

**STATE BOARD OF LICENSED SOCIAL WORKERS
TABLE OF CONTENTS
2013-2015 Governor's Balanced Budget**

INTRODUCTORY INFORMATION

| | |
|--------------------|---|
| Certification..... | i |
|--------------------|---|

LEGISLATIVE ACTION

| | |
|---|------|
| HB 5008 A Report and Summary 76th Joint Ways and Means | ii |
| Detail Joint Committee Action..... | v |
| Legislatively Adopted Performance Measures | vi |
| HB 5009 A Fee Bill Fiscal 76th Joint Ways and Means | viii |
| HB 5009 A Fee Bill Fiscal Impact 76th Joint Ways and Means | ix |

AGENCY SUMMARY

| | |
|---|----|
| List of Board Members, February 2013 | 1 |
| Budget Summary Graphics | 2 |
| - Mission Statement | 3 |
| - Six-Year Plan | 4 |
| - Two-Year Plan | 4 |
| - Major Board Activities | 5 |
| - Environmental Factors | 9 |
| - Agency Initiatives | 10 |
| - Program Description | 11 |
| - Accomplishments | 12 |
| - Current Technological Resources..... | 13 |
| Budget Summary (BDV 104)..... | 15 |
| Agencywide Appropriated Fund Group (BPR001)..... | 21 |
| Agencywide Program Unit Summary (BPR010)... | 26 |
| Program Prioritization | 28 |
| Reduction Options | 29 |
| Organizational Chart, Current (11-13 Legislatively Approved)..... | 30 |
| Organizational Chart, Proposed (13-15 Agency Request)..... | 31 |

REVENUES

| | |
|--|-------|
| Revenue Forecast Narrative..... | 32 |
| Detail of Lottery Funds, Other Funds, & Federal Funds... | 34 |
| Agencywide Revenue Detail (BPR012) | 35 |
| Agencywide Revenues & Disbursements Summary (BPR011) | 36-37 |

PROGRAM UNITS

| | |
|---|-------|
| Policy Package 100- Investigator 2 (Narrative)..... | 38-41 |
|---|-------|

ORBITS Budget Narrative:

| | |
|---|-------|
| - Essential and Policy Package Fiscal Summary (BPR 013).... | 42-49 |
| - Policy Package Fiscal Impact PICS Report (PPDPFISCAL).... | 50 |
| - Detail of LF, OF, and FF Revenues (BPR012)..... | 51 |
| - Program Unit Category Summary (BPR007a) | 52-54 |

SPECIAL REPORTS

| | |
|--|---------|
| Annual Performance Progress Report (APPR) FY 2012..... | 55-72 |
| Affirmative Action Report | 73 |
| Summary Cross Reference Listing and Packages (BSU-003A)..... | 74 |
| Policy Package List by Priority (BSU-004A)..... | 75 |
| Agency Worksheet Revenues and Expenditures (BDV001A)..... | 76-84 |
| Detail Revenues & Expenditures Report (BDV103A)..... | 76-89 |
| Version / Column Comparison Report (ANA100A) | 90-93 |
| Package Comparison Report Detail (ANA101A) | 94-103 |
| PICS Report (PPDPLBUDCL)..... | 104-106 |
| PICS Report (PPDPLAGYCL)..... | 107-108 |
| PICS Report (PPDPLWSBUD)..... | 109-111 |

CERTIFICATION

I hereby certify that the accompanying summary and detailed statements are true and correct to the best of my knowledge and belief and that the accuracy of all numerical information has been verified.

Board of Licensed Social Workers

3218 Pringle Road SE, Suite 240, Salem, Oregon 97302

AGENCY NAME

AGENCY ADDRESS

Mary K. O'Connell
SIGNATURE

Board Member

TITLE

Notice: Requests of those agencies headed by a board or commission must be approved by those bodies of official action and signed by the board or commission chairperson. The requests of other agencies must be approved and signed by the agency director or administrator.

Agency Request

Governor's Recommended

Legislatively Adopted

Budget Page i

76th OREGON LEGISLATIVE ASSEMBLY – 2011 Regular Session
 BUDGET REPORT AND MEASURE SUMMARY

JOINT COMMITTEE ON WAYS AND MEANS

MEASURE: HB 5008-A

Carrier – House: Rep. Komp
 Carrier – Senate: Sen. Edwards

Action: Do Pass as Amended and as Printed A-Engrossed

Vote: 22 – 0 – 3

House – Yeas: Beyer, Buckley, Cowan, Garrard, Komp, Kotek, McLane, Nathanson, Nolan, Richardson, G. Smith, Thatcher, Whisnant
 – Nays:
 – Exc: Freeman

Senate – Yeas: Devlin, Edwards, Girod, Johnson, Monroe, Thomsen, Verger, Whitsett, Winters
 – Nays:
 – Exc: Bates, Nelson

Prepared By: Tamara Brickman, Department of Administrative Services

Reviewed By: Robin LaMonte, Legislative Fiscal Office

Meeting Date: May 6, 2011

Agency

Board of Licensed Social Workers

Budget Page
H-5

LFO Analysis Page
208

Biennium
2011-13

Budget Summary*

| | 2009-11 | 2011-13 | 2011-13 | 2011-13 | Committee Change from | |
|-------------|--------------------------------------|-----------------------|-------------------|-----------------------------|-----------------------------------|----------|
| | Legislatively Approved Budget (1) | Current Service Level | Governor's Budget | Committee Recommendation | 2009-11 Leg Approved \$ change | % change |
| Other Funds | \$ 1,039,435 | \$ 923,450 | \$ 1,256,572 | \$ 1,245,409 | +205,974 | +19.8% |

Position Summary

| | | | | | | |
|--------------------------------------|------|------|------|------|-------|--|
| Authorized Positions | 5 | 4 | 6 | 6 | +1 | |
| Full-time Equivalent (FTE) Positions | 4.00 | 3.25 | 5.45 | 5.45 | +1.45 | |

(1) Includes adjustments through March 2011

* Excludes Capital Construction expenditures

Summary of Revenue Changes

Senate Bill 177 (2009) created two new categories of Social Worker licenses, and made Social Worker licensure mandatory rather than voluntary. The Subcommittee approved House Bill 5009-A which ratified license and renewal fees for the two new Social Worker licenses types created in Senate Bill 177 (2009). HB 5009-A also has an increase in the late fee charged when Social Worker license renewals are submitted late. The late fee increase is not designed to raise revenue but is intended to act as a deterrent to late license renewals. This fee is expected to generate approximately \$1,800. The new license fees are expected to generate approximately \$107,500 in Other Fund revenues.

Summary of Subcommittee Action

The Subcommittee approved a budget for the Board of Clinical Social Workers of \$1,245,409 Other Funds and 5.45 full-time equivalent positions. This is a 19.8 percent increase from the 2009-11 Legislatively Approved Budget.

The Subcommittee approved the following recommendations:

- Package 086, Eliminate Inflation, reduces \$13,066 Other Funds by eliminating standard inflation from the Current Service Level Budget.
- Package 087, Personal Services Adjustments, eliminates \$49,768 Other Funds for a 5.5 percent across-the-board reduction in Personal Services from the Current Service Level budget.



Package 100, Compliance Specialist 2, increases Other Funds (\$147,824) and provides 1.00 full-time equivalent to support the Board's investigations into compliance violations. This package makes a Limited Duration position from the 2009-11 biennium permanent. This position will assist the Board in meeting its Key Performance Measure for timely complaint resolution.

- Package 101, Oregon State Police (OSP) Background Checks, increases Other Funds limitation for the Board (\$22,325 Other Funds) for payments to the OSP for criminal background checks conducted on applicants. The Subcommittee reduced the limitation associated with the Package in a separate LFO Analyst Adjustment Package (see Package 810).
- Package 102, Senate Bill 177 (2009) created two new categories of Social Worker licenses, and made Social Worker licensure mandatory rather than voluntary. This package provides funding (revenue and expenditure limitation) for implementation of Senate Bill 177 (2009) and includes 1 position (1.20 FTE). The revenue associated with this package comes from establishing new fees for two new licenses created by Senate Bill 177 (2009).
- Package 810, LFO Analyst Adjustments, reduces expenditure limitation for OSP background checks by \$11,163 Other Funds, based on the LFO assessment of caseload. The agency may seek a limitation increase from the Emergency Board during the interim should it be necessary.

Summary of Performance Measure Action

See attached Legislatively Adopted 2011-13 Key Performance Measures form.

DETAIL OF JOINT COMMITTEE ON WAYS AND MEANS ACTION

HB 5008-A

Board of Licensed Social Workers
 Tamara Brickman - - (503) 378-4709

| DESCRIPTION | GENERAL FUND | LOTTERY FUNDS | OTHER FUNDS | | FEDERAL FUNDS | | TOTAL ALL FUNDS | POS | FTE |
|---|--------------|---------------|--------------|------------|---------------|------------|-----------------|-------|-------|
| | | | LIMITED | NONLIMITED | LIMITED | NONLIMITED | | | |
| 2009-11 Legislatively Approved Budget at March 2011 * \$ | 0 \$ | 0 \$ | 1,039,435 \$ | 0 \$ | 0 \$ | 0 \$ | 1,039,435 | 5 | 4.00 |
| 2011-13 ORBITS printed Current Service Level (CSL)* \$ | 0 \$ | 0 \$ | 923,450 \$ | 0 \$ | 0 \$ | 0 \$ | 923,450 | 4 | 3.25 |
| 2011-13 Governor's Recommended Budget* \$ | 0 \$ | 0 \$ | 1,256,572 \$ | 0 \$ | 0 \$ | 0 \$ | 1,256,572 | 6 | 5.45 |
| <u>SUBCOMMITTEE ADJUSTMENTS (from GRB)</u> | | | | | | | | | |
| Package 810: LFO Analyst Adjustments Services and Supplies | \$ 0 \$ | 0 \$ | (11,163) \$ | 0 \$ | 0 \$ | 0 \$ | (11,163) | 0 | 0.00 |
| TOTAL ADJUSTMENTS | \$ 0 \$ | 0 \$ | (11,163) \$ | 0 \$ | 0 \$ | 0 \$ | (11,163) | 0 | 0.00 |
| SUBCOMMITTEE RECOMMENDATION * | \$ 0 \$ | 0 \$ | 1,245,409 \$ | 0 \$ | 0 \$ | 0 \$ | 1,245,409 | 6 | 5.45 |
| % Change from 2009-11 Leg Approved Budget | 0.0% | 0.0% | 19.8% | 0.0% | 0.0% | 0.0% | 19.8% | 20.0% | 36.3% |
| % Change from 2011-13 Current Service Level | 0.0% | 0.0% | 34.9% | 0.0% | 0.0% | 0.0% | 34.9% | 50.0% | 67.7% |
| % Change from 2011-13 Gov's Recommended Budget | 0.0% | 0.0% | -0.9% | 0.0% | 0.0% | 0.0% | -0.9% | 0.0% | 0.0% |

*Excludes Capital Construction Expenditures.

✓

Legislatively Proposed 2011-2013 Key Performance Measures

Agency: SOCIAL WORKERS, BOARD OF

Mission: To protect the citizens of Oregon by setting a strong standard of practice and ethics through the regulation of social workers.

Legislatively Proposed KPMs

| Legislatively Proposed KPMs | Customer Service Category | Agency Request | Most Current Result | Target 2012 | Target 2013 |
|---|-----------------------------|----------------|---------------------|-------------|-------------|
| 1 - TIMELY COMPLAINT RESOLUTION - Percent of complaints upon which the Board makes a decision within six months of when the complaint is received in the Board office. | | Approved KPM | 61.00 | 85.00 | 85.00 |
| 2 - CE AUDITS - Percent of license renewal Continuing Education audits that meet the requirement for accredited coursework. | | Approved KPM | 99.90 | 99.00 | 99.00 |
| 4 - CUSTOMER SATISFACTION WITH AGENCY SERVICES - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise, and availability of information. | Accuracy | Approved KPM | 97.30 | 98.00 | 98.00 |
| 4 - CUSTOMER SATISFACTION WITH AGENCY SERVICES - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise, and availability of information. | Availability of Information | Approved KPM | 95.80 | 98.00 | 98.00 |
| 4 - CUSTOMER SATISFACTION WITH AGENCY SERVICES - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise, and availability of information. | Expertise | Approved KPM | 97.00 | 98.00 | 98.00 |
| 4 - CUSTOMER SATISFACTION WITH AGENCY SERVICES - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise, and availability of information. | Helpfulness | Approved KPM | 97.30 | 98.00 | 98.00 |
| 4 - CUSTOMER SATISFACTION WITH AGENCY SERVICES - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise, and availability of information. | Overall | Approved KPM | 97.40 | 98.00 | 98.00 |

Agency: SOCIAL WORKERS, BOARD OF

Mission To protect the citizens of Oregon by setting a strong standard of practice and ethics through the regulation of social workers.

| Legislatively Proposed KPMs | Customer Service Category | Agency Request | Most Current Result | Target 2012 | Target 2013 |
|---|---------------------------|----------------|---------------------|-------------|-------------|
| 4 - CUSTOMER SATISFACTION WITH AGENCY SERVICES - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise, and availability of information. | Timeliness | Approved KPM | 97.00 | 98.00 | 98.00 |
| 5 - BOARD BEST PRACTICES - Percent of total best practices met by the Board. | | Approved KPM | 100.00 | 100.00 | 100.00 |

LFO Recommendation:

Recommend approval of KPM and targets as presented.

Sub-Committee Action:

The Education Subcommittee approved the KPM's as recommended by LFO.

Joint Committee on Ways and Means

Carrier – House: Rep. Komp
Carrier – Senate: Sen. Edwards

Revenue: No revenue impact

Fiscal: Fiscal statement issued

Action: Do Pass as Amended and as Printed A-Engrossed

Vote: 22 – 0 – 3

House

Yeas: Beyer, Buckley, Cowan, Garrard, Komp, Kotek, McLane, Nathanson, Nolan, Richardson,
G. Smith, Thatcher, Whisnant

Nays:

Exc: Freeman

Senate

Yeas: Devlin, Edwards, Girod, Johnson, Monroe, Thomsen, Verger, Whitsett, Winters

Nays:

Exc: Bates, Nelson

Prepared By: Robin LaMonte, Legislative Fiscal Office

Meeting Date: May 6, 2011

WHAT THE MEASURE DOES: Senate Bill 177 (2009) created two new categories of Social Worker licenses, and made Social Worker licensure mandatory rather than voluntary. The bill ratifies license and renewal fees for the two new Social Worker licenses types created in Senate Bill 177 (2009). The bill also has an increase in the late fee charged when Social Worker license renewals are submitted late. The late fee increase is not designed to raise revenue but is intended to act as a deterrent to late license renewals. This fee is expected to generate approximately \$1,800. The new license fees are expected to generate approximately \$107,500 in Other Fund revenues.

ISSUES DISCUSSED:

- The need to establish a deterrent for late renewals.

EFFECT OF COMMITTEE AMENDMENT:

The amendment adjusts the late fee for renewing a Social Worker license from \$330 to \$200.

BACKGROUND: Senate Bill 177 (2009) created two new categories of Social Worker licenses, and made Social Worker licensure mandatory rather than voluntary. House Bill 5009-A ratifies license and renewal fees for the two new Social Worker licenses types created in Senate Bill 177 (2009). The bill also has an increase in the late fee charged when Social Worker license renewals are submitted late.

