



Oregon Board of Parole & Post-Prison Supervision

**Joint Ways & Means
Public Safety Subcommittee
2015 – 2017
Agency Presentation**

**Kristin Wings-Yanez, Chair
Brenda Carney, Executive Director**



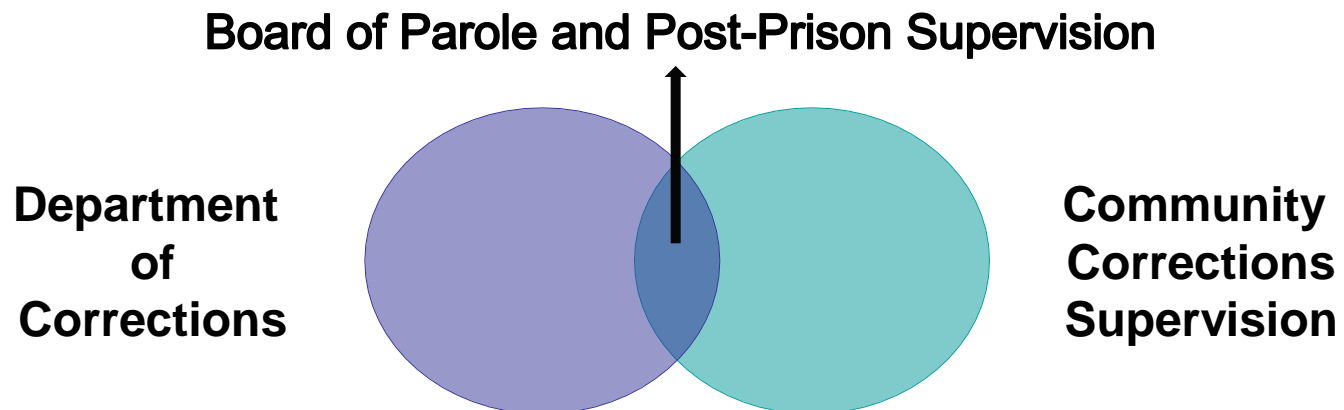


The Board's Mission and Goals

- To protect the public and reduce the risk of repeat criminal behavior through incarceration and community supervision decisions based on applicable laws, victims' interests, public safety, and recognized principles of offender behavior change.
- Improve the success and safety of incarceration-to-community transition through evidence-based decisions and supervision and intervention methods.



