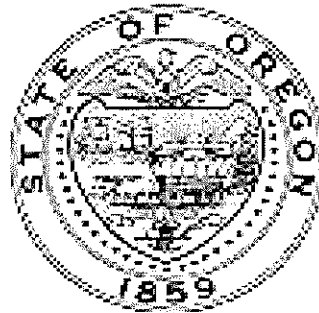


**OREGON GOVERNMENT**

**ETHICS COMMISSION**



**2015 - 2017 GOVERNOR'S RECOMMENDED BUDGET**

OREGON GOVERNMENT ETHICS COMMISSION  
2015-2017 Governor's Recommended Budget

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**CERTIFICATION**

I hereby certify that the accompanying summary and detailed statements are true and correct to the best of my knowledge and belief and that the accuracy of all numerical information has been verified.

Oregon Government Ethics Commission

\_\_\_\_\_  
*Agency Name*

3218 Pringle Rd SE, Suite 220, Salem, OR 97302

\_\_\_\_\_  
*Agency Address*



\_\_\_\_\_  
*Signature*

Kenneth S. Montoya  
by Ronald A. Bersin, Executive Director

Chairperson

\_\_\_\_\_  
*Title*

TAB: LEGISLATIVE ACTION

77<sup>th</sup> OREGON LEGISLATIVE ASSEMBLY – 2013 Session  
BUDGET REPORT AND MEASURE SUMMARY

MEASURE: SB 5522-A

JOINT COMMITTEE ON WAYS AND MEANS

Carrier – House: Rep. Gomberg  
Carrier – Senate: Sen. Steiner Hayward

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Action: Do Pass as Amended and as Printed A-Engrossed

Vote: 24 – 1 – 1

House

Yeas: Barker, Buckley, Frederick, Freeman, Hanna, Huffman, Jenson, Komp, McLane, Nathanson, Read, Richardson, Smith, Tomei, Williamson

Nays:

Exc:

Senate

Yeas: Burdick, Devlin, Edwards, Hansell, Rosenbaum, Steiner Hayward, Thomsen, Whitsett, Winters

Nays: Girod

Exc: Johnson

Prepared By: Dustin Ball, Department of Administrative Services

Reviewed By: John Borden, Legislative Fiscal Office

Meeting Date: May 17, 2013

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Agency

Oregon Government Ethics Commission

Biennium

2013-15

**Budget Summary**\*

	2011-13 Legislatively Approved Budget <sup>(1)</sup>	2013-15 Current Service Level	2013-15 Committee Recommendation	Committee Change from 2011-13 Leg. Approved	
				\$\$ Change	% Change
Other Funds	\$ 1,615,856	\$ 1,606,484	\$ 1,948,766	332,910	20.6%
Total	\$ 1,615,856	\$ 1,606,484	\$ 1,948,766	332,910	20.6%

**Position Summary**

Authorized Positions	8	7	8	0
Full-time Equivalent (FTE) positions	8.00	7.00	7.88	-0.12

(1) Includes adjustments through December 2012.  
\* Excludes Capital Construction expenditures

**Summary of Revenue Changes**

The Oregon Government Ethics Commission is funded by assessments charged to state agencies and local governmental entities. Assessments are split equally between state agencies and local government entities. Charges to state agencies are based proportionately based on full-time equivalent positions and charges to local governmental entities are based on their Municipal Audit Fee.

**Summary of General Government Subcommittee Action**

The mission of the Government Ethics Commission is to impartially administer the regulatory provisions of government standards and practices, lobby regulation, and certain public meeting laws.

The Commission educates public officials and lobbyists on the provisions of the Government Ethics Law, the Public Meetings Law, and lobbying regulations, through either online or in-person training. It also provides general advice to public officials and lobbyists, more formal staff advice, staff advisory opinions, and then Commission advisory opinions. The Commission is required by law to meet specific timelines for the conduct of investigations.

The General Government Subcommittee approved a \$1,948,766 Other Funds budget for the 2013-15 biennium, which is 20.6 percent higher than the legislatively approved budget for the 2011-13 biennium. The number of positions (eight) remains unchanged from the prior biennium and FTE (7.88) is decreased by 1.5 percent. The approved budget includes statewide adjustments for administrative savings and Public Employees Retirement System Savings as well as approving an initial investment in an electronic reporting system, reclassifying a compliance specialist position, and restoring a full time training position.

The Subcommittee approved the following statewide actions:

- Package 091, Statewide Administrative Savings, eliminates \$9,363 Other Funds expenditure limitation. This package is a placeholder for administrative efficiencies in finance, information technology, human resources, accounting, payroll, and procurement expenditures. The Department of Administrative Services will continue to work on details of these reductions with agencies and report back during the 2014 session.
- Package 092, PERS Tax Policy, eliminates \$2,810 Other Funds expenditure limitation. This package reflects the policy change in SB 822 that eliminates the increased retirement benefits resulting from Oregon income taxation of payments if the person receiving payments does not pay Oregon income tax on those benefits and is not an Oregon resident. This change reduces state employer contribution rates by approximately 0.30 percent.
- Package 093, Other PERS Adjustments, eliminates \$22,452 Other Funds expenditure limitation. This package reflects the policy change in SB 822 that modifies the cost-of-living adjustment under the Public Employees Retirement System. This change reduces state employer contribution rates by approximately 2.2 percent.

An administrative action by the PERS Board, as directed by a budget note in the SB 822 budget report, will reduce state employer contribution rates by up to an additional 1.9 percent. However, no employer rate is reduced below its 2011-13 biennium rate.

The Subcommittee also approved the following actions:

- Package 100, Special Assessment-IT Project, adds \$250,000 Other Funds expenditure limitation. This package provides approval for a one-time assessment of \$800,000, which is shared equally between state agencies and local governments and funds the statutory requirement that the Commission have an electronic reporting system by January 1, 2015.

The Commission is directed to work with the Department of Administrative Services in the development and implementation of an electronic reporting system for lobbyists and public officials who must file annual statements of economic interest. The Department of Administrative Services is to provide the Commission with the oversight, project management, information technology systems development lifecycle, and procurement support needed to successfully complete the project.

The package provides an initial \$250,000 Other Funds expenditure limitation, and directs the Commission and Department of Administrative Services to provide a progress report to the Joint Committee on Ways and Means during the September 2013 legislative days and to provide the Legislative Fiscal Office final copies of the project business case, including final recommendation, vendor contracts, foundational project management documentation, a project plan, and budget by December 1, 2013.

- Package 102, Reclass Compliance Specialist, adds \$4,705 Other Funds expenditure limitation. This package reclassifies the agency's Compliance Specialist 1 position to a Compliance Specialist 2. The position is one of two investigator positions and the duties of the position are outside the scope of this classification, based on a Department of Administrative Services review.

- Package 811, Ethics Trainer Position, adds \$122,202 Other Funds expenditure limitation. This package restores a Program Analyst 1 as a permanent full-time position that will serve as an ethics trainer for public officials. The position was previously classified as Limited Duration for the last two biennia. Restoring the position will provide resources to continue web-based training, including online interactive webinar trainings, and in-person training on ethics and executive session laws. The position is budgeted for 21 months to allow for a three month hiring process.

### Summary of Performance Measure Action

See attached Legislatively Adopted 2013-15 Key Performance Measures form.



DETAIL OF JOINT COMMITTEE ON WAYS AND MEANS ACTION

SB 5522-A

Oregon Government Ethics Commission  
Dustin Ball -- 503-378-3119

DESCRIPTION	GENERAL FUND	LOTTERY FUNDS	OTHER FUNDS		FEDERAL FUNDS		TOTAL ALL FUNDS	POS	FTE
			LIMITED	NONLIMITED	LIMITED	NONLIMITED			
2011-13 Legislatively Approved Budget at Dec 2012 *	\$ 0	\$ 0	\$ 1,615,856	\$ 0	\$ 0	\$ 0	\$ 1,615,856	8	8.00
2013-15 ORBITS printed Current Service Level (CSL)*	\$ 0	\$ 0	\$ 1,606,484	\$ 0	\$ 0	\$ 0	\$ 1,606,484	7	7.00
<u>SUBCOMMITTEE ADJUSTMENTS (from CSL)</u>									
<b>SCR 010 - General Program</b>									
Package 091: Statewide Administrative Savings									
Personal Services	\$ 0	\$ 0	\$ (4,693)	\$ 0	\$ 0	\$ 0	\$ (4,693)	0	0.00
Services and Supplies	\$ 0	\$ 0	\$ (4,670)	\$ 0	\$ 0	\$ 0	\$ (4,670)	0	0.00
Package 092: PERS Taxation Policy									
Personal Services	\$ 0	\$ 0	\$ (2,810)	\$ 0	\$ 0	\$ 0	\$ (2,810)	0	0.00
Package 093: Other PERS Adjustments									
Personal Services	\$ 0	\$ 0	\$ (22,452)	\$ 0	\$ 0	\$ 0	\$ (22,452)	0	0.00
Package 100: Special Assessment - IT Project									
Services and Supplies	\$ 0	\$ 0	\$ 250,000	\$ 0	\$ 0	\$ 0	\$ 250,000	0	0.00
Package 102: Reclass Compliance Specialist									
Personal Services	\$ 0	\$ 0	\$ 4,705	\$ 0	\$ 0	\$ 0	\$ 4,705	0	0.00
Package 811: Ethics Trainer Position									
Personal Services	\$ 0	\$ 0	\$ 108,966	\$ 0	\$ 0	\$ 0	\$ 108,966	1	0.88
Services and Supplies	\$ 0	\$ 0	\$ 13,236	\$ 0	\$ 0	\$ 0	\$ 13,236	0	0.00
TOTAL ADJUSTMENTS	\$ 0	\$ 0	\$ 342,282	\$ 0	\$ 0	\$ 0	\$ 342,282	1	0.88
SUBCOMMITTEE RECOMMENDATION *	\$ 0	\$ 0	\$ 1,948,766	\$ 0	\$ 0	\$ 0	\$ 1,948,766	8	7.88
% Change from 2011-13 Leg Approved Budget	0.0%	0.0%	20.6%	0.0%	0.0%	0.0%	20.6%	0.0%	-1.5%
% Change from 2013-15 Current Service Level	0.0%	0.0%	21.3%	0.0%	0.0%	0.0%	21.3%	14.3%	12.6%

## Legislatively Proposed 2013-2015 Key Performance Measures

**Agency:** OREGON GOVERNMENT ETHICS COMMISSION

**Mission:** To enforce and prevent, with an emphasis on service, violations of Oregon State laws that prohibit public officials from using their position for financial gain, require persons who lobby the Legislature to register and report their lobbying expenditures, and specify limited purposes for which the governing bodies of public bodies may meet in non-public sessions.

Legislatively Proposed KPMs	Customer Service Category	Agency Request	Most Current Result	Target 2014	Target 2015
1 - Percentage of statutory time limit used for preliminary reviews, investigations, staff opinions and Commission advisory opinions.		Approved KPM	79.00	85.00	85.00
2 - Quality of investigations completed		Approved KPM	4.80	5.00	5.00
3 - Training Program's Effectiveness		Approved KPM	53.00	60.00	60.00
4 - Minimize Case Disposition Costs - Percentage of contested cases settled before hearing.		Approved KPM	100.00	100.00	100.00
5 - Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Accuracy	Approved KPM	80.00	95.00	95.00
5 - Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Availability of Information	Approved KPM	67.00	95.00	95.00
5 - Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Expertise	Approved KPM	87.00	95.00	95.00
5 - Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Helpfulness	Approved KPM	89.00	95.00	95.00
5 - Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Overall	Approved KPM	89.00	95.00	95.00
5 - Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Timeliness	Approved KPM	85.00	95.00	95.00
6 - Governance Best Practices - Percent of total best practices met by the commission.		Approved KPM	100.00	100.00	100.00

**LFO Recommendation:**

The Legislative Fiscal Office recommends approval of the proposed Key Performance Measures and targets with direction that the agency will work with the Department of Administrative Service and the Legislative Fiscal Office to conduct a review of existing KPMs and targets.

**Sub-Committee Action:**

The Subcommittee adopted the Legislative Fiscal Office recommendation.

Print Date: 5/15/2013

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TAB: AGENCY SUMMARY

## BUDGET NARRATIVE

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### Oregon Government Ethics Commission

#### Agency Summary

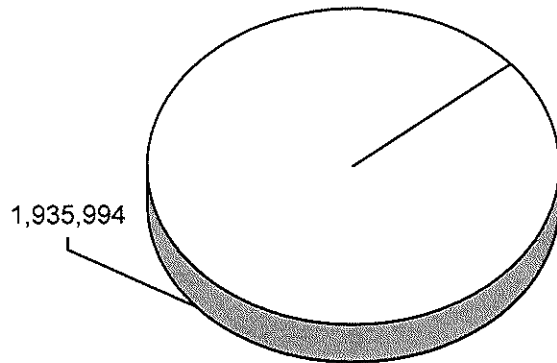
In 1974, more than 70 percent of the voters approved a statewide ballot measure to create the Oregon Government Ethics Commission. The ballot measure also established a set of laws (ORS Chapter 244) requiring financial disclosure by certain officials and creating a process to deal with the inevitable question of conflict of interest. The drafters of the original laws recognized that "conflict of interest" is, indeed, inevitable in any government that relies on citizen lawmakers.

The Oregon Legislature changed the agency's name to Government Standards and Practices Commission in 1993. The name was changed back to Oregon Government Ethics Commission by the 2007 Legislature. The Government Ethics Commission has seven volunteer members. Four members are appointed by the Governor upon recommendation by the Democratic and Republican leaders of the Oregon House and Senate. The Governor selects three members directly. All members must be confirmed by the Senate. No more than four of the members may be from the same political party. The law allows members to serve only one four-year term.

The Government Ethics Commission is administered by an executive director selected by the commissioners. The Commission also employs investigative, educational, program, and support staff, who are appointed by the executive director.

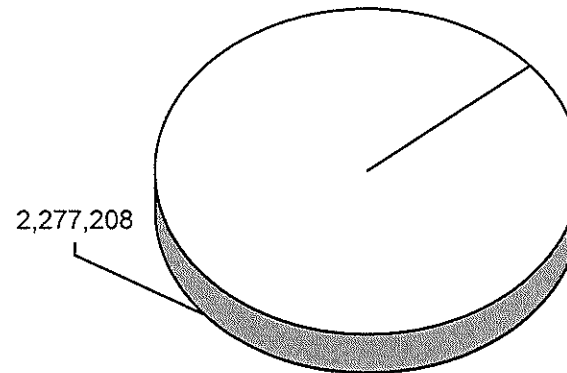
# Oregon Government Ethics Commission

2013-2015 Legislatively Adopted  
Budget \$1,935,994



Other Funds

2015-2017 Governor's Recommended  
Budget \$2,277,208



Other Funds

## BUDGET NARRATIVE

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### MISSION STATEMENT AND STATUTORY AUTHORITY

The mission of the Oregon Government Ethics Commission (OGEC) is to impartially and effectively administer and enforce Oregon's government ethics laws for the benefit of Oregon's citizens. The Commission will emphasize education in achieving its mission.

The regulatory jurisdiction of the Oregon Government Ethics Commission covers provisions of ORS Chapter 244, Oregon Government Ethics law; ORS 171.725 to 171.785 and 171.992, Lobby Regulation law; and executive session provisions of Oregon Public Meetings law, ORS 192.660.

Additional information regarding those statutes is referenced OAR Chapter 199, Oregon Government Ethics Commission Administrative Rules. Administration of the Ethics, Lobbying and Public Meeting laws includes the training of public officials, lobbyists and the public on the essence of these laws.

The Commission was originally established as the Oregon Government Ethics Commission as the result of a referral to voters in the 1974 general election. The measure passed by a margin of three to one.



## AGENCY PLANS

### 2013-2023 Ten-Year Plan

The main focus of the agency's ten-year plan is a fundamental change in how the agency accomplishes its mission. In the past, the agency concentrated its efforts on enforcement. The majority of its resources were directed towards responding to complaints filed with the agency by the public. This led to the agency being reactive, not proactive. The 2007 legislature granted the agency a trainer position and the 2009 legislature granted another trainer position. The agency began its commitment to become more proactive and to get ahead of the problems seen in the compliance program. Those problems include public officials not declaring conflicts of interest, using their offices for financial gain or the avoidance of financial detriment, and illegal executive sessions. Many of the complaints received are a result of untrained public officials not knowing or understanding the restrictions set forth by the ethics or public meeting laws. In the next biennium, the agency is planning to increase the training efforts for public officials. If the agency can train these officials, violations may be prevented. This is a paradigm shift for the agency. Instead of concentrating on enforcement, the main focus of the agency will be training and the prevention of violations.

During the next ten years, the agency wishes to go beyond the focus of training public officials by expanding the training to include the public in general. With 50% of the agency's funding coming from local jurisdictions, the agency has an obligation to train the general public on how public officials are required to operate, and to train the public on how to report violations they observe. By becoming accountable to the public through training, the agency can convey to members of the public the value the agency brings to them for their tax dollars. They will see how the local tax dollars spent on the agency works for them. The agency envisions working with local groups, PTA's, Chambers of Commerce, industry groups, neighborhood associations, and many others to reach its public training goal. In the next ten years, the agency will not only change its focus from enforcement to training, but will also expand its training to include all Oregonians, not just the approximately 200,000 public officials. This again is a fundamental change in philosophy for the agency. The focus of the agency is the Oregon population, including businesses, instead of the approximately 200,000 public officials. By training and advising Oregonians, and businesses within Oregon, the agency is reaching a much larger audience than previously targeted in prior biennia. The implementation of this training plan will be the highest priority in the 2015-17 biennium.

The OGEC worked with the 2007 legislature and included in Senate Bill 10 an assessment-based funding source for the biennial budget. The funding model assesses all state agencies for 50% of its operating budget, and the remaining 50% from local governments. The state agencies will be assessed per FTE. All other public bodies will

be assessed a percentage of their municipal audit fee. The Department of Administrative Services accounts for the collection of the assessments and transfers the moneys to the agency's biennial budget. The assessment model was a collaborative effort between all local governments. The concept of using the municipal audit fee came directly from the cities, counties and special districts. Over the next ten years, the agency will continue to monitor the usage of the agency's services (state agencies versus local governments) to alter the funding model to ensure an equitable split (currently 50 % state, 50% local government).

The 2009-11 biennium was the first for the assessments. The agency experienced over 95% payment compliance in the first year of the assessment. Some local governments had questions concerning the assessments, especially from special districts. The agency included the assessment in its training program and posted information on its website. This resulted in less than 5% non-compliance of the assessments received from local governments. In the 2013-15 biennium, we are seeing an improvement in the compliance rate to well over 99%. The agency predicts continued compliance as the assessment becomes part of the local government's budget process.

Senate Bill 10 (SB10) also required the agency to move its Lobbyist and Statement of Economic Interest filings to an electronic format. The filings will be on-line and searchable through the internet. This will result in efficiencies for the agency in these programs. Efficiencies will be seen by eliminating the mailing of forms, processing of paper forms, producing public records requests, and the manual filing of paper forms. The agency will be able to direct its efforts towards auditing the submitted forms for accuracy as required by SB 10. The electronic reporting system was scheduled to be on-line January 1, 2010. Due to budget reductions in the 2007-09 biennial budget, the funds allocated to complete this project were diverted to the General Fund. The implementation date for the project was moved up to January 1, 2012, and later rescheduled for January 1, 2014. The agency believed the General Fund would not be able to provide the necessary funds to develop and implement this system; therefore, the agency proposed a one-time assessment in a policy package for the 2013-15 biennium. The 2013-15 budget requested a one-time assessment to state agencies and local governments to fund the development and implementation of the electronic reporting system. The agency believes the electronic reporting system will save local jurisdictions, businesses, and lobbyists money and time in filing their statutorily required reports with the Commission. The electronic system will also serve Oregonians by making the information from these reports available on-line immediately and at no cost to them. The agency will benefit by eliminating the need for postage and printing of the paper reports. The system is scheduled to implement on January 1, 2016 as required by statute.

## 2015-2017 Two-Year Plan

1. Training and enforcing the following programs:

### **Administer Oregon Government Ethics law – ORS Chapter 244**

This chapter prohibits public officials from using their official position for personal financial gain or for the financial gain of a relative or for the financial gain of a business with which the public official or a relative is associated. The agency's functions related to this program include the dissemination of advisory opinions, making training presentations, collecting annual Statement of Economic Interest (SEI) filings and investigating complaints. Training will include the new provisions to the law from SB 30 and HB 2518, including the changes from SB10, and the elimination of the entertainment ban. SEI filers will be trained on using the agency's electronic reporting system (scheduled for implementation on January 1, 2016). The agency has also begun, and will continue through the next biennium, to create on-line training modules, allowing public officials to obtain needed training at their own location streamed to their computers. Currently the agency has posted eight training modules. There is no cost to public officials for obtaining this training.

