

OREGON PUBLIC UTILITY COMMISSION

2015-2017

Governor's Budget



January 2015

2015-2017 GOVERNOR'S BUDGET

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APPENDIX

Summary of 2015 Budget Legislative Bills
Annual Performance Progress Report

2015-2017 GOVERNOR'S BUDGET

CERTIFICATION

I hereby certify that the accompanying summary and detailed statements are true and correct to the best of my knowledge and belief and that the accuracy of all numerical information has been verified.

OREGON PUBLIC UTILITY COMMISSION

PO BOX 1088
SALEM OR 97308-1088

AGENCY NAME

AGENCY ADDRESS



SUSAN ACKERMAN

Chair

TITLE



STEVE BLOOM

Commissioner

TITLE



JOHN SAVAGE

Commissioner

TITLE

Notice: Requests of those agencies headed by a board or commission must be approved by those bodies of official action and signed by the board or commission chairperson. The requests of other agencies must be approved and signed by the agency director or administrator.

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2015-2017 GOVERNOR'S BUDGET

77th OREGON LEGISLATIVE ASSEMBLY – 2013 Session
BUDGET REPORT AND MEASURE SUMMARY

MEASURE: HB 5043-A

JOINT COMMITTEE ON WAYS AND MEANS

Carrier – House: Rep. Read
Carrier – Senate: Sen. Shields

Action: Do Pass as Amended and as Printed A-Engrossed

Vote: 23 – 0 – 3

House

Yeas: Barker, Buckley, Frederick, Huffman, Jenson, Komp, McLane, Nathanson, Read, Richardson, Smith, Tomei, Williamson

Nays:

Exc: Freeman, Hanna

Senate

Yeas: Bates, Devlin, Edwards, Girod, Hansell, Monroe, Steiner Hayward, Thomsen, Whitsett, Winters

Nays:

Exc: Johnson

Prepared By: Tamara Brickman, Department of Administrative Services

Reviewed By: Michelle Deister, Legislative Fiscal Office

Meeting Date: May 10, 2013

Agency

Public Utility Commission

Biennium

2013-15

2011-13

2015-2017 GOVERNOR'S BUDGET

Budget Summary*

	2011-13 Legislatively Approved Budget ⁽¹⁾	2013-15 Current Service Level	2013-15 Committee Recommendation	Committee from 2011-13 Leg. Approved	Change
				\$ Change Change	%
Other Funds	\$ 39,556,887	\$ 40,587,906	\$ 39,892,306	\$ 335,419	0.8%
Other Funds Nonlimited	\$ 75,120,603	\$ 79,271,977	\$ 79,271,977	\$ 4,151,374	5.5%
Federal Funds	\$ 4,813,925	\$ 2,351,193	\$ 2,444,367	\$ (2,369,558)	-49.2%
Total	\$ 119,491,415	\$ 122,211,076	\$ 121,608,650	\$ 2,117,235	1.8%

Position Summary

Authorized Positions	132	129	130	-2
Full-time Equivalent (FTE) positions	128.75	126.75	127.75	-1.00

(1) Includes adjustments through December 2012.

* Excludes Capital Construction expenditures

2011-13 Supplemental Budget*

	2011-13 Legislatively Approved Budget ⁽¹⁾	2013-15 Current Service Level	2013-15 Committee Recommendation	Committee from 2011-13 Leg. Approved	Change
				\$ Change	% Change
Other Funds	\$ 39,556,887	\$	\$ 30,000	\$ 30,000	0.1%

Summary of Revenue Changes

The majority of the agency's expenditures are funded from fees paid by investor owned utilities. The Residential Service Protection Fund is funded through a monthly surcharge on telecommunications subscribers. Revenues for the Oregon Universal Service Fund come from telecommunications providers. Federal Funds are available to ensure the safe operation of natural gas pipelines. The Board of Maritime Pilots is fully supported by fees.

2015-2017 GOVERNOR'S BUDGET

Summary of Transportation and Economic Development Subcommittee Action

The Public Utility Commission (PUC) of Oregon works to ensure that safe and reliable utility services are provided to consumers at just and reasonable rates through regulation and by promoting the development of competitive markets. It does this by regulating investor owned electric and natural gas companies, as well as certain telephone and water companies, and by licensing and regulating Maritime Pilots. The Subcommittee approved a budget of \$121,608,650 total funds and 127.75 full-time equivalent positions for the agency. This is a 1.8 percent total funds increase from the 2011-13 Legislatively Approved Budget and a 0.5 percent total funds decrease from the agency's 2013-15 current service level.

Utility Regulation - 001

The Utility Regulation program regulates rates for natural gas, electricity, water, and telephone services. The program also works to promote effective competition in these industries; the Subcommittee approved a budget of \$97,760,332 total funds (\$16,043,988 Other Funds, \$79,271,977 Other Funds Nonlimited, \$2,444,367 Federal Fund, and 74.25 full-time equivalent positions for the Utility Regulation program.

The Subcommittee approved the following recommendations:

- Package 092, PERS Tax Policy, reduces expenditure limitation by \$35,236 Other Funds and \$1,889 Federal Funds. This package reflects the policy change in SB 822 that eliminates the increased retirement benefits resulting from Oregon income taxation of payments if the person receiving payments does not pay Oregon income tax on those benefits and is not an Oregon resident. This change reduces state employer contribution rates by approximately 0.30 percent.
- Package 093, Other PERS Adjustments reduces expenditure limitation by \$281,552 Other Funds and \$15,094 Federal Funds. This package reflects the policy change in SB 822 that modifies the cost-of-living adjustment under the Public Employees Retirement System. This change reduces state employer contribution rates by approximately 2.2 percent.

An administrative action by the PERS Board, as directed by a budget note in the SB 822 budget report, will reduce state employer contribution rates by up to an additional 1.9 percent. However, no employer rate is reduced below its 2011-13 biennium rate.

- Package 101, Utility Safety Reliability & Security Division, provides additional expenditure limitation of \$73,706 Other Funds, \$110,157 Federal Funds and one permanent position (1.00 FTE). This package gives the PUC one additional permanent Utility Analyst 3 position to address an increase in gas pipeline safety inspections and reviews as a result of the passage of the Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011 (federal law). The law passed as a result of findings driven by the National Transportation Safety Board after the San Bruno, California incident.

2015-2017 GOVERNOR'S BUDGET

Residential Service Protection Fund (RSPF) - 003

The RSPF consists of four programs: the Oregon Telephone Assistance program (OTAP), which works to ensure that adequate and affordable residential telephone services are available to all Oregonians; the Telecommunication Devices Access Program (TDAP), which loans specialized telecommunication devices to eligible communication impaired individuals; the Oregon Telecommunications Relay Service (OTRS), which insures that telephone services are available to all Oregonians, regardless of disability; and the Emergency Medical Certificate program (EMC) which serves to protect telephone services for at-risk Oregonians. The Subcommittee approved a budget of \$10,859,611 Other Funds and 7.50 full-time equivalent positions for the RSPF program.

The Subcommittee approved the following recommendations:

- Package 092, PERS Taxation Policy, reduces Other Funds expenditure limitation by \$2,293. This package reflects the policy change in SB 822 that eliminates the increased retirement benefits resulting from Oregon income taxation of payments if the person receiving payments does not pay Oregon income tax on those benefits and is not an Oregon resident. This change reduces state employer contribution rates by approximately 0.30 percent.
- Package 093, Other PERS Adjustments, reduces Other Funds expenditure limitation by \$18,443. This package reflects the policy change in SB 822 that modifies the cost-of-living adjustment under the Public Employees Retirement System. This change reduces state employer contribution rates by approximately 2.2 percent.

An administrative action by the PERS Board, as directed by a budget note in the SB 822 budget report, will reduce state employer contribution rates by up to an additional 1.9 percent. However, no employer rate is reduced below its 2011-13 biennium rate.

Policy and Administration - 004

The Policy and Administration program includes the Commission and the divisions providing support to the public and the Utility Regulation program. The Subcommittee approved a total budget of \$12,650,111 Other Funds and 45.00 full-time equivalent positions for this program.

The Subcommittee approved the following recommendations:

- Package 091, Statewide Administrative Savings, reduces Other Funds expenditure limitation by \$226,946. This package is a placeholder for administrative efficiencies in finance, information technology, human resources, accounting, payroll, and procurement expenditures. The Department of Administrative Services will continue to work on details of these reductions with agencies and report back during the 2014 session.
- Package 092, PERS Taxation Policy, reduces Other Funds expenditure limitation by \$22,274. This package reflects the policy change in SB 822 that eliminates the increased retirement benefits resulting from Oregon income taxation of payments if the person receiving payments does not pay Oregon income tax on those benefits and is not an Oregon resident. This change reduces state employer contribution rates by approximately 0.30 percent.

2015-2017 GOVERNOR'S BUDGET

- Package 093, Other PERS Adjustments, reduces Other Funds expenditure limitation by \$177,978. This package reflects the policy change in SB 822 that modifies the cost-of-living adjustment under the Public Employees Retirement System. This change reduces state employer contribution rates by approximately 2.2 percent.

An administrative action by the PERS Board, as directed by a budget note in the SB 822 budget report, will reduce state employer contribution rates by up to an additional 1.9 percent. However, no employer rate is reduced below its 2011-13 biennium rate.

Board of Maritime Pilots - 005

In addition to rate hearings, the Board of Maritime Pilots licenses Maritime Pilots and investigates maritime safety incidents. The Subcommittee approved \$338,596 Other Funds and 1.00 full-time equivalents for this program.

The Subcommittee approved the following recommendations:

- Package 092, PERS Taxation Policy, reduces Other Funds expenditure limitation by \$510. This package reflects the policy change in SB 822 that eliminates the increased retirement benefits resulting from Oregon income taxation of payments if the person receiving payments does not pay Oregon income tax on those benefits and is not an Oregon resident. This change reduces state employer contribution rates by approximately 0.30 percent.
- Package 093, Other PERS Adjustments, reduces Other Funds expenditure limitation by \$4,074. This package reflects the policy change in SB 822 that modifies the cost-of-living adjustment under the Public Employees Retirement System. This change reduces state employer contribution rates by approximately 2.2 percent.

An administrative action by the PERS Board, as directed by a budget note in the SB 822 budget report, will reduce state employer contribution rates by up to an additional 1.9 percent. However, no employer rate is reduced below its 2011-13 biennium rate.

2011-13 Supplemental Budget

The Subcommittee approved an increase in Other Funds expenditure limitation for the Board of Maritime pilots in the amount of \$30,000 to address unanticipated costs associated with investigations, administrative hearings and legal review related to recent maritime incidents.

Summary of Performance Measure Action

See attached Legislatively Adopted 2013-15 Key Performance Measures form.

2015-2017 GOVERNOR'S BUDGET

DETAIL OF JOINT COMMITTEE ON WAYS AND MEANS ACTION

HB 5043-A

Public Utility Commission
Tamara Brickman -- (503) 378-4709

DESCRIPTION	GENERAL FUND	LOTTERY FUNDS	OTHER FUNDS		FEDERAL FUNDS		TOTAL ALL FUNDS	POS	FTE
			LIMITED	NONLIMITED	LIMITED	NONLIMITED			
2011-13 Legislatively Approved Budget at Dec 2012 *	\$ 0	\$ 0	\$ 39,556,887	\$ 75,120,603	\$ 4,813,925	\$ -	\$ 119,491,415	132	128.75
2013-15 ORBITS printed Current Service Level (CSL)*	\$ 0	\$ 0	\$ 40,587,906	\$ 79,271,977	\$ 2,351,193	\$ -	\$ 122,211,076	129	126.75
SUBCOMMITTEE ADJUSTMENTS (from CSL)									
SCR 001 - Utility Regulation									
Package 092: PERS Tax Policy									
Personal Services	\$ 0	\$ 0	\$ (35,236)	\$ 0	\$ (1,889)	\$ 0	\$ (37,125)	0	0.00
Package 093: Other PERS Adjustments									
Personal Services	\$ 0	\$ 0	\$ (281,552)	\$ 0	\$ (15,094)	\$ 0	\$ (296,646)	0	0.00
Package 101: Utility Safety, Reliability & Security Division									
Personal Services	\$ 0	\$ 0	\$ 68,818	\$ 0	\$ 102,825	\$ 0	\$ 171,643	1	1.00
Services and Supplies	\$ 0	\$ 0	\$ 4,888	\$ 0	\$ 7,332	\$ 0	\$ 12,220		
SCR 003 - Residential Services Protection									
Package 092: PERS Tax Policy									
Personal Services	\$ 0	\$ 0	\$ (2,293)	\$ 0	\$ 0	\$ 0	\$ (2,293)	0	0.00
Package 093: Other PERS Adjustments									
Personal Services	\$ 0	\$ 0	\$ (18,443)	\$ 0	\$ 0	\$ 0	\$ (18,443)	0	0.00
SCR 004 - Policy and Administration									
Package 091: Statewide Administrative Savings									
Personal Services	\$ 0	\$ 0	\$ (152,627)	\$ 0	\$ 0	\$ 0	\$ (152,627)	0	0.00
Services and Supplies	\$ 0	\$ 0	\$ (73,028)	\$ 0	\$ 0	\$ 0	\$ (73,028)		
Capital Outlay	\$ 0	\$ 0	\$ (1,291)	\$ 0	\$ 0	\$ 0	\$ (1,291)		
Package 092: PERS Tax Policy									
Personal Services	\$ 0	\$ 0	\$ (22,274)	\$ 0	\$ 0	\$ 0	\$ (22,274)	0	0.00
Package 093: Other PERS Adjustments									
Personal Services	\$ 0	\$ 0	\$ (177,978)	\$ 0	\$ 0	\$ 0	\$ (177,978)	0	0.00
SCR 005 - Board of Maritime Pilots									
Package 092: PERS Taxation Policy									
Personal Services	\$ 0	\$ 0	\$ (510)	\$ 0	\$ 0	\$ 0	\$ (510)	0	0.00
Package 093: Other PERS Adjustments									
Personal Services	\$ 0	\$ 0	\$ (4,074)	\$ 0	\$ 0	\$ 0	\$ (4,074)		
TOTAL ADJUSTMENTS	\$ 0	\$ 0	\$ (695,600)	\$ 0	\$ 93,174	\$ 0	\$ (602,426)	1	1.00
SUBCOMMITTEE RECOMMENDATION *	\$ 0	\$ 0	\$ 39,892,306	\$ 79,271,977	\$ 2,444,367	\$ 0	\$ 121,608,650	130	127.75
% Change from 2011-13 Leg Approved Budget	0.0%	0.0%	0.8%	5.5%	-49.2%	0.0%	1.8%	-1.5%	-0.8%
% Change from 2013-15 Current Service Level	0.0%	0.0%	-1.7%	0.0%	4.0%	0.0%	-0.5%	0.8%	0.8%

HB 5043-A
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2011-13 APPROPRIATION DESCRIPTION	GENERAL FUND	LOTTERY FUNDS	OTHER FUNDS		FEDERAL FUNDS		TOTAL ALL FUNDS	POS	FTE
			LIMITED	NONLIMITED	LIMITED	NONLIMITED			
SCR 005 - Board of Maritime Pilots									
Service and Supplies	\$ 0	\$ 0	\$ 30,000	\$ 0	\$ 0	\$ 0	\$ 30,000	0	0.00
TOTAL RECOMMENDED APPROPRIATION	\$ 0	\$ 0	\$ 30,000	\$ 0	\$ 0	\$ 0	\$ 30,000	0	0.00

2015-2017 GOVERNOR'S BUDGET

Legislatively Approved 2013-2015 Key Performance Measures

Agency: PUBLIC UTILITY COMMISSION

Mission: Ensure that safe and reliable utility services are provided to consumers at just and reasonable rates through regulation and promoting the development of competitive markets.

Legislatively Proposed KPMs	Customer Service Category	Agency Request	Most Current Result	Target 2014	Target 2015
1 - Water Utilities - Percentage of rate regulated water companies with rate designs promoting efficient use of water resources.		Approved KPM	91.40	94.00	95.00
2 - Price of Electricity -Average price of electricity for residential users from Oregon Investor Owned Utilities as a percent of the national average price.		Approved KPM	88.00	90.00	90.00
3 - Electric Energy - Percentage of business customers' electric energy usage supplied by alternative suppliers.		Approved KPM	6.00	10.00	10.00
4 - Utility Pricing - Number of new utility pricing programs.		Approved KPM	11.00	13.00	14.00
5 - Residential Energy Efficiency – Ratio of dollars realized in energy savings per dollar of public purpose fund expenditure for Energy Trust's residential programs.		Approved KPM	4.40	3.70	3.70
6 - Commercial Energy Efficiency – Ratio of dollars realized in energy savings per dollar of public purpose fund expenditure for of Energy Trust's commercial programs.		Approved KPM	4.10	3.50	3.50
7 - Industrial Energy Efficiency – Ratio of dollars realized in energy savings per dollar of public purpose fund expenditure for costs of Energy Trust's industrial programs.		Approved KPM	3.40	2.90	2.90
8 - Renewable Resource Development – Annual average megawatts acquired through Energy Trust programs. (3 year rolling average)		Approved KPM	2.50	3.00	3.00
9 - Energy Trust Administrative Efficiency – Administrative and program support costs as a percent of annual revenues.		Approved KPM	5.00	9.00	9.00
10 - Electric Utility Operations – Effectiveness of staff audits in preventing injuries caused by electric utility operations per 100,000 utility customers.		Approved KPM	0.00	0.11	0.11

Print Date: 5/14/2013

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Agency: PUBLIC UTILITY COMMISSION

Mission: Ensure that safe and reliable utility services are provided to consumers at just and reasonable rates through regulation and promoting the development of competitive markets.

Legislatively Proposed KPMs	Customer Service Category	Agency Request	Most Current Result	Target 2014	Target 2015
11 - Unsafe Acts - Effectiveness of Utility and PUC promoted education in preventing injuries from unsafe acts per 100,000 utility customers.		Approved KPM	0.26	0.45	0.45
12 - Natural Gas Operations - Personal injuries related to Natural Gas Operations per 100,000 utility customers.		Approved KPM	0.00	0.15	0.15
13 - Switched Access Lines - Percent of total switched access lines provided by competitive local exchange carriers, statewide.		Approved KPM	28.00	31.60	33.40
14 - Evidentiary Record - Percent of Consumer Complaint Orders issued within 30 days of close of evidentiary record.		Approved KPM	94.00	100.00	100.00
15 - Oregon Telephone Assistance Program – Percentage of Supplemental Nutrition Assistance Program (SNAP) recipients participating in the Oregon Telephone Assistance Program.		Approved KPM	14.00	24.00	25.00
16 - Access to Telephone Services – Percentage of disabled senior citizens (65 years and older) with access to the Telecommunications Devices Access Program.		Approved KPM	62.00	52.00	54.00
17 - Complaint Investigation - Percent of complaint investigation cases open 50 days or less.		Approved KPM	79.00	75.00	75.00
18 - Customer Service – Percent of customers rating their satisfaction with the agency’s customer service as “good” or “excellent” in overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.	Accuracy	Approved KPM	84.00	90.00	90.00
18 - Customer Service – Percent of customers rating their satisfaction with the agency’s customer service as “good” or “excellent” in overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.	Availability of Information	Approved KPM	80.00	90.00	90.00
18 - Customer Service – Percent of customers rating their satisfaction with the agency’s customer service as “good” or “excellent” in overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.	Expertise	Approved KPM	83.00	90.00	90.00
18 - Customer Service – Percent of customers rating their satisfaction with the agency’s customer service as “good” or “excellent” in overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.	Helpfulness	Approved KPM	86.00	90.00	90.00

Print Date: 5/14/2013

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2015-2017 GOVERNOR'S BUDGET

Agency: PUBLIC UTILITY COMMISSION

Mission: Ensure that safe and reliable utility services are provided to consumers at just and reasonable rates through regulation and promoting the development of competitive markets.

Legislatively Proposed KPMs	Customer Service Category	Agency Request	Most Current Result	Target 2014	Target 2015
18 - Customer Service – Percent of customers rating their satisfaction with the agency’s customer service as “good” or “excellent” in overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.	Overall	Approved KPM	83.00	90.00	90.00
18 - Customer Service – Percent of customers rating their satisfaction with the agency’s customer service as “good” or “excellent” in overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.	Timeliness	Approved KPM	86.00	90.00	90.00
19 - Best Practices - Percent of total best practices met by the Board of Maritime Pilots.		Approved KPM	92.00	100.00	100.00
20 - Vessel Incidents - The number and severity of incidents involving vessels under the direction of licensees, and as a percentage of total vessels piloted annually.		Approved KPM	7.00	0.00	0.00
21 a - Extent to which the Energy Trust of Oregon meets 12 of 14 established internal performance measures.		Approved KPM		100.00	100.00
21 b - Extent to which the Energy Trust of Oregon meets the established internal performance measures; Obtaining an annual unqualified financial audit.		Approved KPM		100.00	100.00
21 c - Extent to which the Energy Trust of Oregon meets the established internal performance measures; Keep administrative and programs support costs below 9 percent of annual revenue.		Approved KPM		100.00	100.00
21 d - Extent to which the Energy Trust of Oregon meets the established internal performance measures; Reporting the benefit/cost ratio for conservation programs based on utility system societal perspective.		Approved KPM		100.00	
21 e - Extent to which the Energy Trust of Oregon meets the established internal performance measures; Reporting significant mid-year changes to benefit/cost performance as necessary in quarterly reports.		Approved KPM		100.00	100.00

LFO Recommendation:

Print Date: 5/14/2013

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In an effort to measure oversight by the Public Utility Commission of the Energy Trust of Oregon, the Legislative Fiscal Office is recommending the addition of a new Key Performance Measure. The KPM shall measure the extent to which the Energy Trust of Oregon meets the established internal performance measures and reporting requirements required of the Energy Trust by the Public Utility Commission in its oversight role. The proposed performance measure's target is 12 of 14 measures met, but 100% compliance for the following:

- Obtaining an annual unqualified financial audit;
- Keeping administrative and programs support costs below 9 percent of annual revenues;
- Reporting the benefit/cost ratio for conservation programs based on utility system societal perspective; and
- Reporting significant mid-year changes to benefit/cost performance as necessary in quarterly reports.

To the extent to which the ETO does not meet these requirements to the satisfaction of the Public Utility Commission, the Public Utility Commission can issue a notice of concern according to the current grant agreement contract which requires the ETO to provide a response within 30 days from notification outlining the timeline for implementing a resolution to the problem and describing remedial actions.

Sub-Committee Action:

The Ways and Means Subcommittee on Transportation and Economic Development recommends approval of the LFO recommendation.

2015-2017 GOVERNOR'S BUDGET

77th OREGON LEGISLATIVE ASSEMBLY – 2013 Session
BUDGET REPORT AND MEASURE SUMMARY

JOINT COMMITTEE ON WAYS AND MEANS

MEASURE: SB 851-B

Carrier – House: Rep. McKeown
Carrier – Senate: Sen. Johnson

Action: Do Pass the A-Engrossed Measure as Amended and as Printed B-Engrossed

Vote: 23 – 1 – 2

House

Yeas: Buckley, Frederick, Hanna, Huffman, Jenson, Komp, McLane, Nathanson, Richardson, Smith, Tomei, Williamson

Nays: Freeman

Exc: Barker, Read

Senate

Yeas: Bates, Devlin, Edwards, Girod, Hansell, Johnson, Monroe, Steiner Hayward, Thomsen, Whitsett, Winters

Nays:

Exc:

Prepared By: Tamara Brickman, Department of Administrative Services

Reviewed By: Michelle Deister, Legislative Fiscal Office

Meeting Date: June 6, 2013

Agency

Public Utility Commission

Biennium
2013-15

SB 851-B
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2015-2017 GOVERNOR'S BUDGET

Budget Summary*

	2011-13 Legislatively Approved Budget ⁽¹⁾	2013-15 Current Service Level	2013-15 Committee Recommendation	Committee Change from 2011-13 Leg. Approved	
				\$ Change	% Change
Other Funds	\$ 0	\$ 0	\$ 449,173	\$ 449,173	100%

Position Summary

Authorized Positions	0	0	1	1
Full-time Equivalent (FTE) positions	0.00	0.00	0.50	0.50

⁽¹⁾ Includes adjustments through December 2012.

* Excludes Capital Construction expenditures

Summary of Revenue Changes

Senate Bill 851-B requires each licensee of the Board of Maritime Pilots to collect a board operations fee from each vessel using pilot services to fund the Oregon Board of Maritime Pilots. The fee is to be collected when vessels enter or leave the Columbia River, Willamette River, Coos Bay and Yaquina Bay. The fee is limited to \$100 per vessel and is indexed to inflation in subsequent biennia. The Board will be required to conduct a quarterly review of the fee and may adjust the fee subject to approval by the Department of Administrative Services; if the fee is adjusted, the Board is required to report to the Emergency Board.

The anticipated revenue to be derived from this fee in the 2013-15 biennium is \$304,000. The revenue projections are based on an estimated 3,040 vessel transits per year with the initial assessment fee set at \$50.

Summary of Transportation and Economic Development Subcommittee Action

The Oregon Board of Maritime Pilots consists of nine members appointed by the Governor. The Public Utility Commission (PUC) is responsible for administrative oversight of the board. Currently, the PUC has the authority to determine the salary and qualifications to appoint an administrative officer for the board. Senate Bill 851 allows the board to collect a fee and will enable the board to hire a part-time executive director.

Senate Bill 851-B directs the PUC to appoint one position, an Executive Director (0.50 FTE), for the Oregon Board of Maritime Pilots. The bill imposes a maximum board operations fee of \$100 for vessels entering or leaving specified locations. The fees are to be used to carry out the duties, functions and powers of the Board. The estimated cost of the position is \$125,173 Other Funds. With the additional expertise afforded by professional staff, other expenses are assumed in the 2013-15 biennium, including conducting a National Transportation Safety Board required

SB 851-B
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2015-2017 GOVERNOR'S BUDGET

"Sleep Fatigue and Alertness Study," which is estimated to cost \$200,000 Other Funds in the 2013-15 biennium. The Board also plans to conduct one medical records certification review per biennium at a cost of \$24,000 Other Funds. License appeals that are handled by the board are estimated at \$100,000 Other Funds per biennium.

2015-2017 GOVERNOR'S BUDGET

DETAIL OF JOINT COMMITTEE ON WAYS AND MEANS ACTION

SB 851-B

Public Utility Commission
Tamara Brickman 503-378-4709

DESCRIPTION	GENERAL FUND	LOTTERY FUNDS	OTHER FUNDS		FEDERAL FUNDS		TOTAL ALL FUNDS	POS	FTE	
			LIMITED	NONLIMITED	LIMITED	NONLIMITED				
2011-13 Legislatively Approved Budget at Dec 2012*	0 \$	0 \$	0 \$	0 \$	0 \$	0 \$	0 \$	0	0	0.00
2013-15 ORBITS printed Current Service Level (CSL)*	0 \$	0 \$	0 \$	0 \$	0 \$	0 \$	0 \$	0	0	0.00
SUBCOMMITTEE ADJUSTMENTS (from CSL)										
Board of Maritime Pilots - 005										
Personal Services	\$ 0	\$ 0	\$ 0	\$ 0	\$ 125,173	\$ 0	\$ 125,173	1		0.50
Services and Supplies	\$ 0	\$ 0	\$ 0	\$ 0	\$ 324,000	\$ 0	\$ 324,000			
TOTAL ADJUSTMENTS	\$ 0	\$ 0	\$ 0	\$ 0	\$ 449,173	\$ 0	\$ 449,173	1		0.50
SUBCOMMITTEE RECOMMENDATION *	\$ 0	\$ 0	\$ 0	\$ 0	\$ 449,173	\$ 0	\$ 449,173	1		0.50
% Change from 2011-13 Leg Approved Budget	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
% Change from 2013-15 Current Service Level	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%

2015-2017 GOVERNOR'S BUDGET

77th OREGON LEGISLATIVE ASSEMBLY – 2013 Regular Session
BUDGET REPORT AND MEASURE SUMMARY

MEASURE: HB 5008-A

JOINT COMMITTEE ON WAYS AND MEANS

Carrier – House: Rep. Buckley
Carrier – Senate: Sen. Devlin

Action: Do Pass as Amended and as Printed A-Engrossed

Vote: 22 – 2 – 2

House

Yeas: Barker, Buckley, Frederick, Huffman, Jenson, Komp, Nathanson, Read, Smith, Tomei, Williamson

Nays: Freeman, Hanna

Exc: McLane, Richardson

Senate

Yeas: Bates, Devlin, Edwards, Girod, Hansell, Johnson, Monroe, Steiner Hayward, Thomsen, Whitsett, Winters

Nays:

Exc:

Prepared By: Linda Ames, Legislative Fiscal Office

Reviewed By: Daron Hill, Legislative Fiscal Office

Meeting Date: July 8, 2013

Agency

Emergency Board

Various Agencies

Biennium

2013-15

2011-13

2015-2017 GOVERNOR'S BUDGET

2013-15 Budget Summary*

	2011-13 Legislatively Approved Budget	2013-15 Legislatively Adopted Budget	2013-15 Committee Recommendation	Committee Change
<u>Emergency Board</u>				
General Fund - General Purpose	-	-	\$ 30,000,000	\$ 30,000,000
General Fund - Special Purpose Appropriations				
State employee compensation changes	-	-	\$ 86,500,000	\$ 86,500,000
Home health care worker compensation	-	-	\$ 12,900,000	\$ 12,900,000
Oregon State Library	-	-	\$ 1,702,192	\$ 1,702,192
Department of Education - student assessments	-	-	\$ 4,600,000	\$ 4,600,000
Department of Education - youth development	-	-	\$ 1,789,557	\$ 1,789,557
Department of Housing and Community Development - Oregon Hunger Response Fund	-	-	\$ 225,000	\$ 225,000
Oregon Health Authority - A&D rate increases	-	-	\$ 3,300,000	\$ 3,300,000
Oregon Health Authority - Dental Pilots	-	-	\$ 100,000	\$ 100,000
<u>Various Agencies - Omnibus Adjustments</u>				
General Fund	-	-	\$ (190,669,103)	\$ (190,669,103)
General Fund Debt Service	-	-	\$ (761,790)	\$ (761,790)
Lottery Funds	-	-	\$ (1,719,018)	\$ (1,719,018)
Lottery Funds Debt Service	-	-	\$ (1,307,446)	\$ (1,307,446)
Other Funds	-	-	\$ (5,660,297)	\$ (5,660,297)
Federal Funds	-	-	\$ (1,629,523)	\$ (1,629,523)
<u>ADMINISTRATION PROGRAM AREA</u>				
<u>Department of Administrative Services</u>				
General Fund	-	-	\$ 1,150,000	\$ 1,150,000
Lottery Funds	-	-	\$ 21,380	\$ 21,380
Other Funds	-	-	\$ 54,596,958	\$ 54,596,958

*Excludes Capital Construction

2015-2017 GOVERNOR'S BUDGET

2013-15 Budget Summary*

	<u>2011-13 Legislatively Approved Budget</u>	<u>2013-15 Legislatively Adopted Budget</u>	<u>2013-15 Committee Recommendation</u>	<u>Committee Change</u>
<u>Office of the Governor</u>				
General Fund	-	-	\$ 9,174	\$ 9,174
Lottery Funds	-	-	\$ 900,000	\$ 900,000
<u>Department of Revenue</u>				
General Fund	-	-	\$ 3,196,495	\$ 3,196,495
General Fund Debt Service	-	-	\$ 1,554,716	\$ 1,554,716
Other Funds	-	-	\$ 26,903,021	\$ 26,903,021
<u>Secretary of State</u>				
General Fund	-	-	\$ 9,174	\$ 9,174
<u>Treasurer of State</u>				
Other Funds	-	-	\$ 9,174	\$ 9,174
<u>CONSUMER AND BUSINESS SERVICES PROGRAM AREA</u>				
<u>Bureau of Labor and Industries</u>				
General Fund	-	-	\$ 6,881	\$ 6,881
Other Funds	-	-	\$ 2,293	\$ 2,293
<u>ECONOMIC AND COMMUNITY DEVELOPMENT PROGRAM AREA</u>				
<u>Oregon Business Development Department</u>				
General Fund Debt Service	-	-	\$ 280,954	\$ 280,954
Lottery Funds	-	-	\$ 1,374,525	\$ 1,374,525
Other Funds	-	-	\$ 29,752,779	\$ 29,752,779
Other Funds Non-limited	-	-	\$ 12,000,000	\$ 12,000,000
<u>Housing and Community Services Department</u>				
General Fund	-	-	\$ 225,000	\$ 225,000
Other Funds	-	-	\$ 5,076,190	\$ 5,076,190

*Excludes Capital Construction

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2015-2017 GOVERNOR'S BUDGET

2013-15 Budget Summary*

	2011-13 Legislatively Approved Budget	2013-15 Legislatively Adopted Budget	2013-15 Committee Recommendation	Committee Change
<u>Department of Veterans' Affairs</u>				
General Fund Debt Service	-	-	\$ 852,814	\$ 852,814
Other Funds	-	-	\$ 65,000	\$ 65,000
<u>EDUCATION PROGRAM AREA</u>				
<u>Department of Education</u>				
General Fund	-	-	\$ (8,826,545)	\$ (8,826,545)
Lottery Funds	-	-	\$ 12,826,545	\$ 12,826,545
Other Funds	-	-	\$ 12,000,000	\$ 12,000,000
Federal Funds	-	-	\$ 1,000,000	\$ 1,000,000
<u>Department of Community Colleges and Workforce Development</u>				
Other Funds	-	-	\$ (307,051)	\$ (307,051)
Other Funds Debt Service	-	-	\$ 307,051	\$ 307,051
<u>Oregon Health and Science University</u>				
General Fund	-	-	\$ 1,000,000	\$ 1,000,000
<u>Higher Education Coordinating Commission</u>				
General Fund	-	-	\$ 859,630	\$ 859,630
<u>Oregon University System</u>				
General Fund	-	-	\$ 15,674,000	\$ 15,674,000
<u>HUMAN SERVICES PROGRAM AREA</u>				
<u>Oregon Health Authority</u>				
General Fund	-	-	\$ (1,940,000)	\$ (1,940,000)
Other Funds	-	-	\$ (3,160,291,391)	\$ (3,160,291,391)
Other Funds Nonlimited	-	-	\$ 3,160,291,391	\$ 3,160,291,391

*Excludes Capital Construction

2015-2017 GOVERNOR'S BUDGET

2013-15 Budget Summary*

	<u>2011-13 Legislatively Approved Budget</u>	<u>2013-15 Legislatively Adopted Budget</u>	<u>2013-15 Committee Recommendation</u>	<u>Committee Change</u>
<u>Department of Human Services</u>				
General Fund	-	-	\$ 5,000,000	\$ 5,000,000
Other Funds	-	-	\$ 1,000,000	\$ 1,000,000
Federal Funds	-	-	\$ 9,700,000	\$ 9,700,000
<u>Long Term Care Ombudsman</u>				
General Fund	-	-	\$ 785,488	\$ 785,488
<u>JUDICIAL BRANCH</u>				
<u>Judicial Department</u>				
General Fund	-	-	\$ 634,980	\$ 634,980
Other Funds	-	-	\$ 335,001	\$ 335,001
<u>Public Defense Services Commission</u>				
General Fund	-	-	\$ 2,409,367	\$ 2,409,367
<u>LEGISLATIVE BRANCH</u>				
<u>Legislative Administration Committee</u>				
General Fund Debt Service	-	-	\$ 1,421,341	\$ 1,421,341
Other Funds	-	-	\$ 615,000	\$ 615,000
<u>NATURAL RESOURCES PROGRAM AREA</u>				
<u>State Department of Agriculture</u>				
General Fund	-	-	\$ 34,060	\$ 34,060
Lottery Funds	-	-	\$ (21,380)	\$ (21,380)
<u>Columbia River Gorge Commission</u>				
General Fund	-	-	\$ (79,873)	\$ (79,873)
<u>Department of Land Conservation and Development</u>				
General Fund	-	-	\$ 196,000	\$ 196,000

*Excludes Capital Construction

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2015-2017 GOVERNOR'S BUDGET

2013-15 Budget Summary*

	2011-13 Legislatively Approved Budget	2013-15 Legislatively Adopted Budget	2013-15 Committee Recommendation	Committee Change
<u>Department of Environmental Quality</u>				
Other Funds Debt Service	-	-	\$ (17,140,278)	\$ (17,140,278)
<u>State Department of Energy</u>				
Other Funds	-	-	\$ 9,876,190	\$ 9,876,190
<u>State Department of Fish and Wildlife</u>				
General Fund	-	-	\$ 115,940	\$ 115,940
<u>State Forestry Department</u>				
Other Funds	-	-	\$ 120,000	\$ 120,000
Federal Funds	-	-	\$ 3,000,000	\$ 3,000,000
<u>Parks and Recreation Department</u>				
Other Funds	-	-	\$ 5,069,882	\$ 5,069,882
<u>Department of State Lands</u>				
Other Funds	-	-	\$ 307,360	\$ 307,360
Federal Funds	-	-	\$ 135,000	\$ 135,000
<u>Water Resources Department</u>				
Other Funds	-	-	\$ 10,242,513	\$ 10,242,513
<u>PUBLIC SAFETY PROGRAM AREA</u>				
<u>Department of Corrections</u>				
General Fund	-	-	\$ 2,340,830	\$ 2,340,830
<u>Oregon Criminal Justice Commission</u>				
General Fund	-	-	\$ 10,190,000	\$ 10,190,000
<u>Department of Justice</u>				
General Fund	-	-	\$ 3,683,276	\$ 3,683,276
General Fund Debt Service	-	-	\$ 1,601,856	\$ 1,601,856
Other Funds	-	-	\$ 14,377,862	\$ 14,377,862
Federal Funds	-	-	\$ 27,447,707	\$ 27,447,707

*Excludes Capital Construction

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2015-2017 GOVERNOR'S BUDGET

2013-15 Budget Summary*

	2011-13 Legislatively Approved Budget	2013-15 Legislatively Adopted Budget	2013-15 Committee Recommendation	Committee Change
<u>Oregon Military Department</u>				
General Fund	-	-	\$ 290,000	\$ 290,000
General Fund Debt Service	-	-	\$ 314,523	\$ 314,523
Other Funds	-	-	\$ 237,345	\$ 237,345
<u>Oregon State Police</u>				
General Fund	-	-	\$ 3,387,000	\$ 3,387,000
<u>Department of Public Safety Standards and Training</u>				
Other Funds	-	-	\$ 1,000,000	\$ 1,000,000
<u>Oregon Youth Authority</u>				
General Fund	-	-	\$ 126,673	\$ 126,673
Other Funds Debt Service	-	-	\$ 384,877	\$ 384,877
<u>TRANSPORTATION PROGRAM AREA</u>				
<u>Department of Transportation</u>				
General Fund Debt Service	-	-	\$ (757,944)	\$ (757,944)
Other Funds	-	-	\$ 56,885,788	\$ 56,885,788
2013-15 Budget Summary				
General Fund Total	-	-	\$ (4,568,334)	\$ (4,568,334)
Lottery Funds Total	-	-	\$ 12,074,606	\$ 12,074,606
Other Funds Total	-	-	\$ 218,056,658	\$ 218,056,658
Federal Funds Total	-	-	\$ 39,653,184	\$ 39,653,184

*Excludes Capital Construction

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2015-2017 GOVERNOR'S BUDGET

2011-13 Supplemental Appropriations

	<u>2011-13 Legislatively Approved Budget</u>	<u>2011-13 Committee Recommendation</u>	<u>Committee Change</u>
<u>Emergency Board</u>			
General Fund	-	\$ (50,447,306)	\$ (50,447,306)
<u>Oregon University System</u>			
Other Funds	-	\$ (2,329,480,585)	\$ (2,329,480,585)
Other Funds Non-limited	-	\$ (2,236,635,139)	\$ (2,236,635,139)
<u>Military Department</u>			
General Fund	-	\$ (460,000)	\$ (460,000)
General Fund Debt Service	-	\$ (26,748)	\$ (26,748)
<u>Oregon Youth Authority</u>			
General Fund	-	\$ 200,000	\$ 200,000

2015-2017 GOVERNOR'S BUDGET

2013-15 Position Summary

	2011-13 Legislatively Approved Budget	2013-15 Legislatively Adopted Budget	2013-15 Committee Recommendation	Committee Change
<u>Office of the Governor</u>				
Authorized Positions	-	-	3	3
Full-time Equivalent (FTE) positions	-	-	3.00	3.00
<u>Department of Revenue</u>				
Authorized Positions	-	-	31	31
Full-time Equivalent (FTE) positions	-	-	31.00	31.00
<u>Oregon Business Development Department</u>				
Authorized Positions	-	-	3	3
Full-time Equivalent (FTE) positions	-	-	3.00	3.00
<u>Department of Education</u>				
Authorized Positions	-	-	3	3
Full-time Equivalent (FTE) positions	-	-	3.38	3.38
<u>Higher Education Coordinating Commission</u>				
Authorized Positions	-	-	6	6
Full-time Equivalent (FTE) positions	-	-	3.69	3.69
<u>Long Term Care Ombudsman</u>				
Authorized Positions	-	-	8	8
Full-time Equivalent (FTE) positions	-	-	3.81	3.81
<u>Department of Corrections</u>				
Authorized Positions	-	-	-197	-197
Full-time Equivalent (FTE) positions	-	-	-65.31	-65.31
<u>Criminal Justice Commission</u>				
Authorized Positions	-	-	1	1
Full-time Equivalent (FTE) positions	-	-	0.88	0.88

2015-2017 GOVERNOR'S BUDGET

2013-15 Position Summary

	<u>2011-13 Legislatively Approved Budget</u>	<u>2013-15 Legislatively Adopted Budget</u>	<u>2013-15 Committee Recommendation</u>	<u>Committee Change</u>
<u>Oregon State Police</u>				
Authorized Positions	-	-	15	15
Full-time Equivalent (FTE) positions	-	-	4.38	4.38
<u>Department of Public Safety Standards and Training</u>				
Authorized Positions	-	-	4	4
Full-time Equivalent (FTE) positions	-	-	3.52	3.52
<u>Department of Transportation</u>				
Authorized Positions	-	-	2	2
Full-time Equivalent (FTE) positions	-	-	2.00	2.00

2015-2017 GOVERNOR'S BUDGET

Summary of Revenue Changes

The General Fund appropriations made in the bill are within resources available as projected in the May 2013 economic and revenue forecast by the Department of Administrative Services Office of Economic Analysis, supplemented by transfers from various agency accounts to the General Fund for general governmental purposes as authorized in House Bill 2322, plus other actions to reduce state agency expenditures.

Summary of Capital Construction Subcommittee Action

House Bill 5008 appropriates General Fund to the Emergency Board for general purpose and targeted special purpose appropriations, and makes other adjustments to individual agency budget and position authority as described below.

Emergency Board

The Emergency Board allocates General Fund and provides Lottery Funds, Other Funds, and Federal Funds expenditure limitation to state agencies for unanticipated needs in approved agency budgets when the Legislature is not in session. The Subcommittee appropriated \$30 million General Fund to the Emergency Board for general purposes.

House Bill 5008 makes eight special purpose appropriations to the Emergency Board, totaling \$111.1 million General Fund:

- \$86.5 million General Fund for allocation to state agencies for state employee compensation changes.
- \$12.9 million General Fund for allocation to state agencies for compensation changes for home health care workers who are not state employees.
- \$1,702,192 General Fund for second year operational costs for the Oregon State Library. The 2013-15 budget for the State Library provides for only one year of budget authority for the agency (see House Bill 5022). The release of this appropriation, by either the Legislature or the Emergency Board, is contingent on a successful reorganization plan being submitted and approved by the Legislature in 2014.
- \$4.6 million for the Department of Education for costs over and above the amount included in the Department's budget bill (Senate Bill 5518) relating to assessments and other resources aligned to common core standards including those assessments required under the federal Elementary and Secondary Education Act (ESEA). The Department of Education must report on what assessments it plans to implement and on the most current estimates of the costs for each component of the assessment when making the request for this special purpose appropriation.
- \$1,789,557 General Fund for the Department of Education's Youth Development Division. This amount represents program funding for the second year of the biennium for youth development grants, performance-based contracts, and services provided at the local level. Prior to requesting this special purpose appropriation, the Youth Development Council will report back to the Joint Committee on Ways and Means during the 2014 Legislative Session with a plan for investing and distributing these funds. The plan must take into account (1) the furtherance of the policy directives and youth academic and developmental outcomes outlined in House Bill 3231; (2) Oregon's

2015-2017 GOVERNOR'S BUDGET

40/40/20 educational goals; and (3) the Oregon Education Investment Board's Strategic Plan. In developing this plan, the Youth Development Council shall consult with representatives of youth, parents, schools, service providers, labor, business, local governments, tribal governments, and communities.

- \$225,000 General Fund one-time funding for the Oregon Hunger Response Fund, for allocation to the Housing and Community Services Department after receipt of the agency's report on alternate, sustainable service delivery models, pursuant to a budget note.
- \$3.3 million General Fund for the Oregon Health Authority for adult residential room and board rate increases within the alcohol and drug system, after receipt of the agency's study on both the youth and adult system, during the 2014 legislative session. Based on the findings of that study, some or all of this funding could be allocated at that time.
- \$100,000 General Fund for the Oregon Health Authority for staffing needs related to the Dental Pilot Projects. These projects were established in Senate Bill 738 (2011), but no funding was provided. While the funding for the pilots is expected to come from foundations and private funders, the agency needs staff to manage the program.

If these special purpose appropriations are not allocated by the Emergency Board before December 1, 2014, any remaining funds become available to the Emergency Board for general purposes.

Adjustments to Approved 2013-15 Budgets

OMNIBUS ADJUSTMENTS

Omnibus adjustments reflect budget changes in multiple agencies based on reductions in Department of Administrative Services' assessments and charges for services, a reduction to the Attorney General rates, an increase resulting from a new Secretary of State Archives assessment, and debt service adjustments. Also included is a 5% reduction to services and supplies (excluding the fixed costs of State Government Service Charges, Attorney General charges, rent, and fuel and utilities) that is applied to General Fund and certain Lottery Funds only. Total savings are \$36.5 million General Fund, \$1.7 million Lottery Funds, \$5.7 million Other Funds, and \$1.6 million Federal Funds.

Omnibus adjustments also include a 2% supplemental ending balance holdback that is applied primarily to General Fund, and excludes debt service as well as selected programs. This reduction may be restored during the 2014 legislative session depending on statewide economic conditions. Agency detail for this adjustment is shown in Attachment A. Total budget reductions include \$154.9 million General Fund and \$1.4 million Lottery Funds.

Another statewide adjustment, which is included in agency budget bills and not in House Bill 5008, affects most state agencies. Package 091 (Statewide Administrative Savings) is a placeholder for administrative efficiencies and associated budget reductions in finance, information technology, human resources, accounting, payroll, and procurement expenditures. The Subcommittee affirmed that the reductions, at \$62.0 million total funds, are permanent and ongoing as they reflect fundamental changes in business processes. The Department of Administrative Services (DAS) will continue to work on details of these reductions with agencies and report to the Joint Committee on Ways and Means during the 2014 session. Agencies should direct concerns regarding permanency or implementation of the reductions to DAS. The Department will include a plan for resolving any issues related to these reductions as part of its 2014 report.

2015-2017 GOVERNOR'S BUDGET

77th OREGON LEGISLATIVE ASSEMBLY – 2014 Session
BUDGET REPORT AND MEASURE SUMMARY

JOINT COMMITTEE ON WAYS AND MEANS

MEASURE: HB 5201-A

Carrier – House: Rep. Buckley

Carrier – Senate: Sen. Devlin

Action: Do Pass as Amended and be Printed A-Engrossed

Vote: 21 – 5 – 0

House

Yeas: Barker, Buckley, Frederick, Huffman, Jenson, Komp, Nathanson, Read, Smith, Tomei, Williamson

Nays: Hanna, Freeman, McLane, Richardson

Exc:

Senate

Yeas: Bates, Devlin, Edwards, Girod, Hansell, Johnson, Monroe, Steiner Hayward, Thomsen, Winters

Nays: Whitsett

Exc:

Prepared By: Linda Ames and Laurie Byerly, Legislative Fiscal Office

Reviewed By: Daron Hill, Legislative Fiscal Office

Meeting Date: March 6, 2014

Agency

Various Agencies

Emergency Board

Biennium

2013-15

HB 5201-A
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2015-2017 GOVERNOR'S BUDGET

Budget Summary⁽¹⁾

Emergency Board

	2013-15 Legislatively Approved Level⁽²⁾	2014 Committee Recommendation	Committee Change from 2013-15 Leg. Approved	
			\$\$ Change	% Change
General Fund - General Purpose	\$ 30,000,000	\$ 30,000,000	\$ -	0.0%
General Fund - Special Purpose Appropriations				
State employee compensation changes	\$ 86,500,000	\$ -	\$ (86,500,000)	-100.0%
Home health care worker compensation	\$ 12,900,000	\$ -	\$ (12,900,000)	-100.0%
Department of Education - student assessments	\$ 4,600,000	\$ -	\$ (4,600,000)	-100.0%
Department of Education - youth development	\$ 1,789,557	\$ -	\$ (1,789,557)	-100.0%
Housing & Community Services - various	\$ 9,215,066	\$ -	\$ (9,215,066)	-100.0%
Oregon Health Authority - A&D rate increases	\$ 3,300,000	\$ -	\$ (3,300,000)	-100.0%
Oregon Health Authority - dental pilots	\$ 100,000	\$ -	\$ (100,000)	-100.0%
Seniors	\$ 26,000,000	\$ 12,704,627	\$ (13,295,373)	-51.1%
Oregon Health Authority/Department of Human Services - future costs	\$ -	\$ 24,000,000	\$ 24,000,000	0.0%
Oregon University System/Higher Ed Coordinating Commission - future costs	\$ -	\$ 3,500,000	\$ 3,500,000	0.0%
Judicial Department - operations	\$ -	\$ 1,300,000	\$ 1,300,000	0.0%
Judicial Department - debt collection costs	\$ -	\$ 700,000	\$ 700,000	0.0%

ADMINISTRATION PROGRAM AREA

Department of Administrative Services

General Fund	\$ 11,148,091	\$ 11,914,443	\$ 766,352	6.9%
Other Funds	\$ 877,567,512	\$ 907,312,584	\$ 29,745,072	3.4%

Oregon Advocacy Commissions Office

General Fund	\$ 399,995	\$ 425,525	\$ 25,530	6.4%
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Employment Relations Board

General Fund	\$ 1,894,849	\$ 2,061,040	\$ 166,191	8.8%
Other Funds	\$ 1,901,273	\$ 2,140,264	\$ 238,991	12.6%

Government Ethics Commission

Other Funds	\$ 1,935,994	\$ 1,976,802	\$ 40,808	2.1%
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Office of the Governor

General Fund	\$ 10,007,383	\$ 11,401,846	\$ 1,394,463	13.9%
Lottery Funds	\$ 3,261,204	\$ 3,376,215	\$ 115,011	3.5%
Other Funds	\$ 2,809,734	\$ 2,910,655	\$ 100,921	3.6%

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2015-2017 GOVERNOR'S BUDGET

	2013-15 Legislatively Approved Level ⁽²⁾	2014 Committee Recommendation	Committee Change from 2013-15 Leg. Approved	
			\$\$ Change	% Change
<u>Oregon State Library</u>				
General Fund	\$ 1,591,908	\$ 1,629,976	\$ 38,068	2.4%
Other Funds	\$ 2,774,285	\$ 2,810,561	\$ 36,276	1.3%
Federal Funds	\$ 2,463,398	\$ 2,478,210	\$ 14,812	0.6%
<u>Oregon Liquor Control Commission</u>				
Other Funds	\$ 150,626,250	\$ 151,875,699	\$ 1,249,449	0.8%
<u>Public Employees Retirement System</u>				
Other Funds	\$ 84,002,344	\$ 88,153,980	\$ 4,151,636	4.9%
<u>Racing Commission</u>				
Other Funds	\$ 5,821,294	\$ 5,905,502	\$ 84,208	1.4%
<u>Department of Revenue</u>				
General Fund	\$ 165,191,130	\$ 166,641,759	\$ 1,450,629	0.9%
Other Funds	\$ 65,256,876	\$ 64,202,113	\$ (1,054,763)	-1.6%
<u>Secretary of State</u>				
General Fund	\$ 8,476,735	\$ 8,663,845	\$ 187,110	2.2%
Other Funds	\$ 48,895,140	\$ 50,265,885	\$ 1,370,745	2.8%
Federal Funds	\$ 7,710,474	\$ 5,045,103	\$ (2,665,371)	-34.6%
<u>Treasurer of State</u>				
Other Funds	\$ 45,149,748	\$ 46,683,387	\$ 1,533,639	3.4%
<u>CONSUMER AND BUSINESS SERVICES PROGRAM AREA</u>				
<u>Board of Accountancy</u>				
Other Funds	\$ 2,073,326	\$ 2,104,122	\$ 30,796	1.5%
<u>Board of Chiropractic Examiners</u>				
Other Funds	\$ 1,454,717	\$ 1,480,033	\$ 25,316	1.7%
<u>Construction Contractors Board</u>				
Other Funds	\$ 15,874,620	\$ 16,287,732	\$ 413,112	2.6%

2015-2017 GOVERNOR'S BUDGET

	2013-15 Legislatively Approved Level ⁽²⁾	2014 Committee Recommendation	Committee Change from 2013-15 Leg. Approved	
			\$\$ Change	% Change
<u>Department of Consumer and Business Services</u>				
Other Funds	\$ 210,350,105	\$ 215,686,144	\$ 5,336,039	2.5%
Federal Funds	\$ 984,288	\$ 5,636,901	\$ 4,652,613	472.7%
<u>Board of Licensed Professional Counselors and Therapists</u>				
Other Funds	\$ 1,096,822	\$ 1,128,319	\$ 31,497	2.9%
<u>Board of Dentistry</u>				
Other Funds	\$ 2,581,266	\$ 2,606,916	\$ 25,650	1.0%
<u>Oregon Health Licensing Agency</u>				
Other Funds	\$ 7,642,521	\$ 3,882,241	\$ (3,760,280)	-49.2%
<u>Health Related Licensing Boards</u>				
State Mortuary and Cemetery Board				
Other Funds	\$ 1,409,105	\$ 1,446,342	\$ 37,237	2.6%
Board of Naturopathic Medicine				
Other Funds	\$ 631,110	\$ 653,339	\$ 22,229	3.5%
Occupational Therapy Licensing Board				
Other Funds	\$ 367,857	\$ 380,132	\$ 12,275	3.3%
Board of Medical Imaging				
Other Funds	\$ 836,832	\$ 856,351	\$ 19,519	2.3%
State Board of Examiners for Speech-Language Pathology and Audiology				
Other Funds	\$ 529,895	\$ 544,232	\$ 14,337	2.7%
Oregon State Veterinary Medical Examining Board				
Other Funds	\$ 740,203	\$ 756,229	\$ 16,026	2.2%
<u>Bureau of Labor and Industries</u>				
General Fund	\$ 11,517,209	\$ 11,846,552	\$ 329,343	2.9%
Other Funds	\$ 9,871,681	\$ 10,550,519	\$ 678,838	6.9%
Federal Funds	\$ 1,495,043	\$ 1,683,613	\$ 188,570	12.6%
<u>Oregon Medical Board</u>				
Other Funds	\$ 10,453,997	\$ 10,729,843	\$ 275,846	2.6%
<u>Board of Nursing</u>				
Other Funds	\$ 14,196,228	\$ 14,493,701	\$ 297,473	2.1%

2015-2017 GOVERNOR'S BUDGET

	2013-15 Legislatively Approved Level ⁽²⁾	2014 Committee Recommendation	Committee Change from 2013-15 Leg. Approved	
			\$ Change	% Change
<u>Board of Pharmacy</u>				
Other Funds	\$ 5,783,198	\$ 5,957,609	\$ 174,411	3.0%
<u>Board of Psychologist Examiners</u>				
Other Funds	\$ 1,005,553	\$ 1,038,215	\$ 32,662	3.2%
<u>Public Utility Commission</u>				
Other Funds	\$ 40,049,729	\$ 40,901,178	\$ 851,449	2.1%
Federal Funds	\$ 2,444,367	\$ 2,474,000	\$ 29,633	1.2%
<u>Real Estate Agency</u>				
Other Funds	\$ 7,053,979	\$ 7,277,657	\$ 223,678	3.2%
<u>Board of Licensed Social Workers</u>				
Other Funds	\$ 1,350,215	\$ 1,395,325	\$ 45,110	3.3%
<u>Board of Tax Practitioners</u>				
Other Funds	\$ 1,157,125	\$ 1,183,845	\$ 26,720	2.3%
<u>ECONOMIC AND COMMUNITY DEVELOPMENT PROGRAM AREA</u>				
<u>Oregon Business Development Department</u>				
General Fund	\$ 8,250,274	\$ 8,371,867	\$ 121,593	1.5%
Lottery Funds	\$ 115,478,577	\$ 117,386,290	\$ 1,907,713	1.7%
Other Funds	\$ 67,638,351	\$ 77,605,374	\$ 9,967,023	14.7%
Federal Funds	\$ 38,781,008	\$ 38,841,307	\$ 60,299	0.2%
<u>Employment Department</u>				
Other Funds	\$ 120,522,794	\$ 127,704,596	\$ 7,181,802	6.0%
Federal Funds	\$ 159,967,135	\$ 168,973,715	\$ 9,006,580	5.6%
<u>Housing and Community Services Department</u>				
General Fund	\$ 8,593,855	\$ 19,401,247	\$ 10,807,392	125.8%
Other Funds	\$ 73,939,411	\$ 138,522,567	\$ 64,583,156	87.3%
Federal Funds	\$ 77,993,813	\$ 117,493,813	\$ 39,500,000	50.6%

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Position Summary

	<u>2013-15 Legislatively Approved Level</u>	<u>2014 Committee Recommendation</u>	<u>Committee Change from 2013-15 Leg. Approved</u>	
			<u>Change</u>	<u>% Change</u>
<u>Department of Administrative Services</u>				
Authorized Positions	790	806	16	2.0%
Full-time Equivalent (FTE) positions	784.68	792.40	7.72	1.0%
<u>Oregon Liquor Control Commission</u>				
Authorized Positions	233	230	(3)	-1.3%
Full-time Equivalent (FTE) positions	227.63	225.13	(2.50)	-1.1%
<u>Department of Revenue</u>				
Authorized Positions	1,081	1,074	(7)	-0.6%
Full-time Equivalent (FTE) positions	1,024.49	1,016.66	(7.83)	-0.8%
<u>Construction Contractors Board</u>				
Authorized Positions	75	75	-	0.0%
Full-time Equivalent (FTE) positions	75.00	74.50	(0.50)	-0.7%
<u>Department of Consumer and Business Services</u>				
Authorized Positions	927	918	(9)	-1.0%
Full-time Equivalent (FTE) positions	919.97	911.97	(8.00)	-0.9%
<u>Oregon Health Licensing Agency</u>				
Authorized Positions	35	35	-	0.0%
Full-time Equivalent (FTE) positions	35.00	17.50	(17.50)	-50.0%
<u>Bureau of Labor and Industries</u>				
Authorized Positions	100	101	1	1.0%
Full-time Equivalent (FTE) positions	98.50	98.38	(0.12)	-0.1%
<u>Oregon Medical Board</u>				
Authorized Positions	40	39	(1)	-2.5%
Full-time Equivalent (FTE) positions	38.79	38.33	(0.46)	-1.2%
<u>Public Utility Commission</u>				
Authorized Positions	131	130	(1)	-0.8%
Full-time Equivalent (FTE) positions	128.25	127.25	(1.00)	-0.8%
<u>Real Estate Agency</u>				
Authorized Positions	30	29	(1)	-3.3%
Full-time Equivalent (FTE) positions	30.00	29.50	(0.50)	-1.7%

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Summary of Revenue Changes

The General Fund appropriations made in the bill are within resources available as projected in the February 2014 economic and revenue forecast by the Department of Administrative Services Office of Economic Analysis, supplemented by one-time savings identified in several agencies, as well as a transfer from Secretary of State's Corporate Division as authorized in House Bill 4157.

Summary of Capital Construction Subcommittee Action

House Bill 5201 is the omnibus budget reconciliation bill for the 2014 legislative session, implementing the statewide rebalance plan that addresses changes in projected revenues and expenditures since the close of the 2013 session. The Subcommittee approved House Bill 5201 with amendments to reflect budget adjustments as described below.

Statewide Adjustments

EMPLOYEE COMPENSATION DISTRIBUTION

The Subcommittee approved allocating \$86.5 million General Fund to state agencies for employee compensation. This amount is 100% of the General Fund special purpose appropriation to the Emergency Board, made by House Bill 5008 (2013) for employee compensation. Also approved were associated expenditure limitation increases of \$2.6 million Lottery Funds, \$84.7 million Other Funds, and \$44.2 million Federal Funds. The General Fund component is expected to cover about 90% of the statewide estimate of costs for compensation and benefit changes agreed to through collective bargaining or other salary agreements. Lottery Funds, Other Funds, and Federal Funds expenditure limitations are calculated at the full cost estimate level.

Section 105 of the budget bill reflects the employee compensation amounts approved for each agency; adjustments for agencies are not addressed in the agency narratives, although they are included in the table at the beginning of the budget report.

2% HOLDBACK

House Bill 5008 (2013) included a 2% supplemental ending balance holdback that was primarily applied to General Fund, and excluded debt service as well as selected programs. Agencies' 2013-15 legislatively adopted budgets were reduced by these amounts. With the current statewide economic conditions and revenue forecast, these budget reductions are being partially restored. Generally, 25% of the holdback is being restored to each affected agency, although there are a number of exceptions. Restorations include a total of \$68.3 million General Fund and \$0.3 million Lottery Funds. Section 104 of the budget bill reflects the restoration amounts for each agency. More detail is available in the narrative for each affected agency; agencies without General Fund or Lottery Funds in their budgets were not affected.

PACKAGE 091

Package 091 Statewide Administrative Savings is another 2013-15 adjustment affecting most agency budgets and captured in agency budget bills. The 2013 Legislature approved this package of \$62.0 million total funds in permanent reductions as a placeholder for administrative efficiencies.

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The placeholder, which carried forward from the Governor's budget, was simply a pro rata calculation across personal services and services and supplies expenditures in administrative budget structures. The Department of Administrative Services (DAS) committed to work out reduction details with agencies and report to the Joint Committee on Ways and Means during the 2014 session. The areas targeted for reduction were finance, information technology, human resources, accounting, payroll, and procurement expenditures.

The legislative expectation was that DAS would present a statewide plan identifying specific efficiencies and realigning placeholder reductions, if needed. However, DAS did not come forward with a plan, but instead reported that efforts to realize the savings in Package 091 met with unexpected challenges. These include actual savings taking longer than one biennium to materialize and some ideas requiring up front investments that would offset any near term savings. Since DAS failed to deliver a plan, the Legislative Fiscal Office worked with state agencies and their DAS budget analysts to replace placeholders with specific reduction actions. For some agencies, the updated Package 091 will deviate from placeholder expenditure categories or appropriations; these changes are described in the individual agency narratives. Agency narratives, where applicable, will also identify position count and full-time equivalent (FTE) decreases.

Emergency Board

The Emergency Board allocates General Fund and provides Lottery Funds, Other Funds, and Federal Funds expenditure limitation to state agencies for unanticipated needs in approved agency budgets when the Legislature is not in session. As part of the 2013-15 biennium statewide rebalance plan, House Bill 5201 adjusts the Emergency Board's special purpose appropriations as described below:

- Eliminates a special purpose appropriation for state agencies of \$86.5 million, with corresponding General Fund appropriations to various state agencies for state employee compensation changes.
- Eliminates a special purpose appropriation for state agencies of \$12.9 million, with corresponding General Fund appropriations to the Department of Human Services (\$11,038,678) and the Oregon Health Authority (\$1,861,322) for compensation changes for home health care workers who are not state employees.
- Eliminates a special purpose appropriation for the Oregon Department of Education (ODE) of \$4.6 million, with a General Fund appropriation of \$4.4 million to ODE for assessments including those assessments required under the federal Elementary and Secondary Act and linked to Common Core Standards.
- Eliminates a special purpose appropriation for the Oregon Department of Education (ODE) of \$1,789,557, with a corresponding General Fund appropriation to the ODE for program funding for the second year of the biennium for youth development grants, performance-based contracts, and services at the local level.
- Eliminates multiple special purpose appropriations for the Housing and Community Services Department totaling \$9,215,066, with a General Fund appropriation of \$8,540,066 to the Housing and Community Services Department for second year operations, and an appropriation of \$225,000 to the agency for one-time supplemental funding to the Oregon Hunger Response Fund for fiscal year 2014.
- Eliminates a special purpose appropriation for the Oregon Health Authority of \$3.3 million, with a General Fund appropriation of \$2,942,895 to the Oregon Health Authority for adult residential rate increases within the alcohol and drug system.

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- Eliminates a special purpose appropriation for the Oregon Health Authority of \$100,000, with a corresponding General Fund appropriation to the Oregon Health Authority for staffing needs related to the Dental Pilot Projects.
- Reduces the special purpose appropriation of \$26 million for seniors made by House Bill 5101 (2013 Special Session) to appropriate \$13,295,373 General Fund to programs benefitting seniors in multiple agencies, but primarily in the Department of Human Services.
- Establishes a \$24 million special purpose appropriation for the Oregon Health Authority or the Department of Human Services for caseload costs or other budget challenges that the agencies are unable to mitigate.
- Establishes a \$3.5 million special purpose appropriation to be allocated for future costs associated with higher education governance changes; including costs incurred by the Higher Education Coordinating Commission as duties and responsibilities are transferred from the Chancellor's Office to the Commission and for impacts on the budgets of the four technical and regional universities.
- Establishes a \$1.3 million special purpose appropriation to be allocated to the Judicial Department for Operations support. The Department may request funds to finance one-time operations investments and service increases.
- Establishes a \$700,000 special purpose appropriation to be allocated to the Judicial Department for third-party debt collection costs.

If remaining special purpose appropriations are not allocated by the Emergency Board before December 1, 2014, any remaining funds become available to the Emergency Board for general purposes.

The Subcommittee established a reservation within the general purpose Emergency Fund of \$350,000 for the Board of Parole and Post-Prison Supervision (BPPPS) for replacement of the Parole Board Management Information System (PBMS). Allocation of the reservation is contingent upon the Board providing a project budget, project plan, and periodic status reports. BPPPS may request allocation of the reservation from the Emergency Board after the Legislative Fiscal Office approves the project budget and project plan.

A reservation was also established within the general purpose Emergency Fund of \$3.5 million for the Statewide Longitudinal Data System proposed by the Oregon Education Investment Board (OEIB), Oregon Department of Education (ODE), and the Higher Education Coordinating Commission (HECC). Prior to the release of this reservation, the agencies must meet the requirements of the budget note included in this bill for OEIB relating to completing a refined business case, development of a comprehensive set of foundational project management documents, and completion of an assessment of the foundational project management documents and a project risk assessment by an independent Quality Assurance contractor.

Adjustments to Agency Budgets

ADMINISTRATION

Department of Administrative Services

The Subcommittee approved an \$8,644,690 Other Funds expenditure limitation increase for Enterprise Technology Services (ETS) to begin a \$40 million technology equipment lifecycle replacement plan. Due to continued use of equipment past its useful life, the state is now experiencing unacceptable levels of technology service outages that impact state government operations. DAS estimates there is now more than \$40 million

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Budget Note:

The Bureau of Labor and Industries, in consultation with the Department of Administrative Services, will convene a stakeholder workgroup to include not more than four Qualified Rehabilitation Facilities (QRFs) or a representative representing a majority of the QRFs in the state; labor unions representing QRFs; at least one organization representing the disability rights community; and any other interest with employee or business interests related to QRFs that voluntarily participate, during the 2014 interim. The workgroup will meet at least four times to develop concepts to address the workforce support needs of employees with disabilities employed by QRFs. These concepts may be presented to the 2015 Legislative Assembly for possible introduction as a bill.

Oregon Medical Board

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, eliminating one position and 0.46 FTE in administration and the remaining reductions taken in services and supplies.

Board of Nursing

No revisions were needed to implement Package 091 Statewide Administrative Savings, consistent with the original placeholder reduction.

Board of Pharmacy

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, reallocating among expenditure accounts to affect permanent administrative cost reductions. Other Funds personal services reductions of \$3,693 and services and supplies reductions of \$1,503 are reallocated entirely to agency services and supplies.

Public Utility Commission

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, eliminating a mailroom assistant position (1.00 FTE). A portion of the reduction originally applied to services and supplies was shifted to personal services.

Real Estate Agency

The Subcommittee approved an updated Package 091 Statewide Administrative Savings, eliminating one position and 0.50 FTE.

Board of Licensed Social Workers

No revisions were needed to implement Package 091 Statewide Administrative Savings, consistent with the original placeholder reduction.

Board of Tax Practitioners

No revisions were needed to implement Package 091 Statewide Administrative Savings, consistent with the original placeholder reduction.

2015-2017 GOVERNOR'S BUDGET

EMERGENCY BOARD MINUTES

Oregon Legislative Emergency Board

Certificate

September 17, 2014

Pursuant to the provisions of ORS 291.328, and acting under the authority of ORS 286A.160(3); ORS 291.326(1)(a), (b), (c), and (d); ORS 291.371; and ORS 291.375; this hereby certifies that the Emergency Board, meeting on September 17, 2014, took the following actions:

47. Public Utility Commission

Increased the Federal Funds expenditure limitation established for the Public Utility Commission by section 2, chapter 291, Oregon Laws 2013, by \$309,200 for unspent American Recovery and Reinvestment Act grant funds so the agency may complete broadband mapping and planning projects pursuant to the federal Broadband Data Improvement Act.

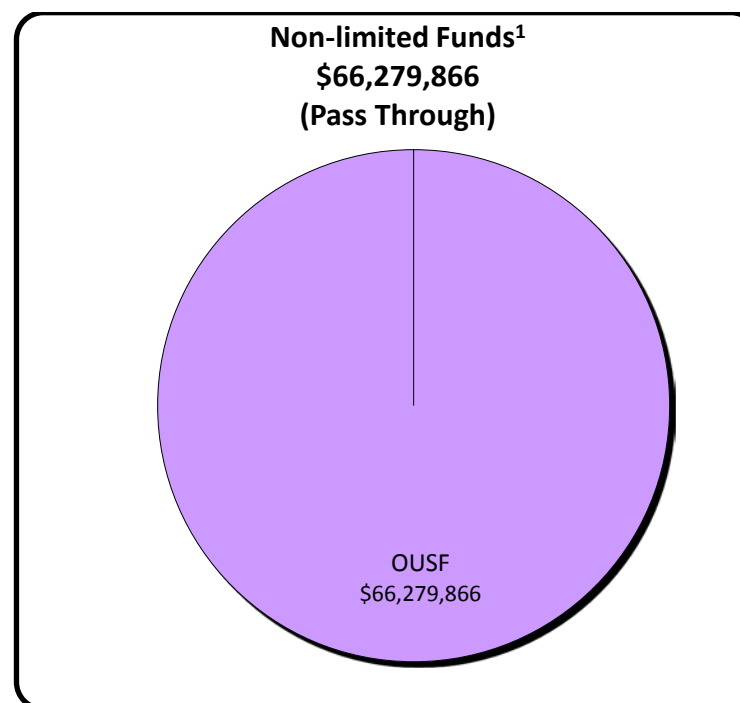
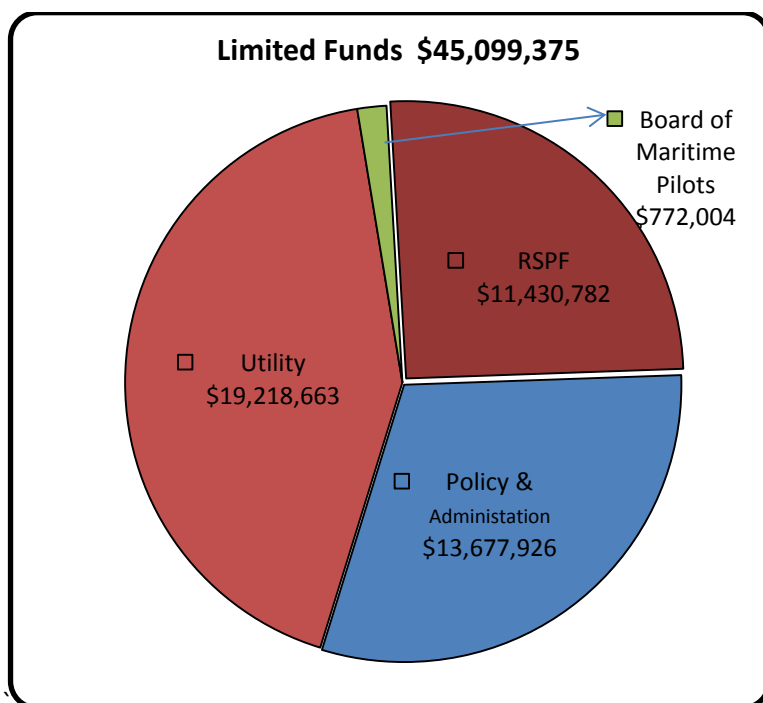
2015-2017 GOVERNOR'S BUDGET

AGENCY SUMMARY NARRATIVE

BUDGET SUMMARY GRAPHICS

OTHER FUND AND FEDERAL FUND DISBURSEMENTS

TOTAL DISBURSEMENTS
ALL PROGRAMS
\$111,379,241



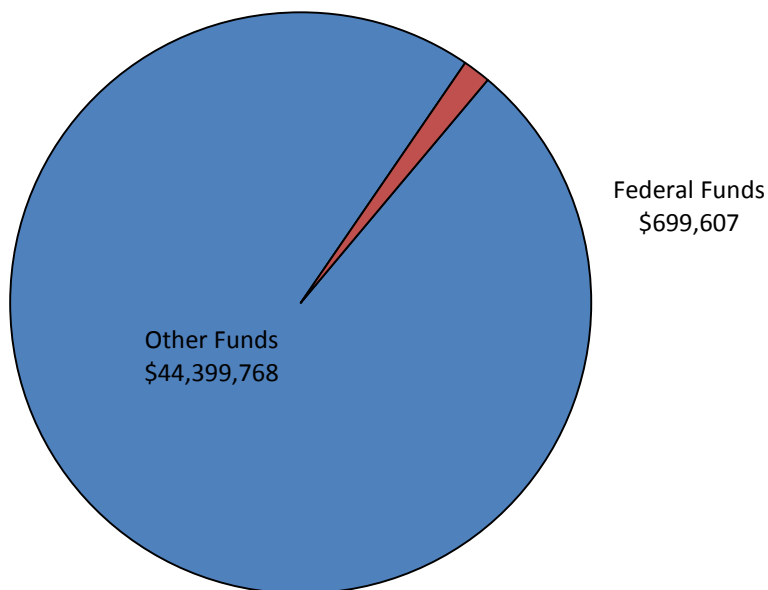
¹ These expenditures are mandated by statute (ORS 759.425) that requires the PUC to establish and administer the Oregon Universal Service Fund (OUSF) to collect funds from all retail telecommunications providers for service sold in Oregon, and then pass those funds on to high-cost area telecommunications providers.

2015-2017 GOVERNOR'S BUDGET

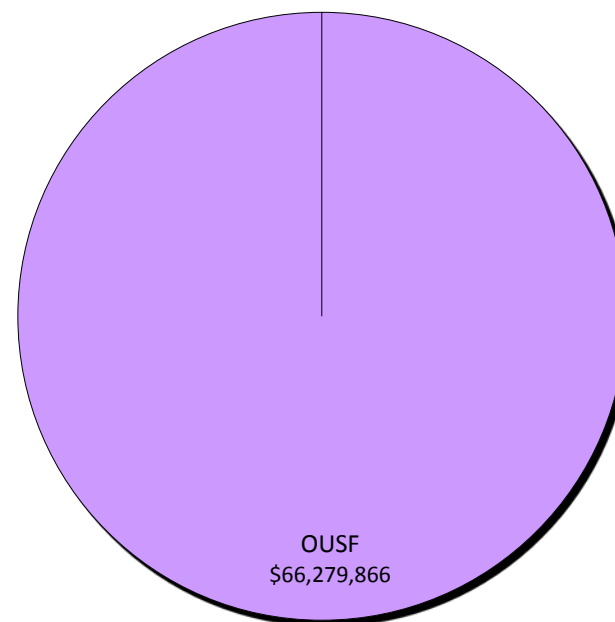
COMPARISON OF BIENNIAL EXPENDITURES BY FUNDS

ALL FUNDS
\$111,379,241

AGENCY OPERATING EXPENDITURES
All Funds - Limited \$45,099,375



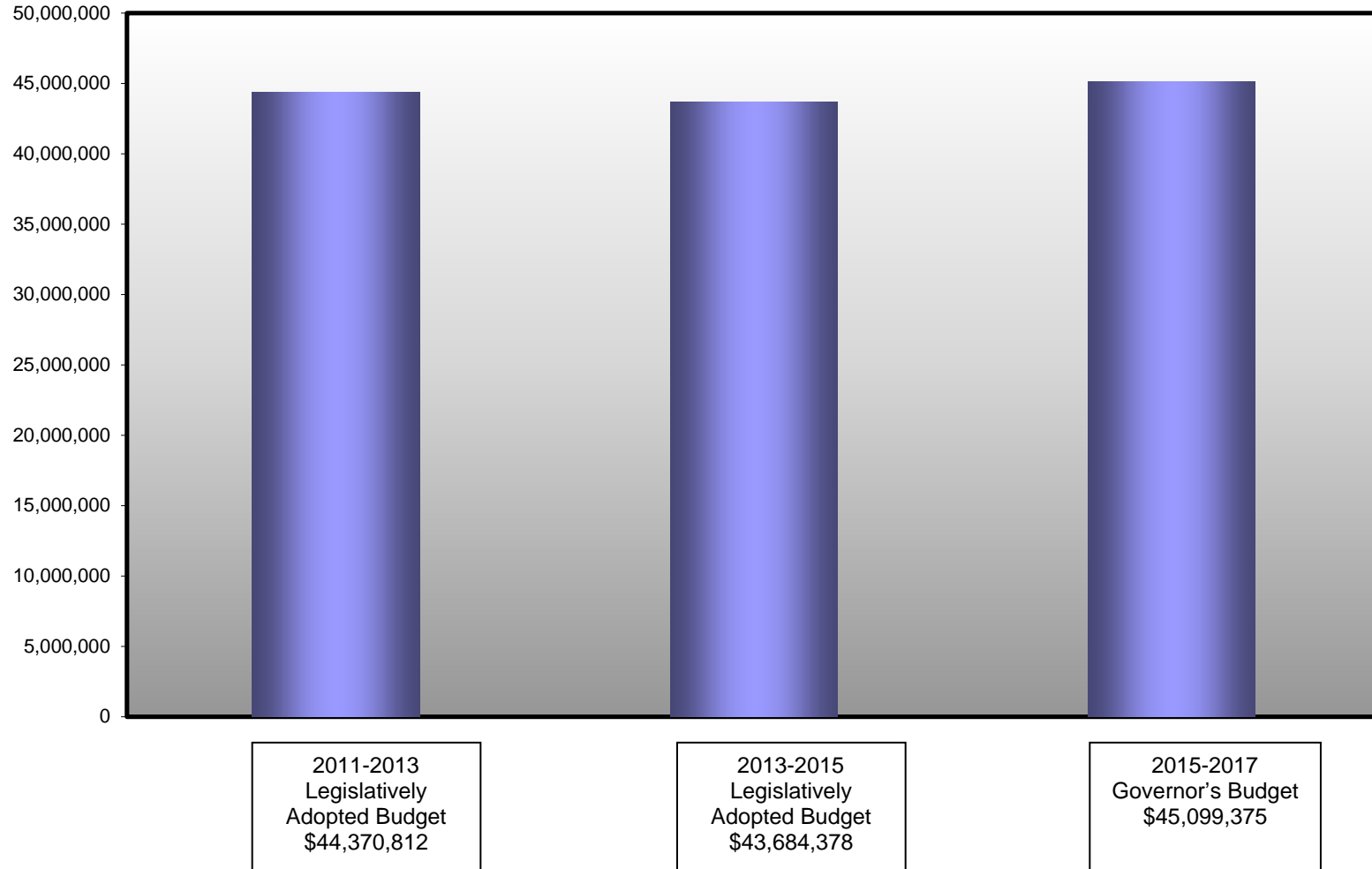
Non-limited Funds¹
\$66,279,866
(Pass Through)



¹ These expenditures are mandated by statute (ORS 759.425), that requires the PUC to establish and administer the Oregon Universal Service Fund (OUSF) to collect funds from all retail telecommunications providers for service sold in Oregon and then pass those funds on to high-cost area telecommunications providers.