Board's Missions and Goals





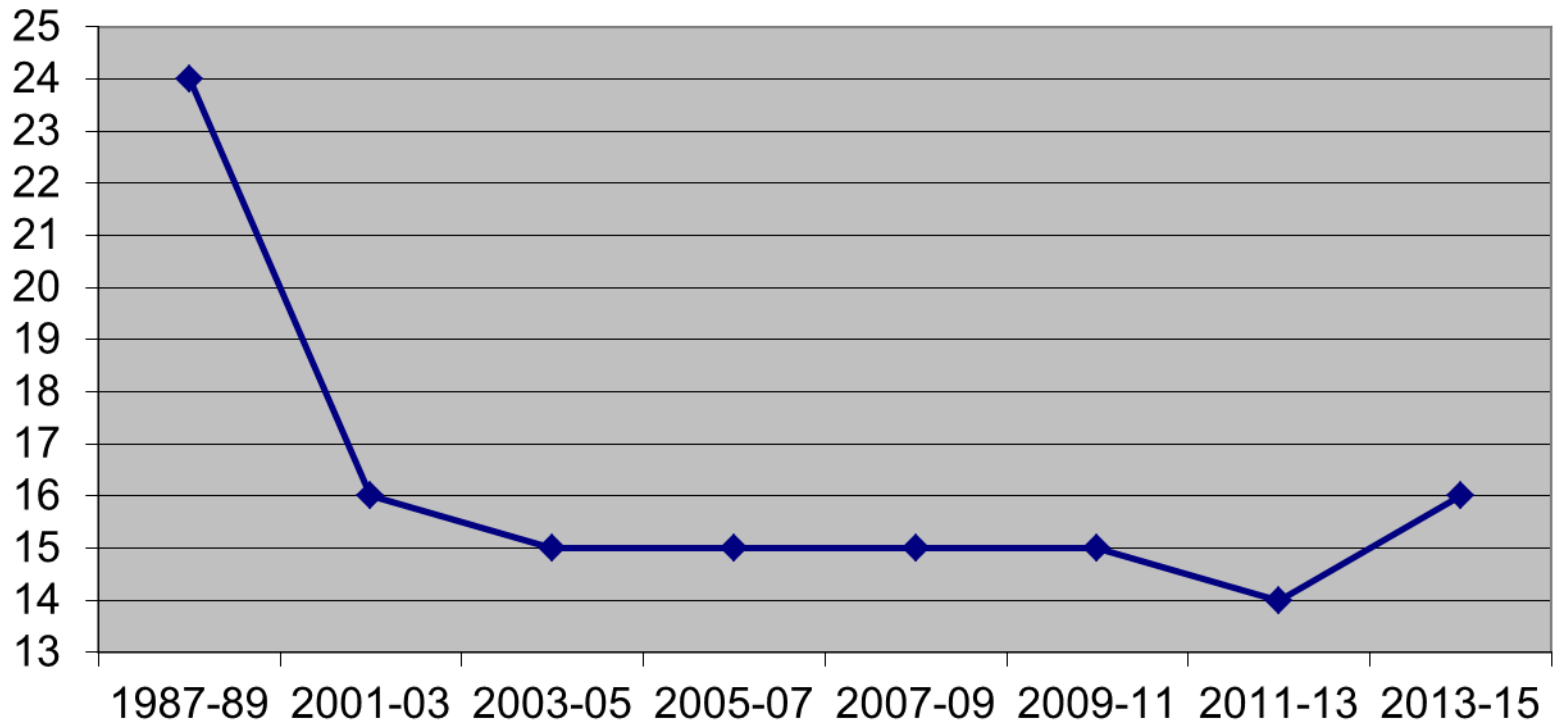
Board History

- **Statutory authority found in Oregon Revised Statutes (ORS) Chapter 144 and Oregon Administrative Rules (OAR) Chapter 255.**
- Although statute allows for five (5) members, the Board currently consists of three (3) members appointed to four-year terms by the Governor and confirmed by the Oregon Senate. Board members cannot serve more than two (2) terms under the current Governor's policy.
- The Board is currently composed of 16 FTE: 3 Board members, an Executive Director, a Supervising Executive Assistant, and 12 support staff (2 part-time job-share).



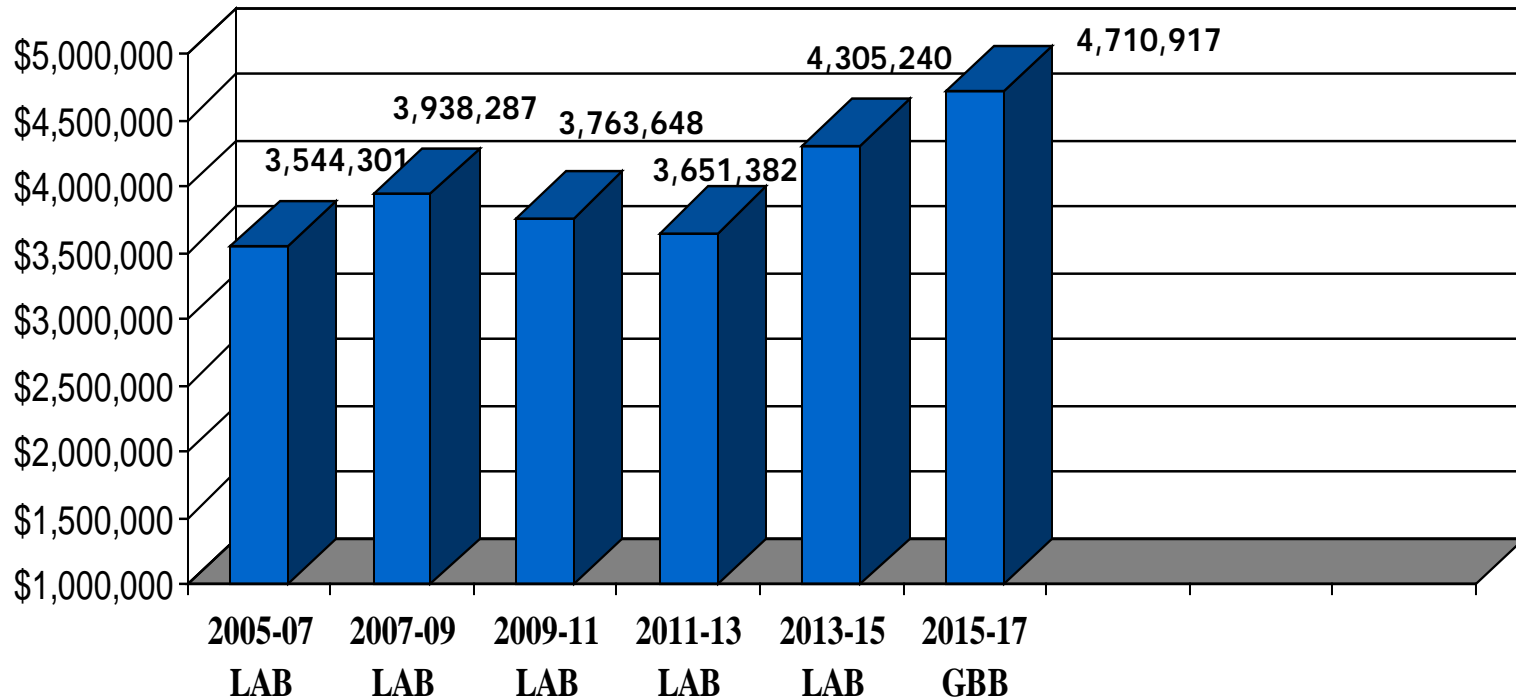
Board History

BOPPPS Staffing History (FTE)