VIII

BLSW 2013-02-07 04:09

Prepared by: Robin LaMonte
Reviewed by: Robin LaMonte
Date: May 5, 2011

Measure Description:

Approves certain new or increased fees adopted by State Board of Licensed Social Workers.

Government Unit(s) Affected:

Board of Licensed Social Workers

Summary of Revenue Impact

| | 2011-13 Biennium | 2013-15 Biennium |
|--------------------|------------------|------------------|
| Other Funds | 109,300 | 109,300 |
| Total Funds | \$109,300 | \$109,300 |

Local Government Mandate:

This bill does not affect local governments' service levels or shared revenues sufficient to trigger Section 15, Article XI of the Oregon Constitution.

Analysis:

HB 5009 ratifies fees that were approved by the Department of Administrative Services during the 2009-11 biennium. The bill includes new categories of fees for two new license types that were authorized in SB 177 (2009: Baccalaureate Social Worker and Licensed Master's Social Worker. The bill also increases a late fee to \$330. Subsequent to the drafting of this bill, the Board reduced that fee by rule to \$200. The bill has been amended to reflect this change.

The fees in this bill support the SB 177 licensing costs for Baccalaureate Social Worker and Licensed Master's Social Worker that were funded through the Emergency Board in December 2010 and are included in the agency budget.





Oregon State Board of Licensed Social Workers Board Member List / August 2012

| <u>Board Member</u> | <u>Contact Information</u> | <u>Term of Office</u> |
|---|---|---|
| Mark F. Oldham, LCSW, Chair 88293 Lake Side Drive Veneta, OR 97487 | (541) 790-4463 F- (541) 790-4446 mark_oldham@q.com | 7/1/08 – 6/30/12 3 rd Term |
| Carol Copley Zancanella, LCSW, Vice-Chair 63410 Overtree Road Bend, OR 97701-9834 | (541) 706-2944 F- None czancanella@bendbroadband.com | 7/01/10 – 6/30/14 2 nd Term |
| Donna Henderson, LCSW Private Practice Monmouth, OR 97361-5244 | (503) 838-6144 F-None dnnhndrsn@gmail.com | 3/1/12-6/30/15 1 st Term |
| Kathy Outland, LCSW 2695 12 th Place SE Salem, OR 97302 | (503) 363-8075 F-None Kathy24outland@gmail.com | 6/1/12-6/30/15 1 st Term |
| Rachel Jensen (Public Member) Oregon State University College of Public Health & Human Services 474 Waldo Hall Corvallis, OR 97331 | (541) 737-2451 F- None rachel.jensen@oregonstate.edu | 10/01/10-6/30/14 1 st Term |
| Martha Lopez (Public Member) 4763 Sedona Drive Eugene, OR 97404 | (541) 607-0596 F- None martha@uoregon.edu | 4/1/11– 6/30/14 1 st Term |
| Kittee Custer (Public Member) Custer Law Office 125 SE Court, Suite 4 Pendleton, OR 97801 | (541) 276-7139 F- None custerlaw@oregonrail.net | 12/1/11– 6/30/15 1 st Term |

2013-2015 Agency Request Governor's Balanced Legislatively AdoptedBudget Page 1

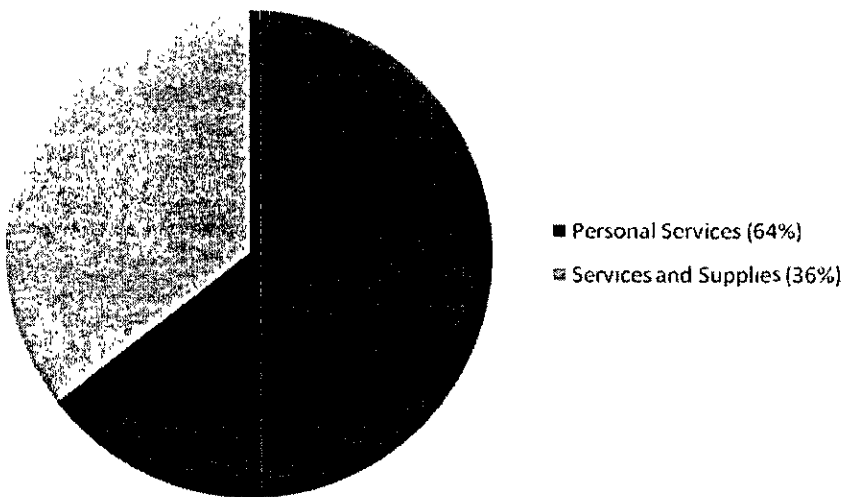
BUDGET NARRATIVE

**STATE BOARD OF LICENSED SOCIAL WORKERS
AGENCY SUMMARY / STATUTORY AUTHORITY:
ORS 675.510 through 675.600. OAR CHAPTER 877**

**(Note: The State Board of Licensed Social Workers is a 100%
Other Funds agency. Funds are generated from fees paid by
applicants and licensees to receive or renew a license and other
regulatory functions.)**

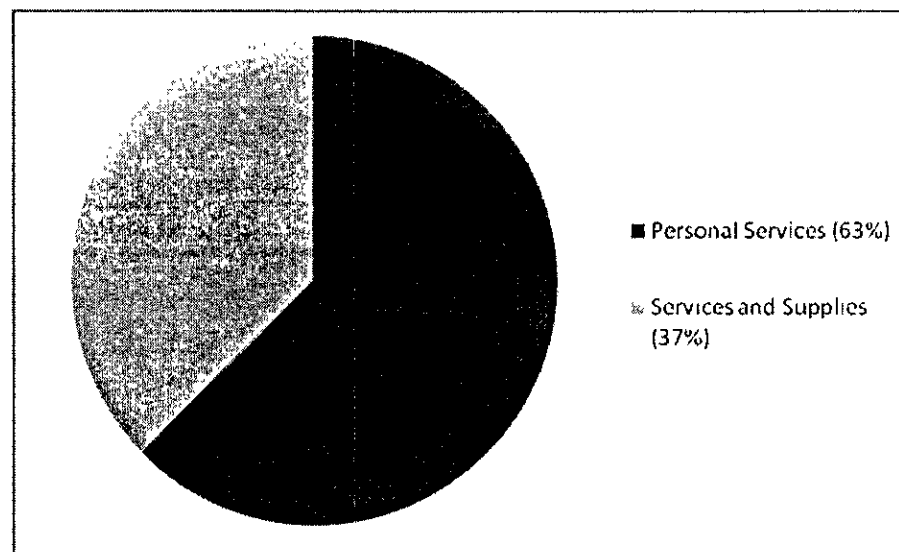
Budget Summary Graphic

**2011 – 2013 Governor’s Balanced Budget
\$1,359,594 --- 6 Positions / 6.0 FTE
(includes 1.0 FTE requested in Policy Package 100)**



Budget Summary Graphic

**2011 – 2013 Legislatively Adopted Budget
\$1,244,968 --- 6 Positions / 5.45 FTE**



Agency Request

Governor's Balanced Budget

Legislatively Adopted

Budget Page 2

BUDGET NARRATIVE

MISSION STATEMENT: The mission of the State Board of Licensed Social Workers is to protect the citizens of Oregon by setting a strong standard of practice and ethics through the regulation of social workers. This is accomplished by the following activities, significantly expanded as of FY 2010-11, implementing Senate Bill 177 (2009), and tied to Policy Package 100:

- Certifying Clinical Social Work Associates (CSWAs)
- Licensing Clinical Social Workers (LCSWs)
- **New:** Certifying Registered Bachelors of Social Work (RBSWs)
Note: This is a new, non-clinical licensing program activity as of January 1, 2011, implementing Senate Bill 177 (2009).
- **New:** Licensing Master's of Social Work (LMSWs)
Note: This is a new, non-clinical licensing program activity as of January 1, 2011, implementing Senate Bill 177 (2009).
- Auditing continuing education reports for renewal of license.
New: This activity expands in 11-13 to include new RBSW and LMSW continuing education licensure renewal audits, implementing Senate Bill 177 (2009).
- **New:** Protecting Oregonians from the unlicensed practice of clinical social work (as of January 1, 2011, implementing Senate Bill 177 (2009). This activity ties to Policy Package 100.
- **New:** Education and enforcement of new title protection for the term "social worker." As of January 1, 2011, only those with a degree in social work and licensed through the Board can use the title "social worker," implementing Senate Bill 177 (2009). This

activity is tied to Policy Package 100.

- Developing and enforcing ethical standards for licensed and certified individuals. **New:** This activity expanded in 2011-13 to include enforcement of ethical standards applicable to RBSW and LMSWs, implementing Senate Bill 177 (2009). This activity is tied to Policy Package 100.
- Disciplining CSWAs and LCSWs who violate the Code of Ethics and ethical standards, Board rules, or state licensing laws by:
 - Entering into Stipulated Agreements, which can incorporate a wide range of requirements and sanctions.
 - Issuing Letters of Reprimand and Emergency Suspensions.
 - Revoking licenses.
 - Conducting Contested Case Hearings.
 - Invoking civil penalties when warranted

New: This activity expands in 11-13 to include disciplining of RBSWs and LMSWs, implementing Senate Bill 177 (2009). This activity is tied to Policy Package 100.

- Working with impaired social workers to ensure safe practice by:
 - Assessing the ability of impaired social workers to safely practice.

New: As of July 1, 2010, the Board no longer operates its own confidential monitoring program for impaired social workers, in accordance with the provisions of HB 2345 (2009). The Board opted not to participate in the consolidated program monitoring program created by HB 2345 under the auspices of DHS, primarily over cost concerns. Therefore, the Board is simultaneously working with the Governor's Office, DHS and other non-participating Boards to see what options exist for

____ Agency Request

___X___ Governor's Balanced Budget

____ Legislatively Adopted

Budget Page ___3___

BUDGET NARRATIVE

cost reductions, while also retooling its compliance program to provide for public disciplinary action when appropriate for licensees found to be impaired to a degree that they are unsafe to practice.

Elimination of Board authority to run its own confidential monitoring program by HB 2345 yielded no savings as un-paid Board members covered most of the time investment in monitoring the small case load. Should a more reasonably-priced confidential monitoring program option emerge that the Board finds a preferable alternative, the Board would need to request expenditure limitation authority above the limitation requested for the ARB 2013-15 requested herein.

AGENCY PLANS:

The Board holds a day and a half planning meeting every six months to develop/refine long-range plans. The following Six-Year and Two-Year Plans are a result of those semi-annual meetings:

- **2011-17 Six-Year Plan**

The Board's six year plan is dominated by passage of Senate Bill 177 in 2009, clearly the most significant expansion of the Board's authority since creation of the Board itself. The Board is continuing to push implementation of additional efficiency measures to manage the significant growth of the agency. The Two-Year Plan below outlines the impact of the bill, and the medium- and near-term related strategic goals. In addition to these near-term strategic goals, the Board has the following additional planning goals as part of its Six-Year Plan:

1. **Improvements to Senate Bill 177 (2009).** Passage of the bill was product of much negotiation and compromise with stakeholders. The Board respects the compromise reflected in the bill, and also plans to engage in continuing conversation with its stakeholders as to if, and when, the time would be right for minor tweaks or larger policy initiatives in arenas not addressed by Senate Bill 177. These arenas range from Board composition changes, to lacking definitions for what constitutes non-clinical social work. Prior to the end of this six-year plan, the Board also intends to consult with stakeholders whether consensus is possible on expanding the mandatory licensure requirement to arenas of non-clinical social work, and if so, in what form.

- **2013-15 Two-Year Plan**

- **Continue Implementation of Senate Bill 177 (2009).** This bill significantly expanded the Board's authority as of January 1, 2011, by mandating licensure for those practicing clinical social work (practice act limited to clinical social work) and adding two new licenses (RBSW certification and LMSW licensure), providing for the first time regulatory authority on a voluntary, title-protected basis in the arena of non-clinical social work. In addition, the bill also provided title protection for the term "social worker." These provisions expanded the Board's licensing and compliance program, and the retooling of Board operations to address this bill is ongoing.
- **Provide for updated definitions for social work scope of practice based on the national model law (HB 2082).** This is an area unaddressed as part of the compromise behind SB 177 (2009). The Board has been working carefully with stakeholders and is requesting consideration of a consensus-

Agency Request

Governor's Balanced Budget

Legislatively Adopted

Budget Page 4

2013-15

Board of Licensed Social Workers / 107BF02

BUDGET NARRATIVE

based agency legislative concept to address this issue. There is no fiscal impact anticipated to this agency or other state or local agencies, because the practice act provisions in ORS 675.523 are not amended by the concept in any way. However, the concept is likely to provide helpful clarity to regulated social workers and their employers.

- **Implement additional efficiencies in the licensure program to manage growth without adding personnel.** The focus in 2011-13 and continuing into 2013-15 will be on implementation of two year licensure for LCSWs, which will allow the Board to manage its rapidly growing licensee base with existing personnel. Specifically, the Board's license base grew by 21% in 2009-11 and appears set to grow another 17% in 2011-13. Implementation of 2-year licensure for LCSWs, slated to begin, under currently proposed rules, in January 2013, with conversion completed by January 2015, is key to allowing existing staff to manage rapidly rising volumes. The Board has already implemented other streamlining initiatives such as on-line licensure renewals and streamlining of CE compliance successfully, and is now benefiting from those efficiencies.
- **Compliance Specialist.** The Board received final legislative approval in the 11-13 budget cycle for permanent funding authority for its only dedicated compliance position. The Board's sole and top priority policy package initiative for this Agency Request Budget is to replace the second, limited duration, half-time investigator position, which expires on June 30, 2013, with a permanently funded Investigator 2 position. This is a critical request to enable the agency to keep up with

the rapidly growing licensee base, the rise in quantity and complexity of cases, and to improve the Board's performance on its Key Performance Measure measuring timely resolution of complaints. The Board has sufficient resources to fund this request for 2013-15, and understands it will need to raise fees in the future to maintain sufficient resources to support this and other activities of the Board.

MAJOR BOARD ACTIVITIES

The following describe some of the major programs of the Board:

- **Consumer Protection**

Consumer protection is one of the primary ways the Board honors its mission of public protection. The Board has delegated authority to investigate complaints and reports of unethical practice to the Consumer Protection Committee (CPC). The CPC is comprised of three Board members (including one public member). The CPC oversees investigations and reviews reports. The investigation function has shifted to the staff level to deal with the continual rise in complaints. The Board initially received authority from the June 2008 Emergency Board, renewed during the 2009 Ways and Means process, to create the Board's sole compliance position to help deal with its case load. The Board received authority to continue this position with permanent funding for 2011-13. A top priority for the Board is policy package 100 to replace the second, limited duration, half-time investigator position, which expires on June 30, 2013, with a permanently funded Investigator 2 position. This is a critical request to enable the agency to keep up with the rapidly growing licensee base, the rise in quantity and complexity

____ Agency Request

___X___ Governor's Balanced Budget

____ Legislatively Adopted

Budget Page ___5___

BUDGET NARRATIVE

of cases, and to improve the Board's performance on its Key Performance Measure measuring timely resolution of complaints.

The CPC, with the help of the Board's Executive Director and Compliance Specialist, also negotiates Stipulated Agreements, and performs other duties that the Board assigns. The CPC reports its findings and makes recommendations to the full Board in Executive Session. After thorough discussion, the Board decides what action to take on each case. The Board's Assistant Attorney General participates in the CPC meeting as well as the Board's Executive Session to provide legal counsel, and represents the Board in any contested cases.