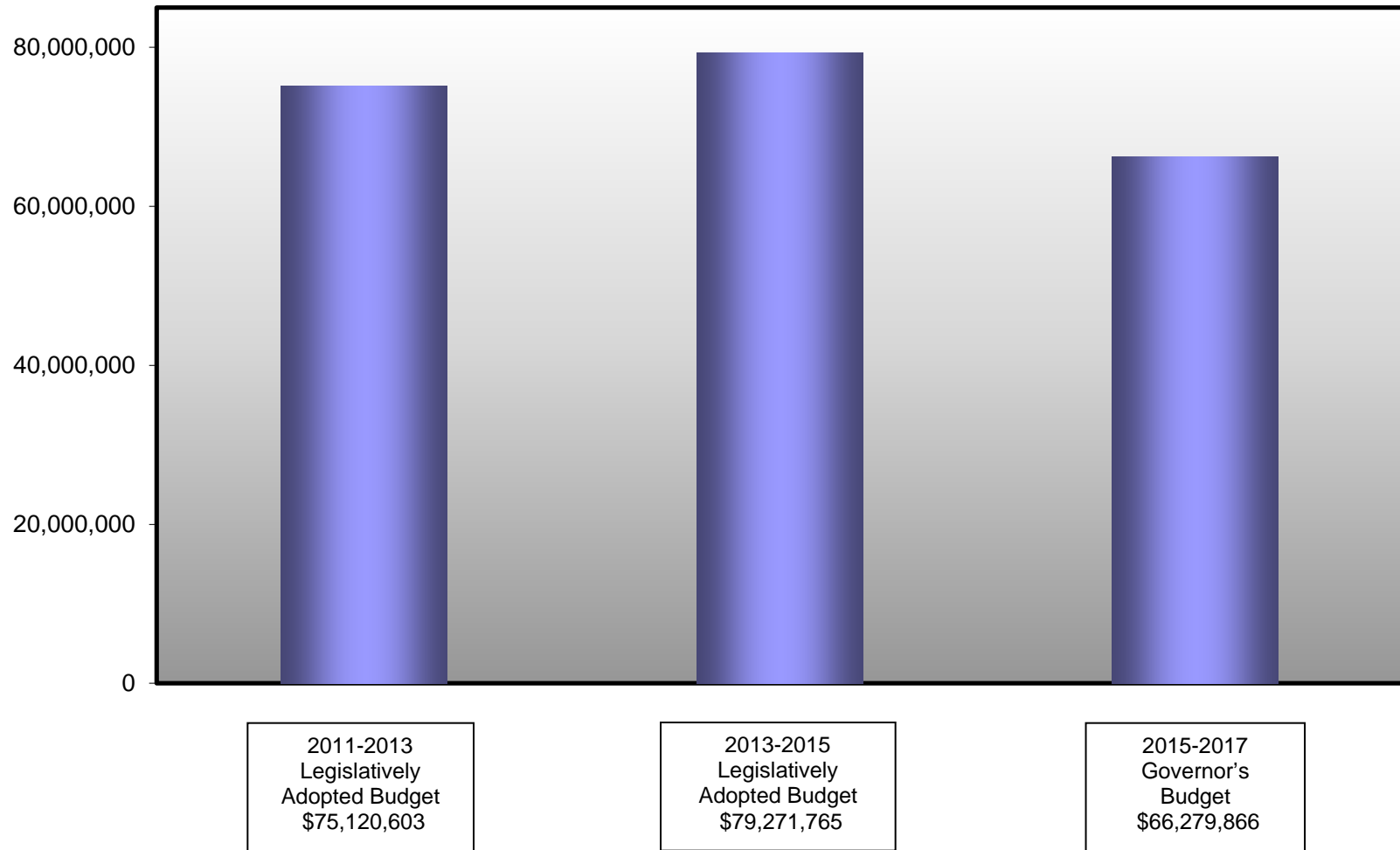
2015-2017 GOVERNOR'S BUDGET

COMPARISON OF BIENNIAL EXPENDITURES BY FUND LIMITED, OTHER AND FEDERAL FUNDS



2015-2017 GOVERNOR'S BUDGET

COMPARISON OF BIENNIAL EXPENDITURES¹ BY BIENNIUM NON-LIMITED PASS-THROUGH FUNDS



¹ These expenditures are mandated by statute (ORS 759.425) that requires the PUC to establish and administer an Oregon Universal Service Fund to collect funds from all retail telecommunications providers for service sold in Oregon, and then to pass those funds on to high-cost area telecommunications providers.

2015-2017 GOVERNOR'S BUDGET

MISSION STATEMENT

The PUC is responsible for regulating rates and services offered by private Oregon electric and natural gas utilities, telecommunications companies, and water companies.

The PUC's mission is to:

“Ensure that safe and reliable utility services are provided to consumers at just and reasonable rates through regulation and promoting the development of competitive markets.”

The Commission actions are governed by state laws, federal laws, and judicial decisions. Both Congress and the Oregon Legislature have passed laws to encourage competition in the electricity and telecommunication marketplaces.

STATUTORY AUTHORITY

The PUC bases its authority on several chapters of state law.

- Oregon Revised Statute 756 sets out the agency's general powers:
 - Authorizes the Commission to “represent the customers of any electric and natural gas utility, telecommunications utility, water utility and the public generally in all controversies respecting rates, valuations, service and all matters of which the Commission has jurisdiction.”
 - Authorizes the Commission to set rates and determine the terms and conditions of service by utilities.

- Authorizes the Commission to investigate the management and records of regulated utilities, investigate complaints and take other actions to protect customers.
- Requires the Commission to “balance the interests of the utility investor and the consumer in establishing fair and reasonable rates.”
- ORS 757 and 758 sets out laws for energy and water regulation.
- ORS 759 sets out laws for telecommunication regulation.
- Oregon Administrative Rules Chapter 860 defines standards the PUC uses to carry out its responsibilities. These rules deal with all aspects of regulation, including rate filing procedures, safety standards, and customer complaint procedures.
- Other applicable statutes are found in ORS Chapters 290, 469, 469A, and 772.

FUNDING

The PUC receives no General or Lottery funds. Commission protection/oversight costs consumers of regulated utilities, on average, about 17 cents per month on natural gas, electric, telecommunications, and water bills.

2015-2017 GOVERNOR'S BUDGET

AGENCY 2015-2017 BUSINESS PLAN

During 2015-2017, the PUC will:

- Approve the electricity, natural gas, and water rates charged to Oregon homes and businesses served by Oregon regulated utilities. (Safety Outcome; Key Performance Measures (KPM) 1, 2, 3, and 4)
- Set and enforce price and service rules that protect consumers. (Safety Outcome; KPMs 14, 17, and 18)
- Ensure that pipelines, power lines, and other energy facilities operate safely and reliably. (Safety Outcome; KPMs 10, 11, and 12)
- Ensure that utilities comply with all state and federal laws governing their resource choices. (Safety Outcome; KPMs 3 and 4)
- Provide all customers options for controlling their energy use and bills. (Safety Outcome; KPMs 3 and 4)
- Ensure that utilities operate efficiently and, through their resource choices, meet their customer's needs at the lowest possible cost and risk. (Safety Outcome; KPMs 2, 3, and 4)
- Set and enforce rules for fair, effective competition in the energy and telecommunication industries. (Safety Outcome; KPMs 3 and 13)
- Resolve customer complaints about utility service in a timely, effective manner. (Safety Outcome; KPMs 14, 17, and 18)
- Promote an environment in which new, innovative telecommunications and energy technologies that benefit consumers can thrive. (Safety Outcome; KPMs 4 and 13 – Healthy People Outcome; KPMs 15 and 16)
- Ensure the Energy Trust of Oregon (ETO) meets established internal performance measures related to energy efficiency, renewable energy development, financial integrity, program delivery efficiency, customer satisfaction and benefit/cost ratios, as prescribed by the PUC. (Safety Outcome; KPMs 21a, 21b, 21c, 21d, and 21e)
- Set and enforce standards to ensure high-quality utility service to Oregon consumers. (Safety Outcome; KPMs 1, 2, and 18)
- Ensure that Oregon's regulations keep pace with changing technology and market conditions and continue to benefit consumers. (Safety Outcome; KPMs 3, 4, and 13)
- Influence federal telecommunications and energy laws and policies to benefit Oregon consumers. (Safety Outcome; KPMs 4 and 13)
- Ensure that utilities are prepared to respond effectively to major disasters and cyber-attacks. (Safety Outcome)
- Oversee programs to ensure phone service is affordable and accessible to all Oregonians. (Healthy People Outcome; KPMs 15 and 16)

2015-2017 GOVERNOR'S BUDGET

- Through the Oregon Board of Maritime Pilots assist the public health, safety, and welfare of Oregonians by ensuring that only the best-qualified persons are licensed to pilot vessels. (Safety Outcome; KPMs 19 and 20)

2015-2017 GOVERNOR'S BUDGET

AGENCY PROCESS IMPROVEMENT EFFORTS

- Reduced Supervisory Managerial positions from 25 to 15 through a thoughtful and effective reorganization. Met HB 4131 requirements to October 1, 2015.
- Updated Energy Trust of Oregon (ETO) Key Performance Measures to improve the tie-in between PUC internal performance measures and legislatively mandated performance measures.
- Reduced administrative personnel to allow for funding for additional program personnel to meet increased statutory requirements concerning solar programs, greenhouse gas initiatives, "green tariff" reviews, energy efficiency power purchase agreements, and renewable portfolio standards.
- Implemented an Electronic Document Management System that currently holds over two million documents.
- Consolidated and centralized the fee collection process. This has reduced the number of staff involved in this process, cleaned up the agency's database, and improved the collection process.
- Centralized travel coordination to improve the processes for staff traveling in state and out of state. This change has reduced travel-related errors.
- Centralized office supplies ordering and storage to reduce duplication of supplies, storage space requirements, and ensure availability of supplies when needed.
- Established a small office in Portland for staff required to work with utility companies and stakeholders headquartered in the Portland area. A small portion of this office is also shared with the Oregon Department of Energy (ODOE). This has created efficiencies in staff travel requirements and opportunities to provide increased customer service to utilities and stakeholders.
- Worked with Department of Administrative Services (DAS) Facilities to initiate a major renovation of the PUC building, which was required to maintain the integrity of the building. This required relocation of the agency to an alternate location in Salem.
- Currently implementing a revised eFiling process to further reduce use of paper copies in agency proceedings and to eliminate service requirements of parties in contested case proceedings.
- Restructured the agency Filing Center to streamline business practices and centralize processing of all incoming documents related to agency proceedings.

2015-2017 GOVERNOR'S BUDGET

- Met with stakeholders and held Commission workshops to update the agency's internal operating guidelines. The guidelines are intended to inform the public and Commission employees of the decision-making practices of the agency, and to clarify the respective responsibilities of Administrative Law Judges (ALJs), advisory and advocacy Staff, and Assistant Attorney Generals (AAGs) assigned from Department of Justice (DOJ).
- Entered into an interagency agreement with DAS Central Payroll to reduce the challenges of payroll and benefit coordination. The agreement has created efficiencies and allowed Business Services team to focus on other agency, state, and federal financial reporting and processing requirements.
- Implemented the use of check scanning to improve efficiencies in processing checks received by the agency. This reduced check handling, processing expense, and need and expense for armored car service.
- Automated the online Oregon Telephone Assistance Program (OTAP) application import process in accordance with new Federal Communications Commission (FCC) requirements. Also programmed the OTAP database to generate the latest version of the OTAP application when customers submit an outdated application that does not contain the terms and conditions required by the FCC. These efforts reduced the time to process applications.
- Completed the conversion of the Oregon Universal Service support system from one requiring companies to manually input the information to one where the approximately 400 companies can submit their information online and pay online.

For 2015-2017, the Commission is examining improvements concerning telephone devices for hearing and speech impaired Oregonians, additional improvements in eFiling processes, and additional administrative reductions.

2015-2017 GOVERNOR'S BUDGET

2015-2017 SHORT-TERM PLAN

AGENCY PROGRAM DESCRIPTIONS

UTILITY PROGRAM

The Utility Program serves as the technical and analytical arm of the agency. Its professional staff analyzes all utility filings, helps build a factual record in contested case proceedings, investigates and recommends policy options, inspects utility facilities, and undertakes many other activities needed for the Commission to carry out its mission and serve ratepayers. Through its Consumer Services Section, the Utility Program also assists the public in resolving complaints about utility service.

The program is organized by industry and key distinct functions. These organizational units are:

- Energy Division
- Telecommunications and Water Division
- Utility Safety, Reliability, and Security Division
- Consumer Services Section

The program is funded through a Utility Gross Operating Revenue Fee of 2.5 mills. The cost of providing agency protection/oversight for consumers of regulated utilities averages about 17 cents per month on retail natural gas, electric, telecommunications, and water bills.

The Utility Program also receives federal funds through the Pipeline Hazardous Material Safety Administration (PHMSA). The federal funds support (with an estimated 45 percent Other

Fund match) the Commission's Natural Gas Pipeline Safety Program.

The Utility Program has 76 positions (75.25 FTE) and falls under the Governor's Safety Outcome Group.

RESIDENTIAL SERVICE PROTECTION FUND

The Residential Service Protection Fund (RSPF) consists of four programs aimed at ensuring accessible and affordable basic phone service for all Oregonians. These programs provide assistance for:

- Low-income Oregonians who have difficulty paying for phone service.
- Oregonians with impairments that make it difficult for them to use a phone.
- Oregonians with medical hardships who must have phone access at all times.
- Oregonians who are speech or hearing impaired to obtain telecommunications access and service that is functionally equivalent to those without a speech or hearing disability.

The program is funded through a surcharge assessed against each paying retail subscriber who has telephone or cellular service with access to the Oregon Telecommunications Relay Service (OTRS). The surcharge is currently 9 cents per month and statute stipulates that this rate cannot exceed 35 cents per month. Each month, the RSPF surcharge is assessed on approximately 4.3 million customers of landline and wireless services.

2015-2017 GOVERNOR'S BUDGET

RSPF has 8 staff (8 FTE). RSPF handles an average of 5,838 applications per month for the Oregon Telephone Assistance Program, also known as Lifeline, and an average of 113 applications per month for the Telecommunication Devices Access Program.

RSPF falls under the Governor's Healthy People Outcome group.

POLICY AND ADMINISTRATION PROGRAM

The Policy and Administration Program falls under the Governor's Improving Government Outcome Group, and consists of the three Commissioners, the Chief Operating Officer, and the following divisions and sections:

- **Administrative Hearings Division** conducts rulemaking and contested case hearings on issues concerning utility services. Hearings involve mergers and acquisitions, rate proposals, and consumer complaints. The section also oversees records management, public records requests, and agency compliance with the Administrative Procedures Act. The Division has 11 staff (10.5 FTE).
- **Business Services** provides budget planning and development, compiles and publishes the agency's biennium budget, fiscal and accounting services, revenue fee collection, contracting and procurement, centralized travel coordination, mailroom operations, payroll, support functions, and Electronic Document Management Systems (EDMS) functions. Central/Business Services has 10 staff (10 FTE).

- **Commission Services** provides direct support to the three Commissioners and coordinates all agency legislative actions. Commission Services has 3 staff (3 FTE).
- **Human Resources Section** provides all personnel-related services to the agency. Human Resources also maintains and updates the agency's policies and procedures; provides project management assistance; provides agency training and development functions; and includes the agency's reception duties. Human Resources has 7 staff (5.75 FTE).
- **Information Systems** provides quality information technology support services to all agency divisions and two satellite offices in a complex environment, which includes client and server operating systems, database management systems, and hardware support and maintenance. This includes but is not limited to support services such as: computer hardware and software services, web services, email services, telecommunications services, database services, database and web development services, record management database services, data communications services, internet access services, virtual system administration, data backup and offsite backup, business continuity planning, information systems long-range planning, disaster recovery planning, network security services, consulting, contract administration, project management, development and support of applications, data administration, data server operations, PC support services, and network and systems administration. Information Systems has 7 staff (7 FTE).
- **Public Affairs** provides and coordinates all media and communications functions. Public Affairs has 1 staff person (1 FTE).

2015-2017 GOVERNOR'S BUDGET

- **The Residential Service Protection Fund (RSPF)** is administratively housed in Policy and Administration reporting to the Central Services Administrator, and provides telephone and adaptive equipment services to low income and disabled Oregonians. RSPF has 8 staff (8 FTE).

Policy and Administration is funded by Utility fees (90 percent) and by RSPF surcharge (10 percent).

OREGON BOARD OF MARITIME PILOTS

The Oregon Board of Maritime Pilots (OBMP) is a part of the PUC for budget and administrative purposes. OBMP protects public health, safety, and welfare by ensuring that only the best-qualified persons are licensed to pilot vessels. OBMP is an independent occupational licensing and regulatory agency for state maritime pilots.

A maritime (or marine) pilot is a local navigational and ship-handling expert who directs the course and speed of vessels based upon knowledge of wind, weather, tides, currents, and local geography. Replacing a vessel lost through negligent navigation, injuries or deaths among the vessel's crew, loss of cargo, environmental damage, and cleaning up spills of hazardous materials are costly. Piloting requires education, experience and licensure, and it commands salaries commensurate with other professional occupations such as physicians and attorneys.

OBMP regulates the rates pilots charge for their services. It also monitors pilot performance and investigates pilot performance in any reportable casualty. OBMP encourages safe piloting practices.

OBMP has 2 staff (1.5 FTE) and falls under the Governor's Safety Outcome Group.

OBMP receives no General or Lottery funds. OBMP revenues are received from Annual Pilot License Fees, Board Operations Fee (assessed on vessels entering or leaving the Columbia River, Coos Bay, and Yaquina Bay), reimbursements from rate hearings, and miscellaneous receipts.

2015-2017 GOVERNOR'S BUDGET

ENVIRONMENTAL FACTORS

- Oregon's population will continue to grow. The growth translates directly into greater demand for utility services, although the nature of utility services consumers seek is changing.
- Energy markets are undergoing rapid changes as telecommunications and technology advances are integrated with the electric grid.
- Distributed generation (solar photovoltaic, micro turbines, fuel cells) are increasing the complexity of utility operations and customer expectations. These changes are raising fundamental issues about long-standing regulatory concepts that will require considerable policy adaptation at the Commission.
- The slow economic recovery is creating increased demand for utility service assistance programs.
- Emerging federal laws and rules concerning greenhouse gas emissions and energy consumption, including United States Environmental Protection Agency rulemaking under 111(d) of the Clean Air Act regulating greenhouse gas emissions from existing power plants.
- Heightened concerns about the risks posed by cyber-attacks and major earthquakes on utility facilities.
- Meeting the requirements and initiatives of the Governor's 10-Year Energy Action Plan.
- The need for utilities to invest in capital projects to meet the growing demand of customers, changes in technology, and federal and state mandates.
- Increased energy utility customer demands concerning options for "green tariffs" that utilize greater selections and availability of renewable power.
- Federal laws and rules promoting development of broadband as well as fundamental changes to universal service funding.
- Continued decrease in telephone landline use due to cellular telephones; Voice over Internet Protocol (VoIP), and internet usage such as Skype.
- Rapid growth of applicants seeking "free" Oregon Telephone Assistance Program (OTAP)/Lifeline service by prepaid wireless companies.
- Recruiting, retaining, and training qualified professionals with experience in utility analysis continues to be a challenge, and places the Commission in the position of competing with utility providers and private enterprise for qualified staff.
- New federal requirements have created opportunities and challenges in the administration and operations of the Oregon Board of Maritime Pilots (OBMP).
- Increased oversight and scrutiny of Maritime Pilot operations as the Columbia and Coos Bay systems evaluate the marine transportation of coal, liquefied natural gas (LNG), and domestic crude oil through Oregon waters.

2015-2017 GOVERNOR'S BUDGET

- Development and implementation of next-generation infrastructure and safety technology in Oregon's pilotage grounds.
- Implementation and evolution of rigorous emerging fatigue and readiness standards of care for all mariners, including Oregon pilots.
- Continuing revitalization of marine trade in Coos Bay and Yaquina Bay, and increased pilotage and safety demands that accompany it.
- On August 1, 2012, all vessels operating within 200 nautical miles of the North American coastline were mandated to burn fuel containing less than 1.0 % sulfur. While this significantly reduces vessel emissions, it has caused loss of propulsion or loss of power problems for some vessels. On January 1, 2015, fuel burned inside the 200 nautical mile zone must contain no more than 0.1% sulfur; an additional tenfold decrease. While the environmental benefits are real and significant, it is unknown what further impacts this new fuel will have on piloted vessels in Oregon waters.

2015-2017 GOVERNOR'S BUDGET

AGENCY INITIATIVES AND ACCOMPLISHMENTS

Initiatives

Safety Outcome; KPMs 1 to 4, 10 to 13, 17, 18, and 21a. to 21d.

- Collaboratively review new approaches to equitably allocate PacifiCorp costs among its six jurisdictional states.
- Investigate “Green Tariffs” for non-residential customers of Portland General Electric and PacifiCorp.
- Review energy facility guarantees for land reclamation to confirm that less-expensive unsecured letters of credit could replace letters of credit backed by first mortgage bonds.
- Streamline reporting requirements by utilities on Commission-approved financing applications.
- Conduct a Rulemaking to Implement 2013 SB 844 (ORS 757.539 Voluntary Emission Reduction Program).
- Conduct a Rulemaking to Implement 2013 HB 2801 - Whole Building Assessment (OR Laws 2013, Chapter 383, Section 1).
- Monitor Public Purchase Charge for NW Natural, and Cascade Natural Gas to meet Energy Trust of Oregon's approved program budget.
- Investigate potential improvements to Cascade Natural Gas' and NW Natural's Low-Income Weatherization Program.
- Investigate the implementation of the Commission's integrated resource planning guidelines for gas utilities.
- Investigate reform of the Oregon Universal Service Fund. The investigation will address possible expansion of the fund, accountability measures, and how levels of support should be determined.
- Investigate impact of utility disconnect policies on low income consumers.
- Investigate whether to adopt Lifeline type rates for low income electricity consumers.
- Investigate Integrated Resource Plan (IRP) process for electric IRP process improvements and for modifications necessary for gas IRP implementation.
- Regent activity for water utilities; 1) finalize resolution of service quality/management issues at Sun Country Water Company and dismissal of regent, and 2) finalize resolution of service quality/management issues for Pigeon Point Water Supply Company, including appointment of regent.
- Investigate privatization of portion of major city's system by a regulated water utility. This will be the first privatization application addressed by the Commission.
- Revise and update rules for waste water utilities.
- Implement new discovery process for water rate cases to both ease the burden of regulation on water utilities and provide Staff better information for its review of rate filings.

2015-2017 GOVERNOR'S BUDGET

- Initiate review and possible rule-making to clarify Oregon Administrative Rule (OAR) 860-021-0405 which has to do with disconnect notice requirements for customers involved in theft of service, and OAR 860-021-0125, which is the 15-day due and payable period for utility bills. Both rules need updating and clarification.
- Restructure the agency Filing Center to streamline business practices and centralize processing of all incoming documents related to agency proceedings.

Healthy People Outcome (KPMs 15 and 16)

- Introduce “locked-down” tablets as assistive telecommunication devices to the Telecommunication Devices Access Program for qualifying customers.
- Explore the possibility of contracting with a third party administrator to perform Oregon Telephone Assistance Program (OTAP)/Lifeline call center and database functions, such as managing customer applications to meet the growing demand or need for OTAP/Lifeline services.

Improving Government Outcome (KPM 14)

- Implement revised eFiling process to further reduce use of paper copies in agency proceedings and to eliminate service requirements of parties in contested case proceedings.
- Update the agency's internal operating guidelines that inform the public of the decision-making practices of the agency. Revise guidelines to cover new processes and better clarify the respective responsibilities of Administrative Law Judges (ALJs), advisory and advocacy staff, and Assistant Attorney Generals (AAGs) assigned from the Oregon Department of Justice (DOJ).

2015-2017 GOVERNOR'S BUDGET

Accomplishments

Utility Program (Safety Outcome)

- Completed general rate cases for Avista Utilities, Pacific Power, and Portland General Electric (PGE) saving customers over \$80 million annually.
- Revised Commission staff method of review of annual purchased gas adjustments for NW Natural, Cascade Utilities, and Avista Utilities, to better align practices with statutory requirements.
- Completed annual power costs adjustments for Pacific Power, PGE, and Idaho Power to better align rates with actual costs of power.
- Completed extensive reviews of electric and natural gas utility resource plans, and requests for proposals for electric generating resources.
- Conducted numerous investigations to address ways to reduce utility resource cost and risk. These included:
 - Integrated Resource Plans for Pacific Power, Idaho Power, and NW Natural;
 - Resource Requests for Proposals issued by PGE and Pacific Power; and
 - Revisions to competitive bidding guidelines.
- Completed engagement in and monitoring of PGE's Request for Proposals for Flexible Capacity, Seasonal Capacity, and Base Load Energy Resources.
- Established a pilot program to demonstrate the use and effectiveness of "Volumetric incentive rates" and payments for electricity delivered from solar photovoltaic (PV) energy systems within PGE, Pacific Power, and Idaho Power service territories.
- Approved the implementation of PGE's automated demand response pilot program to demonstrate its use and effectiveness in PGE's service territory.
- Actively participated in select panel to review Columbia River Treaty Agreement.
- Authorized NW Natural to enter into contracts to develop natural gas fueling stations throughout their service territories.
- Revised surcharges for funding costs of removing Klamath River dams in compliance with state law.
- Completed an extensive review of calculation methodology for avoided cost prices paid to Qualifying Facilities under the Public Utility Regulatory Policy Act (PURPA), resulting in renewable qualifying facilities receiving a more accurate price that takes into account differences between different renewable technologies.

2015-2017 GOVERNOR'S BUDGET

- Ensured electric utilities' compliance with the Commission rules implementing Oregon's renewable portfolio standards, including filings for establishing implementation plans, alternative compliance payments, and timely recovery of prudently incurred costs.
- Implemented Oregon's greenhouse gas emissions standard for each electric utility and alternative electricity supplier, and developed estimated rate impacts for electric and natural gas companies to meet 2020 goals.
- Reviewed PGE, PacifiCorp, and Idaho Power's first annual smart grid report and provided direction for future reports.
- Prepared a report on the costs and benefits of on-bill repayment of energy efficiency loans.
- Completed biennial Report to Legislative Assembly on Public Purpose Expenditures for the period January 2011 through December 2012 (January 2013).
- Instituted new, consistent annual reports to the Portfolio Options Committee on cost and participation metrics for the voluntary renewable programs (first executive session was conducted in April 2013).
- Reviewed action plans, budgets, and performance measures for Energy Trust of Oregon (ETO) activities, and improved the alignment between ETO planning and the utility resource planning process.
- Approved a request for proposals for the ETO to conduct a Pay for Performance pilot for energy efficiency in large commercial buildings.
- Completed a legislative report on the effectiveness of the ETO's incentives and whether such incentives could replace certain state tax credits.
- Completed a legislative report on the effectiveness of solar programs throughout the state.
- Completed a legislative report on the feasibility of energy efficiency power purchase agreements and the cost-effectiveness of selling energy efficiency to electric utilities at prices equivalent to those of new generation facilities.
- Examined hedging strategies used by electric utilities.
- Completed six audits of regulated utilities.
- Working with parties across several states, updated the method to allocate PacifiCorp's costs among its six state jurisdictions to maintain a consistent approach across the states.
- Investigate fuel switching and cross fuel energy efficiency issues.
- Review Oregon policies related to utility energy purchases from qualifying facilities under the Public Utility Regulatory Policies Act (PURPA).
- Review Oregon direct access programs of PGE and PacifiCorp in order to address barriers to the development of a competitive retail market structure.

2015-2017 GOVERNOR'S BUDGET

- Reviewed more than a dozen utility affiliated interest applications.
- Reviewed seven utility property sales applications resulting in over \$1 million in rate credits to Oregon utility customers.
- Participated in the establishment and implementation of Avista's Low-Income Weatherization Program and in the improvement to Cascade Natural Gas's and NW Natural's Low-Income Weatherization Programs.
- Adjusted Public Purpose Charge for NW Natural and Cascade Natural Gas Energy Trust of Oregon's approved program budget.
- Participated in the establishment and implementation of a pilot program augmenting Low-Income Weatherization Program to increase accessibility to weatherization to qualified low-income Cascade customers.
- Participated in the establishment of a Non-AMR meter option for NW Natural customers requesting a meter that does not transmit data by radio frequency (RF).
- Developed a roster of Independent Evaluators for use by electric investor owned utilities in future resource acquisition processes governed by the Competitive Bidding Guidelines (Commission Order No. 06-446).
- Conducted an investigation into NW Natural Gas Company's Asset Management Agreement Interstate Storage and Optimization Sharing program.
- The National Association of Regulatory Utility Commissioners (NARUC) call completion group formed to combat call completion collaboratively. Oregon filed comments with the Federal Communications Commission (FCC) in a proposed rulemaking regarding call completion.
- Implemented improved regulatory regimes (price plans) for Oregon's large telecommunication utilities.
- Filed comments with the FCC in a proposed rulemaking regarding the appropriate use of numbering resources in an increasingly competitive environment including the transition to Internet Protocol (IP) technology.
- Prepared annual reports on the status of competition in Oregon's telecommunications industry.
- Opened investigations to examine wholesale telecommunications service quality of Frontier Communications and CenturyLink.
- Certified telecommunications carriers and distributed approximately \$79 million from the Oregon Universal Service Fund (OUSF) in the 2013-2015 biennium to telecommunications carriers to maintain reasonable rates in high-cost areas.
- Completed the conversion of the Oregon Universal Service support system from one requiring companies to manually input the information to one where approximately 400 companies can submit their information online and pay online.

2015-2017 GOVERNOR'S BUDGET

- Finalized new reporting requirements by CenturyLink and Frontier Communications to ensure transparency of use of Oregon Universal Service Funds.
- Negotiated with the regulated telecommunications utilities to implement a new process for evaluating customer requests for broadband service. This has resulted in extension of broadband to some customers who were initially informed it was not available at their location.
- Received high Pipeline and Hazardous Materials Safety Administration (PHMSA) certification scores, which enable the agency to receive maximum federal funding.
- The majority of operators have inspected at least 50 percent of their facilities for compliance with the National Electric Safety Code. The requirement for operators to have a 10-year inspection cycle was implemented in 2008 (OAR 860-024-0011), which also required an update to the Commission after five years (2013).
- Participated in development of national standards for critical infrastructure protection.
- Reviewed utility transmission security and Federal Energy Regulatory Commission (FERC) compliance programs.
- Partnered with Oregon Seismic Safety Policy Advisory Commission (OSSPAC) in the development of the Oregon Resiliency Plan.
- Finalized the transfer of Fish Mill Lodges Water Company to its customers and dismissal of regent.
- Assisted efforts to put in place new management of Rose Lodge Water Company to ensure provision of safe and adequate drinking water for 233 customers near Otis, Oregon.
- Updated and revised the PUC water rules as well as crafted new legislation to further clarify and streamline water regulation.
- Recorded 14,523 consumer contacts in 2013 that resulted in some type of agency action, and opened 3,406 investigations of consumer complaints and inquiries.
- Helped consumers recover over \$190,000 in charges caused by utility billing errors, cramming, and wireless phone charges in 2013.
- Revise several rules to remove ambiguity and conflict from Administrative Rules in Division 21, 34, and 36 having to do with energy, telecommunications, and water utilities. These rules will eliminate overlap and simplify or remove certain regulatory requirements for utilities.
- Adopt rules to modify OARs relating to utilities' ability to back-bill customers for billing errors as required by SB 237 passed by the 2013 legislature.

2015-2017 GOVERNOR'S BUDGET

- Provided consumers protections in the following actions:
 - A utility was assessing late fees to closed accounts although no tariffs or Oregon Administrative Rules (OARs) allow this. In addition, the utility was denying service to applicants until these non-tariffed fees were paid, in violation of OAR 860-021-0335(1) which states only tariffed charges can be collected. The utility agreed to halt this practice immediately.
 - Completed a review of energy utility practices regarding use of actual reads to prepare opening and closing bills to determine compliance with OARs.
 - A utility was notified that it was in violation of OAR 860-021-0415(2)(a) which requires the utility to periodically review levelized and equal pay plan accounts to be certain customers are not left with too large a balance at the end of the plan period. The utility agreed that it was not in compliance, and agreed to make programming changes to come into compliance.
 - A utility was sending disconnect notices to Equal Pay Plan (EPP) customers who were behind on their EPP plan, threatening to disconnect for the delinquent EPP amount rather than for the actual amount past due. In effect, the utility was threatening to cut service to customers who were not actually in arrears on their bill, only behind on the EPP amount. The utility agreed to change their policy.

Residential Service Protection Fund (Healthy People Outcome)

- On behalf of the Federal Communications Commission (FCC), the Universal Service Administrative Company's audit of Oregon Telephone Assistance Program (OTAP), also known as Lifeline, subscriber records for specific Eligible Telecommunications Carriers found that Oregon's duplicate rate as a percentage of state subscribers was 0.13 percent compared to the national average of 40 to 50 percent.
- A petition filed by the PUC to opt-out of the FCC's National Lifeline Accountability Database for the prevention of OTAP/Lifeline duplicative support went into effect by default, i.e., without FCC action.
- Adopted rules to implement SB 203 related to OTAP/Lifeline eligibility criteria and to:
 - Conform to specific FCC requirements;
 - Establish enhanced measures to prevent OTAP/Lifeline waste, fraud and abuse;
 - Establish new and revised reporting requirements to maintain opt-out status from FCC's National Lifeline Accountability Database;
 - Ensure the quality of information Eligible Telecommunications Carriers disseminate to the public; and

2015-2017 GOVERNOR'S BUDGET

- Eliminate the OTAP enrollment fee Eligible Telecommunications Carriers claimed from the Residential Service Protection Fund for new OTAP/Lifeline customers.
- Issued 493 Commission Orders in 2013 and 200 Commission Orders in 2014 as of June 4, 2014. Forty-six of these orders were key decisions based on complex, contested-case hearings.
- Filed two temporary waiver extensions of the FCC rules requiring the PUC to provide a copy of the OTAP/Lifeline application to the Eligible Telecommunications Communications Carrier (ETC) before that ETC can claim reimbursement from the federal universal service. Both requests were granted by the FCC.
- As of March 2014, the Residential Service Protection Fund has collected \$124,848 in penalties, interest, and late reporting fees from telecommunications providers for delinquent surcharge remittances.
- Accommodated an unprecedented record of rapid OTAP subscriber growth of 60 percent or from 57,656 to 92,155 due to availability of “free” Lifeline phone services by two prepaid wireless service providers designated as Eligible Telecommunications Carriers.

Policy and Administration (Improving Government Outcome)

- Met with stakeholders and held Commission workshops to update the agency's internal operating guidelines. The guidelines are intended to inform the public and Commission employees of the decision-making practices of the agency, and to clarify the respective responsibilities of ALJs, advisory and advocacy Staff, and AAGs assigned from the DOJ.

2015-2017 GOVERNOR'S BUDGET

Oregon Board of Maritime Pilots (Safety Outcome)

Initiatives

- As a result of SB 851, Oregon Board of Maritime Pilots (OBMP) hired a 0.5 FTE Executive Director and initiated the Board Operations Fee which is assessed on vessels entering and leaving the Columbia River, Coos Bay, and Yaquina Bay. With Policy Option Package 101 for Appropriation Year 2015-2017, OBMP is requesting to change the Executive Director's position from 0.5 FTE to 1.0 FTE.
- Initiated contracting for annual medical reviews of pilots, which will include forwarding recommendations to OBMP concerning results of medical reviews, and taking necessary actions (notifying the pilot associations to adjust schedules, temporarily suspending licenses, etc.) based on the medical reviews.
- Initiated contracting for independent investigations of all incidents occurring on vessels while a licensee or trainee is engaged in the provision of pilotage services for such vessel.

Accomplishments

In 2013, the Board performed:

- Licensing
 - One License examination.
 - Nine License Upgrades.
 - Fifty-six License Renewals.

- Administrative
 - Seven Board meetings.
 - Twenty Committee meetings.
 - Two new and amended rules related to license fees and ratemaking.
- Rates
 - Five Tariff adjustments.
 - One Transportation Oversight Committee recommendation for annual adjustments to the tariff for transportation expenses.
 - One pilot boat replacement approved within the existing tariff structure.
- Safety
 - Four Incident Reports.
- Properly completed all three safety recommendations issued by the National Transportation Safety Board (NTSB) including the prevention of pilot fatigue. Pilot organizations have implemented mitigation programs that prevent fatigue resulting from extended hours of service, insufficient rest within a 24-hour period, and disruption of circadian rhythms. OBMP continues to review these programs and receive verbal and written quarterly reports from pilot organizations.
- Completed an Annual Report that included a comprehensive update on OBMP's adopted internal performance measures.

2015-2017 GOVERNOR'S BUDGET

CRITERIA FOR 2015-2017 BUDGET DEVELOPMENT

The Commission developed its budget based on the following criteria:

- Ensure ongoing balance of revenue and expenditures.
- Meet statutory obligations.
- Protect the health and safety of Oregonians.
- Advance the agency's mission.
- Achieve the agency's annual objectives.
- Successfully achieve all agency Key Performance Measures.
- Successfully integrate the budget with the Governor's Outcome goals.

2015-2017 GOVERNOR'S BUDGET

MAJOR INFORMATION TECHNOLOGY PROJECTS/INITIATIVES \$1,000,000+

Not applicable to agency.

2015-2017 GOVERNOR'S BUDGET

SUMMARY OF 2015-2017 BUDGET (ORBITS)

Summary of 2015-17 Biennium Budget

Public Utility Commission **Governor's Budget**
Public Utility Commission **Cross Reference Number: 86000-000-00-00-00000**
2015-17 Biennium

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
2013-15 Leg Adopted Budget	131	128.25	121,765,861	-	-	40,049,729	2,444,367	79,271,765	-
2013-15 Emergency Boards	(1)	(1.00)	881,082	-	-	851,449	29,633	-	-
2013-15 Leg Approved Budget	130	127.25	122,646,943	-	-	40,901,178	2,474,000	79,271,765	-
2015-17 Base Budget Adjustments									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	(2)	(1.78)	1,469,699	-	-	1,469,977	(278)	-	-
Estimated Cost of Merit Increase	-	-	-	-	-	-	-	-	-
Base Debt Service Adjustment	-	-	-	-	-	-	-	-	-
Base Nonlimited Adjustment	-	-	(12,991,899)	-	-	-	-	(12,991,899)	-
Capital Construction	-	-	-	-	-	-	-	-	-
Subtotal 2015-17 Base Budget	128	125.47	111,124,743	-	-	42,371,155	2,473,722	66,279,866	-
Essential Packages									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	255,213	-	-	259,523	(4,310)	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	151,481	-	-	149,671	1,810	-	-
Subtotal	-	-	406,694	-	-	409,194	(2,500)	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	(1,824,261)	-	-	(200,000)	(1,624,261)	-	-
Subtotal	-	-	(1,824,261)	-	-	(200,000)	(1,624,261)	-	-
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	1,127,995	-	-	1,123,344	4,651	-	-
State Gov't & Services Charges Increase/(Decrease)	-	-	423,120	-	-	423,120	-	-	-

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2015-2017 GOVERNOR'S BUDGET

Summary of 2015-17 Biennium Budget

**Public Utility Commission
Public Utility Commission
2015-17 Biennium**

**Governor's Budget
Cross Reference Number: 86000-000-00-00-00000**

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
Subtotal	-	-	1,551,115	-	-	1,546,464	4,651	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	576	-	-	152,581	(152,005)	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
Subtotal: 2015-17 Current Service Level	128	125.47	111,258,867	-	-	44,279,394	699,607	66,279,866	-

2015-2017 GOVERNOR'S BUDGET

Summary of 2015-17 Biennium Budget

**Public Utility Commission
Public Utility Commission
2015-17 Biennium**

**Governor's Budget
Cross Reference Number: 86000-000-00-00-00000**

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
Subtotal: 2015-17 Current Service Level	128	125.47	111,258,867	-	-	44,279,394	699,607	66,279,866	-
Modified 2015-17 Current Service Level	128	125.47	111,258,867	-	-	44,279,394	699,607	66,279,866	-
080 - E-Boards									
080 - May 2014 E-Board	-	-	-	-	-	-	-	-	-
081 - September 2014 E-Board	-	-	-	-	-	-	-	-	-
Subtotal Emergency Board Packages	-	-	-	-	-	-	-	-	-
Policy Packages									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
101 - Increase OBMP's Director FTE	-	0.50	120,374	-	-	120,374	-	-	-
Subtotal Policy Packages	-	0.50	120,374	-	-	120,374	-	-	-
Total 2015-17 Governor's Budget	128	125.97	111,379,241	-	-	44,399,768	699,607	66,279,866	-
Percentage Change From 2013-15 Leg Approved Budget	-1.54%	-1.01%	-9.19%	-	-	8.55%	-71.72%	-16.39%	-
Percentage Change From 2015-17 Current Service Level	-	0.40%	0.11%	-	-	0.27%	-	-	-

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2015-2017 GOVERNOR'S BUDGET

Summary of 2015-17 Biennium Budget

**Public Utility Commission
Utility Regulation
2015-17 Biennium**

**Governor's Budget
Cross Reference Number: 86000-001-00-00-00000**

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
2013-15 Leg Adopted Budget	75	74.25	97,711,486	-	-	15,995,354	2,444,367	79,271,765	-
2013-15 Emergency Boards	-	-	550,027	-	-	520,394	29,633	-	-
2013-15 Leg Approved Budget	75	74.25	98,261,513	-	-	16,515,748	2,474,000	79,271,765	-
2015-17 Base Budget Adjustments									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	2	1.96	1,337,709	-	-	1,337,987	(278)	-	-
Estimated Cost of Merit Increase	-	-	-	-	-	-	-	-	-
Base Debt Service Adjustment	-	-	-	-	-	-	-	-	-
Base Nonlimited Adjustment	-	-	(12,991,899)	-	-	-	-	(12,991,899)	-
Capital Construction	-	-	-	-	-	-	-	-	-
Subtotal 2015-17 Base Budget	77	76.21	86,607,323	-	-	17,853,735	2,473,722	66,279,866	-
Essential Packages									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	98,372	-	-	102,682	(4,310)	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	93,877	-	-	92,067	1,810	-	-
Subtotal	-	-	192,249	-	-	194,749	(2,500)	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	(1,624,261)	-	-	-	(1,624,261)	-	-
Subtotal	-	-	(1,624,261)	-	-	-	(1,624,261)	-	-
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	327,362	-	-	322,711	4,651	-	-
State Gov't & Services Charges Increase/(Decrease)	-	-	(4,720)	-	-	(4,720)	-	-	-

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2015-2017 GOVERNOR'S BUDGET

Summary of 2015-17 Biennium Budget

**Public Utility Commission
Utility Regulation
2015-17 Biennium**

**Governor's Budget
Cross Reference Number: 86000-001-00-00-00000**

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
Subtotal	-	-	322,642	-	-	317,991	4,651	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	576	-	-	152,581	(152,005)	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
Subtotal: 2015-17 Current Service Level	77	76.21	85,498,529	-	-	18,519,056	699,607	66,279,866	-

2015-2017 GOVERNOR'S BUDGET

Summary of 2015-17 Biennium Budget

**Public Utility Commission
Utility Regulation
2015-17 Biennium**

**Governor's Budget
Cross Reference Number: 86000-001-00-00-00000**

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
Subtotal: 2015-17 Current Service Level	77	76.21	85,498,529	-	-	18,519,056	699,607	66,279,866	-
Modified 2015-17 Current Service Level	77	76.21	85,498,529	-	-	18,519,056	699,607	66,279,866	-
080 - E-Boards									
080 - May 2014 E-Board	-	-	-	-	-	-	-	-	-
081 - September 2014 E-Board	-	-	-	-	-	-	-	-	-
Subtotal Emergency Board Packages	-	-	-	-	-	-	-	-	-
Policy Packages									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
101 - Increase OBMP's Director FTE	-	-	-	-	-	-	-	-	-
Subtotal Policy Packages	-	-	-	-	-	-	-	-	-
Total 2015-17 Governor's Budget	77	76.21	85,498,529	-	-	18,519,056	699,607	66,279,866	-
Percentage Change From 2013-15 Leg Approved Budget	2.67%	2.64%	-12.98%	-	-	12.13%	-71.72%	-16.39%	-
Percentage Change From 2015-17 Current Service Level	-	-	-	-	-	-	-	-	-

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2015-2017 GOVERNOR'S BUDGET

Summary of 2015-17 Biennium Budget

**Public Utility Commission
Residential Service Protection
2015-17 Biennium**

**Governor's Budget
Cross Reference Number: 86000-003-00-00-00000**

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
2013-15 Leg Adopted Budget	8	7.50	10,858,728	-	-	10,858,728	-	-	-
2013-15 Emergency Boards	-	-	40,751	-	-	40,751	-	-	-
2013-15 Leg Approved Budget	8	7.50	10,899,479	-	-	10,899,479	-	-	-
2015-17 Base Budget Adjustments									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	-	0.50	63,515	-	-	63,515	-	-	-
Estimated Cost of Merit Increase	-	-	-	-	-	-	-	-	-
Base Debt Service Adjustment	-	-	-	-	-	-	-	-	-
Base Nonlimited Adjustment	-	-	-	-	-	-	-	-	-
Capital Construction	-	-	-	-	-	-	-	-	-
Subtotal 2015-17 Base Budget	8	8.00	10,962,994	-	-	10,962,994	-	-	-
Essential Packages									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	47,259	-	-	47,259	-	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	3,402	-	-	3,402	-	-	-
Subtotal	-	-	50,661	-	-	50,661	-	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
Subtotal	-	-	-	-	-	-	-	-	-
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	321,145	-	-	321,145	-	-	-
State Gov't & Services Charges Increase/(Decrease)	-	-	8	-	-	8	-	-	-

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2015-2017 GOVERNOR'S BUDGET

Summary of 2015-17 Biennium Budget

**Public Utility Commission
Residential Service Protection
2015-17 Biennium**

**Governor's Budget
Cross Reference Number: 86000-003-00-00-00000**

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
Subtotal	-	-	321,153	-	-	321,153	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	95,974	-	-	95,974	-	-	-
Subtotal: 2015-17 Current Service Level	8	8.00	11,430,782	-	-	11,430,782	-	-	-

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2015-2017 GOVERNOR'S BUDGET

Summary of 2015-17 Biennium Budget

**Public Utility Commission
Residential Service Protection
2015-17 Biennium**

**Governor's Budget
Cross Reference Number: 86000-003-00-00-00000**

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
Subtotal: 2015-17 Current Service Level	8	8.00	11,430,782	-	-	11,430,782	-	-	-
Modified 2015-17 Current Service Level	8	8.00	11,430,782	-	-	11,430,782	-	-	-
080 - E-Boards									
080 - May 2014 E-Board	-	-	-	-	-	-	-	-	-
081 - September 2014 E-Board	-	-	-	-	-	-	-	-	-
Subtotal Emergency Board Packages	-	-	-	-	-	-	-	-	-
Policy Packages									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
101 - Increase OBMP's Director FTE	-	-	-	-	-	-	-	-	-
Subtotal Policy Packages	-	-	-	-	-	-	-	-	-
Total 2015-17 Governor's Budget	8	8.00	11,430,782	-	-	11,430,782	-	-	-
Percentage Change From 2013-15 Leg Approved Budget	-	6.67%	4.87%	-	-	4.87%	-	-	-
Percentage Change From 2015-17 Current Service Level	-	-	-	-	-	-	-	-	-

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2015-2017 GOVERNOR'S BUDGET

Summary of 2015-17 Biennium Budget

**Public Utility Commission
Policy and Administration
2015-17 Biennium**

**Governor's Budget
Cross Reference Number: 86000-004-00-00-00000**

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
2013-15 Leg Adopted Budget	46	45.00	12,409,856	-	-	12,409,856	-	-	-
2013-15 Emergency Boards	(1)	(1.00)	273,115	-	-	273,115	-	-	-
2013-15 Leg Approved Budget	45	44.00	12,682,971	-	-	12,682,971	-	-	-
2015-17 Base Budget Adjustments									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	(4)	(4.24)	34,043	-	-	34,043	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			-	-	-	-	-	-	-
Base Nonlimited Adjustment			-	-	-	-	-	-	-
Capital Construction			-	-	-	-	-	-	-
Subtotal 2015-17 Base Budget	41	39.76	12,717,014	-	-	12,717,014	-	-	-
Essential Packages									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	111,416	-	-	111,416	-	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	50,348	-	-	50,348	-	-	-
Subtotal	-	-	161,764	-	-	161,764	-	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
Subtotal	-	-	-	-	-	-	-	-	-
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	467,723	-	-	467,723	-	-	-
State Gov't & Services Charges Increase/(Decrease)	-	-	427,399	-	-	427,399	-	-	-

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2015-2017 GOVERNOR'S BUDGET

Summary of 2015-17 Biennium Budget

**Public Utility Commission
Policy and Administration
2015-17 Biennium**

**Governor's Budget
Cross Reference Number: 86000-004-00-00-00000**

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
Subtotal	-	-	895,122	-	-	895,122	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	(95,974)	-	-	(95,974)	-	-	-
Subtotal: 2015-17 Current Service Level	41	39.76	13,677,926	-	-	13,677,926	-	-	-

2015-2017 GOVERNOR'S BUDGET

Summary of 2015-17 Biennium Budget

**Public Utility Commission
Policy and Administration
2015-17 Biennium**

**Governor's Budget
Cross Reference Number: 86000-004-00-00-00000**

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
Subtotal: 2015-17 Current Service Level	41	39.76	13,677,926	-	-	13,677,926	-	-	-
Modified 2015-17 Current Service Level	41	39.76	13,677,926	-	-	13,677,926	-	-	-
080 - E-Boards									
080 - May 2014 E-Board	-	-	-	-	-	-	-	-	-
081 - September 2014 E-Board	-	-	-	-	-	-	-	-	-
Subtotal Emergency Board Packages	-	-	-	-	-	-	-	-	-
Policy Packages									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
101 - Increase OBMP's Director FTE	-	-	-	-	-	-	-	-	-
Subtotal Policy Packages	-	-	-	-	-	-	-	-	-
Total 2015-17 Governor's Budget	41	39.76	13,677,926	-	-	13,677,926	-	-	-
Percentage Change From 2013-15 Leg Approved Budget	-8.89%	-9.64%	7.84%	-	-	7.84%	-	-	-
Percentage Change From 2015-17 Current Service Level	-	-	-	-	-	-	-	-	-

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2015-2017 GOVERNOR'S BUDGET

Summary of 2015-17 Biennium Budget

**Public Utility Commission
Board of Maritime Pilots
2015-17 Biennium**

**Governor's Budget
Cross Reference Number: 86000-005-00-00-00000**

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
2013-15 Leg Adopted Budget	2	1.50	785,791	-	-	785,791	-	-	-
2013-15 Emergency Boards	-	-	17,189	-	-	17,189	-	-	-
2013-15 Leg Approved Budget	2	1.50	802,980	-	-	802,980	-	-	-
2015-17 Base Budget Adjustments									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	-	-	34,432	-	-	34,432	-	-	-
Estimated Cost of Merit Increase	-	-	-	-	-	-	-	-	-
Base Debt Service Adjustment	-	-	-	-	-	-	-	-	-
Base Nonlimited Adjustment	-	-	-	-	-	-	-	-	-
Capital Construction	-	-	-	-	-	-	-	-	-
Subtotal 2015-17 Base Budget	2	1.50	837,412	-	-	837,412	-	-	-
Essential Packages									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	(1,834)	-	-	(1,834)	-	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	3,854	-	-	3,854	-	-	-
Subtotal	-	-	2,020	-	-	2,020	-	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	(200,000)	-	-	(200,000)	-	-	-
Subtotal	-	-	(200,000)	-	-	(200,000)	-	-	-
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	11,765	-	-	11,765	-	-	-
State Gov't & Services Charges Increase/(Decrease)	-	-	433	-	-	433	-	-	-

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Summary of 2015-17 Biennium Budget

**Public Utility Commission
Board of Maritime Pilots
2015-17 Biennium**

**Governor's Budget
Cross Reference Number: 86000-005-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
Subtotal	-	-	12,198	-	-	12,198	-	-	-
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions	-	-	-	-	-	-	-	-	-
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
Subtotal: 2015-17 Current Service Level	2	1.50	651,630	-	-	651,630	-	-	-

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2015-2017 GOVERNOR'S BUDGET

Summary of 2015-17 Biennium Budget

**Public Utility Commission
Board of Maritime Pilots
2015-17 Biennium**

**Governor's Budget
Cross Reference Number: 86000-005-00-00-00000**

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
Subtotal: 2015-17 Current Service Level	2	1.50	651,630	-	-	651,630	-	-	-
Modified 2015-17 Current Service Level	2	1.50	651,630	-	-	651,630	-	-	-
080 - E-Boards									
080 - May 2014 E-Board	-	-	-	-	-	-	-	-	-
081 - September 2014 E-Board	-	-	-	-	-	-	-	-	-
Subtotal Emergency Board Packages	-	-	-	-	-	-	-	-	-
Policy Packages									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
101 - Increase OBMP's Director FTE	-	0.50	120,374	-	-	120,374	-	-	-
Subtotal Policy Packages	-	0.50	120,374	-	-	120,374	-	-	-
Total 2015-17 Governor's Budget	2	2.00	772,004	-	-	772,004	-	-	-
Percentage Change From 2013-15 Leg Approved Budget	-	33.33%	-3.86%	-	-	-3.86%	-	-	-
Percentage Change From 2015-17 Current Service Level	-	33.33%	18.47%	-	-	18.47%	-	-	-

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2015-2017 GOVERNOR'S BUDGET

PROGRAM PRIORITIZATION FOR 2015-17

Agency Name: Public Utility Commission																			Agency Number: 86000		
2015-17 Biennium																					
Program/Division Priorities for 2015-17 Biennium																					
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22
Priority (ranked with highest priority first)	Agency Initials	Program or Activity Initials	Program Unit/Activity Description	Identify Key Performance Measure(s)	Primary Purpose Program-Activity Code	GF	LF	OF	NL-OF	FF	NL-FF	TOTAL FUNDS	Pos.	FTE	New or Enhanced Program (Y/N)	Included as Reduction Option (Y/N)	Legal Ref. Code (C, D, FM, FO, S)	Legal Citation	Explain What is Mandatory (for C, FM and FO Only)	Comments on Proposed Changes to CSL included in Agency Request	
Agcy	Prgm/ Div																				
1	1	Policy & Administration	P & A	Umbrella program encompassing the Commission, Commission Office, Administrative Hearings, Central Services, Information Systems, and Human Resources	14			13,677,926				\$ 13,677,926	43	41.25	N	N	C/F	ORS 758	Regulation of investor-owned energy and water utilities, telecommunication utilities, and water associations.	Inflation. Exception Request for increase rental spaces.	
2	2	Utility Regulation		Regulation of Private Electric and Natural Gas Utilities, Telecommunications, Water Utilities	1, 2, 3, 4, 10, 11, 13, 17, 18, 21a, 21b, 21c, 21d, 21e			17,946,650	66,279,866			\$ 84,226,516	71	70.25	N	N	C	ORS 757, 759, and 759, 469 and 469A.	Regulation of investor-owned energy and water utilities, telecommunication utilities, and water associations. Oregon statutes and FCC, FERC, and NERC regulations		
3	2	Gas Pipeline Safety	Pipeline Safety	Safe and reliable operation of 10,000 miles of natural gas pipelines.	12			572,406		699,607		\$ 1,272,013	5	5.00	N	N	C/F	ORS 757 and 759	Responsible for pipeline safety in Oregon through Oregon statutes and federal Pipeline and Hazardous Material Safety Administration CFRs.		
4	3	Residential Service Protection Fund / TDAP / OTRS	TDAP / OTRS	Telephone assistive device program for deaf and disabled and Oregon Telecommunication Relay System	15			7,087,809				\$ 7,087,809	2	2.60	N	N	C/F	ORS 290 and 759	ORS 290 and American Disability Act		
5	3	Residential Service Protection Fund / OTAP	OTAP	Low income telephone subsidy program	16			4,342,973				\$ 4,342,973	6	5.40	N	N	C/F	ORS 290 and 759	ORS 290 and Federal Universal Service Fund. Lifeline requirements.		

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Program 1

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2015-2017 GOVERNOR'S BUDGET

PROGRAM PRIORITIZATION FOR 2015-17

Agency Name: <i>Public Utility Commission</i>																						
2015-17 Biennium																			Agency Number: 86000			
Program/Division Priorities for 2015-17 Biennium																						
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	
Priority (ranked with highest priority first)	Agency Initials	Program or Activity Initials	Program Unit/Activity Description	Identify Key Performance Measure(s)	Primary Purpose Program- Activity Code	GF	LF	DF	NL-OF	FF	NL-FF	TOTAL FUNDS	Pos.	FTE	New or Enhanced Program (Y/N)	Included as Reduction Option (Y/N)	Legal Req. Code (C, D, FM, FO, S)	Legal Citation	Explain What is Mandatory (for C, FM, and FO Only)	Comments on Proposed Changes to CSL Included in Agency Request		
6	4	Board of Maritime Pilots	OBMP	Establish license requirements for pilots, quality applicants and select trainees and apprentices. Provide for license examinations and issue licenses. Investigate Maritime incidents.	19, 20	3, 6			772,004				\$ 772,004	2	2.00	H	H	C/F	ORS 776, 777, 778, 780, and 783	Oregon statutes and United States Coast Guard regulations.		
												\$										
												\$	129	126.50								
												\$	111,379,241									

7. Primary Purpose Program/Activity Exists

- 1 Civil Justice
- 2 Community Development
- 3 Consumer Protection
- 4 Administrative Function
- 5 Criminal Justice
- 6 Economic Development
- 7 Education & Skill Development
- 8 Emergency Services
- 9 Environmental Protection
- 10 Public Health
- 11 Recreation, Heritage, or Cultural
- 12 Social Support

19. Legal Requirement Code

- C Constitutional
- D Debt Service
- FM Federal - Mandatory
- FO Federal - Optional (once you choose to participate, certain requirements exist)
- S Statutory

Within each Program/Division area, prioritize each Budget Program Unit (Activities) by detail budget level in ORBTS.

Document criteria used to prioritize activities:

To meet statutory requirements

2015-17

Program 1

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2015-2017 GOVERNOR'S BUDGET

REDUCTION OPTIONS

ACTIVITY OR PROGRAM	DESCRIBE REDUCTION	AMOUNT AND FUND TYPE	RANK AND JUSTIFICATION
(WHICH PROGRAM OR ACTIVITY WILL NOT BE UNDERTAKEN)	(DESCRIBE THE EFFECTS OF THIS REDUCTION. INCLUDE POSITIONS AND FTE IN 2015-17 AND 2017-19)	(GF, LF, OF, FF. IDENTIFY REVENUE SOURCE FOR OF, FF)	(RANK THE ACTIVITIES OR PROGRAMS NOT UNDERTAKEN IN ORDER OF LOWEST COST FOR BENEFIT OBTAINED)
<p>1. <u>Agency-Wide Reduction</u></p> <p>Reduce Miscellaneous S&S</p>	<p><u>Cost/Benefit Determination:</u></p> <p>Agency-wide reductions will be made in Services and Supplies categories. These reductions will result from continued diligence in evaluating expenditures to those absolutely critical to operation. The following are likely areas for additional reduction:</p> <ul style="list-style-type: none"> • Travel • Training • Subscriptions • Professional Services 	<p><u>Benefit Obtained: OF</u></p> <p>\$938,935</p>	<p><u>Rank # 1</u></p> <p><u>Methodology:</u> PUC will commit to reduce through more stringent evaluation, the effect of service and supplies expenditures.</p> <p>Many PUC expenditures are necessary over the long term to ensure staff has the latest information about trends in the utility industry regulation; however, some expenditures may be able to be bypassed for a biennium while retaining the ability to "catch-up" knowledge and skills in the future.</p> <p>PUC believes that Services and Supplies should be reduced before staff is reduced.</p>

2015-2017 GOVERNOR'S BUDGET

<p><u>2. Residential Service Protection Fund: Oregon Telephone Assistance Program</u></p> <p>The RSPF Program currently provides \$3.50 per OTAP recipient as part of the telephone subsidy program for low-income individuals. The proposed cost reduction for the OTAP program would reduce the state contribution by \$2.32, which results in a contribution of \$1.18 per recipient. Potential savings from this reduction is \$2,514,548.</p> <p>As a result of the reduction, the RSPF Program would need to examine and possibly reduce the 11 cent surcharge on telecommunication subscribers.</p>	<p><u>Cost/Benefit Determination:</u></p> <p>Impact on the Customers: Reduction of \$2.32 per subscriber line will reduce the amount of support for each customer from \$12.75 to \$10.43. It will impact low-income Oregonians' ability to maintain payment for basic phone services.</p> <p>The proposed cost reduction for the OTAP program would reduce the state contribution to \$1.18 per recipient. Potential savings from this reduction is \$2,514,548.</p>	<p><u>Benefit Obtained: OF</u></p> <p>\$2,514,548</p>	<p><u>Rank # 2</u></p> <p><u>Methodology:</u></p> <p>Criteria used for ranking the reduction of services, supplies, activities, programs, or positions are:</p> <ul style="list-style-type: none"> • Services and Supplies should be cut before positions. • Is position function critical? • Will function get done? • Span of control (efficiencies). • Will position/history be lost?
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2015-2017 GOVERNOR'S BUDGET

<p>3. <u>Administration Program</u></p> <p><u>Human Resources</u></p> <p>Office Specialist 2 (0.625 FTE)</p> <p>The Human Resources Office Specialist responds to staff and public needs by receiving visitors and directing them to the appropriate locations. They answer questions with general information to public in person or by telephone. Staff is required to provide time in copying of files, docketing and archiving cases. Staff answers customer questions, phone calls, complaints, routes information, serves the public with case information and performs all office routine obligations. The proposed reduction is to eliminate one part-time worker. Potential savings is \$77,653.</p> <p>No change in statutes would be needed to eliminate this activity.</p>	<p><u>Cost/Benefit Determination:</u></p> <p>Office Specialist 2 (0.625 FTE) Salary + OPE \$77,653</p> <p><u>Impact on Customers:</u> The reduction of 0.625 FTE from this section will increase the amount of time it takes to resolve the needs of the public and staff. The time it takes to copy, file or prepare cases for archiving will be interrupted by phone calls, personal visits from the public or from any agency requiring our service. The part-time worker acts as a front office receptionist and relieves some of the heavy duty workload that is put upon the Legal Secretaries and other Office Specialists by helping with closing of cases, copying extensive paper needs, helping with phones, customers and other staff members.</p> <p>Reducing the part-time position for the front office will result in a steady delay of timely completion of daily duties.</p>	<p><u>Benefit Obtained: OF</u></p> <p>\$77,653</p>	<p><u>Rank: # 3</u></p> <p><u>Methodology:</u></p> <p>Criteria used for ranking the reduction of services, supplies, activities, programs, or positions are:</p> <ul style="list-style-type: none"> • Services and Supplies should be cut before positions. • Is position function critical? • Will function get done? • Span of control (efficiencies).
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2015-2017 GOVERNOR'S BUDGET

<p>4. <u>Utility Program</u></p> <p><u>Water Section</u></p> <p>Utility Analyst 2 (0.5 FTE)</p> <p>The purpose of this activity is to balance the interests of water utilities and their customers in setting rates for service.</p> <p>No change in statutes would be needed to eliminate this activity.</p>	<p><u>Cost/Benefit Determination:</u></p> <p>Utility Analyst 2 (0.5 FTE) Salary + OPE \$85,944</p> <p><u>Impact on Customers:</u> This water utility analyst assists utilities and customers in the preparation and review of rate filings, analyzes the filings to determine what costs are reasonably incurred to provide service and what rates should be set to recover those costs, and makes recommendations to the Commission.</p> <p>Because there are only two analysts and their supervisor overseeing rate and service issues for about 80 water companies, loss of 0.5 FTE of this position would seriously diminish the agency's timeliness in resolving customer issues and establishing reasonable rates for water service.</p>	<p><u>Benefit Obtained: OF</u></p> <p>\$85,944</p>	<p><u>Rank # 4</u></p> <p><u>Methodology:</u></p> <p>Criteria used for ranking the reduction of services, supplies, activities, programs, or positions are:</p> <ul style="list-style-type: none"> • Services and Supplies should be cut before positions. • Is position function critical? • Will function get done? • Span of control (efficiencies). • Will position/history be lost?
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2015-2017 GOVERNOR'S BUDGET

<p>5. <u>Utility Program</u></p> <p><u>Natural Gas Pricing and Supply</u></p> <p>Utility Analyst 3 (0.5 FTE)</p> <p>The purpose of this activity is to assess trends and forecasts of natural gas prices and the gas purchasing practices of the regulated natural gas utilities.</p> <p>No change in statutes would be needed to eliminate this activity.</p>	<p><u>Cost/Benefit Determination:</u></p> <p>Utility Analyst 3 (0.5 FTE) Salary + OPE \$104,060</p> <p><u>Impact on Customers:</u> Elimination of 0.5 FTE of this position would reduce the agency's ability to ensure that natural gas companies purchase gas at the lowest cost and risk for customers.</p> <p>As a consequence, Oregonians could pay higher natural gas costs than otherwise.</p>	<p><u>Benefit Obtained: OF</u></p> <p>\$104,060</p>	<p><u>Rank: # 5</u></p> <p><u>Methodology:</u></p> <p>Criteria used for ranking the reduction of services, supplies, activities, programs, or positions are:</p> <ul style="list-style-type: none"> • Services and Supplies should be cut before positions. • Is position function critical? • Will function get done? • Span of control (efficiencies). • Will position/history be lost?
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2015-2017 GOVERNOR'S BUDGET

<p>6. <u>Utility Program</u></p> <p><u>Universal Service and Regulatory Analysis</u></p> <p>Utility Analyst 3 (0.5 FTE)</p> <p>The purpose of this position is to provide expertise in financial, accounting, and economic analysis to the Universal Service and Regulatory Analysis group. Specific activities include:</p> <ul style="list-style-type: none"> • Conducts complex regulatory analysis of company filings, which includes, but not limited to the review of financial, economic, accounting, and policy assumptions. • Participate in the development of policies to address the rapidly occurring changes in the nature of the telecommunications industry in Oregon and nationwide. • Participate in activities to ensure that the Oregon Universal Fund is functioning smoothly and as intended. 	<p><u>Cost/Benefit Determination:</u></p> <p>Utility Analyst 3 (0.5 FTE) Salary + OPE \$109,304</p> <p><u>Impact on Customers:</u> Elimination of 0.5 FTE of this position would result in less rigorous analysis of company filings and less robust analysis of the Oregon Universal Service Fund.</p> <p>As a consequence, Oregonians could face higher rates for telecommunications services or be delayed in receiving advanced services such as broadband.</p>	<p><u>Benefit Obtained: OF</u></p> <p>\$109,304</p>	<p><u>Rank: # 6</u></p> <p><u>Methodology:</u></p> <p>Criteria used for ranking the reduction of services, supplies, activities, programs, or positions are:</p> <ul style="list-style-type: none"> • Services and Supplies should be cut before positions. • Is position function critical? • Will function get done? • Span of control (efficiencies). • Will position/history be lost?
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2015-2017 GOVERNOR'S BUDGET

<p>7. <u>Utility Program</u></p> <p><u>Consumer Services</u></p> <p>Public Service Representative 4 (1.0 FTE)</p> <p>The Consumer Services Section responds to inquiries and complaints about Oregon's regulated utility companies. It answers questions, mediates solutions and resolves complaints regarding telephone, electric, natural gas or water service. The proposed reduction is to reduce the staffing by one Public Service Representative 4. Potential savings \$148,343.</p> <p>No change in statutes would be needed to eliminate this activity.</p>	<p><u>Cost/Benefit Determination:</u></p> <p>Public Service Representative 4 (1.0 FTE) Salary + OPE \$148,343</p> <p><u>Impact on Customers:</u> Eliminating this position would increase the backlog of ongoing cases.</p> <p>The volume and complexity of investigations conducted by the Consumer Services staff is currently stretched to keep the backlog from rising above acceptable levels. Consumers would not receive timely responses from the PUC in connection with their disputes and concerns regarding such things as billings, meter readings, and crammed and slammed telecommunications services.</p>	<p><u>Benefit Obtained: OF</u></p> <p>\$148,343</p>	<p><u>Rank: # 7</u></p> <p><u>Methodology:</u></p> <p>Criteria used for ranking the reduction of services, supplies, activities, programs, or positions are:</p> <ul style="list-style-type: none"> • Services and Supplies should be cut before positions. • Is position function critical? • Will function get done? • Span of control (efficiencies). • Will position/history be lost?
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2015-2017 GOVERNOR'S BUDGET

<p>8. <u>Utility Program</u></p> <p><u>Consumer Services</u></p> <p>Administrative Specialist 1 (1.0 FTE)</p> <p>The Consumer Services Section responds to inquiries and complaints about Oregon's regulated utility companies. The position answers questions, mediates solutions, and resolves complaints regarding telephone, electric, natural gas or water service. The proposed reduction is to reduce the staffing by one Administrative Specialist 1. Potential savings is \$112,289.</p> <p>No change in statutes would be needed to eliminate this activity.</p>	<p><u>Cost/Benefit Determination:</u></p> <p>Administrative Specialist 1 (1.0 FTE) Salary + OPE \$112,289</p> <p>Impact on Customers: The reduction of one position from this section will increase the amount of time it takes to resolve complaints filed by customers. The time elapsed between the time the complaint is filed and its resolution varies dramatically depending upon the nature of the dispute. The Administrative Specialist 1 position is a frontline contact for consumer calling PUC.</p> <p>This position is critical to the successful operation of Consumer Services. They not only receive incoming complaints, they also work telecommunications repair and cramming complaints.</p> <p>By reducing the number of Administrative Specialists from three to two, our complaint backlog will increase significantly. This will delay our ability to resolve customers' complaints in a timely manner and result in lower customer satisfaction ratings.</p>	<p><u>Benefit Obtained: OF</u></p> <p>\$112,289</p>	<p><u>Rank # 8</u></p> <p><u>Methodology:</u></p> <p>Criteria used for ranking the reduction of services, supplies, activities, programs, or positions are:</p> <ul style="list-style-type: none"> • Services and Supplies should be cut before positions. • Is position function critical? • Will function get done? • Span of control (efficiencies). • Will position/history be lost?
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2015-2017 GOVERNOR'S BUDGET

<p>9. Administration</p> <p><u>Information System Planning</u></p> <p>Information Systems Specialist 7 (1.0 FTE)</p> <p>The purpose of this activity is to improve the efficiency and effectiveness of agency operation and service through longer-term information systems planning.</p>	<p><u>Cost/Benefit Determination:</u></p> <p>Information Systems Specialist 7 (1.0 FTE) Salary + OPE \$208,068</p> <p><u>Impact on Agency:</u> Elimination of this position would result in less efficient service and operation over the long run. Software and hardware repairs and corrections would take longer resulting in inefficiency throughout the agency.</p>	<p><u>Benefit Obtained: OF</u></p> <p>\$208,068</p>	<p><u>Rank: # 9</u></p> <p><u>Methodology:</u></p> <p>Criteria used for ranking the reduction of services, supplies, activities, programs, or positions are:</p> <ul style="list-style-type: none"> • Services and Supplies should be cut before positions. • Is position function critical? • Will function get done? • Span of control (efficiencies). • Will position/history be lost?
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2015-2017 GOVERNOR'S BUDGET

<p>10. <u>Utility Program</u></p> <p><u>Natural Gas Facility Safety</u></p> <p>Utility Analyst 2 (1.0 FTE)</p> <p>The purpose of this activity is to protect utility customers from unsafe operating conditions involving natural gas pipelines and other natural gas facilities. Specific natural gas safety activities include:</p> <ul style="list-style-type: none"> • Ensuring that natural gas utilities have safety and maintenance programs that comply with US Department of Transportation (DOT) regulations and state statutes. • Inspecting natural gas pipelines and customer service lines. • Regulating liquefied natural gas (LNG) facilities. 	<p><u>Cost/Benefit Determination</u></p> <p>Utility Analyst 2 (1.0 FTE) OF Salary + OPE \$94,858 FF Salary + OPE \$115,936</p> <p>Impact on Customers: Natural gas utilities in Oregon operate over 10,000 miles of natural gas lines, as well as two LNG facilities. These facilities, if not constructed, operated, and maintained properly, can pose a substantial hazard to the public through possible leakage of natural gas with potential destruction through fire and explosion.</p> <p>The elimination of this position would probably lead to a loss of federal funding that exceeds the budget savings. The level of federal matching funds is dependent on PUC maintaining a sufficient number of inspectors with proper qualifications, as well as the level of inspection activity and enforcement of federal pipeline safety standards.</p> <p>Removing this position would likely cause the Office of Pipeline Safety to determine that the PUC is not in compliance with the agency's certification agreement, and thereby reduce the federal contribution (currently nearly 55 percent of the gas</p>	<p><u>Benefit Obtained: OF/FF</u></p> <p>OF \$94,858</p> <p>FF \$115,936</p>	<p><u>Rank: # 10</u></p> <p><u>Methodology:</u></p> <p>Criteria used for ranking the reduction of services, supplies, activities, programs, or positions are:</p> <ul style="list-style-type: none"> • Services and Supplies should be cut before positions. • Is position function critical? • Will function get done? • Span of control (efficiencies). • Will position/history be lost?
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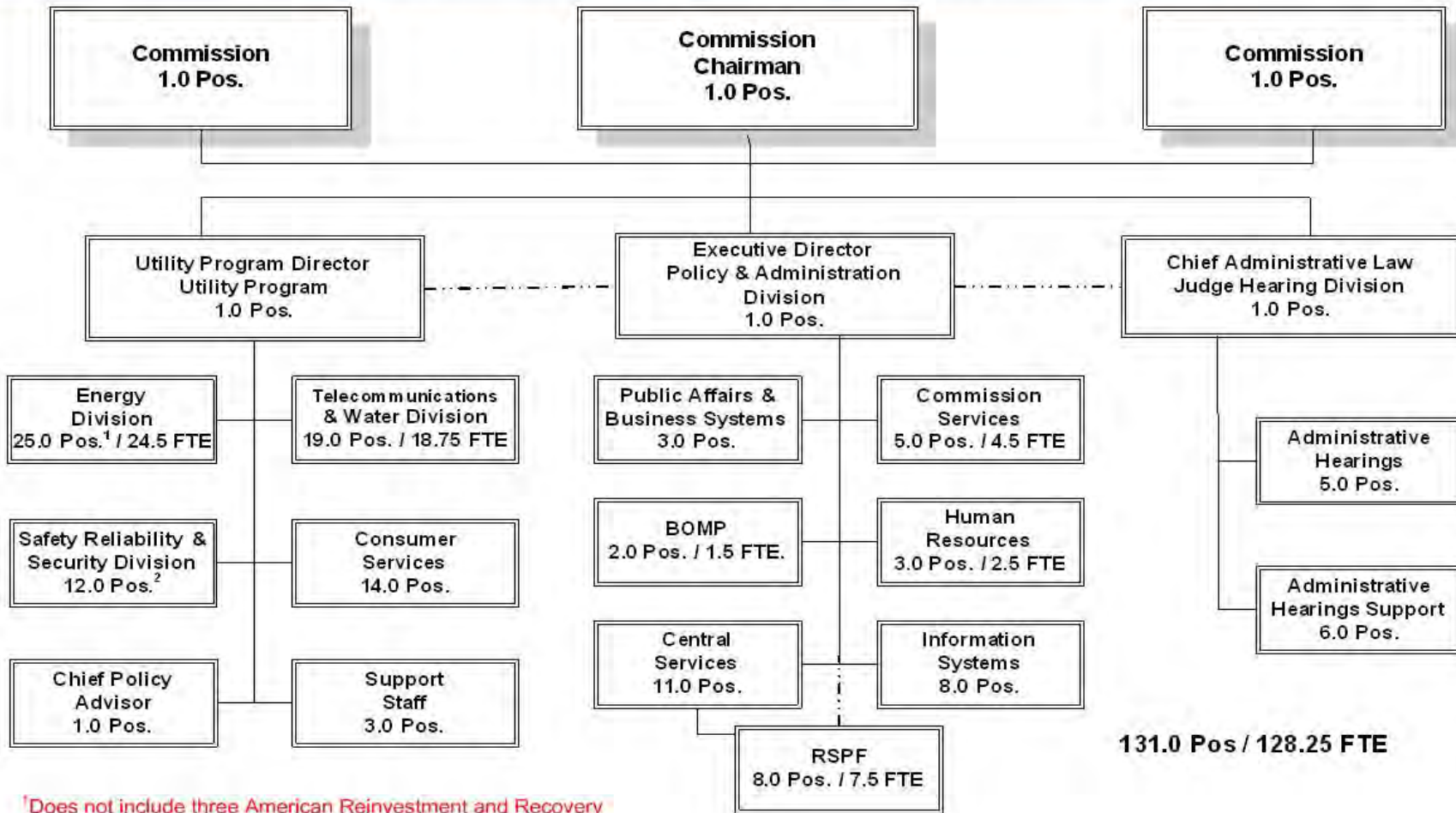
2015-2017 GOVERNOR'S BUDGET

<ul style="list-style-type: none"> • Investigating and reporting on accidents. <p>No change in statutes would be needed in order to eliminate this position.</p> <p>Natural gas safety activity would be reduced, but not eliminated.</p>	<p>safety program's \$1,272,013 biennial cost) by 10 to 30 percent.</p> <p>Some of the tangible results on inspection activity of eliminating this position is:</p> <ul style="list-style-type: none"> • Less frequent inspections of utility plant by the PUC's safety staff. • Less ability to develop forward looking or preventive programs regarding utility plant safety, as a greater percentage of staff time would be spent reacting to incidents. The staff has been active in this regard, e.g. bare steel replacement program. 		
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2015-2017 GOVERNOR'S BUDGET

PUBLIC UTILITY COMMISSION 2013-2015 LEGISLATIVELY ADOPTED BUDGET



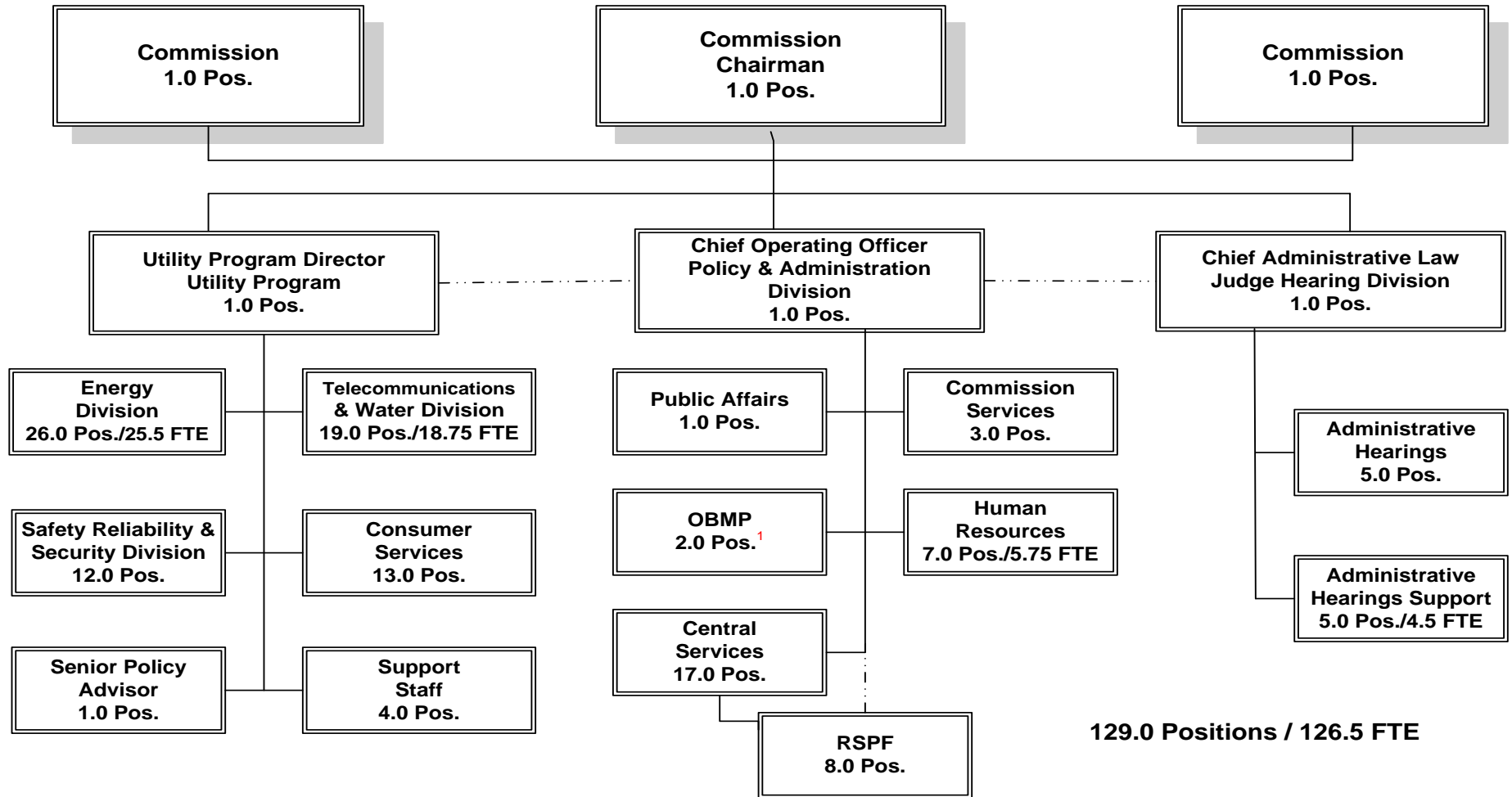
¹Does not include three American Reinvestment and Recovery Act (ARRA) personnel that expire March 31, 2012

²Includes Policy Option Package 101

Supervisory count reduced from 25 to 16

2015-2017 GOVERNOR'S BUDGET

PUBLIC UTILITY COMMISSION 2015-2017 GOVERNOR'S BUDGET



¹Includes Policy Option Package 101

Supervisory count reduced from 25 to 15

2015-2017 GOVERNOR'S BUDGET

AGENCY-WIDE PROGRAM UNIT SUMMARY (ORBITS BPR010)

Public Utility Commission

Agency Number: 86000

Agencywide Program Unit Summary
2015-17 Biennium

Version: Y - 01 - Governor's Budget

Summary Cross Reference Number	Cross Reference Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
001-00-00-00000	Utility Regulation						
	Other Funds	89,199,233	95,267,119	95,787,513	84,748,590	84,798,922	-
	Federal Funds	3,118,132	2,444,367	2,474,000	698,049	699,607	-
	All Funds	92,317,365	97,711,486	98,261,513	85,446,639	85,498,529	-
003-00-00-00000	Residential Service Protection						
	Other Funds	10,497,290	10,856,728	10,899,479	11,426,934	11,430,782	-
004-00-00-00000	Policy and Administration						
	Other Funds	10,239,655	12,409,856	12,682,971	13,784,462	13,677,926	-
005-00-00-00000	Board of Maritime Pilots						
	Other Funds	343,218	785,791	802,980	774,089	772,004	-
TOTAL AGENCY							
	Other Funds	110,279,396	119,321,494	120,172,943	110,734,075	110,679,634	-
	Federal Funds	3,118,132	2,444,367	2,474,000	698,049	699,607	-
	All Funds	113,397,528	121,765,861	122,646,943	111,432,124	111,379,241	-

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Agencywide Program Unit Summary - BPR010

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2015-2017 GOVERNOR'S BUDGET

REVENUE FORECAST NARRATIVE

The Oregon Public Utility Commission (PUC) receives no General funds or Lottery funds. Commission protection/oversight costs consumers of regulated utilities, on average, about 17 cents per month on natural gas, electric, telecommunications, and water bills.

