

HB 5031
Oregon University System

House Bill 5031 is the budget bill for the Oregon University System. The bill provides funding to support the operations of the System's seven public universities, and its centralized services. This budget also funds the Agricultural Experiment Station, the Extension Service, the Forest Research Laboratory, debt service on bonds issued for capital projects, and the Sports Action Lottery program. Funding for any capital projects is contained in another bill.

General public university support totals \$483 million General Fund, with another \$38 million General Fund for programs with a statewide focus like the Population Research Center at PSU or for programs that cross institutions, like ETIC. Funding for state paid Debt service is \$89.2 million General Fund and \$25.6 million Lottery Funds, for a total of \$114.7 million in state paid debt. This represents a 13% increase over 2011-13 debt service.

General Fund support for the three statewide public service programs, Agricultural Experiment Stations, the Extension Service, and the Forest Research Laboratory, is 6.5% above 2011-13 budget levels. Sports Lottery funding was approved at \$8 million for the biennium, with Oregon State University and the University of Oregon capped at \$1 million each.

Your Education Subcommittee recommends House Bill 5031 be amended and reported out do pass, as amended.

**77th OREGON LEGISLATIVE ASSEMBLY – 2013 Session
BUDGET REPORT AND MEASURE SUMMARY**

JOINT COMMITTEE ON WAYS AND MEANS

MEASURE: HB 5031-A

Carrier – House: Rep. Sprenger

Carrier – Senate: Sen. Monroe

Action: Do Pass as Amended and be Printed A-Engrossed

Vote:

House

Yeas:

Nays:

Exc:

Senate

Yeas:

Nays:

Exc:

Prepared By: Bill McGee, Department of Administrative Services

Reviewed By: Paul Seibert, Legislative Fiscal Office

Meeting Date: June 14, 2013

AGENCY

Oregon University System

BIENNIUM

2013-15

Budget Summary*

	2011-13 Legislatively Approved Budget ⁽¹⁾	2013-15 Current Service Level	2013-15 Committee Recommendation	Committee Change from 2011-13 Leg. Approved	
				\$ Change	% Change
General Fund	\$ 593,761,359	\$ 637,930,468	\$ 634,673,182	\$ 40,911,823	6.9%
General Fund Debt Service	\$ 74,503,194	\$ 77,871,275	\$ 76,601,694	\$ 2,098,500	2.8%
Lottery Funds	\$ 8,592,720	\$ 10,487,956	\$ 8,000,000	\$ (592,720)	-6.9%
Lottery Funds Debt Service	\$ 14,394,033	\$ 28,488,687	\$ 25,571,489	\$ 11,177,456	77.7%
Other Funds	\$ 1,922,938,893	\$ 117,254	\$ 0	\$ (1,922,938,893)	-100.0%
Other Funds Debt Service	\$ 23,885,391	\$ 0	\$ 0	\$ (23,885,391)	-100.0%
Other Funds Capital Improvement	\$ 41,000,000	\$ 0	\$ 0	\$ (41,000,000)	-100.0%
Other Funds Nonlimited	\$ 2,047,150,464	\$ 0	\$ 0	\$ (2,047,150,464)	-100.0%
Other Funds Debt Service Nonlimited	\$ 189,484,675	\$ 0	\$ 0	\$ (189,484,675)	-100.0%
Federal Funds Debt Services Nonlimited	\$ 4,922,075	\$ 671,650	\$ 0	\$ (4,922,075)	-100.0%
Total	\$ 4,920,632,804	\$ 755,567,290	\$ 744,846,365	\$ (4,175,786,439)	-84.9%

Position Summary

Authorized Positions	18,650	0	0	-18,650
Full-time Equivalent (FTE) positions	13,015.02	0.00	0.00	-13,015.02

⁽¹⁾ Includes adjustments through December 2012

* Excludes Capital Construction expenditures

Summary of Revenue Changes

General Fund supports operation of the Oregon University System (OUS) educational, research, and public service programs. It also funds debt service on general obligation bonds, Certificates of Participation, and state energy loans. Lottery revenues are received to pay debt service on Lottery-backed bonds and to support intercollegiate athletics. With the passage of Senate Bill 242, OUS is a public university system rather than a state agency. As a result, General Fund and Lottery Funds are allocated to the Department of Administrative Services for distribution to OUS. Revenues from tuition, federal research grants and scholarship funds, auxiliary enterprises such as housing and dining services, gifts and donations, proceeds from state bonds, and other revenues are no longer included in the state budget and not subject to legislatively-established expenditure limitations.