### **Administer Lobbying Regulation law – ORS 171.725 – 171.785**

These provisions require certain persons who lobby Legislative or Executive officials to register with this agency. The registration form contains mailing and contact information for the lobbyist and the entity on whose behalf they lobby. The law also requires each lobbyist and each entity that utilizes a lobbyist to periodically report the amount of money they have spent in pursuit of their lobbying objectives. Agency functions under this program area include making training presentations in an attempt to help lobbyists comply with the law. This provision also provides that the agency investigate complaints of suspected violations. Violations can result in civil penalties.

### **Administer the Executive Sessions provisions of Public Meetings law – ORS192.660**

This portion of law identifies 14 very specific purposes for which the governing body of a public body may convene a non-public meeting. It is the only provision of Oregon Public Meetings law that is enforceable by a government agency. Agency functions under this program area include making training presentations in an attempt to help public officials comply with the law. The agency also investigates complaints of possible

violations. If violations are found, civil penalties can be imposed for each member of a governing body who participates in an unauthorized executive session.

### **Training on the use of the new Electronic Reporting System**

In the 2015-17 biennium, the agency will need to train the users (public officials and lobbyists) of the new electronic reporting system to ensure timely and correct reporting as required by statute. The agency will also need to train the general public on accessing the electronically reported public information contained within the reports filed by public officials and lobbyists. The agency has included a policy package that funds this additional training of both public officials and the general public. This training will be necessary to ensure the accuracy and timeliness of the reports filed, and the public's ability to access the information contained within the reports.

## **2. Environmental Factors**

In 2005, the Oregon Law Commission was asked to review the ethics laws. With this review came many proposed changes to the laws in the 2007 legislative session. The two main changes to the laws came from Senate Bill 10, and House Bill 2595. Both bills contained portions of recommendations from the Oregon Law Commission, as well as changes proposed by other parties during the 2007 legislative session. Some of the major changes were assessing public bodies for the operating costs of the OGEC, restricting gifts to no more than \$50.00 per year from a source with a legislative or administrative interest, prohibiting the acceptance of entertainment by public officials, increasing the reporting frequency of lobbyists and entities that employ lobbyists, expanding the number of Statement of Economic Interest filers, expanding the agency's authority to promulgate administrative rules, and increasing the civil penalties for violations. The 2007 legislature also increased the agency's staffing to accommodate the increased workload created by the revisions of the laws. The environment required that some changes be made pursuant to the revisions made by the 2007 legislature. The agency had some difficulties in implementing some of these changes. Other changes were met with some resistance from public officials throughout the state. The agency has promulgated administrative rules to bring clarity to the changes to ORS Chapter 244. Both formal and informal written opinions were issued and training sessions were conducted bringing clarity to the new provisions of ORS Chapter 244 and OAR Chapter 199.

The 2009 legislature made additional changes to the ethics laws in both SB 30 and HB 2518. Many of the changes were designed to fix some of the problems experienced with the changes made previously by SB 10 and HB 2595. These changes included the removal of relatives and members of household from the Statement of Economic Interest Statements (SEI), a change to legislative and administrative interest, the elimination of the Quarterly Statements filed by SEI filers, and many others. This also has increased the agency's workload with additional trainings, informal staff advice, and both staff and formal Commission written opinions.

The changes made by SB 30 and HB 2518 have dramatically increased the agency's workload in providing advice to public officials and public bodies. The number of formal written opinions has decreased, with public officials choosing to use the less formal staff advice created by SB 10. SB30, passed in the 2009 legislative session, provided more protections for this informal advice. It is these protections that have encouraged public officials and public bodies to request informal advice over the more formal staff opinions and advisory opinions. Staff advice is a less formal venue for a public body or public official to get advice from the agency. The agency conducts this advice through email. There were no records of staff advice prior to the 2007-09 biennium, but the number of times staff advice has been given during this period is in excess of 400. Currently, through the 2013-15 biennium, staff advice is on pace to reach over 800. This staff advice has been a major consumer of staff resources, to date, approximately 1.8 FTE, using the agency trainers and investigators as well as the executive director.

As stated above, with the addition of a training position, the number of trainings conducted by the agency has remained steady; however, the agency is transitioning training efforts from in-person to on-line, web-based trainings. These trainings will be the future of the agency, providing real-time training to public officials throughout the state. This, however, does not illustrate the real picture. Since bringing on the second trainer, 8 web-based modules have been published on the agency's website. In fact, the agency is moving towards having large bodies of public officials complete this on-line training at one time. The agency is currently conducting webinars, where the participants can view the trainer (via the agency's on-line camera). Participants listen to the trainings at their computers at their location. Participants are able to communicate with the agency's trainers, to ask questions or discuss topics brought up through the training. These webinars have become very popular with public bodies as a tool to train their public officials. Of course, these trainings save the agency travel funds since the trainers can conduct them from their desks.

The agency has made a significant commitment to education. In-person training sessions have been conducted statewide, from LaGrande to Gold Beach. An even more significant fact is that over 3,000 public officials have attended the in-person trainings. That is approximately 125 public officials statewide each month receiving ethics training in person. On-line webinars continue to train large groups of public officials from many different locations at the same time. The agency expects that number to significantly increase in the next biennium. The on-line training program will make a significant increase to the number of public officials trained by the agency. The number of visitors to the agency's training site continues to increase each month as word travels about its value. The agency has received many positive reviews from these on-line training modules and webinars. The agency will continue to add to the library of training modules available to all internet users and offer on-line webinars.

The number of complaints filed with the Commission decreased slightly in 2011; however, 2012 saw an uptick in the number of complaints. The increase in complaints can be found in the complaints received on issues the Commission does not have jurisdiction over. This increase in non-jurisdictional complaints shows the need for the agency to train Oregonians on the ethics laws and the issues the Commission is authorized by statute to oversee. Violations, however, took a slight decrease from 2011 to 2012 going from 16 violations in 2011, to 14 violations in 2012. It is too early to tell, but the agency suspects the training efforts throughout the state and on-line is starting to help reduce the number of violations committed by public officials. The agency will continue to look at these numbers to determine if these training programs are having the desired effect. The numbers below show the progression of complaints and violations for 2014, 2013, 2012 and 2011 over the 2010 numbers.

	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>
Complaints received	111	98	120	120	112
Violations	26	18	33	34	4

The agency continues to see an increased awareness of the ethics laws by both public officials and the general public. The training programs conducted by the agency increases this awareness. Both the public and public officials are becoming more aware of the ethics laws. In prior years this has led to an increase in the number of complaints received by the OGE. In 2011 the number of complaints decreased in comparison with prior years. Currently, the agency is seeing an increase in complaints filed and violations. This increase is mainly in the Executive Session laws. In 2013, out of the 33 violations incurred, 25 were from the Executive Session

portion of the Public Meeting Law. The agency has recognized this increase in complaints and violations of the Executive Session laws and are focusing our training efforts in this area.

### 3. Agency Initiatives

The agency will continue to use education through formal training sessions, on-line training programs, formal written advice, and informal staff advice to move the changes made by the 2007 and 2009 legislature forward into the 2015-17 biennium. The agency plans the following:

- Prepare and present training sessions *so that* public officials, lobbyists, and the public will have knowledge of the law (*intermediate outcome*)

Measure: Training Program's Effectiveness

- Prepare and issue advisory opinions and staff advice both upon request and by agency initiative *so that* Public officials and lobbyists have knowledge of the law (*intermediate outcome*)

Measure: Percentage of statutory time used for preliminary reviews, investigations, staff opinions and Commission advisory opinions.

The agency will look to implement its new electronic reporting system in the 2015-17 biennium (January 1, 2016 is the statutory implementation date). The implementation of this new system will require the agency to train all of the users (public officials that file Statement of Economic Interest, lobbyists and entities that hire lobbyists) of the system to ensure accurate and timely reporting. The agency will also need to train the general public on accessing the completed reports on-line. It will be important that the information contained within these reports is accessible to the public through the agency's website. The agency will be responsible for informing the public of the availability of this information and on how to access it through the website. The initiative will dramatically increase the work of the trainers in the 2015-17 biennium.

The high-level outcome of both initiatives is to reduce the number of possible violations of the law reported to the Commission, thereby contributing to the achievement of Oregon Benchmark number 35, Public

Management Quality. The result also contributes to the agency's ten-year plan including improving government.

#### 4. Criteria for 2015-2017 Budget Development

The Oregon Government Ethics Commission has a primary link to Oregon Benchmark number 35, Public Management Quality. The agency is also aligned with the 10-year outcome of improving government. A primary objective of the OGEC is to provide education and information to all persons in the state who are subject to the agency's jurisdiction as well as the general public. This can be achieved through the proactive dissemination of educational information and through enforcement actions. The desired intermediate outcome is a decrease in the number of violations of state law committed by public officials and lobbyists. The desired high-level outcome is improving the quality of government and public management in Oregon.

The agency's ability to achieve these outcomes had been significantly impaired because of funding reductions in the 2001-2003 and 2003-2005 biennia. The agency made progress on these outcomes with the increased funding that started in the 2009-11 biennium; however, most of the increased resource has been directed towards training on the changes made to the laws by SB 10, SB 30, HB 2518 and HB 2595. Another challenge has been the increased filing requirements of lobbyists and the entities who hire lobbyists. The increase in the number of filings has been a significant challenge to the agency and has absorbed a large portion of the OGEC's resources. The agency assessed a one-time biennial assessment to state agencies and local governments to fund the development of the electronic reporting system. The development and implementation of the system will alleviate the increased workload created by the increase in required paper filings from SEI filers, lobbyists and entities that hire lobbyists. The system will also make the information from these filings available to the public, which alleviates the increase in requests for public records the agency is currently experiencing. Since the records will be available on-line, there will not be a need for a public records request.

During the 2015-17 biennium, the agency will continue to focus its efforts towards training public officials, lobbyists and the public in the requirements of the ethics laws. In addition, the agency will also concentrate on training public officials and the general public on the use of new electronic reporting system and accessing the electronically reported information. The training to the public will include conveying the value of the agency to Oregonians and how they can benefit from the agency's success.



The criteria for development of the 2015-17 budget are as follows:

1. Meet training needs of public bodies, public officials statewide, lobbyists, entities that hire lobbyists and the public through the increased use of on-line, web-based trainings.
2. Complete the development and implementation of the electronic reporting system (statutorily scheduled for January 1, 2016). This will include training of the public officials required to file reports on the system, lobbyists and the entities who hire lobbyists.
3. Increase customer service to public bodies and public officials statewide, including responding to requests for advice to help educate on specific matters.

5. Performance Measurement Criteria

- Relationship to agency goals and high-level outcomes
- Within agency control
- Availability and reliability of measurement data
- Realistic and identifiable targets
- Legislative mandates

6. Other Considerations

- a. Inmate work opportunities – The OGEC has not had nor do we anticipate any inmate work opportunities due to the specialized nature of agency responsibilities.
- b. Alternative Dispute Resolution – The OGEC has used ADR (negotiated settlement) in the disposition of cases for over a decade with a high degree of success. The agency continues to attempt to resolve all contested cases through a negotiated settlement agreement. In the past three years, 100% of the contested cases have been completed through a negotiated settlement.

7. Policy Packages

The agency is requesting a policy package:

Policy Package No. 101, \$200,000 Electronic Filing System Implementation (Other Funds)

In the 2013-15 biennium, the agency had a one-time assessment to state agencies and local governments to fund the development and implementation of an electronic reporting system to collect its Statement of Economic Interest (SEI) filings, and reports from lobbyists and the entities that lobbyists represent. The system is scheduled to be implemented on January 1, 2016. The system will include features that will allow the public officials, lobbyists and entities to file registrations and reports on-line. Currently, the agency publishes, prints and mails over 13,000 reports annually. In the 2015-17 biennium the agency will need to complete the development of the system by continuing to contract with a private information technology project manager (\$80,000), performing change management (\$80,000) and training the public, SEI filers, lobbyists and the entities that hire lobbyist on the use of the new system (\$40,000).

Policy Package No. 102, \$127,000 Electronic Reporting System Subscription Fee

In the 2013-15 biennium, the agency had a one-time assessment to state agencies and local governments to fund the development and implementation of an electronic reporting system to collect its Statement of Economic Interest (SEI) filings, and reports from lobbyists and the entities that lobbyists represent. The system is scheduled to be implemented on January 1, 2016. The system will include features that will allow the public officials, lobbyists and entities to file registrations and reports on-line. Currently, the agency publishes, prints and mails over 13,000 reports annually. The package includes the first two years of required subscription costs for the system (\$63,500 per year, totaling \$127,000 for the 2015-17 biennium). This subscription fee includes all maintenance and updates required for the system to operate correctly.

8. Major Information Technology Projects

None

9. Sustainability

Not applicable to this agency

10. Regulatory Streamlining

Not applicable to this agency

**Summary of 2015-17 Biennium Budget**

Oregon Government Ethics Commission  
 Oregon Government Ethics Commission  
 2015-17 Biennium

Governor's Budget  
 Cross Reference Number: 19900-000-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2013-15 Leg Adopted Budget	8	7.88	1,935,994	-	-	1,935,994	-	-	-
2013-15 Emergency Boards	-	-	40,808	-	-	40,808	-	-	-
<b>2013-15 Leg Approved Budget</b>	<b>8</b>	<b>7.88</b>	<b>1,976,802</b>	<b>-</b>	<b>-</b>	<b>1,976,802</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>2015-17 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	-	0.12	65,795	-	-	65,795	-	-	-
Estimated Cost of Merit Increase	-	-	-	-	-	-	-	-	-
Base Debt Service Adjustment	-	-	-	-	-	-	-	-	-
Base Nonlimited Adjustment	-	-	-	-	-	-	-	-	-
Capital Construction	-	-	-	-	-	-	-	-	-
<b>Subtotal 2015-17 Base Budget</b>	<b>8</b>	<b>8.00</b>	<b>2,042,597</b>	<b>-</b>	<b>-</b>	<b>2,042,597</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Essential Packages</b>									
010 - Non-PICS Pers Svc/Vacancy Factor									
Non-PICS Personal Service Increase/(Decrease)	-	-	6,114	-	-	6,114	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>6,114</b>	<b>-</b>	<b>-</b>	<b>6,114</b>	<b>-</b>	<b>-</b>	<b>-</b>
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	(250,000)	-	-	(250,000)	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>(250,000)</b>	<b>-</b>	<b>-</b>	<b>(250,000)</b>	<b>-</b>	<b>-</b>	<b>-</b>
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	136,272	-	-	136,272	-	-	-
State Gov't & Services Charges Increase/(Decrease)	-	-	15,225	-	-	15,225	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>151,497</b>	<b>-</b>	<b>-</b>	<b>151,497</b>	<b>-</b>	<b>-</b>	<b>-</b>

**Summary of 2015-17 Biennium Budget**

Oregon Government Ethics Commission  
 Oregon Government Ethics Commission  
 2015-17 Biennium

Governor's Budget  
 Cross Reference Number: 19900-000-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
<b>Subtotal: 2015-17 Current Service Level</b>	<b>8</b>	<b>8.00</b>	<b>1,950,208</b>	<b>-</b>	<b>-</b>	<b>1,950,208</b>	<b>-</b>	<b>-</b>	<b>-</b>

**Summary of 2015-17 Biennium Budget**

Oregon Government Ethics Commission  
 Oregon Government Ethics Commission  
 2015-17 Biennium

Governor's Budget  
 Cross Reference Number: 19900-000-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal 2015-17 Current Service Level</b>	<b>8</b>	<b>8.00</b>	<b>1,950,208</b>	-	-	<b>1,950,208</b>	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2015-17 Current Service Level</b>	<b>8</b>	<b>8.00</b>	<b>1,950,208</b>	-	-	<b>1,950,208</b>	-	-	-
080 - E-Boards									
080 - May 2014 E-Board	-	-	-	-	-	-	-	-	-
081 - September 2014 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
Policy Packages									
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
101 - Electronic Filing System Implementation	-	-	200,000	-	-	200,000	-	-	-
102 - Electronic Filing System Subscription Fee	-	-	127,000	-	-	127,000	-	-	-
103 - Attorney General Opinion	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	<b>-</b>	<b>-</b>	<b>327,000</b>	<b>-</b>	<b>-</b>	<b>327,000</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total 2015-17 Governor's Budget</b>	<b>8</b>	<b>8.00</b>	<b>2,277,208</b>	<b>-</b>	<b>-</b>	<b>2,277,208</b>	<b>-</b>	<b>-</b>	<b>-</b>
Percentage Change From 2013-15 Leg Approved Budget	-	1.52%	15.20%	-	-	15.20%	-	-	-
Percentage Change From 2015-17 Current Service Level	-	-	16.77%	-	-	16.77%	-	-	-

**Summary of 2015-17 Biennium Budget**

Oregon Government Ethics Commission  
 General Program  
 2015-17 Biennium

Governor's Budget  
 Cross Reference Number: 19900-010-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2013-15 Leg Adopted Budget	8	7.88	1,935,994	-	-	1,935,994	-	-	-
2013-15 Emergency Boards	-	-	40,808	-	-	40,808	-	-	-
<b>2013-15 Leg Approved Budget</b>	<b>8</b>	<b>7.88</b>	<b>1,976,802</b>	<b>-</b>	<b>-</b>	<b>1,976,802</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>2015-17 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	-	0.12	65,795	-	-	65,795	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			-	-	-	-	-	-	-
Base Nonlimited Adjustment			-	-	-	-	-	-	-
Capital Construction			-	-	-	-	-	-	-
<b>Subtotal 2015-17 Base Budget</b>	<b>8</b>	<b>8.00</b>	<b>2,042,597</b>	<b>-</b>	<b>-</b>	<b>2,042,597</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Essential Packages</b>									
010 - Non-PICS Pers Svc/Vacancy Factor									
Non-PICS Personal Service Increase/(Decrease)	-	-	6,114	-	-	6,114	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>6,114</b>	<b>-</b>	<b>-</b>	<b>6,114</b>	<b>-</b>	<b>-</b>	<b>-</b>
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	(250,000)	-	-	(250,000)	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>(250,000)</b>	<b>-</b>	<b>-</b>	<b>(250,000)</b>	<b>-</b>	<b>-</b>	<b>-</b>
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	136,272	-	-	136,272	-	-	-
State Gov't & Services Charges Increase/(Decrease)			15,225	-	-	15,225	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>151,497</b>	<b>-</b>	<b>-</b>	<b>151,497</b>	<b>-</b>	<b>-</b>	<b>-</b>

**Summary of 2015-17 Biennium Budget**

Oregon Government Ethics Commission  
 General Program  
 2015-17 Biennium

Governor's Budget  
 Cross Reference Number: 19900-010-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
<b>Subtotal: 2015-17 Current Service Level</b>	<b>8</b>	<b>8.00</b>	<b>1,950,208</b>	<b>-</b>	<b>-</b>	<b>1,950,208</b>	<b>-</b>	<b>-</b>	<b>-</b>



**Summary of 2015-17 Biennium Budget**

Oregon Government Ethics Commission  
 General Program  
 2015-17 Biennium

Governor's Budget  
 Cross Reference Number: 19900-010-00-00-00000

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
<b>Subtotal: 2015-17 Current Service Level</b>	<b>8</b>	<b>8.00</b>	<b>1,950,208</b>	-	-	<b>1,950,208</b>	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2015-17 Current Service Level</b>	<b>8</b>	<b>8.00</b>	<b>1,950,208</b>	-	-	<b>1,950,208</b>	-	-	-
080 - E-Boards									
080 - May 2014 E-Board	-	-	-	-	-	-	-	-	-
081 - September 2014 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	-	-	-	-	-	-	-	-	-
Policy Packages									
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
101 - Electronic Filing System Implementation	-	-	200,000	-	-	200,000	-	-	-
102 - Electronic Filing System Subscription Fee	-	-	127,000	-	-	127,000	-	-	-
103 - Attorney General Opinion	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	-	-	<b>327,000</b>	-	-	<b>327,000</b>	-	-	-
<b>Total 2015-17 Governor's Budget</b>	<b>8</b>	<b>8.00</b>	<b>2,277,208</b>	-	-	<b>2,277,208</b>	-	-	-
Percentage Change From 2013-15 Leg Approved Budget	-	1.52%	15.20%	-	-	15.20%	-	-	-
Percentage Change From 2015-17 Current Service Level	-	-	16.77%	-	-	16.77%	-	-	-

**Oregon Government Ethics Commission**

**Agency Number: 19900**

Agencywide Program Unit Summary  
2015-17 Biennium

Version: Y - 01 - Governor's Budget

<i>Summary Cross Reference Number</i>	<i>Cross Reference Description</i>	<i>2011-13 Actuals</i>	<i>2013-15 Leg Adopted Budget</i>	<i>2013-15 Leg Approved Budget</i>	<i>2015-17 Agency Request Budget</i>	<i>2015-17 Governor's Budget</i>	<i>2015-17 Leg Adopted Budget</i>
010-00-00-00000	General Program						
	Other Funds	1,518,135	1,935,994	1,976,802	2,323,828	2,277,208	-
<b>TOTAL AGENCY</b>							
	Other Funds	1,518,135	1,935,994	1,976,802	2,323,828	2,277,208	-

