a scheduling conflict under this subsection, ORS 135.230 to
17 135.290 shall continue to apply concerning the custody status of the defendant.

18 **(13) A grand jury in a judicial district with a population between 150,000 and 300,000 or**
19 **over 700,000, the proceedings of which are recorded pursuant to sections 1 and 2 of this 2016**
20 **Act, may receive in evidence, through the testimony of a peace officer involved in the crim-**
21 **inal investigation under grand jury inquiry, the statement of:**

22 (a) **A person who cannot readily understand the proceedings, or who cannot communicate**
23 **in the proceedings, because of a physical disability or developmental disability;**

24 (b) **A victim under 18 years of age at the time of the proceedings; or**

25 (c) **A person whose statement would, if offered at trial, be admissible under ORS 40.460.**

26 **SECTION 6.** ORS 132.430 is amended to read:

27 132.430. (1) When a person has been held to answer a criminal charge and the indictment in
28 relation thereto is not found "a true bill," [it] **the indictment** must be indorsed "not a true bill,"
29 which indorsement must be signed by the foreman and filed with the clerk of the court, in whose
30 office it shall remain a public record. In the case of an indictment not found "a true bill" against
31 a person not so held, the [*same, together with the minutes of the evidence in relation thereto,*]
32 **indictment** must be destroyed by the grand jury.

33 (2) When an indictment indorsed "not a true bill" has been filed with the clerk of the court, the
34 effect thereof is to dismiss the charge; and the same cannot be again submitted to or inquired of by
35 the grand jury unless the court so orders.

36 **SECTION 7.** ORS 132.550 is amended to read:

37 132.550. The indictment shall contain substantially the following:

38 (1) The name of the circuit court in which it is filed;

39 (2) The title of the action;

40 (3) A statement that the grand jury accuses the defendant or defendants of the designated of-
41 fense or offenses;

42 (4) A separate accusation or count addressed to each offense charged, if there be more than one;

43 (5) A statement in each count that the offense charged therein was committed in a designated
44 county;

45 (6) A statement in each count that the offense charged therein was committed on, or on or

1 about, a designated date, or during a designated period of time;

2 (7) A statement of the acts constituting the offense in ordinary and concise language, without
3 repetition, and in such manner as to enable a person of common understanding to know what is in-
4 tended;

5 **(8) The dates of all grand jury proceedings related to the offense or offenses charged;**

6 [(8)] (9) The signatures of the foreman and of the district attorney; and

7 [(9)] (10) The date the indictment is filed with the clerk of the court.

8 **SECTION 8.** ORS 135.405 is amended to read:

9 135.405. (1) In cases in which it appears that the interest of the public in the effective adminis-
10 tration of criminal justice would thereby be served, and in accordance with the criteria set forth in
11 ORS 135.415, the district attorney may engage in plea discussions for the purpose of reaching a plea
12 agreement.

13 (2) The district attorney shall engage in plea discussions or reach a plea agreement with the
14 defendant only through defense counsel, except when, as a matter of record, the defendant has ef-
15 fectively waived the right of the defendant to counsel or, if the defendant is not eligible for ap-
16 pointed counsel, has not retained counsel.

17 (3) The district attorney in reaching a plea agreement may agree to, but is not limited to, one
18 or more of the following, as required by the circumstances of the individual case:

19 (a) To make or not to oppose favorable recommendations as to the sentence which should be
20 imposed if the defendant enters a plea of guilty or no contest to the offense charged;

21 (b) To seek or not to oppose dismissal of the offense charged if the defendant enters a plea of
22 guilty or no contest to another offense reasonably related to the defendant's conduct; or

23 (c) To seek or not to oppose dismissal of other charges or to refrain from bringing potential
24 charges if the defendant enters a plea of guilty or no contest to the offense charged.

25 (4) Similarly situated defendants should be afforded equal plea agreement opportunities.

26 (5) The district attorney may not condition a plea offer on a requirement that the defendant
27 waive:

28 (a) The disclosure obligation of ORS 135.815 (1)(g)[.]; or

29 **(b) The ability to receive the audio recording or notes or report of grand jury proceedings**
30 **as permitted under section 3 of this 2016 Act, if the indictment has been indorsed "a true**
31 **bill."**

32 (6)(a) A district attorney may provide a plea offer and agreed disposition recommendation to the
33 defendant at the time of arraignment or first appearance of the defendant for a crime in open court
34 under an early disposition program established under ORS 135.941.

35 (b) Unless extended by the court, a plea offer and agreed disposition recommendation made un-
36 der paragraph (a) of this subsection expire upon completion of the arraignment. Except for good
37 cause, a court may not extend a plea offer and agreed disposition recommendation under this para-
38 graph for more than seven days for a misdemeanor or 21 days for a felony.

39 **SECTION 9.** Section 1 of this 2016 Act is amended to read:

40 **Sec. 1.** (1)(a) The presiding judge of a judicial district [*with a population between 150,000 and*
41 *300,000 or over 700,000*] shall ensure that proceedings before the grand jury are recorded in the
42 manner described in this section and section 2 of this 2016 Act.

43 (b) The court shall provide and maintain suitable audio electronic recording devices to record
44 grand jury proceedings.

45 (c) The Chief Justice of the Supreme Court shall designate the types of audio electronic re-

1 cording devices that are suitable for recording grand jury proceedings and may establish policies
2 and procedures by rule or order to carry out the provisions of this section and sections 2 and 3 of
3 this 2016 Act.

4 (d) The prosecuting attorney shall provide the court access to the premises in which the grand
5 jury fulfills its obligations and the recording equipment used to carry out the provisions of this
6 section and section 2 of this 2016 Act. The presiding judge of each judicial district may enter into
7 an agreement with the prosecuting attorney that identifies the conditions and terms of access.