Summary of Education Subcommittee Action

The Oregon University System includes seven educational institutions, the State Board of Higher Education, central administration, support services, and public services. The institutions consist of the University of Oregon (UO), Oregon State University (OSU), Portland State University (PSU), the three regional universities (Eastern, Western, and Southern Oregon Universities), and the Oregon Institute of Technology. Funding for the three Oregon State University statewide public service programs, the Agricultural Experiment Station, the Extension Service, and the Forest Research Laboratory, is also included in the Department's budget.

The Subcommittee approved an operating budget of \$711,274,876 General Fund and \$33,571,489 Lottery Funds. General Fund and Lottery Funds are increased from the 2011-13 Legislatively Approved Budget (LAB) by 6.4 percent and 46 percent respectively. Total funds are reduced by 84.9 percent as all Other Funds and Federal Funds expenditure authority is eliminated following the OUS' transition from a state agency to a public university system as a result of Senate Bill 242 (2011). Similarly, all positions are eliminated as OUS staff are no longer state employees.

Public University Support Fund

The Public University Support Fund represents the state's General Fund contribution to operation of OUS education, student support, research, and public service programs. Combined with student tuition and other revenues that are not included in the state budget, the funds provide basic support to the educational institutions, the state board, central administration, and support services. Programs with a statewide economic development, natural resource, or other focus are shifted from this budget unit, which was previously named Education and General Services, to the newly created State Programs budget unit.

The Subcommittee approved a budget of \$482,901,512 General Fund. Following the transfer of statewide programs to the State Programs budget unit, remaining funds in the Public University Support Fund are increased by 6.9 percent from the 2011-13 LAB. Package 090 reflects reduced funding included in the Governor's budget, primarily for Chancellor's Office. Package 810 shifts funding for programs with a statewide mission and industry specific focus to the State Programs budget unit.

Agricultural Experiment Station

The Agricultural Experiment Station conducts research and demonstrations in the agricultural, biological, social, and environmental sciences. Research is conducted at a central station at Corvallis and at eleven branch stations in major crop and climate areas of the state.

The Subcommittee approved a budget of \$55,175,282 General Fund, increased by 6.5 percent from the 2011-13 LAB. Package 810 increases General Fund support for the statewide public service programs operated by OSU.

Extension Service

The Extension Service is the educational outreach arm of Oregon State University in its capacity as Oregon's Land Grant and Sea Grant university. Extension faculty on campus and in county offices throughout the state work with researchers and volunteers to develop and deliver non-credit educational programs based on locally identified needs. Generally, counties provide office space and operating expenses, including support staff. Programs are delivered with the assistance of thousands of volunteers.

The Subcommittee approved a budget of \$39,909,526 General Fund, increased by 6.5 percent from the 2011-13 LAB. Package 810 increases General Fund support for the statewide public service programs operated by OSU.

Forest Research Laboratory

The Forest Research Laboratory at Oregon State University conducts research on sustainable forest yields, use of forest products, and stewardship of Oregon's resources. This research is conducted in laboratories and forests administered by the University and cooperative agencies and industries throughout the state. Research results are made available to potential users through educational programs and publications that are directed to forest landowners and managers, manufacturers and users of forest products, leaders of government and industry, the scientific community, the conservation community, and the general public.

The Subcommittee approved a budget of \$6,070,772 General Fund, increased by 6.5 percent from the 2011-13 LAB. Package 810 increases General Fund support for the statewide public service programs operated by OSU.