**PROGRAM PRIORITIZATION FOR 2015-17**

Agency Name:		Oregon Government Ethics Commission																			Agency Number:		19900	
2015-17 Biennium		Agency-Wide Priorities for 2015-17 Biennium																						
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22			
Priority (ranked with highest priority first)	Agency Initials	Program or Activity Initials	Program Unit/Activity Description	Identify Key Performance Measure(s)	Primary Purpose Program-Activity Code	GF	LF	OF	NL-OF	FF	NL-FF	TOTAL FUNDS	Pos.	FTE	New or Enhanced Program (Y/N)	Included as Reduction Option (Y/N)	Legal Req. Code (C, D, FM, FO, S)	Legal Citation	Explain What is Mandatory (for C, FM, and FO Only)	Comments on Proposed Changes to CSL Included in Agency Request				
Agcy	Prgm/ Div																							
1	1	OGEC	ERS	Electronic Reporting System	5	3		327,000				\$ 327,000	0	0.00	Y	N	S	ORS 244.290		Agency required to have a functional electronic reporting system by January 2016. Funds are for project management, change management and training. Funds also include \$5127,000 for subscription fee for reporting system, \$63,500 per year.				
2	2	OGEC	TRN	Training	2, 5	3		405,533				\$ 397,486	2	2.00	N	Y	S	ORS 244.290		Agency training, including training public officials and lobbyists on new electronic reporting system. Includes \$40,000 Policy Package for additional Attorney General Opinion cost.				
3	3	OGEC	INV	Investigations	1, 3 & 5	3		651,624				\$ 638,529	2	2.00	N	Y	S	ORS Chap 244						
4	4	OGEC	ADMIN	Administration	4, 5 & 6	3		939,571				\$ 914,193	4	4.00	N	Y	S	ORS Chap 244, ORS Chap 171						
												\$ -												
												\$ -												
												\$ -												
								2,323,828				\$ 2,277,208	8	8.00										

**7. Primary Purpose Program/Activity Exists**

- 1 Civil Justice
- 2 Community Development
- 3 Consumer Protection
- 4 Administrative Function
- 5 Criminal Justice
- 6 Economic Development
- 7 Education & Skill Development
- 8 Emergency Services
- 9 Environmental Protection
- 10 Public Health
- 11 Recreation, Heritage, or Cultural
- 12 Social Support

**19. Legal Requirement Code**

- C Constitutional
- D Debt Service
- FM Federal - Mandatory
- FO Federal - Optional (once you choose to participate, certain requirements exist)
- S Statutory

Prioritize each program activity for the Agency as a whole

**Document criteria used to prioritize activities:**

Oregon Government Ethics Commission is a small agency that depends on all programs to function properly to complete its mission. The agency has been focusing its efforts on training the past two biennium to reduce the number of violations occurring with public officials throughout the state. Also, the past two biennium have produced many changes to the Ethics laws requiring the agency to train public officials. The prioritization is designed to reflect the agency's focus; however, the agency is statutorily required to perform all functions. With only eight employees, it is difficult to reduce its functions. The \$327,000 is prioritized first, because its funds were assessed and collected in the 2013-15 biennium to develop and implement an electronic reporting system for SEI and Lobby filers. The final development and implementation costs (change management, project management and training) will be realized in the first six months of the 2015-17 biennium. The agency is required by ORS 244.290 to have the system functional by January 2016.

## BUDGET NARRATIVE

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### Reduction Options

A 10% budget reduction would be approximately \$228,000. A budget reduction of such magnitude would likely render the agency unable to perform its duties in any viable manner. The Government Ethics Commission budget is such that approximately two-thirds are devoted to personal services. Government Ethics Commission responsibilities are defined in statute and require certain activities by the agency, such as collecting public official and lobbyist forms, and requiring associated records to be created and maintained.

In order for the Government Ethics Commission to continue the administration of these programs and provide service to effect compliance with the various filing requirements, certain costs for overhead cannot be reduced. A reduction could be made in personal services; however, this would require the elimination of a position or the conversion of full-time positions to part-time. Alternatively, to continue operations under a potential reduction utilizing existing staff, a revision of statute to remove or amend currently mandated functions would be needed.

Any reduction in FTE would have an impact on the ability of the Government Ethics Commission to meet its performance measures in most areas, including training, investigations, compliance review, and records maintenance. It would seriously degrade the timeliness and quality of service offered to stakeholders and the public. This would have a direct impact on meeting Oregon Benchmark No. 35, Public Management Quality.

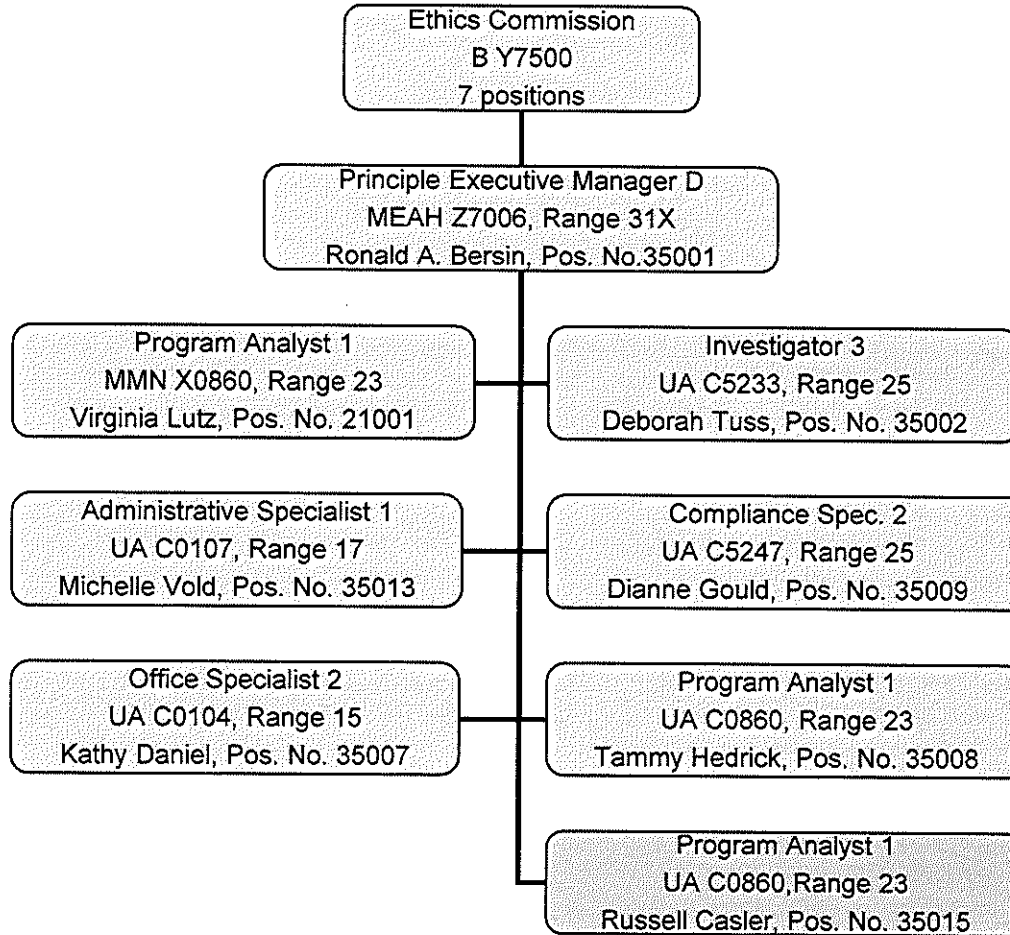
A reduction of 25% would essentially move the agency back to 2006 funding. The agency would not be able to employ any trainers and just one investigator. The agency would no longer have any support staff to process the increased number of forms filed with the agency. The agency would be reduced to one investigator, a program analyst and the Director. The agency would find it impossible to meet its statutory deadlines.

## 10% REDUCTION OPTIONS (ORS 291.216)

ACTIVITY OR PROGRAM (WHICH PROGRAM OR ACTIVITY WILL NOT BE UNDERTAKEN)	DESCRIBE REDUCTION (DESCRIBE THE EFFECTS OF THIS REDUCTION. INCLUDE POSITIONS AND FTE IN 2015-15 AND 2015-17)	AMOUNT AND FUND TYPE (GF, LF, OF, FF. IDENTIFY REVENUE SOURCE FOR OF, FF)	RANK AND JUSTIFICATION (RANK THE ACTIVITIES OR PROGRAMS NOT UNDERTAKEN IN ORDER OF LOWEST COST FOR BENEFIT OBTAINED)
1. Eliminate OS2 position	Elimination of position would prevent the agency from answering telephones, opening mail, and processing reports timely. The agency also would find it very difficult to answer public records requests.	\$140,472 OF	1
2. Reduce Attorney General Charges	Reduction of Attorney General charges would severely restrict the agency from using the Attorney General's office for advice and services. This could result in increased costs due to lack of advice.	\$87,249 OF	2

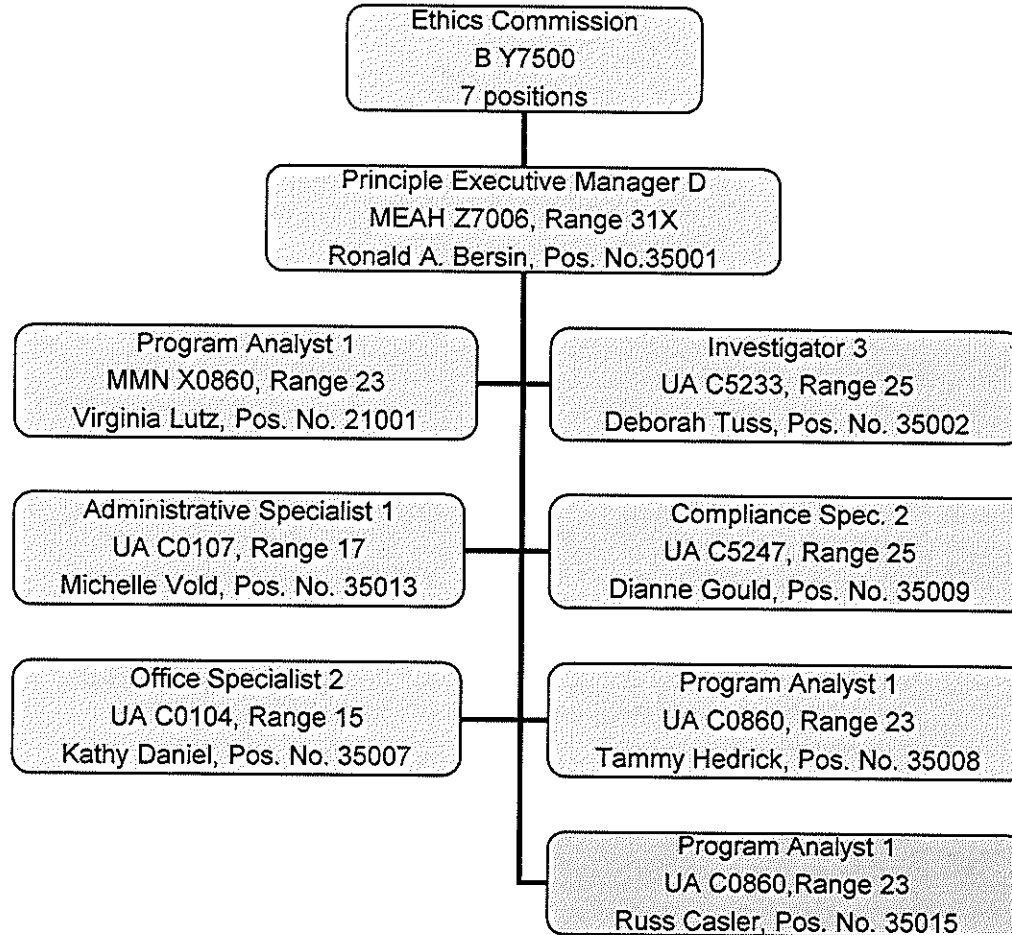
Oregon Government Ethics Commission

2013 - 2015 Organizational Chart



Oregon Government Ethics Commission

2015 - 2017 Organizational Chart



TAB: REVENUES



## BUDGET NARRATIVE

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### REVENUE FORECAST NARRATIVE

#### SOURCES

1. Fines and Forfeitures - \$30,000

- ORS 171.992 and ORS 244.350 authorize the Oregon Government Ethics Commission (OGEC) to impose civil penalties for violations of Lobby Regulation laws, Government Ethics laws and Executive Sessions provisions of the Public Meetings laws. In addition, ORS 244.360 permits the Commission to order a person who has been found in violation and who has obtained financial gain from public office, to forfeit a sum of up to twice the amount gained.
- General Limitations on Use: All fines and forfeitures are deposited to the General Fund for general governmental purposes and are not available for agency use.

2. Charges for Other Services - \$0

- The OGEC charges fees to recover actual costs of producing and distributing public documents such as copies of investigative reports, opinions, lobbyist rosters and audio recordings. The estimate for this potential revenue has been eliminated from what is was in past biennia through the development of the agency's website where most items are available at no cost.
- Limitation on Use: No restrictions apply. Expenditures from these revenues are used for general program purposes, primarily to augment payment of Attorney General legal fees.

3. Assessments on Public Entities

- The OGEC receives its operating revenue from an assessment imposed on public entities. Half of the assessment comes from state government and the remaining half is received from all other public entities. The state assessment is based on FTE. Other public entities' assessments are based on the municipal audit fee they currently pay. A percentage is applied to that fee to determine the assessment. The Department of Administrative Services administers the assessment program, including collecting the assessments. The assessments will total \$1,692,000 for the 2015-17 biennium, which is \$846,000 from local governments and \$846,000 from state agencies.

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Oregon Government Ethics Commission  
2015-17 Biennium

Agency Number: 19900  
Cross Reference Number: 19900-010-00-00-00000

<i>Source</i>	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
<b>Other Funds</b>						
Admin and Service Charges	794,529	1,300,000	1,300,000	1,732,000	1,692,000	-
Fines and Forfeitures	25,988	30,000	30,000	30,000	30,000	-
Other Revenues	1,105	-	-	-	-	-
Tsfr From Administrative Svcs	770,199	1,300,000	1,300,000	-	-	-
Transfer to General Fund	(25,988)	(30,000)	(30,000)	(30,000)	(30,000)	-
<b>Total Other Funds</b>	<b>\$1,565,833</b>	<b>\$2,600,000</b>	<b>\$2,600,000</b>	<b>\$1,732,000</b>	<b>\$1,692,000</b>	<b>-</b>

## DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

Source	Fund	ORBITS Revenue Acct	2011-2013 Actual	2013-15 Legislatively Adopted	2013-15 Estimated	2015-17		
						Agency Request	Governor's Recommended	Legislatively Adopted
Administrative and Service Charges – Other Fund	4150	0415	794,529	1,300,000	1,300,000	1,732,000	1,692,000	
Fines and Forfeitures – General Fund Revenue	0050	0505	25,988	30,000	30,000	30,000	30,000	
Other Revenues – Other Fund	4150	0975	1,105	0	0	0	0	
Transfer from Administrative Services – Other Fund	4150	1107	770,199	1,300,000	1,300,000	0	0	
Transfer to General Fund – General Fund Revenue	0050	2060	(25,988)	(30,000)	(30,000)	(30,000)	(30,000)	
TOTAL:			1,565,833	2,600,000	2,600,000	1,732,000	1,692,000	

Agency Request

Governor's Recommended

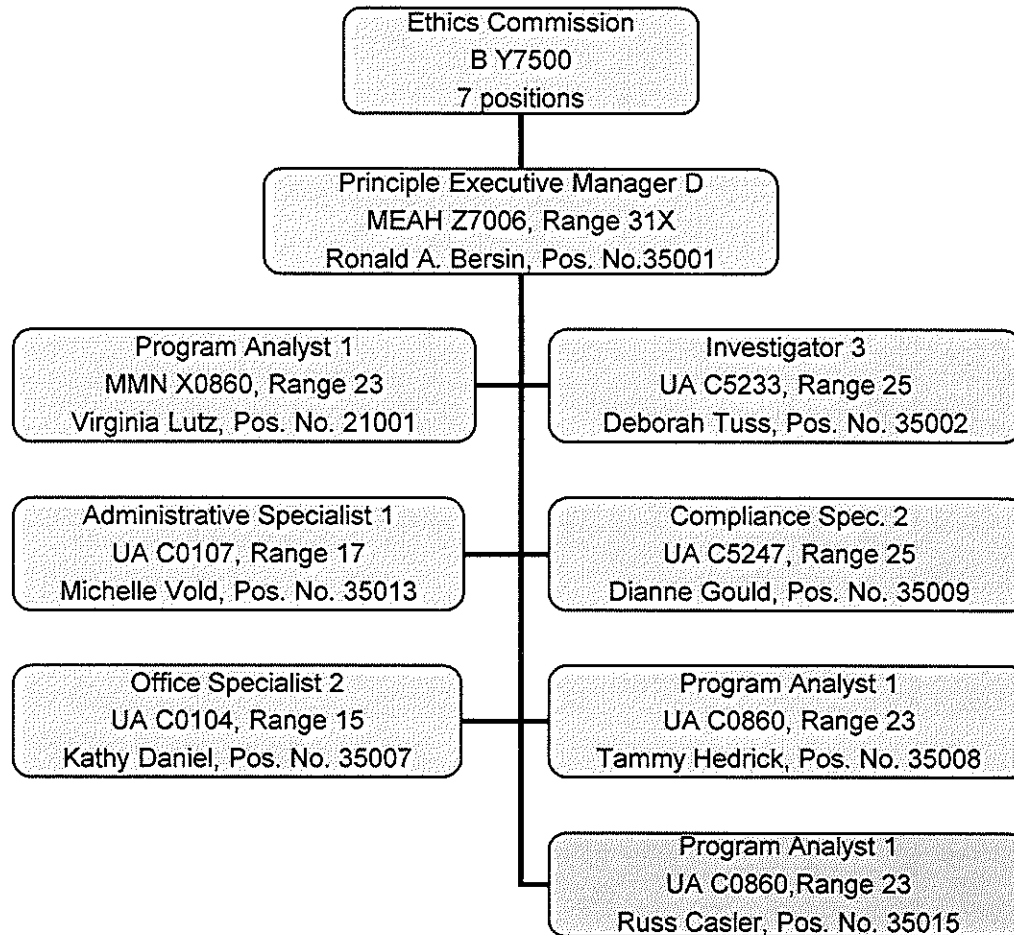
Legislatively Adopted

Budget Page \_\_\_\_\_

TAB: PROGRAM UNITS

Oregon Government Ethics Commission

2015 - 2017 Organizational Chart



## General Program

### Program Description:

#### **Administer Government Ethics law – ORS Chapter 244**

This statute prohibits public officials from using their official position for personal financial gain or for the financial gain of a relative or for the financial gain of a business with which the public official or a relative is associated. The agency's functions related to this program include the dissemination of advisory opinions, making training presentations, collecting annual Statement of Economic Interest filings, and investigating complaints. Violations can result in sanctions being imposed ranging from a letter of education to \$5,000 per violation.

#### **Administer Lobbying Regulation law – ORS 171.725 – 171.785**

These provisions require certain persons who lobby the Legislative Assembly to register with this agency. The registration form contains mailing and contact information of the lobbyist and the entity on whose behalf they lobby. The law also requires each lobbyist and each entity that utilizes a lobbyist to periodically report the amount of money they have spent in pursuit of their lobbying objectives. Agency functions under this program area include making training presentations in an attempt to help lobbyists comply with the law. This provision also provides for the agency to investigate complaints of suspected violations. Violations can result in civil penalties.