8 (2)(a) A clerk of the court shall be permitted to attend all proceedings of the grand jury for the
9 purpose of electronically recording the proceedings described in section 2 of this 2016 Act.

10 (b) Notwithstanding paragraph (a) of this subsection, the court may, upon request of the prose-
11 cuting attorney or defense attorney, appoint a certified shorthand reporter as defined in ORS 8.415
12 or a shorthand reporter certified by a national certification association, who shall be permitted to
13 attend all proceedings of the grand jury for the purpose of taking accurate notes. The shorthand
14 reporter's services shall be paid for by the party requesting the shorthand reporter. The shorthand
15 reporter shall be sworn to correctly report the proceedings of the grand jury described in section
16 2 of this 2016 Act and to keep secret any information concerning the grand jury proceedings.

17 (c) A clerk of the court or shorthand reporter is not subject to subpoena, and may not disclose
18 any information, concerning the grand jury proceedings without prior court order.

19 (3)(a) A failure of an audio electronic recording device to accurately record all or part of a
20 grand jury proceeding does not affect the validity of any prosecution or indictment.

21 (b) A failure of a clerk of the court to operate an audio electronic recording device in a manner
22 that accurately records all or part of a grand jury proceeding, as required, does not affect the va-
23 lidity of any prosecution or indictment.

24 (c) A failure of a shorthand reporter to prepare accurate notes or an accurate report of all or
25 part of a grand jury proceeding, as required, does not affect the validity of any prosecution or
26 indictment.

27 (4) This section and section 2 of this 2016 Act do not apply to grand jury proceedings under
28 ORS 132.440 that inquire into the condition and management of correctional facilities and youth
29 correction facilities.

30 **SECTION 10.** Section 2 of this 2016 Act is amended to read:

31 **Sec. 2.** (1) Except as provided in subsection (2) of this section, the clerk of the court described
32 in section 1 (2)(a) of this 2016 Act, or the shorthand reporter described in section 1 (2)(b) of this 2016
33 Act, who is recording grand jury proceedings [*in a judicial district with a population between 150,000*
34 *and 300,000 or over 700,000*] shall record all matters that come before the grand jury, including:

35 (a) The case name and number;

36 (b) The names of witnesses appearing before the grand jury;

37 (c) Each statement made or question asked by the prosecuting attorney; and

38 (d) Each question asked of, and each response given by, a witness who appears before the grand
39 jury.

40 (2) The clerk of the court operating the audio electronic recording device or the shorthand re-
41 porter reporting the grand jury proceedings may not record or report:

42 (a) The deliberations or voting of the grand jury.

43 (b) A presentment made pursuant to ORS 132.370.

44 (c) Any statements made by a grand juror who is examined as a witness as provided in ORS
45 132.350.

1 (d) A procedure related to the production of records, or the unsealing of records, subpoenaed
2 pursuant to ORS 136.583 and to be presented before the grand jury.

3 (3) The clerk of the court or the shorthand reporter shall file with the court the audio re-
4 cordings or the notes or reports of the shorthand reporter.

5 **SECTION 11.** Section 3 of this 2016 Act is amended to read:

6 **Sec. 3.** (1) Audio recordings and shorthand reporter notes and reports produced pursuant to
7 sections 1 and 2 of this 2016 Act [*in a judicial district with a population between 150,000 and 300,000*
8 *or over 700,000*] are confidential and may not be released except as described in this section.

9 (2) When an indictment resulting from grand jury proceedings is indorsed “a true bill,” the audio
10 recording of the grand jury proceedings or the notes or report of a shorthand reporter may be re-
11 leased only in the following manner:

12 (a) The prosecuting attorney may obtain a copy of the audio recording, notes or report at any
13 time after the defendant’s arraignment on the indictment.

14 (b) When the defendant has been arraigned on the indictment, the defense attorney may obtain
15 a copy of the audio recording, notes or report:

16 (A) After 10 days have passed since the defendant’s arraignment on the indictment and no mo-
17 tion described in subsection (3) of this section has been filed; or

18 (B) In accordance with the court’s ruling on the motion described in subsection (3) of this sec-
19 tion, if a motion has been filed.

20 (c) Unless the court orders otherwise for good cause shown, the prosecuting attorney and the
21 defense attorney may not copy, disseminate or republish the audio recording, notes or report, or a
22 transcript prepared from the audio recording, notes or report released pursuant to this subsection,
23 except to provide a copy to an agent of the prosecuting attorney or defense attorney for the limited
24 purpose of case preparation. Unless a court orders otherwise for good cause shown, in consulting
25 with the defendant the defense attorney may not disclose:

26 (A) The address, telephone number, date of birth, driver license or vehicle registration infor-
27 mation of a victim, witness or grand juror, obtained from a recording, notes, report or transcript;
28 or

29 (B) Any portion of a recording, notes, report or transcript that contains the address, telephone
30 number, date of birth, driver license or vehicle registration information of a victim, witness or grand
31 juror.

32 (d) The defense attorney may not provide a copy of the audio recording, notes or report, or a
33 transcript prepared from the audio recording, notes or report, to the defendant.

34 (e) When the defendant has been arraigned but is not represented by an attorney, the defendant
35 may request by motion that the court issue an order allowing the defendant access to review the
36 contents of the audio recording, notes or report. A copy of the motion must be provided to the
37 prosecuting attorney. The prosecuting attorney may request a hearing on the motion within 10 days
38 after receiving a copy. At the hearing, or in response to receiving the motion, the court may appoint
39 counsel for the defendant for the limited purpose of reviewing the audio records, notes or report and
40 may set reasonable conditions on the review of the audio recording, notes or report.