Board History



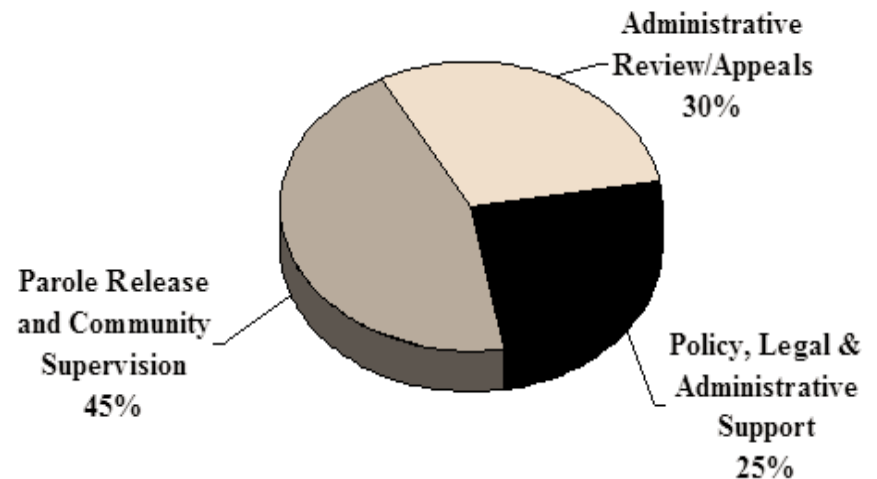


Summary of Board Programs

- The Board is one program, primarily funded by the General Fund.

PROGRAM PRIORITIES

- Parole Release & Community Supervision
- Administrative Review, Appeals & Legal Action
- Policies, Rules, & Operations





Summary of Board Programs

Parole Release & Post-Prison Supervision

- ❖ Board Hearings (*Parole only* - 20/mo.)
- ❖ Orders of Supervision (450/mo.)
- ❖ Warrants (400/mo.);
- ❖ Sanctions/Revocations (650/mo.)
- ❖ Discharges (300/mo.)
- ❖ Morrissey Hearings (violations – 60/mo.)
- ❖ Victim Notifications (50/mo.)
- ❖ Assess and classify sex offenders for notification levels

Administrative Review, Appeals & Legal Action

- ❖ Receive 25+/mo.; over 300 pending.
- ❖ Review Court decisions and impact on agency; implement as required.

Policies, Rules, & Operations

- ❖ Maintain Agency compliance with statute, rules and authority



Summary of Board Programs

- **PAROLE: Determine Release Date *and* Supervisory Authority**
 - Matrix offenders (Crime committed prior to Nov. 1, 1989) (576 inmates)
 - Those sentenced as “Dangerous Offenders” by trial courts (160 inmates)
 - Those convicted of **Murder** and **Aggravated Murder** with life sentences and are eligible to be considered for parole.
 - Board decisions are based on the laws in place at the time the crime was *committed* – not when offender was convicted.
 - ❖ These populations constitute approximately 8% of today’s prison inmates (1225 inmates).



Summary of Board Programs

➤ **POST-PRISON SUPERVISION: Supervisory Authority Only**

- The Board has no role in determining release dates.
- Sentencing Guidelines offenders (Crime committed on/after Nov. 1, 1989)