State Programs

This newly created budget unit includes General Fund support for a variety of institutions, centers, and programs operated by OUS that address economic development, natural resource and other issues rather than providing support for OUS institutions and students. Many of these programs have an industry-specific focus, matching state support with funds from private sector and other sources. Funding for these programs is shifted from the Public University Support Fund budget unit that had historically been named Education and General Services.

The Subcommittee approved a budget of \$38,052,478 General Fund, an increase of 6.5 percent above the level that these programs were funded in the 2011-13 LAB in the former Education and General Services budget unit. Funding for the following programs is included in Package 810:

Program	2013-15 Approved Budget
OUS Engineering Technology and Industry Council (ETIC)	\$29,030,827
UO & PSU Dispute Resolution programs	\$2,435,769
PSU Oregon Solutions program	\$2,185,335
UO, OSU, & PSU Signature Research Centers	\$1,007,335
OUS Oregon Metals Initiative	\$725,136
OUS Industry Partnerships	\$643,049
UO Labor Education Research Center (LERC)	\$576,867
PSU Population Research Center	\$421,407
OSU Natural Resources Institute	\$386,353
UO & Lewis and Clark College Clinical Legal Education program	\$337,557
OSU Climate Change Research Institute	\$302,843

Debt Service

This program includes the cost of debt service on capital construction and information systems projects financed with general obligation bonds, Lottery Bonds, energy loans, and Certificates of Participation. General Fund appropriations are made to pay the Debt Service on Article XI-G bonds, traditionally used to finance instructional and public service facilities, Article XI-Q and COPs approved for some capital and technology projects, and energy loans. Lottery Funds are allocated for debt service on lottery-backed revenue bonds. Debt service on state-issued debt that is entirely paid with tuition and other institutional funds rather than General Fund or Lottery Funds, is not included in the state budget.

The Subcommittee approved a budget of \$89,165,306 General Fund and \$25,571,489 Lottery Funds. General Fund and Lottery Funds are increased from the LAB by 2.7 percent and 77.7 percent respectively. Other Funds and Federal Funds are eliminated due to the shift of OUS from a state agency to a public university system.

Package 810 adjusts state supported debt service payments to reflect debt obligations in the 2013-15 biennium for bonds that have already been sold. The package also eliminated Other Funds and Federal Funds expenditure authority, as OUS is no longer a state agency. Any state supported bonding for 2013-15 to be sold for the benefit of the state's public universities will be included in Senate Bill 5506. If any debt service on approved bond sales is assumed for 2013-15 it will be added in another bill.

Sports Action Lottery

This program unit includes the one percent of Lottery Funds deposited into the Department of Administration Services Economic Development Fund that is transferred to the Sports Action account to finance intercollegiate athletics and graduate student scholarships. Prior to July 2007, the Oregon University System received revenues from the Sports Action lottery game, which was authorized by the 1989 Legislature. House Bill 3466 (2005) eliminated the game and established the one percent transfer.

Eighty-eight percent of the revenues deposited into the Sports Action Account are used to finance intercollegiate athletics. The remaining 12 percent are for graduate student scholarships that are not awarded on the basis of athletics. Of the athletic funds, 70 percent must be used for non-revenue producing sports, and at least 50 percent must be used for women's athletics. The State Board of Higher Education determines allocation among the campuses.

In Package 810, the Subcommittee approved a budget of \$8,000,000 Lottery Funds, reduced from the LAB by 6.9 percent. The package implements a policy decision to cap the Sports Action Lottery distribution to OUS at \$8 million for the 2013-15 biennium, with the University of Oregon and Oregon State University limited to receiving \$1 million each. The remaining \$6 million is to be distributed by the State Board of Higher Education to the other five institutions.

Summary of Performance Measure Action

The Subcommittee approved OUS' performance measures and targets. These recommendations are shown in the Legislatively Adopted 2113-15 Key Performance Measures form attached.