The major sources of Other and Federal funds include:

Other Funds

Utility Fees – The PUC assesses annual fees on regulated electric, natural gas, water utilities, and telecommunications providers that fund the majority of the Commission's operating expenditures. The PUC collects fees from three investor-owned electric utilities, three natural gas utilities, about 80 regulated water utilities, and approximately 440 telecommunications utilities. The fees are limited by statute for use by the Commission in performing its duties (ORS 756.360).

For the 2015-2017 Biennium, rates for electric, gas, water, and telecommunications utilities are projected to be at the maximum of 2.5 mills. The fee rates are applied to the gross operating revenues of utilities for the previous calendar year. The PUC maintains an approximate six month reserve balance of funds.

Telecommunication revenues are projected to decline. The telecommunications industry's projected gross revenues will decrease between 2015 and 2017 as customers move from traditional wireline service to wireless service. The PUC projects a decrease in telecommunication revenues of 5.75 percent per year.

The PUC's revenue from the energy industry will increase by an estimated 3.92 percent per year. Because PUC receives more revenue from the energy industry than it does from the telecommunication industry, the growth in electricity industry revenue currently offsets the loss of telecommunications industry revenue. Utility Fees fund approximately 90 percent of Policy and Administration.

Residential Service Protection Fund (RSPF) – Under Oregon Laws (OL) 1987, Chapter 290, the Commission can levy a surcharge of up to 35 cents monthly against telecommunications subscribers. This revenue is dedicated by law to operating the RSPF Programs (OL 1987, Chapter 290). The current surcharge is 9 cents and is expected to generate \$10.4 million in the 2015-2017 Biennium. The PUC evaluates the rate annually to determine whether the rate needs to be raised or lowered to ensure adequate funding. The PUC adjusts the rate, as needed, by October of each year to retain a six month reserve balance. RSPF funds approximately ten percent of Policy and Administration.

The PUC estimates revenue for operational expenditures based on the line count projections subject to the surcharge. The PUC bases its revenue requirements and expenditure projections on trends in billable relay minutes, the number of OTAP recipients, and TDAP equipment for the new biennium.

Based on current projections and fund balance, the surcharge was decreased to 9 cents in 2015-2017.

2015-2017 GOVERNOR'S BUDGET

Oregon Universal Service Fund – The Oregon Universal Service Fund (OUSF) under Oregon Revised Statute (ORS) 759.425 provides payments to eligible telecommunications carriers to keep the price of basic service reasonable in areas of the state where costs are high. All certified telecommunications carriers are assessed an 8.5 percent charge on their intrastate retail revenue to fund the program. Only eligible telecommunications carriers approved by the PUC receive distributions from the fund.

Under ORS 759.425(5), a small amount of the funds collected pays for administration through a contracted third-party administrator. The PUC forecasted revenues, expenses, and distributions for the biennium are based on past trends and known and measurable changes in revenue collections, fund administration expenses, and projected distributions. PUC maintains a level of fund balance sufficient (approximately three months) to make future disbursements and keep the fund solvent when rate changes are warranted. Typically, there is a nine-month lag between approving a rate change and collecting additional monies.

Federal Funds - U.S. Department of Transportation – The PUC receives Natural Gas Pipeline Safety Program funds from the US Department of Transportation to ensure the safe operation of natural gas pipelines. The Natural Gas Pipeline Safety Program requires a match of Other Funds (Gross Revenue Fees). Currently, the match is approximately 55 percent Federal Funds – 45 percent Other Funds – Utility Fees. The Federal Funds must be used for personnel, services, and supplies, and the indirect cost of running the gas safety program in Oregon. To estimate the amount of Federal Fund Limitation for the 2015-2017 Biennium, the PUC estimated the cost of positions, services, and supplies using indirect costs

based on historical expenditures, and projected program needs. Approximately \$819,120 will be received in 2015-2017.

Public Purpose Charge – Under ORS 757.600 to .691, Portland General Electric and PacifiCorp collect a three percent charge on customer bills. This charge is used to save electricity, develop renewable resources, increase the energy efficiency of schools, and weatherize the homes of low-income households. The purpose of the charge is to fund cost-effective investments that will keep the long-term costs of meeting Oregon's electricity needs as low as possible, including costs to the environment. The entities responsible for implementing the public purpose requirements receive a portion of the funds to pay for their administrative costs (ORS 757.612(3)(c)).

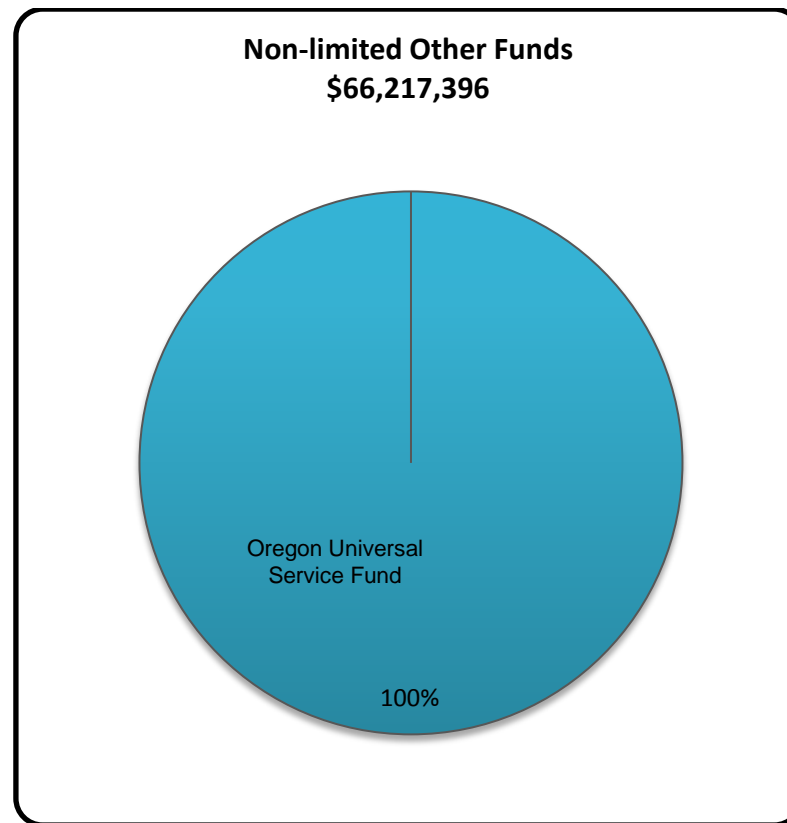
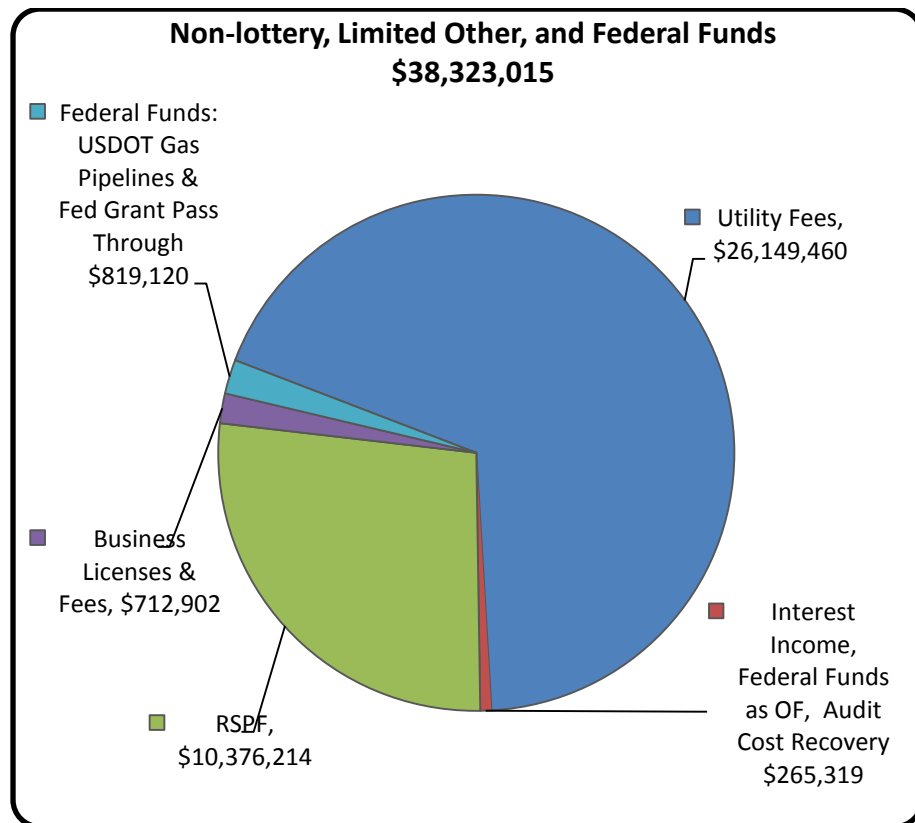
The PUC receives a small amount of the money collected to cover its costs of overseeing the development and implementation of programs. The expenses for the 2015-2017 Biennium are based on the estimated level of the PUC staff's activities related to public purpose requirements. Approximately 50 percent of one analyst's time (0.5 FTE) is dedicated to these oversight responsibilities.

Oregon Board of Maritime Pilots (OBMP) – OBMP is funded by license fees paid by the pilots; and board operations fee from each vessel using the services of a licensee (resulting from passage of 2013 SB 851).

OBMP funds are dedicated funding streams authorized by ORS 776.365. OBMP receives no General funds or Lottery funds.

2015-2017 GOVERNOR'S BUDGET

SOURCES OF 2015-2017 AGENCY REVENUES



Plus 2013-2015 Ending Balances: \$9,022,427 Other Funds Non-limited, Federal Funds Limited \$20,096 and \$16,908,845 Other Funds Limited

TOTAL REVENUE ALL SOURCES: \$130,491,779

¹ These revenues are mandated by statute (ORS 759.425) that requires the PUC to establish and administer an Oregon Universal Service Fund (OUSF) to collect revenue from all retail telecommunications providers for service sold in Oregon and then to pass that revenue on to high-cost area telecommunications providers.

2015-2017 GOVERNOR'S BUDGET

DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Public Utility Commission
2015-17 Biennium**

Agency Number: 86000

Cross Reference Number: 86000-000-00-00-00000

Source	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
Other Funds						
Other Selective Taxes	12,851,880	11,968,139	11,968,139	10,376,214	10,376,214	-
Business Lic and Fees	319,860	615,112	615,112	712,902	712,902	-
Public Utilities Fees	23,677,232	26,852,751	26,852,751	26,149,460	26,149,460	-
Federal Revenues	-	-	-	-	85,000	-
Charges for Services	100,202	251,678	251,678	91,700	91,700	-
Fines and Forfeitures	44,024	38,000	38,000	16,235	16,235	-
Interest Income	27,255	17,197	17,197	31,384	31,384	-
Other Revenues	81,551	-5,000	5,000	41,000	41,000	-
Transfer In - Intrafund	10,354,020	12,840,926	12,840,926	13,792,689	13,792,689	-
Transfer In - Indirect Cost	156,906	109,656	109,656	139,609	139,609	-
Tsfr From Energy, Dept of	109,854	-	-	-	-	-
Tsfr From Land Use Bd of Appls	15,879	25,502	25,502	-	-	-
Transfer Out - Intrafund	(10,354,020)	(12,840,926)	(12,840,926)	(13,792,689)	(13,792,689)	-
Transfer Out - Indirect Cost	(62,762)	(73,107)	(73,107)	-	-	-
Transfer to Other	-	-	-	-	(85,000)	-
Total Other Funds	\$37,321,681	\$39,809,928	\$39,809,928	\$37,558,504	\$37,558,504	-
Federal Funds						
Federal Funds	3,548,682	2,463,075	2,492,708	817,562	819,120	-
Transfer Out - Indirect Cost	(94,144)	(36,549)	(36,549)	(139,609)	(139,609)	-
Tsfr To OR Business Development	(171,196)	-	-	-	-	-
Total Federal Funds	\$3,283,342	\$2,426,526	\$2,456,159	\$677,953	\$679,511	-
Nonlimited Other Funds						
Other Selective Taxes	331	-	-	-	-	-
Public Utilities Fees	69,152,379	79,000,000	79,000,000	66,000,000	66,000,000	-

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Detail of LF, OF, and FF Revenues - BPR012

2015-2017 GOVERNOR'S BUDGET

DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Public Utility Commission
2015-17 Biennium**

Agency Number: 86000

Cross Reference Number: 86000-000-00-00-00000

Source	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
Nonlimited Other Funds						
Fines and Forfeitures	70,081	48,301	48,301	68,580	68,580	-
Interest Income	161,389	336,000	336,000	148,816	148,816	-
Total Nonlimited Other Funds	\$69,384,180	\$79,384,301	\$79,384,301	\$66,217,396	\$66,217,396	-

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DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Public Utility Commission
2015-17 Biennium**

**Agency Number: 86000
Cross Reference Number: 86000-001-00-00-00000**

Source	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
Other Funds						
Public Utilities Fees	23,676,972	26,852,751	26,852,751	26,149,460	26,149,460	-
Federal Revenues	-	-	-	-	85,000	-
Charges for Services	87,881	88,000	88,000	91,700	91,700	-
Fines and Forfeitures	16,689	38,000	38,000	16,235	16,235	-
Other Revenues	57,310	5,000	5,000	41,000	41,000	-
Transfer In - Indirect Cost	156,906	109,656	109,656	139,609	139,609	-
Tsfr From Energy, Dept of	109,854	-	-	-	-	-
Transfer Out - Intrafund	(9,580,082)	(12,065,467)	(12,065,467)	(12,404,080)	(12,404,080)	-
Transfer Out - Indirect Cost	(62,762)	(73,107)	(73,107)	-	-	-
Transfer to Other	-	-	-	-	(85,000)	-
Total Other Funds	\$14,462,768	\$14,954,833	\$14,954,833	\$14,033,944	\$14,033,944	-
Federal Funds						
Federal Funds	3,548,682	2,463,075	2,492,708	817,562	819,120	-
Transfer Out - Indirect Cost	(94,144)	(36,549)	(36,549)	(139,609)	(139,609)	-
Tsfr To OR Business Development	(171,196)	-	-	-	-	-
Total Federal Funds	\$3,283,342	\$2,426,526	\$2,456,159	\$677,953	\$679,511	-
Nonlimited Other Funds						
Other Selective Taxes	331	-	-	-	-	-
Public Utilities Fees	69,152,379	79,000,000	79,000,000	66,000,000	66,000,000	-
Fines and Forfeitures	70,081	48,301	48,301	68,580	68,580	-
Interest Income	161,389	336,000	336,000	148,816	148,816	-
Total Nonlimited Other Funds	\$69,384,180	\$79,384,301	\$79,384,301	\$66,217,396	\$66,217,396	-

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DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Public Utility Commission
2015-17 Biennium**

Agency Number: 86000

Cross Reference Number: 86000-003-00-00-00000

Source	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
Other Funds						
Other Selective Taxes	12,851,880	11,968,139	11,968,139	10,376,214	10,376,214	-
Public Utilities Fees	260	-	-	-	-	-
Fines and Forfeitures	27,335	-	-	-	-	-
Interest Income	27,255	17,197	17,197	31,384	31,384	-
Transfer Out - Intrafund	(773,938)	(775,459)	(775,459)	(1,378,229)	(1,378,229)	-
Total Other Funds	\$12,132,792	\$11,209,877	\$11,209,877	\$9,029,369	\$9,029,369	-

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DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Public Utility Commission
2015-17 Biennium**

Agency Number: 86000

Cross Reference Number: 86000-004-00-00-00000

Source	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
Other Funds						
Charges for Services	12,321	-	-	-	-	-
Other Revenues	23,196	-	-	-	-	-
Transfer In - Intrafund	10,354,020	12,840,926	12,840,926	13,792,689	13,792,689	-
Tsfr From Land Use Bd of Appls	15,679	25,502	25,502	-	-	-
Total Other Funds	\$10,405,216	\$12,866,428	\$12,866,428	\$13,792,689	\$13,792,689	-

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DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Public Utility Commission
2015-17 Biennium**

**Agency Number: 86000
Cross Reference Number: 86000-005-00-00-00000**

Source	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
Other Funds						
Business Lic and Fees	319,860	615,112	615,112	712,902	712,902	-
Charges for Services	-	163,678	163,678	-	-	-
Other Revenues	1,045	-	-	-	-	-
Transfer Out - Intrafund	-	-	-	(10,400)	(10,400)	-
Total Other Funds	\$320,905	\$778,790	\$778,790	\$702,502	\$702,502	-

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DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE PUBLIC UTILITY COMMISSION –AGENCY TOTAL

Source	Fund	ORBITS Revenue Acct	2011-2013 Actual	2013-15 Legislatively Adopted	2013-15 Estimated	2015-17		
						Agency Request	Governor's Recommended	Legislatively Adopted
Other Funds								
Other Selective Taxes	3400	0190	12,851,880	11,968,139	11,732,649	10,376,214	10,376,214	0
Business Lic and Fees	3400	0205	319,860	615,112	581,440	712,902	712,902	0
Public Utilities Fees	3400	0240	23,677,232	26,852,751	24,746,897	26,149,460	26,149,460	0
Charges for Services	3400	0410	100,202	251,678	251,678	91,700	91,700	0
Fines & Forfeitures	3400	0505	44,024	38,000	8,670	16,235	16,235	0
Interest Income	3400	0605	27,255	17,197	34,321	31,384	31,384	0
Other Revenues	3400	0975	81,551	5,000	18,483	41,000	41,000	0
Federal Funds as Other Funds	3400	0355					85,000	0
Transfer In-Intrafund	3400	1010	10,354,020	12,840,926	12,312,319	13,792,689	13,792,689	0
Transfer In-Indirect Costs	3400	1020	156,906	109,656	103,000	139,609	139,609	0
Transfer from Energy	3400	1330	109,854	0	0	0	0	0
Transfer from Land Use	3400	1662	15,679	25,502	0	0	0	0
Transfer Out - Intrafund	3400	2010	(10,354,020)	(12,840,926)	(12,312,319)	(13,792,689)	(13,792,689)	0
Transfer Out – Indirect Costs	3400	2020	(62,762)	(73,107)	(69,010)			0
Transfer Out - to Other	3400	2050					(85,000)	
Total Other Funds			\$37,321,681	\$39,809,928	\$37,408,128	\$37,558,504	\$37,558,504	\$0

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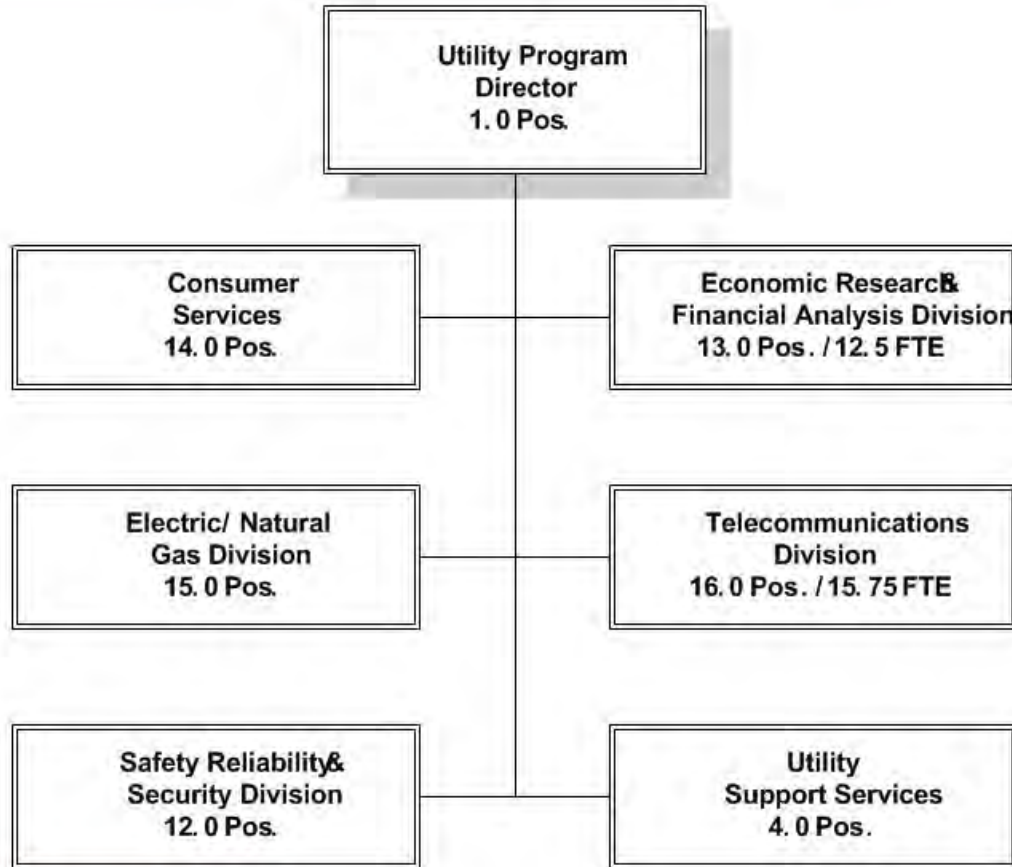
DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE PUBLIC UTILITY COMMISSION – AGENCY TOTAL

Source	Fund	ORBITS Revenue Acct	2011-2013 Actual	2013-15 Legislatively Adopted	2013-15 Estimated	2015-17		
						Agency Request	Governor's Recommended	Legislatively Adopted
Federal Funds								
Federal Fund Revenue	6400	0995	3,548,682	2,463,075	2,473,513	817,562	819,120	0
Transfer Out-Indirect Costs	6400	2020	(94,144)	(36,549)	(103,000)	(139,609)	(139,609)	0
Transfer Out-Ore Bus Dev	6400	2123	(171,196)	0	0	0	0	0
Total Federal Funds			\$3,283,342	\$2,426,526	\$2,370,513	\$677,953	\$679,511	\$0
Non-Limited - Other Funds								
Other Selective Taxes	3200	0190	331	0				
Public Utilities Fees	3200	0240	69,152,379	79,000,000	68,925,894	66,000,000	66,000,000	0
Fines and Forfeitures	3200	0505	70,081	48,301	67,080	68,580	68,580	0
Interest Income	3200	0605	161,389	336,000	136,242	148,816	148,816	0
Total Non-Limited – Other Funds			\$69,384,180	\$79,384,301	\$69,129,216	\$66,217,396	\$66,217,396	\$0

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UTILITY PROGRAM 2013-2015 AGENCY REQUEST BUDGET



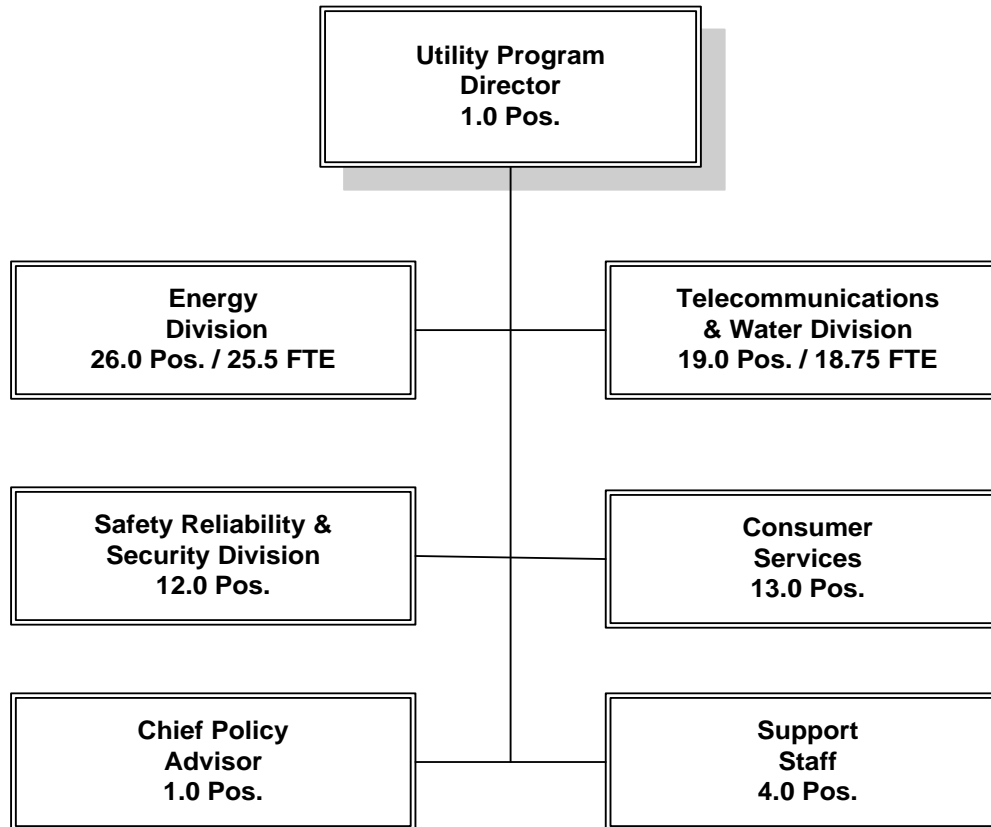
*Remove 2011-2013 Pkg #104 that Granted 3 Limited Duration UA3 positions/ 2 FTE

*Add Pkg #101- 1 Sr. Pipeline Safety UA3 position/ 1 FTE

75.0 Positions / 74.25 FTE

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UTILITY PROGRAM 2015-2017 GOVERNOR'S BUDGET



As part of supervisory reduction, Utility Program was reorganized, changing and combining some sections.

76.0 Positions / 75.25 FTE

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Utility Program Executive Summary

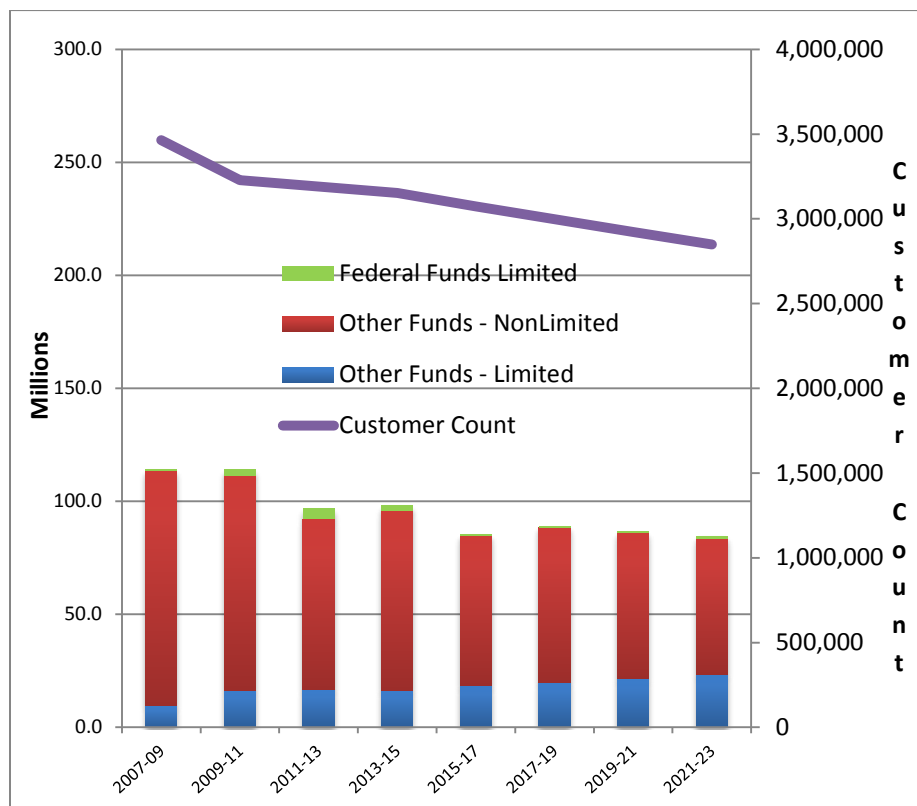
10 Year Plan Outcome Area

Primary Outcome Area – Safety
 Secondary Outcome Area – Not Applicable

Primary Program Contact

Jason Eisdorfer jason.eisdorfer@state.or.us

Total Funds Budget Over Time – Graph



Program Overview

The Utility Program is the technical and analytical arm of the Public Utility Commission. It consists of a professional staff that analyzes all utility filings, helps build a factual record in contested case proceedings, investigates and recommends policy options, inspects utility facilities, and undertakes many other activities needed for the Commission to carry out its mission and serve ratepayers. Through its Consumer Services Section, the Utility Program also assists the public in resolving complaints about utility service.

Program Funding Request

The 2015-2017 Agency Request Budget for the Utility Program is \$18,519,056 – Other Funds Limited; \$66,279,866 – Other Funds Non-limited; and \$699,607 – Federal Funds.

	Program Costs (Limited)	Program Costs (Non-Limited)	FTE
2015-17	\$19,218,663	\$66,279,866	75.25
2017-19	\$20,795,592	\$68,195,598	75.25
2019-21	\$22,515,411	\$64,202,444	75.25
2021-23	\$24,391,035	\$60,209,124	75.25

The Utility Program is responsible for Key Performance Measures (KPMs) 1 through 13, 17, 18 and 21 a-e. (KPMs 5 through 9 have been submitted for deletion as these KPMs are covered and better expressed in KPM 21 a-e.). The Utility Program is fundamental to the agency's mission:

“Ensure that safe and reliable utility services are provided to consumers at just and reasonable rates through regulation and promoting the development of competitive markets.”

2015-2017 GOVERNOR'S BUDGET

Program Description

The Utility Program has 75.25 FTE and serves an estimated 1,405,964 customers of investor-owned electric utilities; 772,512 customers of investor-owned natural gas utilities; 981,793 customers of incumbent local exchange carriers (telecommunications); and approximately 30,000 customers of investor-owned and associated water/wastewater companies. Services are provided daily through rate and other investigations. No other state or federal agency has the statutory authority to perform these functions. The program is organized by industry and key distinct functions. These organizational units are:

- Energy Division
- Telecommunications and Water Division
- Utility Safety, Reliability, and Security Division
- Consumer Services Section

The Utility Program provides financial, accounting, engineering, and economics-oriented analysis in the review of utility plant operations, capital and infrastructure improvements, utility and telecommunications services, utility property transactions, cost of capital (debt and equity), property and other transactions, telecommunications competition, purchased gas costs, and power costs.

The Utility Program performs quantitative adjustments to the utility's proposed costs, reviews utility's Integrated Resource Plans (IRPs) and Requests for Proposals for major resource acquisitions, and conducts rulemakings and regulatory proceedings to develop regulatory policy in utility-related topic areas.

Success of the Utility Program is achieved through its research, analysis, and creativity. Utility Program Staff is highly qualified and trained as the financial and policy analyses are usually quite complex. The Utility Program also benefits from analysis, comments and contributions by stakeholder groups including, but not limited to Governor's Office; the Legislature; Citizens' Utility Board (CUB); Industrial Consumers of Northwest Utilities; Northwest Gas Industrial Users; Energy Trust of Oregon (ETO); Oregon Telecommunications Association (OTA); and federal agencies such as the Federal Energy Regulatory Commission (FERC), Federal Communications Commission (FCC), Bonneville Power Administration (BPA), and Pipeline and Hazardous Materials Safety Administration (PHMSA).

Costs of the Utility Program are personnel (55.74 percent), other personnel expenses (29.15 percent), and services and supplies (15.11 percent).

Program Justification and Link to the 10-Year Plan

The Utility Program is directly tied to the Safety Outcome by helping to ensure that every citizen, regardless of social status or economic condition, has the security of knowing that their personal safety and financial interests regarding regulated public utility services is strongly protected.

The PUC's Utility Program is directly linked to Strategy 5: Provide education, advocacy and regulatory efforts to ensure the safety, soundness and availability of markets for goods, services, financial products and labor. As previously mentioned, no other state or federal agency has the statutory authority to perform the functions of the PUC's Utility Program.

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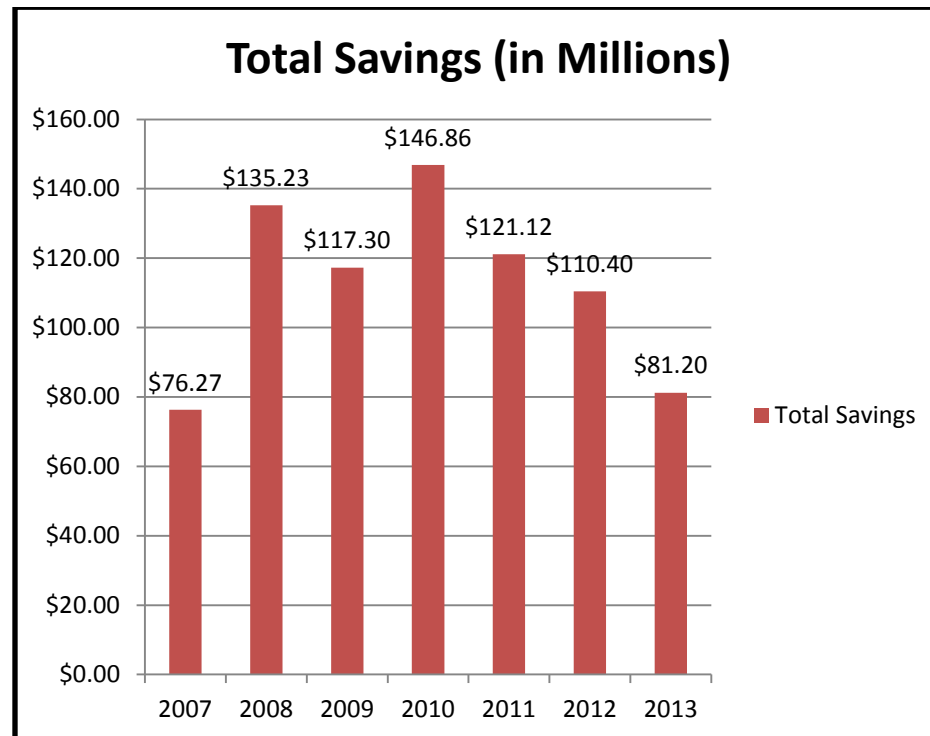
The PUC is also a Key Agency for the Governor's Program Funding Team: *Climate, Innovation and Jobs, Acceleration of Clean Energy Agenda.*

Program Performance

There are approximately 3.19 million customers of utilities regulated by the PUC. Total revenue collected by Oregon investor-owned utilities is approximately \$4.81 billion per year. Investor-owned electric utilities (Portland General Electric, PacifiCorp, and Idaho Power) account for 67.4 percent of electricity sold in the state and 73.8 percent of electric customers in Oregon.

Residential customers of investor-owned electric utilities consume on average 10,818 kilowatt hours of electricity per year. Residential customers of investor-owned natural gas companies (NW Natural, Cascade Natural Gas, and Avista) consume on average 628 therms per year.

The following graph shows the rate case savings (in millions) to customers of investor-owned utilities that have resulted from electric, gas, and water rate cases and trackers performed by Utility Program staff from years 2007 through 2013.



Additionally, as a result of two telecommunications mergers (Frontier-Verizon Northwest and CenturyLink-Qwest), staff and other parties negotiated company commitments of \$70 million in broadband infrastructure investments in Oregon.

The Utility Program has diverse responsibilities and is currently responsible for 20 Key Performance Measures that include water utilities conservation pricing; price of electricity; businesses' use of alternate suppliers; residential energy efficiency; commercial energy efficiency; industrial energy efficiency; renewable resource development; Energy Trust administrative efficiency; electric utility operations; prevention of injuries from unsafe acts; natural gas operations; switched

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access lines; agency customer service; and complaint investigation. (KPMs 5 through 9 have been submitted for deletion as these KPMs are covered and better expressed in KPM 21 a-e.)

Enabling Legislation/Program Authorization

- ORS 757 and 758 set out laws for energy and water regulation.
- ORS 759 sets out laws for telecommunication regulation.

Funding Streams

The Utility Program receives no General funds or Lottery funds. Commission protection/oversight costs customers, on average, about 17 cents per month on gas, electric, and telecommunications bills. The major sources of Other and Federal funds include:

Utility Fees – The PUC collects fees from three investor-owned electric utilities, three natural gas utilities, about 80 regulated water utilities, and about 440 telecommunications utilities. Utility fees fund the Utility Program (shaded blue in the Funds graph above - approximately \$18.5 million in 2015-2017) and 90 percent of Policy and Administration.

The fees are limited by statute for use by the Commission in performing its duties (ORS 756.360). For the 2015-2017 biennium, rates for electric, gas, water, wastewater, and telecommunications utilities are projected to be at the maximum of 2.5 mills. The fee rates are applied to the gross operating revenues of utilities for the previous calendar year.

Federal Funds - U.S. Department of Transportation - The PUC receives Pipeline Safety Program funds (approximately \$819,120 in 2015-2017, shaded green in the funding graph) from the US Department of Transportation to ensure the safe operation of natural gas pipelines. The Pipeline Safety Program Grant requires a match of Other Funds (Utility Gross Revenue Fees). Currently, the match is approximately 55 percent Federal Funds – 45 percent Other Funds – Utility Fees.

Oregon Universal Service Fund - The Oregon Universal Service Fund (OUSF) under ORS 759.425 provides payments to eligible telecommunications carriers to keep the price of basic service reasonable in areas of the state where costs are high. These non-limited funds (shaded red in the first graph – approximately \$66 million in 2015-2017) are a pass-through and are not used to fund Utility Program operations.

2015-2017 Funding Proposal

The 2015-2017 budget proposal maintains the program at Current Service Level.

Utility Program Unit Narrative by Organizational Units

Energy Division

The Commission is responsible for ensuring that investor-owned or commission-regulated private electric and natural gas utilities offer safe and reliable energy at reasonable rates, and for promoting the development of competitive markets where appropriate. It accomplishes this by:

- Setting the rates charged to homes and businesses.

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- Promoting price and service competition, where appropriate, so that utilities and their customers can shop for the cheapest supplies and get services tailored to their needs.
- Setting and enforcing price and service rules that protect consumers.
- Establishing regulatory policies that induce utilities to secure the mix of new resources, including energy efficiency and renewable energy sources that will meet customer needs at the lowest cost and risk.

Rates: The Commission sets rates that give Oregon's six regulated electric and natural gas utilities an opportunity to recover costs that are prudently incurred plus an opportunity to earn a reasonable return on their capital investments. The Commission evaluates various costs such as labor, maintenance, purchased energy, and the cost of capital to determine how much of these costs should be included in customer rates. The Commission also decides the share of utilities' total costs to assign to different groups of customers and the structure of customer rates.

General rate cases, in which virtually all utility costs are examined, are significant undertakings. A dozen or more staff members are assigned to examine different components of the utility's costs to determine how much of these costs the company should be allowed to recover through customer rates. The rate case process involves discovery of information, research, analysis, settlement discussions/negotiations, and several rounds of written expert testimony by parties, cross-examination hearings and oral arguments before the Commission, submission of legal briefs that summarize each party's legal and factual arguments, and issuance of an order by

the Commission. The entire process can last up to ten months. During the 2013-2015 Biennium, the Commission completed three general rate cases for energy utilities. In addition, the PUC conducted several proceedings to address individual major cost components, such as purchased gas costs and electric power costs. Hundreds of millions of dollars are at stake in these targeted proceedings.

Market Competition: In 1999, the Oregon Legislature enacted SB 1149 (ORS 757.600 et seq.) to introduce competition into the retail electricity markets of Portland General Electric and PacifiCorp. The 2001 Legislature revised the law to require implementation in March 2002 and guarantee a cost-of-service option for all customers. Under the laws, businesses can buy power from other suppliers through "direct access" and have it delivered by the local utility. The Commission is responsible for implementing most of the provisions of the laws.

The Commission laid the foundation for opening up the retail market to competition. It adopted rules that govern the activities of both existing utilities and competitors, and established requirements both to protect consumers from unfair practices and to maintain the safety, reliability and quality of electric service. The Commission sets the prices that utilities can charge for different elements of electricity service and authorizes the regulated power supply options the utilities must make available to residential customers.

The Commission certifies and decertifies competitive suppliers. It also requires suppliers to give customers information about prices, power sources, and emissions; ensures that customers cannot be switched to alternative suppliers without their consent; provides that customers will receive service even if a

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customer's supplier goes out of business; and makes a regulated cost-based rate option available to all customers.

Currently, approximately 130 megawatts of Portland General Electric's (PGE) load and nine megawatts of PacifiCorp's load (or about nine percent and one percent, respectively, of each utility's eligible amount) is served through direct access, and the Commission has approved multiple opportunities for customers to sign up during the year.

Residential and small business customers can choose from a "portfolio" of power supply options. More than 100,000 PGE and PacifiCorp customers have chosen renewable resource options, among the highest participation rates in the country.

New Energy Supply: A key Commission objective is to ensure that the energy utilities develop the mix of new resources that meets customer needs at the lowest possible cost and risk.

The Commission requires Oregon's regulated electric and natural gas utilities to develop long-term supply plans, called Integrated Resource Plans. These plans assess all supply options, including energy conservation. They identify future demand scenarios and the mix of resources that minimizes costs, environmental impacts, and risk. A utility may not be able to recoup all of the costs of a new resource in rates if it cannot show that the resource was the option with the lowest cost and risk for customers.

Senate Bill 838 (ORS Chapter 469A), the Oregon Renewable Energy Act, was signed into law in June 2007. SB 838 establishes a Renewable Portfolio Standard (RPS) for Oregon's electric utilities and any electricity service suppliers serving at least three percent of the state's load. These providers must

meet a certain percentage of their load with new renewable energy sources such as wind, solar, geothermal or biomass. For PGE and PacifiCorp, Oregon's two largest investor-owned electric utilities, the requirement is five percent by 2011, fifteen percent by 2015, twenty percent by 2020, and twenty-five percent by 2025.

The law requires the Commission to implement a mechanism for timely cost recovery and impose a cost cap to ensure that compliance with the requirements will not cause unreasonable rates for customers. The Commission adopted rules to implement the standards.

Under ORS 757.612, PGE and PacifiCorp collect a three percent charge on customer's bills – roughly \$83 million a year that goes for programs to save electricity through energy efficiency in homes and businesses, develop renewable resources, and weatherize the homes of low-income households. The Commission oversees how approximately \$60 million of these funds are spent to save electricity and develop new renewable resources. The Commission also approves additional expenditures under Senate Bill 838 to ensure acquisition of all cost-effective energy efficiency. The Commission contracts with the Energy Trust of Oregon to administer the conservation and renewable resource programs. The Commission sets annual performance standards for the Energy Trust and, with the Oregon Department of Energy, provides a report to the Legislature on public purpose expenditures and results achieved. The Energy Trust also runs conservation programs for NW Natural and Cascade Natural Gas, two of the three natural gas utilities operating in Oregon. The Commission oversees the energy efficiency programs offered by Idaho Power Company and Avista Utilities, the other two private utilities in the state.

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The Commission promotes the development of new technologies and resources that hold promise of being cheaper over the long run. For example, the Commission approved proposals by both PGE and Idaho Power to install advanced customer meters. These smart meters will enable the utilities to provide more pricing options for customers of all sizes and foster automated control of energy uses in homes and businesses.

The Commission adopted rules that encourage installation of renewable energy resources owned or operated by customers themselves. Under ORS 757.300, Oregon customers may offset their usage with "net metering" facilities, such as small solar or wind power units, which are interconnected with the utility's system.

The Commission implemented the Solar Pilot Program that was mandated by HB 3039 (ORS Chapter 757) on July 1, 2010. The Commission adopted rules and policies pursuant to House Bill 3039, establishing for each regulated electric utility a pilot program to pay volumetric incentive rates for customers' new solar photovoltaic energy systems. Subsequent to that time, the Commission has continued to develop the program to adjust rates over time based on participation levels, establish a lottery system rather than a first-come, first served application process, and to ensure fair regulatory policy that meets the intent of the statute.

Telecommunications and Water Division

Since 1985, state law has directed the Commission to promote competition in local telecommunications markets while maintaining strong regulatory oversight where necessary to achieve the state goals for telecommunications service to

Oregonians – high-quality service, universal access to basic service at reasonable rates, and continuing innovation in the services offered to Oregonians.

Competitive Entry: A major focus of the Commission's and division's work is to promote competition in Oregon's telecommunications market. Among its responsibilities, the Commission certifies new competitive carriers to offer service in the state. Competitive carriers typically receive authority to offer service statewide, subject to regulations including service quality and mandatory contribution to state universal service programs. Competitive carriers are not subject to rate regulation and do not file tariffs with the Commission.

As of March 2014, 362 companies were certified to compete in Oregon's telecommunications market, 207 of which can provide competitive local service.

Interconnection Agreements: Under federal law, the Commission arbitrates and approves interconnection agreements between incumbent local exchange carriers (ILECs) and competitive local exchange carriers (CLECs). Interconnection agreements provide CLECs with access to the networks of incumbent carriers, set prices that incumbent carriers can charge for such access, establish wholesale discounts for CLEC resale of ILEC services, set terms and pricing for constructing interconnection facilities, establish mutual compensation rates for terminating traffic, and generally describe how CLECs and ILECs are to do business. The Commission enforces interconnection agreements by hearing complaints.

Universal Service: Ensuring universal access to basic service at affordable rates is an agency priority. The Commission

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oversees the administration of the Oregon Universal Service Fund (OUSF), created by the 1999 Legislative Assembly. OUSF is funded by a surcharge on all Oregon carriers, which the carriers may pass through to their customers. While all carriers pay into the OUSF, only eligible carriers may receive support from the OUSF. The Commission grants eligibility to receive support if a carrier meets various requirements. One such requirement is a commitment to provide service throughout a service area on reasonable terms.

The OUSF provides payments to eligible carriers to offset their cost of providing basic service in high-cost areas. Currently, eligible carriers receive approximately \$40 million annually to keep high cost customers' rates reasonable. Of this amount, approximately \$29 million goes to CenturyLink and Frontier¹ and approximately \$9.5 million² to small incumbent carriers.

One competitive wireline carrier receives approximately \$500,000 annually from the OUSF. Wireless carriers (i.e. federally licensed cellular companies) are not required to pay into the OUSF. However, a wireless carrier may pay into the Fund voluntarily. If a wireless carrier voluntarily pays into the Fund for at least one year, it can apply to become an eligible carrier and receive funding. To date, no wireless carrier has paid into or received support from the OUSF.

The OUSF supplements a parallel federal universal service program supporting service in high cost areas throughout the country. Federal law directs state commissions to designate telecommunications carriers as eligible to receive Federal

Universal Service Funds in their state. The Commission has designated all Oregon ILECs as eligible for Federal funds, plus one CLEC, and three wireless carriers. The Commission established policies for designating eligible carriers under the federal program, and for ensuring that eligible carriers use Federal Universal Service Funds for intended purposes. Each year, the Commission reviews how eligible carriers have used federal funding. In addition, federal rules require the Commission to determine annually whether each eligible wireless and CLEC carrier should be recertified for continued support. Oregon's eligible carriers annually receive approximately \$71 million in high-cost support from the Federal Universal Service Fund.

Service Quality: Another responsibility of the Commission is to ensure that Oregon consumers receive high-quality service. The Commission sets local service quality standards that apply to all telecommunications carriers. Those standards include the number of trouble reports, repair time, speed of response to customer calls, allowed call blockage, and the speed at which new service is installed. The Commission assesses results on a monthly basis, conducts independent field audits, and monitors that the carriers fix service problems promptly. Larger carriers (1,000 lines or more) provide monthly service quality reports to the Commission, which the Commission posts on its website. Carriers that meet all service quality requirements for a year may be exempted from reporting.

Rates: The Commission has varying authority to set rates for Oregon's 31 Incumbent Local Exchange Carriers (ILECs). Eleven ILECs are member-owned telecommunications cooperatives. For these, the Commission only regulates the rates that a cooperative charges long distance carriers for access to the cooperative's local network (access charges).

¹ Approximately \$23 million goes to CenturyLink QC (f/k/a Qwest) and Frontier.

² The rural companies, including those that are business units of CenturyLink and Frontier receive approximately \$15.7 million.

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The Commission does not regulate the local and extended area service (EAS) rates that telecommunications cooperatives charge their members.

The 20 other ILECs in the state are investor-owned telecommunications utilities. Eighteen of these utilities have fewer than 50,000 access lines, and are classified as small telecommunications utilities. Oregon law exempts such small utilities from Commission regulation of local service rates. However, customers may petition the Commission for re-regulation. The Commission retains authority to regulate EAS rates and access charges.

There are four large telecommunications utilities with 50,000 or more access lines. Three of the four are under traditional rate regulation based on an allowed rate of return. In 1999, the largest telecommunications utility, CenturyLink QC, elected price cap regulation under a legislatively approved plan, commonly referred to as SB 622 price caps. Under price cap regulation, an electing carrier is not subject to rate of return regulation. A carrier that elects SB 622 price caps must make a significant infrastructure investment, in CenturyLink QC's case; the investment was \$120 million.³ In 2008, CenturyLink QC unelected price cap regulation under SB 622, and the Commission granted approval for CenturyLink QC to be subject to a different price cap framework under ORS 759.255.

In addition to SB 622 price caps, telecommunications utilities may petition the Commission to exempt specific services from rate regulation. The Commission exempted CenturyLink QC's in-state toll services from rate regulation in 2003.

In addition, telecommunications utilities may seek greater pricing flexibility for their rate regulated services in the form of price listing. Utilities have two price listing options. They may seek price listing for specific services, or they may request price listing for a broad array of services under a price listing plan. The Commission also has authority to approve a price listing plan that forgoes a rate of return review under ORS 759.255.

Water Program

The Commission has authority to regulate the rates and/or service of certain private investor-owned and association-owned water and wastewater utilities. There are approximately 3,700 water systems in Oregon; however, the Commission does not have jurisdiction over municipalities, cooperatives, districts, business concerns, and parks/ campgrounds. In 1989, Senate Bill 77 exempted most water utilities from regulation and established threshold criteria and a petition process for regulation. In 1999, House Bill 2681 provided regulation of joint water/wastewater utilities. Senate Bill 712 (1999) gave the Commission authority to designate exclusive service territories.

The Commission currently regulates 85 water utilities, which serve more than 30,000 customers under some form of regulation. The criterion for jurisdiction differs depending on the type of utility. Some utilities are rate and service regulated and some are only service regulated. These utilities present a mixture of individual and unique characteristics not found in the other regulated industries. The majority of Oregon's utilities are small and less informed regarding regulation. The Commission's largest regulated water utility serves 12,234 customers; the smallest serves three customers.

³ CenturyLink QC was to provide \$70 million for infrastructure improvements and \$50 million for high-speed internet connections.

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The Water Program Staff conducts utility audits, rate cases, rulemakings, and jurisdiction and service investigations. In addition, they review tariff filings, affiliate interest transactions, property sales, abandonments, financial applications, and service territory designations. Staff also assists in formulating Commission water policies, sits on the Drinking Water Advisory Committee regarding state water quality issues, and routinely provides presentations at industry conferences.

Rates: Staff conducts economic and financial analyses in rate cases to determine the cost of service and a reasonable rate of return. Staff recommends revenue requirement, rate spread and design; negotiates settlements; and provides expert testimony. Currently, the Commission regulates the rates of 38 utilities serving approximately 30,000 customers. During the 2013-2015 Biennium, the section reviewed 12 water utility rate cases. Rate-regulated utilities are also regulated for service.

Service Quality: The Commission is also responsible to ensure that Oregon consumers receive safe and reliable service. The Commission regulates the service provided by an additional 47 water utilities representing approximately 3,100 customers. The Commission determines service standards for all aspects of utility service. Those standards include, but are not limited to, customer service, response to customers, emergencies, complaints and inquiries, billing and collection, disconnects and reconnects, water quality, water pressure, meter accuracy, and utility capacity.

Utility Safety, Reliability and Security Division

The Commission is responsible for overseeing the safe, reliable, and secure operation of electric power and natural gas supply networks and hundreds of thousands of miles of

telecommunications lines located throughout Oregon. The Commission also establishes and enforces regulations, promotes practices to ensure that the state's utility rights-of-ways (both underground and overhead) are constructed, operated and maintained in a safe and efficient manner. The Utility Safety, Reliability, and Security Division carries out these responsibilities, focusing on eight areas:

Safety: The Division inspects lines and facilities; develops safety policy and regulations; audits maintenance practices, plans, and expenditures; investigates serious accidents and incidents, including forest fires; responds to customer/industry technical disputes; recommends appropriate fines for violations; and educates utility operators to ensure safe operations and compliance with Pipeline Hazardous Materials & Safety Administration (PHMSA), National Electrical Safety Committee, and PUC safety regulations.

The Division acts on behalf of the National Transportation Safety Board (NTSB) in investigating serious gas pipeline safety incidents. It analyzes reported electric accidents and publishes an annual accident report showing the number of injuries resulting from contacts with energized power lines for the previous year. The Division is also active in national and state safety organizations, such as the National Association of Pipeline Safety Representatives (NAPSR), Oregon Utility Safety Committee (OUSC), and PHMSA.

Rights-of-Way Utility Joint-use: The Division ensures that the state's public rights-of-ways (ROWs) are safely and economically maintained for shared utility usage and for the efficient deployment of competitive utility services. The Division actively supports the Oregon Utility Notification Center (OUNC), established under ORS 757.547 for the underground ROW and

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the Oregon Joint Use Association (OJUA), established under OAR 860-028-0200 for the overhead ROW. The Commission also adjudicates disputes about pole attachment rates, conditions, and terms.

Reliability: The division performs annual audit reviews of actions taken by Idaho Power Company, Portland General Electric, and PacifiCorp to ensure reliable service is provided to end-use customers, publishes a report showing reliability trends.

Security: The Division monitors utility compliance with energy security regulations issued by federal agencies, such as the Federal Energy Regulatory Commission, the Department of Transportation, and the Department of Energy. Staff promotes adoption of voluntary security standards that enhance utility programs. Staff participates in national and regional critical infrastructure committees and standards drafting teams to help ensure that federal security standards are technically defensible, clear, verifiable, and cost-effective.

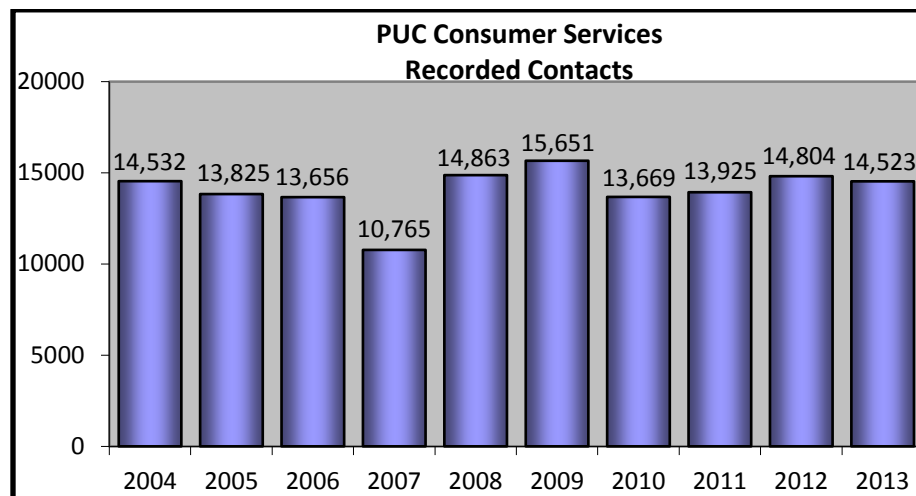
Emergency Response: The Division provides support to Oregon Emergency Management and the Governor's Office during disasters and emergencies. The Division collaborates with the Oregon Energy Emergency Management Team to promote disaster mutual aid and cooperation between BPA, PGE, PacifiCorp, NW Natural Gas, Cascade Natural Gas, and Avista Utilities. The Division is an active participating member of the Oregon Emergency Response System (OERS), which is a state inter-agency team that responds to state catastrophes.

Disaster Mitigation: The Division is an active member of the Governor's Interagency Hazard Mitigation Team (IHMT), which works collaboratively with other state agencies in preventing and mitigating vulnerabilities to future disasters.

Service Quality Measures (SQM): The Division annually reviews the customer service, reliability, power quality, and safety performance of PGE and PacifiCorp to ensure compliance with SQM requirements.

Consumer Services

The Consumer Services Section responds to questions from consumers about the utility industry and assists in resolving consumer complaints. In 2013, Consumer Services staff received 14,523 recorded consumer contacts, or 1,210 per month on average (a contact may involve multiple issues). Recorded contacts are inquiries that require research, analysis, processing or some resolution by staff. The number of contacts to the Consumer Services Section has been relatively stable from 2003 through 2013, with the exception of 2008 and 2009, where an unusual number of consumer petitions in opposition to relicensing the Klamath Hydro Project were received. In 2013, Consumer Services averaged one public contact every 8.1 minutes.



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The Section deals with regulated issues, as well as a variety of non-traditional consumer protection issues which includes matters where the Commission may have limited or no direct statutory authority.

Consumer Savings

Consumer Services assists consumers in their disputes with regulated utilities and certain non-regulated companies, like wireless providers. The section tracks dollar savings that are achieved for consumers. In 2013, Consumer Services staff was able to help customers recover over \$190,000.00 in incorrect charges, an increase of over \$50,000.00 from 2012.

Wireless Complaints

In January 2005, the PUC began handling wireless complaints under an interagency agreement with the Department of Justice (DOJ) Consumer Fraud Division. DOJ had entered into an Assurance of Voluntary Compliance (AVC) agreement, along with twenty-seven other states, against Verizon Wireless, Sprint PCS, and AT&T Mobility. DOJ believed that PUC's existing infrastructure to handle consumer complaints involving the companies was an efficient allocation of state resources, and benefited the public by enhancing DOJ's ability to monitor and ensure the companies' compliance with the terms and conditions of the AVC. This agreement allows Consumer Services to resolve complaints against the major wireless voice providers. PUC reports trends to DOJ in addition to providing quarterly reports. DOJ reimburses PUC for the costs of the investigations and the reports.

Slamming and Cramming

In prior years, two of the most common types of telecom complaints received regarded Slamming and Cramming. Slamming occur when a customer has had their local or long

distance telephone service switched to another company without their permission. Slamming most often involves long-distance service. The PUC has "opted-in" to the FCC's slamming rules which grant the states authority to investigate and resolve these complaints utilizing FCC's rules. Due to active enforcement of the FCC rules, slamming complaints have dropped significantly over the last several years. Cramming occurs when a customer receives a charge on their telephone bill for goods or services they did not order. Authority to investigate cramming complaints falls under the PUC's General Powers statute which gives the Commission a consumer protection role in telecom related issues. Cramming continues to be a significant, albeit shrinking, problem. Some wireline carriers are beginning to refuse to carry third party charges. Unfortunately, cramming has begun to show up with wireless carriers.

Non-Jurisdictional Issues

In addition, the Section also receives numerous complaints from consumers regarding problems with their VoIP telephone service, cable and satellite TV, and internet service. Although the Commission does not regulate these services, we have established constructive relationships with the providers, and are quite successful at resolving problems for consumers.

Emergency Medical Certificates

Emergency Medical Certificates (EMC) are available to utility customers where a loss of utility service could pose a danger to the life of the customer. EMC's are granted by physicians. The EMC does not guarantee service will not be disconnected, but it requires the utility company to enter into extended payment arrangements with the customer to avoid disconnection. If a customer fails to abide by the agreed upon payment arrangement, service can be disconnected upon notification to

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the customer, and subject to review by the Commission's EMC Coordinator. Most telecommunication utilities do not accept EMCs because they are always willing to offer extended payments arrangements to any customer whether they have an EMC or not.

In 2013, Oregon's six regulated energy utilities notified the Commission that they had sent disconnect notices to approximately 6,300 EMC participants, 11 percent of which proceeded to actual disconnection. Utilities are very proactive in working with these customers to avoid disconnection whenever possible. Utilities are managing a far higher number of EMC's as compared to prior years, which may be attributable to poor economic conditions - people who were previously able to pay their energy bills are having difficulty, leading to more seeking an EMC to avoid disconnection.

Emerging Trends

Complaints against wireline telephone utilities have increased in recent years, even as access line counts decline. Most of these complaints involve poor customer service or billing errors. In order to stay competitive, companies have consolidated call centers, reduced customer service staff and/or begun using off-shore call centers, which has resulted in customer frustration and anger at the poor level of customer service they are receiving. Complaints about cellular, VoIP, and other newer technologies are also increasing.

Many competitive long distance providers use independent telemarketing firms to sell their services. A tactic of some telemarketers is to misrepresent themselves, often claiming to represent the local phone company; they convince the consumer that they will save money by switching to a different

long distance carrier (which is usually not true). These telemarketers often target the elderly. We are nearly always successful in getting the customers' preferred long distance provider restored and monies refunded. When we see a trend of misrepresentation related to a particular carrier, we refer the company to DOJ for possible investigation.

Utility Program Policy Option Packages

None submitted.

Policy Option Packages Involving IT Projects/Initiatives

None submitted.

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Public Utility Commission
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Utility Regulation
Cross Reference Number: 86000-001-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
Federal Funds	-	-	-	(3,000)	-	-	(3,000)
Transfer In - Indirect Cost	-	-	(500)	-	-	-	(500)
Total Revenues	-	-	(\$500)	(\$3,000)	-	-	(\$3,500)
Transfers Out							
Transfer Out - Indirect Cost	-	-	-	500	-	-	500
Total Transfers Out	-	-	-	\$500	-	-	\$500
Personal Services							
Temporary Appointments	-	-	837	-	-	-	837
Overtime Payments	-	-	13	-	-	-	13
All Other Differential	-	-	1,705	1	-	-	1,706
Public Employees' Retire Cont	-	-	271	-	-	-	271
Pension Obligation Bond	-	-	82,903	1,809	-	-	84,712
Social Security Taxes	-	-	195	-	-	-	195
Mass Transit Tax	-	-	6,143	-	-	-	6,143
Vacancy Savings	-	-	102,682	(4,310)	-	-	98,372
Total Personal Services	-	-	\$194,749	(\$2,500)	-	-	\$192,249
Total Expenditures							
Total Expenditures	-	-	194,749	(2,500)	-	-	192,249
Total Expenditures	-	-	\$194,749	(\$2,500)	-	-	\$192,249

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Public Utility Commission
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Utility Regulation
Cross Reference Number: 86000-001-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Ending Balance							
Ending Balance	-	-	(195,249)	-	-	-	(195,249)
Total Ending Balance	-	-	(\$195,249)	-	-	-	(\$195,249)

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Public Utility Commission
Pkg: 022 - Phase-out Pgm & One-time Costs

Cross Reference Name: Utility Regulation
Cross Reference Number: 86000-001-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
Federal Funds	-	-	-	(1,624,261)	-	-	(1,624,261)
Total Revenues	-	-	-	(\$1,624,261)	-	-	(\$1,624,261)
Special Payments							
Dist to Other Gov Unit	-	-	-	(474,112)	-	-	(474,112)
Dist to Non-Gov Units	-	-	-	(165,678)	-	-	(165,678)
Spc Pmt to Administrative Svcs	-	-	-	(885,000)	-	-	(885,000)
Spc Pmt to OR Business Development	-	-	-	(99,471)	-	-	(99,471)
Total Special Payments	-	-	-	(\$1,624,261)	-	-	(\$1,624,261)
Total Expenditures							
Total Expenditures	-	-	-	(1,624,261)	-	-	(1,624,261)
Total Expenditures	-	-	-	(\$1,624,261)	-	-	(\$1,624,261)
Ending Balance							
Ending Balance	-	-	-	-	-	-	-
Total Ending Balance	-	-	-	-	-	-	-

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Public Utility Commission
Pkg: 031 - Standard Inflation

Cross Reference Name: Utility Regulation
Cross Reference Number: 86000-001-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
Federal Funds	-	-	-	3,711	-	-	3,711
Transfer In - Indirect Cost	-	-	618	-	-	-	618
Total Revenues	-	-	\$618	\$3,711	-	-	\$4,329
Transfers Out							
Transfer Out - Indirect Cost	-	-	-	(618)	-	-	(618)
Total Transfers Out	-	-	-	(\$618)	-	-	(\$618)
Services & Supplies							
Instate Travel	-	-	3,707	976	-	-	4,683
Out of State Travel	-	-	2,910	356	-	-	3,266
Employee Training	-	-	4,985	490	-	-	5,475
Office Expenses	-	-	2,230	151	-	-	2,381
Telecommunications	-	-	1,674	104	-	-	1,778
State Gov. Service Charges	-	-	(4,720)	-	-	-	(4,720)
Publicity and Publications	-	-	549	19	-	-	568
Professional Services	-	-	1,965	-	-	-	1,965
Attorney General	-	-	124,955	-	-	-	124,955
Employee Recruitment and Develop	-	-	845	70	-	-	915
Dues and Subscriptions	-	-	4,196	23	-	-	4,219
Facilities Rental and Taxes	-	-	22,091	392	-	-	22,483
Agency Program Related S and S	-	-	100	-	-	-	100
Other Services and Supplies	-	-	948	433	-	-	1,381
Expendable Prop 250 - 5000	-	-	284	79	-	-	363

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Public Utility Commission
Pkg: 031 - Standard Inflation

Cross Reference Name: Utility Regulation
Cross Reference Number: 86000-001-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
IT Expendable Property	-	-	150	-	-	-	150
Total Services & Supplies	-	-	\$166,869	\$3,093	-	-	\$169,962
Special Payments							
Dist to Non-Gov Units	-	-	-	-	-	-	-
Total Special Payments	-	-	-	-	-	-	-
Total Expenditures							
Total Expenditures	-	-	166,869	3,093	-	-	169,962
Total Expenditures	-	-	\$166,869	\$3,093	-	-	\$169,962
Ending Balance							
Ending Balance	-	-	(166,251)	-	-	-	(166,251)
Total Ending Balance	-	-	(\$166,251)	-	-	-	(\$166,251)

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ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 032 - Above Standard Inflation

Cross Reference Name: Utility Regulation
Cross Reference Number: 86000-001-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
Federal Funds	-	-	-	-	-	-	-
Transfer In - Indirect Cost	-	-	-	-	-	-	-
Total Revenues	-	-	-	-	-	-	-
Transfers Out							
Transfer Out - Indirect Cost	-	-	-	-	-	-	-
Total Transfers Out	-	-	-	-	-	-	-
Services & Supplies							
Professional Services	-	-	196	-	-	-	196
Facilities Rental and Taxes	-	-	150,926	1,558	-	-	152,484
Total Services & Supplies	-	-	\$151,122	\$1,558	-	-	\$152,680
Total Expenditures							
Total Expenditures	-	-	151,122	1,558	-	-	152,680
Total Expenditures	-	-	\$151,122	\$1,558	-	-	\$152,680
Ending Balance							
Ending Balance	-	-	(151,122)	(1,558)	-	-	(152,680)
Total Ending Balance	-	-	(\$151,122)	(\$1,558)	-	-	(\$152,680)

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ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 050 - Fundshifts

Cross Reference Name: Utility Regulation
Cross Reference Number: 86000-001-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Revenues							
Federal Funds	-	-	-	(182,406)	-	-	(182,406)
Transfer In - Indirect Cost	-	-	(30,401)	-	-	-	(30,401)
Total Revenues	-	-	(\$30,401)	(\$182,406)	-	-	(\$212,807)
Transfers Out							
Transfer Out - Indirect Cost	-	-	-	30,401	-	-	30,401
Total Transfers Out	-	-	-	\$30,401	-	-	\$30,401
Personal Services							
Class/Unclass Sal. and Per Diem	-	-	96,021	(96,021)	-	-	-
All Other Differential	-	-	3	(3)	-	-	-
Empl. Rel. Bd. Assessments	-	-	26	(26)	-	-	-
Public Employees' Retire Cont	-	-	15,161	(15,161)	-	-	-
Pension Obligation Bond	-	-	5,939	(5,939)	-	-	-
Social Security Taxes	-	-	7,344	(7,344)	-	-	-
Worker's Comp. Assess. (WCD)	-	-	39	(39)	-	-	-
Mass Transit Tax	-	-	576	-	-	-	576
Flexible Benefits	-	-	18,625	(18,625)	-	-	-
Total Personal Services	-	-	\$143,734	(\$143,158)	-	-	\$576
Services & Supplies							
Instate Travel	-	-	2,792	(2,792)	-	-	-
Out of State Travel	-	-	1,019	(1,019)	-	-	-
Employee Training	-	-	1,402	(1,402)	-	-	-

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Public Utility Commission
Pkg: 050 - Fundshifts

Cross Reference Name: Utility Regulation
Cross Reference Number: 86000-001-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
Office Expenses	-	-	433	(433)	-	-	-
Telecommunications	-	-	299	(299)	-	-	-
Publicity and Publications	-	-	54	(54)	-	-	-
Employee Recruitment and Develop	-	-	199	(199)	-	-	-
Dues and Subscriptions	-	-	65	(65)	-	-	-
Facilities Rental and Taxes	-	-	1,121	(1,121)	-	-	-
Other Services and Supplies	-	-	1,238	(1,238)	-	-	-
Expendable Prop 250 - 5000	-	-	225	(225)	-	-	-
Total Services & Supplies	-	-	\$8,847	(\$8,847)	-	-	-
Total Expenditures							
Total Expenditures	-	-	152,581	(152,005)	-	-	576
Total Expenditures	-	-	\$152,581	(\$152,005)	-	-	\$576
Ending Balance							
Ending Balance	-	-	(182,982)	-	-	-	(182,982)
Total Ending Balance	-	-	(\$182,982)	-	-	-	(\$182,982)

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ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 060 - Technical Adjustments

Cross Reference Name: Utility Regulation
Cross Reference Number: 86000-001-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
Professional Services	-	-	(25,000)	-	-	-	(25,000)
IT Professional Services	-	-	20,000	-	-	-	20,000
Facilities Maintenance	-	-	5,000	-	-	-	5,000
Other Services and Supplies	-	-	-	-	-	-	-
Total Services & Supplies	-	-	-	-	-	-	-
Total Expenditures							
Total Expenditures	-	-	-	-	-	-	-
Total Expenditures	-	-	-	-	-	-	-
Ending Balance							
Ending Balance	-	-	-	-	-	-	-
Total Ending Balance	-	-	-	-	-	-	-

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ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 070 - Revenue Shortfalls

Gross Reference Name: Utility Regulation
Gross Reference Number: 86000-001-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Special Payments							
Dist to Non-Gov Units	-	-	-	-	-	-	-
Total Special Payments	-	-	-	-	-	-	-
Total Expenditures							
Total Expenditures	-	-	-	-	-	-	-
Total Expenditures	-	-	-	-	-	-	-
Ending Balance							
Ending Balance	-	-	-	-	-	-	-
Total Ending Balance	-	-	-	-	-	-	-

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12/22/14 REPORT NO.: PPDFFISCAL
 REPORT: PACKAGE FISCAL IMPACT REPORT
 AGENCY: 86000 PUBLIC UTILITY COMMISSION
 SUMMARY XREF: 001-00-00 Utility Regulation

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

PAGE 1
 PROD FILE

2015-17
 PICS SYSTEM: BUDGET PREPARATION

PACKAGE: 050 - Fundshifts

POSITION NUMBER	CLASS COMP	CLASS NAME	POS CNT	FTE	MOS	STEP	RATE	GF SAL/OPE	OF SAL/OPE	FF SAL/OPE	LF SAL/OPE	AF SAL/OPE
0000221	MMS X7010 AA	PRINCIPAL EXECUTIVE/MANAGER F	1-	1.00-	24.00-	04	6,998.00		132,682-	35,270-		167,952-
									55,308-	14,701-		70,009-
0000221	MMS X7010 AA	PRINCIPAL EXECUTIVE/MANAGER F	1	1.00	24.00	04	6,998.00		167,952			167,952
									70,009			70,009
0000244	UA C1157 AA	UTILITY AND ENERGY ANALYST 3	1-	1.00-	24.00-	02	5,277.00		50,659-	75,989-		126,648-
									24,132-	36,196-		60,328-
0000244	UA C1157 AA	UTILITY AND ENERGY ANALYST 3	1	1.00	24.00	02	5,277.00		56,992	69,656		126,648
									27,148	33,180		60,328
0000257	UA C1156 AA	UTILITY AND ENERGY ANALYST 2	1-	1.00-	24.00-	02	4,359.00		41,846-	62,770-		104,616-
									22,066-	33,097-		55,163-
0000257	UA C1156 AA	UTILITY AND ENERGY ANALYST 2	1	1.00	24.00	02	4,359.00		47,077	57,539		104,616
									24,824	30,339		55,163
0000816	UA C1156 AA	UTILITY AND ENERGY ANALYST 2	1-	1.00-	24.00-	09	6,081.00		58,378-	87,566-		145,944-
									25,941-	38,910-		64,851-
0000816	UA C1156 AA	UTILITY AND ENERGY ANALYST 2	1	1.00	24.00	09	6,081.00		65,675	80,269		145,944
									29,183	35,668		64,851
0000819	UA C1157 AA	UTILITY AND ENERGY ANALYST 3	1-	1.00-	24.00-	09	7,379.00		150,532-	26,564-		177,096-
									61,330-	10,822-		72,152-
0000819	UA C1157 AA	UTILITY AND ENERGY ANALYST 3	1	1.00	24.00	09	7,379.00		177,096			177,096
									72,152			72,152
0700830	UA C1157 AA	UTILITY AND ENERGY ANALYST 3	1-	1.00-	24.00-	07	6,691.00		64,234	96,350		160,584
									27,313	40,969		68,282
0700830	UA C1157 AA	UTILITY AND ENERGY ANALYST 3	1	1.00	24.00	07	6,691.00		72,263	88,321		160,584
									30,727	37,555		68,282
1500862	UA C1157 AA	UTILITY AND ENERGY ANALYST 3	1-	1.00-	24.00-	05	6,081.00		58,378	87,566		145,944
									25,941-	38,910-		64,851-
1500862	UA C1157 AA	UTILITY AND ENERGY ANALYST 3	1	1.00	24.00	05	6,081.00		65,675	80,269		145,944
									29,183	35,668		64,851
TOTAL PICS SALARY									96,021	96,021-		
TOTAL PICS OPE									41,195	41,195-		
TOTAL PICS PERSONAL SERVICES =				.00	.00				137,216	137,216-		

2015-2017 GOVERNOR'S BUDGET

DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Public Utility Commission
2015-17 Biennium**

**Agency Number: 86000
Cross Reference Number: 86000-001-00-00-00000**

Source	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
Other Funds						
Public Utilities Fees	23,676,972	26,852,751	26,852,751	26,149,460	26,149,460	-
Federal Revenues	-	-	-	-	85,000	-
Charges for Services	87,881	88,000	88,000	91,700	91,700	-
Fines and Forfeitures	16,689	38,000	38,000	16,235	16,235	-
Other Revenues	57,310	5,000	5,000	41,000	41,000	-
Transfer In - Indirect Cost	156,906	109,656	109,656	139,609	139,609	-
Tsfr From Energy, Dept of	109,854	-	-	-	-	-
Transfer Out - Intrafund	(9,580,082)	(12,065,467)	(12,065,467)	(12,404,060)	(12,404,060)	-
Transfer Out - Indirect Cost	(62,762)	(73,107)	(73,107)	-	-	-
Transfer to Other	-	-	-	-	(85,000)	-
Total Other Funds	\$14,462,768	\$14,954,833	\$14,954,833	\$14,033,944	\$14,033,944	-
Federal Funds						
Federal Funds	3,548,682	2,463,075	2,492,708	817,562	819,120	-
Transfer Out - Indirect Cost	(94,144)	(36,549)	(36,549)	(139,609)	(139,609)	-
Tsfr To OR Business Development	(171,196)	-	-	-	-	-
Total Federal Funds	\$3,283,342	\$2,426,526	\$2,456,159	\$677,953	\$679,511	-
Nonlimited Other Funds						
Other Selective Taxes	331	-	-	-	-	-
Public Utilities Fees	69,152,379	79,000,000	79,000,000	66,000,000	66,000,000	-
Fines and Forfeitures	70,081	48,301	48,301	68,580	68,580	-
Interest Income	161,389	336,000	336,000	148,816	148,816	-
Total Nonlimited Other Funds	\$69,384,180	\$79,384,301	\$79,384,301	\$66,217,396	\$66,217,396	-

____ Agency Request
2015-17 Biennium

____ Governor's Budget
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____ Legislatively Adopted
Detail of LF, OF, and FF Revenues - BPR012

2015-2017 GOVERNOR'S BUDGET

DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE PUBLIC UTILITY COMMISSION-UTILITY PROGRAM-SCR 001

Source	Fund	ORBITS Revenue Acct	2011-2013 Actual	2013-15 Legislatively Adopted	2013-15 Estimated	2015-17		
						Agency Request	Governor's Recommended	Legislatively Adopted
Other Funds								
Public Utilities Fees	3400	0240	23,676,972	26,852,751	24,746,897	26,149,460	26,149,460	0
Charges for Services	3400	0410	87,881	88,000	88,000	91,700	91,700	0
Fines & Forfeitures	3400	0505	16,689	38,000	8,670	16,235	16,235	0
Other Revenues	3400	0975	57,310	5,000	18,483	41,000	41,000	0
Federal Funds as Other Funds	3400	0355					85,000	
Transfer In-Indirect Costs	3400	1020	156,906	109,656	103,000	139,609	139,609	0
Transfer from Energy	3400	1330	109,854	0	0	0	0	0
Transfer Out - Intrafund	3400	2010	(9,580,082)	(12,065,467)	(11,573,580)	(12,404,060)	(12,404,060)	0
Transfer Out – Indirect Costs	3400	2020	(62,762)	(73,107)	(69,010)	0	0	0
Transfer Out – to Other	3400	2050					(85,000)	
Total Other Funds			\$14,462,768	\$14,954,833	\$13,322,460	\$14,033,944	\$14,033,944	\$0
Federal Funds								
Federal Fund Revenue	6400	0995	3,548,682	2,463,075	2,473,513	817,562	819,120	0
Transfer Out-Indirect Costs	6400	2020	(94,144)	(36,549)	(103,000)	(139,609)	(139,609)	0
Transfer Out-Ore Bus Dev	6400	2123	(171,196)	0	0	0	0	0
Total Federal Funds			\$3,283,342	\$2,426,526	\$2,370,513	\$677,953	\$679,511	\$0

2015-2017 GOVERNOR'S BUDGET

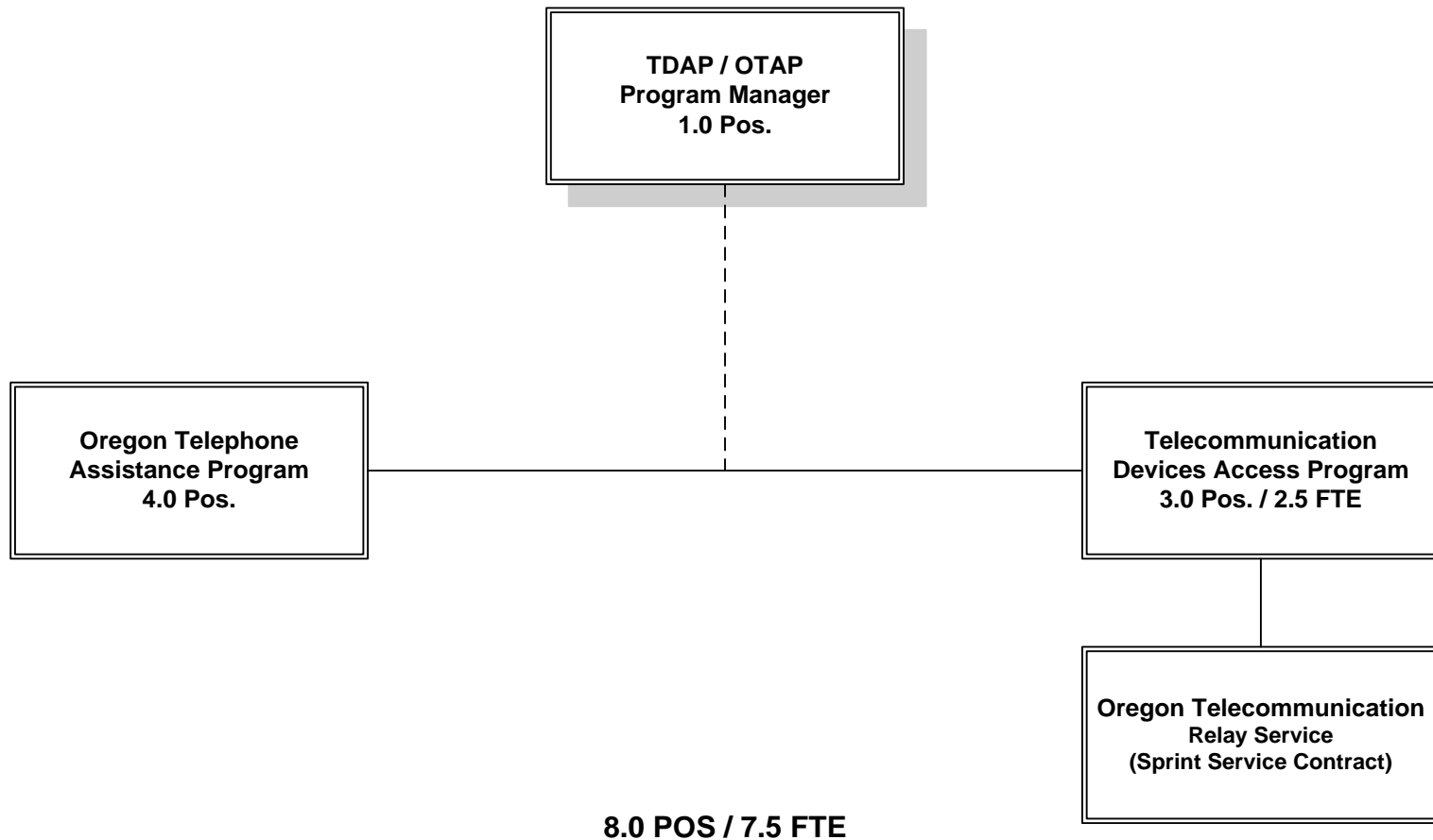
DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE PUBLIC UTILITY COMMISSION–UTILITY PROGRAM-SCR 001

Source	Fund	ORBITS Revenue Acct	2011-2013 Actual	2013-15 Legislatively Adopted	2013-15 Estimated	2015-17		
						Agency Request	Governor's Recommended	Legislatively Adopted
Non-Limited - Other Funds								
Other Selective Taxes	3200	0190	331	0	0	0	0	0
Public Utilities Fees	3200	0240	69,152,379	79,000,000	68,925,894	66,000,000	66,000,000	0
Fines and Forfeitures	3200	0505	70,081	48,301	67,080	68,580	68,580	0
Interest Income	3200	0605	161,389	336,000	136,242	148,816	148,816	0
Total Non-Limited – Other Funds			\$69,384,180	\$79,384,301	\$69,129,216	\$66,217,396	\$66,217,396	\$0

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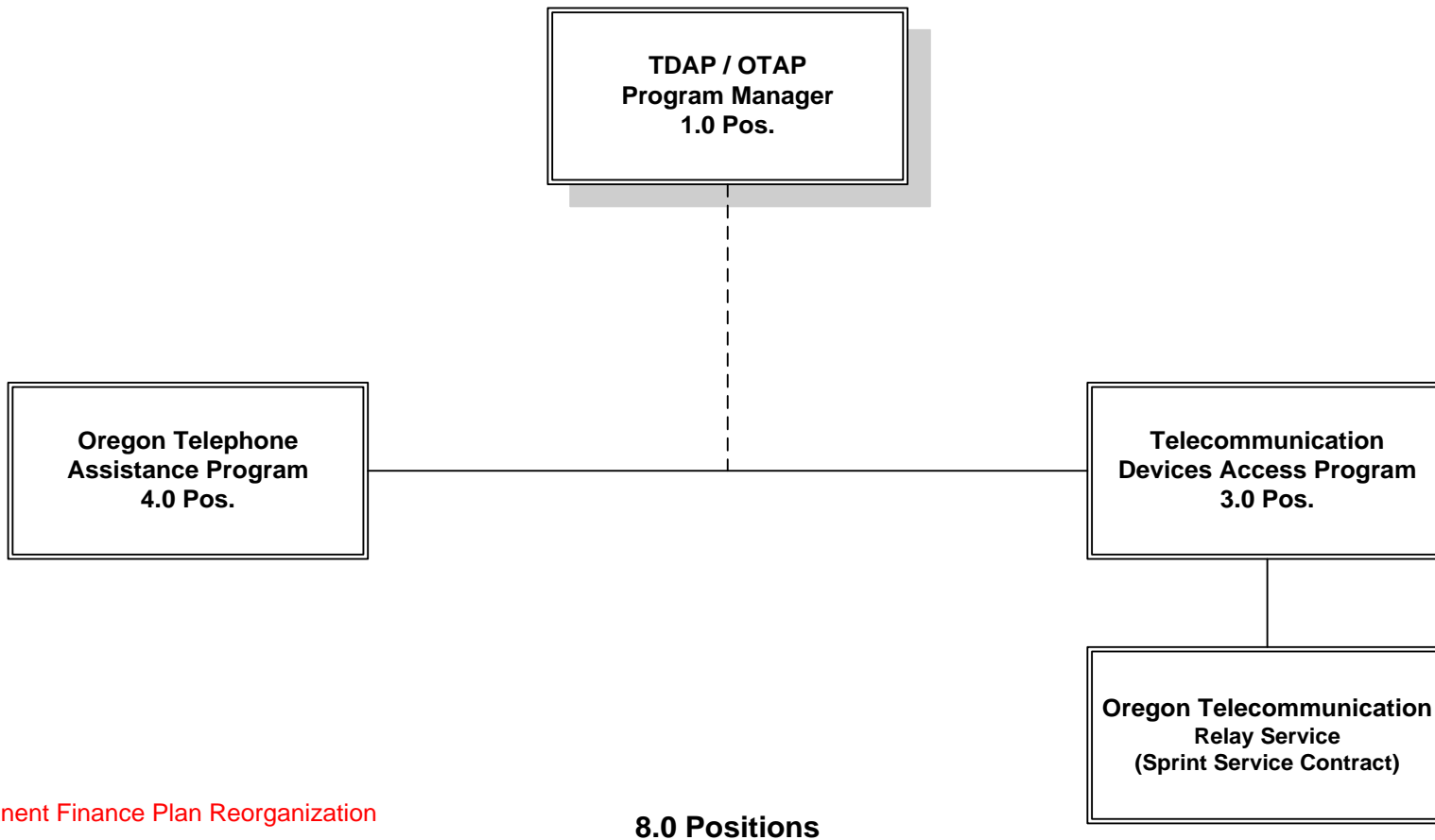
2015-2017 GOVERNOR'S BUDGET

**RESIDENTIAL SERVICE PROTECTION FUND
2013-2015 LEGISLATIVELY ADOPTED BUDGET**



2015-2017 GOVERNOR'S BUDGET

**RESIDENTIAL SERVICE PROTECTION FUND
2015-2017 GOVERNOR'S BUDGET**



2015-2017 GOVERNOR'S BUDGET

PROGRAM UNIT NARRATIVE

Residential Service Protection Fund (RSPF) Executive Summary

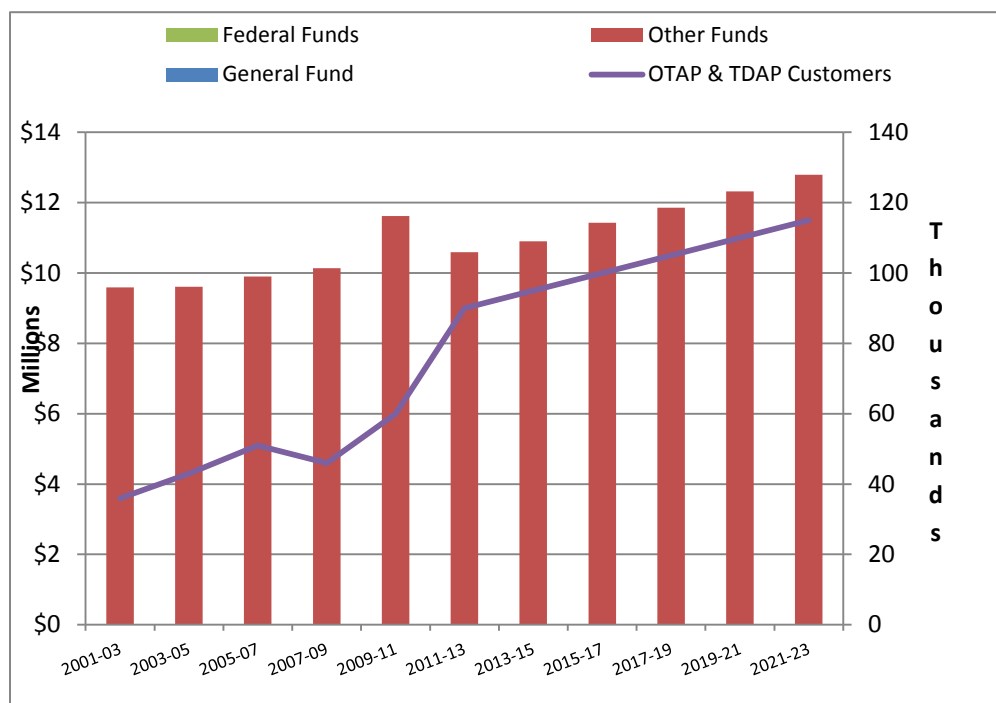
10 Year Plan Outcome Area

Primary Outcome Area – Healthy People
 Secondary Outcome Area – Not Applicable

Primary Program Contact

Jon Cray jon.cray@state.or.us

Total Funds Budget Over Time (Graph)



Program Overview

The Residential Service Protection Fund (RSPF) supports the state's public policy that all Oregonians have access to adequate and affordable telephone service. Based on that legislation, the Public Utility Commission (PUC) established the four programs described herein that accomplish the mission and objective of the state's public policy.