The Board has received a significant increase in the volume and complexity of complaints. The following is a chart listing of the number of complaints received by the Board demonstrating why the Board can no longer run its compliance function without a staff position, and thus why approval of Policy Package 100 is critical:

Complaint Load Growth - Board of Licensed Social Workers

| Biennium | Ethics Violations | Unlicensed Practice Cases | Social Worker Title Violation Cases | Total Case Load | |
|----------|-------------------|---------------------------|-------------------------------------|-----------------|--------|
| 91-93 | 32 | 0 | 0 | 32 | Actual |
| 93-95 | 35 | 0 | 0 | 35 | Actual |
| 95-97 | 37 | 0 | 0 | 37 | Actual |
| 97-99 | 81 | 0 | 0 | 81 | Actual |
| 99-01 | 51 | 0 | 0 | 51 | Actual |
| 2001-03 | 72 | 0 | 0 | 72 | Actual |
| 2003-05 | 41 | 0 | 0 | 41 | Actual |
| 2005-07 | 60 | 0 | 0 | 60 | Actual |
| 2007-09 | 94 | 0 | 0 | 94 | Actual |
| 2009-11 | 110 | 0 | 0 | 110 | Actual |
| 2011-13 | 140 | 10 | 10 | 160 | Proj. |
| 2013-15 | 150 | 15 | 15 | 180 | Proj. |

At current levels, the Board has already received 70 new cases in FY 2012, and the rate of submissions has increased further since. The agency is projecting 160 new cases for the current biennium ending June 30, 2013. This rate would be 45% higher than the record 110 new cases during the 09-11 biennium.

Agency Request

Governor's Balanced Budget

Legislatively Adopted

Budget Page 6

BUDGET NARRATIVE

SB 177 (2009) expanded the Board's compliance program in three ways beginning on January 1, 2011, by:

- 1) Protecting Oregonians from the unlicensed practice of clinical social work. This means that reports of unlicensed clinical practice will be investigated, and – if substantiated – can lead to civil penalties of up to \$5,000 per occurrence.
- 2) Education and enforcement of new title protection for the term "social worker." As of January 1, 2011, only those with a degree in social work and licensed through the Board can use the title "social worker."
- 3) Enforcing ethical standards applicable to RBSW and LMSWs, and issuing discipline and civil penalties against RBSWs and LMSWs as appropriate.

Since the Board's existing complaint case load continues to grow, the Board respectfully requests that an additional, second compliance position be added on a permanent, full-time basis, in Policy Package 100. This would replace the existing limited duration, half-time position that expires on June 30, 2013. If this Policy Package is not approved, the Board's FTE dedicated to compliance would decrease by 0.50 FTE in the next biennium to a single, full-time compliance staff.

Impaired Social Workers: As of July 1, 2010, the Board continues to investigate allegations of impairment, but no longer operates its own confidential monitoring program for impaired social workers, in accordance with the provisions of HB 2345 (2009). Please refer to the narrative on page 3 and 4 for further details.

In addition to these compliance program activities, the Board also operates the following licensing program activities:

- **Certificate of Clinical Social Work Associate (CSWA)**

Persons with an MSW degree who wish to become licensed must be employed in an agency and submit a Plan of Supervision to the Board for approval to become a CSWA. Plans are generally at least two years in length if the Associate is working full-time. The clinical practice must take place in an agency setting and be supervised by an Oregon LCSW. The Board monitors the Plan by reviewing six-month evaluation reports from the CSWA's supervisor. These reports provide the Board a "window" through which the CSWA's work and supervision are monitored and evaluated. This enables the Board to fulfill its responsibility in making sure all requirements are being met and that quality supervision is provided. It also alerts the Board to any potential problems. This process provides properly prepared mental health professionals for the citizens of Oregon.

With passage of SB 177 (2009) the CSWA program has expanded rapidly, and is expected to double in size from FY 2009 levels by the end of the 2011-13 biennium.

- **Licensed Clinical Social Worker (LCSW)**

Once a CSWA completes the Plan of Supervision, she or he is eligible to proceed to take the national exam and become licensed. The national exam for licensure is owned and given by the Association of Social Work Boards (ASWB). The Oregon Board is a delegate member of ASWB. The candidate is also required to complete a self-exam on the Oregon statutes and rules which

Agency Request

Governor's Balanced Budget

Legislatively Adopted

Budget Page 7

BUDGET NARRATIVE

governs LCSWs in the state. A social worker licensed in another jurisdiction who wishes to become licensed in Oregon will receive a license if the other jurisdiction's licensure requirements were equivalent to Oregon's. In some cases, an applicant licensed from another jurisdiction will be required to submit a Plan of Supervision for a period of six months and/or take the written national exam. As of January 1, 2011, Senate Bill 177 (2009) requires that licensure as a LMSW is a pre-requisite for issuance of a LCSW license.

- **NEW: Licensed Master Social Worker (LMSW) and Certification as a Registered Bachelor of Social Work (RBSW)**

The RBSW and LMSW are new license types authorized by Senate Bill 177 (2009), and were implemented as of January 1, 2011. These new license types expanded the Board's licensing program to the non-clinical forms of social work on a voluntary, title-protected basis. The acceptance rate for these new licensing has been excellent. As of August 23, 2012, the Board has enrolled 413 new licensees just in the new license types alone, and has another 34 applications pending for these new options.

In the interest of consumer protection, the Board has taken a proposed policy approach of strongly encouraging non-clinical social workers to become licensed, by keeping fees affordable, and waiving examination requirements for a transition period (grand-parenting period) for two years. The Board's estimates that at least 600 of the estimated 1300 unlicensed, degreed social workers in 2009 would seek licensure before the end of the 2011-13 budget cycle, have been on target.

- **Licensure Renewal / Continuing Education**

Licenses are currently renewed on an annual basis in the Licensee's birth month, and the Board is proposing by rule at this time to switch to two-year licensure, except for its CSWA certifications. An LCSW is required to accumulate and report a total of 40 accredited hours of continuing education related to his or her clinical work every two years using the odd/even license number system for reporting. The continuing education requirement ensures a high quality of professional service to the public. Because the CE hours must be accredited, licensees exercise good judgment in the selection of conferences and workshops to attend.

The switch to 2-year licensure is efficient as it lines up the licensure and CE cycle of each two-year licensee, decreasing by half, for the licensee and Board staff, the instances of renewal processing. The new license options added in 2011 were immediately rolled out as two-year licenses and do not need conversion. These measures are critical to manage growth of the licensee base within existing staff resources.

The Board has streamlined its CE compliance process, and now randomly audits 20% of the CE completion attestation reports each year. The audited licensees are notified that they must submit proof (such as attendance certificates from the conferences and workshops they attended) of their CE compliance. All others can now renew without the need for lengthy paper submissions to the Board staff.

____ Agency Request

___X___ Governor's Balanced Budget

____ Legislatively Adopted

Budget Page ___8___

BUDGET NARRATIVE

ENVIRONMENTAL FACTORS

During the 1997-99 biennium, the Board entered into an Inter-agency Agreement with the Department of Administrative Services (DAS-State Controller's Division/Shared Client Services unit – SCS) to provide accounting and cashiering services. Having all financial back-end services provided by DAS-SCS has ensured a multiple eyes principle and provided superior compliance with applicable financial controls and accounting standards. Customer service from this DAS unit is superb. At the same time, the costs of contracting with DAS for this service has increased significantly over time.

State Government Service Charges (SGSCs) overall are on a rapid rise for the Board. The Board's ARB and GBB budget include a 32% increase in SGSCs for 2013-15 above 2011-13 LAB levels. This is an unsustainable cost development that will be impossible for the agency to continue funding in future biennia without fee increases. The Board has no control over this part of its budget.

The requirement that state agencies use the services of the Office of Administrative Hearings (OAH) for hearing contested cases created a potential for significant additional expenses and delays. The Board has so far been able to settle most cases, and therefore until now the Board has not yet had to bear significant cost burdens from the contested case process. Nevertheless, this is a most unpredictable arena. Simply by means of the Board's licensing base and complaint load growth, the likelihood of one or several expensive contested case proceedings is ever greater. In 2011-13 the Board has now seen the long-feared arrival of significant contested case costs, and may need to seek additional limitation to address that issue.

Further, the issue of contested case costs (Attorney General and Hearings Panel) takes on special significance in this era of major budgetary difficulties for the State of Oregon, and resulting difficult choices for the legislators in budgetary matters. Simply put, an ending balance for a health regulatory board also serves a key purpose beyond cushion for cash flow needs – namely insurance against unexpected, unbudgeted contested case costs, an emergency cushion that can be accessed by approaching the Legislature (or Legislative Emergency Board). A single, complicated contested case can easily cost upwards from \$20,000 alone. The 2010 February Special Session of the Legislature already diverted \$41,000 from this Board to the General Fund. Should the Legislature continue to appropriate to the General Fund moneys paid by social work licensees to operate the Board's programs, this increases the risk that the Board will have insufficient reserves to accommodate a limitation increase request should it face an upswing in contested cases and related costs.

In a related arena, the Board is implementing as required the revisions to the Attorney General Model Rules that went into effect on January 31, 2012, mandatory for any Notices issued by agencies on or after that that end as contested cases. The new rules will have an undetermined but likely higher fiscal impact due to additional procedural steps in the contested case process, thus increasing delays and costs. The most costly proposal, requiring separate counsel from board counsel to represent the agency in contested cases, did not moving forward to adoption in the final version, solely due to fiscal impact considerations, notwithstanding strong substantive support by the Attorney General's leadership team on this issue.

As of July 1, 2010, the Board no longer operates its own confidential monitoring program for impaired social workers, in accordance with the provisions of HB 2345 (2009). The Board opted

Agency Request

Governor's Balanced Budget

Legislatively Adopted

Budget Page 9

BUDGET NARRATIVE

not to participate in the consolidated program monitoring program created by HB 2345 under the auspices of DHS, primarily over cost concerns. Therefore, the Board is simultaneously working with the Governor's Office, DHS and other non-participating Boards to see what cost reductions are possible, while also retooling its compliance program to provide for public disciplinary action when appropriate for licensees found to be impaired to a degree that they are unsafe to practice.

Elimination of Board authority to run its own confidential monitoring program by HB 2345 yielded no savings as un-paid Board members covered most of the time investment in monitoring the small case load. Should a more reasonably-priced confidential monitoring program option emerge that the Board finds a preferable alternative, the Board would need to request expenditure limitation above ARB 13-15 level.

AGENCY INITIATIVES

The Board holds semi-annual planning meetings for strategic planning and to review its goals and progress. This allows Board members to examine current issues as well as anticipate future needs. The primary topics from these planning meetings are reflected on the board's Two- and Six-Year Plan, as presented in this document above. The Board's work is intensely focused on continual improvement in its compliance function, and to continue the work presented by the major expansion of regulatory authority granted by Senate Bill 177 (2009), including but not limited to managing significant licensee base growth.

In addition to these topics, the Board also works on continual improvement and streamlining of its programs. For instance:

- The Board continuously works with its contracted computer consultant to upgrade and enhance its database and web-based capacities to provide additional reporting capabilities and office efficiencies. The most significant upgrade of on-line capabilities that reached full implementation in 2011-13 include new ability to process licensure renewals on-line, which also added new credit and debit payment options. In addition, as of August 2012, the Board has rolled out a new, secure iPad-based communication system for the Board, which allows paperless and secure transmission and viewing of highly confidential investigation materials. This is a critical step forward for information security, with a secondary effect of improving the sustainability of Board operations.
- The Board continues to work with the Association of Social Work Boards (ASWB) to utilize their connection with many jurisdictions as the Board seeks ways to improve its consumer protection efforts, exam test sites, scope of practice interests, and child custody protocols. Oregon has historically had a significant presence in ASWB.
- The Board is presenting testimony on February 8, 2013 on HB 2082, its proposal to supplement the social work regulatory reform bill passed in 2009, Senate Bill 177. The Board has been working with stakeholders very closely to maintain the original framework of, and consensus behind SB 177. This bill would update social work practice definitions, and make slight changes in Board composition to address the changing nature of the Boards regulatory program. The Board's concept has been narrowly drafted to avoid fiscal impacts, and leaves the social work regulatory reforms of SB 177 (2009) intact.

____ Agency Request

___X___ Governor's Balanced Budget

____ Legislatively Adopted

Budget Page ___10___

2013-15

Board of Licensed Social Workers / 107BF02

BUDGET NARRATIVE

- The Board continues to heavily emphasize outreach. The Board's Executive Director presents to every graduating class of MSWs in the state, and is expanding that outreach to BSW programs. These effort are difficult to sustain for an agency this size, but are deemed critical to educate early about licensure, Board rules and laws, and to establish pro-actively a solid understanding of the Board and its regulations. In the view of the Board, these efforts are critical to achieve a truly positive regulatory climate. The Board also routinely reaches out to licensees and unlicensed professionals at the annual conference of the Oregon Chapter of the National Association of Social Workers (NASW).

CRITERIA FOR 2011-13 BUDGET DEVELOPMENT

In developing this budget, the Board's primary concern is meeting its responsibility of consumer protection, while at the same time, providing a full range of services to licensees, associates, and the general public in a timely, efficient, and economical manner.

PROGRAM DESCRIPTION

The Board's primary activities include:

- Setting and implementing policy;
- Establishing and enforcing a strong Code of Ethics;
- Processing and resolving consumer complaints and reports of alleged unprofessional conduct, or impairment;
- Updating licensing and compliance programs to implement Senate Bill 177 (2009), i.e., continuing to implement new licensure programs (RBSW and LMSW) and preparing the

compliance program for unlicensed practice and title protection violation cases

- Processing applications for Associates and Licensees;
- Monitoring CSWAs progress by reviewing Six-month Evaluation Reports from the supervisors;
- Administering the exam process for candidate licensure;
- Issuing annual renewals for Associates and Licensees;
- Auditing Continuing Education reports for compliance to Rules;
- Developing Administrative Rules as needed;
- Conducting public hearings and contested case hearings; and
- Holding monthly Board meetings to conduct Board business.

The Board is entirely supported by Other Funds revenues. These revenues are derived from fees established for application, issuance of initial Certificate or License, annual renewal of Certificates and Licenses, delinquent renewal, and other miscellaneous fees for copying documents, publications, etc.

The Board consists of seven Governor-appointed members. By law, four are LCSW's and three are Public Members. As of August, 2012, the Board has five permanently funded staff: One Program Analyst 1 (Licensing Manager), one Office Specialist 2, one Office Specialist 1, one Senior Compliance Specialist, and the Executive Director. The Board also currently has a part-time limited Investigator 2 position, proposed to be replaced with a permanently funded Investigator 2 position in Policy Package 100.

The Board also occasionally contracts with an Investigator. The Board contracts for IT-related services with a Computer Specialist, who has specialized expertise related to health regulatory licensing boards, and is a shared resource for several health regulatory

Agency Request

Governor's Balanced Budget

Legislatively Adopted

Budget Page 11

BUDGET NARRATIVE

boards. This has been a significant strategic advantage of the Board, because this structure has allowed low-cost implementation of on-line renewals, and allowed the Board to complete rollout in August 2012 of a secure, paperless, iPad-based communication structure with Board members for transmission of confidential investigation information.