DETAIL OF JOINT COMMITTEE ON WAYS AND MEANS ACTION

HB 5031-A

**Oregon University System
Bill McGee 503-378-2078**

DESCRIPTION	GENERAL FUND	LOTTERY FUNDS	OTHER FUNDS		FEDERAL FUNDS		TOTAL ALL FUNDS	POS	FTE
			LIMITED	NONLIMITED	LIMITED	NONLIMITED			
2011-13 Legislatively Approved Budget at Dec 2012 *	\$ 668,264,553	\$ 22,986,753	\$ 1,987,824,284	\$ 2,236,635,139	\$ 0	\$ 4,922,075	\$ 4,920,632,804	18,650	13,015.02
2013-15 ORBITS printed Current Service Level (CSL)*	\$ 715,801,743	\$ 38,976,643	\$ 117,254	\$ 0	\$ 0	\$ 671,650	\$ 755,567,290	0	0.00
<u>SUBCOMMITTEE ADJUSTMENTS (from CSL)</u>									
SCR 001: Public University System									
Package 090: Analyst Adjustments									
Special Payments: Other Special Payments	\$ (1,513,543)	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ (1,513,543)		
Package 810: LFO Analyst Adjustments									
Special Payments: Other Special Payments	\$ (38,052,478)	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ (38,052,478)		
SCR 002: Agricultural Experiment Station									
Package 810: LFO Analyst Adjustments									
Special Payments: Other Special Payments	\$ 287,930	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 287,930		
SCR 003: Extension Service									
Package 810: LFO Analyst Adjustments									
Special Payments: Other Special Payments	\$ 208,293	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 208,293		
SCR 004: Forest Research Laboratory									
Package 810: LFO Analyst Adjustments									
Special Payments: Other Special Payments	\$ 31,720	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 31,720		
SCR 005: State Programs									
Package 810: LFO Analyst Adjustments									
Special Payments: Other Special Payments	\$ 38,052,478	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 38,052,478		
SCR 013: Debt Service									
Package 810: LFO Analyst Adjustments									
Debt Service	\$ (3,541,267)	\$ (2,917,198)	\$ (117,254)	\$ 0	\$ 0	\$ (671,650)	\$ (7,247,369)		
SCR 016: Sports Action Lottery									
Package 810: LFO Analyst Adjustments									
Special Payments: Other Special Payments	\$ 0	\$ (2,487,956)	\$ 0	\$ 0	\$ 0	\$ 0	\$ (2,487,956)		
TOTAL ADJUSTMENTS	\$ (4,526,867)	\$ (5,405,154)	\$ (117,254)	\$ 0	\$ 0	\$ (671,650)	\$ (10,720,925)	0	0.00
SUBCOMMITTEE RECOMMENDATION *	\$ 711,274,876	\$ 33,571,489	\$ 0	\$ 0	\$ 0	\$ 0	\$ 744,846,365	0	0.00
% Change from 2011-13 Leg Approved Budget	6.4%	46.0%	-100.0%	-100.0%	0.0%	-100.0%	-84.9%		
% Change from 2013-15 Current Service Level	-0.6%	-13.9%	-100.0%	0.0%	0.0%	-100.0%	-1.4%		

*Excludes Capital Construction Expenditures

Legislatively Approved 2013-2015 Key Performance Measures

Agency: OREGON UNIVERSITY SYSTEM

Mission: The Legislative Assembly declares that the mission of all higher education in Oregon is to: 1) Enable students to extend prior educational experiences in order to reach their full potential as participating and contributing citizens by helping them develop scientific, professional, and technological expertise, together with heightened intellectual, cultural, and humane sensitivities and a sense of purpose; 2) Create, collect, evaluate, store, and pass on the body of knowledge necessary to educate future generations; 3) Provide appropriate instructional, research, and public service programs to enrich the cultural life of Oregon and to support and maintain a healthy state economy.