Program Funding Request

The 2015-2017 Agency Request Budget for RSPF is \$11,430,782.

	Program Costs (Limited)	FTE
2015-17	\$11,430,782	8
2017-19	\$11,859,492	8
2019-21	\$12,318,350	8
2021-23	\$12,790,069	8

RSPF program staffing comprises eight FTE. Revenue for RSPF is derived from a surcharge assessed against each paying cellular and landline retail subscriber who has access to the Oregon Telecommunications Relay Service.

RSPF is responsible for Key Performance Measures (KPMs) 15 and 16.

Program Description

The Oregon Telecommunications Relay Service (OTRS) as required by the Americans with Disabilities Act of 1990 (ADA) provides persons who are speech or hearing impaired with telecommunications access and service that is functionally equivalent to those available to individuals without a speech or

2015-2017 GOVERNOR'S BUDGET

hearing disability. It is available to anyone statewide and operates every day, 24 hours a day.

With approximately 92,000 customers, the Oregon Telephone Assistance Program (OTAP) is the state-mandated counterpart of the Federal Communication Commission's (FCC) Lifeline program. It reduces the monthly local residential or cellular phone service monthly bill as a credit or discount by \$12.75 (\$3.50 from OTAP and \$9.25 from Lifeline) for low-income beneficiaries who meet eligibility requirements.

As determined by the appropriate medical professional, the Telecommunication Devices Access Program (TDAP) loans adaptive or specialized telephone equipment to Oregonians who have a hearing, speech, mobility, cognitive or vision impairment. The Telecommunication Devices Access Program serves approximately 8,500 customers and the adaptive or assistive device ranging from \$50 to \$15,000 must be returned if the recipient moves out of the state.

The Emergency Medical Certificates (EMC) Program allows customers of PUC-regulated telecommunications utilities (or electric or natural gas) to enter into a time payment arrangement to stop disconnection of service if a qualified medical professional states it would significantly endanger the physical health of the customer or a member of the customer's household. The EMC program is administered by the PUC's Consumer Services Section.

Success of the RSPF programs are paved through cooperation with the numerous stakeholders including the Legislature, Federal Communications Commission (FCC), PUC Telecommunications and Water Division, PUC Consumer Services Section, Oregon Emergency Management, Oregon

Department of Revenue, Oregon Department of Human Services, Oregon School for the Deaf, Oregon Commission for the Blind, Citizens' Utility Board, Eligible Telecommunications Carriers and Providers, regulated telecommunications utilities, and many others.

Program Justification and Link to 10-Year Outcome

The Residential Service Protection Fund is directly tied to the Healthy People Outcome.

Strategy 3: Ensure financial stability and adequate array of supports for the long term service system for Oregonians (both children and adults) with intellectual and other developmental disabilities by ensuring all Oregonians have access to adequate and affordable telephone service.

Program Performance

RSPF is responsible for two Key Performance Measures that assess the penetration rate of customers receiving low-income phone bill subsidy services and adaptive telecommunications equipment from the Oregon Telephone Assistance Program (OTAP) and Telecommunication Devices Access Program (TDAP), respectively.

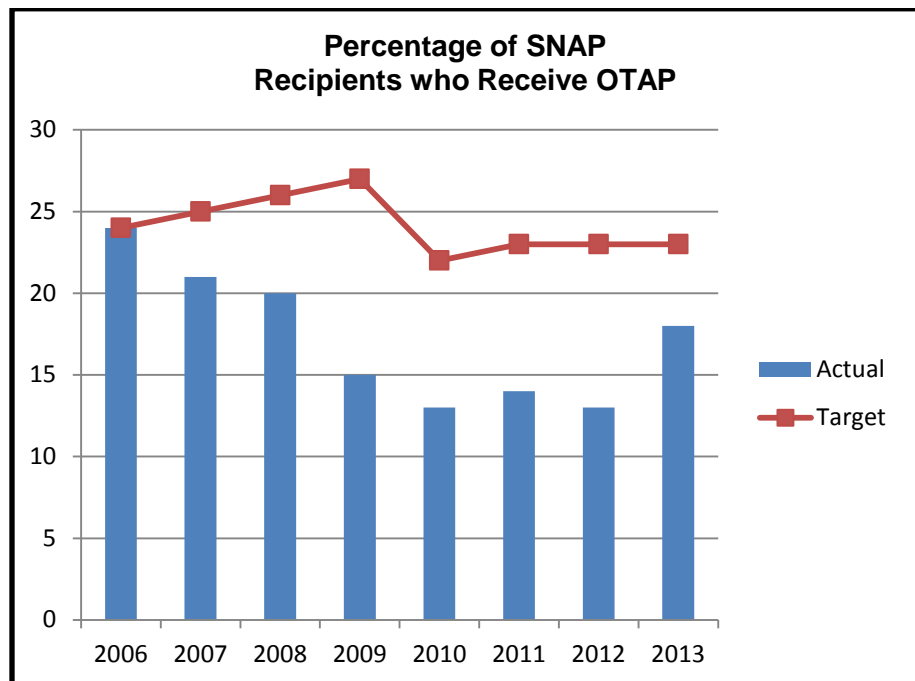
The following chart illustrates the percentage of Supplemental Nutrition Assistance Program (SNAP) beneficiaries that are OTAP customers. All SNAP recipients are eligible for the OTAP and the goal is to reach a higher percentage of SNAP beneficiaries. A majority of telecommunications providers offering OTAP are landline-based.

Since the mid-2000s, the number of OTAP recipients has declined steadily, most likely due to the customer shift towards newer forms of telecommunications services, e.g. Voice over

2015-2017 GOVERNOR'S BUDGET

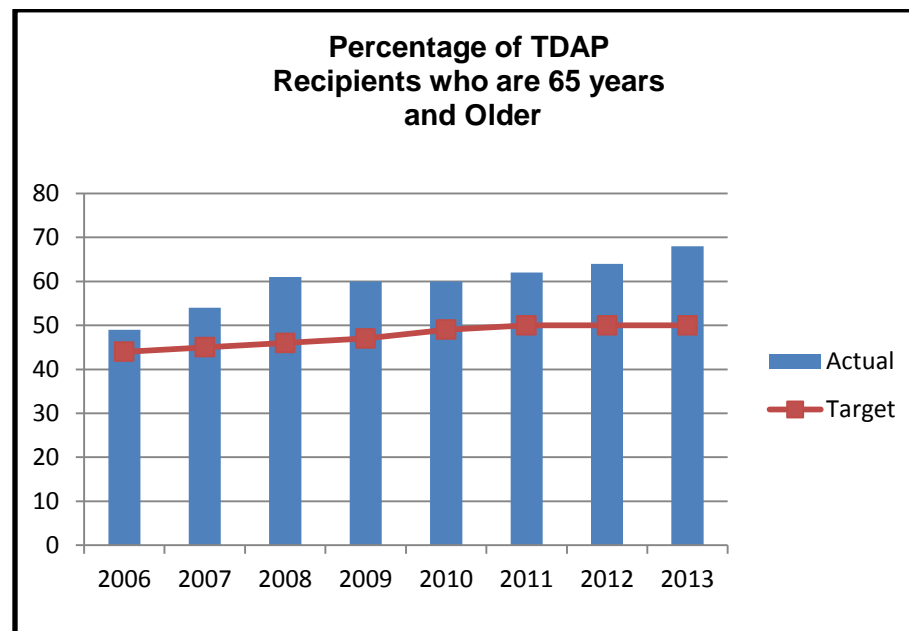
Internet Protocol (VoIP), and because one OTAP provider left the market. However, the number of OTAP recipients increased in 2011 after the PUC approved a wireless provider in 2010 to offer OTAP services without contracts along the I-5 corridor from Portland to Eugene, which was a new type of service not previously available to customers.

In 2012, PUC approved Virgin Mobile and TracFone, prepaid wireless service providers, to offer, for the first time since the program's inception in 1987, free Lifeline⁴ services. This brought an unprecedented record of rapid OTAP customer growth.



The following chart reflects the number of senior citizens (65 years and older) as compared with the total number of TDAP

recipients. The PUC has consistently achieved its goal of providing TDAP services to the senior and aging population of Oregon. The upward trend is likely to continue and is attributed to ongoing outreach efforts to the aging baby boomer population increasingly needing adaptive telephone equipment as a result of acquired disabilities.



Enabling Legislation/Program Authorization

The Residential Service Protection Fund programs are mandated by Chapter 290, Oregon Laws 1987, with the following federal regulatory counterparts:

- Title IV, Section 225 of the Americans with Disabilities Act of 1990 required every state to establish a Telecommunications Relay Service.

⁴ No state funds are utilized for the free Lifeline phone service at this time.

2015-2017 GOVERNOR'S BUDGET

- The Federal Communications Commission's mandatory minimum standards govern the provision of the Oregon Telecommunications Relay Service under 47 Code of Federal Regulations §64.601 - §64.613.
- The Oregon Telephone Assistance Program/Lifeline is subject to the Federal Communications Commission in 47 Code of Federal Regulations § 54 Subpart E, the matter of Universal Service Support for Low-Income Consumers.

Funding Streams

Pursuant to Chapter 290, Section 7 of Oregon Laws 1987, the Residential Service Protection Fund is established in the State Treasury, separate and distinct from the General Fund. The surcharge is currently 9 cents and statute stipulates that this rate cannot exceed 35 cents. The surcharge is assessed against each paying retail subscriber who has telephone or cellular service with access to the Oregon Telecommunications Relay Service. Each month, the Residential Service Protection Fund surcharge is assessed on approximately 4.3 million customers of landline and wireless services.

The surcharge provides revenue for the Residential Service Protection Fund programs and by Oregon law, the balance may not exceed six months of projected expenses. Oregon law further requires an annual review of the surcharge rate to determine its sufficiency. Proposed rate changes or reviews are submitted to the PUC for approval.

Significant Proposed Program Changes from 2013-15

The growing number of Oregon Telephone Assistance Program (OTAP) recipients is expected to continue due to the appeal of "free" Lifeline phone service offered by some telecommunications carriers. This may require additional

automation of application and eligibility verification processes, as well as exploring the possibility of contracting with a third-party administrator to support this function. Also, the anticipated growth in OTAP recipients may increase operational expenses in the program.

Due to customers migrating from telephone landlines to internet-based telecommunications, RSPF may be able to incorporate newer forms of assistive telecommunication devices such as "locked-down" tablets to the Telecommunication Devices Access Program (TDAP) for qualifying customers. As telephone landline service becomes obsolete, TDAP is evolving to meet the telecommunication needs of those with disabilities. While the newer forms of assistive telecommunication devices are less expensive for some segments of the disabled population, the number of individuals requesting this type of equipment is expected to increase.

Residential Service Protection Fund (RSPF) Program Unit Narrative

RSPF is staffed by 8.0 FTE: 1.0 Program Manager, 1.0 Compliance Specialist, 1.0 Administrative Specialist, and 5.0 Public Service Representatives. Two advisory committees advise the PUC on its RSPF programs:

1. The Telecommunication Devices Access Program Advisory Committee, mandated by statute, is composed of twelve members: Nine are deaf or hearing impaired; one is a professional in the field of hearing or speech impairment or who has a physical disability; one telecommunications company representative; and one member of the PUC. This committee advises the PUC on the development, implementation and administration of the Telecommunication

2015-2017 GOVERNOR'S BUDGET

Devices Access Program and Oregon Telecommunications Relay Service.

2. The Oregon Telecommunications Relay Service Industry Advisory Committee was created by the PUC, via an Order, in January 1995 for representatives of the telecommunications industry to provide advice and expertise on efforts to control and minimize costs to customers, who support the RSPF programs in the form of a surcharge, while maintaining quality service and complying with the applicable requirements set forth by the ADA and FCC for the provision of services from the OTRS and OTAP, respectively.

Trends

Oregon Telecommunications Relay Service (OTRS)

Relay services slightly increased from an average of 16,805 intrastate billable minutes for fiscal year 2012 to an average of 18,126 billable minutes for fiscal year 2013. After many years of steady decline due to the migration of customers to internet and Video Relay Services, the PUC projects that the intrastate billable minutes for relay services will become relatively stable.

Captioned Telephone Relay Service intrastate billable minutes increased from an average of 72,060 minutes in fiscal year 2012 to an average of 87,843 minutes in fiscal year 2013. The increase is likely attributed to ongoing outreach and training efforts to populations that have some degree of hearing loss, but need captions to supplement their telephone communications.

Telecommunication Devices Access Program (TDAP)

Approximately ten percent of all Oregonians have a disability. At the end of 2012, there were 8,573 recipients benefitting from

TDAP services and using adaptive telecommunications equipment such as captioned telephones, amplified phones and speech generating devices. In 2013, there were 8,552 active TDAP customers. The PUC recently entered into an agreement with the Office of Vital Statistics to obtain quarterly decedent data. The PUC compares the data to identify who has passed away, attempt to recover the equipment and render the customer's file inactive.

Oregon Telephone Assistance Program/Lifeline (OTAP)

The number of customers increased from an average of 57,780 in 2012 to approximately 92,155 in 2013, due to the appeal of "free" Lifeline phone services by two prepaid wireless service providers, Assurance Wireless by Virgin Mobile and SafeLink Wireless by TracFone, designated as Eligible Telecommunications Carriers. Money for the free Lifeline phone service is provided by the federal Lifeline program. No state funds are utilized for the free Lifeline phone service at this time.

Emergency Medical Certificates (EMC)

Emergency Medical Certificates (EMC) are available to utility customers where a loss of utility service could pose a danger to the life of the customer. EMC's are granted by physicians. The EMC does not guarantee service will not be disconnected, but it requires the utility company to enter into extended payment arrangements with the customer to avoid disconnection. If a customer fails to abide by the agreed upon payment arrangement, service can be disconnected upon notification to the customer, and subject to review by the PUC's EMC Coordinator. Most telecommunication utilities do not accept EMCs because they are always willing to offer extended payments arrangements to any customer whether they have an EMC or not.

2015-2017 GOVERNOR'S BUDGET

In 2013, Oregon's six regulated energy utilities notified the Commission that they had sent disconnect notices to approximately 6,300 EMC participants, 11 percent of which proceeded to actual disconnection. Utilities are very proactive in working with these customers to avoid disconnection whenever possible. Utilities are managing a far higher number of EMC's as compared to prior years, which may be attributable to poor economic conditions - people who were previously able to pay their energy bills are having difficulty, leading to more seeking an EMC to avoid disconnection.

Initiatives

Introduce "locked-down" tablets as assistive telecommunication devices to the Telecommunication Devices Access Program for qualifying customers.

Explore possibility of contracting with third party administrator to perform OTAP/Lifeline call center and database functions, such as managing customer applications to meet the growing demand or need for OTAP/Lifeline services.

Revenue

RSPF uses no General or Lottery fund revenue. Revenue for RSPF is derived from a surcharge, currently 9 cents assessed against paying cellular and landline retail subscribers who have access to the Oregon Telecommunications Relay Service. An average of 4.3 million lines will be charged for the 2015-2017 Biennium generating approximately \$10.4 million.

By statute, the surcharge cannot exceed 35 cents per line per month. The PUC evaluates the surcharge rate annually. The PUC evaluates projections and trends in the telecommunication industry and number of program recipients and related expenditures of the RSPF programs.

RSPF Policy Option Packages

None submitted.

Policy Option Packages Involving IT Projects/Initiatives

None submitted.

2015-2017 GOVERNOR'S BUDGET

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Residential Service Protection
Cross Reference Number: 86000-003-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Personal Services							
All Other Differential	-	-	184	-	-	-	184
Public Employees' Retire Cont	-	-	29	-	-	-	29
Pension Obligation Bond	-	-	2,337	-	-	-	2,337
Social Security Taxes	-	-	14	-	-	-	14
Mass Transit Tax	-	-	838	-	-	-	838
Vacancy Savings	-	-	47,259	-	-	-	47,259
Total Personal Services	-	-	\$50,661	-	-	-	\$50,661
Total Expenditures							
Total Expenditures	-	-	50,661	-	-	-	50,661
Total Expenditures	-	-	\$50,661	-	-	-	\$50,661
Ending Balance							
Ending Balance	-	-	(50,661)	-	-	-	(50,661)
Total Ending Balance	-	-	(\$50,661)	-	-	-	(\$50,661)

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 2015-17 Biennium

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 Essential and Policy Package Fiscal Impact Summary - BPR013

2015-2017 GOVERNOR'S BUDGET

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 031 - Standard Inflation

Cross Reference Name: Residential Service Protection
Cross Reference Number: 86000-003-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
Instate Travel	-	-	364	-	-	-	364
Out of State Travel	-	-	153	-	-	-	153
Employee Training	-	-	355	-	-	-	355
Office Expenses	-	-	2,576	-	-	-	2,576
Telecommunications	-	-	655	-	-	-	655
State Gov. Service Charges	-	-	8	-	-	-	8
Data Processing	-	-	7	-	-	-	7
Publicity and Publications	-	-	790	-	-	-	790
Professional Services	-	-	176,141	-	-	-	176,141
Attorney General	-	-	(2,665)	-	-	-	(2,665)
Dues and Subscriptions	-	-	34	-	-	-	34
Facilities Rental and Taxes	-	-	2,111	-	-	-	2,111
Facilities Maintenance	-	-	150	-	-	-	150
Agency Program Related S and S	-	-	110,969	-	-	-	110,969
Other Services and Supplies	-	-	2,431	-	-	-	2,431
Expendable Prop 250 - 5000	-	-	360	-	-	-	360
Total Services & Supplies	-	-	\$294,439	-	-	-	\$294,439
Total Expenditures							
Total Expenditures	-	-	294,439	-	-	-	294,439
Total Expenditures	-	-	\$294,439	-	-	-	\$294,439

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 2015-17 Biennium

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 Essential and Policy Package Fiscal Impact Summary - BPR013

2015-2017 GOVERNOR'S BUDGET

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 031 - Standard Inflation

Cross Reference Name: Residential Service Protection
Cross Reference Number: 86000-003-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Ending Balance							
Ending Balance	-	-	(294,439)	-	-	-	(294,439)
Total Ending Balance	-	-	(\$294,439)	-	-	-	(\$294,439)

___ Agency Request
 2015-17 Biennium

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 Essential and Policy Package Fiscal Impact Summary - BPR013

2015-2017 GOVERNOR'S BUDGET

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 032 - Above Standard Inflation

Cross Reference Name: Residential Service Protection
Cross Reference Number: 86000-003-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
Professional Services	-	-	17,814	-	-	-	17,814
Facilities Rental and Taxes	-	-	9,100	-	-	-	9,100
Total Services & Supplies	-	-	\$26,714	-	-	-	\$26,714
Total Expenditures							
Total Expenditures	-	-	26,714	-	-	-	26,714
Total Expenditures	-	-	\$26,714	-	-	-	\$26,714
Ending Balance							
Ending Balance	-	-	(26,714)	-	-	-	(26,714)
Total Ending Balance	-	-	(\$26,714)	-	-	-	(\$26,714)

____ Agency Request
2015-17 Biennium

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Essential and Policy Package Fiscal Impact Summary - BPR013

2015-2017 GOVERNOR'S BUDGET

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 060 - Technical Adjustments

Cross Reference Name: Residential Service Protection
Cross Reference Number: 86000-003-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
Attorney General	-	-	95,974	-	-	-	95,974
Total Services & Supplies	-	-	\$95,974	-	-	-	\$95,974
Total Expenditures							
Total Expenditures	-	-	95,974	-	-	-	95,974
Total Expenditures	-	-	\$95,974	-	-	-	\$95,974
Ending Balance							
Ending Balance	-	-	(95,974)	-	-	-	(95,974)
Total Ending Balance	-	-	(\$95,974)	-	-	-	(\$95,974)

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 Essential and Policy Package Fiscal Impact Summary - BPR013

2015-2017 GOVERNOR'S BUDGET

DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

Public Utility Commission
2015-17 Biennium

Agency Number: 86000

Cross Reference Number: 86000-003-00-00-00000

Source	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
Other Funds						
Other Selective Taxes	12,851,880	11,968,139	11,968,139	10,376,214	10,376,214	-
Public Utilities Fees	260	-	-	-	-	-
Fines and Forfeitures	27,335	-	-	-	-	-
Interest Income	27,255	17,197	17,197	31,384	31,384	-
Transfer Out - Intrafund	(773,938)	(775,459)	(775,459)	(1,378,229)	(1,378,229)	-
Total Other Funds	\$12,132,792	\$11,209,877	\$11,209,877	\$9,029,369	\$9,029,369	-

___ Agency Request
2015-17 Biennium

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___ Legislatively Adopted
Detail of LF, OF, and FF Revenues - BPR012

2015-2017 GOVERNOR'S BUDGET

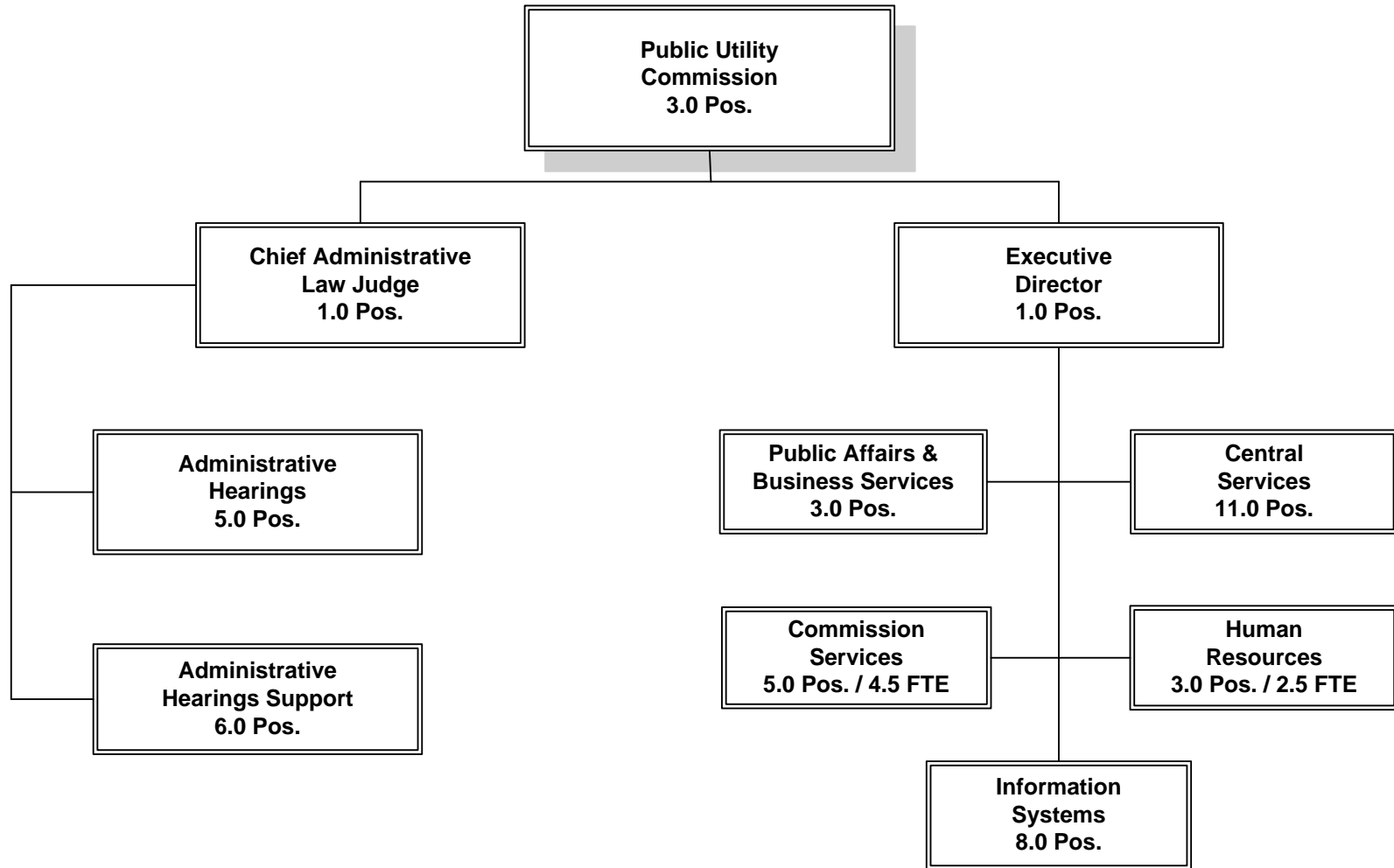
DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE PUBLIC UTILITY COMMISSION—RESIDENTIAL SERVICE PROTECTION FUND-SCR 003

Source	Fund	ORBITS Revenue Acct	2011-2013 Actual	2013-15 Legislatively Adopted	2013-15 Estimated	2015-17		
						Agency Request	Governor's Recommended	Legislatively Adopted
Other Funds								
Other Selective Taxes	3400	0190	12,851,880	11,968,139	11,732,649	10,376,214	10,376,214	0
Public Utilities Fees	3400	0240	260	0	0	0	0	0
Fines & Forfeitures	3400	0505	27,335	0	0	0	0	0
Interest Income	3400	0605	27,255	17,197	34,321	31,384	31,384	0
Transfer Out - Intrafund	3400	2010	(773,938)	(775,459)	(738,739)	(1,378,229)	(1,378,229)	0
Total Other Funds			\$12,132,792	\$11,209,877	\$11,028,231	\$9,029,369	\$9,029,369	\$0

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2015-2017 GOVERNOR'S BUDGET

POLICY & ADMINISTRATION 2013-2015 LEGISLATIVELY ADOPTED BUDGET

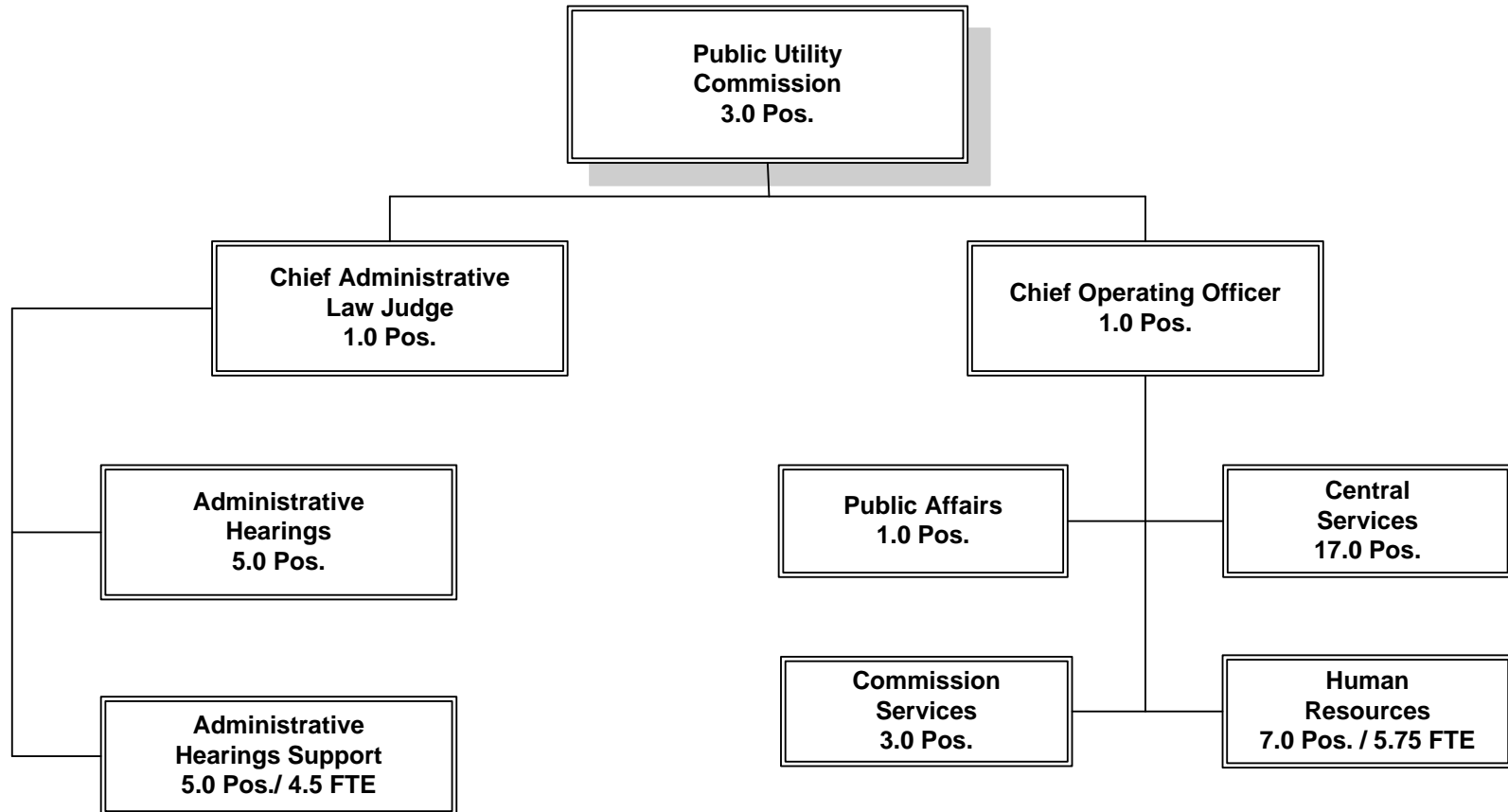


46.0 Pos / 45 FTE

* RSPF staff is shown separately under the RSPF tab

2015-2017 GOVERNOR'S BUDGET

POLICY & ADMINISTRATION 2015-2017 GOVERNOR'S BUDGET



As part of supervisory reduction, Policy & Administration was reorganized, changing and combining some sections.

* RSPF staff is shown separately under the RSPF tab

43.0 Positions / 41.25 FTE

2015-2017 GOVERNOR'S BUDGET

Policy and Administration Executive Summary

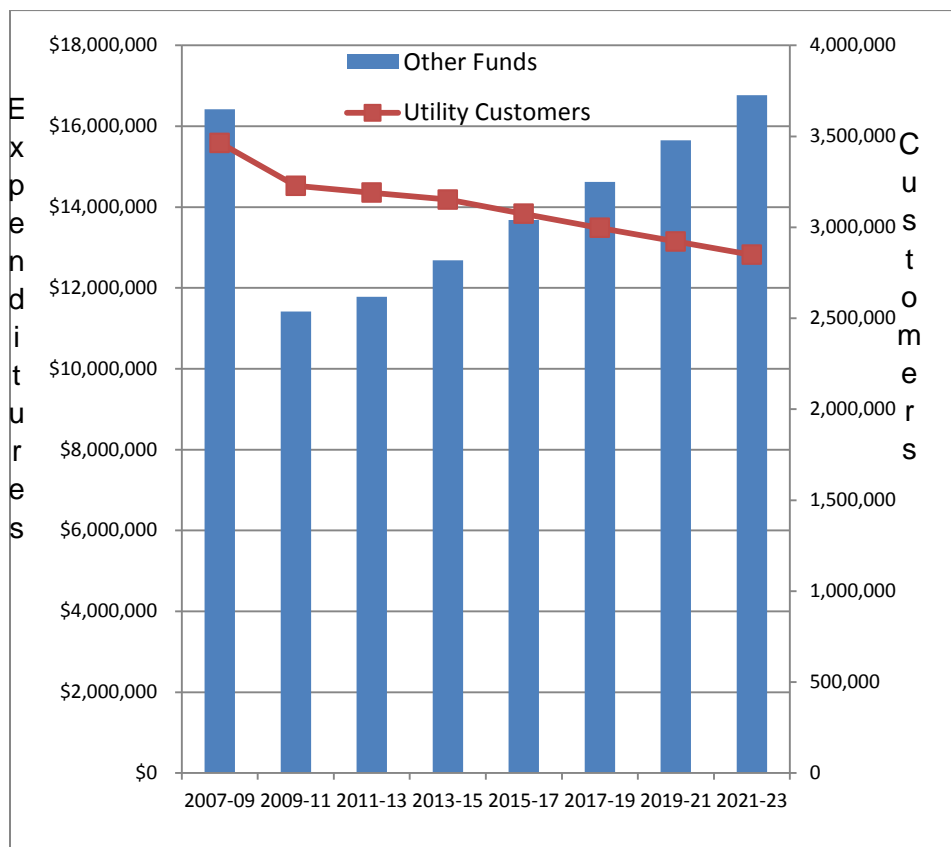
10 Year Plan Outcome Area

Primary Outcome Area – Improving Government
 Secondary Outcome Area – Not Applicable

Primary Program Contact

Michael Dougherty michael.dougherty@state.or.us

Total Funds Budget Over Time - Graph



Program Overview

Policy and Administration encompasses the Commission, Commission Services, Administrative Hearings, Central (Business) Services, Information Systems, Human Resources, Public Affairs, and RSPF for administrative purposes.

Program Funding Request

The 2015-2017 Agency Request Budget for Policy & Administration is \$13,677,926 – Other Funds Limited. Approximately 65 percent of funding is attributable to personnel services. Concerning services and supplies, the four largest charges are attorney general fees, facilities rent, state government service charges, and professional services.

Program cost increases are based on current service level and Department of Administrative Services' inflationary increases. As a result of efficiencies and restructuring, personnel staffing has decreased from 49 Full-Time Equivalents (FTEs) in 2009-2011 to 41.25 FTEs in 2015-2017.

	Program Costs (Limited)	FTE
2015-17	\$13,677,926	41.25
2017-19	\$14,623,747	41.25
2019-21	\$15,651,099	41.25
2021-23	\$16,764,823	41.25

The Policy and Administration Division is responsible for Key Performance Measure (KPM) 14. Policy and Administration is fundamental to the agency's mission:

2015-2017 GOVERNOR'S BUDGET

“Ensure that safe and reliable utility services are provided to consumers at just and reasonable rates through regulation and promoting the development of competitive markets.”

Program Description

The Commission is the independent policy-making body that provides direction for the agency. The Commission and Administrative Hearings are kept separate organizationally from the Utility Program in order to ensure fair and impartial decision-making. Human Resources is a separate section due to the confidentiality of its functions. The remainder of the program serves the Public Utility Commission (PUC) by providing budget assistance, information systems support, and general support.

Program Justification and Link to the 10-Year Plan

Policy and Administration is directly tied to the Improving Government outcome group by supporting the Utility Program, Residential Service Protection Fund (RSPF), and Oregon Board of Maritime Pilots (OBMP) to efficiently meet their goals and objectives; and to ensure that the PUC is trustworthy, responsive, and solves problems in a financially sustainable way.

The PUC is a Key Agency for the Governor's Program Funding Team: *Climate, Innovation and Jobs, Acceleration of Clean Energy Agenda.*

Program Performance

The PUC is responsible for the economic regulation of investor-owned electric and natural gas utilities, investor-owned water companies, and the telephone industry. These utilities provide service to approximately 3.19 million Oregon customers collecting approximately \$4.81 billion in revenue from

ratepayers in Calendar Year 2012. These utilities may only collect for services at prices that are approved by the PUC. Thus, decisions by the PUC affect billions of investor and consumer dollars, the reliability of infrastructure, and Oregon's economy.

Every aspect of Oregon's economy is fundamentally dependent upon quality utility services at affordable prices. Decisions the three PUC Commissioners make about service quality, pricing, and safety have a direct and meaningful effect on the economic well-being of the state.

The following table highlights orders issued by the Commission and key decisions based on complex, contested-case hearings. The subject matter of cases ranges from complex rate applications, mergers and acquisitions, industry investigation, and telecommunications interconnection agreements to more straightforward consumer complaints, and safety violations. These matters typically involve disputed issues related to accounting, finance, economics, and network engineering.

Biennium	Orders	Key Decisions
2007-2009	1,197	21
2009-2011	1,007	37
2011-2013	1,029	35
2013-2015	522	19

Note: 2013-2015 data is current to July 2014, only showing 37.5 percent of the biennium.

Concerning the business side of the PUC, the agency has received 17 consecutive DAS Gold Star Accounting Awards, has an extremely impressive risk management performance, and has continuously sought out ways to find efficiencies and reduce costs.

2015-2017 GOVERNOR'S BUDGET

Enabling Legislation/Program Authorization

The PUC bases its authority on several chapters of state law.

- Oregon Revised Statute (ORS) 756 sets out the agency's general powers:
 - Grants the Commission authority to “represent the customers of any electric and natural gas utility, telecommunications utility, water utility and the public generally in all controversies respecting rates, valuations, service and all matters of which the Commission has jurisdiction.”
 - Authorizes the Commission to set rates and determine the terms and conditions of service.
 - Authorizes the Commission to investigate the management and records of regulated utilities, investigate complaints and take other actions to protect customers.
 - Gives the Commission the responsibility to “balance the interests of the utility investor and the consumer in establishing fair and reasonable rates.”

Funding Streams

Policy and Administration receives no General funds or Lottery funds. The Policy and Administration activities are funded by transfers from the programs they support. Approximately ten percent of the funding for this program is transferred from the Residential Service Protection Fund (RSPF). The remainder of the funding (90%) is supported by the Utility Program funds.

2015-2017 Funding Proposal

The 2015-2017 budget proposal maintains the program at Current Service Level.

Program Unit Narrative

Commission

The PUC has three Commissioners who are appointed by the Governor to staggered four-year terms. The Governor appoints the Commission Chair, who serves as the administrative head and prescribes internal policies and procedures for governing the agency. The Commissioners do not "specialize" in any area of regulation. All three participate in all areas and make decisions as a body, based on the record in individual cases.

The Commissioners establish policies for the agency and the regulated utilities and make the final decisions on utility rate and service matters under the PUC's jurisdiction. The Commission must consider the effects of competition, the demand for services, and resolve many complex issues facing utilities in a changing market. Commissioners encourage participation by the public and stakeholders on these and other issues at their public meetings.

Commission Services (3 FTE) provides direct support to the three Commissioners and coordinates legislative activities. They ensure compliance with public meeting laws and other requirements, provide information to the public, and encourage citizen involvement in the PUC activities.

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Administrative Hearings Division

The Administrative Hearings Division (AHD) is an independent division in the agency that reports directly to the Commissioners. AHD's primary function is to conduct legal proceedings brought under the Commission's jurisdiction. AHD also conducts ratemaking proceedings for Board of Maritime Pilots.

AHD is comprised of one Chief Administrative Law Judge, five Administrative Law Judges (ALJs), four legal support staff, and a 0.5 temporary law clerk. By statute, the PUC is exempt from using ALJs from the Office of Administrative Hearings. Instead, it employs its own ALJs with specialized expertise in utility law. ALJs preside over agency proceedings and make recommended decisions to the Commissioners on matters involving electric, natural gas, telecommunications and water utilities. ALJs conduct contested case hearings and rulemaking proceedings pursuant to state law, and serve as arbitrators under the federal Telecommunications Act of 1996.

The subject matter of cases ranges from complex rate applications, industry investigation and telecommunications interconnection agreements to more straightforward consumer complaints, and safety violations. These matters typically involve disputed issues related to accounting, finance, economics, and network engineering.

Cases frequently involve numerous parties representing divergent and conflicting business, consumer, and public interests. Many proceedings are time-sensitive and generally require an extended procedural schedule that includes extensive discovery, multiple rounds of pre-filed testimony from expert witnesses, evidentiary hearings, and briefings. Conferences are regularly held to hear arguments on party

status, resolve discovery disputes, treatment of protected information, and evidentiary and procedural objections.

AHD also manages the regulatory utility filing process, performs utility tariff review and coordination, monitors critical deadlines, ensures public notification, and manages records retention and archiving schedules.

ALJs also use Alternative Dispute Resolution (ADR) as a tool to resolve disputes informally without hearing. Where successful, this avoids unnecessary litigation, conserves public and private resources, and produces results that better meet the needs of involved parties.

AHD also provides hearing services to the Oregon Board of Maritime Pilots (OBMP).

Central Services

The Central Services Division includes the Residential Service Protection Fund (RSPF) program, Information Systems, and Business Services. The RSPF program is described under its own tab in the budget package due to the budget structure. Business Services and Information Systems are addressed below.

Business Services

Business Services' accounting and budgeting staff refine collection of detailed accounting and reporting systems to ensure current management reporting needs are met. The Business Services section includes all PUC agency accounting functions, procurement/contracting, payroll, fiscal/budgeting services, revenue fee collection, reception services, travel services, and mailroom/copy center services. Staff attends training in their respective areas to ensure they maintain the

2015-2017 GOVERNOR'S BUDGET

skills necessary to plan system enhancements and changes. The PUC has adopted an internal audit committee charter and develops internal audit procedures consistent with DAS directions. Focused reviews and audits have been conducted during the 2013-2015 Biennium.

Business Services also plans, develops, and prepares the biennial budget request for the Utility Program and the Residential Service Protection Fund, and assesses and recommends the annual fee level for revenue collections from utilities and collects fees annually.

Business Services leads and coordinates the administrative and technical work within the agency necessary to implement and effectively utilize Electronic Document Management Systems (EDMS). Additionally, Business Services is responsible for coordinating facilities services, fleet vehicles, and the agency's safety, risk management, and inventory control programs.

Business Services also provides accounting, procurement, and budget services to the Oregon Board of Maritime Pilots (OBMP).

Information Systems

Information Systems (IS) provides information systems services, computer hardware and software services, web services, email services, telecommunications services, database services, data communications services, internet access services, all aspects of business continuity planning, disaster recovery planning, and network security services. The Section manages the Information Systems' budget and information systems long-range planning for the agency.

Information Systems provides information systems services to OBMP.

Human Resources

Human Resources provide personnel services to the agency. Services include advising management and staff on employee relations matters; conducting recruitment processes to effectively hire and retain competent employees; representing and committing the agency in personnel-related actions; monitoring employee training; administering the Family Medical Leave and Oregon Family Leave Acts; ensuring agency compliance with the Department of Administrative Services rules and policies as well as other applicable statutory requirements. Human Resources also maintains all agency policies and procedures, coordinates all agency training activities, and provides reception services.

Human Resources provides personnel services to OBMP.

Public Affairs

Public Affairs executes, in coordination with the Commission and Chief Operating Officer, strategic plans to increase public awareness, and understanding of PUC functions and decisions through a variety of communication vehicles, such as news releases; and timely website content with concise information that translates complex regulatory issues and social media tools. This function manages internal and external publications and responds in a timely manner to news media and constituent inquiries. This function is also responsible for communication aspects of emergency and business continuity plans.

Policy Option Packages

None submitted.

Policy Option Packages Involving IT Projects/Initiatives

None submitted.

2015-2017 GOVERNOR'S BUDGET

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Policy and Administration
Cross Reference Number: 86000-004-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Personal Services							
Temporary Appointments	-	-	1,684	-	-	-	1,684
Overtime Payments	-	-	791	-	-	-	791
Shift Differential	-	-	61	-	-	-	61
All Other Differential	-	-	506	-	-	-	506
Public Employees' Retire Cont	-	-	215	-	-	-	215
Pension Obligation Bond	-	-	46,506	-	-	-	46,506
Social Security Taxes	-	-	234	-	-	-	234
Mass Transit Tax	-	-	351	-	-	-	351
Vacancy Savings	-	-	111,416	-	-	-	111,416
Total Personal Services	-	-	\$161,764	-	-	-	\$161,764
Total Expenditures							
Total Expenditures	-	-	161,764	-	-	-	161,764
Total Expenditures	-	-	\$161,764	-	-	-	\$161,764
Ending Balance							
Ending Balance	-	-	(161,764)	-	-	-	(161,764)
Total Ending Balance	-	-	(\$161,764)	-	-	-	(\$161,764)

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 Essential and Policy Package Fiscal Impact Summary - BPR013

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ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 031 - Standard Inflation

Cross Reference Name: Policy and Administration
Cross Reference Number: 86000-004-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
Instate Travel	-	-	312	-	-	-	312
Out of State Travel	-	-	1,540	-	-	-	1,540
Employee Training	-	-	3,392	-	-	-	3,392
Office Expenses	-	-	5,042	-	-	-	5,042
Telecommunications	-	-	3,861	-	-	-	3,861
State Gov. Service Charges	-	-	427,399	-	-	-	427,399
Data Processing	-	-	8,993	-	-	-	8,993
Publicity and Publications	-	-	734	-	-	-	734
Professional Services	-	-	17,212	-	-	-	17,212
IT Professional Services	-	-	79	-	-	-	79
Attorney General	-	-	195,569	-	-	-	195,569
Employee Recruitment and Develop	-	-	87	-	-	-	87
Dues and Subscriptions	-	-	1,555	-	-	-	1,555
Facilities Rental and Taxes	-	-	14,060	-	-	-	14,060
Facilities Maintenance	-	-	450	-	-	-	450
Agency Program Related S and S	-	-	276	-	-	-	276
Other Services and Supplies	-	-	1,797	-	-	-	1,797
Expendable Prop 250 - 5000	-	-	1,802	-	-	-	1,802
IT Expendable Property	-	-	2,250	-	-	-	2,250
Total Services & Supplies	-	-	\$686,410	-	-	-	\$686,410

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ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 031 - Standard Inflation

Cross Reference Name: Policy and Administration
Cross Reference Number: 86000-004-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Capital Outlay							
Data Processing Hardware	-	-	2,213	-	-	-	2,213
Total Capital Outlay	-	-	\$2,213	-	-	-	\$2,213
Total Expenditures							
Total Expenditures	-	-	688,623	-	-	-	688,623
Total Expenditures	-	-	\$688,623	-	-	-	\$688,623
Ending Balance							
Ending Balance	-	-	(688,623)	-	-	-	(688,623)
Total Ending Balance	-	-	(\$688,623)	-	-	-	(\$688,623)

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 Essential and Policy Package Fiscal Impact Summary - BPR013

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ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 032 - Above Standard Inflation

Cross Reference Name: Policy and Administration
Cross Reference Number: 86000-004-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
Employee Training	-	-	(1,226)	-	-	-	(1,226)
Professional Services	-	-	89,379	-	-	-	89,379
IT Professional Services	-	-	17,761	-	-	-	17,761
Employee Recruitment and Develop	-	-	1,700	-	-	-	1,700
Facilities Rental and Taxes	-	-	79,155	-	-	-	79,155
Other Services and Supplies	-	-	19,730	-	-	-	19,730
Total Services & Supplies	-	-	\$206,499	-	-	-	\$206,499
Total Expenditures							
Total Expenditures	-	-	206,499	-	-	-	206,499
Total Expenditures	-	-	\$206,499	-	-	-	\$206,499
Ending Balance							
Ending Balance	-	-	(206,499)	-	-	-	(206,499)
Total Ending Balance	-	-	(\$206,499)	-	-	-	(\$206,499)

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 Essential and Policy Package Fiscal Impact Summary - BPR013

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ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 060 - Technical Adjustments

Cross Reference Name: Policy and Administration
Cross Reference Number: 86000-004-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
State Gov. Service Charges	-	-	-	-	-	-	-
Professional Services	-	-	(130,000)	-	-	-	(130,000)
IT Professional Services	-	-	130,000	-	-	-	130,000
Attorney General	-	-	(95,974)	-	-	-	(95,974)
Facilities Maintenance	-	-	-	-	-	-	-
Other Services and Supplies	-	-	-	-	-	-	-
Total Services & Supplies	-	-	(\$95,974)	-	-	-	(\$95,974)
Total Expenditures							
Total Expenditures	-	-	(95,974)	-	-	-	(95,974)
Total Expenditures	-	-	(\$95,974)	-	-	-	(\$95,974)
Ending Balance							
Ending Balance	-	-	95,974	-	-	-	95,974
Total Ending Balance	-	-	\$95,974	-	-	-	\$95,974

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 Essential and Policy Package Fiscal Impact Summary - BPR013

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DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

Public Utility Commission
2015-17 Biennium

Agency Number: 86000

Cross Reference Number: 86000-004-00-00-00000

Source	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
Other Funds						
Charges for Services	12,321	-	-	-	-	-
Other Revenues	23,196	-	-	-	-	-
Transfer In - Intrafund	10,354,020	12,840,926	12,840,926	13,792,689	13,792,689	-
Tsfr From Land Use Bd of Appls	15,679	25,502	25,502	-	-	-
Total Other Funds	\$10,405,216	\$12,866,428	\$12,866,428	\$13,792,689	\$13,792,689	-

____ Agency Request
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Detail of LF, OF, and FF Revenues - BPR012

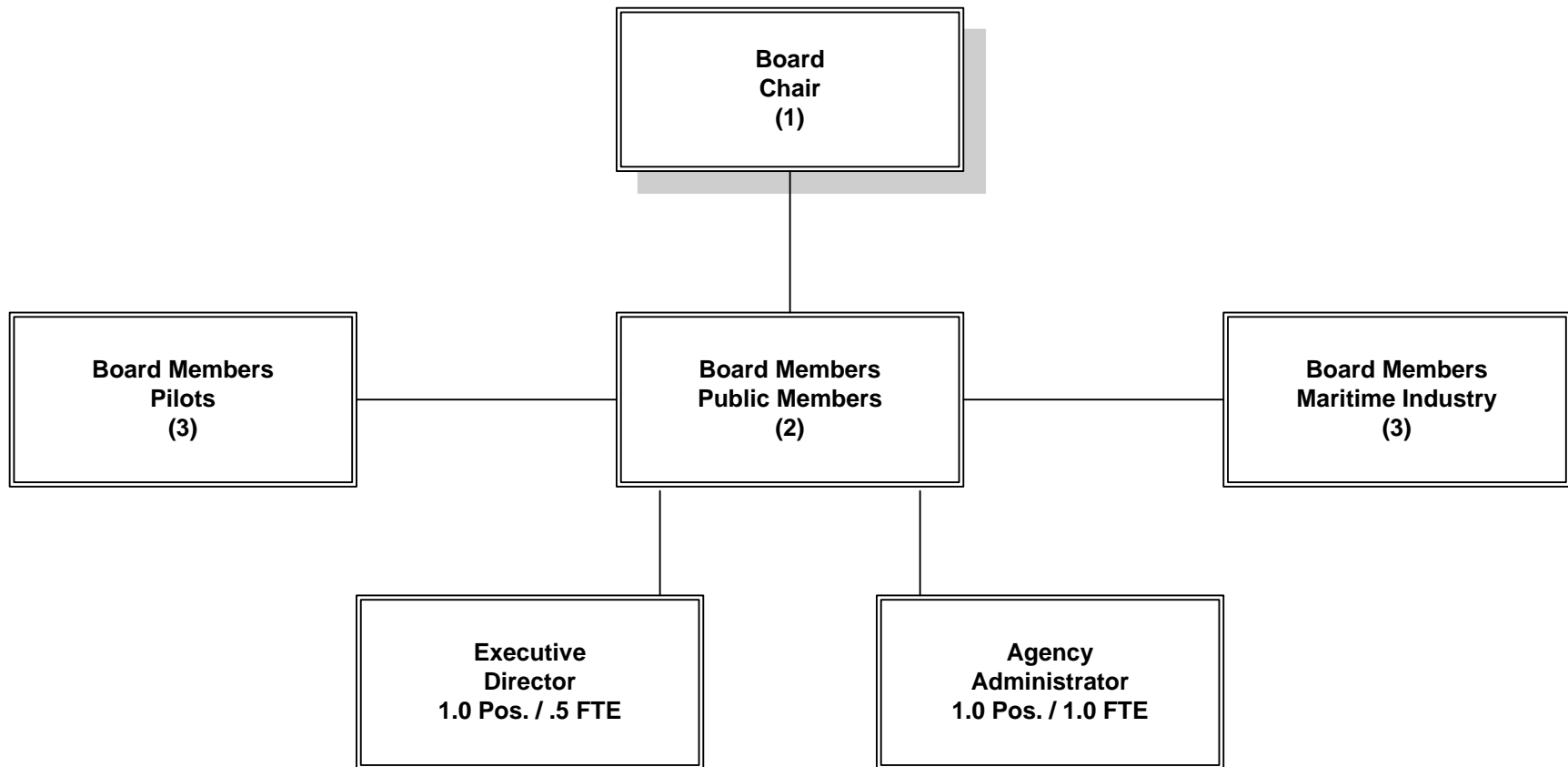
2015-2017 GOVERNOR'S BUDGET

DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE PUBLIC UTILITY COMMISSION –POLICY & ADMINISTRATION-SCR 004

Source	Fund	ORBITS Revenue Acct	2011-2013 Actual	2013-15 Legislatively Adopted	2013-15 Estimated	2015-17		
						Agency Request	Governor's Recommended	Legislatively Adopted
Other Funds								
Charges for Services	3400	0410	12,321	0	0	0	0	0
Other Revenues	3400	0975	23,196	0	0	0	0	0
Transfer In-Intrafund	3400	1010	10,354,020	12,840,926	12,312,319	13,792,689	13,792,689	0
Transfer from Land Use	3400	1662	15,679	25,502	0	0	0	0
Total Other Funds			\$10,405,216	\$12,866,428	\$12,312,319	\$13,792,689	\$13,792,689	\$0

2015-2017 GOVERNOR'S BUDGET

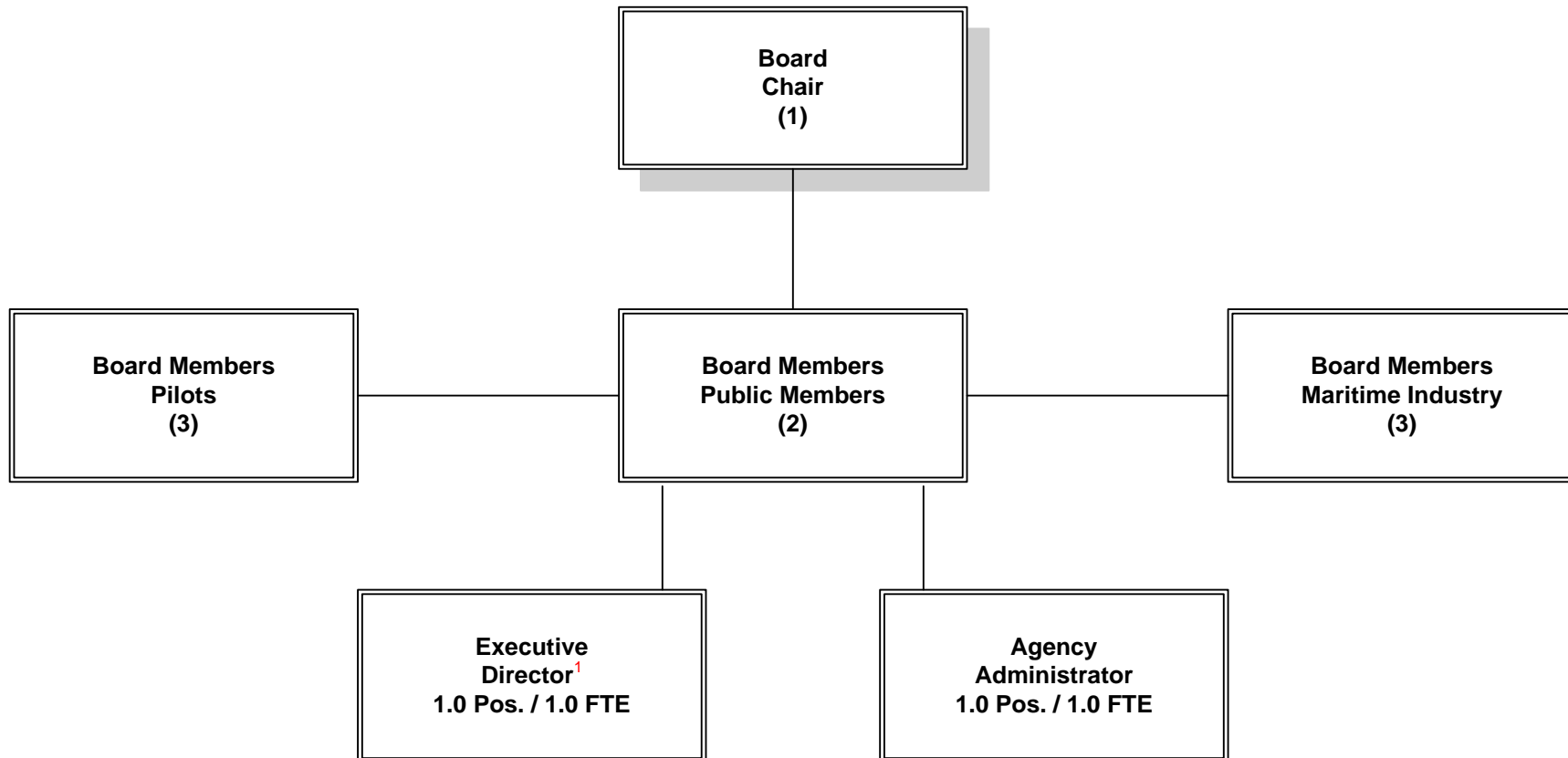
**BOARD OF MARITIME PILOTS
2013-2015 LEGISLATIVELY ADOPTED BUDGET**



2.0 Positions / 1.5 FTE

2015-2017 GOVERNOR'S BUDGET

BOARD OF MARITIME PILOTS 2015-2017 GOVERNOR'S BUDGET



¹Includes Policy Option Package 101

2.0 Positions

Agency Request

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Oregon Board of Maritime Pilots Executive Summary

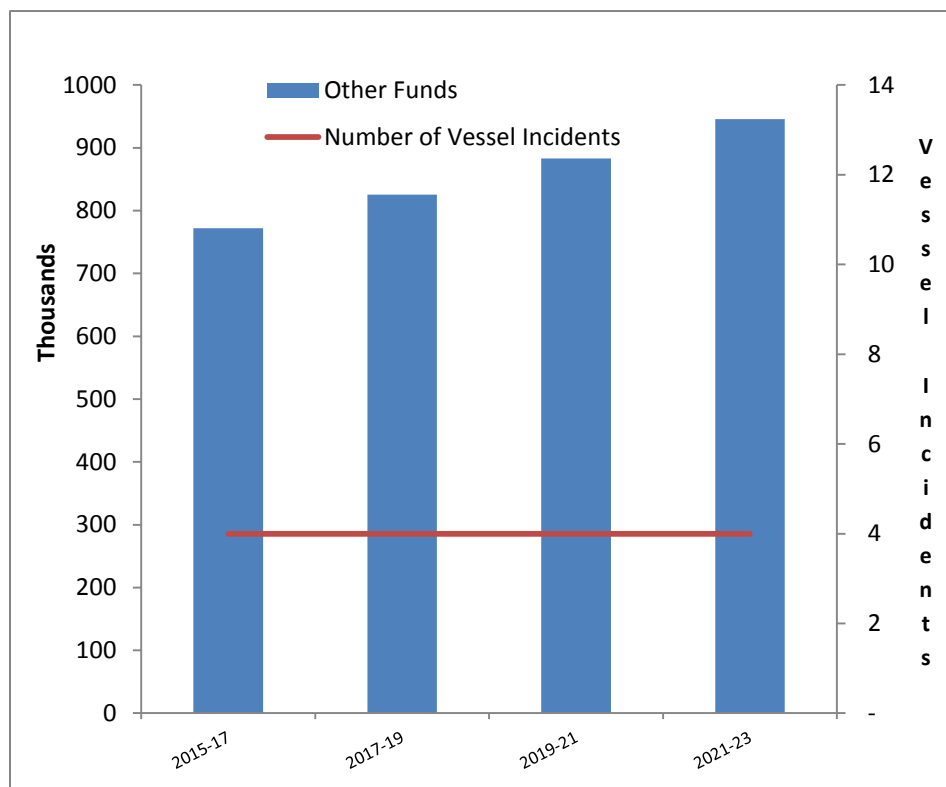
10 Year Plan Outcome Area

Primary Outcome Area – Safety
 Secondary Outcome Area – Not Applicable

Primary Program Contact

Eric Burnette eric.burnette@state.or.us

Total Funds Budget Over Time - Graph



Program Overview

The Oregon Board of Maritime Pilots (OBMP) protects public health, safety, and welfare by ensuring that only the best-qualified persons are licensed to pilot vessels. Pilots are essential to Oregon's maritime commerce, directing the transit of vessels calling on the ports of Coos Bay, Yaquina Bay, Astoria, Kalama, Longview, Vancouver, and Portland.

Program Funding Request

The 2015-2017 Agency Request Budget for OBMP is \$772,004. Approximately 65 percent of expenditures support OBMP's 2.0 FTE. It is important to note, that the subsequent biennium increases in Other Funds is mainly a result of increasing personnel expenses. OBMP is funded by license fees paid by the pilots and a board operations fee from each vessel using the services of a licensee. OBMP receives no General or Lottery Funds.

	Program Costs (Limited)	FTE
2015-17	\$772,004	2.0
2017-19	\$825,210	2.0
2019-21	\$883,001	2.0
2021-23	\$945,673	2.0

OBMP is responsible for Key Performance Measures (KPMs) 19 and 20.

Program Description

OBMP is an independent occupational licensing and regulatory agency for state maritime pilots, and is a part of the PUC for budget and administrative purposes.

2015-2017 GOVERNOR'S BUDGET

OBMP selects pilot trainees and apprentices, sets training and licensing standards for new pilots, and monitors licensee compliance for renewal licenses and continuing professional development.

OBMP sets the rates pilots can charge for the provision of pilotage service.

OBMP determines the board operations fee from each vessel using the services of a licensee (resulting from passage of 2013 SB 851).

OBMP investigates any incident that occurs while a vessel is under the direction of a pilot.

The program is delivered by a nine-member governor-appointed volunteer board, one (1.0 FTE) Executive Director, and one (1.0 FTE) Administrator. The Board works with stakeholders from pilot organizations, steamship operators, and ports to address issues. The program is funded by the license fees paid by pilot and a board operations fee from each vessel using the services of a licensee. Major cost drivers in addition to personnel costs include legal fees, which could be significant based on severity and frequency of maritime incidents; contract fees; and rate hearings. However, the expenses of rate hearings are recoverable and assessed to the parties involved in a final rate order.

Program Justification and Link to 10-Year Outcome

The Board of Maritime Pilots is directly tied to the Safety Outcome with a focus on public safety, the regulation of professional licensing, and accident prevention in the state's transportation system. The board is directly linked to *Strategy 5: Provide education, advocacy and regulatory efforts to ensure the safety, soundness and availability of markets for goods, services, financial products and labor.*

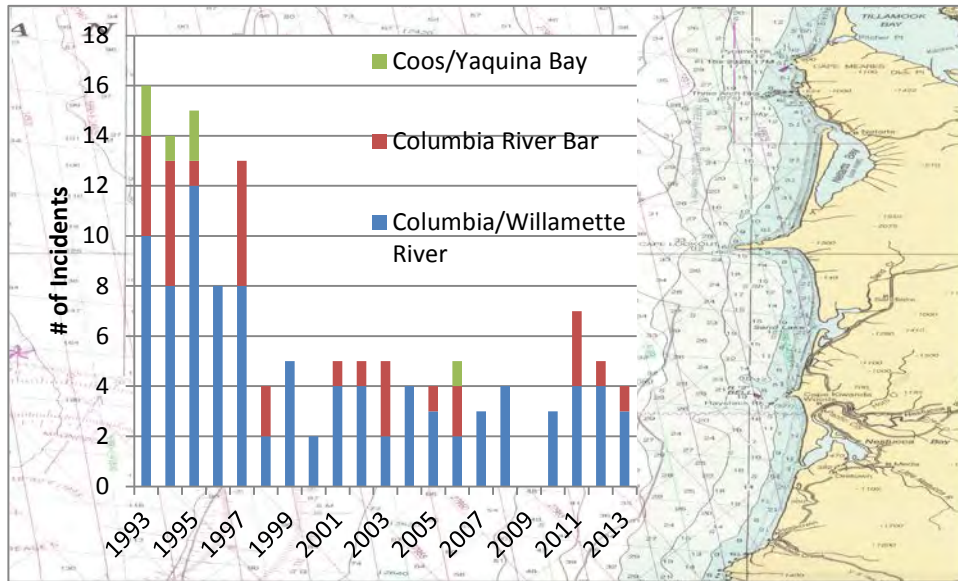
Pilots are essential to Oregon's maritime commerce. They are navigational and ship handling experts who direct the transit of vessels calling on the ports of Coos Bay, Yaquina Bay, Astoria, Kalama, Longview, Vancouver, and Portland. Their functions have been regulated since 1846, making the Board of Maritime Pilots one of the oldest state agencies in Oregon, even preceding statehood.

Program Performance

The Board reports annual vessel incident statistics as a key performance measure. Incidents are categorized by severity. The following graph shows incident statistics for the last twenty years. Note: Incident numbers are based on a calendar year.

2015-2017 GOVERNOR'S BUDGET

Supporting Graph 1 – Maritime Incidents 1993-2013



The incident trends of the last ten years are expected to continue into the foreseeable future. There was a trend of incident occurrences due to mechanical failure as vessels that sat idle at the start of the recession were gradually put back into service. Those incidents appear to be lessening as those vessels become subject to U.S. Coast Guard inspection and receive regular maintenance.

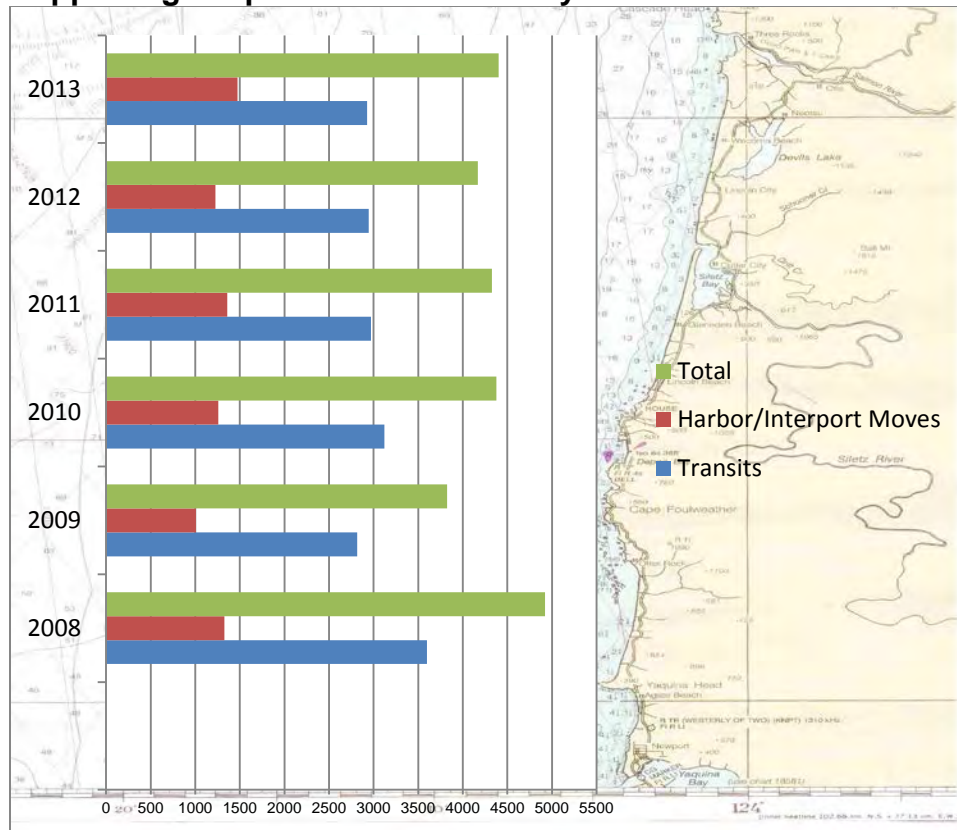
The following graph highlights transit activity of ships through Oregon waterways. A transit is a one-way trip inbound or outbound between Portland and Astoria. Harbor and interport moves are trips from dock to dock in port or individual trips between ports. There has been a gradual recovery from the drop in shipping traffic in 2009. It is not expected that the numbers of vessels that visited Oregon's ports twenty years ago will be seen again, as vessel builds grow increasingly larger.

The purpose of licensing pilots is to assure the safe passage of vessels on Oregon's waters. The occurrence of maritime incidents has dramatically declined from the levels of the 1990s.

This decline has been linked to the implementation of a vessel tracking system and other technological developments, improvements to continuing education requirements for licensees, improvements to pilot transfer systems, and a heightened sense of awareness among pilots. There was an average number of incidents in 2013 – two which resulted in disciplinary actions by the Board and one which was a man-overboard situation in which the pilot was fortunately recovered quickly and not seriously injured. This excellent safety record becomes more relevant when viewed in the context of the amount of vessel activity. There were 4,401 pilot assignments in 2013 and only four incident reports.

2015-2017 GOVERNOR'S BUDGET

Supporting Graph 2 – Transit Activity



Additionally, OBMP performed the following concerning licensing and rates in 2013:

- One License examination; nine License Upgrades; and 56 License Renewals.
- Five Tariff adjustments; and one Transportation Oversight Committee recommendation for annual adjustments to the tariff for transportation expenses.

Enabling Legislation/Program Authorization

The Board's enabling statute is at ORS Chapter 776, which sets out its powers and duties:

- Provide for efficient and competent pilotage service on all pilotage grounds.
- Establish by rule a licensing system for persons licensed to pilot.
- Fix, at reasonable and just rates, pilotage fees.
- Determine board operations fee from each vessel using the services of a licensee (resulting from passage of 2013 SB 851).

The Board also has additional authority under ORS Chapter 670 – Occupations and Professions Generally. The Federal Government delegates to the maritime states the authority to regulate pilotage within their territorial waters.

Funding Streams

OBMP is funded by license fees paid by the pilots and board operations fee from each vessel using the services of a licensee (resulting from passage of 2013 SB 851), which are dedicated funding streams authorized by ORS 776.365. OBMP receives no General funds or Lottery funds.

Significant Proposed Program Changes from 2013-15

As a result of SB 851 (2013), OBMP was authorized to hire an Executive Director and levy a board operations fee from each vessel using the services of a licensee. OBMP will continue to examine funding to ensure it continues to effectively meet its statutory requirements.

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Oregon Board of Maritime Pilots (OBMP) Program Unit Narrative

Pilots are essential to Oregon's maritime commerce. They are navigational and ship handling experts who direct the transit of vessels calling on the ports of Coos Bay, Yaquina Bay, Astoria, Kalama, Longview, Vancouver, and Portland. Their functions have been regulated since 1846, making the Board of Maritime Pilots one of the oldest state agencies in Oregon, even preceding statehood.

A Pilot's Job

Pilots board ships entering or leaving Oregon ports, and navigate them in and out of docks, through channels and over the bars. Oregon's state-licensed pilots are required on most foreign-flagged vessels entering its territorial waters. In reality, almost all ships entering and leaving Oregon ports work with a pilot, including military vessels. The demands of crossing the Columbia River Bar, recognized as one of the most difficult in the world, navigating an 85 nautical mile ship channel up the Columbia River, and crossing the Coos Bay Bar and guiding a vessel through its railroad bridge, require expertise only gained by training and repetition. Pilots are experienced professional mariners at the peak of their profession serving in a highly competitive field.

The Oregon Board of Maritime Pilots (OBMP) provides for safe, competent and efficient maritime pilot service on Oregon's designated pilotage grounds. All of the nation's maritime states have similar regulatory boards. In Oregon, the Board's major responsibilities are to:

- License and Train Pilots: The Board qualifies pilot applicants and selects pilot trainees and apprentices. The Board also sets licensing and training standards for newly appointed pilots. Initial licenses are issued only after an experienced mariner has completed the Board's rigorous training requirements and passed a written examination. License renewal is annual and renewal applications must verify that the pilot is in compliance with licensing standards and has completed continuing professional education requirements.
- Set Rates for Pilot Service: The Oregon Board of Maritime Pilots (OBMP) is one of the few rate-setting entities in state government. By law, pilot rates can be set no less than every two years, although in at least the last decade, four to five year agreements have been reached which promote rate stability. A ratemaking proceeding is started when a petition for change in pilotage rates is filed by a stakeholder and accepted by the Board. An Administrative Law Judge from the Public Utility Commission conducts the proceeding and writes a proposed order, which the Board considers and ultimately adopts a new rate order.
- Investigate Maritime Incidents: Any maritime incident that occurs while a pilot is directing the navigation of a vessel is investigated by the Board to determine cause and any consequences. Incidents are categorized by the level of damage. Incidents may include groundings, collisions, and/or physical injuries related to vessel operation.

Board Composition

The nine-member Board is appointed by the Governor and confirmed by the Senate for terms of four years. The Board members represent pilots, industry/ports, and the general

2015-2017 GOVERNOR'S BUDGET

public. There are three pilot representatives, three industry representatives, including one member from the ports, and three public members (only public members can chair the board).

Board Resources

Oregon Board of Maritime Pilots (OBMP) is staffed by a 0.5 FTE Executive Director (authorized by 2013 SB 851) and an Administrator for 1.5 FTE total. With Policy Option Package 101 for Appropriation Year 2015-2017, OBMP is requesting to change the Executive Director's position from 0.5 FTE to 1.0 FTE for a total of 2.0 FTE in Appropriation Year 2015-2017.

The Executive Director was hired to perform duties that require a level of diligence and expertise beyond the capabilities of the current volunteer board members and board administrator. These duties include review and monitoring of pilot fatigue programs, a more in-depth review of licensee medical files, independent investigations into maritime incidents, and more up to date and intensive licensee recruitment and training efforts.

Trends

Safety is the key measurement for the Board. Last year, the Board investigated four incidents, two of which involved the personal safety of the pilot assigned to the vessel. One pilot was rescued after being knocked off a pilot ladder into the Columbia River bar, and one pilot fell onto the lower steps of a pilot ladder that was improperly rigged. Neither of the pilots was seriously injured. Selecting the most qualified pilots and keeping their skills and knowledge current results in impressive safety records on Oregon's territorial waters, particularly when taken in context with an average of 4,400 vessel movements per year.

OBMP continues to monitor the economic status of river freight that was adversely affected by the recession. There are anticipated future improvements to increased capacity, including coal, oil and liquefied natural gas (LNG).

Revenue

As an Other-funded agency, the Board uses no General or Lottery fund revenue. Operating funds are derived from two sources: the license fees of pilots; and a board operations fee from each vessel using the services of a licensee (resulting from passage of 2013 SB 851). The parties to rate proceedings are assessed fees by OBMP for costs of rate hearings.

Expenditures

OBMP's personnel and other payroll expenditures consume approximately 58.7 percent of OBMP's other funds current service level budget (\$651,630). The remaining 42 percent is used for services and supplies with legal fees, rent, and telecommunications being the major cost drivers of services and supplies.

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OBMP Affirmative Action Report

The Oregon Board of Maritime Pilots (OBMP) seeks opportunities to advance women and minorities in this profession. Currently, there are four women/minority pilots. Pilots enter service typically at a point in mid-career, so the pool of potential applicants is already limited. However, the Board and its stakeholders continue to pursue outreach opportunities at maritime academies, Clatsop Community College, Tongue Point Job Corps, and local schools. Additionally, pilot organizations sponsor numerous maritime education efforts in the state and mentor students entering maritime careers. The Board encourages and supports their licensees to pursue outreach activities and recruitment to widen the pool of women and/or minority applicants.