ACCOMPLISHMENTS

The Board accomplished its long-standing priority to pass regulatory reform for social workers in the 2009 session, with passage of Senate Bill 177. Since then the Board has been busy with implementation of the bill:

- Expanded sanction authority by the Board by allowing the Board to maintain jurisdiction over revoked licensees.
- Increased civil penalty authority to maximum of \$3000 per violation (\$5,000 title act/unlicensed practice violations).
 - Voluntary registration for non-clinical Bachelor-level Social Workers (RBSWs), effective January 1, 2011.
 - Voluntary licensure for non-clinical Masters-level social workers (LMSWs), effective January 1, 2011.
 - Implemented application fees and licensure/registration renewal fees for RBSWs and LMSWs (fee bill passed in the 2011 Legislature to approve fees for the new options).
 - Mandatory licensure for those practicing clinical social work only, effective January 1, 2011.
 - Protection of the title “social worker” by limiting its use to those regulated by the Board (sanction authority for violations begins January 1, 2011).
 - Board name change to “Board of Licensed Social Workers.”

Currently, the Board is working on a narrow successor legislative concept to fill in some regulatory areas unaddressed by SB 177, without changing the framework of SB 177.

Additional accomplishments of the Board include:

The Board’s first staff person to assist in the compliance function joined the agency in late October 2008. The Board’s Executive Director, the Compliance Specialist, the Board’s Consumer Protection Committee and Board counsel are working very closely together to reshape and improve the Board’s compliance function to meet a difficult challenge in the volume and complexity of complaints. After a staff transition in August 2009, which left the position unfilled until late October 2009, the volume of cases concluded has increased dramatically, but not sufficient to eliminate the backlog of cases due to the on-going rise in new complaint cases.. As such, while this is an arena of accomplishment, it also remains the arena of greatest challenge for the Board and its Director. The Board received final approval for a permanent compliance position as of July 1, 2011, and had a very successful recruitment. The Board has worked with an additional half time position to support compliance since then as well, on a limited duration basis. The Board is well on its way to improving this function, but challenges still remain with respect to timely completion and adequate resources due to continual rise in demand. This is connected to the Board’s priority request in Policy Package 100.

Staff continually works with the computer consultant to upgrade the data base functions to increase tracking and reporting capabilities, as well as overall office efficiencies. The “Directory of Regulated Social Workers” is on the Board’s web site, which has dramatically

____ Agency Request

___X___ Governor’s Balanced Budget

____ Legislatively Adopted

Budget Page ___12___

2013-15

Board of Licensed Social Workers / 107BF02

BUDGET NARRATIVE

reduced the number of requests for verification of licenses, and enables consumers direct access to see if a person is licensed by the Board and whether or not they have had any disciplinary actions. The directory automatically updates hourly, and provides the full text of any disciplinary action. In addition, a historical disciplinary action document is continually updated and posted on the Board's web site. This enables credentialing agencies to receive information they need immediately.

In 2011-13 The Board has implemented on-line renewals successfully, and with that option added ability to pay by credit cards for renewal.

In addition, the Board just completed rollout in August 2012 of a secure, paperless, iPad-based communication structure with Board members for transmission of confidential investigation information.

The Board office now has current e-mail addresses more than two-thirds of the licensee base. This enables the Board to send out newsletters and other information to half of the licensee base through electronic mail. This saves the Board supplies, time, and money.

The Board hired a new Executive Director in September 2008, who brought prior health regulatory executive experience, knowledge of state government and the Legislature. The new Director has focused on transformation of the Board's compliance function, and played a key role in passage of social work regulatory reform (SB 177-2009). Since then, the Director has focused on smooth implementation, managing the strong growth of the agency, implementing on-line renewals, and development of a SB 177 successor legislative concept that – pending approval from the Governor – would carefully address

some regulatory aspects that should have been addressed in SB 177 (2009) but could not be at the time.

CURRENT TECHNOLOGICAL RESOURCES

- The Board contracts with a professional IT consultant with many years of experience working with similar boards. This allows the Board to have a professional IT environment, appropriately tailored to a small agency's needs, and leveraging the similarity of some programming needs across agencies into a lower-cost structure. A key initiative that has been implemented now is on-line renewals. This initiative shares development costs with other Boards by sharing the work of the same IT consultant.
- The Board has implemented, as of August 2012, a secure, paperless, iPad-based communication structure with Board members for transmission of confidential materials.
- The Board continues to add functions to its database, thus improving its ability to provide the most up-to-date information to the licensees and the public.
- There are six computers in the Board office. Generally, two-three of these are replaced each biennium. The various software packages utilized by the Board are continually updated as needed.
- The Board has added the basic capacity to transition its database to a web-enabled software, with transition to begin in FY 2013 and continuing into the 2013-15 biennium. This is a critical effort to streamline office operations and move toward an electronic records management model and paperless office administration over time.

Agency Request

Governor's Balanced Budget

Legislatively Adopted

Budget Page 13

BUDGET NARRATIVE

- The Board replaced its computer network server in June 2009, and maintained the old server as part of its network. This allowed the Board to create redundancy to enable its Business Continuity Plan, while creating infrastructure to support a move toward electronic document storage to be implemented over the next two biennia.
- The Board shares equipment with other State Boards located in the same building, which produces savings for the Boards.

Agency Request

Governor's Balanced Budget

Legislatively Adopted

Budget Page 14

2013-15)

Board of Licensed Social Workers / 107BF02)

Summary of 2013-15 Biennium Budget

Licensed Social Workers, Board of
Clinical Social Workers, Board of
2013-15 Biennium

Governor's Budget
Cross Reference Number: 12400-000-00-00-00000

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|--|-----------|----------------------------------|------------------|--------------|------------------|------------------|------------------|---------------------------|--------------------------------|
| 2011-13 Leg Adopted Budget | 6 | 5.45 | 1,244,968 | - | - | 1,244,968 | - | - | - |
| 2011-13 Emergency Boards | - | - | - | - | - | - | - | - | - |
| 2011-13 Leg Approved Budget | 6 | 5.45 | 1,244,968 | - | - | 1,244,968 | - | - | - |
| 2013-15 Base Budget Adjustments | | | | | | | | | |
| Net Cost of Position Actions | | | | | | | | | |
| Administrative Biennialized E-Board, Phase-Out | (1) | (0.45) | (27,659) | - | - | (27,659) | - | - | - |
| Estimated Cost of Merit Increase | - | - | - | - | - | - | - | - | - |
| Base Debt Service Adjustment | - | - | - | - | - | - | - | - | - |
| Base Nonlimited Adjustment | - | - | - | - | - | - | - | - | - |
| Capital Construction | - | - | - | - | - | - | - | - | - |
| Subtotal 2013-15 Base Budget | 5 | 5.00 | 1,217,309 | - | - | 1,217,309 | - | - | - |
| Essential Packages | | | | | | | | | |
| 010 - Non-PICS Pers Svc/Vacancy Factor | | | | | | | | | |
| Non-PICS Personal Service Increase/(Decrease) | - | - | 8,263 | - | - | 8,263 | - | - | - |
| Subtotal | - | - | 8,263 | - | - | 8,263 | - | - | - |
| 020 - Phase In / Out Pgm & One-time Cost | | | | | | | | | |
| 021 - Phase-in | - | - | - | - | - | - | - | - | - |
| 022 - Phase-out Pgm & One-time Costs | - | - | - | - | - | - | - | - | - |
| Subtotal | - | - | - | - | - | - | - | - | - |
| 030 - Inflation & Price List Adjustments | | | | | | | | | |
| Cost of Goods & Services Increase/(Decrease) | - | - | 21,886 | - | - | 21,886 | - | - | - |
| State Gov't & Services Charges Increase/(Decrease) | - | - | 13,058 | - | - | 13,058 | - | - | - |
| Subtotal | - | - | 34,944 | - | - | 34,944 | - | - | - |

Summary of 2013-15 Biennium Budget

Licensed Social Workers, Board of
 Clinical Social Workers, Board of
 2013-15 Biennium

Governor's Budget
 Cross Reference Number: 12400-000-00-00-00000

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|--|-----------|----------------------------|------------------|--------------|---------------|------------------|---------------|------------------------|--------------------------|
| 040 - Mandated Caseload | | | | | | | | | |
| 040 - Mandated Caseload | - | - | - | - | - | - | - | - | - |
| 050 - Fundshifts and Revenue Reductions | | | | | | | | | |
| 050 - Fundshifts | - | - | - | - | - | - | - | - | - |
| 060 - Technical Adjustments | | | | | | | | | |
| 060 - Technical Adjustments | - | - | - | - | - | - | - | - | - |
| Subtotal: 2013-15 Current Service Level | 5 | 5.00 | 1,260,516 | - | - | 1,260,516 | - | - | - |

16)

Summary of 2013-15 Biennium Budget

Licensed Social Workers, Board of
 Clinical Social Workers, Board of
 2013-15 Biennium

Governor's Budget
 Cross Reference Number: 12400-000-00-00-00000

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|--|-----------|----------------------------|------------------|--------------|---------------|------------------|---------------|------------------------|--------------------------|
| Subtotal: 2013-15 Current Service Level | 5 | 5.00 | 1,260,516 | - | - | 1,260,516 | - | - | - |
| 070 - Revenue Reductions/Shortfall | | | | | | | | | |
| 070 - Revenue Shortfalls | - | - | - | - | - | - | - | - | - |
| Modified 2013-15 Current Service Level | 5 | 5.00 | 1,260,516 | - | - | 1,260,516 | - | - | - |
| 080 - E-Boards | | | | | | | | | |
| 081 - May 2012 E-Board | - | - | - | - | - | - | - | - | - |
| 082 - September 2012 E-Board | - | - | - | - | - | - | - | - | - |
| 083 - December 2012 E-Board | - | - | - | - | - | - | - | - | - |
| Subtotal Emergency Board Packages | - | - | - | - | - | - | - | - | - |
| Policy Packages | | | | | | | | | |
| 090 - Analyst Adjustments | - | - | - | - | - | - | - | - | - |
| 091 - Statewide Administrative Savings | - | - | (1,677) | - | - | (1,677) | - | - | - |
| 092 - PERS Taxation Policy | - | - | (2,102) | - | - | (2,102) | - | - | - |
| 093 - Other PERS Adjustments | - | - | (16,793) | - | - | (16,793) | - | - | - |
| 100 - Investigator 2 | 1 | 1.00 | 119,150 | - | - | 119,150 | - | - | - |
| Subtotal Policy Packages | 1 | 1.00 | 98,578 | - | - | 98,578 | - | - | - |
| Total 2013-15 Governor's Budget | 6 | 6.00 | 1,359,094 | - | - | 1,359,094 | - | - | - |
| Percentage Change From 2011-13 Leg Approved Budget | - | 10.10% | 9.20% | - | - | 9.20% | - | - | - |
| Percentage Change From 2013-15 Current Service Level | 20.00% | 20.00% | 7.80% | - | - | 7.80% | - | - | - |

Summary of 2013-15 Biennium Budget

Licensed Social Workers, Board of
Operations
2013-15 Biennium

Governor's Budget
Cross Reference Number: 12400-001-00-00-00000

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|--|-----------|----------------------------------|------------------|--------------|------------------|------------------|------------------|---------------------------|--------------------------------|
| 2011-13 Leg Adopted Budget | 6 | 5.45 | 1,244,968 | - | - | 1,244,968 | - | - | - |
| 2011-13 Emergency Boards | - | - | - | - | - | - | - | - | - |
| 2011-13 Leg Approved Budget | 6 | 5.45 | 1,244,968 | - | - | 1,244,968 | - | - | - |
| 2013-15 Base Budget Adjustments | | | | | | | | | |
| Net Cost of Position Actions | | | | | | | | | |
| Administrative Biennialized E-Board, Phase-Out | (1) | (0.45) | (27,659) | - | - | (27,659) | - | - | - |
| Estimated Cost of Merit Increase | - | - | - | - | - | - | - | - | - |
| Base Debt Service Adjustment | - | - | - | - | - | - | - | - | - |
| Base Nonlimited Adjustment | - | - | - | - | - | - | - | - | - |
| Capital Construction | - | - | - | - | - | - | - | - | - |
| Subtotal 2013-15 Base Budget | 5 | 5.00 | 1,217,309 | - | - | 1,217,309 | - | - | - |
| Essential Packages | | | | | | | | | |
| 010 - Non-PICS Pers Svc/Vacancy Factor | | | | | | | | | |
| Non-PICS Personal Service Increase/(Decrease) | - | - | 8,263 | - | - | 8,263 | - | - | - |
| Subtotal | - | - | 8,263 | - | - | 8,263 | - | - | - |
| 020 - Phase In / Out Pgm & One-time Cost | | | | | | | | | |
| 021 - Phase-in | - | - | - | - | - | - | - | - | - |
| 022 - Phase-out Pgm & One-time Costs | - | - | - | - | - | - | - | - | - |
| Subtotal | - | - | - | - | - | - | - | - | - |
| 030 - Inflation & Price List Adjustments | | | | | | | | | |
| Cost of Goods & Services Increase/(Decrease) | - | - | 21,886 | - | - | 21,886 | - | - | - |
| State Gov't & Services Charges Increase/(Decrease) | - | - | 13,058 | - | - | 13,058 | - | - | - |
| Subtotal | - | - | 34,944 | - | - | 34,944 | - | - | - |

18

Summary of 2013-15 Biennium Budget

Licensed Social Workers, Board of
Operations
2013-15 Biennium

Governor's Budget
Cross Reference Number: 12400-001-00-00-00000

| <i>Description</i> | <i>Positions</i> | <i>Full-Time Equivalent (FTE)</i> | <i>ALL FUNDS</i> | <i>General Fund</i> | <i>Lottery Funds</i> | <i>Other Funds</i> | <i>Federal Funds</i> | <i>Nonlimited Other Funds</i> | <i>Nonlimited Federal Funds</i> |
|--|------------------|---|------------------|---------------------|--------------------------|--------------------|--------------------------|-----------------------------------|---|
| 040 - Mandated Caseload | | | | | | | | | |
| 040 - Mandated Caseload | - | - | - | - | - | - | - | - | - |
| 050 - Fundshifts and Revenue Reductions | | | | | | | | | |
| 050 - Fundshifts | - | - | - | - | - | - | - | - | - |
| 060 - Technical Adjustments | | | | | | | | | |
| 060 - Technical Adjustments | - | - | - | - | - | - | - | - | - |
| Subtotal: 2013-15 Current Service Level | 5 | 5.00 | 1,260,516 | - | - | 1,260,516 | - | - | - |

19

Summary of 2013-15 Biennium Budget

Licensed Social Workers, Board of
Operations
2013-15 Biennium

Governor's Budget
Cross Reference Number: 12400-001-00-00-00000

| Description | Positions | Full-Time Equivalent (FTE) | ALL FUNDS | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds |
|--|-----------|----------------------------------|------------------|--------------|------------------|------------------|------------------|---------------------------|--------------------------------|
| Subtotal: 2013-15 Current Service Level | 5 | 5.00 | 1,260,516 | - | - | 1,260,516 | - | - | - |
| 070 - Revenue Reductions/Shortfall | | | | | | | | | |
| 070 - Revenue Shortfalls | - | - | - | - | - | - | - | - | - |
| Modified 2013-15 Current Service Level | 5 | 5.00 | 1,260,516 | - | - | 1,260,516 | - | - | - |
| 080 - E-Boards | | | | | | | | | |
| 081 - May 2012 E-Board | - | - | - | - | - | - | - | - | - |
| 082 - September 2012 E-Board | - | - | - | - | - | - | - | - | - |
| 083 - December 2012 E-Board | - | - | - | - | - | - | - | - | - |
| Subtotal Emergency Board Packages | - | - | - | - | - | - | - | - | - |
| Policy Packages | | | | | | | | | |
| 090 - Analyst Adjustments | - | - | - | - | - | - | - | - | - |
| 091 - Statewide Administrative Savings | - | - | (1,677) | - | - | (1,677) | - | - | - |
| 092 - PERS Taxation Policy | - | - | (2,102) | - | - | (2,102) | - | - | - |
| 093 - Other PERS Adjustments | - | - | (16,793) | - | - | (16,793) | - | - | - |
| 100 - Investigator 2 | 1 | 1.00 | 119,150 | - | - | 119,150 | - | - | - |
| Subtotal Policy Packages | 1 | 1.00 | 98,578 | - | - | 98,578 | - | - | - |
| Total 2013-15 Governor's Budget | 6 | 6.00 | 1,359,094 | - | - | 1,359,094 | - | - | - |
| Percentage Change From 2011-13 Leg Approved Budget | - | 10.10% | 9.20% | - | - | 9.20% | - | - | - |
| Percentage Change From 2013-15 Current Service Level | 20.00% | 20.00% | 7.80% | - | - | 7.80% | - | - | - |