#### **Administer Executive Sessions provisions of Public Meetings law – ORS192.660**

This portion of law identifies 14 very specific purposes for which the governing body of a public body may convene a non-public meeting. It is the only provision of Oregon Public Meetings law that is enforceable by a government agency. Agency functions under this program area include making training presentations in an attempt to help public officials comply with the law. The agency also investigates complaints of possible violations. If violations are found, civil penalties can be imposed for each member of a governing body who participates in an unauthorized executive session.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Oregon Government Ethics Commission  
 Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: General Program  
 Cross Reference Number: 19900-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Temporary Appointments	-	-	13	-	-	-	13
Pension Obligation Bond	-	-	5,797	-	-	-	5,797
Social Security Taxes	-	-	1	-	-	-	1
Mass Transit Tax	-	-	303	-	-	-	303
<b>Total Personal Services</b>	-	-	<b>\$6,114</b>	-	-	-	<b>\$6,114</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	6,114	-	-	-	6,114
<b>Total Expenditures</b>	-	-	<b>\$6,114</b>	-	-	-	<b>\$6,114</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(6,114)	-	-	-	(6,114)
<b>Total Ending Balance</b>	-	-	<b>(\$6,114)</b>	-	-	-	<b>(\$6,114)</b>



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Oregon Government Ethics Commission  
 Pkg: 022 - Phase-out Pgm & One-time Costs

Cross Reference Name: General Program  
 Cross Reference Number: 19900-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
IT Professional Services	-	-	(250,000)	-	-	-	(250,000)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$250,000)</b>	-	-	-	<b>(\$250,000)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(250,000)	-	-	-	(250,000)
<b>Total Expenditures</b>	-	-	<b>(\$250,000)</b>	-	-	-	<b>(\$250,000)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	250,000	-	-	-	250,000
<b>Total Ending Balance</b>	-	-	<b>\$250,000</b>	-	-	-	<b>\$250,000</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Oregon Government Ethics Commission  
 Pkg: 031 - Standard Inflation

Cross Reference Name: General Program  
 Cross Reference Number: 19900-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	585	-	-	-	585
Employee Training	-	-	159	-	-	-	159
Office Expenses	-	-	574	-	-	-	574
Telecommunications	-	-	255	-	-	-	255
State Gov. Service Charges	-	-	15,225	-	-	-	15,225
Data Processing	-	-	301	-	-	-	301
Publicity and Publications	-	-	50	-	-	-	50
Professional Services	-	-	264	-	-	-	264
IT Professional Services	-	-	-	-	-	-	-
Attorney General	-	-	19,516	-	-	-	19,516
Employee Recruitment and Develop	-	-	64	-	-	-	64
Dues and Subscriptions	-	-	7	-	-	-	7
Facilities Rental and Taxes	-	-	3,419	-	-	-	3,419
Other Services and Supplies	-	-	3,132	-	-	-	3,132
Expendable Prop 250 - 5000	-	-	178	-	-	-	178
<b>Total Services &amp; Supplies</b>	-	-	<b>\$43,729</b>	-	-	-	<b>\$43,729</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	43,729	-	-	-	43,729
<b>Total Expenditures</b>	-	-	<b>\$43,729</b>	-	-	-	<b>\$43,729</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Oregon Government Ethics Commission  
 Pkg: 031 - Standard Inflation

Cross Reference Name: General Program  
 Cross Reference Number: 19900-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Ending Balance</b>							
Ending Balance	-	-	(43,729)	-	-	-	(43,729)
<b>Total Ending Balance</b>	-	-	<b>(\$43,729)</b>	-	-	-	<b>(\$43,729)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Oregon Government Ethics Commission  
 Pkg: 032 - Above Standard Inflation

Cross Reference Name: General Program  
 Cross Reference Number: 19900-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Telecommunications	-	-	7,759	-	-	-	7,759
Data Processing	-	-	76,998	-	-	-	76,998
Professional Services	-	-	27	-	-	-	27
IT Professional Services	-	-	-	-	-	-	-
Other Services and Supplies	-	-	22,984	-	-	-	22,984
<b>Total Services &amp; Supplies</b>	-	-	<b>\$107,768</b>	-	-	-	<b>\$107,768</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	107,768	-	-	-	107,768
<b>Total Expenditures</b>	-	-	<b>\$107,768</b>	-	-	-	<b>\$107,768</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(107,768)	-	-	-	(107,768)
<b>Total Ending Balance</b>	-	-	<b>(\$107,768)</b>	-	-	-	<b>(\$107,768)</b>

## BUDGET NARRATIVE

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### Policy Package 101: Electronic Filing System Implementation

#### Purpose:

The agency, in the 2013-15 biennium, had a one-time assessment to state agencies and local governments to fund the development and implementation of an electronic reporting system to collect its Statement of Economic Interest (SEI) filings, and reports from lobbyists and the entities that lobbyists represent. The system is scheduled to be implemented on January 1, 2016. The system will include features that will allow the public officials, lobbyists and entities to file registrations and reports on-line. Currently, the agency publishes, prints and mails over 13,000 reports annually. In the 2015-17 biennium the agency will need to complete the development of the system by continuing to contract with a private information technology project manager (\$80,000), perform change management (\$80,000) and train the public, SEI filers, lobbyists and the entities that hire lobbyists on the use of the new system (\$40,000).

#### How Achieved:

Funding is needed for Project Management resource to ensure on-time delivery of the system and compliance with the business requirements identified by the agency. The funding will also accomplish performing the change management necessary to ensure a successful transition from the current manual/paper filing, to the new electronic filing format. Lastly, funds will be used to train the users of the system (SEI filers, Lobbyists, Entities that hire Lobbyists) to ensure accuracy of the new electronic forms, and timeliness of filing. The agency will also spend time training the general public to use the agency's website to review all filed and completed forms. This will ensure the public has complete access to all information filed with the agency. The project manager will organize and lead these efforts through both change management and training, using the agency's trainers to perform the statewide trainings.

#### Staffing Impact:

The agency will contract with a certified project manager to organize and monitor the development of the electronic reporting system, and organize the efforts to implement the system, through both change management and training.

#### Revenue Source:

Increase Other Funds \$200,000

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Oregon Government Ethics Commission  
 Pkg: 101 - Electronic Filing System Implementation

Cross Reference Name: General Program  
 Cross Reference Number: 19900-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
IT Professional Services	-	-	200,000	-	-	-	200,000
<b>Total Services &amp; Supplies</b>	-	-	<b>\$200,000</b>	-	-	-	<b>\$200,000</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	200,000	-	-	-	200,000
<b>Total Expenditures</b>	-	-	<b>\$200,000</b>	-	-	-	<b>\$200,000</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(200,000)	-	-	-	(200,000)
<b>Total Ending Balance</b>	-	-	<b>(\$200,000)</b>	-	-	-	<b>(\$200,000)</b>

## BUDGET NARRATIVE

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### Policy Package 102: Electronic Reporting System Subscription Fee

Purpose:

The agency, in the 2013-15 biennium, had a one-time assessment to state agencies and local governments to fund the development and implementation of an electronic reporting system to collect its Statement of Economic Interest (SEI) filings, and reports from lobbyists and the entities that lobbyists represent. The system is scheduled to be implemented on January 1, 2016. The system will include features that will allow the public officials, lobbyists and entities to file registrations and reports on-line. Currently, the agency publishes, prints and mails over 13,000 reports annually. The package includes the first two years of required subscription costs for the system (\$63,500 per year, totaling \$127,000 for the 2015-17 biennium). This subscription fee includes all maintenance and updates required for the system to operate correctly.

How Achieved:

NIC USA, the contracted vendor of this system, has agreed to develop the system at no charge to the agency. Once the system is developed and implemented, the agency will pay NIC USA \$63,500 per year in a subscription fee. This fee also includes all maintenance and updates required by the system. The funds will be used to pay the first two years of subscription costs for the new electronic reporting system, totaling \$127,000.

Staffing Impact:

The agency will contract with a certified project manager to organize and monitor the development of the electronic reporting system, and organize the efforts to implement the system, through both change management and training.

Revenue Source:

Increase Other Funds \$127,000

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Oregon Government Ethics Commission  
 Pkg: 102 - Electronic Filing System Subscription Fee

Cross Reference Name: General Program  
 Cross Reference Number: 19900-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Admin and Service Charges	-	-	127,000	-	-	-	127,000
Tsfr From Administrative Svcs	-	-	-	-	-	-	-
<b>Total Revenues</b>	-	-	<b>\$127,000</b>	-	-	-	<b>\$127,000</b>
<b>Services &amp; Supplies</b>							
IT Professional Services	-	-	127,000	-	-	-	127,000
<b>Total Services &amp; Supplies</b>	-	-	<b>\$127,000</b>	-	-	-	<b>\$127,000</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	127,000	-	-	-	127,000
<b>Total Expenditures</b>	-	-	<b>\$127,000</b>	-	-	-	<b>\$127,000</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-



**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Oregon Government Ethics Commission  
2015-17 Biennium

Agency Number: 19900  
Cross Reference Number: 19900-000-00-00-00000

<i>Source</i>	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
<b>Other Funds</b>						
Admin and Service Charges	794,529	1,300,000	1,300,000	1,732,000	1,692,000	-
Fines and Forfeitures	25,988	30,000	30,000	30,000	30,000	-
Other Revenues	1,105	-	-	-	-	-
Tsfr From Administrative Svcs	770,199	1,300,000	1,300,000	-	-	-
Transfer to General Fund	(25,988)	(30,000)	(30,000)	(30,000)	(30,000)	-
<b>Total Other Funds</b>	<b>\$1,565,833</b>	<b>\$2,600,000</b>	<b>\$2,600,000</b>	<b>\$1,732,000</b>	<b>\$1,692,000</b>	<b>-</b>

## DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

Source	Fund	ORBITS Revenue Acct	2011-2013 Actual	2013-15 Legislatively Adopted	2013-15 Estimated	2015-17		
						Agency Request	Governor's Recommended	Legislatively Adopted
Administrative and Service Charges – Other Fund	4150	0415	794,529	1,300,000	1,300,000	1,732,000	1,692,000	
Fines and Forfeitures – General Fund Revenue	0050	0505	25,988	30,000	30,000	30,000	30,000	
Other Revenues – Other Fund	4150	0975	1,105	0	0	0	0	
Transfer from Administrative Services – Other Fund	4150	1107	770,199	1,300,000	1,300,000	0	0	
Transfer to General Fund – General Fund Revenue	0050	2060	(25,988)	(30,000)	(30,000)	(30,000)	(30,000)	
TOTAL:			1,565,833	2,600,000	2,600,000	1,732,000	1,692,000	

Agency Request

Governor's Recommended

Legislatively Adopted

Budget Page \_\_\_\_\_

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TAB: SPECIAL REPORTS

**OREGON GOVERNMENT ETHICS COMMISSION**

**Annual Performance Progress Report (APPR) for Fiscal Year (2013-2014)**

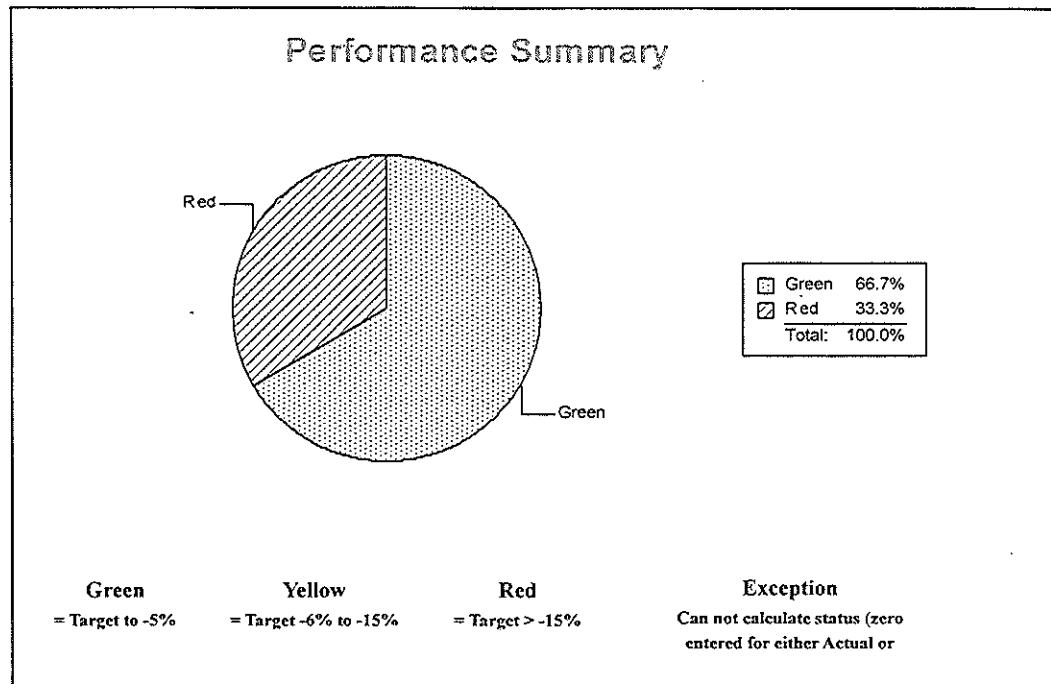
Original Submission Date: 2014

Finalize Date:

2013-2014 KPM #	2013-2014 Approved Key Performance Measures (KPMs)
1	Percentage of statutory time limit used for preliminary reviews, investigations, staff opinions and Commission advisory opinions.
2	Quality of investigations completed
3	Training Program's Effectiveness
4	Minimize Case Disposition Costs - Percentage of contested cases settled before hearing.
5	Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.
6	Governance Best Practices - Percent of total best practices met by the commission.

New Delete	Proposed Key Performance Measures (KPM's) for Biennium 2015-2017
	Title:  Rationale:

<b>OREGON GOVERNMENT ETHICS COMMISSION</b>		<b>I. EXECUTIVE SUMMARY</b>	
<b>Agency Mission:</b> To enforce and prevent, with an emphasis on service, violations of Oregon State laws that prohibit public officials from using their position for financial gain, require persons who lobby the Legislature to register and report their lobbying expenditures, and specify limited purposes for which the governing bodies of public bodies may meet in non-public sessions.			
<b>Contact:</b> Ronald A. Bersin, Executive Director		<b>Contact Phone:</b> 503-378-5105	
<b>Alternate:</b> Virginia Lutz, Program Analyst		<b>Alternate Phone:</b> 503-378-5105	



**1. SCOPE OF REPORT**

The scope of this report includes all of the program and service areas administered by the Government Ethics Commission. The information regarding the key performance measures relates to training and education, compliance, administration, lobbying registration and reporting, and the filing of annual statements of economic interest.



## **2. THE OREGON CONTEXT**

The mission of the Government Ethics Commission is to fairly and impartially administer the regulatory provisions of Government Ethics law, Lobby Regulation law and the Executive Session provisions of the Oregon Public Meetings law. This mission is to be accomplished with efficient, expedient customer service of the highest quality. This is a foundation for the commission's high-level outcomes and linked to Oregon Benchmark No. 35, Public Management Quality.

## **3. PERFORMANCE SUMMARY**

In 2013, the agency is exceeding its targets in four of six measures. The agency has three newer measures and will continue to look at the data and its targets. The measures not met in this past year were partly due to a change to the testing metric for public officials trained and the number of responses from individuals in the customer service survey that had no contact with the agency. Therefore their responses to the questions were "Don't Know". The agency used a strategy to contact more people of using "blast" emails. This resulted in many people contacted for the survey that did not use the agency in the calendar year 2013. The agency will monitor these measures progress in the next reporting period.

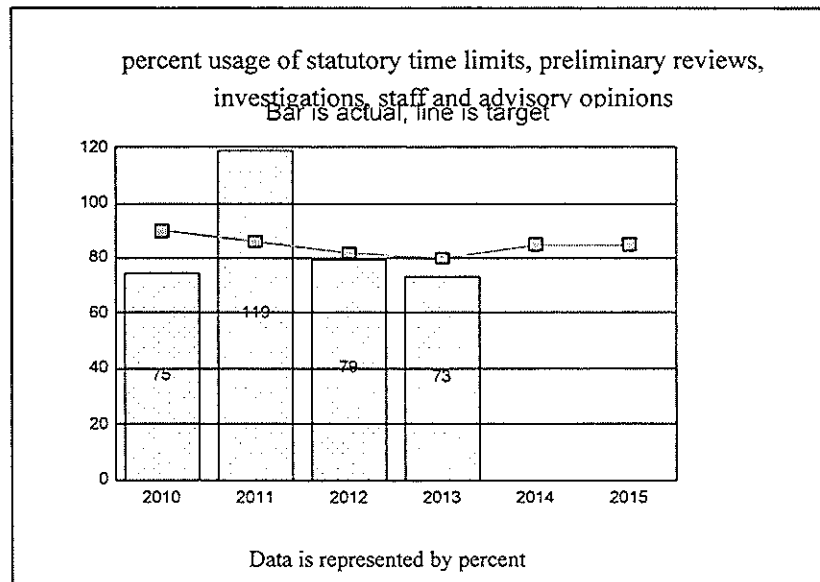
## **4. CHALLENGES**

The OGEC continues to be challenged by limited staff. The lack of resources has hindered the agency in accomplishing one of its performance measure goals. The report shows progress on its six measures, exceeding targets on four of the six measures. This is a result of focusing the agency's resources towards these measures. The agency will continue to focus it's resources towards it measures, especially those that are not being met.

## **5. RESOURCES AND EFFICIENCY**

Performance Measure number 1 is an efficiency measure. The agency continues to use its resources in an efficient and effective manner. The new measures developed in 2008 will illustrate this performance much better than the prior measures. Current measures illustrate the agency's ability to meet its statutorily mandated time limits in performing its duties. Percentage of time used for these measured actions is decreasing, as the experience of the staff continues to increase. The new measure better measures the agency's efficiency and effectiveness in meeting these time limits. In the next year, the agency will be looking to increase the number of responses to it's customer survey. However, the solicitation of these responses will be targeted to those that have had contact with the agency in the coming year. This should reduce the number of "Don't Know" responses received this past year in response to the agency's untargeted "blast" emails for it's survey.

<b>KPM #1</b>	Percentage of statutory time limit used for preliminary reviews, investigations, staff opinions and Commission advisory opinions.	2008
<b>Goal</b>	More timely completion of statutorily required duties of agency-agency mission	
<b>Oregon Context</b>	To provide excellent customer service	
<b>Data Source</b>	Agency case log database, opinion database and individual case files	
<b>Owner</b>	Ronald A. Bersin 503-378-5105	



**1. OUR STRATEGY**

The agency's strategy is to continue to lower the percentage of statutory time limits needed to complete its work. The agency consistently looks for ways to streamline the process to obtain efficiencies. The agency added additional staff to help with meeting the demands of these four areas; however, turnover this

past year of veteran employees has set this measure back. With training of current staff, the agency predicts the measure will be on target in the next reporting period.

## 2. ABOUT THE TARGETS

The targets in prior years were set previously when staff was down, and new staff was being trained. The agency has brought its new staff up-to-speed and numbers for the current reporting period are better.

## 3. HOW WE ARE DOING

The agency is not meeting its targets currently. The percentage of statutory time limited used has increased dramatically over the past year as reflected in the measure. One reason for this is the number of veteran employees that took promotions and retired this past year. This required the agency to hire new staff. Training is completed, but the changing of experienced employees for new employees has made a dramatic increase in the percentage of statutory time limits used. The agency will continue to train the current employees to remedy this measure.

## 4. HOW WE COMPARE

The agency is participating in a look at Ethics Commissions throughout the country. The agency will be looking for the results of this work to compare itself with other Commissions nationwide.

## 5. FACTORS AFFECTING RESULTS

The agency has increased its staff allowing the agency to better respond to especially on requested advice. For investigations, the percentages have stayed constant due to the requirements set forth in Chapter 244 on the investigative process. The percentage of time used on investigations has some skewing by the fact that the majority of cases settled with the Commission before the statutory deadline ended.

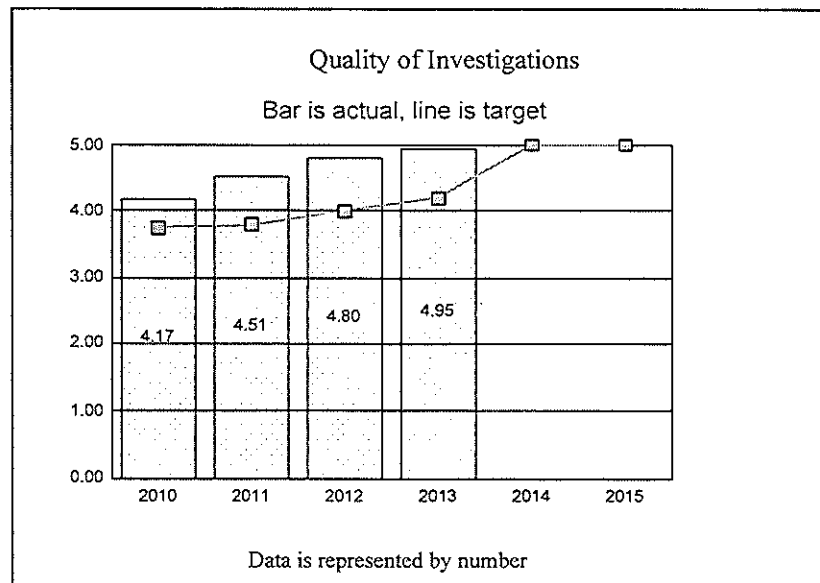
## 6. WHAT NEEDS TO BE DONE

The agency needs to continue to monitor this data. This is a new measure and changes to the targets or measure itself should not be looked at until more data is received and reported on.