41 (3)(a) The prosecuting attorney may file a motion for a protective order within 10 days after the
42 defendant’s arraignment on the indictment. The motion may be filed on behalf of a victim or a wit-
43 ness. The prosecuting attorney may also file a motion for a protective order within 10 days after
44 receiving a motion described in subsection (2)(e) of this section.

45 (b) If the motion for a protective order requests that a portion of the audio recording, notes or

1 report be redacted, the motion must be accompanied by a specific description, including the date and
2 time, of the portion of the audio recording, notes or report to be redacted.

3 (c) In response to a motion filed under this subsection, the court may order that the defense
4 attorney's or defendant's access to a copy of the audio recording, notes or report be denied, re-
5 stricted or deferred, or may make any other order, upon a finding of substantial and compelling
6 circumstances. In deciding whether to grant the motion and enter a protective order under this
7 paragraph, the court may consider the following:

8 (A) Protection of witnesses and others from physical harm, threats of harm, bribes, economic
9 interference, reprisal and other forms of intimidation;

10 (B) Maintenance of secrecy regarding informants, as required for effective investigation of
11 criminal activity;

12 (C) Confidential information recognized under law, including the protection of confidential re-
13 lationships and privileges and the contents of confidential records unrelated to a crime alleged in
14 the indictment; and

15 (D) Any other relevant considerations.

16 (d) The court may permit the evidence of substantial and compelling circumstances described in
17 paragraph (c) of this subsection to be made in the form of a written statement to be inspected by
18 the court only or by oral testimony given on the record.

19 (4)(a) Except as provided in paragraph (b) of this subsection, when grand jury proceedings do
20 not result in an indictment indorsed as either "a true bill" or "not a true bill," the audio recording
21 or the notes or report of a shorthand reporter, or a transcript prepared from the audio recording,
22 notes or report, produced pursuant to sections 1 and 2 of this 2016 Act may not be disclosed or re-
23 leased.

24 (b) When subsequent grand jury proceedings occur inquiring into the same criminal episode as
25 the grand jury proceedings described in paragraph (a) of this subsection, and the subsequent pro-
26 ceedings result in an indictment indorsed as "a true bill," the prosecuting attorney shall provide
27 notice to the person charged in the indictment of the occurrence of the earlier grand jury pro-
28 ceedings. After the person is arraigned on the indictment and the time period described in sub-
29 section (2)(b) of this section has passed, the audio recording, notes or report produced during the
30 earlier grand jury proceedings may be obtained in the manner set forth in subsection (2) of this
31 section.

32 (c) As used in this subsection, "criminal episode" has the meaning given that term in ORS
33 131.505.

34 (5) The Chief Justice of the Supreme Court may establish a fee for the cost of providing a copy
35 of any audio recording or shorthand reporter notes or report of a grand jury proceeding to a person
36 requesting a copy under this section.

37 (6) An audio recording, notes, report or transcript of a grand jury proceeding obtained pursuant
38 to sections 1 and 2 of this 2016 Act:

39 (a) May not be used as evidence in any subsequent proceeding, except as permitted under ORS
40 40.375, 40.380, 40.450, 40.460 or 40.465.

41 (b) May not be used to challenge the indorsement of an indictment "a true bill" or the pro-
42 ceedings that led to the indorsement.

43 (c) May be used as evidence in a prosecution for perjury or false swearing committed by a wit-
44 ness while giving testimony during the grand jury proceeding or during trial.

45 (d) May be used as evidence in a proceeding for contempt of court against a person alleged to

1 have violated the terms of a court order concerning the audio recording, notes, report or transcript.

2 (7) The release of audio recordings, notes or reports of grand jury proceedings under this section
3 does not affect discovery obligations under ORS 135.805 to 135.873.

4 **SECTION 12.** ORS 132.090, as amended by section 4 of this 2016 Act, is amended to read:

5 132.090. (1) Except as provided in subsections (2) and (3) of this section and sections 1 and 2 of
6 this 2016 Act, no person other than the district attorney or a witness actually under examination
7 shall be present during the sittings of the grand jury.

8 (2) Upon a motion filed by the district attorney in the circuit court, the circuit judge may ap-
9 point [*a reporter who shall attend the sittings of the grand jury to take and report the testimony in any*
10 *matters pending before the grand jury, and may appoint*] a parent, guardian or other appropriate
11 person 18 years of age or older to accompany any child 12 years of age or younger, or any person
12 with an intellectual disability, during an appearance before the grand jury. The circuit judge, upon
13 the district attorney's showing to the court that it is necessary for the proper examination of a
14 witness appearing before the grand jury, may appoint a guard, medical or other special attendant
15 or nurse, who shall be present in the grand jury room and shall attend such sittings.

16 (3) The district attorney may designate an interpreter who is certified under ORS 45.291 to in-
17 terpret the testimony of witnesses appearing before the grand jury. The district attorney may des-
18 ignate a qualified interpreter, as defined in ORS 45.288, if the circuit court determines that a
19 certified interpreter is not available and that the person designated by the district attorney is a
20 qualified interpreter as defined in ORS 45.288. An interpreter designated under this subsection may
21 be present in the grand jury room and attend the sittings of the grand jury.

22 (4) No person other than members of the grand jury shall be present when the grand jury is
23 deliberating or voting upon a matter before it.

24 (5) As used in this section, "intellectual disability" has the meaning given that term in ORS
25 427.005. Intellectual disability may be shown by attaching to the motion of the district attorney:

26 (a) Documentary evidence of intellectual functioning; or

27 (b) The affidavit of a qualified person familiar with the person with an intellectual disability.
28 "Qualified person" includes, but is not limited to, a teacher, therapist or physician.