20

Licensed Social Workers, Board of

Agency Number: 12400

Agencywide Appropriated Fund Group
2013-15 Biennium

Version: Y - 01 - Governor's Budget

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|--|-----------------|----------------------------------|-----------------------------------|-------------------------------------|---------------------------------|----------------------------------|
| LIMITED BUDGET (Excluding Packages) | | | | | | |
| Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,220,314 | 1,217,309 | - |
| AUTHORIZED POSITIONS | 5 | 6 | 6 | 5 | 5 | - |
| AUTHORIZED FTE | 4.18 | 5.45 | 5.45 | 5.00 | 5.00 | - |
| LIMITED BUDGET (Essential Packages) | | | | | | |
| 010-NON-PICS PSNL SVC / VACANCY FACTOR | | | | | | |
| Other Funds | - | - | - | 8,263 | 8,263 | - |
| 031-STANDARD INFLATION | | | | | | |
| Other Funds | - | - | - | 45,714 | 34,944 | - |
| TOTAL LIMITED BUDGET (Essential Packages) | | | | | | |
| Other Funds | - | - | - | 53,977 | 43,207 | - |
| LIMITED BUDGET (Current Service Level) | | | | | | |
| Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,274,291 | 1,260,516 | - |
| AUTHORIZED POSITIONS | 5 | 6 | 6 | 5 | 5 | - |
| AUTHORIZED FTE | 4.18 | 5.45 | 5.45 | 5.00 | 5.00 | - |
| LIMITED BUDGET (Policy Packages) | | | | | | |
| 091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 001-00-00-00000 | | | | | | |
| Other Funds | - | - | - | - | (1,677) | - |
| 092-PERS TAXATION POLICY- RANK 0 - 001-00-00-00000 | | | | | | |
| Other Funds | - | - | - | - | (2,102) | - |
| 093-OTHER PERS ADJUSTMENTS- RANK 0 - 001-00-00-00000 | | | | | | |
| Other Funds | - | - | - | - | (16,793) | - |
| 100-INVESTIGATOR 2- RANK 0 - 001-00-00-00000 | | | | | | |
| Other Funds | - | - | - | 119,609 | 119,150 | - |

 Agency Request
2013-15 Biennium

 Governor's Budget
Page 21
 Legislatively Adopted
Agencywide Appropriated Fund Group - BPR001

Licensed Social Workers, Board of

Agency Number: 12400

**Agencywide Appropriated Fund Group
2013-15 Biennium**

Version: Y - 01 - Governor's Budget

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|--|-----------------|----------------------------|-----------------------------|-------------------------------|---------------------------|----------------------------|
| Authorized Positions | - | - | - | 1 | 1 | - |
| Authorized FTE | - | - | - | 1.00 | 1.00 | - |
| TOTAL LIMITED BUDGET (Policy Packages) | | | | | | |
| Other Funds | - | - | - | 119,609 | 98,578 | - |
| AUTHORIZED POSITIONS | - | - | - | 1 | 1 | - |
| AUTHORIZED FTE | - | - | - | 1.00 | 1.00 | - |
| TOTAL LIMITED BUDGET (Including Packages) | | | | | | |
| Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,393,900 | 1,359,094 | - |
| AUTHORIZED POSITIONS | 5 | 6 | 6 | 6 | 6 | - |
| AUTHORIZED FTE | 4.18 | 5.45 | 5.45 | 6.00 | 6.00 | - |
| OPERATING BUDGET (Excluding Packages) | | | | | | |
| Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,220,314 | 1,217,309 | - |
| AUTHORIZED POSITIONS | 5 | 6 | 6 | 5 | 5 | - |
| AUTHORIZED FTE | 4.18 | 5.45 | 5.45 | 5.00 | 5.00 | - |
| OPERATING BUDGET (Essential Packages) | | | | | | |
| 010-NON-PICS PSNL SVC / VACANCY FACTOR | | | | | | |
| Other Funds | - | - | - | 8,263 | 8,263 | - |
| 031-STANDARD INFLATION | | | | | | |
| Other Funds | - | - | - | 45,714 | 34,944 | - |
| TOTAL OPERATING BUDGET (Essential Packages) | | | | | | |
| Other Funds | - | - | - | 53,977 | 43,207 | - |
| OPERATING BUDGET (Current Service Level) | | | | | | |
| Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,274,291 | 1,260,516 | - |
| AUTHORIZED POSITIONS | 5 | 6 | 6 | 5 | 5 | - |

___ Agency Request
2013-15 Biennium

Governor's Budget
Page 22

___ Legislatively Adopted
Agencywide Appropriated Fund Group - BPR001

Licensed Social Workers, Board of

Agency Number: 12400

**Agencywide Appropriated Fund Group
2013-15 Biennium**

Version: Y - 01 - Governor's Budget

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|--|-----------------|----------------------------|-----------------------------|-------------------------------|---------------------------|----------------------------|
| AUTHORIZED FTE | 4.18 | 5.45 | 5.45 | 5.00 | 5.00 | - |
| OPERATING BUDGET (Policy Packages) | | | | | | |
| 091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 001-00-00-00000 | | | | | | |
| Other Funds | - | - | - | - | (1,677) | - |
| 092-PERS TAXATION POLICY- RANK 0 - 001-00-00-00000 | | | | | | |
| Other Funds | - | - | - | - | (2,102) | - |
| 093-OTHER PERS ADJUSTMENTS- RANK 0 - 001-00-00-00000 | | | | | | |
| Other Funds | - | - | - | - | (16,793) | - |
| 100-INVESTIGATOR 2- RANK 0 - 001-00-00-00000 | | | | | | |
| Other Funds | - | - | - | 119,609 | 119,150 | - |
| Authorized Positions | - | - | - | 1 | 1 | - |
| Authorized FTE | - | - | - | 1.00 | 1.00 | - |
| TOTAL OPERATING BUDGET (Policy Packages) | | | | | | |
| Other Funds | - | - | - | 119,609 | 98,578 | - |
| AUTHORIZED POSITIONS | - | - | - | 1 | 1 | - |
| AUTHORIZED FTE | - | - | - | 1.00 | 1.00 | - |
| TOTAL OPERATING BUDGET (Including Packages) | | | | | | |
| Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,393,900 | 1,359,094 | - |
| AUTHORIZED POSITIONS | 5 | 6 | 6 | 6 | 6 | - |
| AUTHORIZED FTE | 4.18 | 5.45 | 5.45 | 6.00 | 6.00 | - |
| TOTAL BUDGET (Excluding Packages) | | | | | | |
| Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,220,314 | 1,217,309 | - |
| AUTHORIZED POSITIONS | 5 | 6 | 6 | 5 | 5 | - |
| AUTHORIZED FTE | 4.18 | 5.45 | 5.45 | 5.00 | 5.00 | - |

Licensed Social Workers, Board of

Agency Number: 12400

**Agencywide Appropriated Fund Group
2013-15 Biennium**

Version: Y - 01 - Governor's Budget

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|--|-----------------|----------------------------|-----------------------------|-------------------------------|---------------------------|----------------------------|
| TOTAL BUDGET (Essential Packages) | | | | | | |
| 010-NON-PICS PSNL SVC / VACANCY FACTOR | | | | | | |
| Other Funds | - | - | - | 8,263 | 8,263 | - |
| 031-STANDARD INFLATION | | | | | | |
| Other Funds | - | - | - | 45,714 | 34,944 | - |
| TOTAL BUDGET (Essential Packages) | | | | | | |
| Other Funds | - | - | - | 53,977 | 43,207 | - |
| TOTAL BUDGET (Current Service Level) | | | | | | |
| Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,274,291 | 1,260,516 | - |
| AUTHORIZED POSITIONS | 5 | 6 | 6 | 5 | 5 | - |
| AUTHORIZED FTE | 4.18 | 5.45 | 5.45 | 5.00 | 5.00 | - |
| TOTAL BUDGET (Policy Packages) | | | | | | |
| 091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 001-00-00-00000 | | | | | | |
| Other Funds | - | - | - | - | (1,677) | - |
| 092-PERS TAXATION POLICY- RANK 0 - 001-00-00-00000 | | | | | | |
| Other Funds | - | - | - | - | (2,102) | - |
| 093-OTHER PERS ADJUSTMENTS- RANK 0 - 001-00-00-00000 | | | | | | |
| Other Funds | - | - | - | - | (16,793) | - |
| 100-INVESTIGATOR 2- RANK 0 - 001-00-00-00000 | | | | | | |
| Other Funds | - | - | - | 119,609 | 119,150 | - |
| Authorized Positions | - | - | - | 1 | 1 | - |
| Authorized FTE | - | - | - | 1.00 | 1.00 | - |
| TOTAL BUDGET (Policy Packages) | | | | | | |
| Other Funds | - | - | - | 119,609 | 98,578 | - |

Agency Request
2013-15 Biennium

Governor's Budget
Page 24

Legislatively Adopted
Agencywide Appropriated Fund Group - BPR001

Licensed Social Workers, Board of

Agency Number: 12400

Agencywide Appropriated Fund Group
2013-15 Biennium

Version: Y - 01 - Governor's Budget

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|--|-----------------|----------------------------|-----------------------------|-------------------------------|---------------------------|----------------------------|
| AUTHORIZED POSITIONS | - | - | - | 1 | 1 | - |
| AUTHORIZED FTE | - | - | - | 1.00 | 1.00 | - |
| TOTAL BUDGET (Including Packages) | | | | | | |
| Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,393,900 | 1,359,094 | - |
| AUTHORIZED POSITIONS | 5 | 6 | 6 | 6 | 6 | - |
| AUTHORIZED FTE | 4.18 | 5.45 | 5.45 | 6.00 | 6.00 | - |

Licensed Social Workers, Board of

Agency Number: 12400

**Agencywide Program Unit Summary
2013-15 Biennium**

Version: Y - 01 - Governor's Budget

| Summary Cross Reference Number | Cross Reference Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|---|------------------------------------|----------------------------|---|--|--|--|---|
| 001-00-00-00000 | Operations | | | | | | |
| | Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,393,900 | 1,359,094 | - |
| TOTAL AGENCY | | | | | | | |
| | Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,393,900 | 1,359,094 | - |

Licensed Social Workers, Board of

Agency Number: 12400

**Agencywide Program Unit Summary
2013-15 Biennium**

Version: V - 01 - Agency Request Budget

| Summary Cross Reference Number | Cross Reference Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Rec. Budget | 2013-15 Leg Adopted Budget |
|---|------------------------------------|----------------------------|---|--|--|---|---|
| 001-00-00-00000 | Operations | | | | | | |
| | Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,393,900 | - | - |
| TOTAL AGENCY | | | | | | | |
| | Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,393,900 | - | - |

PROGRAM PRIORITIZATION FOR 2013-15 ARB

Agency Name: Oregon State Board of Licensed Social Workers
2013-15 Biennium

Agency Number: 12400

| Agency-Wide Priorities for 2013-15 Biennium | | | | | | | | | | | | | | | | | | | | |
|--|--------------------|------------------------------------|---------------------------------------|---|--|----|----|-----------|-------|----|-------|--------------|------|------|--|--|--|----------------|---|---|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 |
| Priority (ranked with highest priority first) | Agency Initials | Program or Activity Initials | Program Unit/Activity Description | Identify Key Performance Measure(s) | Primary Purpose Program- Activity Code | GF | LF | OF | NL-OF | FF | NL-FF | TOTAL FUNDS | Pos. | FTE | New or Enhanced Program (Y/N) | Included as Reduction Option (Y/N) | Legal Req. Code (C, D, FM, FO, S) | Legal Citation | Explain What is Mandatory (for C, FM, and FO Only) | |
| Agcy | Prgr/ Div | | | | | | | | | | | | | | | | | | | |
| 124 | Licensing | | Licensing Program | 2,4,5 | 3,10 | | | 601,285 | | | | \$ 601,285 | 3 5 | 3 50 | | | N | S | ORS675 510-600 | Program elimination would require statutory change and render investigation program meaningless, cuts are therefore easier analyzed and implemented on a % or line-item specific basis. |
| 124 | Investigations | | Compliance (Investigation/Discipline) | 1,5 | 3,10 | | | 792,615 | | | | \$ 792,615 | 2 5 | 2 50 | | | N | S | ORS675 510-600 ORS675 990-994 ORS 676 175-177 | Program elimination would require statutory change and render licensing program meaningless, cuts are therefore easier analyzed and implemented on a % or line-item specific basis. |
| | | | | | | | | 1,393,900 | | | | \$ - | | | | | | | | |
| | | | | | | | | | | | | \$ - | | | | | | | | |
| | | | | | | | | | | | | \$ 1,393,900 | 6 | 6 00 | | | | | | |

7. Primary Purpose Program/Activity Exists

- 1 Civil Justice
- 2 Community Development
- 3 Consumer Protection
- 4 Administrative Function
- 5 Criminal Justice
- 6 Economic Development
- 7 Education & Skill Development
- 8 Emergency Services
- 9 Environmental Protection
- 10 Public Health
- 11 Recreation, Heritage, or Cultural
- 12 Social Support

18. Legal Requirement Code

- C Constitutional
- D Debt Service
- FM Federal - Mandatory
- FO Federal - Optional (once you choose to participate, certain requirements exist)
- S Statutory

Prioritize each program activity for the Agency as a whole

Document criteria used to prioritize activities.