**7. ABOUT THE DATA**

The data is gathered manually from the investigative files, and advisory opinions issued by the agency. The agency is currently developing an electronic reporting system. Once in place, the agency hopes to add to the system to capture the data used in this measure. By moving towards electronic formats, the data could be collected in real-time.

<b>KPM #2</b>	Quality of investigations completed	2008
<b>Goal</b>	To measure the quality of the investigations performed by the agency.	
<b>Oregon Context</b>	Provide excellent customer service	
<b>Data Source</b>	The findings of the third party's evaluations	
<b>Owner</b>	Ronald A. Bersin 503-378-5105	



1. OUR STRATEGY

To determine the effectiveness of the agency's investigations. This will be done by comparing the investigations to a set of criteria determined by the agency. The agency is looking for a third party to compare the investigations against this criteria. The agency will then use this data to streamline its investigations and at

the same time make them more effective.

## 2. ABOUT THE TARGETS

The agency is using a 1-5 scale to rate its effectiveness. The targets reflect the agency's goals in its effectiveness. The targets will continue to increase as the agency learns more about its investigations and creates ways to improve them.

## 3. HOW WE ARE DOING

The agency continues to move forward in this area. This is a relatively new measure and the data is new to the agency. The agency will look at this year's data and make changes appropriately.

## 4. HOW WE COMPARE

The agency continues to participate in a nationwide review of Ethics Commissions. The agency hopes to obtain data to make comparisons.

## 5. FACTORS AFFECTING RESULTS

Finding a third party to review the investigations has been a challenge. With the budget constraints of the past year, the agency cannot afford to pay a third party to review the data; therefore, the agency has had to use in-house staff to review the files and collect the data.

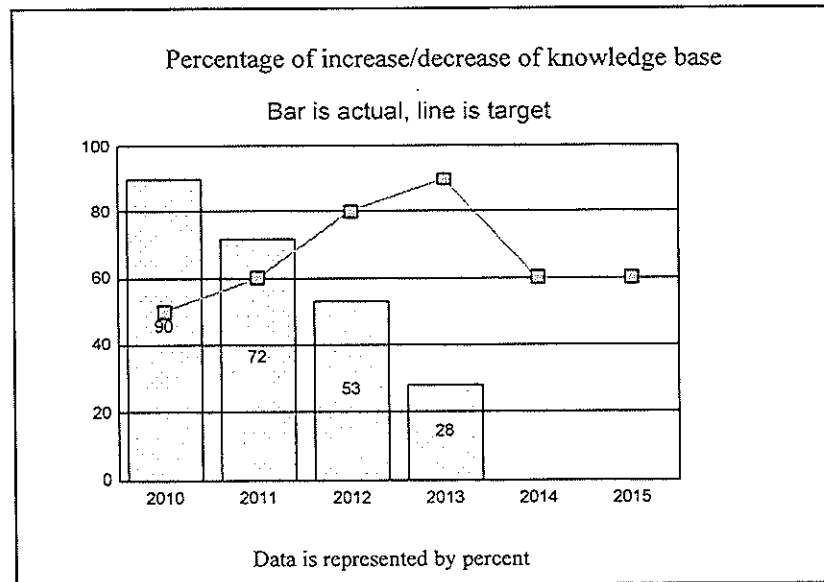
## 6. WHAT NEEDS TO BE DONE

Find better ways to collect the data from the files. The agency is reviewing the idea of having investigators from other small agencies review the cases for criteria.

## 7. ABOUT THE DATA

The data is collected in-house and with budget constraints the data cannot be collected from an outside party. The data will be collected throughout the fiscal year. The investigations are rated in four areas: Timeliness, Accessibility, Objectivity, and Organization.

<b>KPM #3</b>	Training Program's Effectiveness	2008
<b>Goal</b>	To increase the agency's training programs effectiveness.	
<b>Oregon Context</b>	To provide excellent customer service	
<b>Data Source</b>	Scores from before and after exams taken by attendees of the agency's trainings	
<b>Owner</b>	Ronald A. Bersin 503-378-5105	



### 1. OUR STRATEGY

Our strategy is create training that meets the needs of public officials and their organizations throughout the State. The effectiveness will include delievery of the training, and the knowledge held by the participants. The agency is utilizing web-based training to help accomplish this measure.

## 2. ABOUT THE TARGETS

The targets represent an increase in knowledge between going into a training, both in-person and on the web, and coming out of the training. It is important for the agency to know whether its training is increasing the public officials knowledge of the ethics laws.

## 3. HOW WE ARE DOING

The agency finds it a challenge to test participants both at the beginning of a training session and at the end. The limited time we are given to train public officials is not always sufficient to include this testing. The agency also has been met with resistance from participants in completing the exams. Many public agencies do not want the Commission testing its staff. The agency has incorporated web-based training into this measure. The web-based training currently does not have as dramatic an effect on learning as in-person training. The agency will evaluate the training modules for effectiveness. The past year, training has become more targeted, concentrating on each public body's specific needs. This has also affected the testing. The trainers are testing on a more specific area of law as opposed to years past when the tests were on the entire ethics laws. The percentage of change has lowered due to the new testing metric.

## 4. HOW WE COMPARE

The agency is participating in a nationwide survey. The agency anticipates data to be used for a comparison with other Ethics Commissions.

## 5. FACTORS AFFECTING RESULTS

The reluctance of the participating public agencies to participate in the testing program. The training time is limited, and participants do not want to take tests both coming into the sessions and at the end. The agency has been forced to rely mainly on its web-based program for this data. Training has been limited especially with state agencies due to budget constraints. The agency has found most training requested to be from cities, counties and special districts. Web-based training is reaching more public officials, but the retention of the materials seems to be less than in-person training. The agency will need to re-evaluate its web based training modules. Tests have become more focused on a specific area of ethics laws. The trainers, responding to the need for less time spent testing, have developed true/false tests. This has resulted in a decrease of percentage change.

## 6. WHAT NEEDS TO BE DONE

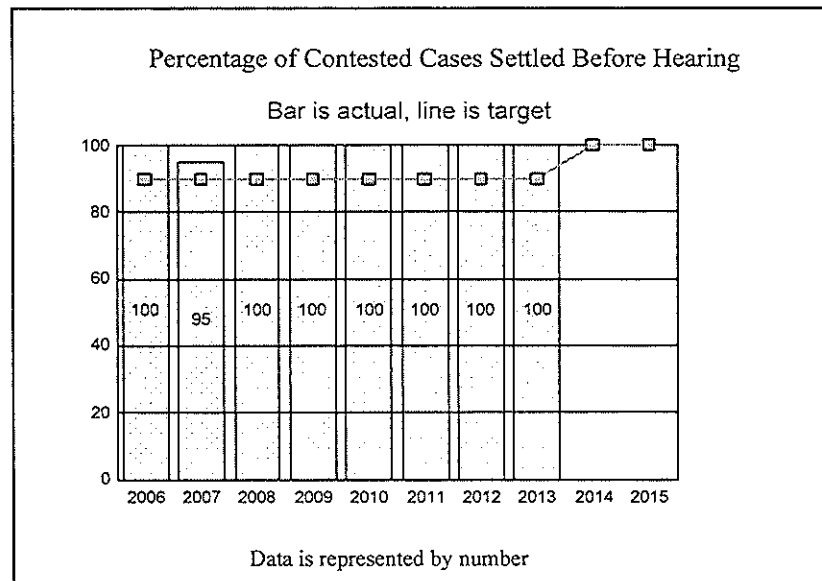
Develop a less intrusive testing method to obtain this data during in-person training sessions. This will give a more true picture of the effectiveness of all the agency's training. Re-evaluate web based training modules.



**7. ABOUT THE DATA**

The data is accumulated in-house from the training session. The trainers keep a manual file on the data.

<b>KPM #4</b>	Minimize Case Disposition Costs - Percentage of contested cases settled before hearing.	2006
<b>Goal</b>	Settlement of Contested Cases- #35 - Public Management Quality	
<b>Oregon Context</b>	Minimize case disposition costs	
<b>Data Source</b>	Agency case log database and individual case files	
<b>Owner</b>	Ronald A. Bersin, 503-378-5105	



**1. OUR STRATEGY**

The commission can dispose of all matters by negotiating settlements for any case during preliminary review or investigation phases. The executive director has been delegated the authority to negotiate settlements at the most appropriate and earliest opportunity.

## 2. ABOUT THE TARGETS

The current target is to settle 90 percent of the cases through a negotiated settlement. The desirable outcome would be to reach or exceed the target in 100 % of the cases.

## 3. HOW WE ARE DOING

This measure was new in 2006 and the commission exceeded the target of 90 percent. The agency is exceeding this measure. The agency encourages respondents to settle their cases before the Commission at the lowest level possible.

## 4. HOW WE COMPARE

Comparable agencies or standards are not known at this time. However the agency is participating in a nationwide survey with other Ethics Commissions. The agency hopes to learn how cases are disposed of by other State Ethics Commissions.

## 5. FACTORS AFFECTING RESULTS

The agency encourages settlements with respondents. The agency continues to work with interest groups to encourage settling cases instead of moving to a contested case hearing. The increase in civil penalties has encouraged some respondents to challenge the Commission's findings at a contested case hearing. The agency has developed a penalty matrix by Administrative Rule. The use of the matrix has allowed the Commission to be consistent in its assessment of civil penalty.

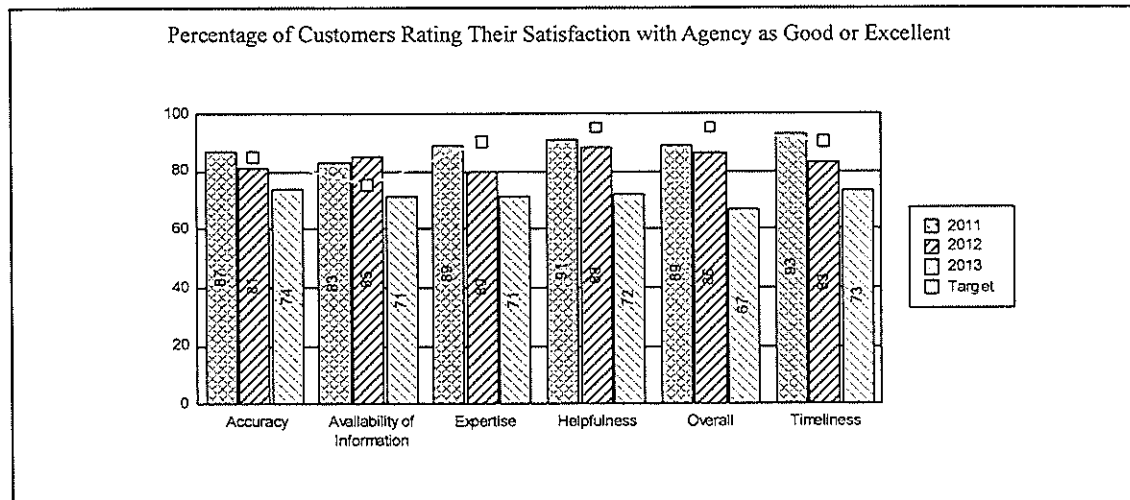
## 6. WHAT NEEDS TO BE DONE

The agency needs to continue to use its penalty matrix to obtain consistency between respondents. The matrix will also give public officials knowledge of what penalties will be assessed if they are found in violation of the Ethics laws. Training of public officials will help to increase this measure, making it possible for more respondents to settle their cases.

## 7. ABOUT THE DATA

Data comes from the case information database and would be empirical in nature.

<b>KPM #5</b>	Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	2006
<b>Goal</b>	Customer Service	
<b>Oregon Context</b>	#35 - Public Management Quality	
<b>Data Source</b>	Survey Summaries	
<b>Owner</b>	Ronald A. Bersin, 503-378-5105	



**1. OUR STRATEGY**

This is a new measure and the agency is collecting this data from its various customers, complainants, respondents, training attendees, stakeholders and others. The agency's strategy is to encourage all of its stakeholder groups to participate in the annual customer service survey.

**2. ABOUT THE TARGETS**

The agency strives for continual improvement in its targets. The agency believes customer service affects all other areas of the agency. The agency will continue to look for ways to improve its service to its customers, and the targets reflect this goal.

### 3. HOW WE ARE DOING

The agency showed a significant improvement in all areas of the survey this past year. The efforts into customer service by the agency including the adding of additional staff to process reports filed timely has contributed to these increases. The agency continues to focus on customer service and the benefits of this focus are showing in the survey. The current measuring time period showed a slight decrease overall. The agency is looking at its customer service delivery to look for improvements, however, the decrease could simply be an anomaly. The overall performance is above targets in all areas.

### 4. HOW WE COMPARE

The agency is currently participating in nationwide survey of other Ethics Commissions. The agency hopes to learn from this survey and compare itself with other Ethics Commissions.

### 5. FACTORS AFFECTING RESULTS

The agency continually looks for ways to get more participants in the annual survey. This is a difficult task encouraging people to take their time and complete a survey. The agency continues to look for ways to improve on the number. Next year, the Commission plans to announce the release date of the survey giving people advance notice. The agency has been working with the stakeholder groups asking for their participation. Articles have been published in their newsletters asking the stakeholders to participate in the customer service survey. This past year's efforts to increase participants through "blast" emails, has had an undesirable result. Many of the participants have had no contact with the agency and therefore marked "Don't Know" on their responses to the survey. This has resulted in an overall decrease in the percentage of "Excellent" and "Good" responses. If the number of "Don't Know" responses were removed from the survey, the percentage of "Excellent" and "Good" responses would range from a low of 90% to a high of 99%.

### 6. WHAT NEEDS TO BE DONE

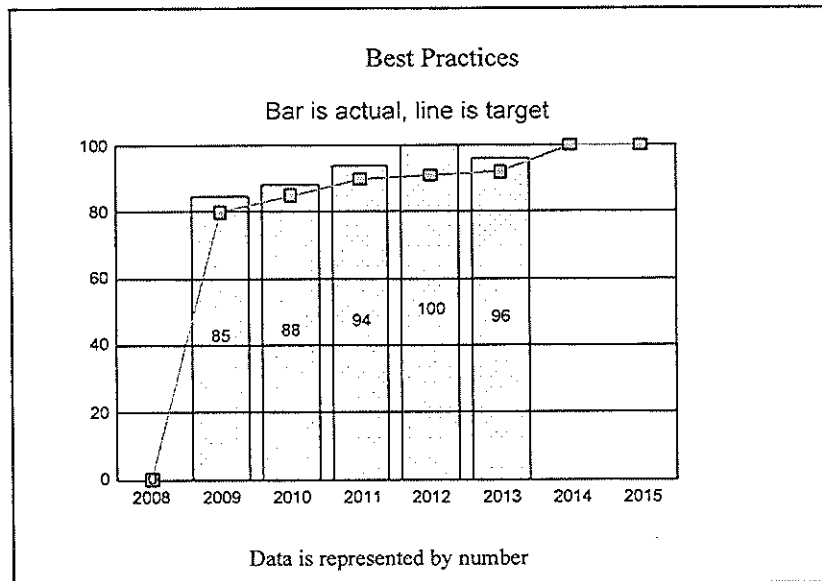
The agency needs to continue to focus on customer service. This means continuing to look for innovative ways to improve the agency's service and opening up lines of communication with the agency's stakeholders. Next year, the agency will look for ways to inform the public of the survey and encourage their participation from public officials and the public that have had contact with the agency over the prior year. A more focused encouragement of participation.

**7. ABOUT THE DATA**

The survey followed the agency's new assessment, including a special assessment for the electronic reporting system, to local governments. This may have had some effect on the results of the survey. The timing of the survey will always have some effect on the data. Both the survey and the assessments are sent within a close timeframe.

<b>OREGON GOVERNMENT ETHICS COMMISSION</b>	<b>II. KEY MEASURE ANALYSIS</b>
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<b>KPM #6</b>	Governance Best Practices - Percent of total best practices met by the commission.	2007
<b>Goal</b>	Public Management Quality	
<b>Oregon Context</b>	To enhance public management quality	
<b>Data Source</b>	Agency actions	
<b>Owner</b>	Ronald A. Bersin (503) 378-5105	



### 1. OUR STRATEGY

This measure is to ensure the agency is using its appropriated resources effectively and efficiently. This measure requires the agency to check its progress in several areas annually.

**2. ABOUT THE TARGETS**

The agency strives for a high output/result in this measure. In previous years, the agency was not able to complete this measure. 2008 is the first year for completion.

**3. HOW WE ARE DOING**

The agency is meeting targets for this measure.

**4. HOW WE COMPARE**

The agency will look to compare itself with other small boards and commissions.

**5. FACTORS AFFECTING RESULTS**

The agency continues to see an expected turnover in Commission members. This turnover has created difficulties in obtaining the information. New Commissioners have a more difficult time answering the best practices questions. When they gain more experience on the Commission, the agency expects to receive more useful information from the Commissioners. Currently, the senior members of the Commission are the main sources of the information.

**6. WHAT NEEDS TO BE DONE**

Develop and collect the data for the measure.

**7. ABOUT THE DATA**

Data is compiled from agency actions for best practices.



<b>OREGON GOVERNMENT ETHICS COMMISSION</b>		<b>III. USING PERFORMANCE DATA</b>	
<b>Agency Mission:</b> To enforce and prevent, with an emphasis on service, violations of Oregon State laws that prohibit public officials from using their position for financial gain, require persons who lobby the Legislature to register and report their lobbying expenditures, and specify limited purposes for which the governing bodies of public bodies may meet in non-public sessions.			
<b>Contact:</b> Ronald A. Bersin, Executive Director		<b>Contact Phone:</b> 503-378-5105	
<b>Alternate:</b> Virginia Lutz, Program Analyst		<b>Alternate Phone:</b> 503-378-5105	

<b>The following questions indicate how performance measures and data are used for management and accountability purposes.</b>	
<b>1. INCLUSIVITY</b>	<p>* <b>Staff :</b> The staff developed the commission's performance measures with the concurrence of commission members at the time (1998-99). Suggestions made to the agency during the 2003 Performance Measure Review were adopted and two additional performance measures were added for the 2005-07 biennium. Several measures have been deleted and new performance measures have been added for the 2007-09 biennium.</p> <p>* <b>Elected Officials:</b> Elected officials were included in the development of the new measures for 2008.</p> <p>* <b>Stakeholders:</b> The agency continues to encourage and receive feedback from its stakeholder groups when developing its performance measures. The agency takes an active role in encouraging the stakeholders to participate in its annual customer service survey.</p> <p>* <b>Citizens:</b> Citizens are invited through the agency's website to participate in its annual customer service survey. The agency takes an active role in encouraging customers to participate in its annual customer service survey.</p>
<b>2 MANAGING FOR RESULTS</b>	Performance measure targets are reviewed and compared to actual data on an annual basis. That analysis is used to determine if performance measures need to be modified and/or targets need to be adjusted. The agency will continue to manage towards the maesure's intended targets. The agency will also continue to look for better ways to deliever it products, therefore increasing the effectivenss of the measures.
<b>3 STAFF TRAINING</b>	The executive director and program analyst attended training presentations by the staff of the Progress Board. Commission memebers have attended training this past year concerning, both customer satisfaction survery, and best practices. This has led to a greater understanding by Commissioners on the importance of the measures.
<b>4 COMMUNICATING RESULTS</b>	* <b>Staff :</b> The agency has communicated performance results biennially in budget requests to DAS, the Governor, and the Legislative Assembly. Performance Reports are available on the agency website at <a href="http://www.oregon.gov/ogec">http://www.oregon.gov/ogec</a> .

The agency annually reports the performance measures to the Commission and public during its regularly schedule public meetings.

\* **Elected Officials:** The agency has communicated performance results biennially in budget requests to DAS, the Governor, and the Legislative Assembly. Performance Reports are available on the agency website at <http://www.oregon.gov/ogec>.

\* **Stakeholders:** The agency continually reports the results of its performance measures to its stakeholder groups. Many of the groups request the information from the agency. The agency uses the stakeholders regularly scheduled newsletters to communicate its measures.

\* **Citizens:** The agency has communicated performance results biennially in budget requests to DAS, the Governor, and the Legislative Assembly. Performance Reports are available on the agency website at <http://www.oregon.gov/ogec>. The agency uses its public meetings to reports its annual performance measures results.

