

BUDGET REPORT AND MEASURE SUMMARY

Joint Committee On Ways and Means

Action:

Action Date:

Vote:

Prepared By: Travis Miller, Department of Administrative Services

Reviewed By: John Borden, Legislative Fiscal Office

Agency: Oregon Government Ethics Commission

Biennium: 2015-17

Budget Summary*

	2013-15 Legislatively Approved Budget⁽¹⁾	2015-17 Current Service Level	2015-17 Committee Recommendation	Committee Change from 2013-15 Leg. Approved	
				<u>\$ Change</u>	<u>% Change</u>
Other Funds Limited	\$ 2,110,362	\$ 1,956,828	\$ 2,283,828	\$ 173,466	8.2%
Total	\$ 2,110,362	\$ 1,956,828	\$ 2,283,828	\$ 173,466	8.2%

Position Summary

Authorized Positions	8	8	8	0
Full-time Equivalent (FTE) positions	7.88	8.00	8.00	0.12

⁽¹⁾ Includes adjustments through December 2014

* Excludes Capital Construction expenditures

Revenue Summary

The agency's funding comes from an assessment model with operating costs equally shared between state agencies and local government entities. State agencies are assessed based upon their number of full-time equivalent positions. Local entities are assessed based upon a formula connected to the Municipal Audit charge collected by the Secretary of State. A portion of these assessment revenues originates as General Fund.

The agency's \$475,797 ending balance covers approximately five months of operating expenses.

Additionally, the Commission collects fines and forfeitures through the imposition of civil penalties. These revenues are transferred to the General Fund and are not used to support agency operations. The Commission collected more \$25,988 in fines and forfeitures in 2011-13 and anticipates collecting \$30,000 during the 2015-17 biennium.

Summary of General Government Subcommittee Action

The mission of the Government Ethics Commission is to ensure that public officials throughout the state adhere to the provisions of Ethics laws found in ORS Chapter 244 and the Executive Session provisions of public meeting laws in ORS 192.660 through interpretation, disclosure, education and enforcement. ORS Chapter 244 empowers the Commission to investigate claims involving the use of public office for financial gain, failure to declare conflicts of interest, violation of rules governing executive session and receipt of gifts over allowable limits.

The Subcommittee approved a budget of \$2,283,828 Other Funds. The approved budget is an 8.2 percent increase from the 2013-15 Legislatively Approved Budget and includes eight positions (8.00 FTE).

The Subcommittee approved the following adjustments to the Commission's Current Service Level budget:

- Package 101: Electronic Filing System Implementation. This package provides \$200,000 in Other Funds expenditure limitation to fund the continued development and implementation of the Electronic Reporting System (ERS). Under current law, the reporting system must be completed by January 1, 2016. During the 2015-17 biennium, the Commission will require the continued support of a private information technology project manager (\$80,000), need to undertake change management in transitioning to the new system and changing business processes (\$80,000) and provide training support on the system to SEI filers, lobbyists and the public (\$40,000). These are one-time expenses that do not carry forward to next biennium.
- Package 102: Electronic Subscription Fees. This package provides \$127,000 in Other Funds expenditure limitation to fund the annual subscription fee for the Electronic Reporting System. This will be an ongoing expense of the agency.
- Package 801: LFO Analyst Adjustments. This package makes two technical adjustments to revenue. First, the beginning balance is increased by \$70,645 to account for an updated revenue projection; and second, the assessment line-item is increased by \$37,098 to meet an overall assessment need of \$1,602,098 for the agency.

The Subcommittee also approved the following budget note:

Budget Note: The Government Ethics Commission is to report to the Interim Joint Committee on Ways and Means in the Fall of 2015 to document the Agency's readiness to proceed with the implementation of the Electronic Filing System by the statutory date of January 1, 2016.

Summary of Performance Measure Action

See attached Legislatively Adopted 2015-17 Key Performance Measures form.