The percent of qualified applicants that are women and/or minorities has averaged seven percent. In the licensee/trainee roster, five percent are women and/or minority pilots or pilot trainees. The overall diversity of the applicant pool is reflective of the diversity in maritime occupations as a whole. Surveys previously conducted in other maritime states showed considerably lower or non-existent diversity rates among all but four states.

Recent outreach activities included:

- The Columbia River Pilots participated in a career fair at Benson High School. Capt. Darren Olsen presented four workshops about maritime careers on the Columbia River.
- Capt. Deborah Dempsey participated in the Women on the Water Conference in New York.
- Capt. Anne McIntyre and Capt. Deborah Dempsey were involved in the Pearls of Power Women's Conference at California Maritime Academy. The event focused on mentoring and career opportunities.
- Capt. Anne McIntyre attended a Dress for Success event with four California Maritime Academy cadets.

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ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Board of Maritime Pilots
Cross Reference Number: 86000-005-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Personal Services							
Pension Obligation Bond	-	-	3,226	-	-	-	3,226
Mass Transit Tax	-	-	628	-	-	-	628
Vacancy Savings	-	-	(1,834)	-	-	-	(1,834)
Total Personal Services	-	-	\$2,020	-	-	-	\$2,020
Total Expenditures							
Total Expenditures	-	-	2,020	-	-	-	2,020
Total Expenditures	-	-	\$2,020	-	-	-	\$2,020
Ending Balance							
Ending Balance	-	-	(2,020)	-	-	-	(2,020)
Total Ending Balance	-	-	(\$2,020)	-	-	-	(\$2,020)

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ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 022 - Phase-out Pgm & One-time Costs

Cross Reference Name: Board of Maritime Pilots
Cross Reference Number: 86000-005-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
Professional Services	-	-	(200,000)	-	-	-	(200,000)
Total Services & Supplies	-	-	(\$200,000)	-	-	-	(\$200,000)
Total Expenditures							
Total Expenditures	-	-	(200,000)	-	-	-	(200,000)
Total Expenditures	-	-	(\$200,000)	-	-	-	(\$200,000)
Ending Balance							
Ending Balance	-	-	200,000	-	-	-	200,000
Total Ending Balance	-	-	\$200,000	-	-	-	\$200,000

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ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 031 - Standard Inflation

Cross Reference Name: Board of Maritime Pilots
Cross Reference Number: 86000-005-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
Instate Travel	-	-	266	-	-	-	266
Out of State Travel	-	-	45	-	-	-	45
Employee Training	-	-	27	-	-	-	27
Office Expenses	-	-	290	-	-	-	290
Telecommunications	-	-	244	-	-	-	244
State Gov. Service Charges	-	-	433	-	-	-	433
Data Processing	-	-	59	-	-	-	59
Publicity and Publications	-	-	81	-	-	-	81
Professional Services	-	-	931	-	-	-	931
Attorney General	-	-	5,081	-	-	-	5,081
Facilities Rental and Taxes	-	-	821	-	-	-	821
Agency Program Related S and S	-	-	3,692	-	-	-	3,692
Other Services and Supplies	-	-	45	-	-	-	45
Expendable Prop 250 - 5000	-	-	45	-	-	-	45
IT Expendable Property	-	-	45	-	-	-	45
Total Services & Supplies	-	-	\$12,105	-	-	-	\$12,105
Total Expenditures							
Total Expenditures	-	-	12,105	-	-	-	12,105
Total Expenditures	-	-	\$12,105	-	-	-	\$12,105

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ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 031 - Standard Inflation

Cross Reference Name: Board of Maritime Pilots
Cross Reference Number: 86000-005-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Ending Balance							
Ending Balance	-	-	(12,105)	-	-	-	(12,105)
Total Ending Balance	-	-	(\$12,105)	-	-	-	(\$12,105)

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ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 032 - Above Standard Inflation

Cross Reference Name: Board of Maritime Pilots
Cross Reference Number: 86000-005-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Services & Supplies							
Professional Services	-	-	93	-	-	-	93
Total Services & Supplies	-	-	\$93	-	-	-	\$93
Total Expenditures							
Total Expenditures	-	-	93	-	-	-	93
Total Expenditures	-	-	\$93	-	-	-	\$93
Ending Balance							
Ending Balance	-	-	(93)	-	-	-	(93)
Total Ending Balance	-	-	(\$93)	-	-	-	(\$93)

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Essential and Policy Package Fiscal Impact Summary - BPR013

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Policy Option Package 101: Oregon Board of Maritime Pilots – Executive Director – OPA4 – Increase to 1.0 FTE

a. Purpose

The primary purpose of this expanded position is to more effectively and efficiently execute the portions of ORS 776, ORS 670, ORS 183, ORS 192, OAR 856, and federal regulations pertaining to vessel pilotage in the State of Oregon. The purpose of the statute and administrative rules are to facilitate trade and commerce in the State of Oregon by providing safe and efficient vessel pilotage services on the designated pilotage grounds of Coos Bay, Yaquina Bay, and on the Oregon and Washington waters of the Columbia River Bar and Columbia River below Portland/Vancouver.

In the 168 year life of the Oregon Board of Maritime Pilots (OBMP), the organization has never had an Executive Director. The Executive Director position was authorized by the Oregon Legislature (2013 – SB 851) and first filled in April of 2014. SB 851 was signed by the Governor on June 26, 2013. It was created in part so OBMP could more effectively respond to the demands of multiple high-profile pressures being felt by the entire Oregon vessel pilotage system. Among these is the recent arrival or projected advent of new regional marine cargoes such as coal exports in Washington, crude oil arriving by rail from the Bakken fields of the Dakotas, liquid natural gas (LNG) exports from the Columbia and Coos Bay, and Liquid Propane Gas for export.

Additionally, the 2007 pilot-caused collision of the COSCO BUSAN with the San Francisco Bay Bridge, and the subsequent oil spill into the waters of San Francisco Bay, has greatly increased the level of public scrutiny of pilot operations

throughout the country. In that incident, the pilot was under the influence of inappropriate medication, the functioning of critical navigation equipment was in doubt, and finally, the weather conditions and visibility were so marginal that the pilot's decision to even proceed with the transit was fundamentally questionable. The regulatory body in charge of San Francisco pilotage found itself in embroiled in serious proceedings that pointed to significant failures of regulatory and oversight responsibilities.

Motivated in large measure by the COSCO BUSAN incident, combined with the pressures listed above, OBMP sought to authorize and recruit an Executive Director to proactively identify and close any areas of risk and exposure similar to those that led to the San Francisco incident.

Based on emerging needs and regulations, the Executive Director will create plans, processes, and programs to assure Oregon Pilotage grounds are in compliance with new standards, to document conditions that exist in the field, and assess the risks that exist now or could develop in the future. OBMP must subsequently develop plans to address those risks and procedures by which actions can be monitored and measured for effectiveness.

There are two areas of immediate focus for the OBMP Executive Director: incident investigations and pilot medical review. At present, OBMP staff (1.5 total FTE) and volunteer board members conduct both incident investigations and oversee pilot medical review. In both instances these resources are proving insufficient and in some cases inappropriate.

2015-2017 GOVERNOR'S BUDGET

While pilots who volunteer for the OBMP board service are quite qualified to conduct internal incident investigations, increasingly sophisticated investigation standards demand time they do not have; moreover the ethical appearance of pilots investigating pilots is problematic. The solution is to identify and contract with a competent independent entity to provide competent, on-demand investigation services when necessary.

Similarly, the need for rigorous and timely pilot medical review and oversight is beyond the competence and resources of OBMP staff and volunteer board members. As the COSCO BUSAN incident in San Francisco clearly demonstrated, the nature of medical review is critical to the safe and efficient operation of the pilotage system. OBMP is in the process of defining and implementing a wholly new outcome-based independent pilot medical review and oversight process. This will require significant modification to the OARs under which OBMP operates.

In both instances, these functions will be shifted to highly qualified and fully vetted independent contractors. This will result in more rigorous regulatory oversight, a clearer ethical line between the regulator (OBMP) and the regulated bodies (Oregon pilots), and higher standards of safety and efficiencies on Oregon's pilotage grounds. These independent entities will do the work at the necessary standard, but will require additional oversight from OBMP staff.

Other emerging issues facing OBMP and Staff are:

- Apparent disparities in Pilot safety systems and resources between Oregon pilot grounds.
- New pilot recruitment: pool size & diversity.

- Creating actionable vessel traffic forecasts to predict future pilot needs.
- Safety impacts of infrastructure decisions in/near Oregon pilotage grounds.
- Pilotage impacts of projected advent of new regional marine cargoes such as coal exports in Washington, crude oil arriving by rail from the Bakken fields of the Dakotas, LNG exports in the Columbia and Coos Bay, and Liquid Propane Gas for export.

Currently, the Staff supporting the OBMP is 1.5 FTE. As a comparison, Washington State (on Puget Sound) does similar work with a staff of 2.0 FTE and California (on San Francisco Bay) has a staff of 4.0 FTE, plus a port agent and 5 investigators. WA is seeking to add additional staff. Both agencies retain Executive Directors as a 1.0 FTE position.

b. How Achieved

The OBMP Executive Director, working with staff, is currently able to effectively address only the two immediate issues with which he was tasked by the OBMP Chair. The OBMP Executive Director was tasked to put in place updated independent professional systems for:

- Incident Investigation
- Pilot Medical Oversight and Review

Other important needs are being addressed only sporadically, as time allows. The absence of a 1.0 FTE Executive Director means that other work critical to the long-term mission of OBMP will be delayed at best or left undone.

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c. Staffing Impact

This would increase current staff by 0.5 FTE. This position is a managerial non-supervisory position and does not affect the Oregon Public Utility Commission's span of control.

d. Quantifying Results

The general metric will be the safety and efficiency of the Oregon pilotage grounds. The Executive Director will assure that ongoing activities are tracked and reported to the OBMP, and that the Board is kept aware of emerging trends and needs. Specific metrics to track are: the number of incidents, number of investigations performed, timeliness of the investigations, overall medical review activity, number of medical waivers administered, and the retention rate of pilots declared fit for duty.

e. Revenue Source

The OBMP is supported by annual license fees and a Board Operations Fee in the tariff. No general funds are used.

f. Fiscal Impact Summary

The cost to add the 0.5 FTE will be \$120,374 from Other Funds per biennium.

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ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Public Utility Commission
Pkg: 101 - Increase OBMP's Director FTE

Cross Reference Name: Board of Maritime Pilots
Cross Reference Number: 86000-005-00-00-00000

Description	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Personal Services							
Class/Unclass Sal. and Per Diem	-	-	87,780	-	-	-	87,780
All Other Differential	-	-	9,264	-	-	-	9,264
Public Employees' Retire Cont	-	-	15,324	-	-	-	15,324
Social Security Taxes	-	-	7,424	-	-	-	7,424
Mass Transit Tax	-	-	582	-	-	-	582
Total Personal Services	-	-	\$120,374	-	-	-	\$120,374
Total Expenditures							
Total Expenditures	-	-	120,374	-	-	-	120,374
Total Expenditures	-	-	\$120,374	-	-	-	\$120,374
Ending Balance							
Ending Balance	-	-	(120,374)	-	-	-	(120,374)
Total Ending Balance	-	-	(\$120,374)	-	-	-	(\$120,374)
Total FTE							
Total FTE							0.50
Total FTE	-	-	-	-	-	-	0.50

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12/22/14 REPORT NO.: PDDPFISCAL
 REPORT: PACKAGE FISCAL IMPACT REPORT
 AGENCY: 86000 PUBLIC UTILITY COMMISSION
 SUMMARY XREF: 005-00-00 Board of Maritime Pilots

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

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 PROD FILE

2015-17
 PICS SYSTEM: BUDGET PREPARATION

PACKAGE: 101 - Increase OBMP's Director FTE

POSITION NUMBER	CLASS COMP	CLASS NAME	POS CNT	FTE	MOS	STEP	RATE	GF SAL/OPE	OF SAL/OPE	FP SAL/OPE	LF SAL/OPE	AF SAL/OPE
1500868	MENNZ0873	AA OPERATIONS & POLICY ANALYST 4	1	1.00	24.00	08	7,701.00		184,824 73,964			184,824 73,964
1500868	MENNZ7008	AA PRINCIPAL EXECUTIVE/MANAGER E	1-	.50-	12.00-	09	8,087.00		97,044- 53,388-			97,044- 53,388-
TOTAL PICS SALARY									87,780			87,780
TOTAL PICS OPE									20,576			20,576
TOTAL PICS PERSONAL SERVICES =				.50	12.00				108,356			108,356

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DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

**Public Utility Commission
2015-17 Biennium**

Agency Number: 86000

Cross Reference Number: 86000-005-00-00-00000

Source	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
Other Funds						
Business Lic and Fees	319,860	615,112	615,112	712,902	712,902	-
Charges for Services	-	163,678	163,678	-	-	-
Other Revenues	1,045	-	-	-	-	-
Transfer Out - Intrafund	-	-	-	(10,400)	(10,400)	-
Total Other Funds	\$320,905	\$778,790	\$778,790	\$702,502	\$702,502	-

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Detail of LF, OF, and FF Revenues - BPR012

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DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE PUBLIC UTILITY COMMISSION—OREGON BOARD OF MARITIME PILOTS-SCR 005

Source	Fund	ORBITS Revenue Acct	2011-2013 Actual	2013-15 Legislatively Adopted	2013-15 Estimated	2015-17		
						Agency Request	Governor's Recommended	Legislatively Adopted
Other Funds								
Business Lic and Fees	3400	0205	319,860	615,112	581,440	712,902	712,902	0
Charges for Services	3400	0410	0	163,678	163,678	0	0	0
Other Revenues	3400	0975	1,045	0	0	0	0	0
Transfer Out - Intrafund	3400	2010	0	0	0	(10,400)	(10,400)	0
Total Other Funds			\$320,905	\$778,790	\$745,118	\$702,502	\$702,502	\$0

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INFORMATION TECHNOLOGY – RELATED PROJECTS/INITIATIVE (107BF14)

Not applicable to this agency.

2015-2017 GOVERNOR'S BUDGET

MAJOR IT PROJECT BUSINESS CASE DOCUMENTS

Not applicable to this agency.

2015-2017 GOVERNOR'S BUDGET

ANNUAL PERFORMANCE PROGRESS REPORT

See Appendix

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FACILITY PROPOSED IMPACT ON WORK SPACE REQUIREMENTS

Not applicable to this agency.

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AUDITS RESPONSE REPORT

Not applicable to the agency this Biennium.

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AFFIRMATIVE ACTION REPORT

July 2012 to June 2014

The Oregon Public Utility Commission (PUC) is committed to achieving a workforce that represents the diversity of Oregon's people. PUC as a Commission, and as an employer, is committed to serving the needs of citizens by creating and sustaining an organizational environment that is not only representative of Oregonians, but is also efficient and responsive to the State's diverse cultures, groups, and individuals.

PUC, in partnership with the Governor's Office of Diversity and Inclusion/Affirmative Action, is committed to providing an inclusive and respectful workforce by setting positive examples through our values, actions, and words. PUC provides broad and culturally enriched training opportunities, career growth, and developmental opportunities to all employees on an equal basis. Our recruitment activities include outreach to broad labor markets, with specific emphasis on outreach to diverse communities and populations. The broad perspectives of our workforce contribute to the effectiveness of our mission.

PUC develops a biennial Affirmative Action Plan to support the recruitment, retention, and promotion of women, persons or color, and persons with disabilities. The Governor's Office provides periodic statistical reports of each agency's representation of these groups within their workforce, and compares that representation with the population of Oregon. PUC Human Resources, in collaboration with PUC managers, uses this information to identify workforce representation goals, and monitor progress towards those goals. The agency's Affirmative Action Plan is shared with agency staff, the public,

volunteers, and with companies contracting with PUC for goods and services.

WORKFORCE REPRESENTATION

Three Equal Opportunity Employment categories are included in the current PUC workforce. First is "Professional" positions, comprising 57 percent of PUC's workforce. The second category is "Official/Administrator," comprising 18 percent of PUC's workforce, and the third category of "Administrative Support" reflects 25 percent of the workforce: There are thirteen sub-groups within these categories used to compare PUC's workforce representation to statewide workforce statistics.

For Women, representation within PUC was at 50.8 percent for the period. In the category of "Professionals," PUC exceeded State of Oregon representation goals (*parity*) for women in six of ten professional groups including Attorney/Hearings Officer, Personnel/Employment, Inspector/Compliance, Accounting/Finance/Revenue, Program Coordinator/Analyst, and Engineer/Architect. PUC's largest concentration of professionals is in the Engineer/Architect group, which includes PUC's Utility Analyst positions. Utility Analysts perform economic, financial, and engineering analysis in the following PUC divisions: *Energy, Telecommunications and Water, and Utility Safety, Reliability and Security*. The statewide parity goal for women in the Engineer/Architect category is 12.3 percent. PUC greatly exceeded this goal with women representing 38 percent of the group; an increase of 3.9 percent over the last biennium. Parity for women in three of the professional groups was close to being met with less than one person under goal in Communications/Editor (reflecting one position within the agency); Purchasing Agent/Analyst (reflecting one position), and Social Science/Planner/Researcher (reflecting three positions).

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In the Computer Analyst group (reflecting six positions in the agency) parity for women was under goal by 1.6 persons. Parity goals were exceeded by 9.7 percent for women in the Administrative Support category. In the Official/Administrator category, parity goals were exceeded for women in the Middle Management group (one position within PUC), with PUC having 100 percent parity against a statewide representation goal of 43 percent. PUC's representation for women in Upper Management was at 25 percent (reflecting 20 positions), 11.6 percent under the Statewide representation goal of 36.6 percent.

For Persons of Color, agency representation was at 12.5 percent, reflecting an increase of 1.3 percent over the previous biennium. Statewide representation goals for persons of color were exceeded by PUC in five of the ten professional groups including Engineer/Architect, Inspector/Compliance, Communication/Editor, Computer Analyst, Accounting/Finance/Revenue, and Program Coordination/Analyst. Four professional groups were very close to meeting statewide representation goals including Social Science/Planner/Researcher, Personnel/Employment, Computer Analyst, and Attorney/Hearings Officer, with each of these groups under goal by less than one person (average under goal being 0.3 persons). There is no indicated statewide goal for the professional group of Purchasing Agent/Analyst, so no comparisons were reported. For the Administrative Support group the statewide representation goal of 9.7 percent was exceeded with PUC representation at 13 percent. For the Official/Administrator category parity goals were very close to being met, with the Middle Management group under goal by less than one person (0.1). The Upper Management category was also just under goal by less than one person (0.4).

For People with Disabilities, agency representation was at 5.8 percent, reflecting an increase of 2.4 percent over the previous biennium. Parity was met in the "Official/Administrator" category, and parity was exceeded in the Upper Management group with statewide representation at 6 percent and PUC representation at 10 percent. Parity was exceeded in the Administrative Support group, with statewide representation at 6 percent, and PUC representation at 7 percent. Parity was met in one of the ten professional groups (Purchasing Agent/Analyst). Parity was slightly under goal in the other nine Professional categories, with PUC 1.7 persons under the overall goal for this group. Overall, and across all categories, representation of persons with disabilities improved from 3.4 persons under goal in the previous period, to only 1.7 persons under goal for the current period.

ADDITIONAL ACCOMPLISHMENTS

PUC remained committed and proactive in developing a diverse workforce. In addition to increasing our hiring of persons with disabilities by 6 percent over the previous biennium, our agency provided numerous opportunities to women and persons of color to expand their skills and experience through participation in job rotations, lead work, and work-out-of-class assignments. These opportunities were provided in Accounting, Utility Analysis and Regulation, Upper Management, Operations and Policy Analysis, Human Resources, and Legal Support. PUC also designed and sponsored an internship opportunity through outreach to local area universities. This opportunity introduced a recent college graduate (a person of color) to the PUC, ultimately facilitating the transition of this person to a professional position within our Utility Program.

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Summary Cross Reference Listing and Packages
2015-17 Biennium

Agency Number: 86000
BAM Analyst: Miller, Travis
Budget Coordinator: Bontrager, Opal - (503)378-4254

<i>Cross Reference Number</i>	<i>Cross Reference Description</i>	<i>Package Number</i>	<i>Priority</i>	<i>Package Description</i>	<i>Package Group</i>
001-00-00-00000	Utility Regulation	010	0	Non-PICS Psnl Svc / Vacancy Factor	Essential Packages
001-00-00-00000	Utility Regulation	021	0	Phase-in	Essential Packages
001-00-00-00000	Utility Regulation	022	0	Phase-out Pgm & One-time Costs	Essential Packages
001-00-00-00000	Utility Regulation	031	0	Standard Inflation	Essential Packages
001-00-00-00000	Utility Regulation	032	0	Above Standard Inflation	Essential Packages
001-00-00-00000	Utility Regulation	033	0	Exceptional Inflation	Essential Packages
001-00-00-00000	Utility Regulation	050	0	Fundshifts	Essential Packages
001-00-00-00000	Utility Regulation	060	0	Technical Adjustments	Essential Packages
001-00-00-00000	Utility Regulation	070	0	Revenue Shortfalls	Policy Packages
001-00-00-00000	Utility Regulation	081	0	September 2014 E-Board	Policy Packages
001-00-00-00000	Utility Regulation	090	0	Analyst Adjustments	Policy Packages
003-00-00-00000	Residential Service Protection	010	0	Non-PICS Psnl Svc / Vacancy Factor	Essential Packages
003-00-00-00000	Residential Service Protection	021	0	Phase-in	Essential Packages
003-00-00-00000	Residential Service Protection	022	0	Phase-out Pgm & One-time Costs	Essential Packages
003-00-00-00000	Residential Service Protection	031	0	Standard Inflation	Essential Packages
003-00-00-00000	Residential Service Protection	032	0	Above Standard Inflation	Essential Packages
003-00-00-00000	Residential Service Protection	033	0	Exceptional Inflation	Essential Packages
003-00-00-00000	Residential Service Protection	060	0	Technical Adjustments	Essential Packages
003-00-00-00000	Residential Service Protection	081	0	September 2014 E-Board	Policy Packages
003-00-00-00000	Residential Service Protection	090	0	Analyst Adjustments	Policy Packages
004-00-00-00000	Policy and Administration	010	0	Non-PICS Psnl Svc / Vacancy Factor	Essential Packages
004-00-00-00000	Policy and Administration	021	0	Phase-in	Essential Packages

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Summary Cross Reference Listing and Packages
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Public Utility Commission

**Summary Cross Reference Listing and Packages
2015-17 Biennium**

**Agency Number: 86000
BAM Analyst: Miller, Travis
Budget Coordinator: Bontrager, Opal - (503)378-4254**

Cross Reference Number	Cross Reference Description	Package Number	Priority	Package Description	Package Group
004-00-00-00000	Policy and Administration	022	0	Phase-out Pgm & One-time Costs	Essential Packages
004-00-00-00000	Policy and Administration	031	0	Standard Inflation	Essential Packages
004-00-00-00000	Policy and Administration	032	0	Above Standard Inflation	Essential Packages
004-00-00-00000	Policy and Administration	033	0	Exceptional Inflation	Essential Packages
004-00-00-00000	Policy and Administration	060	0	Technical Adjustments	Essential Packages
004-00-00-00000	Policy and Administration	081	0	September 2014 E-Board	Policy Packages
004-00-00-00000	Policy and Administration	090	0	Analyst Adjustments	Policy Packages
005-00-00-00000	Board of Maritime Pilots	010	0	Non-PICS Psnl Svc / Vacancy Factor	Essential Packages
005-00-00-00000	Board of Maritime Pilots	021	0	Phase-in	Essential Packages
005-00-00-00000	Board of Maritime Pilots	022	0	Phase-out Pgm & One-time Costs	Essential Packages
005-00-00-00000	Board of Maritime Pilots	031	0	Standard Inflation	Essential Packages
005-00-00-00000	Board of Maritime Pilots	032	0	Above Standard Inflation	Essential Packages
005-00-00-00000	Board of Maritime Pilots	033	0	Exceptional Inflation	Essential Packages
005-00-00-00000	Board of Maritime Pilots	081	0	September 2014 E-Board	Policy Packages
005-00-00-00000	Board of Maritime Pilots	090	0	Analyst Adjustments	Policy Packages
005-00-00-00000	Board of Maritime Pilots	101	0	Increase OBMP's Director FTE	Policy Packages

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Summary Cross Reference Listing and Packages
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Public Utility Commission

Policy Package List by Priority
2015-17 Biennium

Agency Number: 86000

BAM Analyst: Miller, Travis

Budget Coordinator: Bontrager, Opal - (503)378-4254

<i>Priority</i>	<i>Policy Pkg Number</i>	<i>Policy Pkg Description</i>	<i>Summary Cross Reference Number</i>	<i>Cross Reference Description</i>	
0	070	Revenue Shortfalls	001-00-00-00000	Utility Regulation	
		September 2014 E-Board	001-00-00-00000	Utility Regulation	
	090	Analyst Adjustments		003-00-00-00000	Residential Service Protection
				004-00-00-00000	Policy and Administration
				005-00-00-00000	Board of Maritime Pilots
				001-00-00-00000	Utility Regulation
				003-00-00-00000	Residential Service Protection
				004-00-00-00000	Policy and Administration
				005-00-00-00000	Board of Maritime Pilots
				005-00-00-00000	Board of Maritime Pilots
101	Increase OBMP's Director FTE	005-00-00-00000	Board of Maritime Pilots		

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Agency Number: 86000

**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Public Utility Commission**

Cross Reference Number: 86000-000-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
BEGINNING BALANCE						
0025 Beginning Balance						
3200 Other Funds Non-Ltd	18,969,007	15,288,502	15,288,502	9,022,427	9,022,427	-
3400 Other Funds Ltd	16,392,504	15,898,749	15,898,749	16,908,845	16,908,845	-
6400 Federal Funds Ltd	-	37,937	37,937	20,096	20,096	-
All Funds	35,361,511	31,225,188	31,225,188	25,951,368	25,951,368	-
0030 Beginning Balance Adjustment						
3200 Other Funds Non-Ltd	224	-	-	-	-	-
3400 Other Funds Ltd	142,386	-	-	-	-	-
All Funds	142,610	-	-	-	-	-
BEGINNING BALANCE						
3200 Other Funds Non-Ltd	18,969,231	15,288,502	15,288,502	9,022,427	9,022,427	-
3400 Other Funds Ltd	16,534,890	15,898,749	15,898,749	16,908,845	16,908,845	-
6400 Federal Funds Ltd	-	37,937	37,937	20,096	20,096	-
TOTAL BEGINNING BALANCE	\$35,504,121	\$31,225,188	\$31,225,188	\$25,951,368	\$25,951,368	-

REVENUE CATEGORIES

TAXES

0190 Other Selective Taxes

3200 Other Funds Non-Ltd	331	-	-	-	-	-
3400 Other Funds Ltd	12,851,880	11,968,139	11,968,139	10,376,214	10,376,214	-
All Funds	12,852,211	11,968,139	11,968,139	10,376,214	10,376,214	-

LICENSES AND FEES

0205 Business Lic and Fees

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Public Utility Commission

Agency Number: 86000

**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Public Utility Commission**

Cross Reference Number: 86000-000-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3400 Other Funds Ltd	319,860	615,112	615,112	712,902	712,902	-
0240 Public Utilities Fees						
3200 Other Funds Non-Ltd	69,152,379	79,000,000	79,000,000	66,000,000	66,000,000	-
3400 Other Funds Ltd	23,677,232	26,852,751	26,852,751	26,149,460	26,149,460	-
All Funds	92,829,611	105,852,751	105,852,751	92,149,460	92,149,460	-
LICENSES AND FEES						
3200 Other Funds Non-Ltd	69,152,379	79,000,000	79,000,000	66,000,000	66,000,000	-
3400 Other Funds Ltd	23,997,092	27,467,863	27,467,863	26,862,362	26,862,362	-
TOTAL LICENSES AND FEES	\$93,149,471	\$106,467,863	\$106,467,863	\$92,862,362	\$92,862,362	-
FEDERAL FUNDS AS OTHER FUNDS						
0355 Federal Revenues						
3400 Other Funds Ltd	-	-	-	-	85,000	-
CHARGES FOR SERVICES						
0410 Charges for Services						
3400 Other Funds Ltd	100,202	251,678	251,678	91,700	91,700	-
FINES, RENTS AND ROYALTIES						
0505 Fines and Forfeitures						
3200 Other Funds Non-Ltd	70,081	48,301	48,301	68,580	68,580	-
3400 Other Funds Ltd	44,024	38,000	38,000	16,235	16,235	-
All Funds	114,105	86,301	86,301	84,815	84,815	-
INTEREST EARNINGS						
0605 Interest Income						
3200 Other Funds Non-Ltd	161,389	336,000	336,000	148,816	148,816	-

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Public Utility Commission

Agency Number: 86000

**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Public Utility Commission**

Cross Reference Number: 86000-000-00-00-00000

<i>Description</i>	<i>2011-13 Actuals</i>	<i>2013-15 Leg Adopted Budget</i>	<i>2013-15 Leg Approved Budget</i>	<i>2015-17 Agency Request Budget</i>	<i>2015-17 Governor's Budget</i>	<i>2015-17 Leg Adopted Budget</i>
3400 Other Funds Ltd	27,255	17,197	17,197	31,384	31,384	-
All Funds	188,644	353,197	353,197	180,200	180,200	-
OTHER						
0975 Other Revenues						
3400 Other Funds Ltd	81,551	5,000	5,000	41,000	41,000	-
FEDERAL FUNDS REVENUE						
0995 Federal Funds						
6400 Federal Funds Ltd	3,548,682	2,463,075	2,492,708	817,562	819,120	-
TRANSFERS IN						
1010 Transfer In - Intrafund						
3400 Other Funds Ltd	10,354,020	12,840,926	12,840,926	13,792,689	13,792,689	-
1020 Transfer In - Indirect Cost						
3400 Other Funds Ltd	156,906	109,656	109,656	139,609	139,609	-
1330 Tsfr From Energy, Dept of						
3400 Other Funds Ltd	109,854	-	-	-	-	-
1662 Tsfr From Land Use Bd of Appls						
3400 Other Funds Ltd	15,679	25,502	25,502	-	-	-
TRANSFERS IN						
3400 Other Funds Ltd	10,636,459	12,976,084	12,976,084	13,932,298	13,932,298	-
TOTAL TRANSFERS IN	\$10,636,459	\$12,976,084	\$12,976,084	\$13,932,298	\$13,932,298	-
REVENUE CATEGORIES						
3200 Other Funds Non-Ltd	69,384,180	79,384,301	79,384,301	66,217,396	66,217,396	-
3400 Other Funds Ltd	47,738,463	52,723,961	52,723,961	51,351,193	51,436,193	-

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Budget Support - Detail Revenues and Expenditures

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Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
6400 Federal Funds Ltd	3,548,682	2,463,075	2,492,708	817,562	819,120	-
TOTAL REVENUE CATEGORIES	\$120,671,325	\$134,571,337	\$134,600,970	\$118,386,151	\$118,472,709	-
TRANSFERS OUT						
2010 Transfer Out - Intrafund						
3400 Other Funds Ltd	(10,354,020)	(12,840,926)	(12,840,926)	(13,792,689)	(13,792,689)	-
2020 Transfer Out - Indirect Cost						
3400 Other Funds Ltd	(62,762)	(73,107)	(73,107)	-	-	-
6400 Federal Funds Ltd	(94,144)	(36,549)	(36,549)	(139,609)	(139,609)	-
All Funds	(156,906)	(109,656)	(109,656)	(139,609)	(139,609)	-
2050 Transfer to Other						
3400 Other Funds Ltd	-	-	-	-	(85,000)	-
2123 Tsfr To OR Business Development						
6400 Federal Funds Ltd	(171,196)	-	-	-	-	-
TRANSFERS OUT						
3400 Other Funds Ltd	(10,416,782)	(12,914,033)	(12,914,033)	(13,792,689)	(13,877,689)	-
6400 Federal Funds Ltd	(265,340)	(36,549)	(36,549)	(139,609)	(139,609)	-
TOTAL TRANSFERS OUT	(\$10,682,122)	(\$12,950,582)	(\$12,950,582)	(\$13,932,298)	(\$14,017,298)	-
AVAILABLE REVENUES						
3200 Other Funds Non-Ltd	88,353,411	94,672,803	94,672,803	75,239,823	75,239,823	-
3400 Other Funds Ltd	53,856,571	55,708,677	55,708,677	54,467,349	54,467,349	-
6400 Federal Funds Ltd	3,283,342	2,464,463	2,494,096	698,049	699,607	-
TOTAL AVAILABLE REVENUES	\$145,493,324	\$152,845,943	\$152,875,576	\$130,405,221	\$130,406,779	-
EXPENDITURES						

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Public Utility Commission

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**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Public Utility Commission**

Cross Reference Number: 86000-000-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
PERSONAL SERVICES						
SALARIES & WAGES						
3110 Class/Unclass Sal. and Per Diem						
3400 Other Funds Ltd	12,886,218	15,086,678	15,687,816	17,138,607	17,141,532	-
6400 Federal Funds Ltd	713,574	471,689	491,686	395,283	395,283	-
All Funds	13,599,792	15,558,367	16,179,502	17,533,890	17,536,815	-
3160 Temporary Appointments						
3400 Other Funds Ltd	78,726	140,167	84,037	86,558	86,558	-
3170 Overtime Payments						
3400 Other Funds Ltd	2,356	26,802	26,802	27,606	27,606	-
3180 Shift Differential						
3400 Other Funds Ltd	-	2,028	2,028	2,089	2,089	-
3190 All Other Differential						
3400 Other Funds Ltd	37,498	79,809	79,809	91,471	91,471	-
6400 Federal Funds Ltd	4,506	30	30	28	28	-
All Funds	42,004	79,839	79,839	91,499	91,499	-
SALARIES & WAGES						
3400 Other Funds Ltd	13,004,798	15,335,484	15,880,492	17,346,331	17,349,256	-
6400 Federal Funds Ltd	718,080	471,719	491,716	395,311	395,311	-
TOTAL SALARIES & WAGES	\$13,722,878	\$15,807,203	\$16,372,208	\$17,741,642	\$17,744,567	-
OTHER PAYROLL EXPENSES						
3210 Empl. Rel. Bd. Assessments						
3400 Other Funds Ltd	4,331	5,057	5,017	5,502	5,502	-

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Public Utility Commission

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**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Public Utility Commission**

Cross Reference Number: 86000-000-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
6400 Federal Funds Ltd	244	143	143	130	130	-
All Funds	4,575	5,200	5,160	5,632	5,632	-
3220 Public Employees' Retire Cont						
3400 Other Funds Ltd	1,832,171	2,228,205	2,316,392	2,724,300	2,724,762	-
6400 Federal Funds Ltd	97,233	69,200	72,133	62,420	62,420	-
All Funds	1,929,404	2,297,405	2,388,525	2,786,720	2,787,182	-
3221 Pension Obligation Bond						
3400 Other Funds Ltd	772,188	934,357	921,278	1,062,189	1,062,189	-
6400 Federal Funds Ltd	41,228	25,022	28,600	24,470	24,470	-
All Funds	813,416	959,379	949,878	1,086,659	1,086,659	-
3230 Social Security Taxes						
3400 Other Funds Ltd	966,138	1,167,703	1,213,690	1,320,594	1,320,617	-
6400 Federal Funds Ltd	54,259	36,087	37,617	30,243	30,243	-
All Funds	1,020,397	1,203,790	1,251,307	1,350,837	1,351,060	-
3240 Unemployment Assessments						
3400 Other Funds Ltd	32,154	8,579	8,579	8,579	8,579	-
6400 Federal Funds Ltd	-	1,535	1,535	1,535	1,535	-
All Funds	32,154	10,114	10,114	10,114	10,114	-
3250 Worker's Comp. Assess. (WCD)						
3400 Other Funds Ltd	5,473	7,461	7,402	8,627	8,627	-
6400 Federal Funds Ltd	262	209	209	205	205	-
All Funds	5,735	7,670	7,611	8,832	8,832	-
3260 Mass Transit Tax						

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Public Utility Commission

Agency Number: 86000

**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Public Utility Commission**

Cross Reference Number: 86000-000-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3400 Other Funds Ltd	78,229	91,352	94,959	104,077	104,077	-
3270 Flexible Benefits						
3400 Other Funds Ltd	3,266,065	3,859,288	3,881,398	3,816,857	3,816,857	-
6400 Federal Funds Ltd	126,742	109,352	110,947	90,727	90,727	-
All Funds	3,392,807	3,968,640	3,992,345	3,907,584	3,907,584	-
OTHER PAYROLL EXPENSES						
3400 Other Funds Ltd	6,956,749	8,302,002	8,448,715	9,050,725	9,051,410	-
6400 Federal Funds Ltd	319,968	241,548	251,184	209,730	209,730	-
TOTAL OTHER PAYROLL EXPENSES	\$7,276,717	\$8,543,550	\$8,699,899	\$9,260,455	\$9,261,140	-
P.S. BUDGET ADJUSTMENTS						
3455 Vacancy Savings						
3400 Other Funds Ltd	-	(397,447)	(397,447)	(137,924)	(137,924)	-
6400 Federal Funds Ltd	-	-	-	(4,310)	(4,310)	-
All Funds	-	(397,447)	(397,447)	(142,234)	(142,234)	-
3465 Reconciliation Adjustment						
3400 Other Funds Ltd	-	780,176	780,176	-	(3,610)	-
6400 Federal Funds Ltd	-	20,750	20,750	-	-	-
All Funds	-	800,926	800,926	-	(3,610)	-
3470 Undistributed (P.S.)						
3400 Other Funds Ltd	-	(152,627)	-	-	-	-
3991 PERS Policy Adjustment						
3400 Other Funds Ltd	-	(596,083)	(596,083)	-	-	-
6400 Federal Funds Ltd	-	(16,983)	(16,983)	-	-	-

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**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Public Utility Commission**

Cross Reference Number: 86000-000-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
All Funds	-	(613,066)	(613,066)	-	-	-
P.S. BUDGET ADJUSTMENTS						
3400 Other Funds Ltd	-	(365,981)	(213,354)	(137,924)	(141,534)	-
6400 Federal Funds Ltd	-	3,767	3,767	(4,310)	(4,310)	-
TOTAL P.S. BUDGET ADJUSTMENTS	-	(\$362,214)	(\$209,587)	(\$142,234)	(\$145,844)	-
PERSONAL SERVICES						
3400 Other Funds Ltd	19,961,547	23,271,505	24,115,853	26,259,132	26,259,132	-
6400 Federal Funds Ltd	1,038,048	717,034	746,667	600,731	600,731	-
TOTAL PERSONAL SERVICES	\$20,999,595	\$23,988,539	\$24,862,520	\$26,859,863	\$26,859,863	-
SERVICES & SUPPLIES						
4100 Instate Travel						
3400 Other Funds Ltd	158,984	154,939	144,939	162,380	162,380	-
6400 Federal Funds Ltd	54,811	32,525	32,525	30,709	30,709	-
All Funds	213,795	187,464	177,464	193,089	193,089	-
4125 Out of State Travel						
3400 Other Funds Ltd	134,723	158,458	153,458	160,625	160,625	-
6400 Federal Funds Ltd	14,077	11,868	11,868	11,205	11,205	-
All Funds	148,800	170,326	165,326	171,830	171,830	-
4150 Employee Training						
3400 Other Funds Ltd	135,489	302,126	287,126	300,907	300,907	-
6400 Federal Funds Ltd	11,764	16,330	16,330	15,418	15,418	-
All Funds	147,253	318,456	303,456	316,325	316,325	-
4175 Office Expenses						

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Public Utility Commission

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3200 Other Funds Non-Ltd	5,483	1,628	1,628	1,677	1,677	-
3400 Other Funds Ltd	222,655	332,831	322,831	348,468	348,468	-
6400 Federal Funds Ltd	145	5,049	5,049	4,767	4,767	-
All Funds	228,283	339,508	329,508	354,912	354,912	-
4200 Telecommunications						
3200 Other Funds Non-Ltd	250	-	-	-	-	-
3400 Other Funds Ltd	236,501	209,494	209,494	221,227	221,227	-
6400 Federal Funds Ltd	5,670	3,481	3,481	3,286	3,286	-
All Funds	242,421	212,975	212,975	224,513	224,513	-
4225 State Gov. Service Charges						
3200 Other Funds Non-Ltd	588	438	438	399	399	-
3400 Other Funds Ltd	446,341	394,729	394,729	865,574	770,247	-
All Funds	446,929	395,167	395,167	865,973	770,646	-
4250 Data Processing						
3400 Other Funds Ltd	115,333	301,981	301,981	311,040	311,040	-
6400 Federal Funds Ltd	18	-	-	-	-	-
All Funds	115,351	301,981	301,981	311,040	311,040	-
4275 Publicity and Publications						
3400 Other Funds Ltd	43,491	79,771	74,771	73,979	73,979	-
6400 Federal Funds Ltd	-	629	629	594	594	-
All Funds	43,491	80,400	75,400	74,573	74,573	-
4300 Professional Services						
3200 Other Funds Non-Ltd	115,426	-	-	112,946	112,946	-

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<i>Description</i>	<i>2011-13 Actuals</i>	<i>2013-15 Leg Adopted Budget</i>	<i>2013-15 Leg Approved Budget</i>	<i>2015-17 Agency Request Budget</i>	<i>2015-17 Governor's Budget</i>	<i>2015-17 Leg Adopted Budget</i>
3400 Other Funds Ltd	4,025,304	6,741,609	6,741,609	6,690,140	6,690,140	-
6400 Federal Funds Ltd	589,834	-	-	-	-	-
All Funds	4,730,564	6,741,609	6,741,609	6,803,086	6,803,086	-
4315 IT Professional Services						
3200 Other Funds Non-Ltd	71,585	-	-	76,220	76,220	-
3400 Other Funds Ltd	58,310	-	-	170,458	170,458	-
All Funds	129,895	-	-	246,678	246,678	-
4325 Attorney General						
3400 Other Funds Ltd	1,885,100	2,377,372	2,372,372	2,827,868	2,695,312	-
6400 Federal Funds Ltd	9,812	-	-	-	-	-
All Funds	1,894,912	2,377,372	2,372,372	2,827,868	2,695,312	-
4375 Employee Recruitment and Develop						
3400 Other Funds Ltd	37,373	30,154	30,154	33,906	33,906	-
6400 Federal Funds Ltd	-	2,321	2,321	2,192	2,192	-
All Funds	37,373	32,475	32,475	36,098	36,098	-
4400 Dues and Subscriptions						
3400 Other Funds Ltd	241,840	195,832	192,832	198,682	198,682	-
6400 Federal Funds Ltd	417	762	762	720	720	-
All Funds	242,257	196,594	193,594	199,402	199,402	-
4425 Facilities Rental and Taxes						
3400 Other Funds Ltd	1,113,269	1,302,788	1,302,788	1,408,731	1,582,173	-
6400 Federal Funds Ltd	10,973	13,057	13,057	12,328	13,886	-
All Funds	1,124,242	1,315,845	1,315,845	1,421,059	1,596,059	-

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4475 Facilities Maintenance						
3400 Other Funds Ltd	32,517	-	-	25,600	25,600	-
4575 Agency Program Related S and S						
3400 Other Funds Ltd	5,683,337	3,834,561	3,834,561	3,949,598	3,949,598	-
6400 Federal Funds Ltd	525	-	-	-	-	-
All Funds	5,683,862	3,834,561	3,834,561	3,949,598	3,949,598	-
4650 Other Services and Supplies						
3200 Other Funds Non-Ltd	26	269,699	269,699	88,624	88,624	-
3400 Other Funds Ltd	27,074	196,378	191,378	200,218	200,218	-
6400 Federal Funds Ltd	2,863	14,423	14,423	13,618	13,618	-
All Funds	29,963	480,500	475,500	302,460	302,460	-
4675 Undistributed (S.S.)						
3400 Other Funds Ltd	-	(73,028)	-	-	-	-
4700 Expendable Prop 250 - 5000						
3400 Other Funds Ltd	31,708	164,446	156,519	85,735	85,735	-
6400 Federal Funds Ltd	135	2,627	2,627	2,481	2,481	-
All Funds	31,843	167,073	159,146	88,216	88,216	-
4715 IT Expendable Property						
3400 Other Funds Ltd	155,624	-	-	83,945	83,945	-
6400 Federal Funds Ltd	2,843	-	-	-	-	-
All Funds	158,467	-	-	83,945	83,945	-
SERVICES & SUPPLIES						
3200 Other Funds Non-Ltd	193,358	271,765	271,765	279,866	279,866	-

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3400 Other Funds Ltd	14,784,973	16,704,441	16,711,542	18,119,081	18,064,640	-
6400 Federal Funds Ltd	703,887	103,072	103,072	97,318	98,876	-
TOTAL SERVICES & SUPPLIES	\$15,682,218	\$17,079,278	\$17,086,379	\$18,496,265	\$18,443,382	-
CAPITAL OUTLAY						
5150 Telecommunications Equipment						
3400 Other Funds Ltd	21,980	-	-	-	-	-
5200 Technical Equipment						
3400 Other Funds Ltd	141,029	-	-	-	-	-
5600 Data Processing Hardware						
3400 Other Funds Ltd	199	75,074	73,783	75,996	75,996	-
5950 Undistributed (C.O.)						
3400 Other Funds Ltd	-	(1,291)	-	-	-	-
CAPITAL OUTLAY						
3400 Other Funds Ltd	163,208	73,783	73,783	75,996	75,996	-
TOTAL CAPITAL OUTLAY	\$163,208	\$73,783	\$73,783	\$75,996	\$75,996	-
SPECIAL PAYMENTS						
6025 Dist to Other Gov Unit						
6400 Federal Funds Ltd	-	474,112	474,112	-	-	-
6030 Dist to Non-Gov Units						
3200 Other Funds Non-Ltd	75,084,626	79,000,000	79,000,000	66,000,000	66,000,000	-
6400 Federal Funds Ltd	634,158	165,678	165,678	-	-	-
All Funds	75,718,784	79,165,678	79,165,678	66,000,000	66,000,000	-
6107 Spc Pmt to Administrative Svcs						

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Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
6400 Federal Funds Ltd	742,039	885,000	885,000	-	-	-
6123 Spc Pmt to OR Business Development						
6400 Federal Funds Ltd	-	99,471	99,471	-	-	-
6632 Spc Pmt to Geology/Mineral Ind						
3400 Other Funds Ltd	91,684	-	-	-	-	-
SPECIAL PAYMENTS						
3200 Other Funds Non-Ltd	75,084,626	79,000,000	79,000,000	66,000,000	66,000,000	-
3400 Other Funds Ltd	91,684	-	-	-	-	-
6400 Federal Funds Ltd	1,376,197	1,624,261	1,624,261	-	-	-
TOTAL SPECIAL PAYMENTS	\$76,552,507	\$80,624,261	\$80,624,261	\$66,000,000	\$66,000,000	-
EXPENDITURES						
3200 Other Funds Non-Ltd	75,277,984	79,271,765	79,271,765	66,279,866	66,279,866	-
3400 Other Funds Ltd	35,001,412	40,049,729	40,901,178	44,454,209	44,399,768	-
6400 Federal Funds Ltd	3,118,132	2,444,367	2,474,000	698,049	699,607	-
TOTAL EXPENDITURES	\$113,397,528	\$121,765,861	\$122,646,943	\$111,432,124	\$111,379,241	-
ENDING BALANCE						
3200 Other Funds Non-Ltd	13,075,427	15,401,038	15,401,038	8,959,957	8,959,957	-
3400 Other Funds Ltd	18,855,159	15,658,948	14,807,499	10,013,140	10,067,581	-
6400 Federal Funds Ltd	165,210	20,096	20,096	-	-	-
TOTAL ENDING BALANCE	\$32,095,796	\$31,080,082	\$30,228,633	\$18,973,097	\$19,027,538	-
AUTHORIZED POSITIONS						
8150 Class/Unclass Positions	131	130	129	128	128	-
8180 Position Reconciliation	-	1	1	-	-	-

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<i>Description</i>	<i>2011-13 Actuals</i>	<i>2013-15 Leg Adopted Budget</i>	<i>2013-15 Leg Approved Budget</i>	<i>2015-17 Agency Request Budget</i>	<i>2015-17 Governor's Budget</i>	<i>2015-17 Leg Adopted Budget</i>
TOTAL AUTHORIZED POSITIONS	131	131	130	128	128	-
AUTHORIZED FTE						
8250 Class/Unclass FTE Positions	127.51	126.97	125.97	125.97	126.01	-
8280 FTE Reconciliation	-	1.28	1.28	-	(0.04)	-
TOTAL AUTHORIZED FTE	127.51	128.25	127.25	125.97	125.97	-

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Utility Regulation**

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Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
BEGINNING BALANCE						
0025 Beginning Balance						
3200 Other Funds Non-Ltd	18,969,007	15,288,502	15,288,502	9,022,427	9,022,427	-
3400 Other Funds Ltd	14,815,633	13,174,435	13,174,435	12,599,325	12,599,325	-
6400 Federal Funds Ltd	-	37,937	37,937	20,096	20,096	-
All Funds	33,784,640	28,500,874	28,500,874	21,641,848	21,641,848	-
0030 Beginning Balance Adjustment						
3200 Other Funds Non-Ltd	224	-	-	-	-	-
3400 Other Funds Ltd	434,539	-	-	-	-	-
All Funds	434,763	-	-	-	-	-
BEGINNING BALANCE						
3200 Other Funds Non-Ltd	18,969,231	15,288,502	15,288,502	9,022,427	9,022,427	-
3400 Other Funds Ltd	15,250,172	13,174,435	13,174,435	12,599,325	12,599,325	-
6400 Federal Funds Ltd	-	37,937	37,937	20,096	20,096	-
TOTAL BEGINNING BALANCE	\$34,219,403	\$28,500,874	\$28,500,874	\$21,641,848	\$21,641,848	-
REVENUE CATEGORIES						
TAXES						
0190 Other Selective Taxes						
3200 Other Funds Non-Ltd	331	-	-	-	-	-
LICENSES AND FEES						
0240 Public Utilities Fees						
3200 Other Funds Non-Ltd	69,152,379	79,000,000	79,000,000	66,000,000	66,000,000	-
3400 Other Funds Ltd	23,676,972	26,852,751	26,852,751	26,149,460	26,149,460	-
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Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
All Funds	92,829,351	105,852,751	105,852,751	92,149,460	92,149,460	-
FEDERAL FUNDS AS OTHER FUNDS						
0355 Federal Revenues						
3400 Other Funds Ltd	-	-	-	-	85,000	-
CHARGES FOR SERVICES						
0410 Charges for Services						
3400 Other Funds Ltd	87,881	88,000	88,000	91,700	91,700	-
FINES, RENTS AND ROYALTIES						
0505 Fines and Forfeitures						
3200 Other Funds Non-Ltd	70,081	48,301	48,301	68,580	68,580	-
3400 Other Funds Ltd	16,889	38,000	38,000	16,235	16,235	-
All Funds	86,770	86,301	86,301	84,815	84,815	-
INTEREST EARNINGS						
0605 Interest Income						
3200 Other Funds Non-Ltd	181,389	336,000	336,000	148,816	148,816	-
OTHER						
0975 Other Revenues						
3400 Other Funds Ltd	57,310	5,000	5,000	41,000	41,000	-
FEDERAL FUNDS REVENUE						
0995 Federal Funds						
6400 Federal Funds Ltd	3,548,682	2,463,075	2,492,708	817,562	819,120	-
TRANSFERS IN						
1020 Transfer In - Indirect Cost						

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Utility Regulation

Cross Reference Number: 86000-001-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3400 Other Funds Ltd	156,906	109,656	109,656	139,609	139,609	-
1330 Tsfr From Energy, Dept of						
3400 Other Funds Ltd	109,854	-	-	-	-	-
TRANSFERS IN						
3400 Other Funds Ltd	266,760	109,656	109,656	139,609	139,609	-
TOTAL TRANSFERS IN	\$266,760	\$109,656	\$109,656	\$139,609	\$139,609	-
REVENUE CATEGORIES						
3200 Other Funds Non-Ltd	69,384,180	79,384,301	79,384,301	66,217,396	66,217,396	-
3400 Other Funds Ltd	24,105,612	27,093,407	27,093,407	26,438,004	26,523,004	-
6400 Federal Funds Ltd	3,548,682	2,463,075	2,492,708	817,562	819,120	-
TOTAL REVENUE CATEGORIES	\$97,038,474	\$108,940,783	\$108,970,416	\$93,472,962	\$93,559,520	-
TRANSFERS OUT						
2010 Transfer Out - Intrafund						
3400 Other Funds Ltd	(9,580,082)	(12,065,467)	(12,065,467)	(12,404,060)	(12,404,060)	-
2020 Transfer Out - Indirect Cost						
3400 Other Funds Ltd	(62,762)	(73,107)	(73,107)	-	-	-
6400 Federal Funds Ltd	(94,144)	(36,549)	(36,549)	(139,609)	(139,609)	-
All Funds	(156,906)	(109,656)	(109,656)	(139,609)	(139,609)	-
2050 Transfer to Other						
3400 Other Funds Ltd	-	-	-	-	(85,000)	-
2123 Tsfr To OR Business Development						
6400 Federal Funds Ltd	(171,196)	-	-	-	-	-
TRANSFERS OUT						

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3400 Other Funds Ltd	(9,642,844)	(12,138,574)	(12,138,574)	(12,404,060)	(12,489,060)	-
6400 Federal Funds Ltd	(265,340)	(36,549)	(36,549)	(139,609)	(139,609)	-
TOTAL TRANSFERS OUT	(\$9,908,184)	(\$12,175,123)	(\$12,175,123)	(\$12,543,669)	(\$12,628,669)	-
AVAILABLE REVENUES						
3200 Other Funds Non-Ltd	88,353,411	94,672,803	94,672,803	75,239,823	75,239,823	-
3400 Other Funds Ltd	29,712,940	28,129,268	28,129,268	26,633,269	26,633,269	-
6400 Federal Funds Ltd	3,283,342	2,464,463	2,494,096	698,049	699,607	-
TOTAL AVAILABLE REVENUES	\$121,349,693	\$125,266,534	\$125,296,167	\$102,571,141	\$102,572,699	-
EXPENDITURES						
PERSONAL SERVICES						
SALARIES & WAGES						
3110 Class/Unclass Sal. and Per Diem						
3400 Other Funds Ltd	7,391,687	9,056,237	9,446,159	10,304,466	10,316,751	-
6400 Federal Funds Ltd	713,574	471,689	491,686	395,283	395,283	-
All Funds	8,105,261	9,527,926	9,937,845	10,699,749	10,712,034	-
3160 Temporary Appointments						
3400 Other Funds Ltd	12,359	27,907	27,907	28,744	28,744	-
3170 Overtime Payments						
3400 Other Funds Ltd	652	449	449	462	462	-
3190 All Other Differential						
3400 Other Funds Ltd	16,679	56,823	56,823	58,531	58,531	-
6400 Federal Funds Ltd	4,506	30	30	28	28	-
All Funds	21,185	56,853	56,853	58,559	58,559	-

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Utility Regulation

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
SALARIES & WAGES						
3400 Other Funds Ltd	7,421,377	9,141,416	9,531,338	10,392,203	10,404,488	-
6400 Federal Funds Ltd	718,080	471,719	491,716	395,311	395,311	-
TOTAL SALARIES & WAGES	\$8,139,457	\$9,613,135	\$10,023,054	\$10,787,514	\$10,799,799	-
OTHER PAYROLL EXPENSES						
3210 Empl. Rel. Bd. Assessments						
3400 Other Funds Ltd	2,470	2,937	2,937	3,258	3,258	-
6400 Federal Funds Ltd	244	143	143	130	130	-
All Funds	2,714	3,080	3,080	3,388	3,388	-
3220 Public Employees' Retire Cont						
3400 Other Funds Ltd	1,041,886	1,336,956	1,394,158	1,636,392	1,638,331	-
6400 Federal Funds Ltd	97,233	69,200	72,133	62,420	62,420	-
All Funds	1,139,119	1,406,156	1,466,291	1,698,812	1,700,751	-
3221 Pension Obligation Bond						
3400 Other Funds Ltd	438,533	544,129	552,544	641,386	641,386	-
6400 Federal Funds Ltd	41,228	25,022	28,600	24,470	24,470	-
All Funds	479,761	569,151	581,144	665,856	665,856	-
3230 Social Security Taxes						
3400 Other Funds Ltd	553,398	699,321	729,150	795,002	795,942	-
6400 Federal Funds Ltd	54,259	36,087	37,617	30,243	30,243	-
All Funds	607,657	735,408	766,767	825,245	826,185	-
3240 Unemployment Assessments						
3400 Other Funds Ltd	26,329	1,921	1,921	1,921	1,921	-

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Utility Regulation

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
6400 Federal Funds Ltd	-	1,535	1,535	1,535	1,535	-
All Funds	26,329	3,456	3,456	3,456	3,456	-
3250 Worker's Comp. Assess. (WCD)						
3400 Other Funds Ltd	2,987	4,334	4,334	5,108	5,108	-
6400 Federal Funds Ltd	262	209	209	205	205	-
All Funds	3,249	4,543	4,543	5,313	5,313	-
3260 Mass Transit Tax						
3400 Other Funds Ltd	44,714	53,294	55,634	62,353	62,353	-
3270 Flexible Benefits						
3400 Other Funds Ltd	1,841,857	2,241,304	2,273,990	2,259,929	2,259,929	-
6400 Federal Funds Ltd	126,742	109,352	110,947	90,727	90,727	-
All Funds	1,968,599	2,350,656	2,384,937	2,350,656	2,350,656	-
OTHER PAYROLL EXPENSES						
3400 Other Funds Ltd	3,952,174	4,884,196	5,014,668	5,405,349	5,408,228	-
6400 Federal Funds Ltd	319,968	241,548	251,184	209,730	209,730	-
TOTAL OTHER PAYROLL EXPENSES	\$4,272,142	\$5,125,744	\$5,265,852	\$5,615,079	\$5,617,958	-
P.S. BUDGET ADJUSTMENTS						
3455 Vacancy Savings						
3400 Other Funds Ltd	-	(185,475)	(185,475)	(82,793)	(82,793)	-
6400 Federal Funds Ltd	-	-	-	(4,310)	(4,310)	-
All Funds	-	(185,475)	(185,475)	(87,103)	(87,103)	-
3465 Reconciliation Adjustment						
3400 Other Funds Ltd	-	11,567	11,567	-	(15,164)	-

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Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Utility Regulation

Cross Reference Number: 86000-001-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
6400 Federal Funds Ltd	-	20,750	20,750	-	-	-
All Funds	-	32,317	32,317	-	(15,164)	-
3991 PERS Policy Adjustment						
3400 Other Funds Ltd	-	(333,809)	(333,809)	-	-	-
6400 Federal Funds Ltd	-	(16,983)	(16,983)	-	-	-
All Funds	-	(350,792)	(350,792)	-	-	-
P.S. BUDGET ADJUSTMENTS						
3400 Other Funds Ltd	-	(507,717)	(507,717)	(82,793)	(97,957)	-
6400 Federal Funds Ltd	-	3,767	3,767	(4,310)	(4,310)	-
TOTAL P.S. BUDGET ADJUSTMENTS	-	(\$503,950)	(\$503,950)	(\$87,103)	(\$102,267)	-
PERSONAL SERVICES						
3400 Other Funds Ltd	11,373,551	13,517,895	14,038,289	15,714,759	15,714,759	-
6400 Federal Funds Ltd	1,038,048	717,034	746,667	600,731	600,731	-
TOTAL PERSONAL SERVICES	\$12,411,599	\$14,234,929	\$14,784,956	\$16,315,490	\$16,315,490	-
SERVICES & SUPPLIES						
4100 Instate Travel						
3400 Other Funds Ltd	127,235	113,561	113,561	130,060	130,060	-
6400 Federal Funds Ltd	54,811	32,525	32,525	30,709	30,709	-
All Funds	182,046	146,086	146,086	160,769	160,769	-
4125 Out of State Travel						
3400 Other Funds Ltd	84,911	97,000	97,000	100,929	100,929	-
6400 Federal Funds Ltd	14,077	11,868	11,868	11,205	11,205	-
All Funds	98,988	108,868	108,868	112,134	112,134	-

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Utility Regulation**

Cross Reference Number: 86000-001-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
4150 Employee Training						
3400 Other Funds Ltd	92,544	166,171	166,171	172,558	172,558	-
6400 Federal Funds Ltd	11,764	16,330	16,330	15,418	15,418	-
All Funds	104,308	182,501	182,501	187,976	187,976	-
4175 Office Expenses						
3200 Other Funds Non-Ltd	5,483	1,628	1,628	1,677	1,677	-
3400 Other Funds Ltd	46,488	79,317	79,317	76,980	76,980	-
6400 Federal Funds Ltd	145	5,049	5,049	4,767	4,767	-
All Funds	52,116	85,994	85,994	83,424	83,424	-
4200 Telecommunications						
3200 Other Funds Non-Ltd	250	-	-	-	-	-
3400 Other Funds Ltd	133,235	55,814	55,814	57,787	57,787	-
6400 Federal Funds Ltd	5,670	3,481	3,481	3,286	3,286	-
All Funds	139,155	59,295	59,295	61,073	61,073	-
4225 State Gov. Service Charges						
3200 Other Funds Non-Ltd	588	438	438	399	399	-
3400 Other Funds Ltd	1,362	5,073	5,073	353	353	-
All Funds	1,950	5,511	5,511	752	752	-
4250 Data Processing						
3400 Other Funds Ltd	90,388	-	-	-	-	-
6400 Federal Funds Ltd	18	-	-	-	-	-
All Funds	90,406	-	-	-	-	-
4275 Publicity and Publications						

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**Budget Support - Detail Revenues and Expenditures
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Utility Regulation**

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Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3400 Other Funds Ltd	6,358	18,291	18,291	18,894	18,894	-
6400 Federal Funds Ltd	-	629	629	594	594	-
All Funds	6,358	18,920	18,920	19,488	19,488	-
4300 Professional Services						
3200 Other Funds Non-Ltd	115,426	-	-	112,946	112,946	-
3400 Other Funds Ltd	237,008	65,488	65,488	42,649	42,649	-
6400 Federal Funds Ltd	589,834	-	-	-	-	-
All Funds	942,268	65,488	65,488	155,595	155,595	-
4315 IT Professional Services						
3200 Other Funds Non-Ltd	71,585	-	-	76,220	76,220	-
3400 Other Funds Ltd	14,192	-	-	20,000	20,000	-
All Funds	85,777	-	-	96,220	96,220	-
4325 Attorney General						
3400 Other Funds Ltd	688,858	917,942	917,942	1,094,187	1,042,897	-
6400 Federal Funds Ltd	9,812	-	-	-	-	-
All Funds	698,670	917,942	917,942	1,094,187	1,042,897	-
4375 Employee Recruitment and Develop						
3400 Other Funds Ltd	29,807	28,173	28,173	29,217	29,217	-
6400 Federal Funds Ltd	-	2,321	2,321	2,192	2,192	-
All Funds	29,807	30,494	30,494	31,409	31,409	-
4400 Dues and Subscriptions						
3400 Other Funds Ltd	141,028	139,872	139,872	144,133	144,133	-
6400 Federal Funds Ltd	417	762	762	720	720	-

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**Budget Support - Detail Revenues and Expenditures
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Utility Regulation**

Cross Reference Number: 86000-001-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
All Funds	141,445	140,634	140,634	144,853	144,853	-
4425 Facilities Rental and Taxes						
3400 Other Funds Ltd	624,879	736,369	736,369	808,885	910,507	-
6400 Federal Funds Ltd	10,973	13,057	13,057	12,328	13,886	-
All Funds	635,852	749,426	749,426	821,213	924,393	-
4475 Facilities Maintenance						
3400 Other Funds Ltd	11,174	-	-	5,000	5,000	-
4575 Agency Program Related S and S						
3400 Other Funds Ltd	19,896	3,332	3,332	3,432	3,432	-
6400 Federal Funds Ltd	525	-	-	-	-	-
All Funds	20,421	3,332	3,332	3,432	3,432	-
4650 Other Services and Supplies						
3200 Other Funds Non-Ltd	26	269,699	269,699	88,624	88,624	-
3400 Other Funds Ltd	10,981	41,597	41,597	33,783	33,783	-
6400 Federal Funds Ltd	2,863	14,423	14,423	13,618	13,618	-
All Funds	13,870	325,719	325,719	136,025	136,025	-
4700 Expendable Prop 250 - 5000						
3400 Other Funds Ltd	12,696	9,459	9,459	9,968	9,968	-
6400 Federal Funds Ltd	135	2,627	2,627	2,481	2,481	-
All Funds	12,831	12,086	12,086	12,449	12,449	-
4715 IT Expendable Property						
3400 Other Funds Ltd	60,994	-	-	5,150	5,150	-
6400 Federal Funds Ltd	2,643	-	-	-	-	-

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Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
All Funds	63,837	-	-	5,150	5,150	-
SERVICES & SUPPLIES						
3200 Other Funds Non-Ltd	193,358	271,765	271,765	279,866	279,866	-
3400 Other Funds Ltd	2,434,034	2,477,459	2,477,459	2,753,965	2,804,297	-
6400 Federal Funds Ltd	703,887	103,072	103,072	97,318	98,876	-
TOTAL SERVICES & SUPPLIES	\$3,331,279	\$2,852,296	\$2,852,296	\$3,131,149	\$3,183,039	-
CAPITAL OUTLAY						
5150 Telecommunications Equipment						
3400 Other Funds Ltd	21,980	-	-	-	-	-
SPECIAL PAYMENTS						
6025 Dist to Other Gov Unit						
6400 Federal Funds Ltd	-	474,112	474,112	-	-	-
6030 Dist to Non-Gov Units						
3200 Other Funds Non-Ltd	75,084,626	79,000,000	79,000,000	66,000,000	66,000,000	-
6400 Federal Funds Ltd	634,158	165,678	165,678	-	-	-
All Funds	75,718,784	79,165,678	79,165,678	66,000,000	66,000,000	-
6107 Spc Pmt to Administrative Svcs						
6400 Federal Funds Ltd	742,039	885,000	885,000	-	-	-
6123 Spc Pmt to OR Business Development						
6400 Federal Funds Ltd	-	99,471	99,471	-	-	-
6632 Spc Pmt to Geology/Mineral Ind						
3400 Other Funds Ltd	91,884	-	-	-	-	-
SPECIAL PAYMENTS						

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Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3200 Other Funds Non-Ltd	75,084,626	79,000,000	79,000,000	66,000,000	66,000,000	-
3400 Other Funds Ltd	91,684	-	-	-	-	-
6400 Federal Funds Ltd	1,376,197	1,624,261	1,624,261	-	-	-
TOTAL SPECIAL PAYMENTS	\$76,552,507	\$80,624,261	\$80,624,261	\$66,000,000	\$66,000,000	-
EXPENDITURES						
3200 Other Funds Non-Ltd	75,277,984	79,271,765	79,271,765	66,279,866	66,279,866	-
3400 Other Funds Ltd	13,921,249	15,995,354	16,515,748	18,468,724	18,519,056	-
6400 Federal Funds Ltd	3,118,132	2,444,367	2,474,000	698,049	699,607	-
TOTAL EXPENDITURES	\$92,317,365	\$97,711,486	\$98,261,513	\$85,446,639	\$85,498,529	-
ENDING BALANCE						
3200 Other Funds Non-Ltd	13,075,427	15,401,038	15,401,038	8,959,957	8,959,957	-
3400 Other Funds Ltd	15,791,691	12,133,914	11,613,520	8,164,545	8,114,213	-
6400 Federal Funds Ltd	165,210	20,096	20,096	-	-	-
TOTAL ENDING BALANCE	\$29,032,328	\$27,555,048	\$27,034,654	\$17,124,502	\$17,074,170	-
AUTHORIZED POSITIONS						
8150 Class/Unclass Positions	78	77	77	77	77	-
8180 Position Reconciliation	-	(2)	(2)	-	-	-
TOTAL AUTHORIZED POSITIONS	78	75	75	77	77	-
AUTHORIZED FTE						
8250 Class/Unclass FTE Positions	76.25	76.21	76.21	76.21	76.25	-
8280 FTE Reconciliation	-	(1.96)	(1.96)	-	(0.04)	-
TOTAL AUTHORIZED FTE	76.25	74.25	74.25	76.21	76.21	-

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Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 86000-003-00-00-00000

2015-17 Biennium

Residential Service Protection

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
BEGINNING BALANCE						
0025 Beginning Balance						
3400 Other Funds Ltd	1,118,410	1,790,067	1,790,067	3,667,002	3,667,002	-
0030 Beginning Balance Adjustment						
3400 Other Funds Ltd	(157,486)	-	-	-	-	-
BEGINNING BALANCE						
3400 Other Funds Ltd	980,924	1,790,067	1,790,067	3,667,002	3,667,002	-
TOTAL BEGINNING BALANCE	\$960,924	\$1,790,067	\$1,790,067	\$3,667,002	\$3,667,002	-
REVENUE CATEGORIES						
TAXES						
0190 Other Selective Taxes						
3400 Other Funds Ltd	12,851,880	11,988,139	11,988,139	10,376,214	10,376,214	-
LICENSES AND FEES						
0240 Public Utilities Fees						
3400 Other Funds Ltd	260	-	-	-	-	-
FINES, RENTS AND ROYALTIES						
0505 Fines and Forfeitures						
3400 Other Funds Ltd	27,335	-	-	-	-	-
INTEREST EARNINGS						
0605 Interest Income						
3400 Other Funds Ltd	27,255	17,197	17,197	31,384	31,384	-
REVENUE CATEGORIES						
3400 Other Funds Ltd	12,906,730	11,985,336	11,985,336	10,407,598	10,407,598	-

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Residential Service Protection

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
TOTAL REVENUE CATEGORIES	\$12,906,730	\$11,985,336	\$11,985,336	\$10,407,598	\$10,407,598	-
TRANSFERS OUT						
2010 Transfer Out - Intrafund						
3400 Other Funds Ltd	(773,938)	(775,459)	(775,459)	(1,378,229)	(1,378,229)	-
AVAILABLE REVENUES						
3400 Other Funds Ltd	13,093,716	12,999,944	12,999,944	12,696,371	12,696,371	-
TOTAL AVAILABLE REVENUES	\$13,093,716	\$12,999,944	\$12,999,944	\$12,696,371	\$12,696,371	-
EXPENDITURES						
PERSONAL SERVICES						
SALARIES & WAGES						
3110 Class/Unclass Sal. and Per Diem						
3400 Other Funds Ltd	493,146	627,240	654,966	651,912	651,912	-
3160 Temporary Appointments						
3400 Other Funds Ltd	18,593	-	-	-	-	-
3170 Overtime Payments						
3400 Other Funds Ltd	1,153	-	-	-	-	-
3190 All Other Differential						
3400 Other Funds Ltd	6,441	6,124	6,124	6,308	6,308	-
SALARIES & WAGES						
3400 Other Funds Ltd	519,333	633,364	661,090	658,220	658,220	-
TOTAL SALARIES & WAGES	\$519,333	\$633,364	\$661,090	\$658,220	\$658,220	-
OTHER PAYROLL EXPENSES						
3210 Empl. Rel. Bd. Assessments						

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Budget Support - Detail Revenues and Expenditures

Gross Reference Number: 86000-003-00-00-00000

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Residential Service Protection

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3400 Other Funds Ltd	291	360	360	352	352	-
3220 Public Employees' Retire Cont						
3400 Other Funds Ltd	65,534	92,914	96,981	103,934	103,934	-
3221 Pension Obligation Bond						
3400 Other Funds Ltd	28,603	35,736	38,400	40,737	40,737	-
3230 Social Security Taxes						
3400 Other Funds Ltd	38,548	48,453	50,574	50,353	50,353	-
3240 Unemployment Assessments						
3400 Other Funds Ltd	3,360	-	-	-	-	-
3250 Worker's Comp. Assess. (WCD)						
3400 Other Funds Ltd	346	531	531	552	552	-
3260 Mass Transit Tax						
3400 Other Funds Ltd	3,112	2,945	3,111	3,949	3,949	-
3270 Flexible Benefits						
3400 Other Funds Ltd	196,584	274,752	278,759	244,224	244,224	-
OTHER PAYROLL EXPENSES						
3400 Other Funds Ltd	336,378	455,691	468,716	444,101	444,101	-
TOTAL OTHER PAYROLL EXPENSES	\$336,378	\$455,691	\$468,716	\$444,101	\$444,101	-
P.S. BUDGET ADJUSTMENTS						
3455 Vacancy Savings						
3400 Other Funds Ltd	-	(52,993)	(52,993)	(5,734)	(5,734)	-
3465 Reconciliation Adjustment						
3400 Other Funds Ltd	-	(73,666)	(73,666)	-	-	-

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**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium**

Cross Reference Number: 86000-003-00-00-00000

Residential Service Protection

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3991 PERS Policy Adjustment						
3400 Other Funds Ltd	-	(20,736)	(20,736)	-	-	-
P.S. BUDGET ADJUSTMENTS						
3400 Other Funds Ltd	-	(147,395)	(147,395)	(5,734)	(5,734)	-
TOTAL P.S. BUDGET ADJUSTMENTS	-	(\$147,395)	(\$147,395)	(\$5,734)	(\$5,734)	-
PERSONAL SERVICES						
3400 Other Funds Ltd	855,711	941,660	982,411	1,096,587	1,096,587	-
TOTAL PERSONAL SERVICES	\$855,711	\$941,660	\$982,411	\$1,096,587	\$1,096,587	-
SERVICES & SUPPLIES						
4100 Instate Travel						
3400 Other Funds Ltd	1,973	12,122	12,122	12,486	12,486	-
4125 Out of State Travel						
3400 Other Funds Ltd	704	5,115	5,115	5,268	5,268	-
4150 Employee Training						
3400 Other Funds Ltd	1,478	11,841	11,841	12,196	12,196	-
4175 Office Expenses						
3400 Other Funds Ltd	75,681	70,871	70,871	88,447	88,447	-
4200 Telecommunications						
3400 Other Funds Ltd	14,929	21,835	21,835	22,490	22,490	-
4225 State Gov. Service Charges						
3400 Other Funds Ltd	629	424	424	432	432	-
4250 Data Processing						
3400 Other Funds Ltd	73	239	239	246	246	-

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2015-17 Biennium

Residential Service Protection

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
4275 Publicity and Publications						
3400 Other Funds Ltd	15,797	26,317	26,317	27,107	27,107	-
4300 Professional Services						
3400 Other Funds Ltd	3,741,582	5,871,365	5,871,365	6,065,120	6,065,120	-
4315 IT Professional Services						
3400 Other Funds Ltd	44,118	-	-	-	-	-
4325 Attorney General						
3400 Other Funds Ltd	10,831	13,473	13,473	112,034	106,782	-
4400 Dues and Subscriptions						
3400 Other Funds Ltd	682	1,118	1,118	1,152	1,152	-
4425 Facilities Rental and Taxes						
3400 Other Funds Ltd	60,533	70,368	70,368	72,479	81,579	-
4475 Facilities Maintenance						
3400 Other Funds Ltd	6,202	-	-	5,150	5,150	-
4575 Agency Program Related S and S						
3400 Other Funds Ltd	5,661,443	3,698,952	3,698,952	3,809,921	3,809,921	-
4650 Other Services and Supplies						
3400 Other Funds Ltd	1,553	101,043	101,043	83,474	83,474	-
4700 Expendable Prop 250 - 5000						
3400 Other Funds Ltd	1,824	11,985	11,985	12,345	12,345	-
4715 IT Expendable Property						
3400 Other Funds Ltd	1,547	-	-	-	-	-
SERVICES & SUPPLIES						

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Residential Service Protection

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3400 Other Funds Ltd	9,641,579	9,917,068	9,917,068	10,330,347	10,334,195	-
TOTAL SERVICES & SUPPLIES	\$9,641,579	\$9,917,068	\$9,917,068	\$10,330,347	\$10,334,195	-
EXPENDITURES						
3400 Other Funds Ltd	10,497,290	10,858,728	10,899,479	11,426,934	11,430,782	-
TOTAL EXPENDITURES	\$10,497,290	\$10,858,728	\$10,899,479	\$11,426,934	\$11,430,782	-
ENDING BALANCE						
3400 Other Funds Ltd	2,596,426	2,141,216	2,100,465	1,269,437	1,265,589	-
TOTAL ENDING BALANCE	\$2,596,426	\$2,141,216	\$2,100,465	\$1,269,437	\$1,265,589	-
AUTHORIZED POSITIONS						
8150 Class/Unclass Positions	9	9	9	8	8	-
8180 Position Reconciliation	-	(1)	(1)	-	-	-
TOTAL AUTHORIZED POSITIONS	9	8	8	8	8	-
AUTHORIZED FTE						
8250 Class/Unclass FTE Positions	8.50	8.50	8.50	8.00	8.00	-
8280 FTE Reconciliation	-	(1.00)	(1.00)	-	-	-
TOTAL AUTHORIZED FTE	8.50	7.50	7.50	8.00	8.00	-

2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Policy and Administration**

Cross Reference Number: 86000-004-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
BEGINNING BALANCE						
0025 Beginning Balance						
3400 Other Funds Ltd	379,011	842,617	842,617	410,130	410,130	-
0030 Beginning Balance Adjustment						
3400 Other Funds Ltd	(134,442)	-	-	-	-	-
BEGINNING BALANCE						
3400 Other Funds Ltd	244,569	842,617	842,617	410,130	410,130	-
TOTAL BEGINNING BALANCE	\$244,569	\$842,617	\$842,617	\$410,130	\$410,130	-
REVENUE CATEGORIES						
CHARGES FOR SERVICES						
0410 Charges for Services						
3400 Other Funds Ltd	12,321	-	-	-	-	-
OTHER						
0975 Other Revenues						
3400 Other Funds Ltd	23,196	-	-	-	-	-
TRANSFERS IN						
1010 Transfer In - Intrafund						
3400 Other Funds Ltd	10,354,020	12,840,926	12,840,926	13,792,689	13,792,689	-
1662 Tsfr From Land Use Bd of Appls						
3400 Other Funds Ltd	15,679	25,502	25,502	-	-	-
TRANSFERS IN						
3400 Other Funds Ltd	10,369,699	12,866,428	12,866,428	13,792,689	13,792,689	-
TOTAL TRANSFERS IN	\$10,369,699	\$12,866,428	\$12,866,428	\$13,792,689	\$13,792,689	-

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Policy and Administration**

Cross Reference Number: 86000-004-00-00-00000

<i>Description</i>	<i>2011-13 Actuals</i>	<i>2013-15 Leg Adopted Budget</i>	<i>2013-15 Leg Approved Budget</i>	<i>2015-17 Agency Request Budget</i>	<i>2015-17 Governor's Budget</i>	<i>2015-17 Leg Adopted Budget</i>
REVENUE CATEGORIES						
3400 Other Funds Ltd	10,405,216	12,866,428	12,866,428	13,792,689	13,792,689	-
TOTAL REVENUE CATEGORIES	\$10,405,216	\$12,866,428	\$12,866,428	\$13,792,689	\$13,792,689	-
AVAILABLE REVENUES						
3400 Other Funds Ltd	10,649,785	13,709,045	13,709,045	14,202,819	14,202,819	-
TOTAL AVAILABLE REVENUES	\$10,649,785	\$13,709,045	\$13,709,045	\$14,202,819	\$14,202,819	-
EXPENDITURES						
PERSONAL SERVICES						
SALARIES & WAGES						
3110 Class/Unclass Sal. and Per Diem						
3400 Other Funds Ltd	4,877,219	5,202,621	5,376,022	5,845,821	5,836,461	-
3160 Temporary Appointments						
3400 Other Funds Ltd	47,774	112,280	56,130	57,814	57,814	-
3170 Overtime Payments						
3400 Other Funds Ltd	551	26,353	26,353	27,144	27,144	-
3180 Shift Differential						
3400 Other Funds Ltd	-	2,028	2,028	2,089	2,089	-
3190 All Other Differential						
3400 Other Funds Ltd	14,378	16,862	16,862	17,368	17,368	-
SALARIES & WAGES						
3400 Other Funds Ltd	4,939,922	5,360,124	5,477,395	5,950,236	5,940,876	-
TOTAL SALARIES & WAGES	\$4,939,922	\$5,360,124	\$5,477,395	\$5,950,236	\$5,940,876	-
OTHER PAYROLL EXPENSES						

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Public Utility Commission

Agency Number: 86000

**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Policy and Administration**

Cross Reference Number: 86000-004-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3210 Empl. Rel. Bd. Assessments						
3400 Other Funds Ltd	1,570	1,680	1,640	1,804	1,804	-
3220 Public Employees' Retire Cont						
3400 Other Funds Ltd	706,329	769,861	795,299	930,415	928,938	-
3221 Pension Obligation Bond						
3400 Other Funds Ltd	297,633	346,228	318,173	364,679	364,679	-
3230 Social Security Taxes						
3400 Other Funds Ltd	364,780	404,585	417,850	448,796	448,079	-
3240 Unemployment Assessments						
3400 Other Funds Ltd	2,465	6,658	6,658	6,658	6,658	-
3250 Worker's Comp. Assess. (WCD)						
3400 Other Funds Ltd	2,079	2,478	2,419	2,829	2,829	-
3260 Mass Transit Tax						
3400 Other Funds Ltd	29,658	34,310	35,350	35,701	35,701	-
3270 Flexible Benefits						
3400 Other Funds Ltd	1,202,074	1,282,176	1,266,703	1,251,648	1,251,648	-
OTHER PAYROLL EXPENSES						
3400 Other Funds Ltd	2,606,588	2,847,976	2,844,092	3,042,530	3,040,336	-
TOTAL OTHER PAYROLL EXPENSES	\$2,606,588	\$2,847,976	\$2,844,092	\$3,042,530	\$3,040,336	-
P.S. BUDGET ADJUSTMENTS						
3455 Vacancy Savings						
3400 Other Funds Ltd	-	(158,979)	(158,979)	(47,563)	(47,563)	-
3465 Reconciliation Adjustment						