❖ **There are nearly 14,000 offenders on community supervision.**

➤ **450+ Orders of Supervision issued per month**



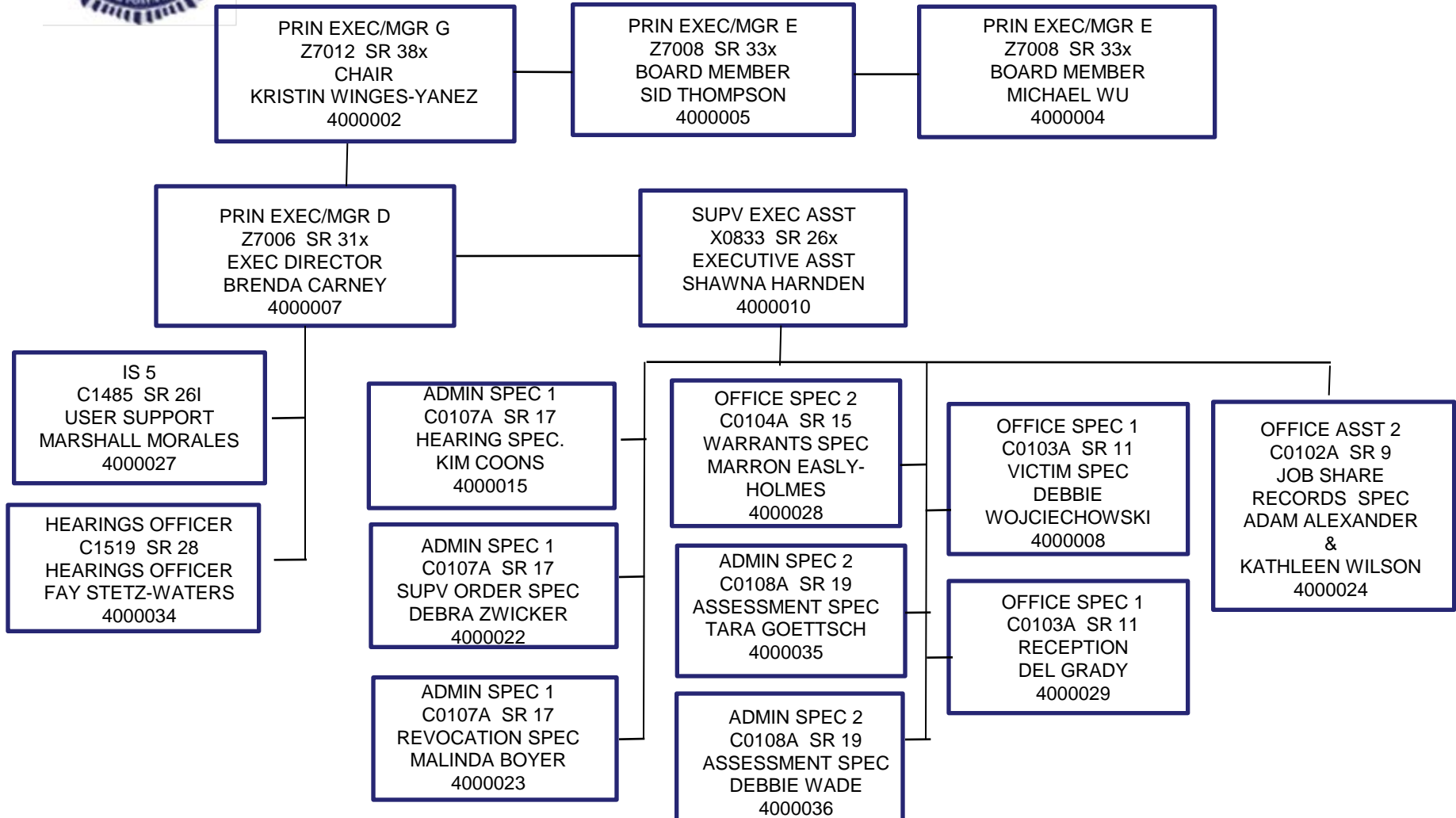
Summary of Board Programs

➤ **SEX OFFENDER ASSESSMENT AND CLASSIFICATION (ORS 181.800 et seq, HB2549, 2013)**

- The Board is responsible for assessing and classifying approximately 5900 registered sex offenders by December 1, 2016.
- The Board is also responsible for assessing and classifying new out-of-state registrants, as well as those being released under PSRB.
 - Approximately 20 new registrants are assessed per month
- As of January 1, 2017, the Board will be responsible for reviewing petitions for reclassification and relief from registration, as well as conducting hearings for those who meet the eligibility criteria.
 - ❖ **There are over 26,000 registered sex offenders in Oregon**
 - **Approximately 17,000 (65%) will be eligible to petition the Board in 2017.**

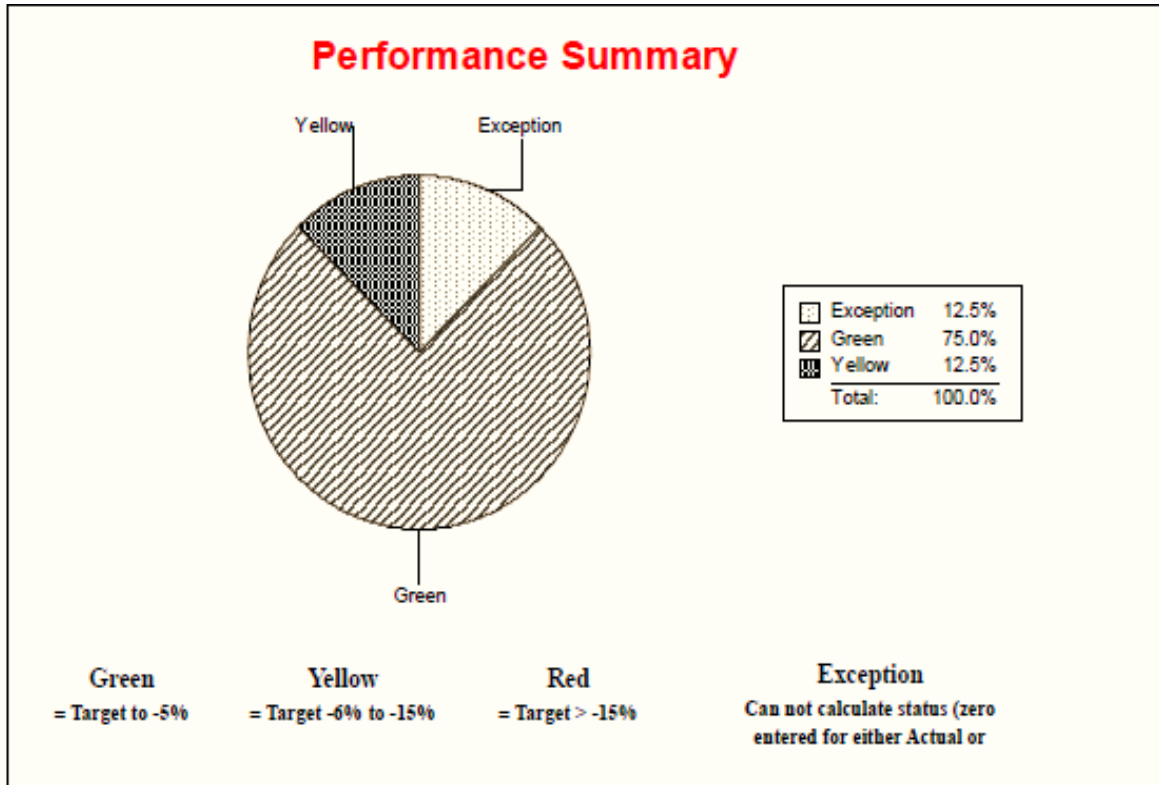


Board Organization





Board Performance & Outcome Measures



Overall, the Board is meeting the majority of its performance measures, despite increasing workloads for existing staff and Board members; however, there has been a significant drop in compliance with KPM #7, due to the Board's increased workload and a complete turnover in Board members the last two years.