# Agency Management Report

## KPMs For Reporting Year 2014

Finalize Date:

Agency: OREGON GOVERNMENT ETHICS COMMISSION

	Green = Target to -5%	Yellow = Target -6% to -15%	Red = Target > -15%	Pending	Exception Can not calculate status (zero entered for either Actual or Target)
<b>Summary Stats:</b>	66.67%	0.00%	33.33%	0.00%	0.00%

**Detailed Report:**

KPMs	Actual	Target	Status	Most Recent Year	Management Comments
1 - Percentage of statutory time limit used for preliminary reviews, investigations, staff opinions and Commission advisory opinions.	73	80	Green	2013	<p>This is a relatively new measure for the agency. In the past, all four of these statutory deadlines were measured individually. This measure allows us to measure the agency's performance in all four areas with one measure. Below is a breakdown of the four percentages for informational purposes and pursuant to the request of the 2009 legislature. Preliminary Reviews: 92% (average 124 days of the 135 days statutorily allowed) Investigations: 74% (average 133 days of the 180 days statutorily allowed) Staff Opinions: 57% (average 17 days of the 30 days statutorily allowed) Advisory Opinions: 68% (average 41 days of the 60 days statutorily allowed) In the case of both Staff and Advisory Opinions, statute allows for 30 days (staff) and 60 days (advisory). Statute also allows one 30-day extension for staff opinions, and one 60-day extension for advisory opinions. Measure is based on 30 and 60 without the extension.</p>

# Agency Management Report

## KPMs For Reporting Year 2014

Finalize Date:

KPMs	Actual	Target	Status	Most Recent Year	Management Comments
2 - Quality of investigations completed	4.95	4.20	Green	2013	<p>This is a new measure for the agency and has been a challenge. The data has needed to be collected in-house. In the future, management would like to use an outside third party. Ratings in each of the four areas are as follows: Timeliness 4.80 Accessibility 4.80 Objectivity 5.00 Organization 5.00 The measure increased in most categories, with an increase overall. The quality of investigations seems to be on track with its targets.</p>
3 - Training Program's Effectiveness	28	90	Red	2013	<p>The agency is looking to automate the before testing as to not take additional time during the training session. This will help to create more data for the agency to analyze. This is a relatively new measure. The agency continues to develop this testing program to meet the needs of its customers, while at the same time produce data that is useful in developing the training programs, both in-person and web-based. The targets seem to be mis-aligned at this point. The agency would prefer to not change the targets until more data is received in the next performance year. This measure continues to be a challenge. This past year, the number of correct answers to the before tests was considerably higher 74%; however the end result is still 95% correct answers after participating in the training. This however only represents a 25% increase.</p>
4 - Minimize Case Disposition Costs - Percentage of contested cases settled before hearing.	100	90	Green	2013	<p>Management continues to encourage investigative staff and Commissioners towards settlement of investigative cases.</p>

# Agency Management Report

## KPMs For Reporting Year 2014

Finalize Date:

KPMs	Actual	Target	Status	Most Recent Year	Management Comments
5 - Customer Service - Percentage of customers rating their satisfaction with agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	67	95	Red	2013	The agency saw a substantial decrease in the year's customer satisfaction survey. This was due to the inclusion of public officials and the public in general who did not have any contact with the agency. This resulted in marking "Don't Know" on their survey, skewing the numbers.
6 - Governance Best Practices - Percent of total best practices met by the commission.	96	92	Green	2013	The Commission continues to see turnover in Commission members. The agency struggled with the measure to identify and present training sessions. With the reduced state resources used to train new Commission members, this duty will fall to the agency. The agency will concentrate on training its Commission members in the next performance period. The Commissioners over the last review period agreed the agency was accomplishing 100% of the best practices.

This report provides high-level performance information which may not be sufficient to fully explain the complexities associated with some of the reported measurement results. Please reference the agency's most recent Annual Performance Progress Report to better understand a measure's intent, performance history, factors impacting performance and data gather and calculation methodology.

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**Oregon Government Ethics Commission**  
Affirmative Action EEO Data

12/31/14 STATISTICS - EEO CATEGORY	TOTL EMP	MEN FT	MEN %	WOMEN FT	WOMEN %	WOMEN PRTY	WOMEN GOAL	WOMEN < GOAL	POC FT	POC %	POC PRTY	POC GOAL	POC < GOAL	AF-AM FT
Z7006 PRINCIPAL EXECUTIVE/MANAGER D	1	1	100.00%	0	0.00%	36.60%	0.3	0.3	0	0.00%	12.20%	0.1	0.1	0
A02 UPPER MANAGEMENT (SR 31+)	1	1	100.00%	0	0.00%	36.60%	0.3	0.3	0	0.00%	12.20%	0.1	0.1	0
A OFFICIAL/ADMINISTRATOR	1	1	100.00%	0	0.00%			0.3	0	0.00%			0.1	0
C5233 INVESTIGATOR 3	1	0	0.00%	1	100.00%	48.10%	0.4		0	0.00%	10.70%	0.1	0.1	0
C5246 COMPLIANCE SPECIALIST 1	0	0	0.00%	0	0.00%	48.10%	0		0	0.00%	10.70%	0		0
C5247 COMPLIANCE SPECIALIST 2	1	0	0.00%	1	100.00%	48.10%	0.4		0	0.00%	10.70%	0.1	0.1	0
B11 INSPECTOR/COMPLIANCE/INVESTGTR	2	0	0.00%	2	100.00%	48.10%	0.9		0	0.00%	10.70%	0.2	0.2	0
C0860 PROGRAM ANALYST 1	2	1	50.00%	1	50.00%	41.10%	0.8		0	0.00%	9.50%	0.1	0.1	0
X0860 PROGRAM ANALYST 1	1	0	0.00%	1	100.00%	41.10%	0.4		0	0.00%	9.50%	0		0
B16 PROGRAM COORDINATOR/ANALYST	3	1	33.33%	2	66.66%	41.10%	1.2		0	0.00%	9.50%	0.2	0.2	0
B PROFESSIONALS	5	1	20.00%	4	80.00%				0	0.00%			0.4	0
C0104 OFFICE SPECIALIST 2	1	0	0.00%	1	100.00%	70.30%	0.7		0	0.00%	9.70%	0		0
C0107 ADMINISTRATIVE SPECIALIST 1	1	0	0.00%	1	100.00%	70.30%	0.7		0	0.00%	9.70%	0		0
F00 ADMINISTRATIVE SUPPORT	2	0	0.00%	2	100.00%	70.30%	1.4		0	0.00%	9.70%	0.1	0.1	0
F ADMINISTRATIVE SUPPORT	2	0	0.00%	2	100.00%				0	0.00%			0.1	0
TOTALS	8	2	25.00%	6	75.00%			0.3	0	0.00%			0.6	0

**Oregon Government Ethics Commission**  
Affirmative Action EEO Data

AF-AM %	AF-AM PRTY	AF-AM GOAL	AF-AM < GOAL	HISP FT	HISP %	HISP PRTY	HISP GOAL	HISP < GOAL	ASIAN FT	ASIAN %	ASIAN PRTY	ASIAN GOAL	ASIAN < GOAL	NATAM FT	NATAM %	NATAM PRTY	NATAM GOAL	NATAM < GOAL	PWD FT	PWD %	PWD PRTY	PWD GOAL	PWD < GOAL	
0.00%	5.80%	0	0	0	0.00%	3.50%	0	0	0	0.00%	2.00%	0	0	0	0.00%	1.00%	0	0	0	0.00%	6.00%	0		
0.00%	5.80%	0	0	0	0.00%	3.50%	0	0	0	0.00%	2.00%	0	0	0	0.00%	1.00%	0	0	0	0.00%	6.00%	0		
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0.00%	3.10%	0	0	0	0.00%	3.80%	0	0	0	0.00%	2.70%	0	0	0	0.00%	1.00%	0	0	0	0.00%	6.00%	0		
0.00%	3.10%	0	0	0	0.00%	3.80%	0	0	0	0.00%	2.70%	0	0	0	0.00%	1.00%	0	0	0	0.00%	6.00%	0		
0.00%	3.10%	0	0	0	0.00%	3.80%	0	0	0	0.00%	2.70%	0	0	0	0.00%	1.00%	0	0	0	0.00%	6.00%	0		
0.00%	3.10%	0	0	0	0.00%	3.80%	0	0	0	0.00%	2.70%	0	0	0	0.00%	1.00%	0	0	0	0.00%	6.00%	0	0	
0.00%	2.40%	0	0	0	0.00%	3.20%	0	0	0	0.00%	3.10%	0	0	0	0.00%	0.80%	0	0	0	0.00%	6.00%	0	0	
0.00%	2.40%	0	0	0	0.00%	3.20%	0	0	0	0.00%	3.10%	0	0	0	0.00%	0.80%	0	0	0	0.00%	6.00%	0		
0.00%	2.40%	0	0	0	0.00%	3.20%	0	0	0	0.00%	3.10%	0	0	0	0.00%	0.80%	0	0	0	0.00%	6.00%	0	0	
0.00%				0	0.00%				0	0.00%				0	0.00%				0	0.00%				0
0.00%	1.80%	0	0	0	0.00%	3.60%	0	0	0	0.00%	2.40%	0	0	0	0.00%	1.80%	0	0	0	0.00%	6.00%	0		
0.00%	1.80%	0	0	0	0.00%	3.60%	0	0	0	0.00%	2.40%	0	0	0	0.00%	1.80%	0	0	0	0.00%	6.00%	0		
0.00%	1.80%	0	0	0	0.00%	3.60%	0	0	0	0.00%	2.40%	0	0	0	0.00%	1.80%	0	0	0	0.00%	6.00%	0	0	
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0.00%				0	0.00%				0	0.00%				0	0.00%				0	0.00%				0



**Oregon Government Ethics Commission**  
Affirmative Action EEO Data

12/31/14 STATS FUNCTIONAL UNIT (RDC)	TOTAL EMP	MEN	MEN %	WOMEN	WOMEN %	POC	POC %	AF-AM	ASIAN	HISP	NATAM	PWD	PWD %
C0104 OFFICE SPECIALIST 2	1	0	0.00%	1	100.00%	0	0.00%	0	0	0	0	0	0.00%
C0107 ADMINISTRATIVE SPECIALIST 1	1	0	0.00%	1	100.00%	0	0.00%	0	0	0	0	0	0.00%
C0860 PROGRAM ANALYST 1	2	1	50.00%	1	50.00%	0	0.00%	0	0	0	0	0	0.00%
C5233 INVESTIGATOR 3	1	0	0.00%	1	100.00%	0	0.00%	0	0	0	0	0	0.00%
C5246 COMPLIANCE SPECIALIST 1	0	0	0.00%	0	0.00%	0	0.00%	0	0	0	0	0	0.00%
C5247 COMPLIANCE SPECIALIST 2	1	0	0.00%	1	100.00%	0	0.00%	0	0	0	0	0	0.00%
X0860 PROGRAM ANALYST 1	1	0	0.00%	1	100.00%	0	0.00%	0	0	0	0	0	0.00%
Z7006 PRINCIPAL EXECUTIVE/MANAGER D	1	1	100.00%	0	0.00%	0	0.00%	0	0	0	0	0	0.00%
TOTALS	8	2	25.00%	6	75.00%	0	0.00%	0	0	0	0	0	0.00%

**Oregon Government Ethics Commission**  
Affirmative Action EEO Data

12/31/14 STATISTICS - EEO CATEGORY	TOTL EMP	MEN FT	MEN %	WOMEN FT	WOMEN %	WOMEN PRTY	WOMEN GOAL	WOMEN < GOAL	POC FT	POC %	POC PRTY	POC GOAL	POC < GOAL	AF-AM FT	AF-AM %	AF-AM PRTY	AF-AM GOAL	AF-AM < GOAL
Z7006 PRINCIPAL EXECUTIVE/MANAGER D 000 A02	1	1	100.00%	0	0.00%	36.60%	0.3	0	0	0.00%	12.20%	0.1	0.1	0	0.00%	5.80%	0	0
C5233 INVESTIGATOR 3	1	0	0.00%	1	100.00%	48.10%	0.4	0	0	0.00%	10.70%	0.1	0.1	0	0.00%	3.10%	0	0
C5246 COMPLIANCE SPECIALIST 1	0	0	0.00%	0	0.00%	48.10%	0	0	0	0.00%	10.70%	0	0	0	0.00%	3.10%	0	0
C5247 COMPLIANCE SPECIALIST 2 000 B11	1	0	0.00%	1	100.00%	48.10%	0.4	0	0	0.00%	10.70%	0.1	0.1	0	0.00%	3.10%	0	0
C0860 PROGRAM ANALYST 1	2	0	0.00%	2	100.00%	48.10%	0.9	0	0	0.00%	10.70%	0.2	0.2	0	0.00%	3.10%	0	0
X0860 PROGRAM ANALYST 1 000 B16	2	1	50.00%	1	50.00%	41.10%	0.8	0	0	0.00%	9.50%	0.1	0.1	0	0.00%	2.40%	0	0
C0104 OFFICE SPECIALIST 2	1	0	0.00%	1	100.00%	41.10%	0.4	0	0	0.00%	9.50%	0	0	0	0.00%	2.40%	0	0
C0107 ADMINISTRATIVE SPECIALIST 1 000 F00	3	1	33.33%	2	66.66%	41.10%	1.2	0	0	0.00%	9.50%	0.2	0.2	0	0.00%	2.40%	0	0
TOTALS	1	0	0.00%	1	100.00%	70.30%	0.7	0	0	0.00%	9.70%	0	0	0	0.00%	1.80%	0	0
	1	0	0.00%	1	100.00%	70.30%	0.7	0	0	0.00%	9.70%	0	0	0	0.00%	1.80%	0	0
	2	0	0.00%	2	100.00%	70.30%	1.4	0	0	0.00%	9.70%	0.1	0.1	0	0.00%	1.80%	0	0
	8	2	25.00%	6	75.00%			0	0	0.00%			0.6	0	0.00%			

**Oregon Government Ethics Commission**  
Affirmative Action EEO Data

HISP FT	HISP %	HISP PRTY	HISP GOAL	HISP < GOAL	ASIAN FT	ASIAN %	ASIAN PRTY	ASIAN GOAL	ASIAN < GOAL	NATAM FT	NATAM %	NATAM PRTY	NATAM GOAL	NATAM < GOAL	PWD FT	PWD %	PWD PRTY	PWD GOAL	PWD < GOAL	BI/APPT	BI/APPT FEM	BI/APPT HI+EXC	BI/APPT HI+EXC FEM	BI/SEP	BI/SEP FEM	BI/SEP INTER	BI/SEP INTER FEM
0	0.00%	3.50%	0	0	0	0.00%	2.00%	0	0	0	0.00%	1.00%	0	0	0	0.00%	6.00%	0	0	0	0	0	0	0	0	0	0
0	0.00%	3.50%	0	0	0	0.00%	2.00%	0	0	0	0.00%	1.00%	0	0	0	0.00%	6.00%	0	0	0	0	0	0	0	0	0	0
0	0.00%	3.80%	0	0	0	0.00%	2.70%	0	0	0	0.00%	1.00%	0	0	0	0.00%	6.00%	0	0	0	0	0	0	0	0	0	0
0	0.00%	3.80%	0	0	0	0.00%	2.70%	0	0	0	0.00%	1.00%	0	0	0	0.00%	6.00%	0	0	0	0	0	0	0	0	0	0
0	0.00%	3.80%	0	0	0	0.00%	2.70%	0	0	0	0.00%	1.00%	0	0	0	0.00%	6.00%	0	0	0	0	0	0	0	0	0	0
0	0.00%	3.80%	0	0	0	0.00%	2.70%	0	0	0	0.00%	1.00%	0	0	0	0.00%	6.00%	0	0	0	0	0	0	0	0	0	0
0	0.00%	3.20%	0	0	0	0.00%	3.10%	0	0	0	0.00%	0.80%	0	0	0	0.00%	6.00%	0	0	1	0	1	0	0	0	0	0
0	0.00%	3.20%	0	0	0	0.00%	3.10%	0	0	0	0.00%	0.80%	0	0	0	0.00%	6.00%	0	0	0	0	0	0	0	0	0	0
0	0.00%	3.20%	0	0	0	0.00%	3.10%	0	0	0	0.00%	0.80%	0	0	0	0.00%	6.00%	0	0	1	0	1	0	0	0	0	0
0	0.00%	3.60%	0	0	0	0.00%	2.40%	0	0	0	0.00%	1.80%	0	0	0	0.00%	6.00%	0	0	1	1	1	1	1	1	1	1
0	0.00%	3.60%	0	0	0	0.00%	2.40%	0	0	0	0.00%	1.80%	0	0	0	0.00%	6.00%	0	0	0	0	0	0	0	0	0	0
0	0.00%	3.60%	0	0	0	0.00%	2.40%	0	0	0	0.00%	1.80%	0	0	0	0.00%	6.00%	0	0	1	1	1	1	1	1	1	1
0	0.00%				0	0.00%			0	0.00%				0	0.00%				0	2	1	2	1	1	1	1	1

**Oregon Government Ethics Commission**

**Summary Cross Reference Listing and Packages**  
 2015-17 Biennium

**Agency Number: 19900**  
**BAM Analyst: Miller, Travis**  
**Budget Coordinator: Moreland, Katherine - (503)373-0741**

<i>Cross Reference Number</i>	<i>Cross Reference Description</i>	<i>Package Number</i>	<i>Priority</i>	<i>Package Description</i>	<i>Package Group</i>
010-00-00-00000	General Program	010	0	Non-PICS Psnl Svc / Vacancy Factor	Essential Packages
010-00-00-00000	General Program	021	0	Phase-in	Essential Packages
010-00-00-00000	General Program	022	0	Phase-out Pgm & One-time Costs	Essential Packages
010-00-00-00000	General Program	031	0	Standard Inflation	Essential Packages
010-00-00-00000	General Program	032	0	Above Standard Inflation	Essential Packages
010-00-00-00000	General Program	033	0	Exceptional Inflation	Essential Packages
010-00-00-00000	General Program	081	0	September 2014 E-Board	Policy Packages
010-00-00-00000	General Program	090	0	Analyst Adjustments	Policy Packages
010-00-00-00000	General Program	101	0	Electronic Filing System Implementation	Policy Packages
010-00-00-00000	General Program	102	0	Electronic Filing System Subscription Fee	Policy Packages
010-00-00-00000	General Program	103	0	Attorney General Opinion	Policy Packages

**Oregon Government Ethics Commission**

Policy Package List by Priority  
2015-17 Biennium

Agency Number: 19900

BAM Analyst: Miller, Travis

Budget Coordinator: Moreland, Katherine - (503)373-0741

<i>Priority</i>	<i>Policy Pkg Number</i>	<i>Policy Pkg Description</i>	<i>Summary Cross Reference Number</i>	<i>Cross Reference Description</i>
0	081	September 2014 E-Board	010-00-00-00000	General Program
	090	Analyst Adjustments	010-00-00-00000	General Program
	101	Electronic Filing System Implementation	010-00-00-00000	General Program
	102	Electronic Filing System Subscription Fee	010-00-00-00000	General Program
	103	Attorney General Opinion	010-00-00-00000	General Program

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 19900-000-00-00-00000

2015-17 Biennium

Oregon Government Ethics Commission

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
<b>BEGINNING BALANCE</b>						
0025 Beginning Balance						
3400 Other Funds Ltd	546,910	202,481	202,481	1,086,882	1,086,882	-
0030 Beginning Balance Adjustment						
3400 Other Funds Ltd	-	328,573	328,573	-	-	-
<b>BEGINNING BALANCE</b>						
3400 Other Funds Ltd	546,910	531,054	531,054	1,086,882	1,086,882	-
<b>TOTAL BEGINNING BALANCE</b>	<b>\$546,910</b>	<b>\$531,054</b>	<b>\$531,054</b>	<b>\$1,086,882</b>	<b>\$1,086,882</b>	<b>-</b>
<b>REVENUE CATEGORIES</b>						
<b>CHARGES FOR SERVICES</b>						
0415 Admin and Service Charges						
3400 Other Funds Ltd	794,529	1,300,000	1,300,000	1,732,000	1,692,000	-
<b>FINES, RENTS AND ROYALTIES</b>						
0505 Fines and Forfeitures						
8800 General Fund Revenue	25,988	30,000	30,000	30,000	30,000	-
<b>OTHER</b>						
0975 Other Revenues						
3400 Other Funds Ltd	1,105	-	-	-	-	-
<b>TRANSFERS IN</b>						
1107 Tsfr From Administrative Svcs						
3400 Other Funds Ltd	770,199	1,300,000	1,300,000	-	-	-
<b>REVENUE CATEGORIES</b>						
3400 Other Funds Ltd	1,565,833	2,600,000	2,600,000	1,732,000	1,692,000	-