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Public Utility Commission

Agency Number: 86000

**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Policy and Administration**

Cross Reference Number: 86000-004-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3400 Other Funds Ltd	-	823,842	823,842	-	11,554	-
3470 Undistributed (P.S.)						
3400 Other Funds Ltd	-	(152,627)	-	-	-	-
3991 PERS Policy Adjustment						
3400 Other Funds Ltd	-	(236,954)	(236,954)	-	-	-
P.S. BUDGET ADJUSTMENTS						
3400 Other Funds Ltd	-	275,282	427,909	(47,563)	(36,009)	-
TOTAL P.S. BUDGET ADJUSTMENTS	-	\$275,282	\$427,909	(\$47,563)	(\$36,009)	-
PERSONAL SERVICES						
3400 Other Funds Ltd	7,546,510	8,483,382	8,749,396	8,945,203	8,945,203	-
TOTAL PERSONAL SERVICES	\$7,546,510	\$8,483,382	\$8,749,396	\$8,945,203	\$8,945,203	-
SERVICES & SUPPLIES						
4100 Instate Travel						
3400 Other Funds Ltd	23,061	20,401	10,401	10,713	10,713	-
4125 Out of State Travel						
3400 Other Funds Ltd	47,925	56,343	51,343	52,883	52,883	-
4150 Employee Training						
3400 Other Funds Ltd	41,467	123,225	108,225	115,237	115,237	-
4175 Office Expenses						
3400 Other Funds Ltd	96,455	164,993	154,993	173,101	173,101	-
4200 Telecommunications						
3400 Other Funds Ltd	75,363	128,696	128,696	132,557	132,557	-
4225 State Gov. Service Charges						

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Public Utility Commission

Agency Number: 86000

**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Policy and Administration**

Cross Reference Number: 86000-004-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3400 Other Funds Ltd	443,937	389,025	389,025	864,149	768,822	-
4250 Data Processing						
3400 Other Funds Ltd	24,872	299,766	299,766	308,759	308,759	-
4275 Publicity and Publications						
3400 Other Funds Ltd	19,843	29,477	24,477	25,211	25,211	-
4300 Professional Services						
3400 Other Funds Ltd	38,407	573,724	573,724	550,315	550,315	-
4315 IT Professional Services						
3400 Other Funds Ltd	-	-	-	150,458	150,458	-
4325 Attorney General						
3400 Other Funds Ltd	1,089,461	1,408,633	1,403,633	1,577,157	1,503,228	-
4375 Employee Recruitment and Develop						
3400 Other Funds Ltd	7,566	1,981	1,981	4,689	4,689	-
4400 Dues and Subscriptions						
3400 Other Funds Ltd	99,446	54,842	51,842	53,397	53,397	-
4425 Facilities Rental and Taxes						
3400 Other Funds Ltd	404,144	468,672	468,672	499,167	561,887	-
4475 Facilities Maintenance						
3400 Other Funds Ltd	15,141	-	-	15,450	15,450	-
4575 Agency Program Related S and S						
3400 Other Funds Ltd	1,888	9,201	9,201	9,477	9,477	-
4650 Other Services and Supplies						
3400 Other Funds Ltd	13,958	53,738	48,738	81,416	81,416	-

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Policy and Administration**

Cross Reference Number: 86000-004-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
4675 Undistributed (S.S.)						
3400 Other Funds Ltd	-	(73,028)	-	-	-	-
4700 Expendable Prop 250 - 5000						
3400 Other Funds Ltd	17,188	143,002	135,075	61,877	61,877	-
4715 IT Expendable Property						
3400 Other Funds Ltd	91,795	-	-	77,250	77,250	-
SERVICES & SUPPLIES						
3400 Other Funds Ltd	2,551,917	3,852,691	3,859,792	4,763,263	4,656,727	-
TOTAL SERVICES & SUPPLIES	\$2,551,917	\$3,852,691	\$3,859,792	\$4,763,263	\$4,656,727	-
CAPITAL OUTLAY						
5200 Technical Equipment						
3400 Other Funds Ltd	141,029	-	-	-	-	-
5600 Data Processing Hardware						
3400 Other Funds Ltd	199	75,074	73,783	75,996	75,996	-
5950 Undistributed (C.O.)						
3400 Other Funds Ltd	-	(1,291)	-	-	-	-
CAPITAL OUTLAY						
3400 Other Funds Ltd	141,228	73,783	73,783	75,996	75,996	-
TOTAL CAPITAL OUTLAY	\$141,228	\$73,783	\$73,783	\$75,996	\$75,996	-
EXPENDITURES						
3400 Other Funds Ltd	10,239,655	12,409,856	12,682,971	13,784,462	13,677,926	-
TOTAL EXPENDITURES	\$10,239,655	\$12,409,856	\$12,682,971	\$13,784,462	\$13,677,926	-
ENDING BALANCE						

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Public Utility Commission

Agency Number: 86000

Budget Support - Detail Revenues and Expenditures

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Policy and Administration

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3400 Other Funds Ltd	410,130	1,299,189	1,026,074	418,357	524,893	-
TOTAL ENDING BALANCE	\$410,130	\$1,299,189	\$1,026,074	\$418,357	\$524,893	-
AUTHORIZED POSITIONS						
8150 Class/Unclass Positions	43	42	41	41	41	-
8180 Position Reconciliation	-	4	4	-	-	-
TOTAL AUTHORIZED POSITIONS	43	46	45	41	41	-
AUTHORIZED FTE						
8250 Class/Unclass FTE Positions	41.76	40.76	39.76	39.76	39.76	-
8280 FTE Reconciliation	-	4.24	4.24	-	-	-
TOTAL AUTHORIZED FTE	41.76	45.00	44.00	39.76	39.76	-

2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Board of Maritime Pilots**

Gross Reference Number: 86000-005-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
BEGINNING BALANCE						
0025 Beginning Balance						
3400 Other Funds Ltd	79,450	91,630	91,630	232,388	232,388	-
0030 Beginning Balance Adjustment						
3400 Other Funds Ltd	(225)	-	-	-	-	-
BEGINNING BALANCE						
3400 Other Funds Ltd	79,225	91,630	91,630	232,388	232,388	-
TOTAL BEGINNING BALANCE	\$79,225	\$91,630	\$91,630	\$232,388	\$232,388	-
REVENUE CATEGORIES						
LICENSES AND FEES						
0205 Business Lic and Fees						
3400 Other Funds Ltd	319,860	615,112	615,112	712,902	712,902	-
CHARGES FOR SERVICES						
0410 Charges for Services						
3400 Other Funds Ltd	-	163,678	163,678	-	-	-
OTHER						
0975 Other Revenues						
3400 Other Funds Ltd	1,045	-	-	-	-	-
REVENUE CATEGORIES						
3400 Other Funds Ltd	320,905	778,790	778,790	712,902	712,902	-
TOTAL REVENUE CATEGORIES	\$320,905	\$778,790	\$778,790	\$712,902	\$712,902	-
TRANSFERS OUT						
2010 Transfer Out - Intrafund						

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Board of Maritime Pilots**

Cross Reference Number: 86000-005-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3400 Other Funds Ltd	-	-	-	(10,400)	(10,400)	-
AVAILABLE REVENUES						
3400 Other Funds Ltd	400,130	870,420	870,420	934,890	934,890	-
TOTAL AVAILABLE REVENUES	\$400,130	\$870,420	\$870,420	\$934,890	\$934,890	-
EXPENDITURES						
PERSONAL SERVICES						
SALARIES & WAGES						
3110 Class/Unclass Sal. and Per Diem						
3400 Other Funds Ltd	124,166	200,580	210,669	336,408	336,408	-
3190 All Other Differential						
3400 Other Funds Ltd	-	-	-	9,264	9,264	-
SALARIES & WAGES						
3400 Other Funds Ltd	124,166	200,580	210,669	345,672	345,672	-
TOTAL SALARIES & WAGES	\$124,166	\$200,580	\$210,669	\$345,672	\$345,672	-
OTHER PAYROLL EXPENSES						
3210 Empl. Rel. Bd. Assessments						
3400 Other Funds Ltd	-	80	80	88	88	-
3220 Public Employees' Retire Cont						
3400 Other Funds Ltd	18,422	28,474	29,954	53,559	53,559	-
3221 Pension Obligation Bond						
3400 Other Funds Ltd	7,419	8,264	12,181	15,387	15,387	-
3230 Social Security Taxes						
3400 Other Funds Ltd	9,412	15,344	16,116	26,443	26,443	-

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Board of Maritime Pilots**

Cross Reference Number: 86000-005-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3250 Worker's Comp. Assess. (WCD)						
3400 Other Funds Ltd	61	118	118	138	138	-
3260 Mass Transit Tax						
3400 Other Funds Ltd	745	803	864	2,074	2,074	-
3270 Flexible Benefits						
3400 Other Funds Ltd	25,550	61,056	61,946	61,056	61,056	-
OTHER PAYROLL EXPENSES						
3400 Other Funds Ltd	61,809	114,139	121,239	158,745	158,745	-
TOTAL OTHER PAYROLL EXPENSES	\$61,609	\$114,139	\$121,239	\$158,745	\$158,745	-
P.S. BUDGET ADJUSTMENTS						
3455 Vacancy Savings						
3400 Other Funds Ltd	-	-	-	(1,834)	(1,834)	-
3465 Reconciliation Adjustment						
3400 Other Funds Ltd	-	18,433	18,433	-	-	-
3991 PERS Policy Adjustment						
3400 Other Funds Ltd	-	(4,584)	(4,584)	-	-	-
P.S. BUDGET ADJUSTMENTS						
3400 Other Funds Ltd	-	13,849	13,849	(1,834)	(1,834)	-
TOTAL P.S. BUDGET ADJUSTMENTS	-	\$13,849	\$13,849	(\$1,834)	(\$1,834)	-
PERSONAL SERVICES						
3400 Other Funds Ltd	185,775	328,568	345,757	502,583	502,583	-
TOTAL PERSONAL SERVICES	\$185,775	\$328,568	\$345,757	\$502,583	\$502,583	-
SERVICES & SUPPLIES						

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 86000-005-00-00-00000

2015-17 Biennium

Board of Maritime Pilots

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
4100 Instate Travel						
3400 Other Funds Ltd	6,715	8,855	8,855	9,121	9,121	-
4125 Out of State Travel						
3400 Other Funds Ltd	1,183	-	-	1,545	1,545	-
4150 Employee Training						
3400 Other Funds Ltd	-	889	889	916	916	-
4175 Office Expenses						
3400 Other Funds Ltd	4,031	17,650	17,650	9,940	9,940	-
4200 Telecommunications						
3400 Other Funds Ltd	12,974	3,149	3,149	8,393	8,393	-
4225 State Gov. Service Charges						
3400 Other Funds Ltd	413	207	207	640	640	-
4250 Data Processing						
3400 Other Funds Ltd	-	1,976	1,976	2,035	2,035	-
4275 Publicity and Publications						
3400 Other Funds Ltd	1,493	5,686	5,686	2,767	2,767	-
4300 Professional Services						
3400 Other Funds Ltd	8,307	231,032	231,032	32,056	32,056	-
4325 Attorney General						
3400 Other Funds Ltd	95,950	37,324	37,324	44,490	42,405	-
4400 Dues and Subscriptions						
3400 Other Funds Ltd	684	-	-	-	-	-
4425 Facilities Rental and Taxes						

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

**Budget Support - Detail Revenues and Expenditures
2015-17 Biennium
Board of Maritime Pilots**

Cross Reference Number: 86000-005-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3400 Other Funds Ltd	23,713	27,379	27,379	28,200	28,200	-
4575 Agency Program Related S and S						
3400 Other Funds Ltd	110	123,076	123,076	126,768	126,768	-
4650 Other Services and Supplies						
3400 Other Funds Ltd	582	-	-	1,545	1,545	-
4700 Expendable Prop 250 - 5000						
3400 Other Funds Ltd	-	-	-	1,545	1,545	-
4715 IT Expendable Property						
3400 Other Funds Ltd	1,288	-	-	1,545	1,545	-
SERVICES & SUPPLIES						
3400 Other Funds Ltd	157,443	457,223	457,223	271,506	269,421	-
TOTAL SERVICES & SUPPLIES	\$157,443	\$457,223	\$457,223	\$271,506	\$269,421	-
EXPENDITURES						
3400 Other Funds Ltd	343,218	785,791	802,980	774,089	772,004	-
TOTAL EXPENDITURES	\$343,218	\$785,791	\$802,980	\$774,089	\$772,004	-
ENDING BALANCE						
3400 Other Funds Ltd	56,912	84,629	67,440	160,801	162,886	-
TOTAL ENDING BALANCE	\$56,912	\$84,629	\$67,440	\$160,801	\$162,886	-
AUTHORIZED POSITIONS						
8150 Class/Unclass Positions	1	2	2	2	2	-
TOTAL AUTHORIZED POSITIONS	1	2	2	2	2	-
AUTHORIZED FTE						
8250 Class/Unclass FTE Positions	1.00	1.50	1.50	2.00	2.00	-

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Public Utility Commission

Agency Number: 86000

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 86000-005-00-00-00000

2015-17 Biennium

Board of Maritime Pilots

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
TOTAL AUTHORIZED FTE	1.00	1.50	1.50	2.00	2.00	-

2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

**Version / Column Comparison Report - Detail
2015-17 Biennium**

Cross Reference Number:86000-001-00-00-00000

Utility Regulation

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
BEGINNING BALANCE				
0025 Beginning Balance				
3200 Other Funds Non-Ltd	9,022,427	9,022,427	0	-
3400 Other Funds Ltd	12,599,325	12,599,325	0	-
6400 Federal Funds Ltd	20,096	20,096	0	-
All Funds	21,641,848	21,641,848	0	-
REVENUE CATEGORIES				
LICENSES AND FEES				
0240 Public Utilities Fees				
3200 Other Funds Non-Ltd	66,000,000	66,000,000	0	-
3400 Other Funds Ltd	26,149,460	26,149,460	0	-
All Funds	92,149,460	92,149,460	0	-
FEDERAL FUNDS AS OTHER FUNDS				
0355 Federal Revenues				
3400 Other Funds Ltd	-	85,000	85,000	100.00%
CHARGES FOR SERVICES				
0410 Charges for Services				
3400 Other Funds Ltd	91,700	91,700	0	-
FINES, RENTS AND ROYALTIES				
0505 Fines and Forfeitures				
3200 Other Funds Non-Ltd	68,580	68,580	0	-
3400 Other Funds Ltd	16,235	16,235	0	-
All Funds	84,815	84,815	0	-
INTEREST EARNINGS				

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Public Utility Commission

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Version / Column Comparison Report - Detail

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2015-17 Biennium

Utility Regulation

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
0605 Interest Income				
3200 Other Funds Non-Ltd	148,816	148,816	0	-
OTHER				
0975 Other Revenues				
3400 Other Funds Ltd	41,000	41,000	0	-
FEDERAL FUNDS REVENUE				
0995 Federal Funds				
6400 Federal Funds Ltd	2,623,518	2,625,076	1,558	0.06%
TRANSFERS IN				
1020 Transfer In - Indirect Cost				
3400 Other Funds Ltd	169,892	169,892	0	-
TOTAL REVENUES				
3200 Other Funds Non-Ltd	66,217,396	66,217,396	0	-
3400 Other Funds Ltd	26,468,287	26,553,287	85,000	0.32%
6400 Federal Funds Ltd	2,623,518	2,625,076	1,558	0.06%
TOTAL REVENUES	\$95,309,201	\$95,395,759	\$86,558	0.09%
TRANSFERS OUT				
2010 Transfer Out - Intrafund				
3400 Other Funds Ltd	(12,404,060)	(12,404,060)	0	-
2020 Transfer Out - Indirect Cost				
6400 Federal Funds Ltd	(169,892)	(169,892)	0	-
2050 Transfer to Other				
3400 Other Funds Ltd	-	(85,000)	(85,000)	100.00%
TOTAL TRANSFERS OUT				

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Utility Regulation

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	(12,404,060)	(12,489,060)	(85,000)	-0.69%
6400 Federal Funds Ltd	(169,892)	(169,892)	0	-
TOTAL TRANSFERS OUT	(\$12,573,952)	(\$12,658,952)	(\$85,000)	-0.68%
AVAILABLE REVENUES				
3200 Other Funds Non-Ltd	75,239,823	75,239,823	0	-
3400 Other Funds Ltd	26,663,552	26,663,552	0	-
6400 Federal Funds Ltd	2,473,722	2,475,280	1,558	0.06%
TOTAL AVAILABLE REVENUES	\$104,377,097	\$104,378,655	\$1,558	0.00%
EXPENDITURES				
PERSONAL SERVICES				
SALARIES & WAGES				
3110 Class/Unclass Sal. and Per Diem				
3400 Other Funds Ltd	10,208,445	10,220,730	12,285	0.12%
6400 Federal Funds Ltd	491,304	491,304	0	-
All Funds	10,699,749	10,712,034	12,285	0.11%
3160 Temporary Appointments				
3400 Other Funds Ltd	27,907	27,907	0	-
3170 Overtime Payments				
3400 Other Funds Ltd	449	449	0	-
3190 All Other Differential				
3400 Other Funds Ltd	56,823	56,823	0	-
6400 Federal Funds Ltd	30	30	0	-
All Funds	56,853	56,853	0	-
TOTAL SALARIES & WAGES				

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Utility Regulation

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	10,293,624	10,305,909	12,285	0.12%
6400 Federal Funds Ltd	491,334	491,334	0	-
TOTAL SALARIES & WAGES	\$10,784,958	\$10,797,243	\$12,285	0.11%
OTHER PAYROLL EXPENSES				
3210 Empl. Rel. Bd. Assessments				
3400 Other Funds Ltd	3,232	3,232	0	-
6400 Federal Funds Ltd	156	156	0	-
All Funds	3,388	3,388	0	-
3220 Public Employees' Retire Cont				
3400 Other Funds Ltd	1,620,960	1,622,899	1,939	0.12%
6400 Federal Funds Ltd	77,581	77,581	0	-
All Funds	1,698,541	1,700,480	1,939	0.11%
3221 Pension Obligation Bond				
3400 Other Funds Ltd	552,544	552,544	0	-
6400 Federal Funds Ltd	28,600	28,600	0	-
All Funds	581,144	581,144	0	-
3230 Social Security Taxes				
3400 Other Funds Ltd	787,463	788,403	940	0.12%
6400 Federal Funds Ltd	37,587	37,587	0	-
All Funds	825,050	825,990	940	0.11%
3240 Unemployment Assessments				
3400 Other Funds Ltd	1,921	1,921	0	-
6400 Federal Funds Ltd	1,535	1,535	0	-
All Funds	3,456	3,456	0	-

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Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3250 Worker's Comp. Assess. (WCD)				
3400 Other Funds Ltd	5,069	5,069	0	-
6400 Federal Funds Ltd	244	244	0	-
All Funds	5,313	5,313	0	-
3260 Mass Transit Tax				
3400 Other Funds Ltd	55,634	55,634	0	-
3270 Flexible Benefits				
3400 Other Funds Ltd	2,241,304	2,241,304	0	-
6400 Federal Funds Ltd	109,352	109,352	0	-
All Funds	2,350,656	2,350,656	0	-
TOTAL OTHER PAYROLL EXPENSES				
3400 Other Funds Ltd	5,288,127	5,271,006	2,879	0.05%
6400 Federal Funds Ltd	255,055	255,055	0	-
TOTAL OTHER PAYROLL EXPENSES	\$5,523,182	\$5,526,061	\$2,879	0.05%
P.S. BUDGET ADJUSTMENTS				
3455 Vacancy Savings				
3400 Other Funds Ltd	(185,475)	(185,475)	0	-
3465 Reconciliation Adjustment				
3400 Other Funds Ltd	-	(15,164)	(15,164)	100.00%
TOTAL P.S. BUDGET ADJUSTMENTS				
3400 Other Funds Ltd	(185,475)	(200,639)	(15,164)	-8.18%
TOTAL PERSONAL SERVICES				
3400 Other Funds Ltd	15,376,276	15,376,276	0	-
6400 Federal Funds Ltd	746,389	746,389	0	-

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Utility Regulation

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
TOTAL PERSONAL SERVICES	\$16,122,665	\$16,122,665	0	-
SERVICES & SUPPLIES				
4100 Instate Travel				
3400 Other Funds Ltd	123,561	123,561	0	-
6400 Federal Funds Ltd	32,525	32,525	0	-
All Funds	156,086	156,086	0	-
4125 Out of State Travel				
3400 Other Funds Ltd	97,000	97,000	0	-
6400 Federal Funds Ltd	11,868	11,868	0	-
All Funds	108,868	108,868	0	-
4150 Employee Training				
3400 Other Funds Ltd	166,171	166,171	0	-
6400 Federal Funds Ltd	16,330	16,330	0	-
All Funds	182,501	182,501	0	-
4175 Office Expenses				
3200 Other Funds Non-Ltd	1,677	1,677	0	-
3400 Other Funds Ltd	74,317	74,317	0	-
6400 Federal Funds Ltd	5,049	5,049	0	-
All Funds	81,043	81,043	0	-
4200 Telecommunications				
3400 Other Funds Ltd	55,814	55,814	0	-
6400 Federal Funds Ltd	3,481	3,481	0	-
All Funds	59,295	59,295	0	-
4225 State Gov. Service Charges				

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Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3200 Other Funds Non-Ltd	399	399	0	-
3400 Other Funds Ltd	5,073	5,073	0	-
All Funds	5,472	5,472	0	-
4275 Publicity and Publications				
3400 Other Funds Ltd	18,291	18,291	0	-
6400 Federal Funds Ltd	629	629	0	-
All Funds	18,920	18,920	0	-
4300 Professional Services				
3200 Other Funds Non-Ltd	112,946	112,946	0	-
3400 Other Funds Ltd	65,488	65,488	0	-
All Funds	178,434	178,434	0	-
4315 IT Professional Services				
3200 Other Funds Non-Ltd	76,220	76,220	0	-
4325 Attorney General				
3400 Other Funds Ltd	917,942	917,942	0	-
4375 Employee Recruitment and Develop				
3400 Other Funds Ltd	28,173	28,173	0	-
6400 Federal Funds Ltd	2,321	2,321	0	-
All Funds	30,494	30,494	0	-
4400 Dues and Subscriptions				
3400 Other Funds Ltd	139,872	139,872	0	-
6400 Federal Funds Ltd	762	762	0	-
All Funds	140,634	140,634	0	-
4425 Facilities Rental and Taxes				

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Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	736,369	736,369	0	-
6400 Federal Funds Ltd	13,057	13,057	0	-
All Funds	749,426	749,426	0	-
4575 Agency Program Related S and S				
3400 Other Funds Ltd	3,332	3,332	0	-
4650 Other Services and Supplies				
3200 Other Funds Non-Ltd	88,624	88,624	0	-
3400 Other Funds Ltd	31,597	31,597	0	-
6400 Federal Funds Ltd	14,423	14,423	0	-
All Funds	134,644	134,644	0	-
4700 Expendable Prop 250 - 5000				
3400 Other Funds Ltd	9,459	9,459	0	-
6400 Federal Funds Ltd	2,627	2,627	0	-
All Funds	12,086	12,086	0	-
4715 IT Expendable Property				
3400 Other Funds Ltd	5,000	5,000	0	-
TOTAL SERVICES & SUPPLIES				
3200 Other Funds Non-Ltd	279,866	279,866	0	-
3400 Other Funds Ltd	2,477,459	2,477,459	0	-
6400 Federal Funds Ltd	103,072	103,072	0	-
TOTAL SERVICES & SUPPLIES	\$2,860,397	\$2,860,397	0	-
SPECIAL PAYMENTS				
6025 Dist to Other Gov Unit				
6400 Federal Funds Ltd	474,112	474,112	0	-

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Utility Regulation

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
6030 Dist to Non-Gov Units				
3200 Other Funds Non-Ltd	66,000,000	66,000,000	0	-
6400 Federal Funds Ltd	165,678	165,678	0	-
All Funds	66,165,678	66,165,678	0	-
6107 Spc Pmt to Administrative Svcs				
6400 Federal Funds Ltd	885,000	885,000	0	-
6123 Spc Pmt to OR Business Development				
6400 Federal Funds Ltd	99,471	99,471	0	-
TOTAL SPECIAL PAYMENTS				
3200 Other Funds Non-Ltd	66,000,000	66,000,000	0	-
6400 Federal Funds Ltd	1,624,261	1,624,261	0	-
TOTAL SPECIAL PAYMENTS	\$67,624,261	\$67,624,261	0	-
TOTAL EXPENDITURES				
3200 Other Funds Non-Ltd	66,279,866	66,279,866	0	-
3400 Other Funds Ltd	17,853,735	17,853,735	0	-
6400 Federal Funds Ltd	2,473,722	2,473,722	0	-
TOTAL EXPENDITURES	\$86,607,323	\$86,607,323	0	-
ENDING BALANCE				
3200 Other Funds Non-Ltd	8,959,957	8,959,957	0	-
3400 Other Funds Ltd	8,809,817	8,809,817	0	-
6400 Federal Funds Ltd	-	1,558	1,558	100.00%
TOTAL ENDING BALANCE	\$17,769,774	\$17,771,332	\$1,558	0.01%
AUTHORIZED POSITIONS				
8150 Class/Unclass Positions	77	77	0	-

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Utility Regulation

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
AUTHORIZED FTE				
8250 Class/Unclass FTE Positions	76.21	76.25	0.04	0.05%
8280 FTE Reconciliation	-	(0.04)	(0.04)	100.00%
TOTAL AUTHORIZED FTE	76.21	76.21	0	-

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Residential Service Protection

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
BEGINNING BALANCE				
0025 Beginning Balance				
3400 Other Funds Ltd	3,667,002	3,667,002	0	-
REVENUE CATEGORIES				
TAXES				
0190 Other Selective Taxes				
3400 Other Funds Ltd	10,376,214	10,376,214	0	-
INTEREST EARNINGS				
0605 Interest Income				
3400 Other Funds Ltd	31,384	31,384	0	-
TOTAL REVENUES				
3400 Other Funds Ltd	10,407,598	10,407,598	0	-
TRANSFERS OUT				
2010 Transfer Out - Intrafund				
3400 Other Funds Ltd	(1,378,229)	(1,378,229)	0	-
AVAILABLE REVENUES				
3400 Other Funds Ltd	12,696,371	12,696,371	0	-
EXPENDITURES				
PERSONAL SERVICES				
SALARIES & WAGES				
3110 Class/Unclass Sal. and Per Diem				
3400 Other Funds Ltd	651,912	651,912	0	-
3190 All Other Differential				
3400 Other Funds Ltd	6,124	6,124	0	-

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Residential Service Protection

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
TOTAL SALARIES & WAGES				
3400 Other Funds Ltd	658,036	658,036	0	-
OTHER PAYROLL EXPENSES				
3210 Empl. Rel. Bd. Assessments				
3400 Other Funds Ltd	352	352	0	-
3220 Public Employees' Retire Cont				
3400 Other Funds Ltd	103,905	103,905	0	-
3221 Pension Obligation Bond				
3400 Other Funds Ltd	38,400	38,400	0	-
3230 Social Security Taxes				
3400 Other Funds Ltd	50,339	50,339	0	-
3250 Worker's Comp. Assess. (WCD)				
3400 Other Funds Ltd	552	552	0	-
3260 Mass Transit Tax				
3400 Other Funds Ltd	3,111	3,111	0	-
3270 Flexible Benefits				
3400 Other Funds Ltd	244,224	244,224	0	-
TOTAL OTHER PAYROLL EXPENSES				
3400 Other Funds Ltd	440,883	440,883	0	-
P.S. BUDGET ADJUSTMENTS				
3455 Vacancy Savings				
3400 Other Funds Ltd	(52,993)	(52,993)	0	-
TOTAL PERSONAL SERVICES				
3400 Other Funds Ltd	1,045,926	1,045,926	0	-

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Residential Service Protection

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
SERVICES & SUPPLIES				
4100 Instate Travel				
3400 Other Funds Ltd	12,122	12,122	0	-
4125 Out of State Travel				
3400 Other Funds Ltd	5,115	5,115	0	-
4150 Employee Training				
3400 Other Funds Ltd	11,841	11,841	0	-
4175 Office Expenses				
3400 Other Funds Ltd	85,871	85,871	0	-
4200 Telecommunications				
3400 Other Funds Ltd	21,835	21,835	0	-
4225 State Gov. Service Charges				
3400 Other Funds Ltd	424	424	0	-
4250 Data Processing				
3400 Other Funds Ltd	239	239	0	-
4275 Publicity and Publications				
3400 Other Funds Ltd	26,317	26,317	0	-
4300 Professional Services				
3400 Other Funds Ltd	5,871,365	5,871,365	0	-
4325 Attorney General				
3400 Other Funds Ltd	13,473	13,473	0	-
4400 Dues and Subscriptions				
3400 Other Funds Ltd	1,118	1,118	0	-
4425 Facilities Rental and Taxes				

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Residential Service Protection

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	70,368	70,368	0	-
4475 Facilities Maintenance				
3400 Other Funds Ltd	5,000	5,000	0	-
4575 Agency Program Related S and S				
3400 Other Funds Ltd	3,698,952	3,698,952	0	-
4650 Other Services and Supplies				
3400 Other Funds Ltd	81,043	81,043	0	-
4700 Expendable Prop 250 - 5000				
3400 Other Funds Ltd	11,985	11,985	0	-
TOTAL SERVICES & SUPPLIES				
3400 Other Funds Ltd	9,917,068	9,917,068	0	-
TOTAL EXPENDITURES				
3400 Other Funds Ltd	10,962,994	10,962,994	0	-
ENDING BALANCE				
3400 Other Funds Ltd	1,733,377	1,733,377	0	-
AUTHORIZED POSITIONS				
8150 Class/Unclass Positions	8	8	0	-
AUTHORIZED FTE				
8250 Class/Unclass FTE Positions	8.00	8.00	0	-

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Policy and Administration

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
BEGINNING BALANCE				
0025 Beginning Balance				
3400 Other Funds Ltd	410,130	410,130	0	-
REVENUE CATEGORIES				
TRANSFERS IN				
1010 Transfer In - Intrafund				
3400 Other Funds Ltd	13,792,689	13,792,689	0	-
AVAILABLE REVENUES				
3400 Other Funds Ltd	14,202,819	14,202,819	0	-
EXPENDITURES				
PERSONAL SERVICES				
SALARIES & WAGES				
3110 Class/Unclass Sal. and Per Diem				
3400 Other Funds Ltd	5,845,821	5,836,461	(9,360)	-0.16%
3160 Temporary Appointments				
3400 Other Funds Ltd	56,130	56,130	0	-
3170 Overtime Payments				
3400 Other Funds Ltd	26,353	26,353	0	-
3180 Shift Differential				
3400 Other Funds Ltd	2,028	2,028	0	-
3190 All Other Differential				
3400 Other Funds Ltd	16,862	16,862	0	-
TOTAL SALARIES & WAGES				
3400 Other Funds Ltd	5,947,194	5,937,834	(9,360)	-0.16%

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Policy and Administration

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
OTHER PAYROLL EXPENSES				
3210 Empl. Rel. Bd. Assessments				
3400 Other Funds Ltd	1,804	1,804	0	-
3220 Public Employees' Retire Cont				
3400 Other Funds Ltd	930,200	928,723	(1,477)	-0.16%
3221 Pension Obligation Bond				
3400 Other Funds Ltd	318,173	318,173	0	-
3230 Social Security Taxes				
3400 Other Funds Ltd	448,562	447,845	(717)	-0.16%
3240 Unemployment Assessments				
3400 Other Funds Ltd	6,658	6,658	0	-
3250 Worker's Comp. Assess. (WCD)				
3400 Other Funds Ltd	2,829	2,829	0	-
3260 Mass Transit Tax				
3400 Other Funds Ltd	35,350	35,350	0	-
3270 Flexible Benefits				
3400 Other Funds Ltd	1,251,648	1,251,648	0	-
TOTAL OTHER PAYROLL EXPENSES				
3400 Other Funds Ltd	2,995,224	2,993,030	(2,194)	-0.07%
P.S. BUDGET ADJUSTMENTS				
3455 Vacancy Savings				
3400 Other Funds Ltd	(158,979)	(158,979)	0	-
3465 Reconciliation Adjustment				
3400 Other Funds Ltd	-	11,554	11,554	100.00%

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Policy and Administration

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
TOTAL P.S. BUDGET ADJUSTMENTS				
3400 Other Funds Ltd	(158,979)	(147,425)	11,554	7.27%
TOTAL PERSONAL SERVICES				
3400 Other Funds Ltd	8,783,439	8,783,439	0	-
SERVICES & SUPPLIES				
4100 Instate Travel				
3400 Other Funds Ltd	10,401	10,401	0	-
4125 Out of State Travel				
3400 Other Funds Ltd	51,343	51,343	0	-
4150 Employee Training				
3400 Other Funds Ltd	113,071	113,071	0	-
4175 Office Expenses				
3400 Other Funds Ltd	168,059	168,059	0	-
4200 Telecommunications				
3400 Other Funds Ltd	128,696	128,696	0	-
4225 State Gov. Service Charges				
3400 Other Funds Ltd	341,423	341,423	0	-
4250 Data Processing				
3400 Other Funds Ltd	299,766	299,766	0	-
4275 Publicity and Publications				
3400 Other Funds Ltd	24,477	24,477	0	-
4300 Professional Services				
3400 Other Funds Ltd	573,724	573,724	0	-
4315 IT Professional Services				

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Policy and Administration

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	2,618	2,618	0	-
4325 Attorney General				
3400 Other Funds Ltd	1,403,633	1,403,633	0	-
4375 Employee Recruitment and Develop				
3400 Other Funds Ltd	2,902	2,902	0	-
4400 Dues and Subscriptions				
3400 Other Funds Ltd	51,842	51,842	0	-
4425 Facilities Rental and Taxes				
3400 Other Funds Ltd	468,672	468,672	0	-
4475 Facilities Maintenance				
3400 Other Funds Ltd	15,000	15,000	0	-
4575 Agency Program Related S and S				
3400 Other Funds Ltd	9,201	9,201	0	-
4650 Other Services and Supplies				
3400 Other Funds Ltd	59,889	59,889	0	-
4700 Expendable Prop 250 - 5000				
3400 Other Funds Ltd	60,075	60,075	0	-
4715 IT Expendable Property				
3400 Other Funds Ltd	75,000	75,000	0	-
TOTAL SERVICES & SUPPLIES				
3400 Other Funds Ltd	3,859,792	3,859,792	0	-
CAPITAL OUTLAY				
5600 Data Processing Hardware				
3400 Other Funds Ltd	73,783	73,783	0	-

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Public Utility Commission

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Cross Reference Number: 86000-004-00-00-00000

2015-17 Biennium

Policy and Administration

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
TOTAL EXPENDITURES				
3400 Other Funds Ltd	12,717,014	12,717,014	0	-
ENDING BALANCE				
3400 Other Funds Ltd	1,485,805	1,485,805	0	-
AUTHORIZED POSITIONS				
8150 Class/Unclass Positions	41	41	0	-
AUTHORIZED FTE				
8250 Class/Unclass FTE Positions	39.76	39.76	0	-

2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Version / Column Comparison Report - Detail

Cross Reference Number:86000-005-00-00-00000

2015-17 Biennium

Board of Maritime Pilots

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
BEGINNING BALANCE				
0025 Beginning Balance				
3400 Other Funds Ltd	232,388	232,388	0	-
REVENUE CATEGORIES				
LICENSES AND FEES				
0205 Business Lic and Fees				
3400 Other Funds Ltd	712,902	712,902	0	-
TRANSFERS OUT				
2010 Transfer Out - Intrafund				
3400 Other Funds Ltd	(10,400)	(10,400)	0	-
AVAILABLE REVENUES				
3400 Other Funds Ltd	934,890	934,890	0	-
EXPENDITURES				
PERSONAL SERVICES				
SALARIES & WAGES				
3110 Class/Unclass Sal. and Per Diem				
3400 Other Funds Ltd	248,628	248,628	0	-
OTHER PAYROLL EXPENSES				
3210 Empl. Rel. Bd. Assessments				
3400 Other Funds Ltd	88	88	0	-
3220 Public Employees' Retire Cont				
3400 Other Funds Ltd	38,235	38,235	0	-
3221 Pension Obligation Bond				
3400 Other Funds Ltd	12,161	12,161	0	-

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2015-17 Biennium

Board of Maritime Pilots

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3230 Social Security Taxes				
3400 Other Funds Ltd	19,019	19,019	0	-
3250 Worker's Comp. Assess. (WCD)				
3400 Other Funds Ltd	138	138	0	-
3260 Mass Transit Tax				
3400 Other Funds Ltd	864	864	0	-
3270 Flexible Benefits				
3400 Other Funds Ltd	61,056	61,056	0	-
TOTAL OTHER PAYROLL EXPENSES				
3400 Other Funds Ltd	131,561	131,561	0	-
TOTAL PERSONAL SERVICES				
3400 Other Funds Ltd	380,189	380,189	0	-
SERVICES & SUPPLIES				
4100 Instate Travel				
3400 Other Funds Ltd	8,855	8,855	0	-
4125 Out of State Travel				
3400 Other Funds Ltd	1,500	1,500	0	-
4150 Employee Training				
3400 Other Funds Ltd	889	889	0	-
4175 Office Expenses				
3400 Other Funds Ltd	9,650	9,650	0	-
4200 Telecommunications				
3400 Other Funds Ltd	8,149	8,149	0	-
4225 State Gov. Service Charges				

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Board of Maritime Pilots

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	207	207	0	-
4250 Data Processing				
3400 Other Funds Ltd	1,976	1,976	0	-
4275 Publicity and Publications				
3400 Other Funds Ltd	2,686	2,686	0	-
4300 Professional Services				
3400 Other Funds Ltd	231,032	231,032	0	-
4325 Attorney General				
3400 Other Funds Ltd	37,324	37,324	0	-
4425 Facilities Rental and Taxes				
3400 Other Funds Ltd	27,379	27,379	0	-
4575 Agency Program Related S and S				
3400 Other Funds Ltd	123,076	123,076	0	-
4650 Other Services and Supplies				
3400 Other Funds Ltd	1,500	1,500	0	-
4700 Expendable Prop 250 - 5000				
3400 Other Funds Ltd	1,500	1,500	0	-
4715 IT Expendable Property				
3400 Other Funds Ltd	1,500	1,500	0	-
TOTAL SERVICES & SUPPLIES				
3400 Other Funds Ltd	457,223	457,223	0	-
TOTAL EXPENDITURES				
3400 Other Funds Ltd	837,412	837,412	0	-
ENDING BALANCE				

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2015-17 Biennium

Board of Maritime Pilots

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	97,478	97,478	0	-
AUTHORIZED POSITIONS				
8150 Class/Unclass Positions	2	2	0	-
AUTHORIZED FTE				
8250 Class/Unclass FTE Positions	1.50	1.50	0	-

2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation

Cross Reference Number: 86000-001-00-00-00000
Package: Non-PICS Psnl Svc / Vacancy Factor
Pkg Group: ESS Pkg Type: 010 Pkg Number: 010

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
REVENUE CATEGORIES				
FEDERAL FUNDS REVENUE				
0995 Federal Funds				
6400 Federal Funds Ltd	(3,000)	(3,000)	0	0.00%
TRANSFERS IN				
1020 Transfer In - Indirect Cost				
3400 Other Funds Ltd	(500)	(500)	0	0.00%
REVENUE CATEGORIES				
3400 Other Funds Ltd	(500)	(500)	0	0.00%
6400 Federal Funds Ltd	(3,000)	(3,000)	0	0.00%
TOTAL REVENUE CATEGORIES	(\$3,500)	(\$3,500)	\$0	0.00%
2000				
2020 Transfer Out - Indirect Cost				
6400 Federal Funds Ltd	500	500	0	0.00%
AVAILABLE REVENUES				
3400 Other Funds Ltd	(500)	(500)	0	0.00%
6400 Federal Funds Ltd	(2,500)	(2,500)	0	0.00%
TOTAL AVAILABLE REVENUES	(\$3,000)	(\$3,000)	\$0	0.00%
EXPENDITURES				

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Agency Number: 86000

Package Comparison Report - Detail
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Utility Regulation

Cross Reference Number: 86000-001-00-00-00000
Package: Non-PICS Psnl Svc / Vacancy Factor
Pkg Group: ESS Pkg Type: 010 Pkg Number: 010

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
PERSONAL SERVICES				
SALARIES & WAGES				
3160 Temporary Appointments				
3400 Other Funds Ltd	837	837	0	0.00%
3170 Overtime Payments				
3400 Other Funds Ltd	13	13	0	0.00%
3190 All Other Differential				
3400 Other Funds Ltd	1,705	1,705	0	0.00%
6400 Federal Funds Ltd	1	1	0	0.00%
All Funds	1,706	1,706	0	0.00%
SALARIES & WAGES				
3400 Other Funds Ltd	2,555	2,555	0	0.00%
6400 Federal Funds Ltd	1	1	0	0.00%
TOTAL SALARIES & WAGES	\$2,556	\$2,556	\$0	0.00%
OTHER PAYROLL EXPENSES				
3220 Public Employees Retire Cont				
3400 Other Funds Ltd	271	271	0	0.00%
3221 Pension Obligation Bond				
3400 Other Funds Ltd	82,903	82,903	0	0.00%

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Public Utility Commission

Agency Number: 86000

**Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation**

**Gross Reference Number: 86000-001-00-00-00000
Package: Non-PICS Psnl Svc / Vacancy Factor
Pkg Group: ESS Pkg Type: 010 Pkg Number: 010**

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
6400 Federal Funds Ltd	1,809	1,809	0	0.00%
All Funds	84,712	84,712	0	0.00%
3230 Social Security Taxes				
3400 Other Funds Ltd	195	195	0	0.00%
3260 Mass Transit Tax				
3400 Other Funds Ltd	6,143	6,143	0	0.00%
OTHER PAYROLL EXPENSES				
3400 Other Funds Ltd	89,512	89,512	0	0.00%
6400 Federal Funds Ltd	1,809	1,809	0	0.00%
TOTAL OTHER PAYROLL EXPENSES	\$91,321	\$91,321	\$0	0.00%
P.S. BUDGET ADJUSTMENTS				
3455 Vacancy Savings				
3400 Other Funds Ltd	102,682	102,682	0	0.00%
6400 Federal Funds Ltd	(4,310)	(4,310)	0	0.00%
All Funds	98,372	98,372	0	0.00%
PERSONAL SERVICES				
3400 Other Funds Ltd	194,749	194,749	0	0.00%
6400 Federal Funds Ltd	(2,500)	(2,500)	0	0.00%
TOTAL PERSONAL SERVICES	\$192,249	\$192,249	\$0	0.00%

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Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation

Cross Reference Number: 86000-001-00-00-00000
Package: Non-PICS Psnl Svc / Vacancy Factor
Pkg Group: ESS Pkg Type: 010 Pkg Number: 010

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
EXPENDITURES				
3400 Other Funds Ltd	194,749	194,749	0	0.00%
6400 Federal Funds Ltd	(2,500)	(2,500)	0	0.00%
TOTAL EXPENDITURES	\$192,249	\$192,249	\$0	0.00%
ENDING BALANCE				
3400 Other Funds Ltd	(195,249)	(195,249)	0	0.00%
6400 Federal Funds Ltd	-	-	0	0.00%
TOTAL ENDING BALANCE	(\$195,249)	(\$195,249)	\$0	0.00%

2015-2017 GOVERNOR'S BUDGET

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Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation

Cross Reference Number: 86000-001-00-00-00000
Package: Phase-out Pgm & One-time Costs
Pkg Group: ESS Pkg Type: 020 Pkg Number: 022

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
REVENUE CATEGORIES				
FEDERAL FUNDS REVENUE				
0995 Federal Funds				
6400 Federal Funds Ltd	(1,624,261)	(1,624,261)	0	0.00%
REVENUE CATEGORIES				
6400 Federal Funds Ltd	(1,624,261)	(1,624,261)	0	0.00%
TOTAL REVENUE CATEGORIES	(\$1,624,261)	(\$1,624,261)	\$0	0.00%
AVAILABLE REVENUES				
6400 Federal Funds Ltd	(1,624,261)	(1,624,261)	0	0.00%
TOTAL AVAILABLE REVENUES	(\$1,624,261)	(\$1,624,261)	\$0	0.00%
EXPENDITURES				
SPECIAL PAYMENTS				
6025 Dist to Other Gov Unit				
6400 Federal Funds Ltd	(474,112)	(474,112)	0	0.00%
6030 Dist to Non-Gov Units				
6400 Federal Funds Ltd	(165,678)	(165,678)	0	0.00%
6107 Spc Pmt to Administrative Svcs				
6400 Federal Funds Ltd	(885,000)	(885,000)	0	0.00%
6123 Spc Pmt to OR Business Development				

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2015-17 Biennium
Utility Regulation

Cross Reference Number: 86000-001-00-00-00000
Package: Phase-out Pgm & One-time Costs
Pkg Group: ESS Pkg Type: 020 Pkg Number: 022

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
6400 Federal Funds Ltd	(99,471)	(99,471)	0	0.00%
SPECIAL PAYMENTS				
6400 Federal Funds Ltd	(1,624,261)	(1,624,261)	0	0.00%
TOTAL SPECIAL PAYMENTS	(\$1,624,261)	(\$1,624,261)	\$0	0.00%
EXPENDITURES				
6400 Federal Funds Ltd	(1,624,261)	(1,624,261)	0	0.00%
TOTAL EXPENDITURES	(\$1,624,261)	(\$1,624,261)	\$0	0.00%
ENDING BALANCE				
6400 Federal Funds Ltd	-	-	0	0.00%
TOTAL ENDING BALANCE	-	-	\$0	0.00%

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Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation

Cross Reference Number: 86000-001-00-00-00000

Package: Standard Inflation

Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
REVENUE CATEGORIES				
FEDERAL FUNDS REVENUE				
0995 Federal Funds				
6400 Federal Funds Ltd	3,711	3,711	0	0.00%
TRANSFERS IN				
1020 Transfer In - Indirect Cost				
3400 Other Funds Ltd	618	618	0	0.00%
REVENUE CATEGORIES				
3400 Other Funds Ltd	618	618	0	0.00%
6400 Federal Funds Ltd	3,711	3,711	0	0.00%
TOTAL REVENUE CATEGORIES	\$4,329	\$4,329	\$0	0.00%
2000				
2020 Transfer Out - Indirect Cost				
6400 Federal Funds Ltd	(618)	(618)	0	0.00%
AVAILABLE REVENUES				
3400 Other Funds Ltd	618	618	0	0.00%
6400 Federal Funds Ltd	3,093	3,093	0	0.00%
TOTAL AVAILABLE REVENUES	\$3,711	\$3,711	\$0	0.00%
EXPENDITURES				

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Public Utility Commission

Agency Number: 86000

**Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation**

**Cross Reference Number: 86000-001-00-00-00000
Package: Standard Inflation
Pkg Group: ESS Pkg Type: 030 Pkg Number: 031**

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
SERVICES & SUPPLIES				
4100 Instate Travel				
3400 Other Funds Ltd	3,707	3,707	0	0.00%
6400 Federal Funds Ltd	976	976	0	0.00%
All Funds	4,683	4,683	0	0.00%
4125 Out of State Travel				
3400 Other Funds Ltd	2,910	2,910	0	0.00%
6400 Federal Funds Ltd	356	356	0	0.00%
All Funds	3,266	3,266	0	0.00%
4150 Employee Training				
3400 Other Funds Ltd	4,985	4,985	0	0.00%
6400 Federal Funds Ltd	490	490	0	0.00%
All Funds	5,475	5,475	0	0.00%
4175 Office Expenses				
3400 Other Funds Ltd	2,230	2,230	0	0.00%
6400 Federal Funds Ltd	151	151	0	0.00%
All Funds	2,381	2,381	0	0.00%
4200 Telecommunications				
3400 Other Funds Ltd	1,674	1,674	0	0.00%

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Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation

Cross Reference Number: 86000-001-00-00-00000

Package: Standard Inflation

Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
6400 Federal Funds Ltd	104	104	0	0.00%
All Funds	1,778	1,778	0	0.00%
4225 State Gov. Service Charges				
3400 Other Funds Ltd	(4,720)	(4,720)	0	0.00%
4275 Publicity and Publications				
3400 Other Funds Ltd	549	549	0	0.00%
6400 Federal Funds Ltd	19	19	0	0.00%
All Funds	568	568	0	0.00%
4300 Professional Services				
3400 Other Funds Ltd	1,965	1,965	0	0.00%
4325 Attorney General				
3400 Other Funds Ltd	176,245	124,955	(51,290)	(29.10%)
4375 Employee Recruitment and Develop				
3400 Other Funds Ltd	845	845	0	0.00%
6400 Federal Funds Ltd	70	70	0	0.00%
All Funds	915	915	0	0.00%
4400 Dues and Subscriptions				
3400 Other Funds Ltd	4,196	4,196	0	0.00%
6400 Federal Funds Ltd	23	23	0	0.00%

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Agency Number: 86000

**Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation**

**Cross Reference Number: 86000-001-00-00-00000
Package: Standard Inflation
Pkg Group: ESS Pkg Type: 030 Pkg Number: 031**

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
All Funds	4,219	4,219	0	0.00%
4425 Facilities Rental and Taxes				
3400 Other Funds Ltd	22,091	22,091	0	0.00%
6400 Federal Funds Ltd	392	392	0	0.00%
All Funds	22,483	22,483	0	0.00%
4575 Agency Program Related S and S				
3400 Other Funds Ltd	100	100	0	0.00%
4650 Other Services and Supplies				
3400 Other Funds Ltd	948	948	0	0.00%
6400 Federal Funds Ltd	433	433	0	0.00%
All Funds	1,381	1,381	0	0.00%
4700 Expendable Prop 250 - 5000				
3400 Other Funds Ltd	284	284	0	0.00%
6400 Federal Funds Ltd	79	79	0	0.00%
All Funds	363	363	0	0.00%
4715 IT Expendable Property				
3400 Other Funds Ltd	150	150	0	0.00%
SERVICES & SUPPLIES				
3400 Other Funds Ltd	218,159	166,869	(51,290)	(23.51%)

2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation

Cross Reference Number: 86000-001-00-00-00000

Package: Standard Inflation

Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
6400 Federal Funds Ltd	3,093	3,093	0	0.00%
TOTAL SERVICES & SUPPLIES	\$221,252	\$169,962	(\$51,290)	(23.18%)
EXPENDITURES				
3400 Other Funds Ltd	218,159	166,869	(51,290)	(23.51%)
6400 Federal Funds Ltd	3,093	3,093	0	0.00%
TOTAL EXPENDITURES	\$221,252	\$169,962	(\$51,290)	(23.18%)
ENDING BALANCE				
3400 Other Funds Ltd	(217,541)	(166,251)	51,290	23.58%
6400 Federal Funds Ltd	-	-	0	0.00%
TOTAL ENDING BALANCE	(\$217,541)	(\$166,251)	\$51,290	23.58%

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Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation

Cross Reference Number: 86000-001-00-00-00000
Package: Above Standard Inflation
Pkg Group: ESS Pkg Type: 030 Pkg Number: 032

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
EXPENDITURES				
SERVICES & SUPPLIES				
4300 Professional Services				
3400 Other Funds Ltd	196	196	0	0.00%
4425 Facilities Rental and Taxes				
3400 Other Funds Ltd	49,304	150,926	101,622	206.11%
6400 Federal Funds Ltd	-	1,558	1,558	100.00%
All Funds	49,304	152,484	103,180	209.27%
SERVICES & SUPPLIES				
3400 Other Funds Ltd	49,500	151,122	101,622	205.30%
6400 Federal Funds Ltd	-	1,558	1,558	100.00%
TOTAL SERVICES & SUPPLIES	\$49,500	\$152,680	\$103,180	208.44%
EXPENDITURES				
3400 Other Funds Ltd	49,500	151,122	101,622	205.30%
6400 Federal Funds Ltd	-	1,558	1,558	100.00%
TOTAL EXPENDITURES	\$49,500	\$152,680	\$103,180	208.44%
ENDING BALANCE				
3400 Other Funds Ltd	(49,500)	(151,122)	(101,622)	(205.30%)
6400 Federal Funds Ltd	-	(1,558)	(1,558)	100.00%

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2015-17 Biennium
Utility Regulation

Cross Reference Number: 86000-001-00-00-00000
Package: Above Standard Inflation
Pkg Group: ESS Pkg Type: 030 Pkg Number: 032

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
TOTAL ENDING BALANCE	(\$49,500)	(\$152,680)	(\$103,180)	(208.44%)

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Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation

Cross Reference Number: 86000-001-00-00-00000

Package: Fundshifts

Pkg Group: ESS Pkg Type: 050 Pkg Number: 050

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
REVENUE CATEGORIES				
FEDERAL FUNDS REVENUE				
0995 Federal Funds				
6400 Federal Funds Ltd	(182,406)	(182,406)	0	0.00%
TRANSFERS IN				
1020 Transfer In - Indirect Cost				
3400 Other Funds Ltd	(30,401)	(30,401)	0	0.00%
REVENUE CATEGORIES				
3400 Other Funds Ltd	(30,401)	(30,401)	0	0.00%
6400 Federal Funds Ltd	(182,406)	(182,406)	0	0.00%
TOTAL REVENUE CATEGORIES	(\$212,807)	(\$212,807)	\$0	0.00%
2000				
2020 Transfer Out - Indirect Cost				
6400 Federal Funds Ltd	30,401	30,401	0	0.00%
AVAILABLE REVENUES				
3400 Other Funds Ltd	(30,401)	(30,401)	0	0.00%
6400 Federal Funds Ltd	(152,005)	(152,005)	0	0.00%
TOTAL AVAILABLE REVENUES	(\$182,406)	(\$182,406)	\$0	0.00%
EXPENDITURES				

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

**Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation**

Gross Reference Number: 86000-001-00-00-00000

Package: Fundshifts

Pkg Group: ESS Pkg Type: 050 Pkg Number: 050

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
PERSONAL SERVICES				
SALARIES & WAGES				
3110 Class/Unclass Sal. and Per Diem				
3400 Other Funds Ltd	96,021	96,021	0	0.00%
6400 Federal Funds Ltd	(96,021)	(96,021)	0	0.00%
All Funds	-	-	0	0.00%
3190 All Other Differential				
3400 Other Funds Ltd	3	3	0	0.00%
6400 Federal Funds Ltd	(3)	(3)	0	0.00%
All Funds	-	-	0	0.00%
SALARIES & WAGES				
3400 Other Funds Ltd	96,024	96,024	0	0.00%
6400 Federal Funds Ltd	(96,024)	(96,024)	0	0.00%
TOTAL SALARIES & WAGES	-	-	\$0	0.00%
OTHER PAYROLL EXPENSES				
3210 Empl. Rel. Bd. Assessments				
3400 Other Funds Ltd	26	26	0	0.00%
6400 Federal Funds Ltd	(26)	(26)	0	0.00%
All Funds	-	-	0	0.00%

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

**Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation**

Gross Reference Number: 86000-001-00-00-00000

Package: Fundshifts

Pkg Group: ESS Pkg Type: 050 Pkg Number: 050

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3220 Public Employees Retire Cont				
3400 Other Funds Ltd	15,161	15,161	0	0.00%
6400 Federal Funds Ltd	(15,161)	(15,161)	0	0.00%
All Funds	-	-	0	0.00%
3221 Pension Obligation Bond				
3400 Other Funds Ltd	5,939	5,939	0	0.00%
6400 Federal Funds Ltd	(5,939)	(5,939)	0	0.00%
All Funds	-	-	0	0.00%
3230 Social Security Taxes				
3400 Other Funds Ltd	7,344	7,344	0	0.00%
6400 Federal Funds Ltd	(7,344)	(7,344)	0	0.00%
All Funds	-	-	0	0.00%
3250 Workers Comp. Assess. (WCD)				
3400 Other Funds Ltd	39	39	0	0.00%
6400 Federal Funds Ltd	(39)	(39)	0	0.00%
All Funds	-	-	0	0.00%
3260 Mass Transit Tax				
3400 Other Funds Ltd	576	576	0	0.00%
3270 Flexible Benefits				

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

**Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation**

**Cross Reference Number: 86000-001-00-00-00000
Package: Fundshifts
Pkg Group: ESS Pkg Type: 050 Pkg Number: 050**

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	18,625	18,625	0	0.00%
6400 Federal Funds Ltd	(18,625)	(18,625)	0	0.00%
All Funds	-	-	0	0.00%
OTHER PAYROLL EXPENSES				
3400 Other Funds Ltd	47,710	47,710	0	0.00%
6400 Federal Funds Ltd	(47,134)	(47,134)	0	0.00%
TOTAL OTHER PAYROLL EXPENSES	\$576	\$576	\$0	0.00%
PERSONAL SERVICES				
3400 Other Funds Ltd	143,734	143,734	0	0.00%
6400 Federal Funds Ltd	(143,158)	(143,158)	0	0.00%
TOTAL PERSONAL SERVICES	\$576	\$576	\$0	0.00%
SERVICES & SUPPLIES				
4100 Instate Travel				
3400 Other Funds Ltd	2,792	2,792	0	0.00%
6400 Federal Funds Ltd	(2,792)	(2,792)	0	0.00%
All Funds	-	-	0	0.00%
4125 Out of State Travel				
3400 Other Funds Ltd	1,019	1,019	0	0.00%
6400 Federal Funds Ltd	(1,019)	(1,019)	0	0.00%

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Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation

Cross Reference Number: 86000-001-00-00-00000
Package: Fundshifts
Pkg Group: ESS Pkg Type: 050 Pkg Number: 050

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
All Funds	-	-	0	0.00%
4150 Employee Training				
3400 Other Funds Ltd	1,402	1,402	0	0.00%
6400 Federal Funds Ltd	(1,402)	(1,402)	0	0.00%
All Funds	-	-	0	0.00%
4175 Office Expenses				
3400 Other Funds Ltd	433	433	0	0.00%
6400 Federal Funds Ltd	(433)	(433)	0	0.00%
All Funds	-	-	0	0.00%
4200 Telecommunications				
3400 Other Funds Ltd	299	299	0	0.00%
6400 Federal Funds Ltd	(299)	(299)	0	0.00%
All Funds	-	-	0	0.00%
4275 Publicity and Publications				
3400 Other Funds Ltd	54	54	0	0.00%
6400 Federal Funds Ltd	(54)	(54)	0	0.00%
All Funds	-	-	0	0.00%
4375 Employee Recruitment and Develop				
3400 Other Funds Ltd	199	199	0	0.00%

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Public Utility Commission

Agency Number: 86000

**Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation**

Cross Reference Number: 86000-001-00-00-00000

Package: Fundshifts

Pkg Group: ESS Pkg Type: 050 Pkg Number: 050

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
6400 Federal Funds Ltd	(199)	(199)	0	0.00%
All Funds	-	-	0	0.00%
4400 Dues and Subscriptions				
3400 Other Funds Ltd	65	65	0	0.00%
6400 Federal Funds Ltd	(65)	(65)	0	0.00%
All Funds	-	-	0	0.00%
4425 Facilities Rental and Taxes				
3400 Other Funds Ltd	1,121	1,121	0	0.00%
6400 Federal Funds Ltd	(1,121)	(1,121)	0	0.00%
All Funds	-	-	0	0.00%
4650 Other Services and Supplies				
3400 Other Funds Ltd	1,238	1,238	0	0.00%
6400 Federal Funds Ltd	(1,238)	(1,238)	0	0.00%
All Funds	-	-	0	0.00%
4700 Expendable Prop 250 - 5000				
3400 Other Funds Ltd	225	225	0	0.00%
6400 Federal Funds Ltd	(225)	(225)	0	0.00%
All Funds	-	-	0	0.00%
SERVICES & SUPPLIES				

2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation

Cross Reference Number: 86000-001-00-00-00000

Package: Fundshifts

Pkg Group: ESS Pkg Type: 050 Pkg Number: 050

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	8,847	8,847	0	0.00%
6400 Federal Funds Ltd	(8,847)	(8,847)	0	0.00%
TOTAL SERVICES & SUPPLIES	-	-	\$0	0.00%
EXPENDITURES				
3400 Other Funds Ltd	152,581	152,581	0	0.00%
6400 Federal Funds Ltd	(152,005)	(152,005)	0	0.00%
TOTAL EXPENDITURES	\$576	\$576	\$0	0.00%
ENDING BALANCE				
3400 Other Funds Ltd	(182,982)	(182,982)	0	0.00%
6400 Federal Funds Ltd	-	-	0	0.00%
TOTAL ENDING BALANCE	(\$182,982)	(\$182,982)	\$0	0.00%

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Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Utility Regulation

Cross Reference Number: 86000-001-00-00-00000
Package: Technical Adjustments
Pkg Group: ESS Pkg Type: 060 Pkg Number: 060

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
EXPENDITURES				
SERVICES & SUPPLIES				
4300 Professional Services				
3400 Other Funds Ltd	(25,000)	(25,000)	0	0.00%
4315 IT Professional Services				
3400 Other Funds Ltd	20,000	20,000	0	0.00%
4475 Facilities Maintenance				
3400 Other Funds Ltd	5,000	5,000	0	0.00%
SERVICES & SUPPLIES				
3400 Other Funds Ltd	-	-	0	0.00%
TOTAL SERVICES & SUPPLIES	-	-	\$0	0.00%
EXPENDITURES				
3400 Other Funds Ltd	-	-	0	0.00%
TOTAL EXPENDITURES	-	-	\$0	0.00%
ENDING BALANCE				
3400 Other Funds Ltd	-	-	0	0.00%
TOTAL ENDING BALANCE	-	-	\$0	0.00%

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Residential Service Protection

Cross Reference Number: 86000-003-00-00-00000
Package: Non-PICS Psnl Svc / Vacancy Factor
Pkg Group: ESS Pkg Type: 010 Pkg Number: 010

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
EXPENDITURES				
PERSONAL SERVICES				
SALARIES & WAGES				
3190 All Other Differential				
3400 Other Funds Ltd	184	184	0	0.00%
OTHER PAYROLL EXPENSES				
3220 Public Employees Retire Cont				
3400 Other Funds Ltd	29	29	0	0.00%
3221 Pension Obligation Bond				
3400 Other Funds Ltd	2,337	2,337	0	0.00%
3230 Social Security Taxes				
3400 Other Funds Ltd	14	14	0	0.00%
3260 Mass Transit Tax				
3400 Other Funds Ltd	838	838	0	0.00%
OTHER PAYROLL EXPENSES				
3400 Other Funds Ltd	3,218	3,218	0	0.00%
TOTAL OTHER PAYROLL EXPENSES	\$3,218	\$3,218	\$0	0.00%

P.S. BUDGET ADJUSTMENTS

3455 Vacancy Savings

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
 2015-17 Biennium
 Residential Service Protection

Cross Reference Number: 86000-003-00-00-00000
 Package: Non-PICS Psnl Svc / Vacancy Factor
 Pkg Group: ESS Pkg Type: 010 Pkg Number: 010

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	47,259	47,259	0	0.00%
PERSONAL SERVICES				
3400 Other Funds Ltd	50,661	50,661	0	0.00%
TOTAL PERSONAL SERVICES	\$50,661	\$50,661	\$0	0.00%
EXPENDITURES				
3400 Other Funds Ltd	50,661	50,661	0	0.00%
TOTAL EXPENDITURES	\$50,661	\$50,661	\$0	0.00%
ENDING BALANCE				
3400 Other Funds Ltd	(50,661)	(50,661)	0	0.00%
TOTAL ENDING BALANCE	(\$50,661)	(\$50,661)	\$0	0.00%

2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium

Cross Reference Number: 86000-003-00-00-00000

Residential Service Protection

Package: Standard Inflation
Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		

EXPENDITURES

SERVICES & SUPPLIES

4100 Instate Travel				
3400 Other Funds Ltd	364	364	0	0.00%
4125 Out of State Travel				
3400 Other Funds Ltd	153	153	0	0.00%
4150 Employee Training				
3400 Other Funds Ltd	355	355	0	0.00%
4175 Office Expenses				
3400 Other Funds Ltd	2,576	2,576	0	0.00%
4200 Telecommunications				
3400 Other Funds Ltd	655	655	0	0.00%
4225 State Gov. Service Charges				
3400 Other Funds Ltd	8	8	0	0.00%
4250 Data Processing				
3400 Other Funds Ltd	7	7	0	0.00%
4275 Publicity and Publications				
3400 Other Funds Ltd	790	790	0	0.00%
4300 Professional Services				

2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium

Cross Reference Number: 86000-003-00-00-00000

Residential Service Protection

Package: Standard Inflation
Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	176,141	176,141	0	0.00%
4325 Attorney General				
3400 Other Funds Ltd	2,587	(2,665)	(5,252)	(203.02%)
4400 Dues and Subscriptions				
3400 Other Funds Ltd	34	34	0	0.00%
4425 Facilities Rental and Taxes				
3400 Other Funds Ltd	2,111	2,111	0	0.00%
4475 Facilities Maintenance				
3400 Other Funds Ltd	150	150	0	0.00%
4575 Agency Program Related S and S				
3400 Other Funds Ltd	110,969	110,969	0	0.00%
4650 Other Services and Supplies				
3400 Other Funds Ltd	2,431	2,431	0	0.00%
4700 Expendable Prop 250 - 5000				
3400 Other Funds Ltd	360	360	0	0.00%
SERVICES & SUPPLIES				
3400 Other Funds Ltd	299,691	294,439	(5,252)	(1.75%)
TOTAL SERVICES & SUPPLIES	\$299,691	\$294,439	(\$5,252)	(1.75%)

EXPENDITURES

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Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
 2015-17 Biennium
 Residential Service Protection

Cross Reference Number: 86000-003-00-00-00000
 Package: Standard Inflation
 Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	299,691	294,439	(5,252)	(1.75%)
TOTAL EXPENDITURES	\$299,691	\$294,439	(\$5,252)	(1.75%)
ENDING BALANCE				
3400 Other Funds Ltd	(299,691)	(294,439)	5,252	1.75%
TOTAL ENDING BALANCE	(\$299,691)	(\$294,439)	\$5,252	1.75%

2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium

Cross Reference Number: 86000-003-00-00-00000

Residential Service Protection

Package: Above Standard Inflation
Pkg Group: ESS Pkg Type: 030 Pkg Number: 032

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
EXPENDITURES				
SERVICES & SUPPLIES				
4300 Professional Services				
3400 Other Funds Ltd	17,614	17,614	0	0.00%
4425 Facilities Rental and Taxes				
3400 Other Funds Ltd	-	9,100	9,100	100.00%
SERVICES & SUPPLIES				
3400 Other Funds Ltd	17,614	26,714	9,100	51.66%
TOTAL SERVICES & SUPPLIES	\$17,614	\$26,714	\$9,100	51.66%
EXPENDITURES				
3400 Other Funds Ltd	17,614	26,714	9,100	51.66%
TOTAL EXPENDITURES	\$17,614	\$26,714	\$9,100	51.66%
ENDING BALANCE				
3400 Other Funds Ltd	(17,614)	(26,714)	(9,100)	(51.66%)
TOTAL ENDING BALANCE	(\$17,614)	(\$26,714)	(\$9,100)	(51.66%)

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Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Residential Service Protection

Cross Reference Number: 86000-003-00-00-00000
Package: Technical Adjustments
Pkg Group: ESS Pkg Type: 060 Pkg Number: 060

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
EXPENDITURES				
SERVICES & SUPPLIES				
4325 Attorney General				
3400 Other Funds Ltd	95,974	95,974	0	0.00%
SERVICES & SUPPLIES				
3400 Other Funds Ltd	95,974	95,974	0	0.00%
TOTAL SERVICES & SUPPLIES	\$95,974	\$95,974	\$0	0.00%
EXPENDITURES				
3400 Other Funds Ltd	95,974	95,974	0	0.00%
TOTAL EXPENDITURES	\$95,974	\$95,974	\$0	0.00%
ENDING BALANCE				
3400 Other Funds Ltd	(95,974)	(95,974)	0	0.00%
TOTAL ENDING BALANCE	(\$95,974)	(\$95,974)	\$0	0.00%

2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Policy and Administration

Cross Reference Number: 86000-004-00-00-00000
Package: Non-PICS Psnl Svc / Vacancy Factor
Pkg Group: ESS Pkg Type: 010 Pkg Number: 010

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		

EXPENDITURES

PERSONAL SERVICES

SALARIES & WAGES

3160 Temporary Appointments

3400 Other Funds Ltd	1,684	1,684	0	0.00%
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3170 Overtime Payments

3400 Other Funds Ltd	791	791	0	0.00%
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3180 Shift Differential

3400 Other Funds Ltd	61	61	0	0.00%
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3190 All Other Differential

3400 Other Funds Ltd	506	506	0	0.00%
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SALARIES & WAGES

3400 Other Funds Ltd	3,042	3,042	0	0.00%
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TOTAL SALARIES & WAGES

	\$3,042	\$3,042	\$0	0.00%
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OTHER PAYROLL EXPENSES

3220 Public Employees Retire Cont

3400 Other Funds Ltd	215	215	0	0.00%
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3221 Pension Obligation Bond

3400 Other Funds Ltd	46,506	46,506	0	0.00%
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Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Policy and Administration

Cross Reference Number: 86000-004-00-00-00000
Package: Non-PICS Psnl Svc / Vacancy Factor
Pkg Group: ESS Pkg Type: 010 Pkg Number: 010

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3230 Social Security Taxes				
3400 Other Funds Ltd	234	234	0	0.00%
3260 Mass Transit Tax				
3400 Other Funds Ltd	351	351	0	0.00%
OTHER PAYROLL EXPENSES				
3400 Other Funds Ltd	47,306	47,306	0	0.00%
TOTAL OTHER PAYROLL EXPENSES	\$47,306	\$47,306	\$0	0.00%
P.S. BUDGET ADJUSTMENTS				
3455 Vacancy Savings				
3400 Other Funds Ltd	111,416	111,416	0	0.00%
PERSONAL SERVICES				
3400 Other Funds Ltd	161,764	161,764	0	0.00%
TOTAL PERSONAL SERVICES	\$161,764	\$161,764	\$0	0.00%
EXPENDITURES				
3400 Other Funds Ltd	161,764	161,764	0	0.00%
TOTAL EXPENDITURES	\$161,764	\$161,764	\$0	0.00%
ENDING BALANCE				
3400 Other Funds Ltd	(161,764)	(161,764)	0	0.00%
TOTAL ENDING BALANCE	(\$161,764)	(\$161,764)	\$0	0.00%

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Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Policy and Administration

Cross Reference Number: 86000-004-00-00-00000

Package: Standard Inflation

Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		

EXPENDITURES

SERVICES & SUPPLIES

4100 Instate Travel				
3400 Other Funds Ltd	312	312	0	0.00%
4125 Out of State Travel				
3400 Other Funds Ltd	1,540	1,540	0	0.00%
4150 Employee Training				
3400 Other Funds Ltd	3,392	3,392	0	0.00%
4175 Office Expenses				
3400 Other Funds Ltd	5,042	5,042	0	0.00%
4200 Telecommunications				
3400 Other Funds Ltd	3,861	3,861	0	0.00%
4225 State Gov. Service Charges				
3400 Other Funds Ltd	522,726	427,399	(95,327)	(18.24%)
4250 Data Processing				
3400 Other Funds Ltd	8,993	8,993	0	0.00%
4275 Publicity and Publications				
3400 Other Funds Ltd	734	734	0	0.00%
4300 Professional Services				

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

**Package Comparison Report - Detail
2015-17 Biennium
Policy and Administration**

Cross Reference Number: 86000-004-00-00-00000

Package: Standard Inflation

Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	17,212	17,212	0	0.00%
4315 IT Professional Services				
3400 Other Funds Ltd	79	79	0	0.00%
4325 Attorney General				
3400 Other Funds Ltd	269,498	195,569	(73,929)	(27.43%)
4375 Employee Recruitment and Develop				
3400 Other Funds Ltd	87	87	0	0.00%
4400 Dues and Subscriptions				
3400 Other Funds Ltd	1,555	1,555	0	0.00%
4425 Facilities Rental and Taxes				
3400 Other Funds Ltd	14,060	14,060	0	0.00%
4475 Facilities Maintenance				
3400 Other Funds Ltd	450	450	0	0.00%
4575 Agency Program Related S and S				
3400 Other Funds Ltd	276	276	0	0.00%
4650 Other Services and Supplies				
3400 Other Funds Ltd	1,797	1,797	0	0.00%
4700 Expendable Prop 250 - 5000				
3400 Other Funds Ltd	1,802	1,802	0	0.00%

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Policy and Administration

Cross Reference Number: 86000-004-00-00-00000
Package: Standard Inflation
Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
4715 IT Expendable Property				
3400 Other Funds Ltd	2,250	2,250	0	0.00%
SERVICES & SUPPLIES				
3400 Other Funds Ltd	855,666	686,410	(169,256)	(19.78%)
TOTAL SERVICES & SUPPLIES	\$855,666	\$686,410	(\$169,256)	(19.78%)
CAPITAL OUTLAY				
5600 Data Processing Hardware				
3400 Other Funds Ltd	2,213	2,213	0	0.00%
EXPENDITURES				
3400 Other Funds Ltd	857,879	688,623	(169,256)	(19.73%)
TOTAL EXPENDITURES	\$857,879	\$688,623	(\$169,256)	(19.73%)
ENDING BALANCE				
3400 Other Funds Ltd	(857,879)	(688,623)	169,256	19.73%
TOTAL ENDING BALANCE	(\$857,879)	(\$688,623)	\$169,256	19.73%

2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Policy and Administration

Cross Reference Number: 86000-004-00-00-00000
Package: Above Standard Inflation
Pkg Group: ESS Pkg Type: 030 Pkg Number: 032

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
EXPENDITURES				
SERVICES & SUPPLIES				
4150 Employee Training				
3400 Other Funds Ltd	(1,226)	(1,226)	0	0.00%
4300 Professional Services				
3400 Other Funds Ltd	89,379	89,379	0	0.00%
4315 IT Professional Services				
3400 Other Funds Ltd	17,781	17,781	0	0.00%
4375 Employee Recruitment and Develop				
3400 Other Funds Ltd	1,700	1,700	0	0.00%
4425 Facilities Rental and Taxes				
3400 Other Funds Ltd	16,435	79,155	62,720	381.62%
4650 Other Services and Supplies				
3400 Other Funds Ltd	19,730	19,730	0	0.00%
SERVICES & SUPPLIES				
3400 Other Funds Ltd	143,779	206,499	62,720	43.62%
TOTAL SERVICES & SUPPLIES	\$143,779	\$206,499	\$62,720	43.62%
EXPENDITURES				
3400 Other Funds Ltd	143,779	206,499	62,720	43.62%

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
 2015-17 Biennium
 Policy and Administration

Cross Reference Number: 86000-004-00-00-00000
 Package: Above Standard Inflation
 Pkg Group: ESS Pkg Type: 030 Pkg Number: 032

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
TOTAL EXPENDITURES	\$143,779	\$206,499	\$62,720	43.62%
ENDING BALANCE				
3400 Other Funds Ltd	(143,779)	(206,499)	(62,720)	(43.62%)
TOTAL ENDING BALANCE	(\$143,779)	(\$206,499)	(\$62,720)	(43.62%)

2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Policy and Administration

Cross Reference Number: 86000-004-00-00-00000
Package: Technical Adjustments
Pkg Group: ESS Pkg Type: 060 Pkg Number: 060

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
EXPENDITURES				
SERVICES & SUPPLIES				
4300 Professional Services				
3400 Other Funds Ltd	(130,000)	(130,000)	0	0.00%
4315 IT Professional Services				
3400 Other Funds Ltd	130,000	130,000	0	0.00%
4325 Attorney General				
3400 Other Funds Ltd	(95,974)	(95,974)	0	0.00%
SERVICES & SUPPLIES				
3400 Other Funds Ltd	(95,974)	(95,974)	0	0.00%
TOTAL SERVICES & SUPPLIES	(\$95,974)	(\$95,974)	\$0	0.00%
EXPENDITURES				
3400 Other Funds Ltd	(95,974)	(95,974)	0	0.00%
TOTAL EXPENDITURES	(\$95,974)	(\$95,974)	\$0	0.00%
ENDING BALANCE				
3400 Other Funds Ltd	95,974	95,974	0	0.00%
TOTAL ENDING BALANCE	\$95,974	\$95,974	\$0	0.00%

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Board of Maritime Pilots

Cross Reference Number: 86000-005-00-00-00000
Package: Non-PICS Psnl Svc / Vacancy Factor
Pkg Group: ESS Pkg Type: 010 Pkg Number: 010

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
EXPENDITURES				
PERSONAL SERVICES				
OTHER PAYROLL EXPENSES				
3221 Pension Obligation Bond				
3400 Other Funds Ltd	3,226	3,226	0	0.00%
3260 Mass Transit Tax				
3400 Other Funds Ltd	628	628	0	0.00%
OTHER PAYROLL EXPENSES				
3400 Other Funds Ltd	3,854	3,854	0	0.00%
TOTAL OTHER PAYROLL EXPENSES	\$3,854	\$3,854	\$0	0.00%
P.S. BUDGET ADJUSTMENTS				
3455 Vacancy Savings				
3400 Other Funds Ltd	(1,834)	(1,834)	0	0.00%
PERSONAL SERVICES				
3400 Other Funds Ltd	2,020	2,020	0	0.00%
TOTAL PERSONAL SERVICES	\$2,020	\$2,020	\$0	0.00%
EXPENDITURES				
3400 Other Funds Ltd	2,020	2,020	0	0.00%
TOTAL EXPENDITURES	\$2,020	\$2,020	\$0	0.00%

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
 2015-17 Biennium
 Board of Maritime Pilots

Cross Reference Number: 86000-005-00-00-00000
 Package: Non-PICS Psnl Svc / Vacancy Factor
 Pkg Group: ESS Pkg Type: 010 Pkg Number: 010

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
ENDING BALANCE				
3400 Other Funds Ltd	(2,020)	(2,020)	0	0.00%
TOTAL ENDING BALANCE	(\$2,020)	(\$2,020)	\$0	0.00%

2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Board of Maritime Pilots

Cross Reference Number: 86000-005-00-00-00000
Package: Phase-out Pgm & One-time Costs
Pkg Group: ESS Pkg Type: 020 Pkg Number: 022

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
EXPENDITURES				
SERVICES & SUPPLIES				
4300 Professional Services				
3400 Other Funds Ltd	(200,000)	(200,000)	0	0.00%
SERVICES & SUPPLIES				
3400 Other Funds Ltd	(200,000)	(200,000)	0	0.00%
TOTAL SERVICES & SUPPLIES	(\$200,000)	(\$200,000)	\$0	0.00%
EXPENDITURES				
3400 Other Funds Ltd	(200,000)	(200,000)	0	0.00%
TOTAL EXPENDITURES	(\$200,000)	(\$200,000)	\$0	0.00%
ENDING BALANCE				
3400 Other Funds Ltd	200,000	200,000	0	0.00%
TOTAL ENDING BALANCE	\$200,000	\$200,000	\$0	0.00%

2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail

Cross Reference Number: 86000-005-00-00-00000

2015-17 Biennium

Package: Standard Inflation

Board of Maritime Pilots

Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		

EXPENDITURES

SERVICES & SUPPLIES

4100 Instate Travel				
3400 Other Funds Ltd	266	266	0	0.00%
4125 Out of State Travel				
3400 Other Funds Ltd	45	45	0	0.00%
4150 Employee Training				
3400 Other Funds Ltd	27	27	0	0.00%
4175 Office Expenses				
3400 Other Funds Ltd	290	290	0	0.00%
4200 Telecommunications				
3400 Other Funds Ltd	244	244	0	0.00%
4225 State Gov. Service Charges				
3400 Other Funds Ltd	433	433	0	0.00%
4250 Data Processing				
3400 Other Funds Ltd	59	59	0	0.00%
4275 Publicity and Publications				
3400 Other Funds Ltd	81	81	0	0.00%
4300 Professional Services				

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium

Cross Reference Number: 86000-005-00-00-00000

Board of Maritime Pilots

Package: Standard Inflation

Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	931	931	0	0.00%
4325 Attorney General				
3400 Other Funds Ltd	7,166	5,081	(2,085)	(29.10%)
4425 Facilities Rental and Taxes				
3400 Other Funds Ltd	821	821	0	0.00%
4575 Agency Program Related S and S				
3400 Other Funds Ltd	3,692	3,692	0	0.00%
4650 Other Services and Supplies				
3400 Other Funds Ltd	45	45	0	0.00%
4700 Expendable Prop 250 - 5000				
3400 Other Funds Ltd	45	45	0	0.00%
4715 IT Expendable Property				
3400 Other Funds Ltd	45	45	0	0.00%
SERVICES & SUPPLIES				
3400 Other Funds Ltd	14,190	12,105	(2,085)	(14.69%)
TOTAL SERVICES & SUPPLIES	\$14,190	\$12,105	(\$2,085)	(14.69%)
EXPENDITURES				
3400 Other Funds Ltd	14,190	12,105	(2,085)	(14.69%)
TOTAL EXPENDITURES	\$14,190	\$12,105	(\$2,085)	(14.69%)

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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Board of Maritime Pilots

Cross Reference Number: 86000-005-00-00-00000
Package: Standard Inflation
Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
ENDING BALANCE				
3400 Other Funds Ltd	(14,190)	(12,105)	2,085	14.69%
TOTAL ENDING BALANCE	(\$14,190)	(\$12,105)	\$2,085	14.69%

2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Board of Maritime Pilots

Cross Reference Number: 86000-005-00-00-00000
Package: Above Standard Inflation
Pkg Group: ESS Pkg Type: 030 Pkg Number: 032

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
EXPENDITURES				
SERVICES & SUPPLIES				
4300 Professional Services				
3400 Other Funds Ltd	93	93	0	0.00%
SERVICES & SUPPLIES				
3400 Other Funds Ltd	93	93	0	0.00%
TOTAL SERVICES & SUPPLIES	\$93	\$93	\$0	0.00%
EXPENDITURES				
3400 Other Funds Ltd	93	93	0	0.00%
TOTAL EXPENDITURES	\$93	\$93	\$0	0.00%
ENDING BALANCE				
3400 Other Funds Ltd	(93)	(93)	0	0.00%
TOTAL ENDING BALANCE	(\$93)	(\$93)	\$0	0.00%

2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
 2015-17 Biennium
 Board of Maritime Pilots