Board Performance & Outcome Measures

KPMs	Actual	Target	Status	Most Recent Year
1 - PAROLE RECIDIVISM- Percentage of Matrix Inmates (applies to offenders whose crime(s) were committed before November 1, 1989), Dangerous Offenders (sentenced by the court as a dangerous offender pursuant to ORS 161.725 and ORS 161.735), and Aggravated Murderers convicted of a new felony within three years of initial release. (Subset of OBM #64)	3.33	8.50	Green	2013
2 - ORDER OF SUPERVISION- Percentage of offenders being released from prison where the Board's order of supervision has been received by the community corrections office on or before the offender's release date from prison.	94.70	97.10	Green	2013
3 - VICTIM NOTIFICATION- Percentage of active registered victims for which the Board has an accurate point of contact for notification of hearings and of an offender's release.	91.30	90.10	Green	2013
4 - ARREST WARRANT- Percentage of warrants received by the Board in which the warrant is issued within 5 days.	88.40	94.20	Yellow	2013



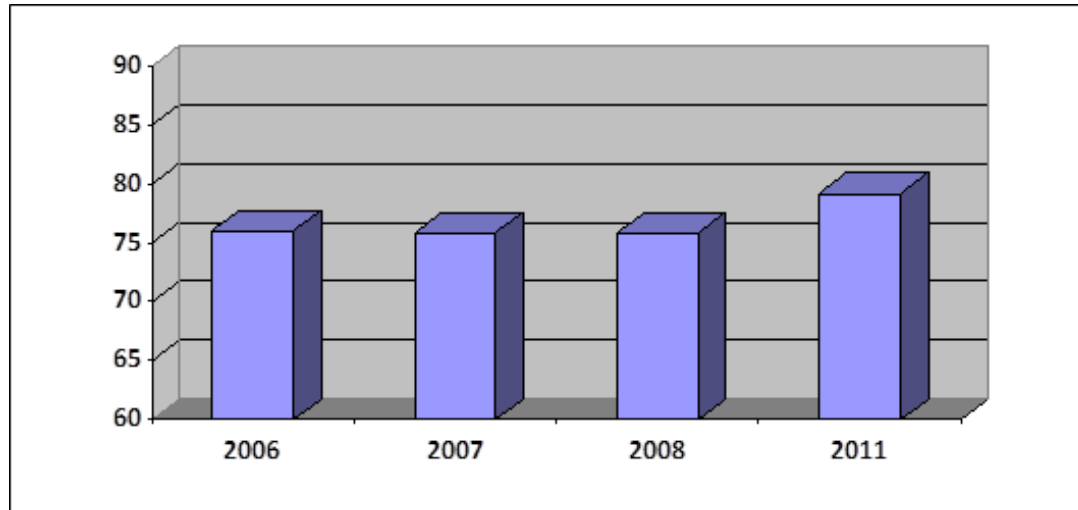
Board Performance & Outcome Measures

KPMs	Actual	Target	Status	Most Recent Year
5 - REVOCATION- Percentage of revocations for offenders who violate their conditions of parole or post-prison supervision.	7.50	10.00	Green	2013
6 - DISCHARGE OF SUPERVISION- Percentage of expiration (of post-prison supervision or parole) orders that have been completed and mailed within 5 days of an offenders discharge from parole or post-prison supervision.	91.80	91.50	Green	2013
7 - ADMINISTRATIVE REVIEW- Percentage of administrative review responses completed and mailed within 60 days of receipt of an inmate/offender administrative review request.	0.00	70.00	Exception	2013
8 - CUSTOMER SERVICE- Percent of customers rating their satisfaction with the Agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise, and availability of information.	96.08	100.00	Green	2013