29 **SECTION 13.** ORS 132.320, as amended by section 5 of this 2016 Act, is amended to read:

30 132.320. (1) Except as provided in subsections (2) to (13) of this section, in the investigation of
31 a charge for the purpose of indictment, the grand jury shall receive no other evidence than such
32 as might be given on the trial of the person charged with the crime in question.

33 (2) A report or a copy of a report made by a physicist, chemist, medical examiner, physician,
34 firearms identification expert, examiner of questioned documents, fingerprint technician, or an ex-
35 pert or technician in some comparable scientific or professional field, concerning the results of an
36 examination, comparison or test performed by such person in connection with a case which is the
37 subject of a grand jury proceeding, shall, when certified by such person as a report made by such
38 person or as a true copy thereof, be received in evidence in the grand jury proceeding.

39 (3) An affidavit of a witness who is unable to appear before the grand jury shall be received in
40 evidence in the grand jury proceeding if, upon application by the district attorney, the presiding
41 judge for the judicial district in which the grand jury is sitting authorizes the receipt after good
42 cause has been shown for the witness' inability to appear. An affidavit taken in another state or
43 territory of the United States, the District of Columbia or in a foreign country must be
44 authenticated as provided in ORS chapter 194 before it can be used in this state.

45 (4) A grand jury that is investigating a charge of criminal driving while suspended or revoked

1 under ORS 811.182 may receive in evidence an affidavit of a peace officer with a report or copy of
2 a report of the peace officer concerning the peace officer's investigation of the violation of ORS
3 811.182 by the defendant.

4 (5) A grand jury may receive testimony of a witness by means of simultaneous television trans-
5 mission allowing the grand jury and district attorney to observe and communicate with the witness
6 and the witness to observe and communicate with the grand jury and the district attorney.

7 (6) A grand jury that is investigating a charge of failure to appear under ORS 133.076, 153.992,
8 162.195 or 162.205 may receive in evidence an affidavit of a court employee certifying that the de-
9 fendant failed to appear as required by law and setting forth facts sufficient to support that con-
10 clusion.

11 (7)(a) Except as otherwise provided in this subsection, a grand jury may receive in evidence
12 through the testimony of one peace officer involved in the criminal investigation under grand jury
13 inquiry information from an official report of another peace officer involved in the same criminal
14 investigation concerning the other peace officer's investigation of the matter before the grand jury.
15 The statement of a person suspected of committing an offense or inadmissible hearsay of persons
16 other than the peace officer who compiled the official report may not be presented to a grand jury
17 under this paragraph.

18 (b) If the official report contains evidence other than chain of custody, venue or the name of the
19 person suspected of committing an offense, the grand jurors must be notified that the evidence is
20 being submitted by report and that the peace officer who compiled the report will be made available
21 for testimony at the request of the grand jury. When a grand jury requests the testimony of a peace
22 officer under this paragraph, the peace officer may present sworn testimony by telephone if requir-
23 ing the peace officer's presence before the grand jury would constitute an undue hardship on the
24 peace officer or the agency that employs or utilizes the peace officer.

25 (8) A grand jury that is investigating a charge of failure to report as a sex offender under ORS
26 163A.040 may receive in evidence certified copies of the form required by ORS 163A.050 (2) and sex
27 offender registration forms and an affidavit of a representative of the Oregon State Police, as
28 keepers of the state's sex offender registration records, certifying that the certified copies of the
29 forms constitute the complete record for the defendant.

30 (9) The grand jury shall weigh all the evidence submitted to it; and when it believes that other
31 evidence within its reach will explain away the charge, it should order such evidence to be
32 produced, and for that purpose may require the district attorney to issue process for the witnesses.

33 (10) A grand jury that is investigating a charge of driving while under the influence of
34 intoxicants in violation of ORS 813.010 may receive in evidence an affidavit of a peace officer re-
35 garding any or all of the following:

36 (a) Whether the defendant was driving.

37 (b) Whether the defendant took or refused to take tests under any provision of ORS chapter 813.

38 (c) The administration of tests under any provision of ORS chapter 813 and the results of such
39 tests.

40 (d) The officer's observations of physical or mental impairment of the defendant.

41 (11)(a) A grand jury may receive in evidence an affidavit of a representative of a financial in-
42 stitution for the purpose of authenticating records of the financial institution.

43 (b) As used in this subsection, "financial institution" means a financial institution as defined in
44 ORS 706.008, an entity that regularly issues, processes or services credit cards or any other com-
45 parable entity that regularly produces financial records.

1 (12)(a) A defendant who has been arraigned on an information alleging a felony charge that is
2 the subject of a grand jury proceeding and who is represented by an attorney has a right to appear
3 before the grand jury as a witness if, prior to the filing of an indictment, the defense attorney serves
4 upon the district attorney written notice requesting the appearance. The notice shall include an
5 electronic mail address at which the defense attorney may be contacted.

6 (b) A district attorney is not obligated to inform a defendant that a grand jury proceeding in-
7 vestigating charges against the defendant is pending, in progress or about to occur.

8 (c) Upon receipt of the written notice described in paragraph (a) of this subsection, the district
9 attorney shall provide in writing the date, time and location of the defendant's appearance before
10 the grand jury to the defense attorney at the indicated electronic mail address. In the event of a
11 scheduling conflict, the district attorney shall reasonably accommodate the schedules of the de-
12 fendant and the defense attorney if the accommodation does not delay the grand jury proceeding
13 beyond the time limit for holding a preliminary hearing described in ORS 135.070 (2).