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 19900-000-00-00-00000

2015-17 Biennium

Oregon Government Ethics Commission

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
8800 General Fund Revenue	25,988	30,000	30,000	30,000	30,000	-
<b>TOTAL REVENUE CATEGORIES</b>	<b>\$1,591,821</b>	<b>\$2,630,000</b>	<b>\$2,630,000</b>	<b>\$1,762,000</b>	<b>\$1,722,000</b>	<b>-</b>
<b>TRANSFERS OUT</b>						
2060 Transfer to General Fund						
8800 General Fund Revenue	(25,988)	(30,000)	(30,000)	(30,000)	(30,000)	-
<b>AVAILABLE REVENUES</b>						
3400 Other Funds Ltd	2,112,743	3,131,054	3,131,054	2,818,882	2,778,882	-
<b>TOTAL AVAILABLE REVENUES</b>	<b>\$2,112,743</b>	<b>\$3,131,054</b>	<b>\$3,131,054</b>	<b>\$2,818,882</b>	<b>\$2,778,882</b>	<b>-</b>
<b>EXPENDITURES</b>						
<b>PERSONAL SERVICES</b>						
<b>SALARIES &amp; WAGES</b>						
3110 Class/Unclass Sal. and Per Diem						
3400 Other Funds Ltd	705,613	773,394	800,978	851,304	851,304	-
3160 Temporary Appointments						
3400 Other Funds Ltd	-	436	436	449	449	-
3170 Overtime Payments						
3400 Other Funds Ltd	886	-	-	-	-	-
<b>SALARIES &amp; WAGES</b>						
3400 Other Funds Ltd	706,499	773,830	801,414	851,753	851,753	-
<b>TOTAL SALARIES &amp; WAGES</b>	<b>\$706,499</b>	<b>\$773,830</b>	<b>\$801,414</b>	<b>\$851,753</b>	<b>\$851,753</b>	<b>-</b>
<b>OTHER PAYROLL EXPENSES</b>						
3210 Empl. Rel. Bd. Assessments						
3400 Other Funds Ltd	275	315	315	352	352	-

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 19900-000-00-00-00000

2015-17 Biennium

Oregon Government Ethics Commission

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
<b>3220 Public Employees' Retire Cont</b>						
3400 Other Funds Ltd	101,535	112,718	116,693	133,623	133,623	-
<b>3221 Pension Obligation Bond</b>						
3400 Other Funds Ltd	41,739	43,423	46,890	52,687	52,687	-
<b>3230 Social Security Taxes</b>						
3400 Other Funds Ltd	53,227	59,198	61,308	65,157	65,157	-
<b>3250 Worker's Comp. Assess. (WCD)</b>						
3400 Other Funds Ltd	388	464	464	552	552	-
<b>3260 Mass Transit Tax</b>						
3400 Other Funds Ltd	4,239	4,642	4,808	5,111	5,111	-
<b>3270 Flexible Benefits</b>						
3400 Other Funds Ltd	225,181	240,408	243,914	244,224	244,224	-
<b>OTHER PAYROLL EXPENSES</b>						
3400 Other Funds Ltd	426,584	461,168	474,392	501,706	501,706	-
<b>TOTAL OTHER PAYROLL EXPENSES</b>	<b>\$426,584</b>	<b>\$461,168</b>	<b>\$474,392</b>	<b>\$501,706</b>	<b>\$501,706</b>	<b>-</b>
<b>P.S. BUDGET ADJUSTMENTS</b>						
<b>3465 Reconciliation Adjustment</b>						
3400 Other Funds Ltd	-	31,006	31,006	-	-	-
<b>3470 Undistributed (P.S.)</b>						
3400 Other Funds Ltd	-	(4,693)	-	-	-	-
<b>3991 PERS Policy Adjustment</b>						
3400 Other Funds Ltd	-	(25,262)	(25,262)	-	-	-
<b>P.S. BUDGET ADJUSTMENTS</b>						



Oregon Government Ethics Commission

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Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 19900-000-00-00-00000

2015-17 Biennium

Oregon Government Ethics Commission

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3400 Other Funds Ltd	-	1,051	5,744	-	-	-
<b>TOTAL P.S. BUDGET ADJUSTMENTS</b>	-	<b>\$1,051</b>	<b>\$5,744</b>	-	-	-
<b>PERSONAL SERVICES</b>						
3400 Other Funds Ltd	1,133,083	1,236,049	1,281,550	1,353,459	1,353,459	-
<b>TOTAL PERSONAL SERVICES</b>	<b>\$1,133,083</b>	<b>\$1,236,049</b>	<b>\$1,281,550</b>	<b>\$1,353,459</b>	<b>\$1,353,459</b>	-
<b>SERVICES &amp; SUPPLIES</b>						
<b>4100 Instate Travel</b>						
3400 Other Funds Ltd	13,006	25,865	19,502	20,087	20,087	-
<b>4150 Employee Training</b>						
3400 Other Funds Ltd	270	5,286	5,286	5,445	5,445	-
<b>4175 Office Expenses</b>						
3400 Other Funds Ltd	27,009	19,119	19,119	19,693	19,693	-
<b>4200 Telecommunications</b>						
3400 Other Funds Ltd	18,543	8,505	8,505	16,519	16,519	-
<b>4225 State Gov. Service Charges</b>						
3400 Other Funds Ltd	114,334	138,670	138,670	52,429	53,820	-
<b>4250 Data Processing</b>						
3400 Other Funds Ltd	15,522	10,043	10,043	87,342	87,342	-
<b>4275 Publicity and Publications</b>						
3400 Other Funds Ltd	7,109	1,659	1,659	1,709	1,709	-
<b>4300 Professional Services</b>						
3400 Other Funds Ltd	5,640	8,805	8,805	9,096	9,096	-
<b>4315 IT Professional Services</b>						

Oregon Government Ethics Commission

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Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 19900-000-00-00-00000

2015-17 Biennium

Oregon Government Ethics Commission

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3400 Other Funds Ltd	-	250,000	250,000	327,000	327,000	-
<b>4325 Attorney General</b>						
3400 Other Funds Ltd	105,204	143,372	143,372	210,899	162,888	-
<b>4375 Employee Recruitment and Develop</b>						
3400 Other Funds Ltd	-	2,126	2,126	2,190	2,190	-
<b>4400 Dues and Subscriptions</b>						
3400 Other Funds Ltd	28	1,222	222	229	229	-
<b>4425 Facilities Rental and Taxes</b>						
3400 Other Funds Ltd	67,425	77,694	77,694	81,113	81,113	-
<b>4575 Agency Program Related S and S</b>						
3400 Other Funds Ltd	259	-	-	-	-	-
<b>4650 Other Services and Supplies</b>						
3400 Other Funds Ltd	4,721	4,320	4,320	130,511	130,511	-
<b>4675 Undistributed (S.S.)</b>						
3400 Other Funds Ltd	-	(4,670)	-	-	-	-
<b>4700 Expendable Prop 250 - 5000</b>						
3400 Other Funds Ltd	1,641	7,929	5,929	6,107	6,107	-
<b>4715 IT Expendable Property</b>						
3400 Other Funds Ltd	4,341	-	-	-	-	-
<b>SERVICES &amp; SUPPLIES</b>						
3400 Other Funds Ltd	385,052	699,945	695,252	970,369	923,749	-
<b>TOTAL SERVICES &amp; SUPPLIES</b>	<b>\$385,052</b>	<b>\$699,945</b>	<b>\$695,252</b>	<b>\$970,369</b>	<b>\$923,749</b>	<b>-</b>

EXPENDITURES

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 19900-000-00-00-00000

2015-17 Biennium

Oregon Government Ethics Commission

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3400 Other Funds Ltd	1,518,135	1,935,994	1,976,802	2,323,828	2,277,208	-
<b>TOTAL EXPENDITURES</b>	<b>\$1,518,135</b>	<b>\$1,935,994</b>	<b>\$1,976,802</b>	<b>\$2,323,828</b>	<b>\$2,277,208</b>	<b>-</b>
<b>ENDING BALANCE</b>						
3400 Other Funds Ltd	594,608	1,195,060	1,154,252	495,054	501,674	-
<b>TOTAL ENDING BALANCE</b>	<b>\$594,608</b>	<b>\$1,195,060</b>	<b>\$1,154,252</b>	<b>\$495,054</b>	<b>\$501,674</b>	<b>-</b>
<b>AUTHORIZED POSITIONS</b>						
8150 Class/Unclass Positions	8	8	8	8	8	-
<b>TOTAL AUTHORIZED POSITIONS</b>	<b>8</b>	<b>8</b>	<b>8</b>	<b>8</b>	<b>8</b>	<b>-</b>
<b>AUTHORIZED FTE</b>						
8250 Class/Unclass FTE Positions	8.00	7.88	7.88	8.00	8.00	-
<b>TOTAL AUTHORIZED FTE</b>	<b>8.00</b>	<b>7.88</b>	<b>7.88</b>	<b>8.00</b>	<b>8.00</b>	<b>-</b>

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 19900-010-00-00-00000

2015-17 Biennium

General Program

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
<b>BEGINNING BALANCE</b>						
0025 Beginning Balance						
3400 Other Funds Ltd	546,910	202,481	202,481	1,086,882	1,086,882	-
0030 Beginning Balance Adjustment						
3400 Other Funds Ltd	-	328,573	328,573	-	-	-
<b>BEGINNING BALANCE</b>						
3400 Other Funds Ltd	546,910	531,054	531,054	1,086,882	1,086,882	-
<b>TOTAL BEGINNING BALANCE</b>	<b>\$546,910</b>	<b>\$531,054</b>	<b>\$531,054</b>	<b>\$1,086,882</b>	<b>\$1,086,882</b>	<b>-</b>
<b>REVENUE CATEGORIES</b>						
<b>CHARGES FOR SERVICES</b>						
0415 Admin and Service Charges						
3400 Other Funds Ltd	794,529	1,300,000	1,300,000	1,732,000	1,692,000	-
<b>FINES, RENTS AND ROYALTIES</b>						
0505 Fines and Forfeitures						
8800 General Fund Revenue	25,988	30,000	30,000	30,000	30,000	-
<b>OTHER</b>						
0975 Other Revenues						
3400 Other Funds Ltd	1,105	-	-	-	-	-
<b>TRANSFERS IN</b>						
1107 Tsfr From Administrative Svcs						
3400 Other Funds Ltd	770,199	1,300,000	1,300,000	-	-	-
<b>REVENUE CATEGORIES</b>						
3400 Other Funds Ltd	1,565,833	2,600,000	2,600,000	1,732,000	1,692,000	-

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 19900-010-00-00-00000

2015-17 Biennium

General Program

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
8800 General Fund Revenue	25,988	30,000	30,000	30,000	30,000	-
<b>TOTAL REVENUE CATEGORIES</b>	<b>\$1,591,821</b>	<b>\$2,630,000</b>	<b>\$2,630,000</b>	<b>\$1,762,000</b>	<b>\$1,722,000</b>	<b>-</b>
<b>TRANSFERS OUT</b>						
2060 Transfer to General Fund						
8800 General Fund Revenue	(25,988)	(30,000)	(30,000)	(30,000)	(30,000)	-
<b>AVAILABLE REVENUES</b>						
3400 Other Funds Ltd	2,112,743	3,131,054	3,131,054	2,818,882	2,778,882	-
<b>TOTAL AVAILABLE REVENUES</b>	<b>\$2,112,743</b>	<b>\$3,131,054</b>	<b>\$3,131,054</b>	<b>\$2,818,882</b>	<b>\$2,778,882</b>	<b>-</b>
<b>EXPENDITURES</b>						
<b>PERSONAL SERVICES</b>						
<b>SALARIES &amp; WAGES</b>						
3110 Class/Unclass Sal. and Per Diem						
3400 Other Funds Ltd	705,613	773,394	800,978	851,304	851,304	-
3160 Temporary Appointments						
3400 Other Funds Ltd	-	436	436	449	449	-
3170 Overtime Payments						
3400 Other Funds Ltd	886	-	-	-	-	-
<b>SALARIES &amp; WAGES</b>						
3400 Other Funds Ltd	706,499	773,830	801,414	851,753	851,753	-
<b>TOTAL SALARIES &amp; WAGES</b>	<b>\$706,499</b>	<b>\$773,830</b>	<b>\$801,414</b>	<b>\$851,753</b>	<b>\$851,753</b>	<b>-</b>
<b>OTHER PAYROLL EXPENSES</b>						
3210 Empl. Rel. Bd. Assessments						
3400 Other Funds Ltd	275	315	315	352	352	-

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures  
 2015-17 Biennium  
 General Program

Cross Reference Number: 19900-010-00-00-00000

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
<b>3220 Public Employees' Retire Cont</b>						
3400 Other Funds Ltd	101,535	112,718	116,693	133,623	133,623	-
<b>3221 Pension Obligation Bond</b>						
3400 Other Funds Ltd	41,739	43,423	46,890	52,687	52,687	-
<b>3230 Social Security Taxes</b>						
3400 Other Funds Ltd	53,227	59,198	61,308	65,157	65,157	-
<b>3250 Worker's Comp. Assess. (WCD)</b>						
3400 Other Funds Ltd	388	464	464	552	552	-
<b>3260 Mass Transit Tax</b>						
3400 Other Funds Ltd	4,239	4,642	4,808	5,111	5,111	-
<b>3270 Flexible Benefits</b>						
3400 Other Funds Ltd	225,181	240,408	243,914	244,224	244,224	-
<b>OTHER PAYROLL EXPENSES</b>						
3400 Other Funds Ltd	426,584	461,168	474,392	501,706	501,706	-
<b>TOTAL OTHER PAYROLL EXPENSES</b>	<b>\$426,584</b>	<b>\$461,168</b>	<b>\$474,392</b>	<b>\$501,706</b>	<b>\$501,706</b>	<b>-</b>
<b>P.S. BUDGET ADJUSTMENTS</b>						
<b>3465 Reconciliation Adjustment</b>						
3400 Other Funds Ltd	-	31,006	31,006	-	-	-
<b>3470 Undistributed (P.S.)</b>						
3400 Other Funds Ltd	-	(4,693)	-	-	-	-
<b>3991 PERS Policy Adjustment</b>						
3400 Other Funds Ltd	-	(25,262)	(25,262)	-	-	-
<b>P.S. BUDGET ADJUSTMENTS</b>						

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 19900-010-00-00-00000

2015-17 Biennium

General Program

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3400 Other FundsLtd	-	1,051	5,744	-	-	-
<b>TOTAL P.S. BUDGET ADJUSTMENTS</b>	-	<b>\$1,051</b>	<b>\$5,744</b>	-	-	-
<b>PERSONAL SERVICES</b>						
3400 Other Funds Ltd	1,133,083	1,236,049	1,281,550	1,353,459	1,353,459	-
<b>TOTAL PERSONAL SERVICES</b>	<b>\$1,133,083</b>	<b>\$1,236,049</b>	<b>\$1,281,550</b>	<b>\$1,353,459</b>	<b>\$1,353,459</b>	-
<b>SERVICES &amp; SUPPLIES</b>						
<b>4100 Instate Travel</b>						
3400 Other Funds Ltd	13,006	25,865	19,502	20,087	20,087	-
<b>4150 Employee Training</b>						
3400 Other Funds Ltd	270	5,286	5,286	5,445	5,445	-
<b>4175 Office Expenses</b>						
3400 Other FundsLtd	27,009	19,119	19,119	19,693	19,693	-
<b>4200 Telecommunications</b>						
3400 Other FundsLtd	18,543	8,505	8,505	16,519	16,519	-
<b>4225 State Gov. Service Charges</b>						
3400 Other FundsLtd	114,334	138,670	138,670	52,429	53,820	-
<b>4250 Data Processing</b>						
3400 Other FundsLtd	15,522	10,043	10,043	87,342	87,342	-
<b>4275 Publicity and Publications</b>						
3400 Other Funds Ltd	7,109	1,659	1,659	1,709	1,709	-
<b>4300 Professional Services</b>						
3400 Other Funds Ltd	5,640	8,805	8,805	9,096	9,096	-
<b>4315 IT Professional Services</b>						

Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 19900-010-00-00-00000

2015-17 Biennium

General Program

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3400 Other Funds Ltd	-	250,000	250,000	327,000	327,000	-
<b>4325 Attorney General</b>						
3400 Other Funds Ltd	105,204	143,372	143,372	210,899	162,888	-
<b>4375 Employee Recruitment and Develop</b>						
3400 Other Funds Ltd	-	2,126	2,126	2,190	2,190	-
<b>4400 Dues and Subscriptions</b>						
3400 Other Funds Ltd	28	1,222	222	229	229	-
<b>4425 Facilities Rental and Taxes</b>						
3400 Other Funds Ltd	67,425	77,694	77,694	81,113	81,113	-
<b>4575 Agency Program Related S andS</b>						
3400 Other Funds Ltd	259	-	-	-	-	-
<b>4650 Other Services and Supplies</b>						
3400 Other Funds Ltd	4,721	4,320	4,320	130,511	130,511	-
<b>4675 Undistributed (S.S.)</b>						
3400 Other Funds Ltd	-	(4,670)	-	-	-	-
<b>4700 Expendable Prop 250 - 5000</b>						
3400 Other Funds Ltd	1,641	7,929	5,929	6,107	6,107	-
<b>4715 IT Expendable Property</b>						
3400 Other Funds Ltd	4,341	-	-	-	-	-
<b>SERVICES &amp; SUPPLIES</b>						
3400 Other Funds Ltd	385,052	699,945	695,252	970,369	923,749	-
<b>TOTAL SERVICES &amp; SUPPLIES</b>	<b>\$385,052</b>	<b>\$699,945</b>	<b>\$695,252</b>	<b>\$970,369</b>	<b>\$923,749</b>	<b>-</b>

EXPENDITURES



Oregon Government Ethics Commission

Agency Number: 19900

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 19900-010-00-00-00000

2015-17 Biennium

General Program

Description	2011-13 Actuals	2013-15 Leg Adopted Budget	2013-15 Leg Approved Budget	2015-17 Agency Request Budget	2015-17 Governor's Budget	2015-17 Leg Adopted Budget
3400 Other Funds Ltd	1,518,135	1,935,994	1,976,802	2,323,828	2,277,208	-
<b>TOTAL EXPENDITURES</b>	<b>\$1,518,135</b>	<b>\$1,935,994</b>	<b>\$1,976,802</b>	<b>\$2,323,828</b>	<b>\$2,277,208</b>	-
<b>ENDING BALANCE</b>						
3400 Other Funds Ltd	594,608	1,195,060	1,154,252	495,054	501,674	-
<b>TOTAL ENDING BALANCE</b>	<b>\$594,608</b>	<b>\$1,195,060</b>	<b>\$1,154,252</b>	<b>\$495,054</b>	<b>\$501,674</b>	-
<b>AUTHORIZED POSITIONS</b>						
8150 Class/Unclss Positions	8	8	8	8	8	-
<b>TOTAL AUTHORIZED POSITIONS</b>	<b>8</b>	<b>8</b>	<b>8</b>	<b>8</b>	<b>8</b>	-
<b>AUTHORIZED FTE</b>						
8250 Class/Unclss FTE Positons	8.00	7.88	7.88	8.00	8.00	-
<b>TOTAL AUTHORIZED FTE</b>	<b>8.00</b>	<b>7.88</b>	<b>7.88</b>	<b>8.00</b>	<b>8.00</b>	-

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
<b>BEGINNING BALANCE</b>				
0025 Beginning Balance				
3400 Other Funds Ltd	1,086,882	1,086,882	0	-
<b>REVENUE CATEGORIES</b>				
<b>CHARGES FOR SERVICES</b>				
0415 Admin and Service Charges				
3400 Other Funds Ltd	1,565,000	1,565,000	0	-
<b>FINES, RENTS AND ROYALTIES</b>				
0505 Fines and Forfeitures				
8800 General Fund Revenue	30,000	30,000	0	-
<b>TOTAL REVENUES</b>				
3400 Other Funds Ltd	1,565,000	1,565,000	0	-
8800 General Fund Revenue	30,000	30,000	0	-
<b>TOTAL REVENUES</b>	<b>\$1,595,000</b>	<b>\$1,595,000</b>	<b>0</b>	<b>-</b>
<b>TRANSFERS OUT</b>				
2060 Transfer to General Fund				
8800 General Fund Revenue	(30,000)	(30,000)	0	-
<b>AVAILABLE REVENUES</b>				
3400 Other Funds Ltd	2,651,882	2,651,882	0	-
<b>EXPENDITURES</b>				
<b>PERSONAL SERVICES</b>				
<b>SALARIES &amp; WAGES</b>				
3110 Class/Unclass Sal. and Per Diem				
3400 Other Funds Ltd	851,304	851,304	0	-

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
<b>3160 Temporary Appointments</b>				
3400 Other Funds Ltd	436	436	0	-
<b>TOTAL SALARIES &amp; WAGES</b>				
3400 Other Funds Ltd	851,740	851,740	0	-
<b>OTHER PAYROLL EXPENSES</b>				
<b>3210 Empl. Rel. Bd. Assessments</b>				
3400 Other Funds Ltd	352	352	0	-
<b>3220 Public Employees' Retire Cont</b>				
3400 Other Funds Ltd	133,623	133,623	0	-
<b>3221 Pension Obligation Bond</b>				
3400 Other Funds Ltd	46,890	46,890	0	-
<b>3230 Social Security Taxes</b>				
3400 Other Funds Ltd	65,156	65,156	0	-
<b>3250 Worker's Comp. Assess. (WCD)</b>				
3400 Other Funds Ltd	552	552	0	-
<b>3260 Mass Transit Tax</b>				
3400 Other Funds Ltd	4,808	4,808	0	-
<b>3270 Flexible Benefits</b>				
3400 Other Funds Ltd	244,224	244,224	0	-
<b>TOTAL OTHER PAYROLL EXPENSES</b>				
3400 Other Funds Ltd	495,605	495,605	0	-
<b>TOTAL PERSONAL SERVICES</b>				
3400 Other Funds Ltd	1,347,345	1,347,345	0	-
<b>SERVICES &amp; SUPPLIES</b>				