Board Performance & Outcome Measures

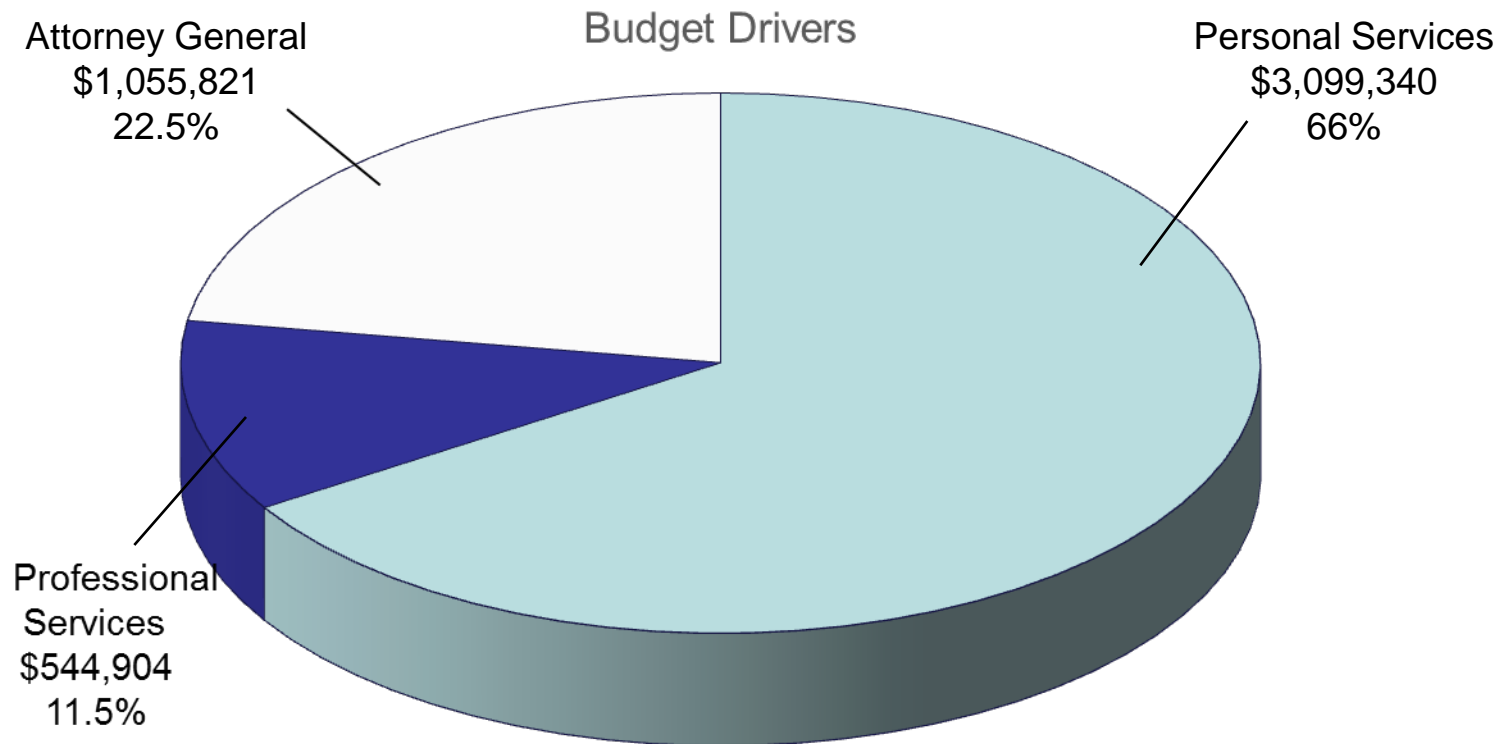
PAROLE/POST-PRISON SUPERVISION SUCCESS RATE AT 3 YEARS AFTER RELEASE FROM DOC



According to statistics pulled from the Department of Corrections, offenders who released between 2008 and 2011 have maintained a 79.2% success rate.



Primary Budget Drivers





Environmental Factors

- **Implementation of HB 2549 in 2013**

The Board is currently mandated to assess and classify sex offenders to a notification level; however, we are not adequately funded for necessary staffing levels to become fully compliant with law.

- **Implementation of HB 3194 in 2013**

While there was no direct fiscal impact from HB 3194, there were increases in workload for staff and Board members, including change in processes and documents in order to accommodate new short-term transitional leave requirements.

- **Implementation of sentencing guidelines in 1989**

Focus changed from the number of inmate release decisions to Post-Prison Supervision requirements.



Environmental Factors

- **Implementation of SB 1145 in 1995**

Shift responsibility to counties for incarceration and supervisory authority for certain sentences.

- **Increases in inmate and offender populations**

- ✓ Approximately 14,500 inmates

- ✓ Approximately 14,000 offenders on supervision

As inmate and supervised offender numbers increase, so do the duties of Board members and staff.



Environmental Factors

- **Increases in, and results of, inmate and offender judicial appeals;**

In addition to the workload to review administrative appeals submitted to the Board by inmates/offenders, the Board must change rules and process when Court decisions require it.

- **Increases in victim participation in post-sentencing matters**

As victims' rights expand, the Board members and staff have greater demands to address victim, DA and community concerns about the release and supervision of certain inmates. Board members and staff have daily contact with DOC counselors, parole officers and DOJ staff to discuss individual cases and coordinate appropriate resources.



Environmental Factors

- **Biennial statutory changes**

With legislative and budgetary changes, the Board has decreased from five (5) members in 1989, to three (3) today; and, the number of support staff has been reduced from 24 to 16 FTE over the last 10 years. The increased demand in workload is now being handled by a much smaller agency.



Agency Efforts

- The Board currently shares services with the Department of Corrections for the following:
 - Human Resources
 - Budget Analysis
 - Accounting
 - Payroll
 - Data Services (Telephone, Email, Data Server)
 - IT services
 - Procurement/Contracts
 - Facilities (Office space and maintenance)



Agency Efforts

- The Board does not have a contracted amount for these services; however, we distribute an agreed upon amount to DOC at the end of the biennium.
 - 2009-11: \$50,000
 - 2011-13: \$50,000

- The Board is moving into the 21st century with more frequent use of electronic process:
 - Electronic (PDF) hearing packets for Board hearings
 - Pilot project for electronic offender file storage and maintenance
 - Contract with DOC for the rewrite of the Board's information system, which will include more electronic processes.



Agency Efforts Cost Savings

- Shared Services:
 - Based on similar-sized agencies that are serviced by DAS, the Board estimates a savings of approximately \$160,000/year for administrative functions and \$42,000/year in rents and maintenance fees.