14 (d) Notwithstanding ORS 135.070 and paragraph (c) of this subsection, in order to accommodate
15 a scheduling conflict, upon the request of the defendant the time limit for holding a preliminary
16 hearing described in ORS 135.070 (2) may be extended by a maximum of an additional five judicial
17 days and the district attorney and the defendant may stipulate to an extension of greater duration.
18 During a period of delay caused by a scheduling conflict under this subsection, ORS 135.230 to
19 135.290 shall continue to apply concerning the custody status of the defendant.

20 (13) A grand jury *[in a judicial district with a population between 150,000 and 300,000 or over*
21 *700,000]*, the proceedings of which are recorded pursuant to sections 1 and 2 of this 2016 Act, may
22 receive in evidence, through the testimony of a peace officer involved in the criminal investigation
23 under grand jury inquiry, the statement of:

24 (a) A person who cannot readily understand the proceedings, or who cannot communicate in the
25 proceedings, because of a physical disability or developmental disability;

26 (b) A victim under 18 years of age at the time of the proceedings; or

27 (c) A person whose statement would, if offered at trial, be admissible under ORS 40.460.

28 **SECTION 14.** ORS 192.502 is amended to read:

29 192.502. The following public records are exempt from disclosure under ORS 192.410 to 192.505:

30 (1) Communications within a public body or between public bodies of an advisory nature to the
31 extent that they cover other than purely factual materials and are preliminary to any final agency
32 determination of policy or action. This exemption shall not apply unless the public body shows that
33 in the particular instance the public interest in encouraging frank communication between officials
34 and employees of public bodies clearly outweighs the public interest in disclosure.

35 (2) Information of a personal nature such as but not limited to that kept in a personal, medical
36 or similar file, if public disclosure would constitute an unreasonable invasion of privacy, unless the
37 public interest by clear and convincing evidence requires disclosure in the particular instance. The
38 party seeking disclosure shall have the burden of showing that public disclosure would not consti-
39 tute an unreasonable invasion of privacy.

40 (3) Upon compliance with ORS 192.437, public body employee or volunteer residential addresses,
41 residential telephone numbers, personal cellular telephone numbers, personal electronic mail ad-
42 dresses, driver license numbers, employer-issued identification card numbers, emergency contact in-
43 formation, Social Security numbers, dates of birth and other telephone numbers contained in
44 personnel records maintained by the public body that is the employer or the recipient of volunteer
45 services. This exemption:

1 (a) Does not apply to the addresses, dates of birth and telephone numbers of employees or vol-
2 unteers who are elected officials, except that a judge or district attorney subject to election may
3 seek to exempt the judge's or district attorney's address or telephone number, or both, under the
4 terms of ORS 192.445;

5 (b) Does not apply to employees or volunteers to the extent that the party seeking disclosure
6 shows by clear and convincing evidence that the public interest requires disclosure in a particular
7 instance pursuant to ORS 192.437;

8 (c) Does not apply to a substitute teacher as defined in ORS 342.815 when requested by a pro-
9 fessional education association of which the substitute teacher may be a member; and

10 (d) Does not relieve a public employer of any duty under ORS 243.650 to 243.782.

11 (4) Information submitted to a public body in confidence and not otherwise required by law to
12 be submitted, where such information should reasonably be considered confidential, the public body
13 has obliged itself in good faith not to disclose the information, and when the public interest would
14 suffer by the disclosure.

15 (5) Information or records of the Department of Corrections, including the State Board of Parole
16 and Post-Prison Supervision, to the extent that disclosure would interfere with the rehabilitation of
17 a person in custody of the department or substantially prejudice or prevent the carrying out of the
18 functions of the department, if the public interest in confidentiality clearly outweighs the public in-
19 terest in disclosure.

20 (6) Records, reports and other information received or compiled by the Director of the Depart-
21 ment of Consumer and Business Services in the administration of ORS chapters 723 and 725 not
22 otherwise required by law to be made public, to the extent that the interests of lending institutions,
23 their officers, employees and customers in preserving the confidentiality of such information out-
24 weighs the public interest in disclosure.

25 (7) Reports made to or filed with the court under ORS 137.077 or 137.530.

26 (8) Any public records or information the disclosure of which is prohibited by federal law or
27 regulations.

28 (9)(a) Public records or information the disclosure of which is prohibited or restricted or other-
29 wise made confidential or privileged under Oregon law.

30 (b) Subject to ORS 192.423, paragraph (a) of this subsection does not apply to factual information
31 compiled in a public record when:

32 (A) The basis for the claim of exemption is ORS 40.225;

33 (B) The factual information is not prohibited from disclosure under any applicable state or fed-
34 eral law, regulation or court order and is not otherwise exempt from disclosure under ORS 192.410
35 to 192.505;

36 (C) The factual information was compiled by or at the direction of an attorney as part of an
37 investigation on behalf of the public body in response to information of possible wrongdoing by the
38 public body;

39 (D) The factual information was not compiled in preparation for litigation, arbitration or an
40 administrative proceeding that was reasonably likely to be initiated or that has been initiated by
41 or against the public body; and

42 (E) The holder of the privilege under ORS 40.225 has made or authorized a public statement
43 characterizing or partially disclosing the factual information compiled by or at the attorney's di-
44 rection.

45 (10) Public records or information described in this section, furnished by the public body ori-

1 ginally compiling, preparing or receiving them to any other public officer or public body in con-
2 nection with performance of the duties of the recipient, if the considerations originally giving rise
3 to the confidential or exempt nature of the public records or information remain applicable.

4 (11) Records of the Energy Facility Siting Council concerning the review or approval of security
5 programs pursuant to ORS 469.530.

6 (12) Employee and retiree address, telephone number and other nonfinancial membership records
7 and employee financial records maintained by the Public Employees Retirement System pursuant to
8 ORS chapters 238 and 238A.