DETAIL OF JOINT COMMITTEE ON WAYS AND MEANS ACTION

HB 5020-A

Oregon Government Ethics Commission
 Travis Miller -- (503) 373-1109

DESCRIPTION	GENERAL FUND	LOTTERY FUNDS	OTHER FUNDS		FEDERAL FUNDS		TOTAL ALL FUNDS	POS	FTE
			LIMITED	NONLIMITED	LIMITED	NONLIMITED			
2013-15 Legislatively Approved Budget at Dec 2014 *	\$ -	\$ -	\$ 2,110,362	\$ -	\$ -	\$ -	\$ 2,110,362	8	7.88
2015-17 Current Service Level (CSL)*	\$ -	\$ -	\$ 1,956,828	\$ -	\$ -	\$ -	\$ 1,956,828	8	8.00
SUBCOMMITTEE ADJUSTMENTS (from CSL)									
SCR010-01 - General Program									
Package 101: Electronic Filing System Implementation Services and Supplies	\$ -	\$ -	\$ 200,000	\$ -	\$ -	\$ -	\$ 200,000		
SCR010-01 - General Program									
Package 101: Electronic Filing System Subscription Fee Services and Supplies	\$ -	\$ -	\$ 127,000	\$ -	\$ -	\$ -	\$ 127,000		
SCR010-01 - General Program									
Package 801: LFO Analyst Adjustement Services and Supplies	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
TOTAL ADJUSTMENTS	\$ -	\$ -	\$ 327,000	\$ -	\$ -	\$ -	\$ 327,000	0	0.00
SUBCOMMITTEE RECOMMENDATION *	\$ -	\$ -	\$ 2,283,828	\$ -	\$ -	\$ -	\$ 2,283,828	8	8.00
% Change from 2013-15 Leg Approved Budget	0.0%	0.0%	8.2%	0.0%	0.0%	0.0%	8.2%		
% Change from 2015-17 Current Service Level	0.0%	0.0%	16.7%	0.0%	0.0%	0.0%	16.7%		

*Excludes Capital Construction Expenditures

Legislatively Approved 2015-2017 Key Performance Measures

Agency: OREGON GOVERNMENT ETHICS COMMISSION

Mission: The Oregon Government Ethics Commission will impartially and effectively administer and enforce Oregon's government ethics laws for the benefit of Oregon's citizens. The Commission will emphasize education in achieving its mission.

The regulatory jurisdiction of the Oregon Government Ethics Commission covers provisions of ORS Chapter 244, Oregon Government Ethics law; ORS 171.725 to 171.785 and 171.992, Lobby Regulation law; and executive session provisions of Oregon Public Meetings law, ORS 192.660

Legislatively Proposed KPMs	Customer Service Category	Agency Request	Most Current Result	Target 2016	Target 2017
1 - Percentage of statutory time limit used for preliminary reviews, investigations, staff opinions and Commission advisory opinions.		Approved KPM	73.00	85.00	85.00
2 - Quality of investigations completed		Approved KPM	4.95	5.00	5.00
3 - Training Program's Effectiveness		Approved KPM	28.00	60.00	60.00
4 - Minimize Case Disposition Costs - Percentage of contested cases settled before hearing.		Approved KPM	100.00	100.00	100.00
5 - Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Accuracy	Approved KPM	74.00	85.00	85.00
5 - Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Availability of Information	Approved KPM	71.00	75.00	75.00
5 - Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Expertise	Approved KPM	71.00	90.00	90.00
5 - Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Helpfulness	Approved KPM	72.00	95.00	95.00
5 - Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Overall	Approved KPM	67.00	95.00	95.00
5 - Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Timeliness	Approved KPM	73.00	90.00	90.00

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Legislatively Proposed KPMs	Customer Service Category	Agency Request	Most Current Result	Target 2016	Target 2017
6 - Governance Best Practices - Percent of total best practices met by the commission.		Approved KPM	96.00	100.00	100.00

LFO Recommendation:

The Legislative Fiscal Office recommends approval of the proposed Key Performance Measures and targets.

Sub-Committee Action:

The General Government Subcommittee adopted the Legislative Fiscal Office recommendations.