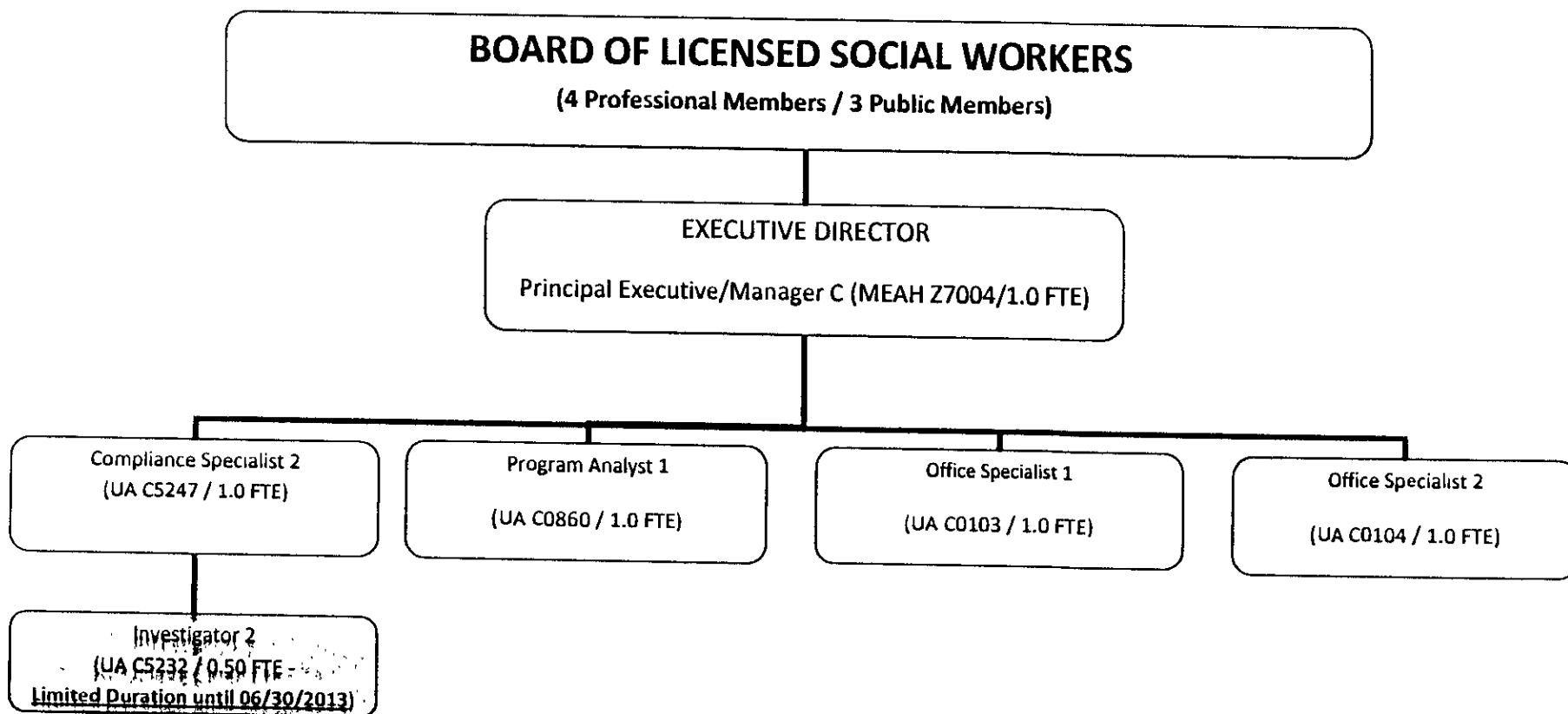
Activities have been prioritized based on agency functions and importance to maintain and improve public safety

10% REDUCTIONS OPTIONS (ORS 291.216)

| ACTIVITY OR PROGRAM (WHICH PROGRAM OR ACTIVITY WILL NOT BE UNDERTAKEN) | DESCRIBE REDUCTION (DESCRIBE THE EFFECTS OF THIS REDUCTION. INCLUDE POSITIONS AND FTE IN 2013-15 AND 2015-17) | AMOUNT AND FUND TYPE (GF, LF, OF, FF. IDENTIFY REVENUE SOURCE FOR OF, FF) | RANK AND JUSTIFICATION (RANK THE ACTIVITIES OR PROGRAMS NOT UNDERTAKEN IN ORDER OF LOWEST COST FOR BENEFIT OBTAINED) |
|---|---|--|---|
| TRAVEL EXPENSE CUTS | DECREASE IN -IN AND OUT-OF STATE TRAVEL FUNDS WILL FORCE FEWER BOARD MEETINGS; DECREASE ABILITY FOR STAFF AND BOARD TO LEARN AND STAY CURRENT WITH BEST PRACTICES | OTHER FUNDS: \$20,000.00 REVENUE COMES FROM LICENSURE AND RENEWAL FEES OF THOSE UNDER THE BOARD'S JURISDICTION. | THIS CUT IS RANKED #1 BECAUSE IMPLEMENTATION WOULD HAVE THE LEAST NEGATIVE IMPACT ON AGENCY OPERATIONS |
| OFFICE EXPENSE CUTS | THIS S&S REDUCTION WOULD DECREASE THE AGENCY'S ABILITY TO SERVE CUSTOMERS. | OTHER FUNDS: \$30,000.00 REVENUE COMES FROM LICENSURE AND RENEWAL FEES OF THOSE UNDER THE BOARD'S JURISDICTION. | THIS CUT IS RANKED #2 BECAUSE IMPLEMENTATION WILL HAVE MODERATE NEGATIVE IMPACTS ON THE AGENCY'S ABILITY TO PROTECT THE PUBLIC THROUGH A STRINGENT LICENSURE AND COMPLIANCE PROGRAM |
| IT PROFESSIONAL SERVICES and IT EXPENDABLE PROPERTY CUTS | REDUCE S FUNDING FOR IT INITIATIVES AND SUPPORT FOR THE OFFICE | OTHER FUNDS: \$12,000.00 REVENUE COMES FROM LICENSURE AND RENEWAL FEES OF THOSE UNDER THE BOARD'S JURISDICTION. | THIS CUT IS RANKED #3 BECAUSE IMPLEMENTATION WOULD DIRECTLY AND NEGATIVELY IMPACT THE AGENCY'S ABILITY TO IMPROVE ITS EFFICIENCY |
| PROFESSIONAL SERVICES | REDUCE FUNDING FOR OUTSIDE INVESTIGATORS AND CONTESTED CASE COSTS | OTHER FUNDS: \$25,000.00 REVENUE COMES FROM LICENSURE AND RENEWAL FEES OF THOSE UNDER THE BOARD'S JURISDICTION. | THIS CUT IS RANKED #4 BECAUSE IMPLEMENTATION WOULD DIRECTLY AND NEGATIVELY IMPACT THE AGENCY'S PUBLIC PROTECTION FUNCTION |
| ATTORNEY GENERAL FEES | SEVERELY DECREASES FUNDS FOR AG SERVICES. SEVERE RESTRICTIONS WOULD BE PUT IN PLACE AN ABILITY TO CONSULT WITH BOARD COUNSEL ON CASES AND BOARD OPERATIONS. | OTHER FUNDS: 40,000.00 REVENUE COMES FROM LICENSURE AND RENEWAL FEES OF THOSE UNDER THE BOARD'S JURISDICTION. | THIS CUT IS RANKED #5 BECAUSE IMPLEMENTATION WOULD DIRECTLY AND SEVERELY IMPACT THE AGENCY'S ABILITY TO PROTECT THE PUBLIC AND MINIMIZE RISK. |
| Total cuts (S&S only) | | \$127,000 | |

29

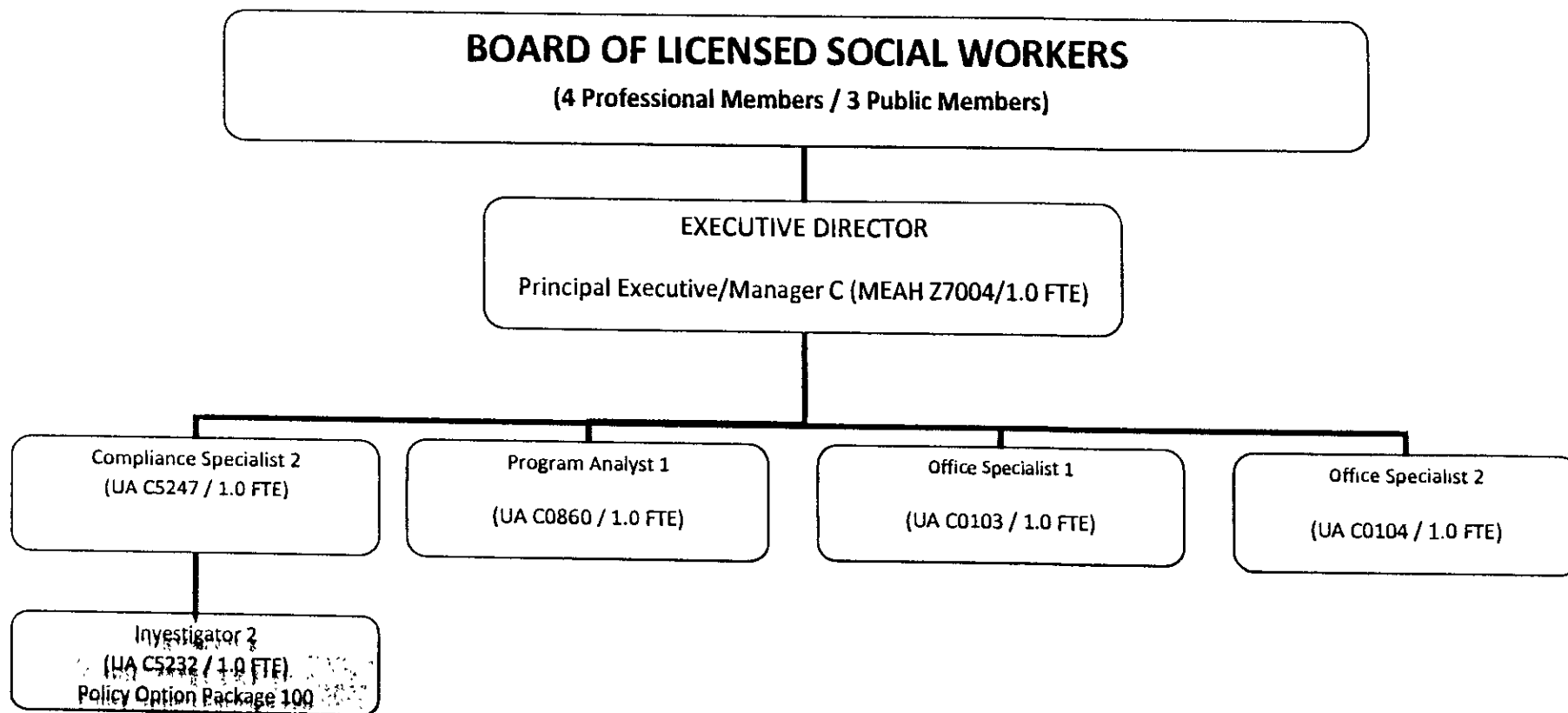
ORGANIZATIONAL CHART FOR THE BOARD OF LICENSED SOCIAL WORKERS



As Approved by the 2011 Legislature, effective
07/01/2011

30

PROPOSED ORGANIZATIONAL CHART FOR THE BOARD OF LICENSED SOCIAL WORKERS



ARB proposed, to take effect on July 1, 2013



BUDGET NARRATIVE



STATE BOARD OF LICENSED SOCIAL WORKERS REVENUE FORECAST NARRATIVE

The Board is not proposing any changes to its fee structure for 2013-15, intent on holding fees stable for one more biennium until the economic picture in Oregon improves more. The Board had its last fee increase in July 2008. The Board will need to raise fees in 2015 to continue its operation at current service levels, and seek legislative approval in 2015 for a fee bill.

The Board is experience tremendous growth in its licensee base. This does not just have a revenue impact. The Board is moving toward transitioning its main licensee base, the LCSW level, from one-year to two-year licensure, without raising fees, beginning in January 2013, with a 24 month implementation period. The one-year license with a \$130 cost will be converted, over a two year period, to a two-year license costing the equivalent amount adjusted for the longer period, \$260. This project is primarily geared toward achieving efficiency by lining up the existing two year CE cycle with the renewal cycle, so that licensees only have to renew once every two year and complete CE requirements at that time.

BASIS FOR 2011-13 ESTIMATES

The licensee base, even before the impact of Senate Bill 177 that began to show starting FY 2011, has continued to grow steadily over the years. From a revenue perspective, the most important and largest component of the Board's revenue stream still are LCSW licensure renewals. As such, the continued growth and stability of this revenue source is a key component of the financial health of the Board, and a key component of any budget from this Board. A history of the number of LCSWs regulated by this Board shows steady growth:

| <u>Date</u> | <u>Number of LCSWs regulated</u> |
|--------------|----------------------------------|
| July 1, 2006 | 2696 |
| July 1, 2008 | 2927 |
| July 1, 2009 | 3056 |
| July 1, 2010 | 3160 |
| July 1, 2011 | 3345 |
| July 1, 2012 | 3458 |

However, the precursor to LCSW licensure, the CSWA certification, has grown sharply, which will with about a 2.5 year lag period considerably swell LCSW ranks, with far better revenue for the Board. The CSWA program used to average about 500-550 participants, but is as of August 2012 approaching the 800 level.

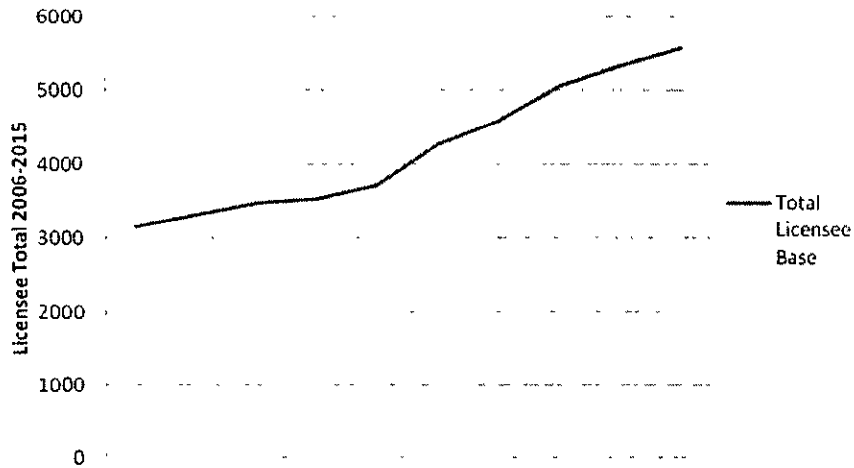
In addition, acceptance of the new license types introduced in 2011 has been very good, with almost 500 new licenses issued for these new license types (RBSW and LMSW) since the program's inception on January 1, 2011. Interest in these options remains high.

The GBB 2013-15 budget is based on the existing substantial growth and an assumption of more moderate growth in 2013-15. The GBB revenue projections include the impact of the transition from one-year to two year licensure for LCSWs.