9 (13) Records of or submitted to the State Treasurer, the Oregon Investment Council or the
10 agents of the treasurer or the council relating to active or proposed publicly traded investments
11 under ORS chapter 293, including but not limited to records regarding the acquisition, exchange or
12 liquidation of the investments. For the purposes of this subsection:

13 (a) The exemption does not apply to:

14 (A) Information in investment records solely related to the amount paid directly into an invest-
15 ment by, or returned from the investment directly to, the treasurer or council; or

16 (B) The identity of the entity to which the amount was paid directly or from which the amount
17 was received directly.

18 (b) An investment in a publicly traded investment is no longer active when acquisition, exchange
19 or liquidation of the investment has been concluded.

20 (14)(a) Records of or submitted to the State Treasurer, the Oregon Investment Council, the
21 Oregon Growth Board or the agents of the treasurer, council or board relating to actual or proposed
22 investments under ORS chapter 293 or 348 in a privately placed investment fund or a private asset
23 including but not limited to records regarding the solicitation, acquisition, deployment, exchange or
24 liquidation of the investments including but not limited to:

25 (A) Due diligence materials that are proprietary to an investment fund, to an asset ownership
26 or to their respective investment vehicles.

27 (B) Financial statements of an investment fund, an asset ownership or their respective invest-
28 ment vehicles.

29 (C) Meeting materials of an investment fund, an asset ownership or their respective investment
30 vehicles.

31 (D) Records containing information regarding the portfolio positions in which an investment
32 fund, an asset ownership or their respective investment vehicles invest.

33 (E) Capital call and distribution notices of an investment fund, an asset ownership or their re-
34 spective investment vehicles.

35 (F) Investment agreements and related documents.

36 (b) The exemption under this subsection does not apply to:

37 (A) The name, address and vintage year of each privately placed investment fund.

38 (B) The dollar amount of the commitment made to each privately placed investment fund since
39 inception of the fund.

40 (C) The dollar amount of cash contributions made to each privately placed investment fund since
41 inception of the fund.

42 (D) The dollar amount, on a fiscal year-end basis, of cash distributions received by the State
43 Treasurer, the Oregon Investment Council, the Oregon Growth Board or the agents of the treasurer,
44 council or board from each privately placed investment fund.

45 (E) The dollar amount, on a fiscal year-end basis, of the remaining value of assets in a privately

1 placed investment fund attributable to an investment by the State Treasurer, the Oregon Investment
2 Council, the Oregon Growth Board or the agents of the treasurer, council or board.

3 (F) The net internal rate of return of each privately placed investment fund since inception of
4 the fund.

5 (G) The investment multiple of each privately placed investment fund since inception of the fund.

6 (H) The dollar amount of the total management fees and costs paid on an annual fiscal year-end
7 basis to each privately placed investment fund.

8 (I) The dollar amount of cash profit received from each privately placed investment fund on a
9 fiscal year-end basis.

10 (15) The monthly reports prepared and submitted under ORS 293.761 and 293.766 concerning the
11 Public Employees Retirement Fund and the Industrial Accident Fund may be uniformly treated as
12 exempt from disclosure for a period of up to 90 days after the end of the calendar quarter.

13 (16) Reports of unclaimed property filed by the holders of such property to the extent permitted
14 by ORS 98.352.

15 (17)(a) The following records, communications and information submitted to the Oregon Business
16 Development Commission, the Oregon Business Development Department, the State Department of
17 Agriculture, the Oregon Growth Board, the Port of Portland or other ports as defined in ORS
18 777.005, or a county or city governing body and any board, department, commission, council or
19 agency thereof, by applicants for investment funds, grants, loans, services or economic development
20 moneys, support or assistance including, but not limited to, those described in ORS 285A.224:

21 (A) Personal financial statements.

22 (B) Financial statements of applicants.

23 (C) Customer lists.

24 (D) Information of an applicant pertaining to litigation to which the applicant is a party if the
25 complaint has been filed, or if the complaint has not been filed, if the applicant shows that such
26 litigation is reasonably likely to occur; this exemption does not apply to litigation which has been
27 concluded, and nothing in this subparagraph shall limit any right or opportunity granted by discov-
28 ery or deposition statutes to a party to litigation or potential litigation.

29 (E) Production, sales and cost data.

30 (F) Marketing strategy information that relates to applicant's plan to address specific markets
31 and applicant's strategy regarding specific competitors.

32 (b) The following records, communications and information submitted to the State Department
33 of Energy by applicants for tax credits or for grants awarded under ORS 469B.256:

34 (A) Personal financial statements.

35 (B) Financial statements of applicants.

36 (C) Customer lists.

37 (D) Information of an applicant pertaining to litigation to which the applicant is a party if the
38 complaint has been filed, or if the complaint has not been filed, if the applicant shows that such
39 litigation is reasonably likely to occur; this exemption does not apply to litigation which has been
40 concluded, and nothing in this subparagraph shall limit any right or opportunity granted by discov-
41 ery or deposition statutes to a party to litigation or potential litigation.

42 (E) Production, sales and cost data.

43 (F) Marketing strategy information that relates to applicant's plan to address specific markets
44 and applicant's strategy regarding specific competitors.

45 (18) Records, reports or returns submitted by private concerns or enterprises required by law

1 to be submitted to or inspected by a governmental body to allow it to determine the amount of any
2 transient lodging tax payable and the amounts of such tax payable or paid, to the extent that such
3 information is in a form which would permit identification of the individual concern or enterprise.
4 Nothing in this subsection shall limit the use which can be made of such information for regulatory
5 purposes or its admissibility in any enforcement proceedings. The public body shall notify the tax-
6 payer of the delinquency immediately by certified mail. However, in the event that the payment or
7 delivery of transient lodging taxes otherwise due to a public body is delinquent by over 60 days, the
8 public body shall disclose, upon the request of any person, the following information:

9 (a) The identity of the individual concern or enterprise that is delinquent over 60 days in the
10 payment or delivery of the taxes.