- Electronic process:
 - The Board is currently saving approximately \$118/mo (\$1400/yr) in paper costs by implementing electronic hearing packets for Board hearings
 - As we begin the pilot project for electronic offender file storage and maintenance, we anticipate savings of over \$1200/mo (\$14,000/year) in classification folders alone once we fully implement the system.



POLICY OPTION PACKAGES

POP 101

- Reclassification of an Administrative Specialist 1 (C0107, Lead Worker) to a Supervising Executive Assistant (X0833 MMS, SR26 Step 2). Reclassify one Board staff position to accurately reflect the level of responsibility required.
- **This position (4000010) was approved for reclassification by the Department of Administrative Services on January 25, 2014.**
 - **2015-17 FISCAL IMPACT: \$46,601**



POLICY OPTION PACKAGES

POP 102

- Increase funding for Differentials in order to appropriately subsidize pay-line exceptions for current Board member salaries, as well as any Work Out of Class assignments for Board staff. Additional funding for pay parity for Board Chairperson.
 - **2015-17 FISCAL IMPACT: \$73,549**



POLICY OPTION PACKAGES

POP 103

- Increase funding for Medical Services in order to appropriately subsidize psychological services performed for the Board.
 - **2015-17 FISCAL IMPACT: \$35,000**



HISTORICAL VS PROJECTED SPENDING

<u>Service Area</u>	<u>Past Funding</u>	<u>Past Expenditures</u>	<u>Projected Funding</u>	<u>Projected Expenditures</u>
Medical Services (Psych Evals)	2009-11: \$78,016	2009-11: \$121,095	2015-17 \$136,372	2015-17 \$168,000
	2011-13 \$93,097	2011-13 \$112,773		
	2013-15 \$96,821	2013-15 @ \$109,670		



PROPOSED LEGISLATION

There are currently a number of Legislative Concepts and proposed Bills that could affect the Board fiscally and/or operationally.

We are monitoring the bills closely for status changes.



10% Reductions

ACTIVITY OR PROGRAM	DESCRIBE REDUCTION	AMOUNT AND FUND TYPE	RANK AND JUSTIFICATION
(WHICH PROGRAM OR ACTIVITY WILL NOT BE UNDERTAKEN)	(DESCRIBE THE EFFECTS OF THIS REDUCTION. INCLUDE POSITIONS AND FTE IN 2015-17 AND 2017-19)	(GF, LF, OF, FF. IDENTIFY REVENUE SOURCE FOR OF, FF)	(RANK THE ACTIVITIES OR PROGRAMS NOT UNDERTAKEN IN ORDER OF LOWEST COST FOR BENEFIT OBTAINED)
1. Inflation	REDUCTION OF INFLATION PKG 31 & 32: IMPACTS ABILITY TO PAY COSTS FOR GOODS AND SERVICES, INCLUDING CONTRACTED SERVICES FOR PSYCHOLOGICAL EVALUATIONS, SEX OFFENDER ASSESSMENTS, ATTORNEY GENERAL SERVICES AND INTERGOVERNMENTAL AGREEMENTS FOR HEARINGS OFFICERS. LIMITS OFFICE SUPPLIES, EQUIPMENT AND TECHNOLOGY NECESSARY TO COMPLETE CORE FUNCTIONS.	GF -\$146,928	1 THE BOARD CONTINUES TO HAVE SHORTFALLS IN FUNDING FOR SUPPLIES AND SERVICES DUE TO INCREASES IN COSTS FOR REQUIRED OFFENDER SERVICES AND OFFICE SUPPLIES AND EQUIPMENT. WHILE A REDUCTION IN INFLATION WOULD FURTHER REDUCE THOSE SERVICES, IT WOULD NOT CEASE PROGRAM ACTIVITIES. (NO LEGISLATIVE CONCEPT HAS BEEN FILED)
2. Administrative Specialist 2 (4150036)	POSITION ADDED 2013-15 PURSUANT TO HB2549. REMOVAL OF 1 FTE POSITION WILL PROHIBIT THE BOARD FROM COMPLETING STATUTORILY REQUIRED SEX OFFENDER ASSESSMENTS BY THE REQUIRED DEADLINE OF DECEMBER 1, 2016 (ORS 181.800 – 181.802)	GF - \$138,360	2 REMOVAL OF POSITION WILL IMPEDE THE BOARD'S ABILITY TO SUCCESSFULLY MEET ASSESSMENT DEADLINES PURSUANT TO ORS 181.800 – 181.802, BUT WILL NOT AFFECT OTHER REQUIRED PROGRAM ACTIVITIES. (NO LEGISLATIVE CONCEPT HAS BEEN FILED)
3. Administrative Specialist 2 (4150035)	POSITION ADDED 2013-15 PURSUANT TO HB2549. REMOVAL OF 1 FTE POSITION WILL PROHIBIT THE BOARD FROM COMPLETING STATUTORILY REQUIRED SEX OFFENDER ASSESSMENTS BY THE REQUIRED DEADLINE OF DECEMBER 1, 2016 (ORS 181.800 – 181.802)	GF - \$166,178	3 REMOVAL OF 2ND POSITION WILL CEASE THIS PROGRAM ACTIVITY IMPLEMENTED BY HB2549. THE BOARD WILL BE UNABLE TO COMPLETE ANY ASSESSMENTS OR FUNCTIONS OF ORS 181.800 – 181.802. (NO LEGISLATIVE CONCEPT HAS BEEN FILED)



Long-Term Vacancies

As a small agency, the Board does not incur any long-term vacancies.