The Board is actively promoting a healthy regulatory climate and educating students, prospective licensees and future employers about mandatory and voluntary licenses. This outreach will also continue to fuel applications for licensure from the existing work force, in addition to graduating students. The current and projected growth of the Board is summed up in the following chart:

BUDGET NARRATIVE

**Board of Licensed Social Workers
Licensee Base Growth - 10 years
(2006-2015)**



| Year | LCSWs | CSWAs | RBSWs | LMSWs | Total Licensee Base | |
|------|-------|-------|-------|-------|---------------------|-----------|
| 2006 | 2696 | 458 | | | 3154 | Actual |
| 2007 | 2814 | 503 | | | 3317 | Actual |
| 2008 | 2927 | 539 | | | 3466 | Actual |
| 2009 | 3056 | 473 | | | 3529 | Actual |
| 2010 | 3160 | 554 | | | 3714 | Actual |
| 2011 | 3345 | 713 | 25 | 190 | 4273 | Actual |
| 2012 | 3458 | 753 | 35 | 347 | 4593 | Actual |
| 2013 | 3700 | 820 | 85 | 450 | 5055 | Projected |
| 2014 | 3885 | 830 | 105 | 500 | 5320 | Projected |
| 2015 | 4079 | 840 | 125 | 525 | 5569 | Projected |

DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

| Source | Fund | ORBITS Revenue Acct | 2009-2011 Actual | 2011-13 Legislatively Adopted | 2011-13 Estimated | 2013-15 | | |
|--------------------------------|------|---------------------------|---------------------|-------------------------------------|----------------------|--------------------|------------------------|--------------------------|
| | | | | | | Agency Request | Governor's Balanced | Legislatively Adopted |
| Proposed Fee Schedule | | | | | | | | |
| Business Licensees & Fees | OF | 0205 | \$985,591 | \$1,193,500 | \$1,064,045 | \$1,284,950 | \$1,284,950 | |
| Non-Business Licenses and Fees | OF | 0210 | \$43,283 | \$22,325 | \$57,747 | \$25,000 | \$25,000 | |
| Fines and Forfeitures | OF | 0505 | \$15,214 | \$5,000 | \$7,772 | \$25,000 | \$25,000 | |
| Interest Income | OF | 0605 | \$1,392 | \$0 | \$1,767 | \$0 | \$0 | |
| Other Sales / Revenue | OF | 0705/0975 | \$1,520 | \$26,000 | \$1,978 | \$5,000 | \$5,000 | |
| Totals | OF | | \$1,047,000 | \$1,246,825 | 1,133,309 | \$1,339,950 | \$1,339,950 | |

 Agency Request

 Governor's Balanced

 Legislatively Adopted

 Budget Page 34

DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

Licensed Social Workers, Board of
2013-15 Biennium

Agency Number: 12400
Cross Reference Number: 12400-000-00-00-00000

| <i>Source</i> | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|----------------------------|--------------------|-------------------------------|--------------------------------|----------------------------------|------------------------------|-------------------------------|
| Other Funds | | | | | | |
| Business Lic and Fees | 985,591 | 1,193,500 | 1,193,500 | 1,284,950 | 1,284,950 | - |
| Non-business Lic. and Fees | 43,283 | 22,325 | 22,325 | 25,000 | 25,000 | - |
| Fines and Forfeitures | 15,214 | 5,000 | 5,000 | 25,000 | 25,000 | - |
| Interest Income | 1,392 | - | - | - | - | - |
| Sales Income | 1,520 | 1,500 | 1,500 | 2,000 | 2,000 | - |
| Other Revenues | - | 24,500 | 24,500 | 3,000 | 3,000 | - |
| Transfer In - Intrafund | 195,977 | - | - | - | - | - |
| Transfer Out - Intrafund | (195,977) | - | - | - | - | - |
| Transfer to General Fund | (41,000) | - | - | - | - | - |
| Total Other Funds | \$1,006,000 | \$1,246,825 | \$1,246,825 | \$1,339,950 | \$1,339,950 | - |

Licensed Social Workers, Board of
Agency Number: 12400
**Agencywide Revenues and Disbursements Summary
2013-15 Biennium**
Version: Y-01-Governor's Budget

| <i>Description</i> | <i>2009-11 Actuals</i> | <i>2011-13 Leg Adopted Budget</i> | <i>2011-13 Leg Approved Budget</i> | <i>2013-15 Agency Request Budget</i> | <i>2013-15 Governor's Budget</i> | <i>2013-15 Leg Adopted Budget</i> |
|--|------------------------|---|--|--|--|---|
| BEGINNING BALANCE | | | | | | |
| 0025 Beginning Balance | | | | | | |
| Other Funds | 173,023 | 191,638 | 191,638 | 193,495 | 193,495 | - |
| REVENUE CATEGORIES | | | | | | |
| LICENSES AND FEES | | | | | | |
| 0205 Business Lic and Fees | | | | | | |
| Other Funds | 985,591 | 1,193,500 | 1,193,500 | 1,284,950 | 1,284,950 | - |
| 0210 Non-business Lic. and Fees | | | | | | |
| Other Funds | 43,283 | 22,325 | 22,325 | 25,000 | 25,000 | - |
| TOTAL LICENSES AND FEES | | | | | | |
| Other Funds | 1,028,874 | 1,215,825 | 1,215,825 | 1,309,950 | 1,309,950 | - |
| FINES, RENTS AND ROYALTIES | | | | | | |
| 0505 Fines and Forfeitures | | | | | | |
| Other Funds | 15,214 | 5,000 | 5,000 | 25,000 | 25,000 | - |
| INTEREST EARNINGS | | | | | | |
| 0605 Interest Income | | | | | | |
| Other Funds | 1,392 | - | - | - | - | - |
| SALES INCOME | | | | | | |
| 0705 Sales Income | | | | | | |
| Other Funds | 1,520 | 1,500 | 1,500 | 2,000 | 2,000 | - |
| OTHER | | | | | | |

 _____ Agency Request
2013-15 Biennium

 Governor's Budget
Page 36

 _____ Legislatively Adopted
Agencywide Revenues and Disbursements Summary - BPR011

Licensed Social Workers, Board of

Agency Number: 12400

**Agencywide Revenues and Disbursements Summary
2013-15 Biennium**

Version: Y-01-Governor's Budget

| <i>Description</i> | <i>2009-11 Actuals</i> | <i>2011-13 Leg Adopted Budget</i> | <i>2011-13 Leg Approved Budget</i> | <i>2013-15 Agency Request Budget</i> | <i>2013-15 Governor's Budget</i> | <i>2013-15 Leg Adopted Budget</i> |
|---------------------------------------|------------------------|---|--|--|--|---|
| 0975 Other Revenues | | | | | | |
| Other Funds | - | 24,500 | 24,500 | 3,000 | 3,000 | - |
| TRANSFERS IN | | | | | | |
| 1010 Transfer In - Intrafund | | | | | | |
| Other Funds | 195,977 | - | - | - | - | - |
| TOTAL REVENUES | | | | | | |
| Other Funds | 1,242,977 | 1,246,825 | 1,246,825 | 1,339,950 | 1,339,950 | - |
| TRANSFERS OUT | | | | | | |
| 2010 Transfer Out1 - Intrafund | | | | | | |
| Other Funds | (195,977) | - | - | - | - | - |
| 2060 Transfer to General Fund | | | | | | |
| Other Funds | (41,000) | - | - | - | - | - |
| TOTAL TRANSFERS OUT | | | | | | |
| Other Funds | (236,977) | - | - | - | - | - |
| AVAILABLE REVENUES | | | | | | |
| Other Funds | 1,179,023 | 1,438,463 | 1,438,463 | 1,533,445 | 1,533,445 | - |
| EXPENDITURES | | | | | | |
| Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,393,900 | 1,359,094 | - |
| ENDING BALANCE | | | | | | |
| Other Funds | 165,094 | 193,495 | 193,495 | 139,545 | 174,351 | - |

BUDGET NARRATIVE – POLICY OPTION PACKAGES

Policy Package 100 Investigator 2

Package Description

The Board has not historically had any staff-based professional investigation function, combining investigation and adjudication at the Board level. The Board began to address this situation beginning in early 2008, leading up to Emergency Board approved at its June 27, 2008 meeting of a Board request for an increase in the initial LCSW and CSWA application fee from \$100 to \$150, and in the LCSW annual renewal fee from \$90 to \$130. The revenue generated from the fee increase was dedicated to funding a limited duration, 1.0 FTE Compliance Specialist position, the first and only staff-level position dedicated to compliance investigations.

Final approval was given to this fee increase during the 2009 legislative session. However, the Compliance position funded by the fee increase was only authorized on a limited duration basis through June 30, 2011, and final approval for that position could not be obtained until the 2011 Legislature. The 2011 Legislature also authorized a second, limited duration position on a half time basis (Investigator 2) because the growth in the Board's complaint curve did not prove short-lived. Compliant levels have continued to rise since that time. Receiving permanent funding authority for this second position is mission-critical and the top (and only) budget priority for the Board as POP100 in this ARB 2013-15 request. Consumers and licensees alike have an expectation of timely resolution of complaints. This has been a major struggle for the Board. The following data supports this request:

Increase in Number of Complaints: The number of licensees regulated under the Board's authority continues to grow each year, driving up the likelihood of an increase in complaint load and an increased number of licensees reporting conduct on a renewal application that must be investigated. The introduction of criminal background checks for new applicants in July 1, 2008 has also added application investigations to the Board's case load. Consumers are becoming more sophisticated and aware of the accountability afforded by filing a complaint with a licensing Board. Reporting requirements in statute and rules continue to increase, for example with passage of HB 2059 (2009), which requires reporting of misconduct across health professional lines. The advent of the internet also makes access to information and the ability to find out about the option to file a complaint, and how to file it, much more accessible for consumers.

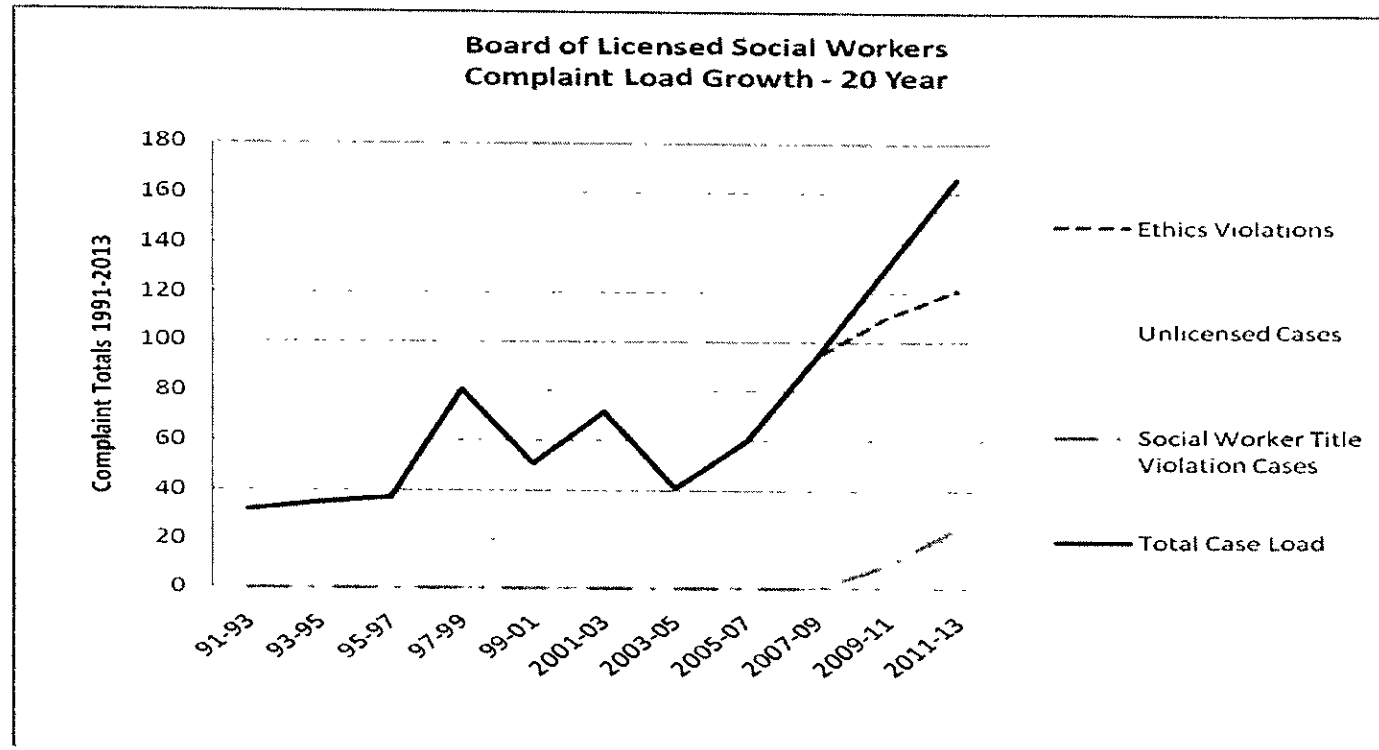
All these factors combine to drive a continual increase in the complaint load, which is in line with trends at other health regulatory boards in Oregon and around the country. Specific data regarding complaint levels (historic and projected) is as follows:

BUDGET NARRATIVE – POLICY OPTION PACKAGES

Complaint Load Growth - Board of Licensed Social Workers

| Biennium | Ethics Violations | Unlicensed Practice Cases | Social Worker Title Violation Cases | Total Case Load | |
|----------|----------------------|------------------------------|---|-----------------------|-----------|
| 91-93 | 32 | 0 | 0 | 32 | Actual |
| 93-95 | 35 | 0 | 0 | 35 | Actual |
| 95-97 | 37 | 0 | 0 | 37 | Actual |
| 97-99 | 81 | 0 | 0 | 81 | Actual |
| 99-01 | 51 | 0 | 0 | 51 | Actual |
| 2001-03 | 72 | 0 | 0 | 72 | Actual |
| 2003-05 | 41 | 0 | 0 | 41 | Actual |
| 2005-07 | 60 | 0 | 0 | 60 | Actual |
| 2007-09 | 94 | 0 | 0 | 94 | Actual |
| 2009-11 | 110 | 0 | 0 | 110 | Actual |
| 2011-13 | 140 | 10 | 10 | 160 | Projected |
| 2013-15 | 150 | 15 | 15 | 180 | Projected |

BUDGET NARRATIVE – POLICY OPTION PACKAGES



In essence, the Board has operated at levels that should have resulted in the creation of a part-time investigation function since 1997. By the time authority was granted (June 2008) for a full-time limited duration position, complaint load levels had already increased to full-time levels (when a health regulatory Board goes over 40 complaints a year / 80 a biennium on a regular basis, that should trigger a full-time investigator function.) Therefore, the Board had to contend not only with a full case load, but a backlog of unresolved cases and integration of new staff into the compliance function.

The Board's Key Performance Measure 1 also shows the impact on the delays in complaint resolution. The Board's goal of resolving at least 80% of its complaints within 6 months, a standard key measure of performance, has never been met. Even worse, performance has

BUDGET NARRATIVE – POLICY OPTION PACKAGES

dropped since FY 2006 (77%) and reaching an all-time low of 54% in FY 2009. Although performance has begun to improve in FY 2010 with the advent of additional resources, it slipped again in FY 2011 before improving to 67% in FY 2012. This is still not good enough, and it is clear that with a rapidly rising licensee base, the Board will face low levels of performance in its most critical public protection function if a second investigation position is not added.

Finally, one additional driver adding to the pressure on the Board's compliance function can be linked to licensee demographics. Simply put, research shows that the licensee base licensed between 10-20 years is the most likely group to be disciplined. As the Board's licensee base matures, this also increases the likelihood in the number of severe cases leading to disciplinary action by the Board.

Impact of Senate Bill 177:

On January 1, 2011, the Board's authority expanded to investigate allegations of unlicensed practice, which the Board previously had no authority to investigate (there was no licensing requirement for clinical social work practice until January 1, 2011). In addition, the Board will also start to receive new cases alleging violations of new title act provisions (cases alleging that persons not licensed by this Board use the title "social worker"). Given the data above, it is clear that the Board cannot absorb these new responsibilities into the single existing compliance position that has permanent funding.