11 (b) The period for which the taxes are delinquent.

12 (c) The actual, or estimated, amount of the delinquency.

13 (19) All information supplied by a person under ORS 151.485 for the purpose of requesting ap-
14 pointed counsel, and all information supplied to the court from whatever source for the purpose of
15 verifying the financial eligibility of a person pursuant to ORS 151.485.

16 (20) Workers' compensation claim records of the Department of Consumer and Business Services,
17 except in accordance with rules adopted by the Director of the Department of Consumer and Busi-
18 ness Services, in any of the following circumstances:

19 (a) When necessary for insurers, self-insured employers and third party claim administrators to
20 process workers' compensation claims.

21 (b) When necessary for the director, other governmental agencies of this state or the United
22 States to carry out their duties, functions or powers.

23 (c) When the disclosure is made in such a manner that the disclosed information cannot be used
24 to identify any worker who is the subject of a claim.

25 (d) When a worker or the worker's representative requests review of the worker's claim record.

26 (21) Sensitive business records or financial or commercial information of the Oregon Health and
27 Science University that is not customarily provided to business competitors.

28 (22) Records of Oregon Health and Science University regarding candidates for the position of
29 president of the university.

30 (23) The records of a library, including:

31 (a) Circulation records, showing use of specific library material by a named person;

32 (b) The name of a library patron together with the address or telephone number of the patron;
33 and

34 (c) The electronic mail address of a patron.

35 (24) The following records, communications and information obtained by the Housing and Com-
36 munity Services Department in connection with the department's monitoring or administration of
37 financial assistance or of housing or other developments:

38 (a) Personal and corporate financial statements and information, including tax returns.

39 (b) Credit reports.

40 (c) Project appraisals, excluding appraisals obtained in the course of transactions involving an
41 interest in real estate that is acquired, leased, rented, exchanged, transferred or otherwise disposed
42 of as part of the project, but only after the transactions have closed and are concluded.

43 (d) Market studies and analyses.

44 (e) Articles of incorporation, partnership agreements and operating agreements.

45 (f) Commitment letters.

- 1 (g) Project pro forma statements.
- 2 (h) Project cost certifications and cost data.
- 3 (i) Audits.
- 4 (j) Project tenant correspondence.
- 5 (k) Personal information about a tenant.
- 6 (L) Housing assistance payments.
- 7 (25) Raster geographic information system (GIS) digital databases, provided by private forestland
8 owners or their representatives, voluntarily and in confidence to the State Forestry Department,
9 that is not otherwise required by law to be submitted.
- 10 (26) Sensitive business, commercial or financial information furnished to or developed by a
11 public body engaged in the business of providing electricity or electricity services, if the information
12 is directly related to a transaction described in ORS 261.348, or if the information is directly related
13 to a bid, proposal or negotiations for the sale or purchase of electricity or electricity services, and
14 disclosure of the information would cause a competitive disadvantage for the public body or its re-
15 tail electricity customers. This subsection does not apply to cost-of-service studies used in the de-
16 velopment or review of generally applicable rate schedules.
- 17 (27) Sensitive business, commercial or financial information furnished to or developed by the
18 City of Klamath Falls, acting solely in connection with the ownership and operation of the Klamath
19 Cogeneration Project, if the information is directly related to a transaction described in ORS 225.085
20 and disclosure of the information would cause a competitive disadvantage for the Klamath
21 Cogeneration Project. This subsection does not apply to cost-of-service studies used in the develop-
22 ment or review of generally applicable rate schedules.
- 23 (28) Personally identifiable information about customers of a municipal electric utility or a
24 people's utility district or the names, dates of birth, driver license numbers, telephone numbers,
25 electronic mail addresses or Social Security numbers of customers who receive water, sewer or
26 storm drain services from a public body as defined in ORS 174.109. The utility or district may re-
27 lease personally identifiable information about a customer, and a public body providing water, sewer
28 or storm drain services may release the name, date of birth, driver license number, telephone num-
29 ber, electronic mail address or Social Security number of a customer, if the customer consents in
30 writing or electronically, if the disclosure is necessary for the utility, district or other public body
31 to render services to the customer, if the disclosure is required pursuant to a court order or if the
32 disclosure is otherwise required by federal or state law. The utility, district or other public body
33 may charge as appropriate for the costs of providing such information. The utility, district or other
34 public body may make customer records available to third party credit agencies on a regular basis
35 in connection with the establishment and management of customer accounts or in the event such
36 accounts are delinquent.
- 37 (29) A record of the street and number of an employee's address submitted to a special district
38 to obtain assistance in promoting an alternative to single occupant motor vehicle transportation.
- 39 (30) Sensitive business records, capital development plans or financial or commercial information
40 of Oregon Corrections Enterprises that is not customarily provided to business competitors.
- 41 (31) Documents, materials or other information submitted to the Director of the Department of
42 Consumer and Business Services in confidence by a state, federal, foreign or international regulatory
43 or law enforcement agency or by the National Association of Insurance Commissioners, its affiliates
44 or subsidiaries under ORS 86A.095 to 86A.198, 697.005 to 697.095, 697.602 to 697.842, 705.137, 717.200
45 to 717.320, 717.900 or 717.905, ORS chapter 59, 723, 725 or 726, the Bank Act or the Insurance Code

1 when:

2 (a) The document, material or other information is received upon notice or with an under-
3 standing that it is confidential or privileged under the laws of the jurisdiction that is the source of
4 the document, material or other information; and

5 (b) The director has obligated the Department of Consumer and Business Services not to dis-
6 close the document, material or other information.