There is only one staff person assigned per duty; therefore, when vacancies occur, recruitment and hiring is immediate.



APPENDIX

- ❖ Actions to comply with HB 4131 (2012)
- ❖ Results of agency audits by SOS (297.070)
- ❖ Recent changes to agency budget and/or management flexibility
- ❖ Summary of proposed technology projects
- ❖ List of position reclassifications during 2013-15
- ❖ List of new hires during 2013-15; including step justification
- ❖ Ending balance form



APPENDIX

Actions to comply with HB 4131 (2012)

HB 4131 (2012) requires agencies with more than one hundred (100) budgeted positions to reach a supervisory to non-supervisory ratio of 1:11.

The Board currently employs 16 FTE; therefore, this does not apply to our agency.



APPENDIX

Results of agency audits by SOS (297.070)

297.070 Criteria for performance and program audits; rules; contracts with private auditors; audit expenses. (1)
Performance and program audits of all state departments, boards, commissions, institutions and state-aided institutions and agencies shall be conducted on the basis of risk assessment and on standards established by national recognized entities including, but not limited to, the United States Government Accountability Office and the National Association of State Auditors.

The Board was not audited by the Secretary of State.



APPENDIX

Recent changes to agency budget

<u>CHANGE</u>	<u>FISCAL</u>	<u>AFFECT</u>
Emergency Board Appropriation	\$350,000	Made funds available for required IT project.



APPENDIX

Summary of proposed technology projects

In January 2014, the Board was faced with the imminent failure of the Parole Board Management Information System (PBMIS).

The Board has worked with DOC to completely rewrite PBMIS, which will become a product owned by DOC upon completion. They will maintain the system and provide any updates and/or adjustments as needed; it will continue to interface with DOC's Corrections Information System.

The Board and DOC meet monthly to review progress. As of January 2015, the project is on budget and meeting the projected timelines for completion.

This project is only funded for the 2013-15 biennium; there will be no carry-over into 2015-17.



APPENDIX

List of position reclassifications during 2013-15

Position 4000010: Administrative Specialist 1 (C0107A)

Reclassified to Supervising Executive Assistant (X0833);
effective 1/01/2014.



APPENDIX

List of new hires during 2013-15; including step justification

NAME	DATE OF HIRE	CLASSIFICATION & TITLE	STEP	MONTHLY SALARY	JUSTIFICATION
Brenda Carney	07/15/13	Principle Exec Mgr D Exec Director	8	\$6,760	To bring above current wage with previous employer
Fay Stetz-Waters	12/11/13	Correctional Hearings Officer (C1519)	4	\$5,707	To bring above current wage with previous employer
Marron Easley-Holmes	08/28/13	Office Spec 2 (C0104) Warrants Specialist	8	\$3,684	To match as closely as current wage – transfer in from DOC
Sid Thompson	09/18/13	Principle Exec Mgr E Board Member	9	\$9,035	Governor directed pay line exception
Kathleen Wilson	12/16/13	Office Asst II (C0102A) Records Specialist	2	\$2,241	Half time position / job share
Amanda Bennett	12/16/13	Office Asst II (C0102A) Records Specialist	2	\$2,241	Half time position / job share TERMINATED: 4/23/2014
Debbie Wade	01/06/14	Admin Spec II (C0108A) Assessment Specialist	7	\$4,212	To bring above current wage with previous employer – transfer in from DOC
Cindy Rogers	01/06/14	Admin Spec II (C0108A)	2	\$3,357	To bring above current wage with previous employer - TERMINATED: 4/28/2014
Michael Wu	03/03/14	Principle Exec Mgr E Board Member	9	\$8,404	Governor directed pay line exception
Tara Goettsch	05/19/14	Admin Spec II (C0108A) Assessment Specialist	1	\$3,256	
Adam Alexander	06/03/14	Office Asst II (C0102A) Records Specialist	2	\$2,275	Half time position / job share
Del Grady	12/08/14	Office Spec I (C0103A) Receptionist	6	\$2,907	To match as closely as previous wage – AFSCME Layoff – Military Dept



APPENDIX

Ending Balance Form

UPDATED OTHER FUNDS ENDING BALANCES FOR THE 2013-15 & 2015-17 BIENNIA

Agency: 25500 **Board of Parole and Post Prison Supervision**
Contact Person (Name & Phone #): **Leanne Cronin 503-945-0998**

(a)	(b)	(c)	(d)	(e)	(f)		(g)		(h)	(i)
Other Fund Type	Program Area (SCR)	Treasury Fund #/Name	Category/Description	Constitutional and/or Statutory reference	2013-15 Ending Balance		2015-17 Ending Balance		Comments	
					In LAB	Revised	In CSL	Revised		
Limited	013-00-00-00000	3400 Other Funds Ltd	Operations	HB 5035, Chapter 502, Section 2	18,135	28,898	27,027	28,827	From fees, moneys or other revenues, including Miscellaneous Receipts	