Legislatively Proposed KPMs	Customer Service Category	Agency Request	Most Current Result	Target 2014	Target 2015
1 - COST MINUS GRANT AID AS A PERCENT OF INCOME - Cost of attendance at OUS for a resident undergraduate (tuition & fees, room & board, other expenses) minus grant aid as a percent of median income.		Approved KPM	28.60	28.00	28.00
2 - FIRST-TIME FRESHMEN - Number of entering first-time freshmen.		Approved KPM	11,507.00	11,811.00	11,811.00
3 - COMMUNITY COLLEGE TRANSFERS - Number of students who are new Oregon community college transfers.		Approved KPM	5,125.00	5,125.00	5,125.00
4 - FRESHMAN PARTICIPATION - Oregon freshman participation rate in OUS institutions.		Approved KPM	20.00	20.00	20.00
5 - PERSISTENCE - Percent of full-time freshmen who demonstrate progress by returning for the second year.		Approved KPM	82.00	82.00	82.00
6 - COMPLETION - Percent of full-time freshmen starting and completing a bachelor's degree at an OUS university (6-year graduation rate).		Approved KPM	59.80	60.30	60.30
7 - TRANSFER STUDENT COMPLETION - Percent of college transfers completing a bachelor's degree at an OUS university (4-year graduation rate).		Approved KPM	71.90	71.00	71.00
8 - TIME TO DEGREE - Average time to degree for students entering as full-time freshmen (years).		Approved KPM	4.58	4.50	4.50
9 - TRANSFER STUDENT TIME TO DEGREE - Average time to degree for community college transfers (years).		Approved KPM	2.69	2.60	2.60
10 - GRADUATE SATISFACTION - Average rating of overall quality of experience by recent OUS bachelor's graduates (5-pt scale)		Approved KPM	4.20		4.20
11 - STUDENT/FACULTY RATIO - Ratio of students to full-time faculty.		Approved KPM	26.80	25.00	25.00

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Legislatively Proposed KPMs	Customer Service Category	Agency Request	Most Current Result	Target 2014	Target 2015
12 - INTERNSHIPS - Percent of bachelor's graduates completing an OUS-approved internship.		Approved KPM	90.00		90.00
13 - GRADUATE SUCCESS - Percent of graduates employed and/or continuing education.		Approved KPM	92.00		90.00
14 - EMPLOYED IN OREGON - Percent of employed graduates working in Oregon.		Approved KPM	76.00		80.00
15 - BACHELOR'S DEGREES - Total number of bachelor's degrees granted.		Approved KPM	13,926.00	15,970.00	16,100.00
16 - ADVANCED DEGREES - Total number of advanced degrees granted (master's, doctoral, and professional).		Approved KPM	4,768.00	4,800.00	4,800.00
17 - ENGINEERING AND COMPUTER SCIENCE DEGREES - Total number of degrees granted in engineering and computer sciences (all levels; includes multiple majors).		Approved KPM	1,641.00	1,700.00	1,700.00
18 - SPONSORED RESEARCH - Total sponsored research and development dollars supported by external fund sources (\$ in millions) a) Total, b) Federal sources, c) Private sources.		Approved KPM	418.40	397.00	375.00
19 - RESEARCH DOLLARS PER FACULTY - Sponsored research dollars per faculty at research/doctoral universities - OSU, PSU, UO (\$ in thousands).		Approved KPM	167.80	159.00	151.00
20 - Philanthropy - Total gifts from philanthropic sources (\$ in millions). A) Total, B) Capital Projects, C) Faculty Support (including chairs), D) Scholarships, E) Other.		Approved KPM	128.00	120.00	130.00
21 - STATEWIDE PUBLIC SERVICES EXTERNAL FUNDS - External funds generated per state dollar invested in Statewide Public Services (SWPS).		Approved KPM	2.14	1.95	2.00
22 - CUSTOMER SERVICE - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.		Approved KPM	87.40	87.00	87.00

Agency: OREGON UNIVERSITY SYSTEM

Mission: The Legislative Assembly declares that the mission of all higher education in Oregon is to: 1) Enable students to extend prior educational experiences in order to reach their full potential as participating and contributing citizens by helping them develop scientific, professional, and technological expertise, together with heightened intellectual, cultural, and humane sensitivities and a sense of purpose; 2) Create, collect, evaluate, store, and pass on the body of knowledge necessary to educate future generations; 3) Provide appropriate instructional, research, and public service programs to enrich the cultural life of Oregon and to support and maintain a healthy state economy.