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
<b>4100 Instate Travel</b>				
3400 Other Funds Ltd	19,502	19,502	0	-
<b>4150 Employee Training</b>				
3400 Other Funds Ltd	5,286	5,286	0	-
<b>4175 Office Expenses</b>				
3400 Other Funds Ltd	19,119	19,119	0	-
<b>4200 Telecommunications</b>				
3400 Other Funds Ltd	8,505	8,505	0	-
<b>4225 State Gov. Service Charges</b>				
3400 Other Funds Ltd	38,595	38,595	0	-
<b>4250 Data Processing</b>				
3400 Other Funds Ltd	10,043	10,043	0	-
<b>4275 Publicity and Publications</b>				
3400 Other Funds Ltd	1,659	1,659	0	-
<b>4300 Professional Services</b>				
3400 Other Funds Ltd	8,805	8,805	0	-
<b>4315 IT Professional Services</b>				
3400 Other Funds Ltd	250,000	250,000	0	-
<b>4325 Attorney General</b>				
3400 Other Funds Ltd	143,372	143,372	0	-
<b>4375 Employee Recruitment and Develop</b>				
3400 Other Funds Ltd	2,126	2,126	0	-
<b>4400 Dues and Subscriptions</b>				
3400 Other Funds Ltd	222	222	0	-

Oregon Government Ethics Commission

Agency Number: 19900

Version / Column Comparison Report - Detail

Cross Reference Number:19900-010-00-00-00000

2015-17 Biennium

General Program

Description	Agency Request Budget (V-01) 2015-17 Base Budget	Governor's Budget (Y-01) 2015-17 Base Budget	Column 2 minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
<b>4425 Facilities Rental and Taxes</b>				
3400 Other Funds Ltd	77,694	77,694	0	-
<b>4650 Other Services and Supplies</b>				
3400 Other Funds Ltd	104,395	104,395	0	-
<b>4700 Expendable Prop 250 - 5000</b>				
3400 Other Funds Ltd	5,929	5,929	0	-
<b>TOTAL SERVICES &amp; SUPPLIES</b>				
3400 Other Funds Ltd	695,252	695,252	0	-
<b>TOTAL EXPENDITURES</b>				
3400 Other Funds Ltd	2,042,597	2,042,597	0	-
<b>ENDING BALANCE</b>				
3400 Other Funds Ltd	609,285	609,285	0	-
<b>AUTHORIZED POSITIONS</b>				
8150 Class/Unclass Positions	8	8	0	-
<b>AUTHORIZED FTE</b>				
8250 Class/Unclass FTE Postions	8.00	8.00	0	-

Oregon Government Ethics Commission

Agency Number: 19900

Package Comparison Report - Detail  
 2015-17 Biennium  
 General Program

Cross Reference Number: 19900-010-00-00-00000  
 Package: Non-PICS Psnl Svc / Vacancy Factor  
 Pkg Group: ESS Pkg Type: 010 Pkg Number: 010

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		

EXPENDITURES

PERSONAL SERVICES

SALARIES & WAGES

3160 Temporary Appointments

3400 Other Funds Ltd	13	13	0	0.00%
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OTHER PAYROLL EXPENSES

3221 Pension Obligation Bond

3400 Other Funds Ltd	5,797	5,797	0	0.00%
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3230 Social Security Taxes

3400 Other Funds Ltd	1	1	0	0.00%
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3260 Mass Transit Tax

3400 Other Funds Ltd	303	303	0	0.00%
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OTHER PAYROLL EXPENSES

3400 Other Funds Ltd	6,101	6,101	0	0.00%
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<b>TOTAL OTHER PAYROLL EXPENSES</b>	<b>\$6,101</b>	<b>\$6,101</b>	<b>\$0</b>	<b>0.00%</b>
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PERSONAL SERVICES

3400 Other Funds Ltd	6,114	6,114	0	0.00%
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<b>TOTAL PERSONAL SERVICES</b>	<b>\$6,114</b>	<b>\$6,114</b>	<b>\$0</b>	<b>0.00%</b>
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EXPENDITURES

Oregon Government Ethics Commission

Agency Number: 19900

Package Comparison Report - Detail  
 2015-17 Biennium  
 General Program

Cross Reference Number: 19900-010-00-00-00000  
 Package: Non-PICS Psnl Svc / Vacancy Factor  
 Pkg Group: ESS Pkg Type: 010 Pkg Number: 010

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	6,114	6,114	0	0.00%
<b>TOTAL EXPENDITURES</b>	<b>\$6,114</b>	<b>\$6,114</b>	<b>\$0</b>	<b>0.00%</b>
<b>ENDING BALANCE</b>				
3400 Other Funds Ltd	(6,114)	(6,114)	0	0.00%
<b>TOTAL ENDING BALANCE</b>	<b>(\$6,114)</b>	<b>(\$6,114)</b>	<b>\$0</b>	<b>0.00%</b>

Oregon Government Ethics Commission

Agency Number: 19900

Package Comparison Report - Detail  
 2015-17 Biennium  
 General Program

Cross Reference Number: 19900-010-00-00-00000  
 Package: Phase-out Pgm & One-time Costs  
 Pkg Group: ESS Pkg Type: 020 Pkg Number: 022

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
<b>EXPENDITURES</b>				
<b>SERVICES &amp; SUPPLIES</b>				
<b>4315 IT Professional Services</b>				
3400 Other Funds Ltd	(250,000)	(250,000)	0	0.00%
<b>SERVICES &amp; SUPPLIES</b>				
3400 Other Funds Ltd	(250,000)	(250,000)	0	0.00%
<b>TOTAL SERVICES &amp; SUPPLIES</b>	<b>(\$250,000)</b>	<b>(\$250,000)</b>	<b>\$0</b>	<b>0.00%</b>
<b>EXPENDITURES</b>				
3400 Other Funds Ltd	(250,000)	(250,000)	0	0.00%
<b>TOTAL EXPENDITURES</b>	<b>(\$250,000)</b>	<b>(\$250,000)</b>	<b>\$0</b>	<b>0.00%</b>
<b>ENDING BALANCE</b>				
3400 Other Funds Ltd	250,000	250,000	0	0.00%
<b>TOTAL ENDING BALANCE</b>	<b>\$250,000</b>	<b>\$250,000</b>	<b>\$0</b>	<b>0.00%</b>



Oregon Government Ethics Commission

Agency Number: 19900

Package Comparison Report - Detail  
 2015-17 Biennium  
 General Program

Cross Reference Number: 19900-010-00-00-00000

Package: Standard Inflation

Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		

EXPENDITURES

SERVICES & SUPPLIES

4100 Instate Travel

3400 Other Funds Ltd 585 585 0 0.00%

4150 Employee Training

3400 Other Funds Ltd 159 159 0 0.00%

4175 Office Expenses

3400 Other Funds Ltd 574 574 0 0.00%

4200 Telecommunications

3400 Other Funds Ltd 255 255 0 0.00%

4225 State Gov. Service Charges

3400 Other Funds Ltd 13,834 15,225 1,391 10.05%

4250 Data Processing

3400 Other Funds Ltd 301 301 0 0.00%

4275 Publicity and Publications

3400 Other Funds Ltd 50 50 0 0.00%

4300 Professional Services

3400 Other Funds Ltd 264 264 0 0.00%

4325 Attorney General

Oregon Government Ethics Commission

Agency Number: 19900

Package Comparison Report - Detail  
 2015-17 Biennium  
 General Program

Cross Reference Number: 19900-010-00-00-00000  
 Package: Standard Inflation  
 Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
3400 Other Funds Ltd	27,527	19,516	(8,011)	(29.10%)
<b>4375 Employee Recruitment and Develop</b>				
3400 Other Funds Ltd	64	64	0	0.00%
<b>4400 Dues and Subscriptions</b>				
3400 Other Funds Ltd	7	7	0	0.00%
<b>4425 Facilities Rental and Taxes</b>				
3400 Other Funds Ltd	3,419	3,419	0	0.00%
<b>4650 Other Services and Supplies</b>				
3400 Other Funds Ltd	3,132	3,132	0	0.00%
<b>4700 Expendable Prop 250 - 5000</b>				
3400 Other Funds Ltd	178	178	0	0.00%
<b>SERVICES &amp; SUPPLIES</b>				
3400 Other Funds Ltd	50,349	43,729	(6,620)	(13.15%)
<b>TOTAL SERVICES &amp; SUPPLIES</b>	<b>\$50,349</b>	<b>\$43,729</b>	<b>(\$6,620)</b>	<b>(13.15%)</b>
<b>EXPENDITURES</b>				
3400 Other Funds Ltd	50,349	43,729	(6,620)	(13.15%)
<b>TOTAL EXPENDITURES</b>	<b>\$50,349</b>	<b>\$43,729</b>	<b>(\$6,620)</b>	<b>(13.15%)</b>
<b>ENDING BALANCE</b>				
3400 Other Funds Ltd	(50,349)	(43,729)	6,620	13.15%

**Oregon Government Ethics Commission**

**Agency Number: 19900**

Package Comparison Report - Detail  
 2015-17 Biennium  
 General Program

Cross Reference Number: 19900-010-00-00-00000

Package: Standard Inflation

Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
<b>TOTAL ENDING BALANCE</b>	<b>(\$50,349)</b>	<b>(\$43,729)</b>	<b>\$6,620</b>	<b>13.15%</b>

Oregon Government Ethics Commission

Agency Number: 19900

Package Comparison Report - Detail  
 2015-17 Biennium  
 General Program

Cross Reference Number: 19900-010-00-00-00000  
 Package: Above Standard Inflation  
 Pkg Group: ESS Pkg Type: 030 Pkg Number: 032

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		

EXPENDITURES

SERVICES & SUPPLIES

4200 Telecommunications

3400 Other Funds Ltd	7,759	7,759	0	0.00%
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4250 Data Processing

3400 Other Funds Ltd	76,998	76,998	0	0.00%
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4300 Professional Services

3400 Other Funds Ltd	27	27	0	0.00%
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4650 Other Services and Supplies

3400 Other Funds Ltd	22,984	22,984	0	0.00%
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SERVICES & SUPPLIES

3400 Other Funds Ltd	107,768	107,768	0	0.00%
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**TOTAL SERVICES & SUPPLIES**

<b>\$107,768</b>	<b>\$107,768</b>	<b>\$0</b>	<b>0.00%</b>
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EXPENDITURES

3400 Other Funds Ltd	107,768	107,768	0	0.00%
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**TOTAL EXPENDITURES**

<b>\$107,768</b>	<b>\$107,768</b>	<b>\$0</b>	<b>0.00%</b>
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ENDING BALANCE

3400 Other Funds Ltd	(107,768)	(107,768)	0	0.00%
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**TOTAL ENDING BALANCE**

<b>(\$107,768)</b>	<b>(\$107,768)</b>	<b>\$0</b>	<b>0.00%</b>
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Oregon Government Ethics Commission

Agency Number: 19900

Package Comparison Report - Detail  
 2015-17 Biennium  
 General Program

Cross Reference Number: 19900-010-00-00-00000  
 Package: Electronic Filing System Implementation  
 Pkg Group: POL Pkg Type: POL Pkg Number: 101

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
<b>EXPENDITURES</b>				
<b>SERVICES &amp; SUPPLIES</b>				
<b>4315 IT Professional Services</b>				
3400 Other Funds Ltd	200,000	200,000	0	0.00%
<b>SERVICES &amp; SUPPLIES</b>				
3400 Other Funds Ltd	200,000	200,000	0	0.00%
<b>TOTAL SERVICES &amp; SUPPLIES</b>	<b>\$200,000</b>	<b>\$200,000</b>	<b>\$0</b>	<b>0.00%</b>
<b>EXPENDITURES</b>				
3400 Other Funds Ltd	200,000	200,000	0	0.00%
<b>TOTAL EXPENDITURES</b>	<b>\$200,000</b>	<b>\$200,000</b>	<b>\$0</b>	<b>0.00%</b>
<b>ENDING BALANCE</b>				
3400 Other Funds Ltd	(200,000)	(200,000)	0	0.00%
<b>TOTAL ENDING BALANCE</b>	<b>(\$200,000)</b>	<b>(\$200,000)</b>	<b>\$0</b>	<b>0.00%</b>

Oregon Government Ethics Commission

Agency Number: 19900

Package Comparison Report - Detail  
 2015-17 Biennium  
 General Program

Cross Reference Number: 19900-010-00-00-00000  
 Package: Electronic Filing System Subscription Fee  
 Pkg Group: POL Pkg Type: POL Pkg Number: 102

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		

REVENUE CATEGORIES

CHARGES FOR SERVICES

0415 Admin and Service Charges

3400 Other Funds Ltd	127,000	127,000	0	0.00%
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AVAILABLE REVENUES

3400 Other Funds Ltd	127,000	127,000	0	0.00%
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<b>TOTAL AVAILABLE REVENUES</b>	<b>\$127,000</b>	<b>\$127,000</b>	<b>\$0</b>	<b>0.00%</b>
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EXPENDITURES

SERVICES & SUPPLIES

4315 IT Professional Services

3400 Other Funds Ltd	127,000	127,000	0	0.00%
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SERVICES & SUPPLIES

3400 Other Funds Ltd	127,000	127,000	0	0.00%
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<b>TOTAL SERVICES &amp; SUPPLIES</b>	<b>\$127,000</b>	<b>\$127,000</b>	<b>\$0</b>	<b>0.00%</b>
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EXPENDITURES

3400 Other Funds Ltd	127,000	127,000	0	0.00%
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<b>TOTAL EXPENDITURES</b>	<b>\$127,000</b>	<b>\$127,000</b>	<b>\$0</b>	<b>0.00%</b>
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ENDING BALANCE

3400 Other Funds Ltd	-	-	0	0.00%
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**Oregon Government Ethics Commission**

**Agency Number: 19900**

Package Comparison Report - Detail  
 2015-17 Biennium  
 General Program

Cross Reference Number: 19900-010-00-00-00000  
 Package: Electronic Filing System Subscription Fee  
 Pkg Group: POL Pkg Type: POL Pkg Number: 102

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
<b>TOTAL ENDING BALANCE</b>	-	-	\$0	0.00%

Oregon Government Ethics Commission

Agency Number: 19900

Package Comparison Report - Detail  
 2015-17 Biennium  
 General Program

Cross Reference Number: 19900-010-00-00-00000

Package: Attorney General Opinion

Pkg Group: POL Pkg Type: POL Pkg Number: 103

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		

REVENUE CATEGORIES

CHARGES FOR SERVICES

0415 Admin and Service Charges

3400 Other Funds Ltd	40,000	-	(40,000)	(100.00%)
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AVAILABLE REVENUES

3400 Other Funds Ltd	40,000	-	(40,000)	(100.00%)
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<b>TOTAL AVAILABLE REVENUES</b>	<b>\$40,000</b>	<b>-</b>	<b>(\$40,000)</b>	<b>(100.00%)</b>
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EXPENDITURES

SERVICES & SUPPLIES

4325 Attorney General

3400 Other Funds Ltd	40,000	-	(40,000)	(100.00%)
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SERVICES & SUPPLIES

3400 Other Funds Ltd	40,000	-	(40,000)	(100.00%)
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<b>TOTAL SERVICES &amp; SUPPLIES</b>	<b>\$40,000</b>	<b>-</b>	<b>(\$40,000)</b>	<b>(100.00%)</b>
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EXPENDITURES

3400 Other Funds Ltd	40,000	-	(40,000)	(100.00%)
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<b>TOTAL EXPENDITURES</b>	<b>\$40,000</b>	<b>-</b>	<b>(\$40,000)</b>	<b>(100.00%)</b>
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ENDING BALANCE

3400 Other Funds Ltd	-	-	0	0.00%
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**Oregon Government Ethics Commission**

**Agency Number: 19900**

Package Comparison Report - Detail  
 2015-17 Biennium  
 General Program

Cross Reference Number: 19900-010-00-00-00000

Package: Attorney General Opinion

Pkg Group: POL Pkg Type: POL Pkg Number: 103

Description	Agency Request Budget (V-01)	Governor's Budget (Y-01)	Column 2 Minus Column 1	% Change from Column 1 to Column 2
	Column 1	Column 2		
TOTAL ENDING BALANCE	-	-	\$0	0.00%

12/29/14 REPORT NO.: PPDPLBUDCL  
 REPORT: SUMMARY LIST BY PKG BY SUMMARY XREF  
 AGENCY:19900 GOVERNMENT ETHICS COMMISSION  
 SUMMARY XREF:010-00-00 000 General Program

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

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 PICS SYSTEM: BUDGET PREPARATION

PKG	CLASS	COMP	DESCRIPTION	POS CNT	FTE	MOS	AVERAGE RATE	GF SAL	OF SAL	FF SAL	LF SAL	AF SAL
000	B	Y7500	AE BOARD AND COMMISSION MEMBER		.00	.00	0.00		5,040			5,040
000	MEAHZ7006	HA	PRINCIPAL EXECUTIVE/MANAGER D	1	1.00	24.00	7,827.00		187,848			187,848
000	MMN X0860	AA	PROGRAM ANALYST 1	1	1.00	24.00	4,979.00		119,496			119,496
000	UA	C0104	AA OFFICE SPECIALIST 2	1	1.00	24.00	2,874.00		68,976			68,976
000	UA	C0107	AA ADMINISTRATIVE SPECIALIST 1	1	1.00	24.00	2,874.00		68,976			68,976
000	UA	C0860	AA PROGRAM ANALYST 1	2	2.00	48.00	4,286.00		205,728			205,728
000	UA	C5233	AA INVESTIGATOR 3	1	1.00	24.00	4,162.00		99,888			99,888
000	UA	C5247	AA COMPLIANCE SPECIALIST 2	1	1.00	24.00	3,973.00		95,352			95,352
000				8	8.00	192.00	2,350.73		851,304			851,304
				8	8.00	192.00	2,350.73		851,304			851,304
				8	8.00	192.00	2,350.73		851,304			851,304

12/29/14 REPORT NO.: PPDPLBUDCL  
REPORT: SUMMARY LIST BY PKG BY SUMMARY XREF  
AGENCY:19900 GOVERNMENT ETHICS COMMISSION  
SUMMARY XREF:010-00-00 000 General Program

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

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PICS SYSTEM: BUDGET PREPARATION

PKG	CLASS	COMP	DESCRIPTION	POS CNT	FTE	MOS	AVERAGE RATE	GF SAL	OF SAL	FF SAL	LF SAL	AF SAL
				8	8.00	192.00	2,350.73		851,304			851,304

12/29/14 REPORT NO.: PPDPLAGYCL  
 REPORT: SUMMARY LIST BY PKG BY AGENCY  
 AGENCY:19900 GOVERNMENT ETHICS COMMISSION

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PKG	CLASS	COMP	DESCRIPTION	POS CNT	FTE	MOS	AVERAGE RATE	GF SAL	OF SAL	FF SAL	LF SAL	AF SAL
000	B	Y7500	AE BOARD AND COMMISSION MEMBER		.00	.00	0.00		5,040			5,040
000	MEAHZ7006	HA	PRINCIPAL EXECUTIVE/MANAGER D	1	1.00	24.00	7,827.00		187,848			187,848
000	MMN X0860	AA	PROGRAM ANALYST 1	1	1.00	24.00	4,979.00		119,496			119,496
000	UA	C0104	AA OFFICE SPECIALIST 2	1	1.00	24.00	2,874.00		68,976			68,976
000	UA	C0107	AA ADMINISTRATIVE SPECIALIST 1	1	1.00	24.00	2,874.00		68,976			68,976
000	UA	C0860	AA PROGRAM ANALYST 1	2	2.00	48.00	4,286.00		205,728			205,728
000	UA	C5233	AA INVESTIGATOR 3	1	1.00	24.00	4,162.00		99,888			99,888
000	UA	C5247	AA COMPLIANCE SPECIALIST 2	1	1.00	24.00	3,973.00		95,352			95,352
				8	8.00	192.00	2,350.73		851,304			851,304

12/29/14 REPORT NO.: PPDPLAGYCL  
REPORT: SUMMARY LIST BY PKG BY AGENCY  
AGENCY:19900 GOVERNMENT ETHICS COMMISSION

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

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PICS SYSTEM: BUDGET PREPARATION

PKG	CLASS	COMP	DESCRIPTION	POS CNT	FTE	MOS	AVERAGE RATE	GF SAL	OF SAL	FF SAL	LF SAL	AF SAL
				8	8.00	192.00	2,350.73		851,304			851,304