Gross Reference Number: 86000-005-00-00-00000
 Package: Increase OBMP's Director FTE
 Pkg Group: POL Pkg Type: POL Pkg Number: 101

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		

EXPENDITURES

PERSONAL SERVICES

SALARIES & WAGES

3110 Class/Unclass Sal. and Per Diem

3400 Other Funds Ltd	87,780	87,780	0	0.00%
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3190 All Other Differential

3400 Other Funds Ltd	9,264	9,264	0	0.00%
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SALARIES & WAGES

3400 Other Funds Ltd	97,044	97,044	0	0.00%
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TOTAL SALARIES & WAGES	\$97,044	\$97,044	\$0	0.00%
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OTHER PAYROLL EXPENSES

3220 Public Employees Retire Cont

3400 Other Funds Ltd	15,324	15,324	0	0.00%
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3230 Social Security Taxes

3400 Other Funds Ltd	7,424	7,424	0	0.00%
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3260 Mass Transit Tax

3400 Other Funds Ltd	582	582	0	0.00%
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OTHER PAYROLL EXPENSES

3400 Other Funds Ltd	23,330	23,330	0	0.00%
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2015-2017 GOVERNOR'S BUDGET

Public Utility Commission

Agency Number: 86000

Package Comparison Report - Detail
2015-17 Biennium
Board of Maritime Pilots

Cross Reference Number: 86000-005-00-00-00000
Package: Increase OBMP's Director FTE
Pkg Group: POL Pkg Type: POL Pkg Number: 101

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
TOTAL OTHER PAYROLL EXPENSES	\$23,330	\$23,330	\$0	0.00%
PERSONAL SERVICES				
3400 Other Funds Ltd	120,374	120,374	0	0.00%
TOTAL PERSONAL SERVICES	\$120,374	\$120,374	\$0	0.00%
EXPENDITURES				
3400 Other Funds Ltd	120,374	120,374	0	0.00%
TOTAL EXPENDITURES	\$120,374	\$120,374	\$0	0.00%
ENDING BALANCE				
3400 Other Funds Ltd	(120,374)	(120,374)	0	0.00%
TOTAL ENDING BALANCE	(\$120,374)	(\$120,374)	\$0	0.00%
AUTHORIZED FTE				
8250 Class/Unclass FTE Positions	0.50	0.50	0.00	0.00%

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2015-2017 GOVERNOR'S BUDGET

12/22/14 REPORT NO.: PPDPLBUDCL
 REPORT: SUMMARY LIST BY PKG BY SUMMARY XREF
 AGENCY: 86000 PUBLIC UTILITY COMMISSION
 SUMMARY XREF: 001-00-00 000 Utility Regulation

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

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 PICS SYSTEM: BUDGET PREPARATION

PKG	CLASS	COMP	DESCRIPTION	POS CNT	FTE	MOS	AVERAGE RATE	GF SAL	OF SAL	FF SAL	LF SAL	AF SAL
000	MESNZ7012	AA	PRINCIPAL EXECUTIVE/MANAGER G	1	1.00	24.00	10,306.00		247,344			247,344
000	MMN X0119	AA	EXECUTIVE SUPPORT SPECIALIST 2	1	1.00	24.00	3,389.00		81,336			81,336
000	MMN X0873	AA	OPERATIONS & POLICY ANALYST 4	1	1.00	24.00	7,701.00		184,824			184,824
000	MMN X1164	AA	ECONOMIST 4	3	3.00	72.00	7,379.66		531,336			531,336
000	MMN X1320	AA	HUMAN RESOURCE ANALYST 1	1	1.00	24.00	3,717.00		89,208			89,208
000	MMN X7008	AA	PRINCIPAL EXECUTIVE/MANAGER E	1	1.00	24.00	7,701.00		184,824			184,824
000	MMS X7006	AA	PRINCIPAL EXECUTIVE/MANAGER D	1	1.00	24.00	6,351.00		152,424			152,424
000	MMS X7008	AA	PRINCIPAL EXECUTIVE/MANAGER E	3	3.00	72.00	7,839.00		564,408			564,408
000	MMS X7010	AA	PRINCIPAL EXECUTIVE/MANAGER F	4	4.00	96.00	8,437.25		774,706	35,270		809,976
000	UA C0104	AA	OFFICE SPECIALIST 2	2	2.00	48.00	2,887.00		138,576			138,576
000	UA C0107	AA	ADMINISTRATIVE SPECIALIST 1	4	4.00	96.00	3,028.25		271,483	19,229		290,712
000	UA C0324	AA	PUBLIC SERVICE REP 4	6	6.00	144.00	3,519.50		506,808			506,808
000	UA C0871	AA	OPERATIONS & POLICY ANALYST 2	1	1.00	24.00	5,277.00		126,648			126,648
000	UA C1155	AA	UTILITY AND ENERGY ANALYST 1	2	2.00	48.00	4,571.00		219,408			219,408
000	UA C1156	AA	UTILITY AND ENERGY ANALYST 2	10	9.75	234.00	5,302.20		1,096,038	150,336		1,246,374
000	UA C1157	AA	UTILITY AND ENERGY ANALYST 3	32	31.50	756.00	6,530.09		4,644,343	286,469		4,930,812
000	UA C1338	AA	TRAINING & DEVELOPMENT SPEC 1	1	1.00	24.00	4,791.00		114,984			114,984
000	UA C5246	AA	COMPLIANCE SPECIALIST 1	3	3.00	72.00	4,056.00		292,032			292,032
000				77	76.25	1830.00	5,856.32		10,220,730	491,304		10,712,034

2015-2017 GOVERNOR'S BUDGET

12/22/14 REPORT NO.: PFDPLBUDCL
 REPORT: SUMMARY LIST BY PKG BY SUMMARY XREF
 AGENCY: 06000 PUBLIC UTILITY COMMISSION
 SUMMARY XREF: 003-00-00 000 Residential Service

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

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 PICS SYSTEM: BUDGET PREPARATION

PKG	CLASS	COMP	DESCRIPTION	POS CNT	FTE	MOS	AVERAGE RATE	GF SAL	OF SAL	FF SAL	LF SAL	AF SAL
000	MMN	X7006	AA PRINCIPAL EXECUTIVE/MANAGER D	1	1.00	24.00	6,351.00		152,424			152,424
000	UA	C0108	AA ADMINISTRATIVE SPECIALIST 2	1	1.00	24.00	3,450.00		82,800			82,800
000	UA	C0323	AA PUBLIC SERVICE REP 3	5	5.00	120.00	2,640.00		316,800			316,800
000	UA	C5246	AA COMPLIANCE SPECIALIST 1	1	1.00	24.00	4,162.00		99,888			99,888
000				8	8.00	192.00	3,395.37		651,912			651,912
				8	8.00	192.00	3,395.37		651,912			651,912

2015-2017 GOVERNOR'S BUDGET

12/22/14 REPORT NO.: PPDPLBUDCL
 REPORT: SUMMARY LIST BY PKG BY SUMMARY XREF
 AGENCY: 86000 PUBLIC UTILITY COMMISSION
 SUMMARY XREF: 004-00-00 000 Policy and Administration

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 PICS SYSTEM: BUDGET PREPARATION

PKG	CLASS	COMP	DESCRIPTION	POS CNT	FTE	MOS	AVERAGE RATE	GF SAL	OF SAL	FF SAL	LF SAL	AF SAL
000	MEAHE7014	HA	PRINCIPAL EXECUTIVE/MANAGER H	1	1.00	24.00	12,109.00		290,616			290,616
000	MENNE7014	AA	PRINCIPAL EXECUTIVE/MANAGER H	2	2.00	48.00	11,362.00		545,376			545,376
000	MESNZ7010	AA	PRINCIPAL EXECUTIVE/MANAGER F	1	1.00	24.00	7,343.00		176,232			176,232
000	MESNZ7012	AA	PRINCIPAL EXECUTIVE/MANAGER G	2	2.00	48.00	10,306.00		494,688			494,688
000	MMN X0830	AA	EXECUTIVE ASSISTANT	1	1.00	24.00	5,492.00		131,808			131,808
000	MMN X0865	AA	PUBLIC AFFAIRS SPECIALIST 2	1	1.00	24.00	6,663.00		159,912			159,912
000	MMN X0871	AA	OPERATIONS & POLICY ANALYST 2	1	1.00	24.00	5,764.00		138,336			138,336
000	MMN X1218	AA	ACCOUNTANT 4	1	1.00	24.00	5,764.00		138,336			138,336
000	MMN X1321	AA	HUMAN RESOURCE ANALYST 2	1	1.00	24.00	4,742.00		113,808			113,808
000	MMS X7006	AA	PRINCIPAL EXECUTIVE/MANAGER D	1	1.00	24.00	6,998.00		167,952			167,952
000	MMS X7006	IA	PRINCIPAL EXECUTIVE/MANAGER D	1	1.00	24.00	8,087.00		194,088			194,088
000	UA C0104	AA	OFFICE SPECIALIST 2	4	2.76	66.00	2,671.50		177,189			177,189
000	UA C0107	AA	ADMINISTRATIVE SPECIALIST 1	1	1.00	24.00	2,999.00		71,976			71,976
000	UA C0108	AA	ADMINISTRATIVE SPECIALIST 2	2	2.00	48.00	3,973.00		190,704			190,704
000	UA C0110	AA	LEGAL SECRETARY	2	2.00	48.00	3,374.00		161,952			161,952
000	UA C0119	AA	EXECUTIVE SUPPORT SPECIALIST 2	2	2.00	48.00	3,622.00		174,336			174,336
000	UA C0210	AA	ACCOUNTING TECHNICIAN 1	1	1.00	24.00	2,756.00		66,144			66,144
000	UA C0211	AA	ACCOUNTING TECHNICIAN 2	1	1.00	24.00	3,450.00		82,800			82,800
000	UA C0437	AA	PROCUREMENT & CONTRACT SPEC 2	1	1.00	24.00	5,530.00		132,720			132,720
000	UA C0870	AA	OPERATIONS & POLICY ANALYST 1	1	1.00	24.00	4,163.00		99,888			99,888
000	UA C1215	AA	ACCOUNTANT 1	1	1.00	24.00	3,450.00		82,800			82,800
000	UA C1244	AA	FISCAL ANALYST 3	1	1.00	24.00	5,802.00		139,248			139,248
000	UA C1483	IA	INFO SYSTEMS SPECIALIST 3	1	1.00	24.00	3,986.00		95,664			95,664
000	UA C1486	IA	INFO SYSTEMS SPECIALIST 6	3	3.00	72.00	6,403.66		461,064			461,064
000	UA C1487	IA	INFO SYSTEMS SPECIALIST 7	2	2.00	48.00	6,592.00		316,416			316,416

2015-2017 GOVERNOR'S BUDGET

12/22/14 REPORT NO.: PPDPLBUDCL
 REPORT: SUMMARY LIST BY PKG BY SUMMARY XREF
 AGENCY: 86000 PUBLIC UTILITY COMMISSION
 SUMMARY XREF: 004-00-00 000 Policy and Administr

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

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 PICS SYSTEM: BUDGET PREPARATION

PKG	CLASS	COMP	DESCRIPTION	POS CNT	PTE	MOS	AVERAGE RATE	GF SAL	OF SAL	FF SAL	LF SAL	AF SAL
000	UA	C1512 AA	ADMINISTRATIVE LAW JUDGE 3	5	5.00	120.00	8,603.40		1,032,408			1,032,408
000				41	39.76	954.00	6,011.92		5,836,461			5,836,461
				41	39.76	954.00	6,011.92		5,836,461			5,836,461

2015-2017 GOVERNOR'S BUDGET

12/22/14 REPORT NO.: PPDPLBUDCL
 REPORT: SUMMARY LIST BY PKG BY SUMMARY XREF
 AGENCY: 86000 PUBLIC UTILITY COMMISSION
 SUMMARY XREF: 005-00-00 000 Board of Maritime Pi

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

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 PICS SYSTEM: BUDGET PREPARATION

PKG	CLASS	COMP	DESCRIPTION	POS CNT	FTE	MOS	AVERAGE RATE	GF SAL	OF SAL	FF SAL	LF SAL	AF SAL
000	B	Y7500	AE BOARD AND COMMISSION MEMBER		.00	.00	0.00		6,480			6,480
000	MENNEZ7004	AA	PRINCIPAL EXECUTIVE/MANAGER C	1	1.00	24.00	6,046.00		145,104			145,104
000	MENNEZ7008	AA	PRINCIPAL EXECUTIVE/MANAGER E	1	.50	12.00	8,087.00		97,044			97,044
000				2	1.50	36.00	1,284.81		248,628			248,628

2015-2017 GOVERNOR'S BUDGET

12/22/14 REPORT NO.: PPDPLBUDCL
 REPORT: SUMMARY LIST BY PKG BY SUMMARY XREF
 AGENCY: 86000 PUBLIC UTILITY COMMISSION
 SUMMARY XREF: 005-00-00 101 Board of Maritime Pi

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 PICS SYSTEM: BUDGET PREPARATION

PKG	CLASS	COMP	DESCRIPTION	POS CNT	FTE	MOS	AVERAGE RATE	GF SAL	OF SAL	PF SAL	LF SAL	AF SAL
101	MENNZ0873	AA	OPERATIONS & POLICY ANALYST 4	1	1.00	24.00	7,701.00		184,824			184,824
101	MENNZ7008	AA	PRINCIPAL EXECUTIVE/MANAGER E	1-	.50-	12.00-	8,087.00		97,044-			97,044-
101					.50	12.00	7,894.00		87,780			87,780
				2	2.00	48.00	2,301.61		336,408			336,408
				138	126.01	3024.00	5,491.77		17,141,532	395,283		17,536,815

2015-2017 GOVERNOR'S BUDGET

12/22/14 REPORT NO.: PPDPLAGYCL
 REPORT: SUMMARY LIST BY PKG BY AGENCY
 AGENCY: 86000 PUBLIC UTILITY COMMISSION

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

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 PICS SYSTEM: BUDGET PREPARATION

PKG	CLASS	COMP	DESCRIPTION	POS CNT	FTE	MOS	AVERAGE RATE	GF SAL	OF SAL	FF SAL	LF SAL	AF SAL
000	B	Y7500	AE BOARD AND COMMISSION MEMBER		1.00	0.00	0.00		6,480			6,480
000	MEAHZ7014	HA	PRINCIPAL EXECUTIVE/MANAGER H	1	1.00	24.00	12,109.00		290,616			290,616
101	MENNZ0873	AA	OPERATIONS & POLICY ANALYST 4	1	1.00	24.00	7,701.00		184,824			184,824
000	MENNZ7004	AA	PRINCIPAL EXECUTIVE/MANAGER C	1	1.00	24.00	6,046.00		145,104			145,104
101	MENNZ7008	AA	PRINCIPAL EXECUTIVE/MANAGER E		1.00	0.00	8,087.00					
000	MENNZ7014	AA	PRINCIPAL EXECUTIVE/MANAGER H	2	2.00	48.00	11,362.00		545,376			545,376
000	MESNZ7010	AA	PRINCIPAL EXECUTIVE/MANAGER F	1	1.00	24.00	7,343.00		176,232			176,232
000	MESNZ7012	AA	PRINCIPAL EXECUTIVE/MANAGER G	3	3.00	72.00	10,306.00		742,032			742,032
000	MMN X0119	AA	EXECUTIVE SUPPORT SPECIALIST 2	1	1.00	24.00	3,389.00		81,336			81,336
000	MMN X0830	AA	EXECUTIVE ASSISTANT	1	1.00	24.00	5,492.00		131,808			131,808
000	MMN X0865	AA	PUBLIC AFFAIRS SPECIALIST 2	1	1.00	24.00	6,663.00		159,912			159,912
000	MMN X0871	AA	OPERATIONS & POLICY ANALYST 2	1	1.00	24.00	5,764.00		138,336			138,336
000	MMN X0873	AA	OPERATIONS & POLICY ANALYST 4	1	1.00	24.00	7,701.00		184,824			184,824
000	MMN X1164	AA	ECONOMIST 4	3	3.00	72.00	7,379.66		531,336			531,336
000	MMN X1218	AA	ACCOUNTANT 4	1	1.00	24.00	5,764.00		138,336			138,336
000	MMN X1320	AA	HUMAN RESOURCE ANALYST 1	1	1.00	24.00	3,717.00		89,208			89,208
000	MMN X1321	AA	HUMAN RESOURCE ANALYST 2	1	1.00	24.00	4,742.00		113,808			113,808
000	MMN X7006	AA	PRINCIPAL EXECUTIVE/MANAGER D	1	1.00	24.00	6,351.00		152,424			152,424
000	MMN X7008	AA	PRINCIPAL EXECUTIVE/MANAGER E	1	1.00	24.00	7,701.00		184,824			184,824
000	MMS X7006	AA	PRINCIPAL EXECUTIVE/MANAGER D	2	2.00	48.00	6,674.50		320,376			320,376
000	MMS X7006	1A	PRINCIPAL EXECUTIVE/MANAGER D	1	1.00	24.00	8,087.00		194,088			194,088
000	MMS X7008	AA	PRINCIPAL EXECUTIVE/MANAGER E	3	3.00	72.00	7,839.00		564,408			564,408
050	MMS X7010	AA	PRINCIPAL EXECUTIVE/MANAGER F	4	4.00	96.00	7,957.50		809,976			809,976
000	UA	C0104	AA OFFICE SPECIALIST 2	6	4.76	114.00	2,743.33		315,765			315,765
000	UA	C0107	AA ADMINISTRATIVE SPECIALIST 1	5	5.00	120.00	3,022.40		343,459	19,229		362,688

2015-2017 GOVERNOR'S BUDGET

12/22/14 REPORT NO.: PPDPLAGYCL
 REPORT: SUMMARY LIST BY PKG BY AGENCY
 AGENCY: 86000 PUBLIC UTILITY COMMISSION

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

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 PICS SYSTEM: BUDGET PREPARATION

PKG	CLASS	COMP	DESCRIPTION	POS CNT	FTE	MOS	AVERAGE RATE	GF SAL	OF SAL	FF SAL	LF SAL	AF SAL
000	UA	C0108	AA ADMINISTRATIVE SPECIALIST 2	3	3.00	72.00	3,798.66		273,504			273,504
000	UA	C0110	AA LEGAL SECRETARY	2	2.00	48.00	3,374.00		161,952			161,952
000	UA	C0119	AA EXECUTIVE SUPPORT SPECIALIST 2	2	2.00	48.00	3,632.00		174,336			174,336
000	UA	C0210	AA ACCOUNTING TECHNICIAN 1	1	1.00	24.00	2,756.00		66,144			66,144
000	UA	C0211	AA ACCOUNTING TECHNICIAN 2	1	1.00	24.00	3,450.00		82,800			82,800
000	UA	C0323	AA PUBLIC SERVICE REP 3	5	5.00	120.00	2,640.00		316,800			316,800
000	UA	C0324	AA PUBLIC SERVICE REP 4	6	6.00	144.00	3,519.50		506,808			506,808
000	UA	C0437	AA PROCUREMENT & CONTRACT SPEC 2	1	1.00	24.00	5,530.00		132,720			132,720
000	UA	C0870	AA OPERATIONS & POLICY ANALYST 1	1	1.00	24.00	4,162.00		99,888			99,888
000	UA	C0871	AA OPERATIONS & POLICY ANALYST 2	1	1.00	24.00	5,277.00		126,648			126,648
000	UA	C1155	AA UTILITY AND ENERGY ANALYST 1	2	2.00	48.00	4,571.00		219,408			219,408
050	UA	C1156	AA UTILITY AND ENERGY ANALYST 2	10	9.75	234.00	5,278.71		1,108,566	137,808		1,246,374
000	UA	C1157	AA UTILITY AND ENERGY ANALYST 3	32	31.50	756.00	6,495.47		4,692,566	238,246		4,930,812
000	UA	C1215	AA ACCOUNTANT 1	1	1.00	24.00	3,450.00		82,800			82,800
000	UA	C1244	AA FISCAL ANALYST 2	1	1.00	24.00	5,802.00		139,248			139,248
000	UA	C1338	AA TRAINING & DEVELOPMENT SPEC 1	1	1.00	24.00	4,791.00		114,984			114,984
000	UA	C1483	IA INFO SYSTEMS SPECIALIST 3	1	1.00	24.00	3,986.00		95,664			95,664
000	UA	C1486	IA INFO SYSTEMS SPECIALIST 6	3	3.00	72.00	6,403.66		461,064			461,064
000	UA	C1487	IA INFO SYSTEMS SPECIALIST 7	2	2.00	48.00	6,592.00		316,416			316,416
000	UA	C1512	AA ADMINISTRATIVE LAW JUDGE 3	5	5.00	120.00	8,603.40		1,032,408			1,032,408
000	UA	C5246	AA COMPLIANCE SPECIALIST 1	4	4.00	96.00	4,082.50		391,920			391,920
				128	136.01	3024.00	5,491.77		17,141,532	395,293		17,536,815

2015-2017 GOVERNOR'S BUDGET

12/22/14 REPORT NO.: PFDPLWSBUD
 REPORT: DETAIL LISTING BY SUMMARY XREF AGENCY
 AGENCY: 86000 PUBLIC UTILITY COMMISSION
 SUMMARY XREF: 001-00-00 050 Utility Regulation

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

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 PICS SYSTEM: BUDGET PREPARATION
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POSITION NUMBER	AUTH NO	ORG STRUC	F POS PKG Y TYP	CLASS COMP	S T RNG P	POS CNT	FTE	BUDGET RATE	MOS	GF SAL	OF SAL	PF SAL	LF SAL	T R K
0000221	000505060	001-10-00-00000	050 0 PF	MMS X7010 AA	35X 04	1-	1.00-	6,998.00	24.00-		132,682-	35,270-		
EST DATE: 2015/07/01 EXP DATE: 9999/01/01														
0000221	000505060	001-10-00-00000	050 0 PF	MMS X7010 AA	35X 04	1	1.00	6,998.00	24.00		167,952			
EST DATE: 2015/07/01 EXP DATE: 9999/01/01														
0000244	000505280	001-10-00-00000	050 0 PF	UA C1157 AA	31 02	1-	1.00-	5,277.00	24.00-		50,659-	75,989-		
EST DATE: 2015/07/01 EXP DATE: 9999/01/01														
0000244	000505280	001-10-00-00000	050 0 PF	UA C1157 AA	31 02	1	1.00	5,277.00	24.00		56,992	69,656		
EST DATE: 2015/07/01 EXP DATE: 9999/01/01														
0000257	000505400	001-10-00-00000	050 0 PF	UA C1156 AA	28 02	1-	1.00-	4,359.00	24.00-		41,846-	62,770-		
EST DATE: 2015/07/01 EXP DATE: 9999/01/01														
0000257	000505400	001-10-00-00000	050 0 PF	UA C1156 AA	28 02	1	1.00	4,359.00	24.00		47,077	57,539		
EST DATE: 2015/07/01 EXP DATE: 9999/01/01														
0000816	000745410	001-10-00-00000	050 0 PF	UA C1156 AA	28 09	1-	1.00-	6,081.00	24.00-		58,378-	87,566-		
EST DATE: 2015/07/01 EXP DATE: 9999/01/01														
0000816	000745410	001-10-00-00000	050 0 PF	UA C1156 AA	28 09	1	1.00	6,081.00	24.00		65,675	80,269		
EST DATE: 2015/07/01 EXP DATE: 9999/01/01														
0000819	000807630	001-10-00-00000	050 0 PF	UA C1157 AA	31 09	1-	1.00-	7,379.00	24.00-		150,532-	26,564-		
EST DATE: 2015/07/01 EXP DATE: 9999/01/01														
0000819	000807630	001-10-00-00000	050 0 PF	UA C1157 AA	31 09	1	1.00	7,379.00	24.00		177,096			
EST DATE: 2015/07/01 EXP DATE: 9999/01/01														
0700830	000945230	001-10-00-00000	050 0 PF	UA C1157 AA	31 07	1-	1.00-	6,691.00	24.00-		64,234-	96,350-		
EST DATE: 2015/07/01 EXP DATE: 9999/01/01														
0700830	000945230	001-10-00-00000	050 0 PF	UA C1157 AA	31 07	1	1.00	6,691.00	24.00		72,263	88,321		
EST DATE: 2015/07/01 EXP DATE: 9999/01/01														
1500862	001184920	001-10-00-00000	050 0 PF	UA C1157 AA	31 05	1-	1.00-	6,081.00	24.00-		58,378-	87,566-		
EST DATE: 2015/07/01 EXP DATE: 9999/01/01														
1500862	001184920	001-10-00-00000	050 0 PF	UA C1157 AA	31 05	1	1.00	6,081.00	24.00		65,675	80,269		
EST DATE: 2015/07/01 EXP DATE: 9999/01/01														
							050		.00		.00	96,021	96,021-	
								.00		.00		96,021	96,021-	

2015-2017 GOVERNOR'S BUDGET

12/22/14 REPORT NO.: PPDPLWSBUD
 REPORT: DETAIL LISTING BY SUMMARY XREF AGENCY
 AGENCY: 86000 PUBLIC UTILITY COMMISSION
 SUMMARY XREF: 005-00-00 101 Board of Maritime Pi

DEPT. OF ADMIN. SVCS. — PPDB PICS SYSTEM

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 PICS SYSTEM: BUDGET PREPARATION

POSITION NUMBER	AUTH NO	ORG STRUC	PKG Y	F POS TYP	CLASS	COMP	S T RNG P	POS CNT	FTE	BUDGET RATE	MOS	GF SAL	OF SAL	FF SAL	LF SAL	T R K
1500868	001221020	005-01-00-00000	101	0 PP	MENNZ7008	AA	33X 09	1-	.50-	8,087.00	12.00-		97,044-			
EST DATE: 2015/07/01 EXP DATE: 9999/01/01																
1500868	001221020	005-01-00-00000	101	0 PF	MENNZ0873	AA	32 08	1	1.00	7,701.00	24.00		184,824			
EST DATE: 2015/07/01 EXP DATE: 9999/01/01																
			101						.50		12.00		87,780			
									.50		12.00		87,780			
									.50		12.00		183,801	96,021-		

2015-2017 GOVERNOR'S BUDGET

12/22/14 REPORT NO.: PDPFISCAL
 REPORT: PACKAGE FISCAL IMPACT REPORT
 AGENCY: 86000 PUBLIC UTILITY COMMISSION
 SUMMARY XREF: 001-00-00 Utility Regulation

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

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 PICS SYSTEM: BUDGET PREPARATION

PACKAGE: 050 - Fundshifts

POSITION NUMBER	CLASS COMP	CLASS NAME	POS CNT	FTE	MOS	STEP	RATE	GF SAL/OPE	OF SAL/OPE	FF SAL/OPE	LF SAL/OPE	AF SAL/OPE
0000221	MMS X7010 AA	PRINCIPAL EXECUTIVE/MANAGER F	1-	1.00-	24.00-	04	6,998.00		132,682- 55,308-	35,270- 14,701-		167,952- 70,009-
0000221	MMS X7010 AA	PRINCIPAL EXECUTIVE/MANAGER F	1	1.00	24.00	04	6,998.00		167,952 70,009			167,952 70,009
0000244	UA C1157 AA	UTILITY AND ENERGY ANALYST 3	1-	1.00-	24.00-	03	5,277.00		50,659- 24,132-	75,989- 36,196-		126,648- 60,328-
0000244	UA C1157 AA	UTILITY AND ENERGY ANALYST 3	1	1.00	24.00	03	5,277.00		56,992 27,148	69,656 33,180		126,648 60,328
0000257	UA C1156 AA	UTILITY AND ENERGY ANALYST 2	1-	1.00-	24.00-	02	4,359.00		41,846- 22,066-	62,770- 33,097-		104,616- 55,163-
0000257	UA C1156 AA	UTILITY AND ENERGY ANALYST 2	1	1.00	24.00	02	4,359.00		47,077 24,824	57,539 30,339		104,616 55,163
0000816	UA C1156 AA	UTILITY AND ENERGY ANALYST 2	1-	1.00-	24.00-	09	6,081.00		58,378- 25,941-	87,566- 38,910-		145,944- 64,851-
0000816	UA C1156 AA	UTILITY AND ENERGY ANALYST 2	1	1.00	24.00	09	6,081.00		65,675 29,183	80,269 35,668		145,944 64,851
0000819	UA C1157 AA	UTILITY AND ENERGY ANALYST 3	1-	1.00-	24.00-	09	7,379.00		150,532- 61,330-	26,564- 10,822-		177,096- 72,152-
0000819	UA C1157 AA	UTILITY AND ENERGY ANALYST 3	1	1.00	24.00	09	7,379.00		177,096 72,152			177,096 72,152
0700830	UA C1157 AA	UTILITY AND ENERGY ANALYST 3	1-	1.00-	24.00-	07	6,691.00		64,234- 27,313-	96,350- 40,969-		160,584- 68,282-
0700830	UA C1157 AA	UTILITY AND ENERGY ANALYST 3	1	1.00	24.00	07	6,691.00		72,263 30,727	88,321 37,555		160,584 68,282
1500862	UA C1157 AA	UTILITY AND ENERGY ANALYST 3	1-	1.00-	24.00-	05	6,081.00		58,378- 25,941-	87,566- 38,910-		145,944- 64,851-
1500862	UA C1157 AA	UTILITY AND ENERGY ANALYST 3	1	1.00	24.00	05	6,081.00		65,675 29,183	80,269 35,668		145,944 64,851
TOTAL PICS SALARY									96,021	96,021-		
TOTAL PICS OPE									41,195	41,195-		
TOTAL PICS PERSONAL SERVICES =				00	00			137,216	137,216-			

2015-2017 GOVERNOR'S BUDGET

12/22/14 REPORT NO.: PDPFISCAL
 REPORT: PACKAGE FISCAL IMPACT REPORT
 AGENCY: 86000 PUBLIC UTILITY COMMISSION
 SUMMARY XREF: 005-00-00 Board of Maritime Pilots

DEPT. OF ADMIN. SVCS. — PPDB PICS SYSTEM

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 PICS SYSTEM: BUDGET PREPARATION

PACKAGE: 101 - Increase OBMP's Director FTE

POSITION NUMBER	CLASS COMP	CLASS NAME	POS CNT	FTE	MOS	STEP	RATE	GF SAL/OPE	OF SAL/OPE	FF SAL/OPE	LF SAL/OPE	AF SAL/OPE
1500868	MENNZ0873	AA OPERATIONS & POLICY ANALYST 4	1	1.00	24.00	08	7,701.00		184,824 73,964			184,824 73,964
1500868	MENNZ7008	AA PRINCIPAL EXECUTIVE/MANAGER E	1-	.50-	12.00-	09	8,087.00		97,044- 53,388-			97,044- 53,388-
TOTAL PICS SALARY									87,780			87,780
TOTAL PICS OPE									20,576			20,576
TOTAL PICS PERSONAL SERVICES =				.50	12.00				108,356			108,356

Agency 86000 - Public Utilities Commission
 Summary of 2013-2015 Budget Legislative Bills

Bill Number	Section	Date Signed into Law	Chapter/Law	AY	Appn.	Fund Type	Appn Total	Program
HB 5043	Section 1, (1)	6/4/2013	2013 Chapter 291	13-15	41000	Other Fund Limited	\$16,043,988	Utilities
HB 5043	Section 1, (2)	6/4/2013	2013 Chapter 291	13-15	43000	Other Fund Limited	\$10,859,611	RSFP
HB 5043	Section 1, (3)	6/4/2013	2013 Chapter 291	13-15	42000	Other Fund Limited	\$12,650,111	Policy & Admin
HB 5043	Section 1, (4)	6/4/2013	2013 Chapter 291	13-15	44000	Other Fund Limited	\$338,596	OBMP
HB 5043	Section 2	6/4/2013	2013 Chapter 291	13-15	51000	Federal Funds	\$2,444,367	Utilities
HB 5043	Section 3	6/4/2013	2013 Chapter 291	13-15	61000	Other Funds NonLimited	\$79,271,977	OUSF
HB 5043	Section 4	6/4/2013	2013 Chapter 291	13-15	44000	Other Fund Limited	\$30,000	OBMP
SB 851	Section 6	7/3/2013	(6/26/13) 2013 Chapter 539	13-15	44000	Other Funds Limited	\$449,173	OBMP
HB 5008	Section 96, (2)	8/14/2013	2013 Chapter 723	13-15	41000	Other Fund Limited	-\$48,634	Utilities
HB 5008	Section 96, (2)	8/14/2013	2013 Chapter 723	13-15	43000	Other Fund Limited	-\$883	RSFP
HB 5008	Section 96, (2)	8/14/2013	2013 Chapter 723	13-15	42000	Other Fund Limited	-\$240,255	Policy & Admin
HB 5008	Section 96, (2)	8/14/2013	2013 Chapter 723	13-15	44000	Other Funds Limited	-\$1,978	OBMP
HB 5201	Section 105, (2)	8/14/2013	2013 Chapter 723	13-15	61000	Other Funds NonLimited	-\$212	OUSF
HB 5201	Section 105, (2)	4/8/2014	2014 Chapter 118	13-15	41000	Other Fund Limited	\$520,394	Utilities
HB 5201	Section 105, (2)	4/8/2014	2014 Chapter 118	13-15	43000	Other Fund Limited	\$40,751	RSFP
HB 5201	Section 105, (2)	4/8/2014	2014 Chapter 118	13-15	42000	Other Fund Limited	\$273,115	Policy & Admin
HB 5201	Section 105, (2)	4/8/2014	2014 Chapter 118	13-15	44000	Other Fund Limited	\$17,189	OBMP
Emergency Board	Item # 47	4/8/2014	2014 Chapter 118	13-15	51000	Federal Funds	\$29,633	Utilities
		9/17/2014	Includes ORS 291.328	13-15	51000	Federal Funds	\$309,200	Utilities
							<u>\$122,986,143</u>	

Emergency Board:ORS 291.328, 286A.160, 291.326, 291.371 & 291.375
 Assigned Chapter Law on 7/3. Signed by Governor on 6/26.

From Fiscal Impact Statement for HB 5043-OUSF=Oregon Universal Service Fund
 RSPF=Residential Service Protection Fund
 OBMP=Board of Maritime Pilots

Total Other Fund Limited
 Total Federal Fund

From Fiscal Impact Statement for HB 5043
 Total Other Fund Non-Limited

Total Other Fund Limited
 Total Other Fund Limited

From Fiscal Impact Statement for HB 5043
 Total Other Fund Non-Limited

Total Other Fund Limited
 Total Other Fund Limited

From Fiscal Impact Statement for HB 5043
 Total Other Fund Non-Limited

Total Other Fund Limited
 Total Other Fund Limited

From Fiscal Impact Statement for HB 5043
 Total Other Fund Non-Limited

Total Other Fund Limited
 Total Other Fund Limited

From Fiscal Impact Statement for HB 5043
 Total Other Fund Non-Limited

Total Other Fund Limited
 Total Other Fund Limited

By Program to Increase AY 2011-2013 Legislatively Approved Budget:
 SCR 005 - Board of Maritime Pilots

By Program for AY 2013-2015 Legislatively Adopted Budget:

SCR 001 - Utilities	Section 1, (1)	6/4/2013	2013 Chapter 291	13-15	41000	Other Fund Limited	\$16,043,988	Utilities
HB 5043	Section 96, (2)	8/14/2013	2013 Chapter 723	13-15	41000	Other Fund Limited	-\$48,634	Utilities
HB 5201	Section 105, (2)	4/8/2014	2014 Chapter 118	13-15	41000	Other Fund Limited	\$520,394	Utilities
							<u>\$16,515,748</u>	
HB 5043	Section 2	6/4/2013	2013 Chapter 291	13-15	51000	Federal Funds	\$2,444,367	Utilities
HB 5201	Section 105, (2)	4/8/2014	2014 Chapter 118	13-15	51000	Federal Funds	\$29,633	Utilities
Emergency Board	Item # 47	9/17/2014	Includes ORS 291.328	13-15	51000	Federal Funds	\$309,200	Utilities
							<u>\$2,783,200</u>	
HB 5043	Section 3	6/4/2013	2013 Chapter 291	13-15	61000	Other Funds NonLimited	\$79,271,977	OUSF
HB 5008	Section 96, (2)	8/14/2013	2013 Chapter 723	13-15	61000	Other Funds NonLimited	-\$212	OUSF
							<u>\$79,271,765</u>	
SCR 003 - RSFP	Section 1, (2)	6/4/2013	2013 Chapter 291	13-15	43000	Other Fund Limited	\$10,859,611	RSFP
HB 5008	Section 96, (2)	8/14/2013	2013 Chapter 723	13-15	43000	Other Fund Limited	-\$883	RSFP
HB 5201	Section 105, (2)	4/8/2014	2014 Chapter 118	13-15	43000	Other Fund Limited	\$40,751	RSFP
							<u>\$10,899,479</u>	

PUBLIC UTILITY COMMISSION
Annual Performance Progress Report (APPR) for Fiscal Year (2013-2014)

Original Submission Date: 2014

Finalize Date: 8/1/2014

2013-2014 KPM #	2013-2014 Approved Key Performance Measures (KPMs)
1	Water Utilities - Percentage of rate regulated water companies with rate designs promoting efficient use of water resources.
2	Price of Electricity - Average price of electricity for residential users from Oregon Investor Owned Utilities as a percent of the national average price.
3	Electric Energy - Percentage of business customers' electric energy usage supplied by alternative suppliers.
4	Utility Pricing - Number of new utility pricing programs.
5	Residential Energy Efficiency - Ratio of dollars realized in energy savings per dollar of public purpose fund expenditure for Energy Trust's residential programs.
6	Commercial Energy Efficiency - Ratio of dollars realized in energy savings per dollar of public purpose fund expenditure for of Energy Trust's commercial programs.
7	Industrial Energy Efficiency - Ratio of dollars realized in energy savings per dollar of public purpose fund expenditure for costs of Energy Trust's industrial programs.
8	Renewable Resource Development - Annual average megawatts acquired through Energy Trust programs. (3 year rolling average)
9	Energy Trust Administrative Efficiency - Administrative and program support costs as a percent of annual revenues.
10	Electric Utility Operations - Effectiveness of staff audits in preventing injuries caused by electric utility operations per 100,000 utility customers.
11	Unsafe Acts - Effectiveness of Utility and PUC promoted education in preventing injuries from unsafe acts per 100,000 utility customers.
12	Natural Gas Operations - Personal injuries related to Natural Gas Operations per 100,000 utility customers.
13	Switched Access Lines - Percent of total switched access lines provided by competitive local exchange carriers, statewide.
14	Evidentiary Record - Percent of Consumer Complaint Orders issued within 30 days of close of evidentiary record.

2013-2014 Approved Key Performance Measures (KPMs)

2013-2014 KPM #	
15	Oregon Telephone Assistance Program – Percentage of Supplemental Nutrition Assistance Program (SNAP) recipients participating in the Oregon Telephone Assistance Program.
16	Access to Telephone Services – Percentage of disabled senior citizens (65 years and older) with access to the Telecommunications Devices Access Program.
17	Complaint Investigation - Percent of complaint investigation cases open 50 days or less.
18	Customer Service – Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent" in overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.
19	Best Practices - Percent of total best practices met by the Board of Maritime Pilots.
20	Vessel Incidents - The number and severity of incidents involving vessels under the direction of licensees, and as a percentage of total vessels piloted annually.
21 a	Extent to which the Energy Trust of Oregon meets 12 of 14 established internal performance measures.
21 b	Extent to which the Energy Trust of Oregon meets the established internal performance measures; Obtaining an annual unqualified financial audit.
21 c	Extent to which the Energy Trust of Oregon meets the established internal performance measures; Keep administrative and programs support costs below 9 percent of annual revenue.
21 d	Extent to which the Energy Trust of Oregon meets the established internal performance measures; Reporting the benefit/cost ratio for conservation programs based on utility system societal perspective.
21 e	Extent to which the Energy Trust of Oregon meets the established internal performance measures; Reporting significant mid-year changes to benefit/cost performance as necessary in quarterly reports.

Proposed Key Performance Measures (KPM's) for Biennium 2015-2017

<p>New Delete</p>	
<p>DELETE</p>	<p>Title: Residential Energy Efficiency – Ratio of dollars realized in energy savings per dollar of public purpose fund expenditure for Energy Trust's residential programs.</p> <p>Rationale: This KPM has been superseded by KPMs 21a through 21e. Information is more fully and appropriately provided in KPMs 21a through 21e.</p>
<p>DELETE</p>	<p>Title: Commercial Energy Efficiency – Ratio of dollars realized in energy savings per dollar of public purpose fund expenditure for of Energy Trust's commercial programs.</p> <p>Rationale: This KPM has been superseded by KPMs 21a through 21e. Information is more fully and appropriately provided in KPMs 21a through 21e.</p>
<p>DELETE</p>	<p>Title: Industrial Energy Efficiency – Ratio of dollars realized in energy savings per dollar of public purpose fund expenditure for costs of Energy Trust's industrial programs.</p> <p>Rationale: This KPM has been superseded by KPMs 21a through 21e. Information is more fully and appropriately provided in KPMs 21a through 21e.</p>
<p>DELETE</p>	<p>Title: Renewable Resource Development – Annual average megawatts acquired through Energy Trust programs. (3 year rolling average)</p> <p>Rationale: This KPM has been superseded by KPMs 21a through 21e. Information is more fully and appropriately provided in KPMs 21a through 21e.</p>
<p>DELETE</p>	<p>Title: Energy Trust Administrative Efficiency – Administrative and program support costs as a percent of annual revenues.</p> <p>Rationale: This KPM has been superseded by KPMs 21a through 21e. Information is more fully and appropriately provided in KPMs 21a through 21e.</p>

PUBLIC UTILITY COMMISSION

I. EXECUTIVE SUMMARY

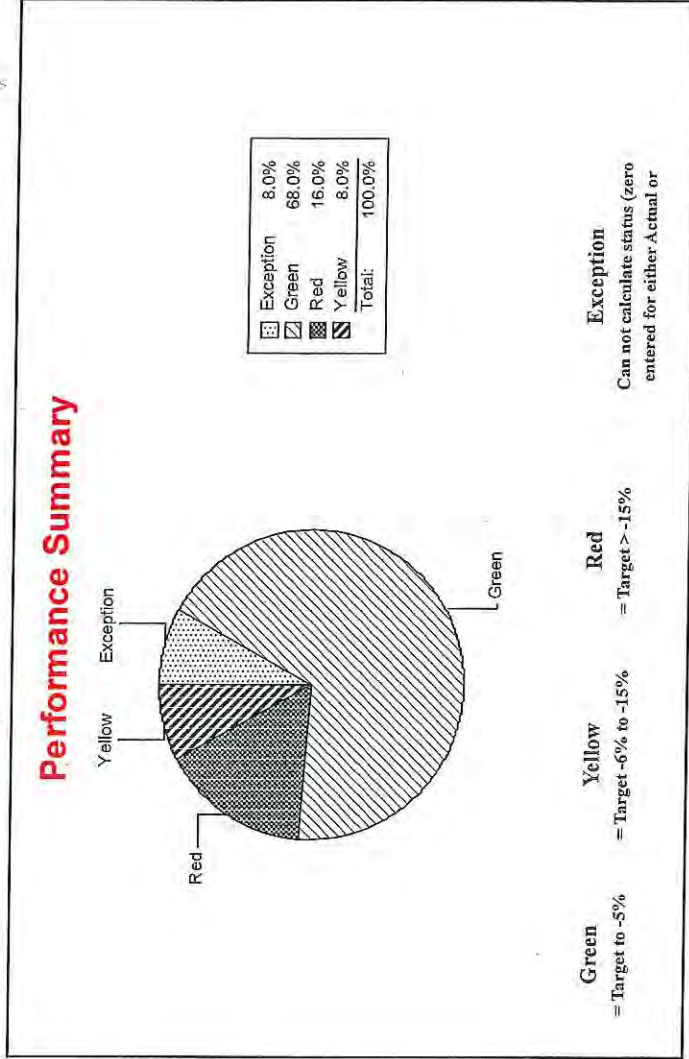
Agency Mission: Ensure that safe and reliable utility services are provided to consumers at just and reasonable rates through regulation and promoting the development of competitive markets.

Contact: Marilyn IntVeld

Contact Phone: 503-373-7949

Alternate: Michael Dougherty

Alternate Phone: 503-373-1303



1. SCOPE OF REPORT

Agency programs/services addressed by key performance measures: Utility Program, Residential Service Protection Fund (RSPF), Policy and Administration, and Board of Maritime Pilots (OBMP). Agency programs/services, if any, not addressed by key performance measures: N/A.

2. THE OREGON CONTEXT

Higher level outcome(s) or benchmarks linked to the agency: Mission Statement OMB #58 Independent Living: Percentage of seniors living independently. OBM #61 Disabled Living in Poverty: Percent of Oregonians with lasting, significant disabilities living in households with incomes below the federal poverty level. OBM #69 Drinking Water: Percent of Oregonians served by public drinking water systems that meet health-based standards. OBM #74 Housing: Percentage of low income households spending more than 30 percent of their household income on housing (including utilities). HLO #001, Enhanced consumer protection through timely and adequate customer service. HLO: #002, Create a sustainable Oregon by reducing energy consumption. HLO #003, Secure effective and appropriate administration of public purpose funds by the Energy Trust. Government performance and accountability.

3. PERFORMANCE SUMMARY

Making Progress: Water Utilities, Price of Electricity, Electric Energy, Utility Pricing, Residential Energy Efficiency, Commercial Energy Efficiency, Industrial Energy Efficiency, Renewable Resource Development, Energy Trust Administrative Efficiency, Electric Utility Operation, Unsafe Acts, Natural Gas Operations, Switched Access Lines, Evidentiary Record, Oregon Telephone Assistance Program, Access to Telephone Services, Best Practices (OBMP), Vessel Incidents. Progress Unclear: Customer Service Survey, Complaint Investigation.

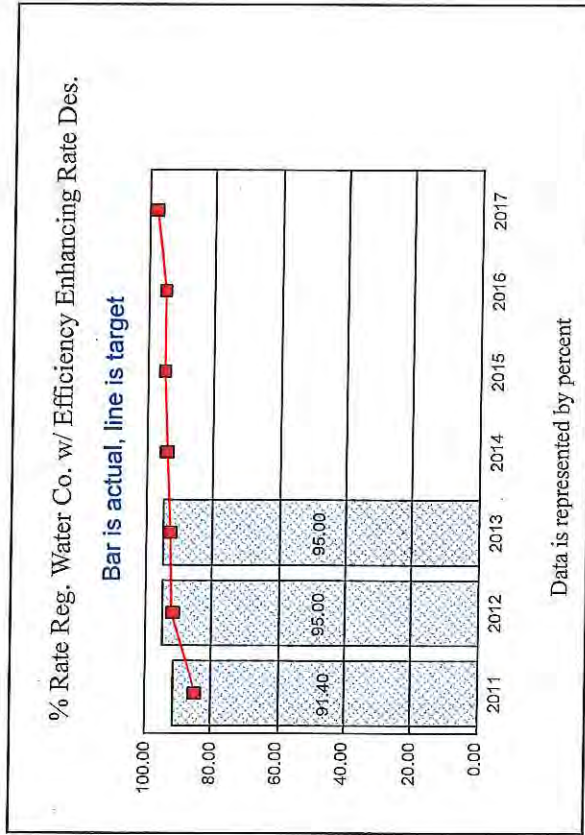
4. CHALLENGES

Crafting good performance measures for the agency is challenging because outcomes can be difficult to measure (for example, success in setting fair and reasonable utility rates) and because other factors affect outcomes (such as the level of competition in a market). RSPF: The continued changing technology is rendering equipment obsolete while it is still in use, impacting RSPF in its efforts to ensure functionally equivalent equipment is available to consumers. It also impacts the Oregon Telecommunications Relay as the FCC mandates more effective, and sometimes more expensive, methods of communication for the disabled. Growth in the number of eligible Oregonians challenges staff to find ways to process and maintain the records of an increasing number of OTAP recipients. Over the next few years, Consumer Services will most likely have an increasing workload due to the growth of evolving technologies and changing regulatory relationships. For example, it seems likely that the FCC will implement some level of consumer protection oversight of the "broadband pipeline" and allow states to opt-in to some degree of consumer protection regulation. In addition, the ever-present possibility of a Consumer Protection Bill of Rights for wireless consumers would undoubtedly involve state commissions. All of these factors, and more, will require ongoing training and development of existing staff, and the possibility of additional future staffing.

5. RESOURCES AND EFFICIENCY

PUC's budget amount for the 2013-2015 Biennium is \$121,765,861. Two key performance measures are efficiency measures. KPM #17, Complaint Investigation, measures the percent of complaint investigation cases open 50 days or less. In 2012 and 2013, this measure was met. KPM #18, Customer Service Survey, is a measure that gauges customer satisfaction with the service provided by the agency. Customer satisfaction ratings in all areas showed improvement over the prior survey period. This was primarily a result of a reorganization plan implemented in 2008 that was intended to reduce staff turnover, which would in turn lead to a more experienced customer contact staff, and ultimately higher customer satisfaction ratings. This appears to have been successful.

PUBLIC UTILITY COMMISSION		II. KEY MEASURE ANALYSIS
KPM #1	Water Utilities - Percentage of rate regulated water companies with rate designs promoting efficient use of water resources.	2011
Goal	To promote the efficient use of water.	
Oregon Context	Oregon statutes provide the Public Utility Commission with regulatory oversight for select types of water systems. For such systems, one objective of regulation is the efficient use of scarce resources such as water.	
Data Source	Regulated water utility tariffs on file at the PUC.	
Owner	Utility Program, Retail Telecom and Water Regulation, Bruce Hellebuyck, 503-373-7905	



1. OUR STRATEGY

To use opportunities as they arise to have the utility convert from a nonmetered system to a metered system. Typically these opportunities arise when utilities file for general rate changes.

2. ABOUT THE TARGETS

There are only a few water systems that are not metered and the targets reflect improvement. Our ultimate goal is 100%.

3. HOW WE ARE DOING

The PUC has been fairly effective in encouraging non-metered systems to install meters and providing timely recovery of such costs.

4. HOW WE COMPARE

National data is not available providing breakdowns between metered and unmetered systems.

5. FACTORS AFFECTING RESULTS

The timing of general rate filings by non-metered water systems is typically outside the control of the PUC.

6. WHAT NEEDS TO BE DONE

There are at least two rate regulated water systems that are not metered and the PUC needs to look for opportunities to encourage the systems to add meters should they not be expected to file general rate cases.

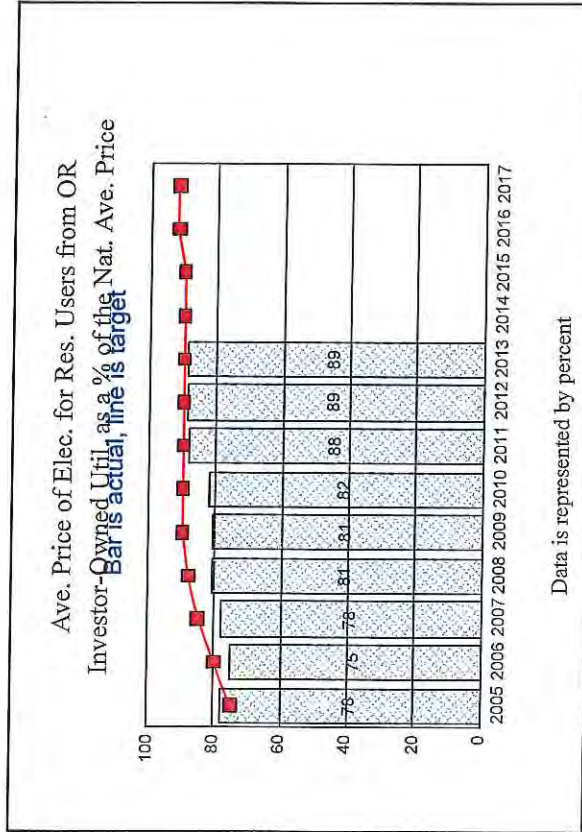
7. ABOUT THE DATA

The data is 100% verifiable as metered systems are necessary to charge metered rates.

PUBLIC UTILITY COMMISSION

II. KEY MEASURE ANALYSIS

KPM #2	Price of Electricity -Average price of electricity for residential users from Oregon Investor Owned Utilities as a percent of the national average price.	1993
Goal	To preserve the benefits of the region's low cost resources for all Oregonians.	
Oregon Context	OBM #74 Housing: Percentage of low income households spending more than 30 percent of their household income on housing (including utilities). OBM #61 Disabled Living in Poverty: Percent of Oregonians with lasting, significant disabilities living in households with incomes below the federal poverty level.	
Data Source	Energy Information Administration's Electric Power Monthly and the Oregon PUC's annual Oregon Utility Statistics.	
Owner	Utility Program, Energy Division, Maury Galbraith, 503-378-8718	



1. OUR STRATEGY

Rigorously review rate requests by regulated electric utilities, and press for a fair share of the benefits of the federal hydroelectric system for customers of those utilities.

2. ABOUT THE TARGETS

This performance measure shows the extent to which Oregon investor-owned utilities' (IOUs) residential customers' rates for electricity are below the national average. The lower rates are largely due to the regions retention of federal hydro power system benefits and other hydroelectric resources . The PUC authorizes utilities to include only prudently incurred cost in rates.

3. HOW WE ARE DOING

The 2013 performance achieved the target. Wholesale market prices for electricity have recently declined due to the economic recession and to decreases in the price of natural gas used to fuel electricity generating plants.

4. HOW WE COMPARE

The average residential electricity rates for Oregon's IOUs remain below national average rates.

5. FACTORS AFFECTING RESULTS

As new generating resources are added to meet load growth and Oregon Renewable Portfolio Standards (RPS), hydroelectric resources now comprise a smaller percentage of the IOUs resource mix. This effect tends to progressively move Oregon's residential electricity rates towards the national average.

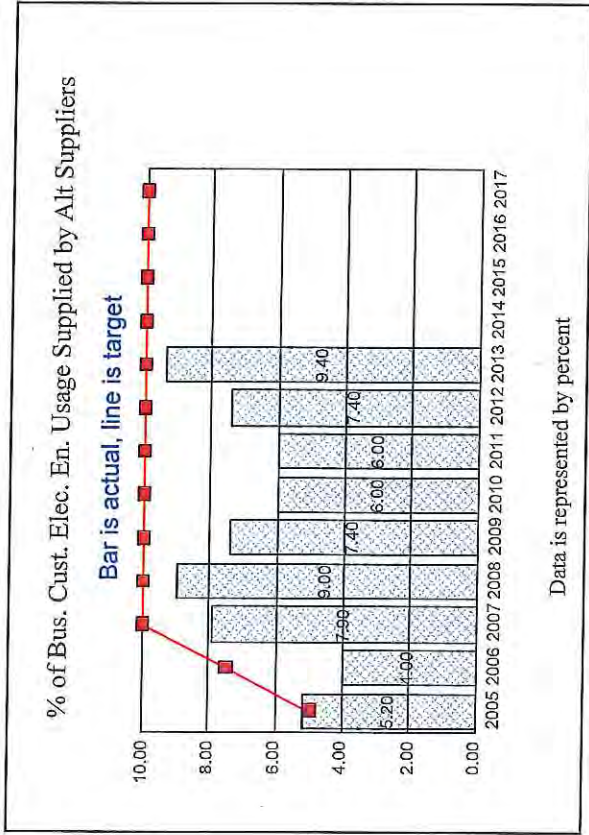
6. WHAT NEEDS TO BE DONE

The PUC continues to participate in BPA proceedings to advocate for a fair allocation of federal hydroelectric system benefits to the residential and small farm customers of the IOUs. The PUC rigorously reviews all rate requests filed by the regulated electric utilities.

7. ABOUT THE DATA

The data are compiled from publicly available sources.

PUBLIC UTILITY COMMISSION		II. KEY MEASURE ANALYSIS
KPM #3	Electric Energy - Percentage of business customers' electric energy usage supplied by alternative suppliers.	2002
Goal	To promote the development of competitive markets and ensure fair and reasonable rates.	
Oregon Context	Mission Statement - Ensure that safe and reliable utility services are provided to consumers at just and reasonable rates while fostering the use of competitive markets to achieve these objectives.	
Data Source	Electric Industry Restructuring Status Reports submitted to the Public Utility Commission.	
Owner	Utility Program, Energy Division, Maury Galbraith, 503-378-8718	



1. OUR STRATEGY

To provide annual and multi-year purchasing options for eligible customers and to set transition rates that are fair to both customers departing from, and remaining on, regulated cost-of-service rates.

2. ABOUT THE TARGETS

The metric is the percentage of Portland General Electric and PacifiCorp non-residential load served by alternative Energy Service Suppliers.

3. HOW WE ARE DOING

The slow economy has reduced the number of business customers pursuing market-based pricing options. However, 2013 has shown a strong increase of electric energy use by alternate suppliers.

4. HOW WE COMPARE

The success of electric industry restructuring has varied greatly across the United States. Oregon's approach to restructuring can be characterized as cautious or risk-averse.

5. FACTORS AFFECTING RESULTS

The economic recession has severely impacted the industrial sector and reduced participation in the direct-access programs.

6. WHAT NEEDS TO BE DONE

The Commission recently opened an investigation into the utilities direct access programs (Docket UM 1587) and continues to work with the utilities and other stakeholders to identify options to promote competition in Oregon.

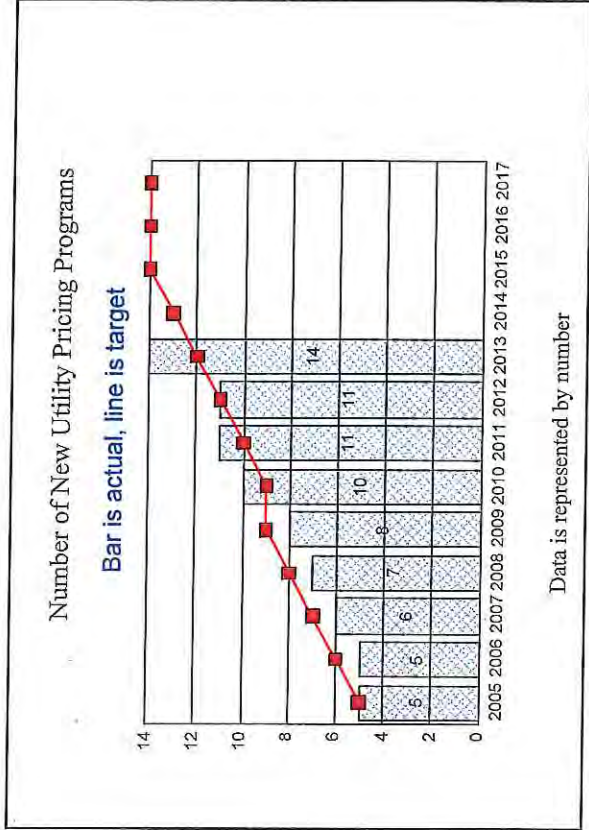
7. ABOUT THE DATA

The data are compiled from publicly available sources.

PUBLIC UTILITY COMMISSION

II. KEY MEASURE ANALYSIS

KPM #4	Utility Pricing - Number of new utility pricing programs.	2004
Goal	Encourage sustainable and cost-effective resource use through utility pricing options.	
Oregon Context	Mission Statement - Ensure that safe and reliable utility services are provided to consumers at just and reasonable rates while fostering the use of competitive markets to achieve these objectives.	
Data Source	Utility tariff information compiled by the PUC's Energy Resources and Planning Section.	
Owner	Utility Program, Energy Division, Maury Galbraith, 503-378-8718	



1. OUR STRATEGY

To work with utilities to identify pricing options that would reduce or shift demand for power during high-cost hours.

2. ABOUT THE TARGETS

The metric is the number of pricing programs. These pricing programs are cost-effective substitutes to buying power or building generating plants to serve customers during peak times.

3. HOW WE ARE DOING

The PUC recently enacted a critical peak pricing pilot for Portland General Electric and reauthorized seasonal time-of-use rates for specific customer classes of Idaho Power Company.

4. HOW WE COMPARE

Public or private industry standards do not exist for the number of utility pricing programs.

5. FACTORS AFFECTING RESULTS

The number of new pricing programs should increase with the use of advanced metering technology. Both Portland General Electric and Idaho Power Company have completed installation of advanced meters in their service territories.

6. WHAT NEEDS TO BE DONE

Continue to encourage the utilities to identify, design and offer cost-effective pricing programs.

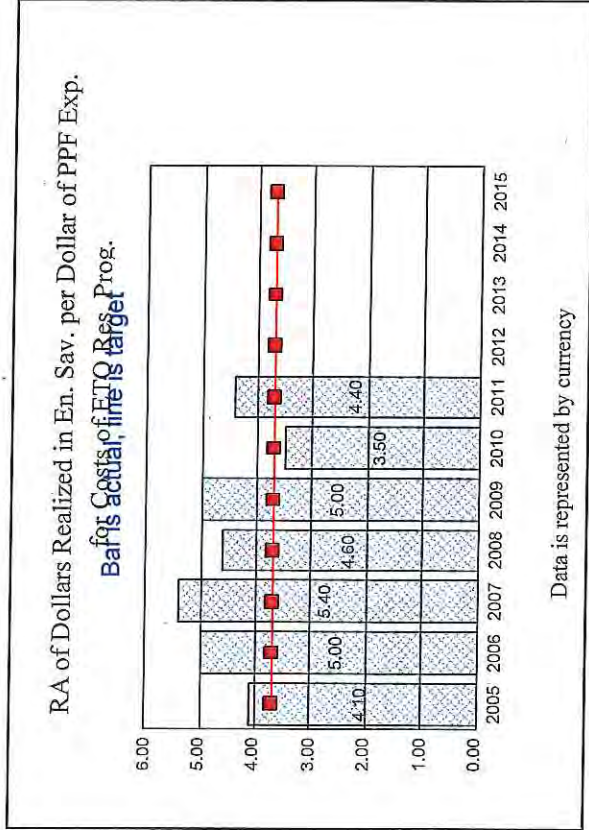
7. ABOUT THE DATA

These are cumulative results, compiled from the utility tariffs on a calendar-year basis.

PUBLIC UTILITY COMMISSION

II. KEY MEASURE ANALYSIS

KPM #5	Residential Energy Efficiency – Ratio of dollars realized in energy savings per dollar of public purpose fund expenditure for Energy Trust’s residential programs.	2005
Goal	Promote energy efficiency in residential dwellings.	
Oregon Context	HLO: #002 Create a sustainable Oregon by reducing energy consumption.	
Data Source	Energy Trust of Oregon (ETO) Annual Reports to the Public Utility Commission.	
Owner	Utility Program, Electric & Natural Gas Division, Maury Galbraith, 503-378-8718	



1. OUR STRATEGY

To oversee program expenditures by the ETO.

2. ABOUT THE TARGETS

The metric is a benefit-cost ratio. It is a measure of how effectively the ETO is acquiring energy efficiency savings. Numbers greater than one indicate the benefits exceed the costs of acquiring the energy efficiency. Larger numbers indicate better performance.

3. HOW WE ARE DOING

The benefits of the ETO residential energy efficiency program have consistently exceeded its costs.

4. HOW WE COMPARE

There are a limited number of states running similar programs that would serve as a source of comparison. The ETO is sought out as an expert on program deployment and has been approached to offer programs in other states.

5. FACTORS AFFECTING RESULTS

Customer participation in residential energy efficiency has been impacted by challenging economic times.

6. WHAT NEEDS TO BE DONE

Continue to monitor the impact of on-bill financing programs for residential energy efficiency and monitor the impact of changes to state tax credits.

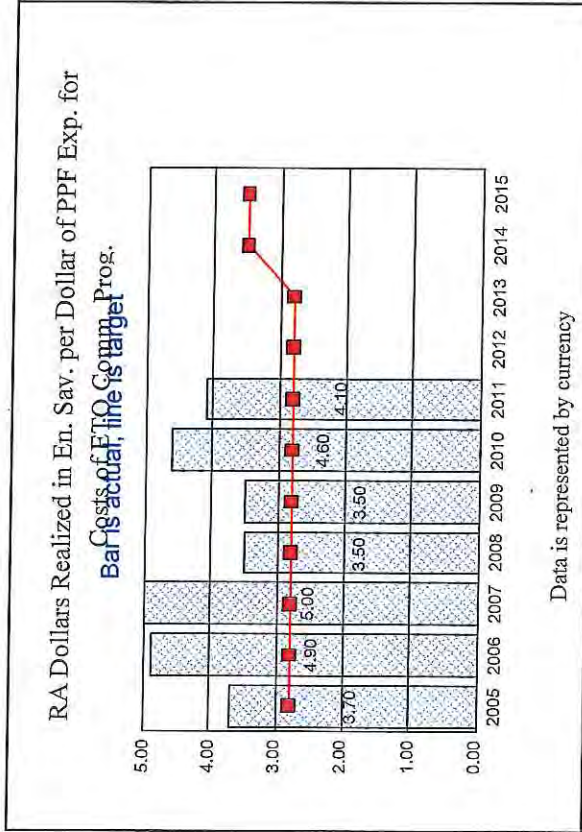
7. ABOUT THE DATA

This ratio is one of several metrics we use to monitor the effectiveness of the ETO residential energy efficiency programs.

PUBLIC UTILITY COMMISSION

II. KEY MEASURE ANALYSIS

KPM #6	Commercial Energy Efficiency – Ratio of dollars realized in energy savings per dollar of public purpose fund expenditure for of Energy Trust's commercial programs.	2005
Goal	Promote energy efficiency in commercial facilities.	
Oregon Context	H.L.O. #002 Create a sustainable Oregon by reducing energy consumption.	
Data Source	Energy Trust of Oregon Annual Reports to the Public Utility Commission.	
Owner	Utility Program, Electric & Natural Gas Division, Maury Galbraith, 503-378-8718	



1. OUR STRATEGY

To oversee program expenditures by the ETO.

2. ABOUT THE TARGETS

The metric is a benefit-cost ratio. It is a measure of how effectively the ETO is acquiring energy efficiency savings. Numbers greater than one indicate the benefits exceed the costs of acquiring the energy efficiency. Larger numbers indicate better performance.

3. HOW WE ARE DOING

The benefits of the ETO commercial energy efficiency program have consistently exceeded its costs.

4. HOW WE COMPARE

There are a limited number of states running similar programs that would serve as a source of comparison. The ETO is sought out as an expert on program deployment and has been approached to offer programs in other states.

5. FACTORS AFFECTING RESULTS

Customer interest in commercial energy efficiency is strong. The ETO is finding new ways to enlist commercial customers.

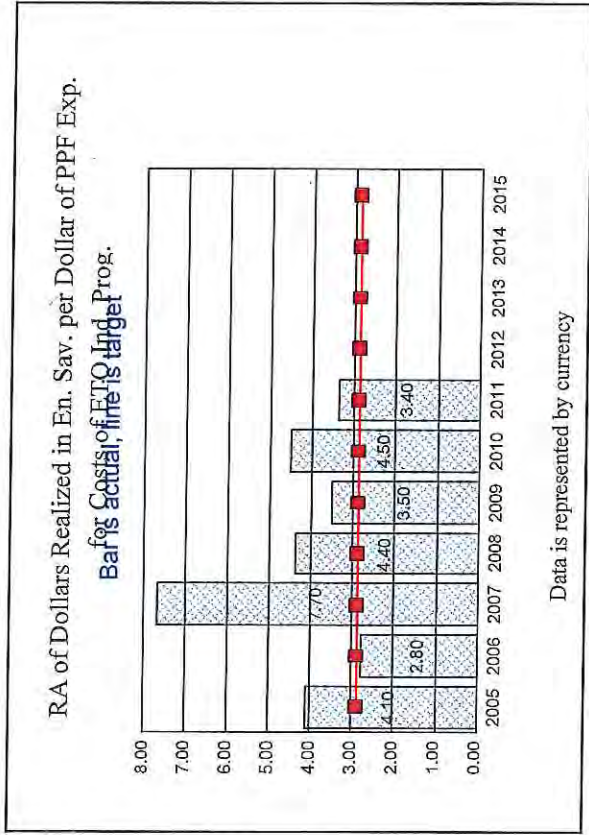
6. WHAT NEEDS TO BE DONE

The Commission opened an investigation (Docket UM 1573) into the use of energy efficiency power purchase agreements as a means to acquire more cost-effective conservation in the commercial sector.

7. ABOUT THE DATA

This ratio is one of several metrics we use to monitor the effectiveness of the ETO commercial energy efficiency programs.

PUBLIC UTILITY COMMISSION		II. KEY MEASURE ANALYSIS
KPM #7	Industrial Energy Efficiency – Ratio of dollars realized in energy savings per dollar of public purpose fund expenditure for costs of Energy Trust’s industrial programs.	2005
Goal	Promote energy efficiency in industrial processes.	
Oregon Context	HLO: #002 Create a sustainable Oregon by reducing energy consumption.	
Data Source	Energy Trust of Oregon Annual Reports to the Public Utility Commission.	
Owner	Utility Program, Electric & Natural Gas Division, Maury Galbraith, 503-378-8718	



1. OUR STRATEGY

To oversee program expenditures by the ETO.

2. ABOUT THE TARGETS

The metric is a benefit-cost ratio. It is a measure of how effectively the ETO is acquiring energy efficiency savings. Numbers greater than one indicate the benefits exceed the costs of acquiring the energy efficiency. Larger numbers indicate better performance.

3. HOW WE ARE DOING

The benefits of the ETO industrial energy efficiency program have consistently exceeded its costs.

4. HOW WE COMPARE

There are a limited number of states running similar programs that would serve as a source of comparison. The ETO is sought out as an expert on program deployment and has been approached to offer programs in other states.

5. FACTORS AFFECTING RESULTS

Customer interest in industrial energy efficiency is strong. The ETO is finding new ways to enlist industrial customers.

6. WHAT NEEDS TO BE DONE

The agency will monitor the impacts of changes to state tax credits. Revisions to rules governing data sharing between the ETO and utilities will be finalized.

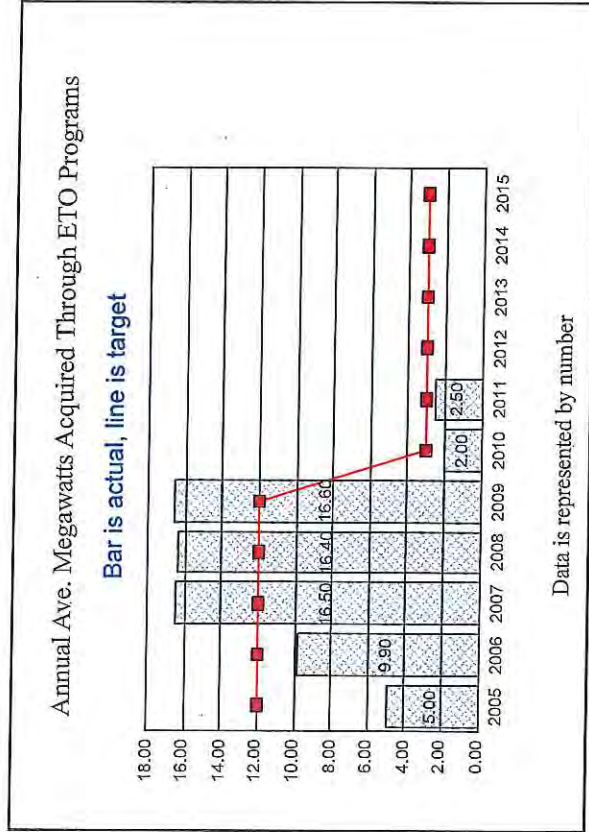
7. ABOUT THE DATA

This ratio is one of several metrics we use to monitor the effectiveness of the ETO industrial energy efficiency programs.

PUBLIC UTILITY COMMISSION

II. KEY MEASURE ANALYSIS

KPM #8	Renewable Resource Development – Annual average megawatts acquired through Energy Trust programs. (3 year rolling average)		2005
Goal	Promote development of renewable resources.		
Oregon Context	HLO: #002 Create a sustainable Oregon by using renewable sources of energy.		
Data Source	Energy Trust of Oregon Annual Reports to the Public Utility Commission.		
Owner	Utility Program, Electric & Natural Gas Division, Maury Galbraith, 503-378-8718		



1. OUR STRATEGY

To oversee expenditures by the ETO.

2. ABOUT THE TARGETS

The metric is a 3-year rolling average of annual generation from projects brought on-line during the period. We use a 3-year average to smooth out short-term fluctuations and highlight longer-term trends in the ETO's renewable energy program.

3. HOW WE ARE DOING

The ETO has been supporting a greater number of smaller projects. The total megawatts acquired have decreased.

4. HOW WE COMPARE

There are a limited number of states running similar programs that would serve as a source of comparison. The ETO is sought out as an expert on program deployment and has been approached to offer programs in other states.

5. FACTORS AFFECTING RESULTS

Annual average megawatts acquired has declined due to Senate Bill 838 limits on large utility scale programs. The ETO is now limited to supporting projects with capacity less than or equal to 20 megawatts.

6. WHAT NEEDS TO BE DONE

The Commission continues to work the ETO to adjust the renewable resource program in response to project size limitations, changes to state tax credits, and changes in the market.

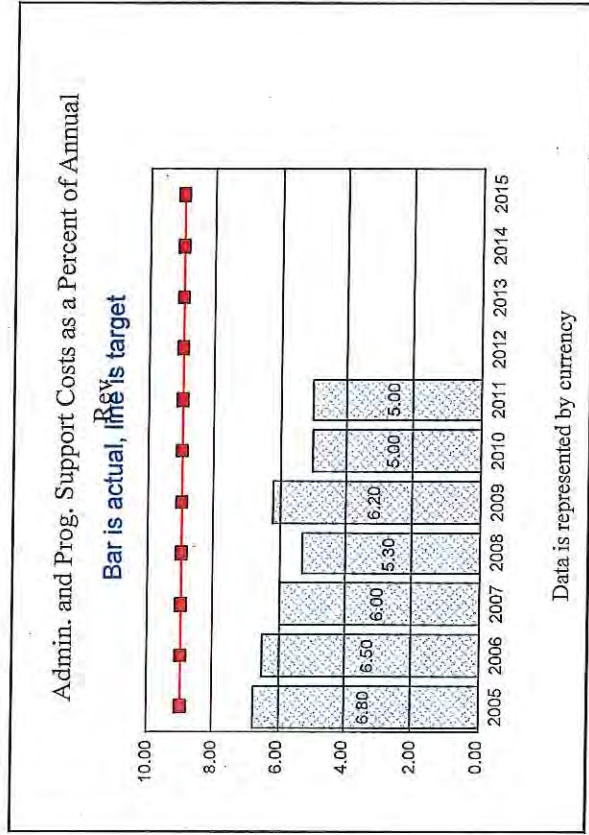
7. ABOUT THE DATA

This trend is one of several metrics we use to monitor the effectiveness of the ETO renewable energy program.

PUBLIC UTILITY COMMISSION

II. KEY MEASURE ANALYSIS

KPM #9	Energy Trust Administrative Efficiency – Administrative and program support costs as a percent of annual revenues.	2005
Goal	To ensure efficient expenditure of public purpose funds for conservation and renewable resources.	
Oregon Context	HLO #003 Secure effective and appropriate administration of public purpose funds by the Energy Trust of Oregon (ETO).	
Data Source	Energy Trust of Oregon Annual Reports to the Public Utility Commission.	
Owner	Utility Program, Electric & Natural Gas Division, Maury Galbraith, 503-378-8718	



1. OUR STRATEGY

To oversee budgets and expenditures by the ETO.

2. ABOUT THE TARGETS

The metric shows the percentage of total funding spent on administrative and program support costs. Low percentages are indicative of efficient program delivery. Lower percentages indicate better performance.

3. HOW WE ARE DOING

The ETO has consistently performed well on this measure.

4. HOW WE COMPARE

There are a limited number of programs to use as a benchmark.

5. FACTORS AFFECTING RESULTS

Commission Staff is conducting an evaluation of the items included in the administrative and program support categories.

6. WHAT NEEDS TO BE DONE

Need to continue to work with the ETO to keep administrative costs low while simultaneously increasing the delivery of energy efficiency and renewable energy.

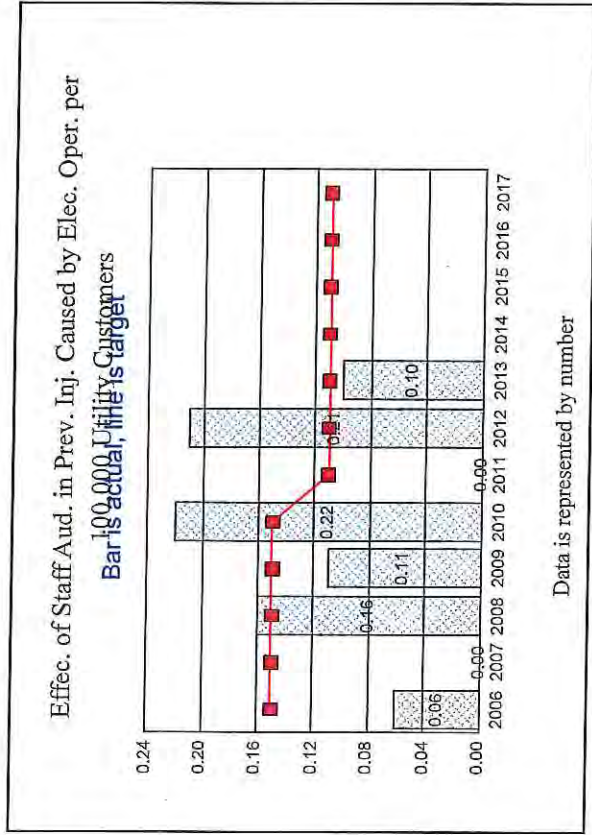
7. ABOUT THE DATA

This is one of several metrics we use to monitor the overall effectiveness of the ETO programs.

PUBLIC UTILITY COMMISSION

II. KEY MEASURE ANALYSIS

KPM #10	Electric Utility Operations – Effectiveness of staff audits in preventing injuries caused by electric utility operations per 100,000 utility customers.	2006
Goal	Protect the Health and Safety of Utility Personnel and Citizens of Oregon – Personal Injuries - Personal injuries related to electric utility operations (Per 100,000 utility customers).	
Oregon Context	Mission Statement - Ensure that safe and reliable utility services are provided to consumers at just and reasonable rates while fostering the use of competitive markets to achieve these objectives.	
Data Source	Incident and accident reports submitted by the electric utilities of Oregon - OAR 860-024-0050 require all electrical operators in the State of Oregon to report accidents to Staff. Upon receipt of the data Staff analyzes the information provided and codes the information received. All reported incidents are presented and discussed at the by-monthly Oregon Utility Safety Committee meeting. Since 2006, all incident data attributed to electric utility operations have been recorded and compiled for reporting under this performance measure.	
Owner	Utility Program, Utility Safety, Reliability and Security Division, Lori Koho, 503-378-8225	



1. OUR STRATEGY

Conduct audits and safety inspections of electrical utility operations, investigate accidents, and ensure utility personnel are properly trained.

2. ABOUT THE TARGETS

The measure is an indication of the effectiveness of the agency's audit and inspection program of electrical utility operations statewide. The current threshold level for this KPMI is 0.11 incidents per 100,000 electric customers.

3. HOW WE ARE DOING

Accidents in the field typically result in serious injuries or fatalities and are tragic. Any incidents are unacceptable. Staff audits address many, but not all of the factors that can lead to injuries. However, there have not been any reportable incidents related to violations found and subsequently corrected as a result of Staff field inspections. Staff continues to discuss these issues in industry safety forums to focus attention on robust training programs.

4. HOW WE COMPARE

There is no public or private industry standard data with which to compare Oregon's statistics.

5. FACTORS AFFECTING RESULTS

The Oregon Public Utility Commission's Electric Safety Unit conducts ongoing audit safety inspections and investigations, as well as safety training statewide to ensure compliance with Oregon safety regulations. Unfortunately, the audit investigations may not always detect anomalies before an incident may happen. There are many variables that could affect the network without knowledge of the operator and Staff's annual audit inspections. However undesirable incidents do occur. Staff will continue to diligently conduct its audit inspections with the goal of helping prevent injuries related to electric utility facilities and operations.

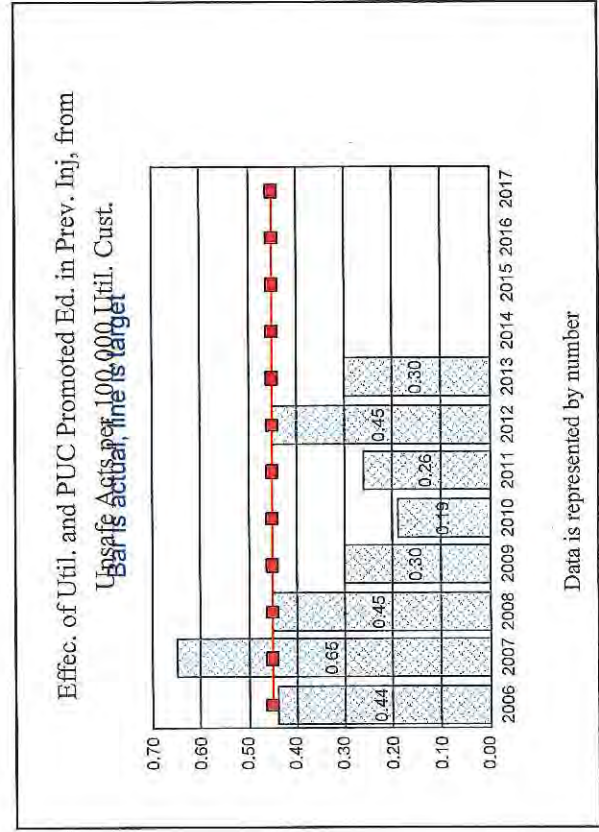
6. WHAT NEEDS TO BE DONE

The agency's electric safety staff will continue its comprehensive electric safety education and inspection program, including field inspections of operators' electrical facilities statewide to ensure compliance with Oregon State Safety Statutes and Administrative rules.

7. ABOUT THE DATA

The annual data for this performance measure reflect electric utility related accident injuries per 100,000 utility customers. This measure addresses the personal injuries directly caused by electric utility operations. (This is a subset of the reportable incidences required by OAR 860-024-0050.)