Legislatively Proposed KPMs	Customer Service Category	Agency Request	Most Current Result	Target 2014	Target 2015
22 - CUSTOMER SERVICE - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Accuracy	Approved KPM	84.70	87.00	87.00
22 - CUSTOMER SERVICE - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Availability of Information	Approved KPM	82.80	87.00	87.00
22 - CUSTOMER SERVICE - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Expertise	Approved KPM	90.40	87.00	87.00
22 - CUSTOMER SERVICE - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Helpfulness	Approved KPM	86.40	87.00	87.00
22 - CUSTOMER SERVICE - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Overall	Approved KPM	86.60	87.00	87.00
22 - CUSTOMER SERVICE - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall, timeliness, accuracy, helpfulness, expertise, availability of information.	Timeliness	Approved KPM	86.10	87.00	87.00
23 - Boards and Commissions Best Practices: Percent of total best practices met by the Board.		Approved KPM	100.00	100.00	100.00

LFO Recommendation:

Approve the proposed Key Performance Measures for the Oregon University System.

Sub-Committee Action:

Approved the LFO recommendation

**PROPOSED AMENDMENTS TO
HOUSE BILL 5031**

1 Delete lines 5 through 16 of the printed bill and insert:

2 **“SECTION 1. There are appropriated to the Oregon Department of**
3 **Administrative Services, for use by the Oregon University System, for**
4 **the biennium beginning July 1, 2013, out of the General Fund, the**
5 **following amounts, for the following purposes:**

6 (1) Public university support..... \$482,901,512

7 (2) State programs \$ 38,052,478

8 (3) Agricultural Experiment

9 Station and the branch

10 extension stations of

11 Oregon State University \$ 55,175,282

12 (4) Extension Service of

13 Oregon State University \$ 39,909,526

14 (5) Forest Research Laboratory

15 of Oregon State University..... \$ 6,070,772

16 (6) Debt service on outstanding

17 general obligation bonds

18 sold pursuant to Article XI-G

19 of the Oregon Constitution

20 for the benefit of Oregon

21 public universities..... \$ 61,381,740

22 (7) Debt service on outstanding

1 general obligation bonds
2 sold pursuant to Article XI-Q
3 of the Oregon Constitution
4 for the benefit of Oregon
5 public universities..... \$ 6,832,366
6 (8) Debt service on outstanding
7 certificates of participation
8 sold for the benefit of Oregon
9 public universities..... \$ 8,387,588
10 (9) Repayment of energy loans
11 to the State Department of
12 Energy made to Oregon public
13 universities \$ 12,395,916
14 (10) Debt-related costs..... \$ 167,696

15 **“SECTION 2. Notwithstanding any other law limiting expenditures,**
16 **the amount of \$25,571,489 is established for the biennium beginning**
17 **July 1, 2013, as the maximum limit for payment of expenses from lot-**
18 **tery moneys allocated to the Oregon University System from the Ad-**
19 **ministrative Services Economic Development Fund for debt service on**
20 **lottery bonds sold for the benefit of Oregon public universities.**

21 **“SECTION 3. Notwithstanding any other law limiting expenditures,**
22 **the amount of \$8,000,000 is established for the biennium beginning July**
23 **1, 2013, as the maximum limit for payment of expenses from lottery**
24 **moneys allocated to the Oregon University System from the Sports**
25 **Lottery Account for the benefit of Oregon public universities.**

26 **“SECTION 4. This 2013 Act being necessary for the immediate**
27 **preservation of the public peace, health and safety, an emergency is**
28 **declared to exist, and this 2013 Act takes effect July 1, 2013.”.**

29