7 (32) A county elections security plan developed and filed under ORS 254.074.

8 (33) Information about review or approval of programs relating to the security of:

9 (a) Generation, storage or conveyance of:

10 (A) Electricity;

11 (B) Gas in liquefied or gaseous form;

12 (C) Hazardous substances as defined in ORS 453.005 (7)(a), (b) and (d);

13 (D) Petroleum products;

14 (E) Sewage; or

15 (F) Water.

16 (b) Telecommunication systems, including cellular, wireless or radio systems.

17 (c) Data transmissions by whatever means provided.

18 (34) The information specified in ORS 25.020 (8) if the Chief Justice of the Supreme Court des-
19 ignates the information as confidential by rule under ORS 1.002.

20 (35)(a) Employer account records of the State Accident Insurance Fund Corporation.

21 (b) As used in this subsection, "employer account records" means all records maintained in any
22 form that are specifically related to the account of any employer insured, previously insured or un-
23 der consideration to be insured by the State Accident Insurance Fund Corporation and any infor-
24 mation obtained or developed by the corporation in connection with providing, offering to provide
25 or declining to provide insurance to a specific employer. "Employer account records" includes, but
26 is not limited to, an employer's payroll records, premium payment history, payroll classifications,
27 employee names and identification information, experience modification factors, loss experience and
28 dividend payment history.

29 (c) The exemption provided by this subsection may not serve as the basis for opposition to the
30 discovery documents in litigation pursuant to applicable rules of civil procedure.

31 (36)(a) Claimant files of the State Accident Insurance Fund Corporation.

32 (b) As used in this subsection, "claimant files" includes, but is not limited to, all records held
33 by the corporation pertaining to a person who has made a claim, as defined in ORS 656.005, and all
34 records pertaining to such a claim.

35 (c) The exemption provided by this subsection may not serve as the basis for opposition to the
36 discovery documents in litigation pursuant to applicable rules of civil procedure.

37 (37) Except as authorized by ORS 408.425, records that certify or verify an individual's discharge
38 or other separation from military service.

39 (38) Records of or submitted to a domestic violence service or resource center that relate to the
40 name or personal information of an individual who visits a center for service, including the date of
41 service, the type of service received, referrals or contact information or personal information of a
42 family member of the individual. As used in this subsection, "domestic violence service or resource
43 center" means an entity, the primary purpose of which is to assist persons affected by domestic or
44 sexual violence by providing referrals, resource information or other assistance specifically of ben-
45 efit to domestic or sexual violence victims.

1 (39) Information reported to the Oregon Health Authority under ORS 431A.860, except as pro-
2 vided in ORS 431A.860 (2)(b) information disclosed by the authority under ORS 431A.865 and any
3 information related to disclosures made by the authority under ORS 431A.865, including information
4 identifying the recipient of the information.

5 (40)(a) Electronic mail addresses in the possession or custody of an agency or subdivision of the
6 executive department, as defined in ORS 174.112, a local government or local service district, as
7 defined in ORS 174.116, or a special government body, as defined in ORS 174.117.

8 (b) This subsection does not apply to electronic mail addresses assigned by a public body to
9 public employees for use by the employees in the ordinary course of their employment.

10 (41) Residential addresses, residential telephone numbers, personal cellular telephone numbers,
11 personal electronic mail addresses, driver license numbers, emergency contact information, Social
12 Security numbers, dates of birth and other telephone numbers of individuals currently or previously
13 certified or licensed by the Department of Public Safety Standards and Training contained in the
14 records maintained by the department.

15 (42) Personally identifiable information and contact information of veterans as defined in ORS
16 408.225 and of persons serving on active duty or as reserve members with the Armed Forces of the
17 United States, National Guard or other reserve component that was obtained by the Department of
18 Veterans' Affairs in the course of performing its duties and functions, including but not limited to
19 names, residential and employment addresses, dates of birth, driver license numbers, telephone
20 numbers, electronic mail addresses, Social Security numbers, marital status, dependents, the char-
21 acter of discharge from military service, military rating or rank, that the person is a veteran or has
22 provided military service, information relating to an application for or receipt of federal or state
23 benefits, information relating to the basis for receipt or denial of federal or state benefits and in-
24 formation relating to a home loan or grant application, including but not limited to financial infor-
25 mation provided in connection with the application.

26 **(43) Audio recordings or shorthand reporter notes or reports of grand jury proceedings,**
27 **or transcripts prepared from the recordings, notes or reports described in section 3 of this**
28 **2016 Act.**

29 **SECTION 15. ORS 132.080 is repealed.**

30 **SECTION 16. (1) Sections 1, 2 and 3 of this 2016 Act and the amendments to ORS 132.090,**
31 **132.320, 132.550, 135.405 and 192.502 by sections 4, 5, 7, 8 and 14 of this 2016 Act become op-**
32 **erative October 1, 2017.**

33 **(2) The amendments to sections 1, 2 and 3 of this 2016 Act and ORS 132.090, 132.320 and**
34 **132.430 by sections 6, 9, 10, 11, 12 and 13 of this 2016 Act and the repeal of ORS 132.080 by**
35 **section 15 of this 2016 Act become operative July 1, 2018.**

36 **(3) The Judicial Department may take any action before the operative dates specified in**
37 **subsections (1) and (2) of this section that is necessary to enable the department to exercise**
38 **the duties, functions and powers conferred on the department by this 2016 Act.**

39 **SECTION 17. This 2016 Act takes effect on the 91st day after the date on which the 2016**
40 **regular session of the Seventy-eighth Legislative Assembly adjourns sine die.**

41