PUBLIC UTILITY COMMISSION		II. KEY MEASURE ANALYSIS	
KPM #11	Unsafe Acts - Effectiveness of Utility and PUC promoted education in preventing injuries from unsafe acts per 100,000 utility customers.	2006	
Goal	Protect the Health and Safety of Utility Personnel and Citizens of Oregon - Personal Injuries - Personal injuries related to electric and natural gas operations due to "unsafe acts". (Per 100,000 electric and gas utility customers combined).		
Oregon Context	Mission Statement - Ensure that safe and reliable utility services are provided to consumers at just and reasonable rates while fostering the use of competitive markets to achieve these objectives.		
Data Source	Incident and accident reports submitted by the electric and natural gas utilities of Oregon - OAR 860-024-0050 require all operators in the State of Oregon to report accidents to Staff. Upon receipt of the data Staff analyzes the information provided and codes the information received. All reported incidents are presented and discussed at the by-monthly Oregon Utility Safety Committee meeting. Since 2006, all incident data attributed to both electric and gas network system failure or improper operation have been recorded and compiled for reporting under this performance measure.		
Owner	Utility Program, Utility Safety, Reliability and Security Division, Lori Koho, 503-378-8225		



1. OUR STRATEGY

Promote use of the one-call center to locate underground utility facilities before digging. Also, continue promoting educational programs in electricity and natural gas to K-12 schools, Fire Departments, Police Departments, Business Communities via presentations, safety brochures and TV Safety Ads. Present information on all reportable incidents at the Oregon Utility Safety Committee meetings for open discussion with the operators in the State.

2. ABOUT THE TARGETS

Unsafe acts are difficult to control from a preventive and/or proactive safety education programs, as the current level of incidents clearly shows. People have different levels of learning habits and often times forget or do not apply proper precaution in their work activity near or around electric or natural gas facilities. It is important to maintain an active level of safety education in our State. We expect the number of unsafe acts to be larger than the combined number of incidents from natural gas or the electric utility operations measures. This measure will aid Staff in better informing and working with the Natural Gas and Power Operators in the State regarding their safety education program.

3. HOW WE ARE DOING

The incident rate has been at or below the threshold value of 0.45 incidents per 100,000 customers since 2008. In an effort to drive the rate lower, Staff will continue to work with utilities on public education programs. Every month, during the Oregon Utility Safety Committee meetings, Staff presents the number of incidences for discussion with the operators. Education and information regarding safety with all operators, builders, contractors, and the population at large is a priority for Staff. We will make use of every means available to get the Safety message across to help mitigate the number of incidents caused by unsafe acts.

4. HOW WE COMPARE

There is no public or private industry standard data with which to compare Oregon's statistics.

5. FACTORS AFFECTING RESULTS

Staff works with the operators on their safety education programs, encourage TV and Radio advertisements, share incidents information at the OUSC meetings, and conducts audits of the IOUs bi-annually on all their programs and activities, of which safety education is a key component. Investigates all incidents to ascertain the root cause and address future prevention measures.

6. WHAT NEEDS TO BE DONE

Staff will continue its work with the operators and the Oregon Utility Safety Committee (OUSC) promoting education to the public and will present the reported incidents at the OUSC meetings for debate and to heighten the awareness of all operators so the information can be carried back to each of their companies.

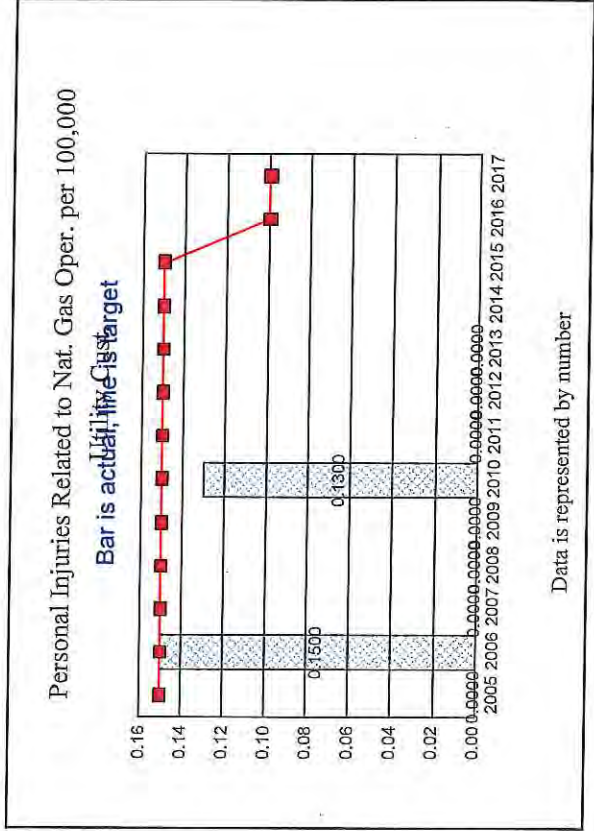
7. ABOUT THE DATA

OAR 860-024-0050 and 49 CFR 191 require all operators in the State of Oregon to report accidents to Staff. The data is compiled and analyzed annually to distinguish between system failures (auditing programs) and incidents due to unsafe acts (education/training).

PUBLIC UTILITY COMMISSION

II. KEY MEASURE ANALYSIS

KPM #12	Natural Gas Operations - Personal injuries related to Natural Gas Operations per 100,000 utility customers.	1993
Goal	Protect the Health and Safety of Utility Personnel and Citizens of Oregon - Personal Injuries - Personal injuries related to natural gas operations (Per 100,000 utility customers).	
Oregon Context	Mission Statement - Ensure that safe and reliable utility services are provided to consumers at just and reasonable rates while fostering the use of competitive markets to achieve these objectives.	
Data Source	Incident and accident reports submitted by the natural gas utilities of Oregon - OAR 860-024-0050 require all operators in the State of Oregon to report accidents to Staff. Upon receipt of the data Staff analyzes the information provided and codes the information received. All reported incidents are presented and discussed at the by-monthly Oregon Utility Safety Committee meeting. Since 2006, all incident data attributed to gas network system failure or improper operation have been recorded and compiled for reporting under this performance measure.	
Owner	Utility Program, Utility Safety, Reliability and Security Division, Lori Koho, 503-378-8225	



1. OUR STRATEGY

Conduct safety inspections of natural gas facilities, investigate accidents, and ensure utility personnel are properly trained.

2. ABOUT THE TARGETS

The measure is an indication of the effectiveness of the agency's audit and inspection program of natural gas facilities statewide. The current threshold level for this KPM is 0.15 incidents per 100,000 gas customers. Based on the historical performance, staff is reducing the threshold for the 2015-2017 biennium to 0.10.

3. HOW WE ARE DOING

In 2012 and 2013, we had no equipment failures with people getting injured, giving our program an index of zero incidents per 100,000 gas customers.

4. HOW WE COMPARE

There is no public or private industry standard data with which to compare Oregon's statistics.

5. FACTORS AFFECTING RESULTS

The Oregon Public Utility Commission's Gas Safety Unit conducts ongoing safety inspections and investigations, as well as safety training statewide to ensure compliance with Federal pipeline safety regulations. Unfortunately, the audit investigations may not always detect anomalies before an incident may happen. There are too many variables that could affect the network without knowledge of the operator, and Staff's annual audit inspections. However undesirable incidents do occur. Staff will continue to diligently conduct its audit inspections with the main goal of helping prevent network system failures.

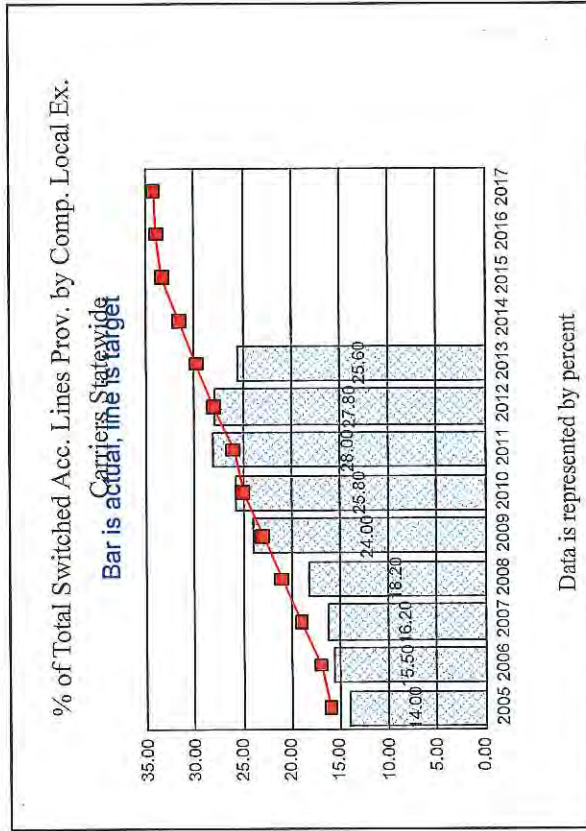
6. WHAT NEEDS TO BE DONE

The agency's gas safety staff will continue its comprehensive gas safety education and inspection program, including field inspections of operators' pipeline facilities statewide to ensure compliance with Federal regulations.

7. ABOUT THE DATA

The annual data for this performance measure reflect gas utility related accident injuries per 100,000 utility customers, regardless of the cause. It differs from the reportable incidences required by statute, from the stand point that only personal injuries are counted.

PUBLIC UTILITY COMMISSION		II. KEY MEASURE ANALYSIS
KPM #13	Switched Access Lines - Percent of total switched access lines provided by competitive local exchange carriers, statewide.	2002
Goal	Development of Competitive Markets & Promote the development of competitive markets to help ensure fair and reasonable rates to Oregon's citizens.	
Oregon Context	Mission Statement - Ensure that safe and reliable utility services are provided to consumers at just and reasonable rates while fostering the use of competitive markets to achieve these objectives.	
Data Source	Annual report filed April 1st.	
Owner	Utility Program, Telecommunications and Water Division, Bryan Conway, 503-378-6200	



1. OUR STRATEGY

To create a business environment that fosters competition in the provision of telecommunications service.

2. ABOUT THE TARGETS

The market share of 2013 Competitive Local Exchange Carrier (CLEC) switched access lines is 25.6%, compared to 29.8% forecast (target). The goal is to promote the development of Competitive Markets to help ensure fair and reasonable rates to Oregon's citizens. The performance directly measures the degree of competition in Oregon switched access telecommunications market. The measurements are conducted through monitoring the change of market shares, and observing competitive entry into the telecommunications market across regions in Oregon, as well as looking into competitor's capital investment. The performance measure focuses on switched access telecommunications market competition. PUC's survey and analysis support the Commission in evaluating the role of regulation and/or deregulation in developing policies that will encourage utilities to provide high-quality services at fair and reasonable rates.

3. HOW WE ARE DOING

The actual performance measure for CLEC market share in 2013 is 25.6%, compared to 29.8% forecast (target). CLEC market share has decreased from 27.8% of switched access lines in 2012. The PUC study follows closely to the competitive telecom market, the study results reflects market reality, provides an overview of telecom competition developments in Oregon, and effectively reaches its goal.

4. HOW WE COMPARE

During the past 15 years, CLEC switched access lines had an annual average increase of 8.3%, and market share had an annual average increase of 15%. Incumbent Local Exchange Carrier (ILEC) switched lines had an annual average change (decrease) of -6.2%.

5. FACTORS AFFECTING RESULTS

A combination of factors driven by fundamental shifts in the telecommunications industry is affecting the results. For the first time since data collection began in 1998. CLEC market share has decreased rather than increased. The primary reason for the decrease appears to be due to greater utilization of VoIP services.

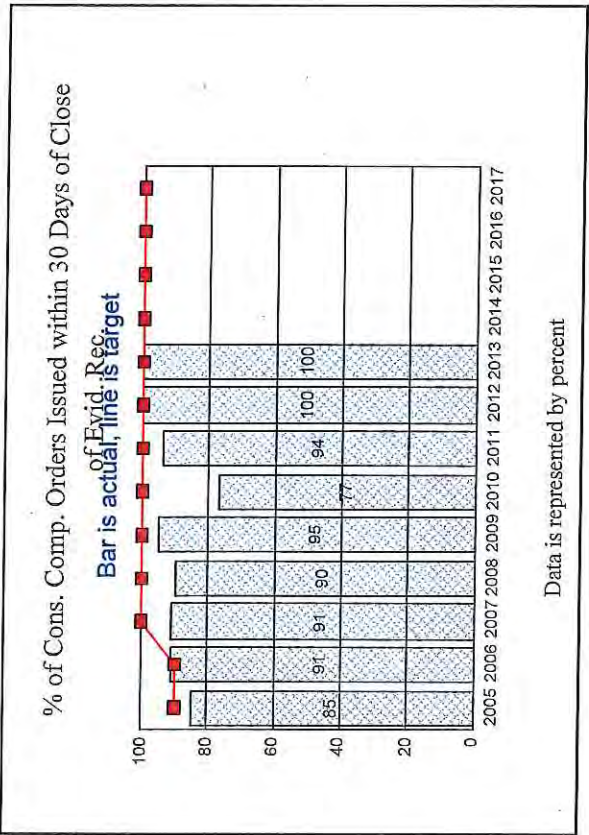
6. WHAT NEEDS TO BE DONE

No action is needed at this time.

7. ABOUT THE DATA

Data is from PUC's annual "Telecommunication Competition Survey" (annual report) from 155 companies at year 2013.

PUBLIC UTILITY COMMISSION		II. KEY MEASURE ANALYSIS	
KPM #14	Evidentiary Record - Percent of Consumer Complaint Orders issued within 30 days of close of evidentiary record.		2005
Goal	To enhance consumer protection through timely and adequate resolution of complaints regarding utility rates and service		
Oregon Context	HLO #001 Enhanced consumer protection through timely and adequate customer service.		
Data Source	Staff's analysis of information on agency's database.		
Owner	Administrative Hearings Division, Michael Grant, 503-378-6102		



1. OUR STRATEGY

Set internal guidelines to prioritize and track processing of complaint.

2. ABOUT THE TARGETS

Targets designed to expedite resolution of customer complaints.

3. HOW WE ARE DOING

In 2012 and 2013 we met target of 100 percent.

4. HOW WE COMPARE

This measure exceeds statutory requirements and is comparable to performance standards adopted by other agencies.

5. FACTORS AFFECTING RESULTS

Some factors beyond the control of the Administrative Hearings Division may cause delays in processing of cases. None of those factors, which include the complexity of the issues and availability of other Commission employees, resulted in delays beyond the target time period during 2012 and 2013.

6. WHAT NEEDS TO BE DONE

Maintaining emphasis on processing of consumer complaints in order to meet target of 100 percent compliance.

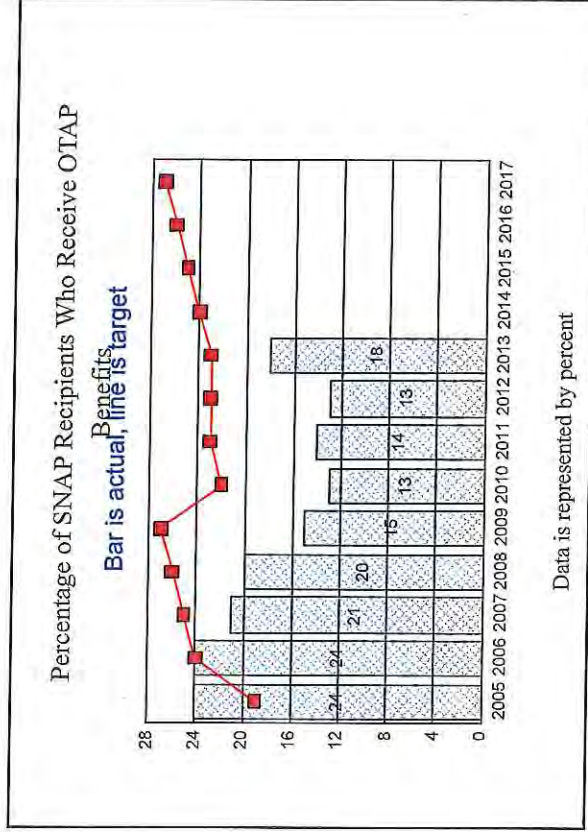
7. ABOUT THE DATA

The data used for this measure is automatically tracked in the Commission's internal docketing database.

PUBLIC UTILITY COMMISSION

II. KEY MEASURE ANALYSIS

KPM #15	Oregon Telephone Assistance Program – Percentage of Supplemental Nutrition Assistance Program (SNAP) recipients participating in the Oregon Telephone Assistance Program.	2000
Goal	Reasonable and Equitable Access to Products and Services & Provide all Oregonians reasonable and equitable access to telecommunications products and services.	
Oregon Context	OMB #74 Housing: Percentage of low income households spending more than 30 percent of their household income on housing (including utilities).	
Data Source	Monthly Adult & Family Services reports that are published on the Department of Human Services Web site, Branch and Services Delivery Area Data historical Program information by Branch and County, specifically, Supplemental Nutrition Assistance Program (SNAP) cases by each month, totaled and divide the number by twelve to get an annual average.	
Owner	Residential Service Protection Fund Program (RSPP), Jon Cray, 503-373-1400	



1. OUR STRATEGY

PUC strategy for this performance measure is to make sure that eligible Oregonians who can benefit from Oregon Telephone Assistance Program (OTAP) are aware of the program and can apply. We have partnered with the Department of Human Services to ensure that eligible Oregonians can be identified and so that PUC can measure the progress toward our goals of participation in the program. Since all SNAP recipients are eligible for the OTAP program, we are measuring success by recording the increase in the percentage of SNAP recipients that are receiving OTAP.

2. ABOUT THE TARGETS

The chart reflects the percentage of SNAP recipients that are receiving benefits from the OTAP program. The goal is to reach a higher percentage of SNAP recipients.

3. HOW WE ARE DOING

The PUC experienced an unprecedented growth of OTAP (which is the state counterpart to the federal Lifeline program) recipients in 2013 due to the appeal of a "free" federal Lifeline service offered by two prepaid wireless service providers. Although the growth of SNAP beneficiaries or households seems to have leveled off in 2012 (441,982) and 2013 (442,153), the appeal of a free federal Lifeline service is estimated to exceed estimated targets within the next year or two.

4. HOW WE COMPARE

The number of customers increased from an average of 57,780 in 2012, to approximately 92,155 in 2013 due to the appeal of "free" federal Lifeline phone services by two prepaid wireless service providers.

5. FACTORS AFFECTING RESULTS

Factors impacting the penetration rate of OTAP among eligible SNAP recipients include the Oregon economy, the number of SNAP recipients who also have service with a participating telecommunications provider, and the outreach efforts of telecommunications providers and DHS.

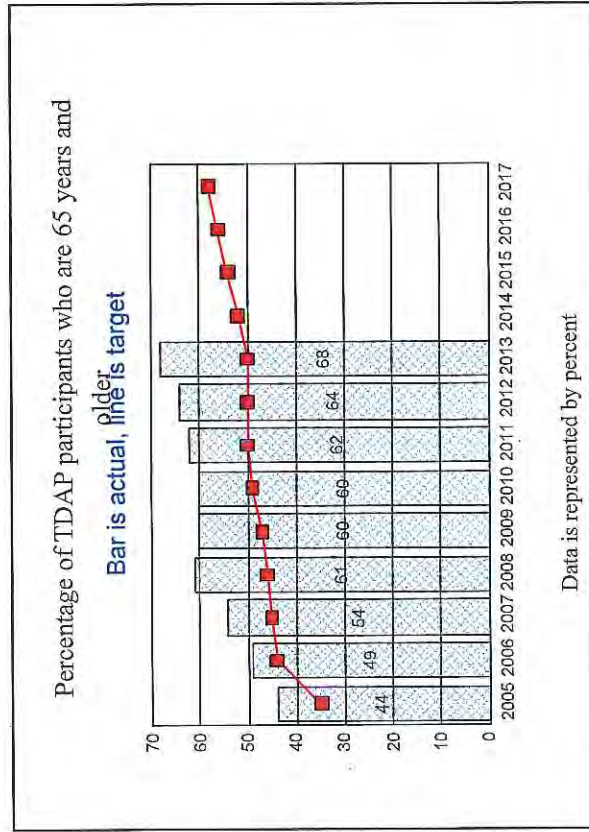
6. WHAT NEEDS TO BE DONE

The PUC will continue its outreach programs designed to reach the target population. In addition, staff will continue to work with telecommunication providers and DHS staff to ensure the relevant population is informed about this benefit to assist them in signing up for SNAP.

7. ABOUT THE DATA

The reporting cycle for this program is calendar year. Weaknesses in this data are that economic factors and telecommunications provider outreach can impact the figures in this measure. When a shift in demographics, economy or telecommunications provider participation shifts, our progress may appear to shift because of factors beyond PUC control. The strength of this data is that SNAP recipients are always eligible for OTAP if they receive telephone service with participating telecommunications provider, and that population is measurable through DHS statistics. PUC staff reviews its data base in comparison with telecommunications provider data bases of eligible recipients to ensure that terminations are made timely and appropriately and that errors do not continue to compound.

PUBLIC UTILITY COMMISSION		II. KEY MEASURE ANALYSIS
KPM #16	Access to Telephone Services – Percentage of disabled senior citizens (65 years and older) with access to the Telecommunications Devices Access Program.	1999
Goal	Reasonable and Equitable Access to products and services & Provide all Oregonians reasonable and equitable access to telecommunications products and services.	
Oregon Context	OBM #58 Independent Living: Percentage of seniors living independently.	
Data Source	Number of known seniors currently in our TDAP database that we have been tracking since 1998 and compared with the total number of participants with known ages in our database since 1998.	
Owner	Residential Service Protection Fund (RSFF), Jon Cray, 503-373-1400	



1. OUR STRATEGY

Aging Oregonians need more access to telephones than ever to make emergency calls to 911, the doctor, or family members who may be assisting them. By providing assistive telecommunications equipment to people with hearing, vision, speech cognitive or mobility impairments, PUC is increasing their chances of being safe and healthy. PUC partners with various organizations to identify appropriate outreach for these Oregonians.

2. ABOUT THE TARGETS

Due to our outreach efforts, the metric shows the growth of senior citizens participating in the program.

3. HOW WE ARE DOING

PUC has consistently achieved its goal of reaching the senior and aging population of Oregon. This population has not been aware of our services since they were not previously disabled. Various outreach programs with organizations or the disabled and with our various partners has helped to keep this goal moving toward parity.

4. HOW WE COMPARE

There is no industry standard for providing adaptive telecommunications equipment to elderly individuals although approximately 69% have a hearing, vision, speech, cognitive or mobility impairment. This makes increasing the number of TDAP recipients, who are elderly, an important goal.

5. FACTORS AFFECTING RESULTS

Our upward trend is aided by Oregon's commitment to offering a wider selection of adaptive telecommunications equipment to seniors with various needs.

6. WHAT NEEDS TO BE DONE

PUC will maintain its peer group outreach/training program for its target audience.

7. ABOUT THE DATA

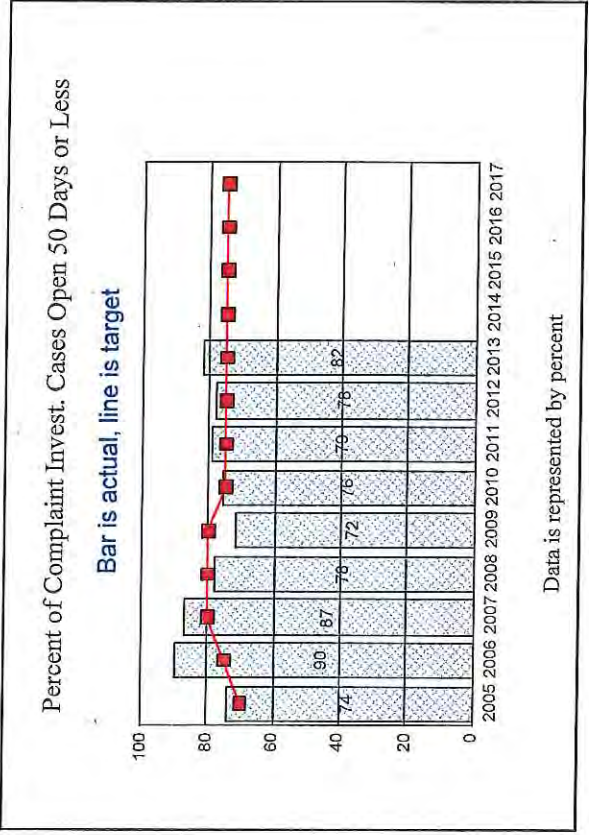
The reporting cycle for this performance measure is the calendar year. Weaknesses in the data include the fact that prior to the inception of the performance measures, the PUC did not track the age of telephone equipment recipients. This prevents noting historical data. The PUC gathers data automatically through

PUBLIC UTILITY COMMISSION

II. KEY MEASURE ANALYSIS

its Information Systems to ensure that current data is captured. The PUC maintains ongoing records of the distribution of its equipment to the public. Additional statistics are available from Residential Service Protection Fund (RSPF) staff at the PUC.

PUBLIC UTILITY COMMISSION		II. KEY MEASURE ANALYSIS
KPM #17	Complaint Investigation - Percent of complaint investigation cases open 50 days or less.	
Goal	Timely Customer Service and to ensure timely customer service by completing complaint investigations in an average of 50 days or less.	
Oregon Context	HLO: #001 Enhanced consumer protection through timely and adequate customer service.	
Data Source	Staff's analysis of information on agency's database.	
Owner	Utility Program, Consumer Services, Phil Boyle, 503-373-1827	



1. OUR STRATEGY

Review, modify and document processes and procedures to ensure that complaints are completed timely.

2. ABOUT THE TARGETS

The target of 50 days or less was selected as one measurement tool for providing timely customer service. By increasing the percentage of cases closed in 50 days or less, the likelihood increases that consumers will feel their concerns were addressed timely.

3. HOW WE ARE DOING

In 2013, 82% of investigations were completed in 50 days or less, exceeding our target.

4. HOW WE COMPARE

In 2009 we surveyed all state commissions. Of twenty-one responses received, thirteen had no internal performance measures. The remaining eight ranged from 95 percent of cases closed within 15 days to 70 percent of cases closed within 70 days with Oregon averaging in the middle.

5. FACTORS AFFECTING RESULTS

Case cycle time directly effects the achievement of this goal. From 2006 through 2009, we saw a steady decline in goal achievement. In 2006, case cycle time averaged 19 days, but increased to an average of 33.5 days from 2009 through 2012. Several factors combined to cause the increase in cycle time - the number of public contacts had increased dramatically over the prior three year period, there were fewer workdays available due to furloughs, increasing utility intrasigence, new technologies (VoIP, digital phone, smart meters, etc.), and staff turnover. For 2013, case cycle time took a dramatic drop to 23 days, leading to a goal achievement of 82% compared to the target of 75%. There were two primary factors driving decreased cycle-time, (1) a reduced number of complaints and inquiries registered with the PUC, and (2) less staff turnover. Less staff turnover leads to a more knowledgeable and experienced staff who can more effectively handle customer complaints.

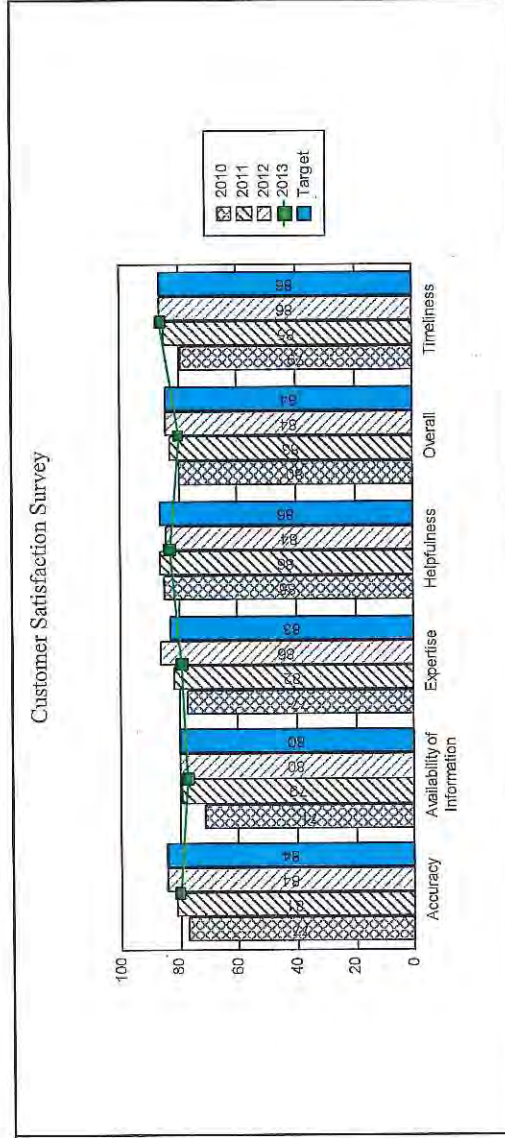
6. WHAT NEEDS TO BE DONE

Individual performance is monitored and workloads are adjusted as necessary to achieve the target. With continued low turnover, a more experienced workforce should lead to a continuing decline in case cycle time and corresponding increase in goal achievement.

7. ABOUT THE DATA

The reporting cycle is on the calendar year. The reports are internally generated and the data is reliable.

PUBLIC UTILITY COMMISSION		II. KEY MEASURE ANALYSIS
KPM #18	Customer Service – Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent" in overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.	2006
Goal	Improve Customer Satisfaction.	
Oregon Context	Mission Statement - Ensure that safe and reliable utility services are provided to consumers at just and reasonable rates while fostering the use of competitive markets to achieve these objectives.	
Data Source	Method, email-based survey.	
Owner	Utility Program, Consumer Services, Phil Boyle, 503-373-1827	



1. OUR STRATEGY

To survey customers of the PUC on an ongoing basis so that consumers can rate the PUC on its level of overall customer service.

2. ABOUT THE TARGETS

The target is to improve the PUC's level of customer satisfaction in each of the five areas listed, and to improve the overall average. Prior to the 2014-15 period, the customer satisfaction targets for each category were: A. Accuracy 84 B. Availability of Information 80 C. Expertise 83 D. Helpfulness 86 E. Timeliness 86 F. Overall Average 84. For the 2014-15 period, the targets were inexplicably increased to 90% across the board. We are uncertain as to how this increase occurred; however because we suspect it was a programming error, we believe it is important to adjust back to historical levels. For the 2015-17 period, targets will be adjusted back to what they were previously. It is important to note that PUC staff must often deliver news that the customer does not wish to hear, that their allegations are not supported by the evidence, and that the utility has acted correctly. Maintaining satisfaction ratings above 80% despite regularly delivering unpleasant news to customers is very difficult. It is for this reason that higher satisfaction ratings are not realistic.

3. HOW WE ARE DOING

The base year report was conducted in 2006. Since 2008, results showed a steady year-to-year increase in customer satisfaction, until 2013. In 2012, satisfaction ratings increased significantly over the prior year followed by a decline in 2013. The combined 2012-13 results were slightly below target.

4. HOW WE COMPARE

Most other state utility commissions queried on this issue do not conduct customer satisfaction surveys. Of twenty-one commissions who responded to our inquiry, only five conduct a similar survey. Oregon's survey is the most in depth of all five states.

5. FACTORS AFFECTING RESULTS

The main reason for the decline in 2013 was a high number of complaints received from customers who had received illegal telemarketing calls from offshore call centers who had a connection to an Oregon CLEC. Customers wanted PUC to revoke the Oregon CLEC's Certificate of Authority, but the terms of their Certificate had not been violated so we were unable to do as the customers asked. Being offshore, the telemarketers were beyond the reach of the PUC, and even the FCC, so there was nothing that we could do to stop the calls - customers did not like hearing that we were unable to stop the calls, so we received many negative survey responses. Another factor is the increasing intransigence of telecommunications carriers, both wire-line and wireless, with regard to non-regulated issues. Companies have become less willing resolve customer complaints to the customers satisfaction, which has led to customer frustration and lower satisfaction ratings.

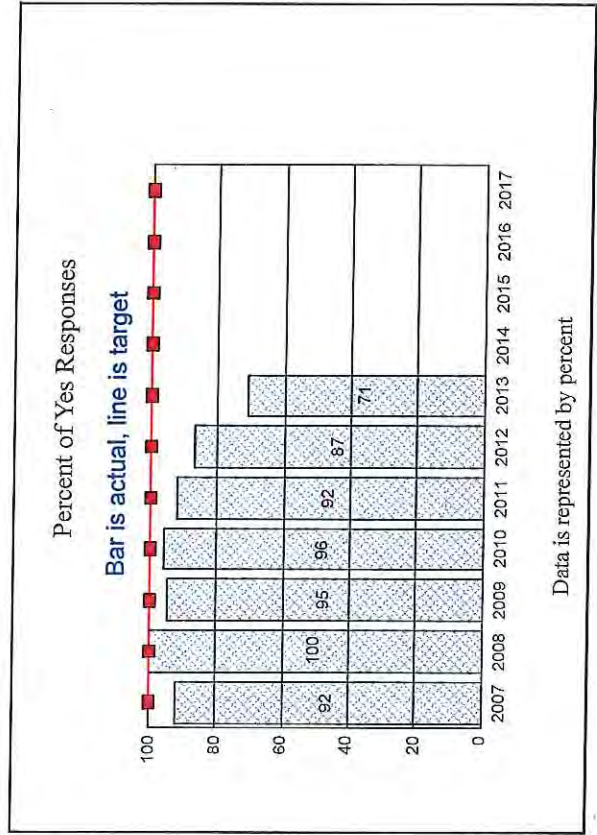
6. WHAT NEEDS TO BE DONE

Consumer Services staff needs to pay attention to providing customers with excellent service. When we are contacted about issues that are not within our jurisdiction, we do not simply turn them away, we will try to help on issues where we have established relationships with the involved company, or we try to refer consumers to the agency that can help them.

7. ABOUT THE DATA

The 2013 survey sample size (279) was nearly 30% smaller than the 2012 sample size (395) causing the margin of error to increase. For 2012, the margin of error was +/- 4.1% with a confidence level of 90%. For 2013, the margin of error was +/- 4.9 % with a confidence level of 90%. The survey method is email-based, where all customers who give us an email address are asked to complete a survey.

PUBLIC UTILITY COMMISSION		II. KEY MEASURE ANALYSIS
KPM #19	Best Practices - Percent of total best practices met by the Board of Maritime Pilots.	2007
Goal	Meet or exceed Best Practices standards.	
Oregon Context	Government performance and accountability.	
Data Source	Forms filled out by individual Board Members.	
Owner	Board of Maritime Pilots, Susan Johnson, 971-673-1531	



1. OUR STRATEGY

To take the lead in setting policies and procedures that enhance maritime safety and security. This is done in conjunction with established policies, procedures, and recommendations from other state pilotage authorities, the U.S. Coast Guard, and the National Transportation Safety Board.

2. ABOUT THE TARGETS

The rationale for the targets is to continually strive for a goal of 100%.

3. HOW WE ARE DOING

The Board has implemented more stringent requirements to medical fitness standards for licensees and applicants; pilot selection, training and continuing education; incident investigations and communication between state pilotage authorities. The Board has again taken the lead in consulting with other state pilotage authorities to coordinate and conduct another West Coast regional meeting. We have also adopted a number of internal performance measures used to generate an annual report.

4. HOW WE COMPARE

The Board has instituted fatigue mitigation standards that meet or exceed other state and federal models. The National Transportation Safety Board has required all pilot oversight organizations that had not already done so to implement fatigue mitigation and prevention programs, after an oil tanker collision in Texas that involved fatigue.

5. FACTORS AFFECTING RESULTS

The Board has limited resources that affect results.

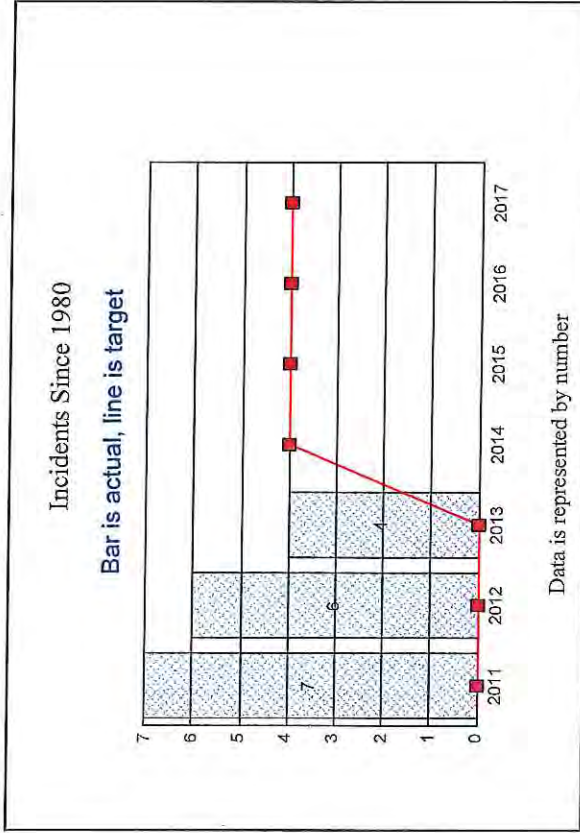
6. WHAT NEEDS TO BE DONE

The Board identified a number of objectives in the last year, which included hiring an Executive Director, requiring professional medical oversight of licensees, and obtaining independent professional marine investigators for all reportable incidents.

7. ABOUT THE DATA

The data is reported for a calendar year and gathered using reporting guides provided by the State and tailored to the Board's needs.

PUBLIC UTILITY COMMISSION		II. KEY MEASURE ANALYSIS	
KPM #20	Vessel Incidents - The number and severity of incidents involving vessels under the direction of licensees, and as a percentage of total vessels piloted annually.		2011
Goal	Promote public and waterway safety.		
Oregon Context	Public Safety.		
Data Source	Database of annual incidents investigated by the Board since 1980. Investigation results are a matter of public record and reflected in the Board's meeting minutes and investigation reports.		
Owner	Board of Maritime Pilots, Susan Johnson, 971-673-1530		



1. OUR STRATEGY

Regularly review and update our training programs and continuing professional development requirements to provide the most competent licensees; and

support system-wide technological improvements that enhance safety.

2. ABOUT THE TARGETS

The targets separate Category I incidents which are the most severe, and Category II incidents that often involve little or no damage but are more likely to occur.

3. HOW WE ARE DOING

There was an increase in the average number of incidents reported due to a noticeable trend in mechanical failures of the vessels involved. One incident in 2012 and two incidents in 2013 involved pilot safety. The actual incidents for 2012 and 2013 were Category II incidents.

4. HOW WE COMPARE

In 1980, there were twenty incidents investigated in Oregon; in 1990 there were twelve, and in 2000 there were three. While there is no other industry standard for comparison in Oregon, there are in other maritime states. However, the incident reporting criteria differ from state to state. In Washington, there were seven incidents and twenty-six marine safety occurrence reports in 2011.

5. FACTORS AFFECTING RESULTS

The majority of incidents occur due to mechanical failure, weather or hydrological conditions that are beyond anyone's ability to prevent.

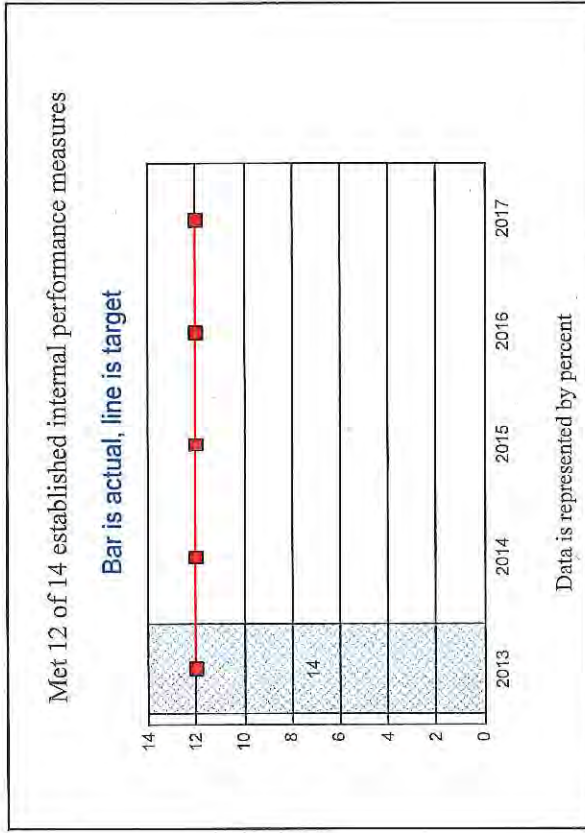
6. WHAT NEEDS TO BE DONE

The Board will continue to track incident trends and adjust training and education requirements to address emerging issues.

7. ABOUT THE DATA

OAR 856-010-0020 requires all licensees to report incidents to the Board.

PUBLIC UTILITY COMMISSION		II. KEY MEASURE ANALYSIS	
KPM #21a	Extent to which the Energy Trust of Oregon meets 12 of 14 established internal performance measures.		2014
Goal	Ensure the ETO meets established internal performance measures related to energy efficiency, renewable energy development, financial integrity, program delivery efficiency, customer satisfaction and benefit/cost ratios, as prescribed by the PUC.		
Oregon Context	<p>In Oregon, all energy efficiency programs for Oregon's large investor-owned utilities (i.e., PGE, Pacific Power, Northwest Natural Gas and Cascade Natural Gas) are administered through a third-party nonprofit called the Energy Trust of Oregon. PUC staff develops performance measures for Energy Trust of Oregon each year. The key elements of PUC's oversight of Energy Trust are spelled out in a legal agreement, which is referred to as a grant agreement. Page 3 of the grant agreement states: The Energy Trust and the PUC recognize the need for having valid and quantifiable performance measures that clearly define the PUC's expectation of the Energy Trust's performance. The performance measures are developed to clarify minimum expectations for Energy Trust on an ongoing basis and may be adjusted from time-to-time. The Energy Trust will regularly report to the PUC, comparing actual performance to the PUC established performance measures. Should the Energy Trust fail to meet the performance measures adopted by the PUC, the PUC, at its discretion, may issue a Notice of Concern. In choosing to issue such a Notice of Concern, the PUC will take into account reasonable causal factors and any mitigating actions taken by the Energy Trust. Each year OPUC Staff establishes 14 individual performance measures for Energy Trust that gauge performance. OPUC Staff updates Energy Trust performance measures every year.</p>		
Data Source	Energy Trust provides annual reports to the Commission highlighting the organization's performance relative to current OPUC performance measures, in addition to providing detailed results and performance against goals set during its budget process. These performance measures are verified by staff.		
Owner	Utility Program, Energy Division, Juliet Johnson, 503-378-8714		



1. OUR STRATEGY

The internal performance measures which are approved on an annual basis, provide a comprehensive measure of Energy Trust's performance, and accordingly, OPUC's oversight of ETO programs.

2. ABOUT THE TARGETS

Below are the 14 measures for 2014: 1. For Portland General Electric - Obtain at least 32.0 average megawatts (aMW) of savings at a levelized cost not to exceed 3.2 cents per kilowatt-hour (kWh). 2. For Pacific Power - Obtain at least 17.1 aMW of savings at a levelized cost not to exceed 3.7 cents/kWh. 3. For Northwest Natural Gas - Obtain at least 4.53 million annual therms of savings at a levelized cost not to exceed 45.3 cents/therm. 4. For Cascade Natural Gas - Obtain at least 0.40 million annual therms of savings at a levelized cost not to exceed 52.0 cents/therm. 5. Related to renewable energy - For project and market development assistance, report annual results, including number of projects supported, milestones met and documentation of results from market and technology perspective. 6. Related to renewable energy - Obtain at least 0.70 average megawatts (aMW) in installed generation of net-metered standard projects including solar and small wind. 7. Related to renewable energy - For non-solar custom projects, the 3-year rolling average incentive is not to exceed \$29/allocated megawatt-hour (MWh). 8. Related to renewable energy - For innovative and custom solar projects, report sources of funding for projects and

the selection criteria. 9. Financial Integrity - The Commission expects the Trust to demonstrate its financial integrity by obtaining an unqualified financial audit opinion annually. 10. Program Delivery Efficiency - The Commission expects the Trust to demonstrate program delivery efficiency by keeping its administrative and program support costs below 9 percent of annual revenues. 11. Customer Satisfaction - Based on Fast Feedback results, over the full calendar year, for applicable sectors and programs, Energy Trust should maintain a minimum of 85 percent of customers indicating they are satisfied or very satisfied with "Interaction with program representatives." 12. Customer Satisfaction - Based on Fast Feedback results, over the full calendar year, for applicable sectors and programs, Energy Trust should maintain a minimum of 85 percent of customers indicating they are satisfied or very satisfied with "Overall satisfaction." 13. Benefit/Cost Ratios - The Commission expects the Trust to report the benefit/cost ratio for its conservation acquisition programs in its annual report based on the utility system perspective and societal perspective. 14. The Commission expects the Trust to report significant mid-year changes in benefit/cost performance as necessary in its quarterly reports.

3. HOW WE ARE DOING

The ETO has met 14 of 14 performance measures during 2013. Meeting targets is a combination of strong and focused program management by the ETO and effective oversight of the ETO by the PUC.

4. HOW WE COMPARE

There are a limited number of states that manage energy conservation and small renewable projects through a third party administrator. The ETO is sought out as an expert in program deployment and offers programs for two gas distribution companies in Oregon (NW Natural and Cascade Natural Gas) as well as to Oregon's two largest investor-owned electric utility companies (Portland General Electric and Pacific Power). Energy Trust has been approached to offer programs in other states.

5. FACTORS AFFECTING RESULTS

Customer interest in energy efficiency and small renewable projects is strong. The ETO is continuously finding means to ensure customer energy savings; increased energy efficiency in PGE, Cascade Natural Gas, NW Natural, and PacifiCorp territories; and cost-effective development of small renewable energy projects. Market conditions that are outside the control of Energy Trust can also impact results. Things like unexpected changes to tax credits, unexpected changes to natural gas prices, and unexpected new energy efficiency breakthrough technologies, can impact Energy Trust's ability to acquire conservation and/or to develop renewable energy projects. These factors need to be monitored along with Energy Trust's performance.

6. WHAT NEEDS TO BE DONE

In Order 12-094, the Commission approved a systematic approach to developing ETO performance measures. Staff and Energy Trust established a formula that ties performance measures to utility Integrated Resource Plan (IRP) targets and Energy Trust's goals for energy efficiency. Staff needs to continue to monitor Energy Trust's performance, not only at the end of the year, but throughout the year as market factors change and as quarterly reports are made to the Commission. Staff needs to continue to ensure that Energy Trust coordinates closely with the utilities to establish aggressive and realistic savings and generation targets that align with utility IRP goals. In this way, Energy Trust remains accountable and Oregon ratepayers get the most benefit from Energy Trust's programs.

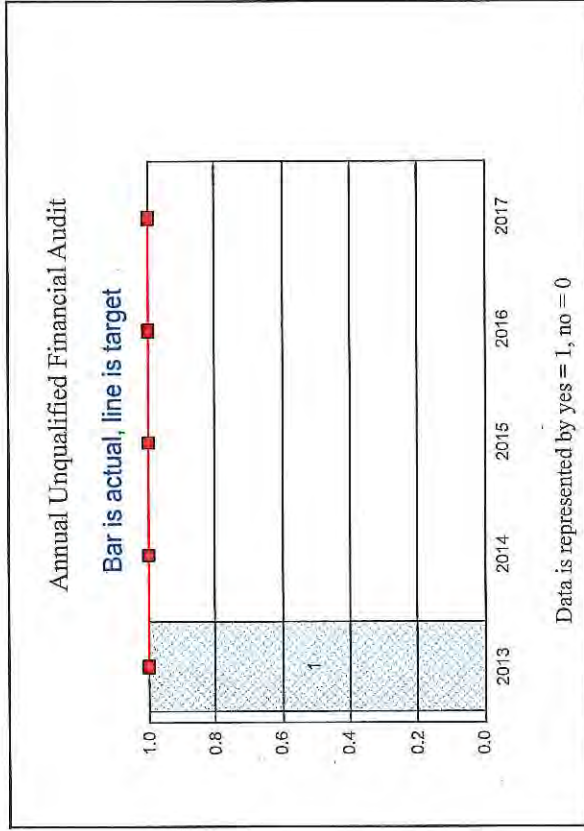
7. ABOUT THE DATA

Relevant data is provided to the Commission yearly in annual reports and also quarterly as quarterly reports are provided to the Commission. The data indicates that the ETO is meeting its internal performance measures and fulfilling the terms and conditions of its grant agreement with the PUC.

PUBLIC UTILITY COMMISSION

II. KEY MEASURE ANALYSIS

<p>KPM #21b</p>	<p>Extent to which the Energy Trust of Oregon meets the established internal performance measures; Obtaining an annual unqualified financial audit.</p>	<p>2014</p>
<p>Goal</p>	<p>Ensure Energy Trust operates in a fiscally responsible manner as demonstrated in an unqualified financial audit provided to OPUC Staff.</p>	
<p>Oregon Context</p>	<p>In Oregon, all energy efficiency programs for Oregon's large investor-owned utilities (i.e., PGE, Pacific Power, Northwest Natural Gas, and Cascade Natural Gas) are administered through a third-party nonprofit called the Energy Trust of Oregon. PUC staff develops performance measures for Energy Trust of Oregon each year. The key elements of PUC's oversight of Energy Trust are spelled out in a legal agreement, which is referred to as a grant agreement. Page 3 of the grant agreement states: The Energy Trust and the PUC recognize the need for having valid and quantifiable performance measures that clearly define the PUC's expectation of the Energy Trust's performance. The performance measures are developed to clarify minimum expectations for Energy Trust on an ongoing basis and may be adjusted from time-to-time. The Energy Trust will regularly report to the PUC, comparing actual performance to the PUC established performance measures. Should the Energy Trust fail to meet the performance measures adopted by the PUC, the PUC, at its discretion, may issue a Notice of Concern. In choosing to issue such a Notice of Concern, the PUC will take into account reasonable causal factors and any mitigating actions taken by the Energy Trust. OPUC Staff establishes performance measures for Energy Trust that gauge performance and outline requirements for program delivery efficiency, financial integrity, and customer satisfaction. OPUC Staff is currently updating Energy Trust performance measures every year. OPUC Staff establishes 14 performance measures for Energy Trust. Of the 14 Energy Trust performance measures, performance measure number 9, titled Financial Integrity, states that the Commission expects Energy Trust to demonstrate its financial integrity by obtaining an unqualified financial audit opinion annually.</p>	
<p>Data Source</p>	<p>Energy Trust provides annual reports to the Commission highlighting the organization's performance relative to current OPUC performance measures, in addition to providing detailed results and performance against goals set during its budget process. Energy Trust provides copies of the yearly unqualified financial audits to the PUC as part of the annual report.</p>	
<p>Owner</p>	<p>Utility Program, Energy Division, Juliet Johnson, 503-378-8714</p>	



1. OUR STRATEGY

The internal performance measures which are approved on an annual basis provide a comprehensive measure of Energy Trust's performance, and accordingly, OPUC's oversight of ETO programs. PUC Staff will review the annual unqualified financial audit to make sure Energy Trust is operating in a fiscally responsible manner and to make sure Energy Trust implements any relevant suggestions made by the auditor.

2. ABOUT THE TARGETS

The PUC sets 14 annual performance measures for Energy Trust. Performance measure number nine is the following: Financial Integrity - The Commission expects the Trust to demonstrate its financial integrity by obtaining an unqualified financial audit opinion annually. This measure is non-negotiable and every year Energy Trust needs to receive an unqualified financial audit opinion.

3. HOW WE ARE DOING

Energy Trust has completed an unqualified financial audit every year since this requirement has been put in place.

4. HOW WE COMPARE

There are a limited number of states that manage energy conservation and small renewable projects through a third party administrator. The ETO is sought out as an expert in program deployment and offers programs for two gas distribution companies in Oregon (NW Natural and Cascade Natural Gas) as well as to Oregon's two largest investor-owned electric utility companies (Portland General Electric and Pacific Power). Energy Trust has been approached to offer programs in other states. Energy Trust is a non-profit. Not all non-profits obtain an unqualified financial audit every year, but it is best practice to do so. By requiring Energy Trust to obtain an audit, OPUC is demonstrating above average prudence and oversight.

5. FACTORS AFFECTING RESULTS

The key factor affecting results is whether or not Energy Trust has an audit performed. In the audit, there may be recommendations for areas of improvement. PUC Staff will review the recommendations and follow up on them in subsequent years. Accordingly, once the annual audit is obtained, another factor affecting results is PUC Staff's follow-through on areas identified in the audit.

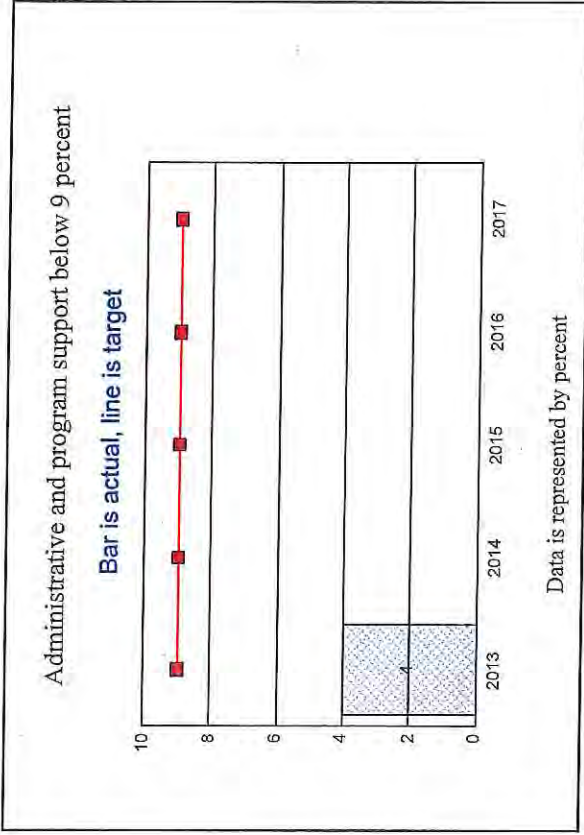
6. WHAT NEEDS TO BE DONE

PUC Staff acknowledging that audit was performed and following through on recommendations from the auditor.

7. ABOUT THE DATA

The data indicates that the ETO is meeting its internal performance measures and fulfilling the terms and conditions of its grant agreement with the PUC.

PUBLIC UTILITY COMMISSION		II. KEY MEASURE ANALYSIS
KPM #21c	Extent to which the Energy Trust of Oregon meets the established internal performance measures; Keep administrative and programs support costs below 9 percent of annual revenue.	2014
Goal	Ensure that Energy Trust keeps administrative and program support costs below 9 percent of annual revenue.	
Oregon Context	<p>In Oregon, all energy efficiency programs for Oregon's large investor-owned utilities (i.e., PGE, Pacific Power, Northwest Natural Gas, and Cascade Natural Gas) are administered through a third-party nonprofit called the Energy Trust of Oregon. PUC staff develops performance measures for Energy Trust of Oregon each year. The key elements of PUC's oversight of Energy Trust are spelled out in a legal agreement, which is referred to as a grant agreement. Page 3 of the grant agreement states: The Energy Trust and the PUC recognize the need for having valid and quantifiable performance measures that clearly define the PUC's expectation of the Energy Trust's performance. The performance measures are developed to clarify minimum expectations for Energy Trust on an ongoing basis and may be adjusted from time-to-time. The Energy Trust will regularly report to the PUC, comparing actual performance to the PUC established performance measures. Should the Energy Trust fail to meet the performance measures adopted by the PUC, the PUC, at its discretion, may issue a Notice of Concern. In choosing to issue such a Notice of Concern, the PUC will take into account reasonable causal factors and any mitigating actions taken by the Energy Trust. OPUC Staff establishes performance measures for Energy Trust that gauge performance and outline requirements for program delivery efficiency, financial integrity, and customer satisfaction. OPUC Staff is currently updating Energy Trust performance measures every year. OPUC Staff sets 14 performance measures for Energy Trust. Of the 14 Energy Trust Performance Measures, performance measure number 10, titled Program Delivery Efficiency, is to keep administrative and program support costs below 9 percent of annual revenue.</p>	
Data Source	Energy Trust provides annual reports to the Commission highlighting the organization's performance relative to current OPUC performance measures, in addition to providing detailed results and performance against goals set during its budget process. These performance measures are verified by Staff.	
Owner	Utility Program, Energy Division, Juliet Johnson, 503-378-8714	



1. OUR STRATEGY

The internal performance measures which are approved on an annual basis provide a comprehensive measure of Energy Trust's performance, and accordingly, OPUC's oversight of ETO programs. We aim to track percent of administrative and program support costs and require changes if costs get too high as a percent of total revenues.

2. ABOUT THE TARGETS

Energy Trust Performance Measure 10 is as follows: Program Delivery Efficiency - The Commission expects the Trust to demonstrate program delivery efficiency by keeping its administrative and program support costs below 9 percent of annual revenues. Staff established this performance measure to ensure administrative and program support costs remain a small percentage of the total program costs.

3. HOW WE ARE DOING

Energy Trust consistently comes in well below this nine percent target. Energy Trust regularly reports administrative and program support costs at or below 6

percent, substantially below the 9 percent target.

4. HOW WE COMPARE

There are a limited number of states that manage energy conservation and small renewable projects through a third party administrator. The ETO is sought out as an expert in program deployment and offers programs for two gas distribution companies in Oregon (NW Natural and Cascade Natural Gas) as well as to Oregon's two largest investor-owned electric utility companies (Portland General Electric and Pacific Power). Energy Trust has been approached to offer programs in other states. Energy Trust's administrative and program support costs are in the low end of the range of comparable non-profits and utility efficiency programs. Because Energy Trust offers programs for multiple utilities, it is administratively more efficient in that each utility is not required to have a dedicated staff to manage these programs. Energy Trust's staff manages four utility programs and has demonstrated fiscal responsibility in managing its program management and administrative support costs.

5. FACTORS AFFECTING RESULTS

Factors affecting administrative and program support costs include things like turnover of key employees, implementation of new computer systems that require additional staff time to get up and running, complexity of efficiency programs, and the amount of management and administration time required to achieve savings.

6. WHAT NEEDS TO BE DONE

OPUC Staff needs to monitor the percentage of administrative and program support costs on a year by year basis and also monitor market conditions that could impact these percentages. Through monitoring and active oversight, Staff can make sure Energy Trust is engaging in best practices and using rate payer dollars wisely.

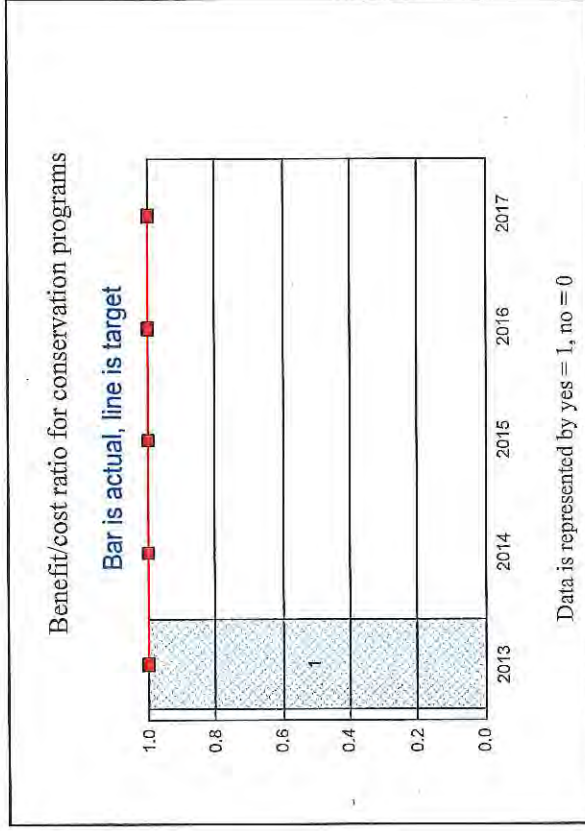
7. ABOUT THE DATA

The data is readily available to Staff in yearly reports and to date, data indicates that the ETO is meeting its performance measures related to administrative and program support costs and Energy Trust is fulfilling the terms and conditions of its grant agreement with the PUC.

PUBLIC UTILITY COMMISSION

II. KEY MEASURE ANALYSIS

<p>KPM #21d</p>	<p>Extent to which the Energy Trust of Oregon meets the established internal performance measures; Reporting the benefit/cost ratio for conservation programs based on utility system societal perspective.</p>	<p>2014</p>
<p>Goal</p>	<p>Ensure that Energy Trust of Oregon regularly reports the benefit/cost ratio for conservation programs based on both utility system and societal perspectives.</p>	
<p>Oregon Context</p>	<p>In Oregon, all energy efficiency programs for Oregon's large investor-owned utilities (i.e., PGE, Pacific Power, Northwest Natural Gas, and Cascade Natural Gas) are administered through a third-party nonprofit called the Energy Trust of Oregon. PUC staff develops performance measures for Energy Trust of Oregon each year. The key elements of PUC's oversight of Energy Trust are spelled out in a legal agreement, which is referred to as a grant agreement. Page 3 of the grant agreement states: The Energy Trust and the PUC recognize the need for having valid and quantifiable performance measures that clearly define the PUC's expectation of the Energy Trust's performance. The performance measures are developed to clarify minimum expectations for Energy Trust on an ongoing basis and may be adjusted from time-to-time. The Energy Trust will regularly report to the PUC, comparing actual performance to the PUC established performance measures. Should the Energy Trust fail to meet the performance measures adopted by the PUC, the PUC, at its discretion, may issue a Notice of Concern. In choosing to issue such a Notice of Concern, the PUC will take into account reasonable causal factors and any mitigating actions taken by the Energy Trust. OPUC Staff establishes performance measures for Energy Trust that gauge performance and outline requirements for program delivery efficiency, financial integrity, and customer satisfaction. OPUC Staff is currently updating Energy Trust performance measures every year. OPUC Staff sets 14 performance measures for Energy Trust. Of the 14 Energy Trust Performance Measures, performance measure number 13, titled Benefit/Cost Ratios, states that the Commission expects the Energy Trust to report the benefit/cost ratio for its conservation acquisition programs in its annual report based on the utility system perspective and societal perspective.</p>	
<p>Data Source</p>	<p>Energy Trust provides annual reports to the Commission highlighting the organization's performance relative to current OPUC performance measures, in addition to providing detailed results and performance against goals set during its budget process. These performance measures are verified by staff. As part of annual reports, Energy Trust reports on the benefit/cost ratio for conservation programs from a utility and societal perspective.</p>	
<p>Owner</p>	<p>Utility Program, Energy Division, Juliet Johnson, 503-378-8714</p>	



1. OUR STRATEGY

The internal performance measures which are approved on an annual basis, provide a comprehensive measure of Energy Trust's performance, and accordingly, OPUC's oversight of ETO programs. We aim to track the benefit/cost ratio of Energy Trust programs from a utility and societal perspective on a yearly basis in order to ensure that Energy Trust continues to acquire conservation in a way that provides a net benefit to Oregon ratepayers and in accordance with utility Integrated Resource Plans (IRPs).

2. ABOUT THE TARGETS

Energy Trust Performance Measure 13 is the following: Benefit/Cost Ratios - The Commission expects the Trust to report the benefit/cost ratio for its conservation acquisition programs in its annual report based on the utility system perspective and societal perspective. The target here is for Energy Trust to report the benefit/cost ratio for its conservation programs from a utility and societal perspective on an annual basis.

3. HOW WE ARE DOING

Energy Trust has consistently provided this reporting to the Commission Staff.

4. HOW WE COMPARE

There are a limited number of states that manage energy conservation and small renewable projects through a third party administrator. The ETO is sought out as an expert in program deployment and offers programs for two gas distribution companies in Oregon (NW Natural and Cascade Natural Gas) as well as to Oregon's two largest investor-owned electric utility companies (Portland General Electric and Pacific Power). Energy Trust has been approached to offer programs in other states.

5. FACTORS AFFECTING RESULTS

Factors affecting results are whether or not Energy Trust reports the utility and societal benefit/cost ratios.

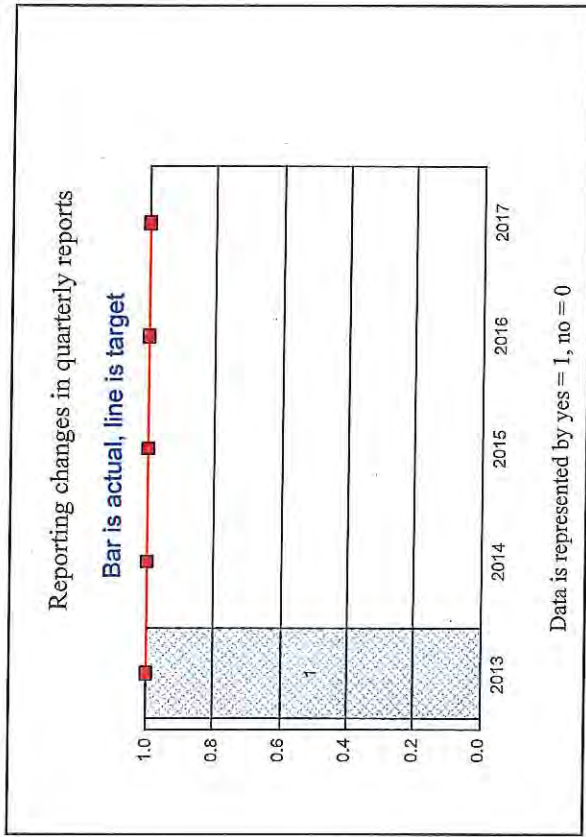
6. WHAT NEEDS TO BE DONE

Staff needs to review the annual reports and verify that ratios have been provided. If ratios have changed significantly from the previous year, Staff needs to follow up with Energy Trust and understand why ratios have changed and, if necessary, require changes to Energy Trust programs.

7. ABOUT THE DATA

The data is readily available to Staff in yearly reports and to date, ETO has regularly provided benefit/cost ratios for its conservation acquisition programs from utility and societal perspectives. These results continue to demonstrate that Energy Trust programs are benefiting Oregon ratepayers. Through this and other reporting, Energy Trust is fulfilling the terms and conditions of its grant agreement with the PUC.

PUBLIC UTILITY COMMISSION		II. KEY MEASURE ANALYSIS	
KPM #21e	Extent to which the Energy Trust of Oregon meets the established internal performance measures; Reporting significant mid-year changes to benefit/cost performance as necessary in quarterly reports.		2014
Goal	Energy Trust must report significant mid-year changes to benefit/cost performance as necessary in quarterly reports.		
Oregon Context	<p>In Oregon, all energy efficiency programs for Oregon's large investor-owned utilities (i.e., PGE, Pacific Power, Northwest Natural Gas, and Cascade Natural Gas) are administered through a third-party nonprofit called the Energy Trust of Oregon. PUC staff develops performance measures for Energy Trust of Oregon each year. The key elements of PUC's oversight of Energy Trust are spelled out in a legal agreement, which is referred to as a grant agreement. Page 3 of the grant agreement states: The Energy Trust and the PUC recognize the need for having valid and quantifiable performance measures that clearly define the PUC's expectation of the Energy Trust's performance. The performance measures are developed to clarify minimum expectations for Energy Trust on an ongoing basis and may be adjusted from time-to-time. The Energy Trust will regularly report to the PUC, comparing actual performance to the PUC established performance measures. Should the Energy Trust fail to meet the performance measures adopted by the PUC, the PUC, at its discretion, may issue a Notice of Concern. In choosing to issue such a Notice of Concern, the PUC will take into account reasonable causal factors and any mitigating actions taken by the Energy Trust. OPUC Staff establishes performance measures for Energy Trust that gauge performance and outline requirements for program delivery efficiency, financial integrity, and customer satisfaction. OPUC Staff is currently updating Energy Trust performance measures every year. OPUC Staff sets 14 performance measures for Energy Trust. Of the 14 Energy Trust performance measures, performance measure number 14, titled Benefit/Cost Ratios, states that the Commission expects the Trust to report significant mid-year changes in benefit/cost performance as necessary in its quarterly reports.</p>		
Data Source	<p>In addition to annual reports, Energy Trust provides quarterly written reports to the Commission and makes quarterly presentations to the Commission at public meetings. Within these reports, Energy Trust reports on significant changes to their programs, such as significant mid-year changes that could impact benefit/cost performance. In this way, OPUC Staff and the Commissioners do not need to wait until the end of the year to learn of potential issues or problems that Energy Trust is encountering.</p>		
Owner	Utility Program, Energy Division, Juliet Johnson, 503-378-8714		



1. OUR STRATEGY

Stay current on issues Energy Trust is encountering that have the potential to impact benefit/cost ratios. Review written quarterly reports provided to the Commission and attend public meetings where verbal and PowerPoint presentations are made.

2. ABOUT THE TARGETS

The Commission has 14 performance measures by which they gauge Energy Trust's performance. Performance Measure 14 is: Benefit/Cost Ratios - The Commission expects the Trust to report significant mid-year changes in benefit/cost performance as necessary in its quarterly reports.

3. HOW WE ARE DOING

Energy Trust is doing well on this performance measure and it regularly provides very detailed and timely quarterly reports to the Commission.

4. HOW WE COMPARE

There are a limited number of states that manage energy conservation and small renewable projects through a third party administrator. The ETO is sought out as an expert in program deployment and offers programs for two gas distribution companies in Oregon (NW Natural and Cascade Natural Gas) as well as to Oregon's two largest investor-owned electric utility companies (Portland General Electric and Pacific Power). Energy Trust has been approached to offer programs in other states. Energy Trust operates in a very transparent and open way. Quarterly reports are not only provided to the Commission and Commission Staff, but they are also made available on Energy Trust's website. Energy Trust follows industry best practices in tracking and reporting.

5. FACTORS AFFECTING RESULTS

Factors affecting results are whether or not Energy Trust provides appropriate information to the Commission and Commission Staff in its quarterly reports.

6. WHAT NEEDS TO BE DONE

Continue to review quarterly reports and attend quarterly presentations at Commission Public Meetings and continue to track issues and market conditions that have the potential to impact benefit/cost ratios.

7. ABOUT THE DATA

The data is readily available to Staff in quarterly reports and to date, data indicates that the ETO is meeting its performance measures related to reporting significant mid-year changes to benefit/cost performance as necessary in quarterly reports. Energy Trust is fulfilling the terms and conditions of its grant agreement with the PUC.

PUBLIC UTILITY COMMISSION	III. USING PERFORMANCE DATA
Agency Mission: Ensure that safe and reliable utility services are provided to consumers at just and reasonable rates through regulation and promoting the development of competitive markets.	
Contact: Marilyn IntVeld	Contact Phone: 503-373-7949
Alternate: Michael Dougherty	Alternate Phone: 503-373-1303
The following questions indicate how performance measures and data are used for management and accountability purposes.	
1. INCLUSIVITY	<p>* Staff : Facilitation of performance measures occurs centrally through the Chief Operating Officer's office with the performance coordinator, who then works with division staff. Staff is continually evaluating performance measures to ensure viability and effectiveness of the targets, formulas, and measures. If a measure needs revision or a new measure is created, a team is assigned to work on the measure.</p> <p>* Elected Officials: Elected Officials may receive correspondence or be requested to be on a committee to review or create new performance measures.</p> <p>* Stakeholders: Stakeholders may receive correspondence or be requested to be on a committee to review or create new performance measures.</p> <p>* Citizens: Citizens may receive correspondence or be requested to be on a committee to review or create new performance measures.</p>
2 MANAGING FOR RESULTS	<p>Within the PUC, each division assesses their performance measures. If there needs to be changes to the measure: to clarify how it is being reported; if the reporting of the measure needs to be revised to show more accurate results; or if the measure needs to be replaced, a team is assigned to develop the proposed revisions/changes. The recommendation is then presented to management who will review and approve the development process and submit the performance measure. In addition, if a measure is not met, analysis occurs which produces recommendations and/or action plans for improvement.</p>
3 STAFF TRAINING	<p>Our Performance Coordinator takes advantage of meetings and workshops available by the State. In turn the Coordinator trains staff on an ongoing basis.</p>
4 COMMUNICATING RESULTS	<p>* Staff : Performance measure information is posted on the agency web site. Review occurs through departmental correspondence, meetings, and/or access to the electronic version.</p>

* **Elected Officials:** Elected officials can obtain information about agency performance measures on the agency web site <http://www.oregon.gov/PUC/commission/perform.shtml> or contact the agency directly.

* **Stakeholders:** Stakeholders may contact the agency or obtain any and all performance measure information electronically on the agency web site at <http://www.oregon.gov/PUC/commission/perform.shtml>.

* **Citizens:** Citizens are encouraged to view the agency performance measures on the PUC web site at <http://www.oregon.gov/PUC/commission/perform.shtml>.

Agency Management Report

KPMs For Reporting Year 2014

Finalize Date: 8/1/2014

Agency: PUBLIC UTILITY COMMISSION

	Green = Target to -5%	Yellow = Target -6% to -15%	Red = Target > -15%	Pending	Exception Can not calculate status (zero entered for either Actual or Target)
Summary Stats:	68.00%	8.00%	16.00%	0.00%	8.00%

Detailed Report:

KPMs	Actual	Target	Status	Most Recent Year	Management Comments
1 - Water Utilities - Percentage of rate regulated water companies with rate designs promoting efficient use of water resources.	95.00	93.00	Green	2013	No comments offered at this time.
2 - Price of Electricity - Average price of electricity for residential users from Oregon Investor Owned Utilities as a percent of the national average price.	89	90	Green	2013	This performance measure shows the extent to which Oregon investor-owned utilities (IOUs) residential customers rates for electricity are below the national average. The lower rates are largely due to the region's retention of federal hydropower system benefits and other hydroelectric resources. The PUC authorizes utilities to include only prudently incurred cost in rates.
3 - Electric Energy - Percentage of business customers' electric energy usage supplied by alternative suppliers.	9.40	10.00	Yellow	2013	This measures the percentage of PGE and PacifiCorp nonresidential load served by alternative suppliers and indicates how the competitive market in Oregon is developing. While there has been some progress in increasing the percentage of load provided by alternative suppliers, actual results are below target because of the relatively low, stable rates of the investor-owned utilities in Oregon.

Agency Management Report

KPMs For Reporting Year 2014

Finalize Date: 8/1/2014

KPMs	Actual	Target	Status	Most Recent Year	Management Comments
4 - Utility Pricing - Number of new utility pricing programs.	14	12	Green	2013	This measures the number of new pricing programs that reduce or shift demand for power during high-cost hours. Actual results were slightly higher than the target in the most recent reporting year. The agency expects the implementation of Advanced Metering Infrastructure systems by Oregon's investor-owned utilities, over the next few years, to enable the utilities to increasingly design and offer new pricing programs.
5 - Residential Energy Efficiency - Ratio of dollars realized in energy savings per dollar of public purpose fund expenditure for Energy Trust's residential programs.	4.40	3.70	Green	2011	The metric is a benefit-cost ratio. It is a measure of how effectively the ETO is acquiring energy efficiency savings. Numbers greater than one indicate the benefits exceed the costs of acquiring the energy efficiency. Larger numbers indicate better performance. Actual performance clearly indicates progress by the ETO.
6 - Commercial Energy Efficiency - Ratio of dollars realized in energy savings per dollar of public purpose fund expenditure for Energy Trust's commercial programs.	4.10	2.80	Green	2011	The metric is a benefit cost ratio and an indicator of how effectively the ETO is acquiring energy efficiency savings. Anything over one indicates more savings is produced than it cost to acquire. Larger numbers indicate better performance.
7 - Industrial Energy Efficiency - Ratio of dollars realized in energy savings per dollar of public purpose fund expenditure for costs of Energy Trust's industrial programs.	3.40	2.90	Green	2011	The metric is a benefit cost ratio and an indicator of how effectively the ETO is acquiring energy efficiency savings. Anything over one indicates more savings is produced than it cost to acquire. Larger numbers indicate better performance.

Agency Management Report

KPMs For Reporting Year 2014

Finalize Date: 8/1/2014

KPMs	Actual	Target	Status	Most Recent Year	Management Comments
8 - Renewable Resource Development - Annual average megawatts acquired through Energy Trust programs. (3 year rolling average)	2.50	3.00	Red	2011	The targets for 2010 - 2013 have been revised downward to reflect limits imposed by passage of Senate Bill 838 by the 2009 Legislature. The ETO is now limited to supporting small-scale renewable projects with capacity less than or equal to 20 megawatts. The previous energy target of 12 annual average megawatts (MWa) was comprised of 9 MWa from large-scale, and 3 MWa from small-scale, renewable projects. The revised target is 3 MWa from small-scale projects.
9 - Energy Trust Administrative Efficiency - Administrative and program support costs as a percent of annual revenues.	5.00	9.00	Green	2011	This metric is indicative of the efficiency of ETO's program delivery. It shows what percent of the total funding is spent on administrative and program support costs. Lower numbers indicate better performance.
10 - Electric Utility Operations - Effectiveness of staff audits in preventing injuries caused by electric utility operations per 100,000 utility customers.	0.10	0.11	Green	2013	Staff will continue the auditing programs, which have been very effective, and will continue to provide training for the operators in the State.
11 - Unsafe Acts - Effectiveness of Utility and PUC promoted education in preventing injuries from unsafe acts per 100,000 utility customers.	0.30	0.45	Green	2013	Staff will continue the auditing programs, which have been very effective, and will continue to provide training for the operators in the State.
12 - Natural Gas Operations - Personal injuries related to Natural Gas Operations per 100,000 utility customers.	0.0000	0.1500	Exception	2013	Staff will continue the auditing programs, which have been very effective, and will continue to provide training for the operators in the State.

Agency Management Report

KPMs For Reporting Year 2014

Finalize Date: 8/1/2014

KPMs	Actual	Target	Status	Most Recent Year	Management Comments
13 - Switched Access Lines - Percent of total switched access lines provided by competitive local exchange carriers, statewide.	25.60	29.80	Yellow	2013	If the VoIP subscription data is accounted for, then the long-term trend of competitive entry seems promising. However, given the court decisions striking down many of the Federal Communications Commission policies promoting competition, it is unclear whether traditional CLEC competitors will be able to continue to gain market share from the incumbent local exchange carriers. The PUC will consider whether future targets should continue to increase or should level off at some point.
14 - Evidentiary Record - Percent of Consumer Complaint Orders issued within 30 days of close of evidentiary record.	100	100	Green	2013	Although the goal is to meet the 30-day target for all complaints, the agency will take more time if necessary to provide adequate customer service, especially in complex cases.
15 - Oregon Telephone Assistance Program - Percentage of Supplemental Nutrition Assistance Program (SNAP) recipients participating in the Oregon Telephone Assistance Program.	18	23	Red	2013	Food Stamp Program remained to Supplemental Nutrition Assistance Program (SNAP). The name change took effect January 1, 2010, because the program has not used "stamps" since 1998. As part of the "Farm Bill" changes made in October 2008, the name of the program nationally was changed to Supplemental Nutrition Assistance Program.
16 - Access to Telephone Services - Percentage of disabled senior citizens (65 years and older) with access to the Telecommunications Devices Access Program.	68	50	Green	2013	Due to our outreach efforts, the metric shows the growth of senior citizens participating in the program.
17 - Complaint Investigation - Percent of complaint investigation cases open 50 days or less.	82	75	Green	2013	The target of 50 days or less was selected as one measurement tool for providing timely customer service. By increasing the percentage of cases closed in 50 days or less, the likelihood increases that consumers will feel their concerns were addressed timely.

Agency Management Report

KPMs For Reporting Year 2014

Finalize Date: 8/1/2014

KPMs	Actual	Target	Status	Most Recent Year	Management Comments
18 - Customer Service - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent" in overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.	80.00	84.00	Green	2013	There is one other change in how we calculate the OVERALL satisfaction rating. Prior to 2011, the satisfaction rating for the OVERALL category was a response to an actual question about the quality of service. We determined that this specific question was not an accurate measurement of how well we had done overall. Thus, beginning with the 2011 results, the OVERALL category is a weighted average of responses in the other five categories. This provides a much more meaningful measurement of our overall performance.
19 - Best Practices - Percent of total best practices met by the Board of Maritime Pilots.	71	100	Red	2013	Board member responses have been generally satisfied with the results of their efforts to meet or exceed best practices despite limited resources.
20 - Vessel Incidents - The number and severity of incidents involving vessels under the direction of licensees, and as a percentage of total vessels piloted annually.	4	0	Exception	2013	There have been significant strides in the reduction of the numbers of incidents occurring over the last thirty years. They now occur so infrequently that the targets have been made consistent with the goal of zero incidents. Incidents over the last three years occurred on average once in every 1,003 vessel transits.
21 a - Extent to which the Energy Trust of Oregon meets 12 of 14 established internal performance measures.	14	12	Green	2013	The purpose of ETO performance measures is to clearly define the Commission's minimum expectations. Performance measures are not meant to be targets or goals. Rather they reflect a threshold by which regulators can determine the health of Energy Trust programs. They are meant to provide early indicators of poor performance that if not met signal that intervention may be required. Staff needs to continue to monitor performance and require changes if needed to improve results.

Agency Management Report

KPMs For Reporting Year 2014

Finalize Date: 8/1/2014

KPMs	Actual	Target	Status	Most Recent Year	Management Comments
21 b - Extent to which the Energy Trust of Oregon meets the established internal performance measures; Obtaining an annual unqualified financial audit.	1	1	Green	2013	The purpose of ETO performance measures is to clearly define the Commission's minimum expectations. The Company is required to obtain an unqualified financial audit every year.
21 c - Extent to which the Energy Trust of Oregon meets the established internal performance measures; Keep administrative and programs support costs below 9 percent of annual revenue.	4	9	Red	2013	Staff will continue to monitor performance against this measure and may consider reducing the percentage in the future.
21 d - Extent to which the Energy Trust of Oregon meets the established internal performance measures; Reporting the benefit/cost ratio for conservation programs based on utility system societal perspective.	1	1	Green	2013	Continue to track benefit/cost ratios.
21 e - Extent to which the Energy Trust of Oregon meets the established internal performance measures; Reporting significant mid-year changes to benefit/cost performance as necessary in quarterly reports.	1	1	Green	2013	Staff should continue to monitor quarterly reports and annual reports to make sure that no surprises show up in annual reports and that all significant mid-year changes are reported in quarterly reports.

This report provides high-level performance information which may not be sufficient to fully explain the complexities associated with some of the reported measurement results. Please reference the agency's most recent Annual Performance Progress Report to better understand a measure's intent, performance history, factors impacting performance and data gather and calculation methodology.