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Licensed Social Workers, Board of
 Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Operations
 Cross Reference Number: 12400-001-00-00-00000

| <i>Description</i> | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|--------------------------------|--------------|---------------|------------------|---------------|------------------------|--------------------------|------------------|
| Personal Services | | | | | | | |
| Pension Obligation Bond | - | - | 8,685 | - | - | - | 8,685 |
| Mass Transit Tax | - | - | (422) | - | - | - | (422) |
| Total Personal Services | - | - | \$8,263 | - | - | - | \$8,263 |
| Total Expenditures | | | | | | | |
| Total Expenditures | - | - | 8,263 | - | - | - | 8,263 |
| Total Expenditures | - | - | \$8,263 | - | - | - | \$8,263 |
| Ending Balance | | | | | | | |
| Ending Balance | - | - | (8,263) | - | - | - | (8,263) |
| Total Ending Balance | - | - | (\$8,263) | - | - | - | (\$8,263) |

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Licensed Social Workers, Board of
Pkg: 031 - Standard Inflation

Cross Reference Name: Operations
Cross Reference Number: 12400-001-00-00-00000

| Description | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|--------------------------------------|--------------|---------------|-----------------|---------------|------------------------|--------------------------|-----------------|
| Services & Supplies | | | | | | | |
| Instate Travel | - | - | 613 | - | - | - | 613 |
| Out of State Travel | - | - | 306 | - | - | - | 306 |
| Employee Training | - | - | 58 | - | - | - | 58 |
| Office Expenses | - | - | 897 | - | - | - | 897 |
| Telecommunications | - | - | (1,172) | - | - | - | (1,172) |
| State Gov. Service Charges | - | - | 13,058 | - | - | - | 13,058 |
| Data Processing | - | - | 235 | - | - | - | 235 |
| Publicity and Publications | - | - | 225 | - | - | - | 225 |
| Professional Services | - | - | 867 | - | - | - | 867 |
| IT Professional Services | - | - | 370 | - | - | - | 370 |
| Attorney General | - | - | 13,449 | - | - | - | 13,449 |
| Employee Recruitment and Develop | - | - | 14 | - | - | - | 14 |
| Dues and Subscriptions | - | - | 71 | - | - | - | 71 |
| Facilities Rental and Taxes | - | - | 4,184 | - | - | - | 4,184 |
| Agency Program Related S and S | - | - | 1,175 | - | - | - | 1,175 |
| Other Services and Supplies | - | - | 220 | - | - | - | 220 |
| Expendable Prop 250 - 5000 | - | - | 124 | - | - | - | 124 |
| IT Expendable Property | - | - | 250 | - | - | - | 250 |
| Total Services & Supplies | - | - | \$34,944 | - | - | - | \$34,944 |
| Total Expenditures | | | | | | | |
| Total Expenditures | - | - | 34,944 | - | - | - | 34,944 |
| Total Expenditures | - | - | \$34,944 | - | - | - | \$34,944 |

____ Agency Request
2013-15 Biennium

Governor's Budget
Page 43

____ Legislatively Adopted
Essential and Policy Package Fiscal Impact Summary - BPR013

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Licensed Social Workers, Board of
Pkg: 031 - Standard Inflation

Cross Reference Name: Operations
Cross Reference Number: 12400-001-00-00-00000

| <i>Description</i> | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|-----------------------------|--------------|---------------|-------------------|---------------|------------------------|--------------------------|-------------------|
| Ending Balance | | | | | | | |
| Ending Balance | - | - | (34,944) | - | - | - | (34,944) |
| Total Ending Balance | - | - | (\$34,944) | - | - | - | (\$34,944) |

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Licensed Social Workers, Board of
 Pkg: 091 - Statewide Administrative Savings

Cross Reference Name: Operations
 Cross Reference Number: 12400-001-00-00-00000

| <i>Description</i> | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|--------------------------------------|--------------|---------------|------------------|---------------|------------------------|--------------------------|------------------|
| Personal Services | | | | | | | |
| Undistributed (P.S.) | - | - | (1,070) | - | - | - | (1,070) |
| Total Personal Services | - | - | (\$1,070) | - | - | - | (\$1,070) |
| Services & Supplies | | | | | | | |
| Undistributed (S.S.) | - | - | (607) | - | - | - | (607) |
| Total Services & Supplies | - | - | (\$607) | - | - | - | (\$607) |
| Total Expenditures | | | | | | | |
| Total Expenditures | - | - | (1,677) | - | - | - | (1,677) |
| Total Expenditures | - | - | (\$1,677) | - | - | - | (\$1,677) |
| Ending Balance | | | | | | | |
| Ending Balance | - | - | 1,677 | - | - | - | 1,677 |
| Total Ending Balance | - | - | \$1,677 | - | - | - | \$1,677 |

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Licensed Social Workers, Board of
Pkg: 092 - PERS Taxation Policy

Cross Reference Name: Operations
Cross Reference Number: 12400-001-00-00-00000

| <i>Description</i> | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|--------------------------------|--------------|---------------|------------------|---------------|------------------------|--------------------------|------------------|
| Personal Services | | | | | | | |
| PERS Policy Adjustment | - | - | (2,102) | - | - | - | (2,102) |
| Total Personal Services | - | - | (\$2,102) | - | - | - | (\$2,102) |
| Total Expenditures | | | | | | | |
| Total Expenditures | - | - | (2,102) | - | - | - | (2,102) |
| Total Expenditures | - | - | (\$2,102) | - | - | - | (\$2,102) |
| Ending Balance | | | | | | | |
| Ending Balance | - | - | 2,102 | - | - | - | 2,102 |
| Total Ending Balance | - | - | \$2,102 | - | - | - | \$2,102 |

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Licensed Social Workers, Board of
Pkg: 093 - Other PERS Adjustments

Cross Reference Name: Operations
Cross Reference Number: 12400-001-00-00-00000

| <i>Description</i> | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|--------------------------------|--------------|---------------|-------------------|---------------|------------------------|--------------------------|-------------------|
| Personal Services | | | | | | | |
| PERS Policy Adjustment | - | - | (16,793) | - | - | - | (16,793) |
| Total Personal Services | - | - | (\$16,793) | - | - | - | (\$16,793) |
| Total Expenditures | | | | | | | |
| Total Expenditures | - | - | (16,793) | - | - | - | (16,793) |
| Total Expenditures | - | - | (\$16,793) | - | - | - | (\$16,793) |
| Ending Balance | | | | | | | |
| Ending Balance | - | - | 16,793 | - | - | - | 16,793 |
| Total Ending Balance | - | - | \$16,793 | - | - | - | \$16,793 |

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Licensed Social Workers, Board of
Pkg: 100 - Investigator 2

Cross Reference Name: Operations
Cross Reference Number: 12400-001-00-00-00000

| <i>Description</i> | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|---------------------------------|--------------|---------------|--------------------|---------------|------------------------|--------------------------|--------------------|
| Personal Services | | | | | | | |
| Class/Unclass Sal. and Per Diem | - | - | 69,528 | - | - | - | 69,528 |
| Empl. Rel. Bd. Assessments | - | - | 40 | - | - | - | 40 |
| Public Employees' Retire Cont | - | - | 13,259 | - | - | - | 13,259 |
| Social Security Taxes | - | - | 5,319 | - | - | - | 5,319 |
| Worker's Comp. Assess. (WCD) | - | - | 59 | - | - | - | 59 |
| Mass Transit Tax | - | - | 417 | - | - | - | 417 |
| Flexible Benefits | - | - | 30,528 | - | - | - | 30,528 |
| Total Personal Services | - | - | \$119,150 | - | - | - | \$119,150 |
| Total Expenditures | | | | | | | |
| Total Expenditures | - | - | 119,150 | - | - | - | 119,150 |
| Total Expenditures | - | - | \$119,150 | - | - | - | \$119,150 |
| Ending Balance | | | | | | | |
| Ending Balance | - | - | (119,150) | - | - | - | (119,150) |
| Total Ending Balance | - | - | (\$119,150) | - | - | - | (\$119,150) |
| Total Positions | | | | | | | |
| Total Positions | - | - | - | - | - | - | 1 |
| Total Positions | - | - | - | - | - | - | 1 |

ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY

Licensed Social Workers, Board of
Pkg: 100 - Investigator 2

Cross Reference Name: Operations
Cross Reference Number: 12400-001-00-00-00000

| <i>Description</i> | General Fund | Lottery Funds | Other Funds | Federal Funds | Nonlimited Other Funds | Nonlimited Federal Funds | All Funds |
|--------------------|--------------|---------------|-------------|---------------|------------------------|--------------------------|-------------|
| Total FTE | | | | | | | |
| Total FTE | | | | | | | 1.00 |
| Total FTE | - | - | - | - | - | - | 1.00 |

01/11/13 REPORT NO.: PDPFISCAL
 REPORT: PACKAGE FISCAL IMPACT REPORT
 AGENCY: 12400 LICENSED SOCIAL WORKERS BOARD
 SUMMARY XREF: 001-00-00 Operations

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

2013-15

PICS SYSTEM: BUDGET PREPARATION

PACKAGE: 100 - Investigator 2

| POSITION NUMBER | CLASS COMP | CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE | |
|--------------------------------|------------|------------|----------------|------|-------|-------|------|------------|------------|------------|------------|------------|--------|
| 0000841 | UA | CS232-AA | INVESTIGATOR 2 | 1 | 1.00 | 24.00 | 02 | 2,897.00 | 69,528 | 49,205 | | 69,528 | 49,205 |
| TOTAL PICS SALARY | | | | | | | | | 69,528 | | | 69,528 | |
| TOTAL PICS OPE | | | | | | | | | 49,205 | | | 49,205 | |
| TOTAL PICS PERSONAL SERVICES = | | | 1 | 1.00 | 24.00 | | | | 118,733 | | | 118,733 | |

DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

Licensed Social Workers, Board of
2013-15 Biennium

Agency Number: 12400

Cross Reference Number: 12400-001-00-00-00000

| <i>Source</i> | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|----------------------------|--------------------|-------------------------------|--------------------------------|----------------------------------|------------------------------|-------------------------------|
| Other Funds | | | | | | |
| Business Lic and Fees | 985,591 | 1,193,500 | 1,193,500 | 1,284,950 | 1,284,950 | - |
| Non-business Lic. and Fees | 43,283 | 22,325 | 22,325 | 25,000 | 25,000 | - |
| Fines and Forfeitures | 15,214 | 5,000 | 5,000 | 25,000 | 25,000 | - |
| Interest Income | 1,392 | - | - | - | - | - |
| Sales Income | 1,520 | 1,500 | 1,500 | 2,000 | 2,000 | - |
| Other Revenues | - | 24,500 | 24,500 | 3,000 | 3,000 | - |
| Transfer In - Intrafund | 195,977 | - | - | - | - | - |
| Transfer Out - Intrafund | (195,977) | - | - | - | - | - |
| Transfer to General Fund | (41,000) | - | - | - | - | - |
| Total Other Funds | \$1,006,000 | \$1,246,825 | \$1,246,825 | \$1,339,950 | \$1,339,950 | - |

Licensed Social Workers, Board of

Agency Number: 12400

Program Unit Appropriated Fund Group and Category Summary
 2013-15 Biennium
 Operations

Version: Y - 01 - Governor's Budget
 Cross Reference Number: 12400-001-00-00-00000

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|--|-----------------|----------------------------|-----------------------------|-------------------------------|---------------------------|----------------------------|
| LIMITED BUDGET (Excluding Packages) | | | | | | |
| PERSONAL SERVICES | | | | | | |
| Other Funds | 615,477 | 786,051 | 786,051 | 761,397 | 758,392 | - |
| SERVICES & SUPPLIES | | | | | | |
| Other Funds | 398,452 | 458,917 | 458,917 | 458,917 | 458,917 | - |
| TOTAL LIMITED BUDGET (Excluding Packages) | | | | | | |
| Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,220,314 | 1,217,309 | - |
| AUTHORIZED POSITIONS | 5 | 6 | 6 | 5 | 5 | - |
| AUTHORIZED FTE | 4.18 | 5.45 | 5.45 | 5.00 | 5.00 | - |
| LIMITED BUDGET (Essential Packages) | | | | | | |
| 010 NON-PICS PSNL SVC / VACANCY FACTOR | | | | | | |
| PERSONAL SERVICES | | | | | | |
| Other Funds | - | - | - | 8,263 | 8,263 | - |
| 031 STANDARD INFLATION | | | | | | |
| SERVICES & SUPPLIES | | | | | | |
| Other Funds | - | - | - | 45,714 | 34,944 | - |
| TOTAL LIMITED BUDGET (Essential Packages) | | | | | | |
| Other Funds | - | - | - | 53,977 | 43,207 | - |
| LIMITED BUDGET (Current Service Level) | | | | | | |
| Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,274,291 | 1,260,516 | - |
| AUTHORIZED POSITIONS | 5 | 6 | 6 | 5 | 5 | - |

Agency Request
 2013-15 Biennium

Governor's Budget
 Page 52

Legislatively Adopted
 Program Unit Appropriated Fund and Category Summary- BPR007A

Licensed Social Workers, Board of

Agency Number: 12400

**Program Unit Appropriated Fund Group and Category Summary
2013-15 Biennium
Operations**

**Version: Y - 01 - Governor's Budget
Cross Reference Number: 12400-001-00-00-00000**

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|---|-----------------|----------------------------|-----------------------------|-------------------------------|---------------------------|----------------------------|
| AUTHORIZED FTE | 4.18 | 5.45 | 5.45 | 5.00 | 5.00 | - |
| LIMITED BUDGET (Policy Packages) | | | | | | |
| PRIORITY 0 | | | | | | |
| 091 STATEWIDE ADMINISTRATIVE SAVINGS | | | | | | |
| PERSONAL SERVICES | | | | | | |
| Other Funds | - | - | - | - | (1,070) | - |
| SERVICES & SUPPLIES | | | | | | |
| Other Funds | - | - | - | - | (607) | - |
| 092 PERS TAXATION POLICY | | | | | | |
| PERSONAL SERVICES | | | | | | |
| Other Funds | - | - | - | - | (2,102) | - |
| 093 OTHER PERS ADJUSTMENTS | | | | | | |
| PERSONAL SERVICES | | | | | | |
| Other Funds | - | - | - | - | (16,793) | - |
| 100 INVESTIGATOR 2 | | | | | | |
| PERSONAL SERVICES | | | | | | |
| Other Funds | - | - | - | 119,609 | 119,150 | - |
| AUTHORIZED POSITIONS | - | - | - | 1 | 1 | - |
| AUTHORIZED FTE | - | - | - | 1.00 | 1.00 | - |
| TOTAL LIMITED BUDGET (Policy Packages) | | | | | | |
| Other Funds | - | - | - | 119,609 | 98,578 | - |

Licensed Social Workers, Board of

Agency Number: 12400

**Program Unit Appropriated Fund Group and Category Summary
2013-15 Biennium
Operations**

**Version: Y - 01 - Governor's Budget
Cross Reference Number: 12400-001-00-00-00000**

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|--|-----------------|----------------------------|-----------------------------|-------------------------------|---------------------------|----------------------------|
| AUTHORIZED POSITIONS | - | - | - | 1 | 1 | - |
| AUTHORIZED FTE | - | - | - | 1.00 | 1.00 | - |
| TOTAL LIMITED BUDGET (Including Packages) | | | | | | |
| Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,393,900 | 1,359,094 | - |
| AUTHORIZED POSITIONS | 5 | 6 | 6 | 6 | 6 | - |
| AUTHORIZED FTE | 4.18 | 5.45 | 5.45 | 6.00 | 6.00 | - |
| OPERATING BUDGET | | | | | | |
| Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,393,900 | 1,359,094 | - |
| AUTHORIZED POSITIONS | 5 | 6 | 6 | 6 | 6 | - |
| AUTHORIZED FTE | 4.18 | 5.45 | 5.45 | 6.00 | 6.00 | - |
| TOTAL BUDGET | | | | | | |
| Other Funds | 1,013,929 | 1,244,968 | 1,244,968 | 1,393,900 | 1,359,094 | - |
| AUTHORIZED POSITIONS | 5 | 6 | 6 | 6 | 6 | - |
| AUTHORIZED FTE | 4.18 | 5.45 | 5.45 | 6.00 | 6.00 | - |

SOCIAL WORKERS, BOARD OF LICENSED
Annual Performance Progress Report (APPR) for Fiscal Year (2011-2012)

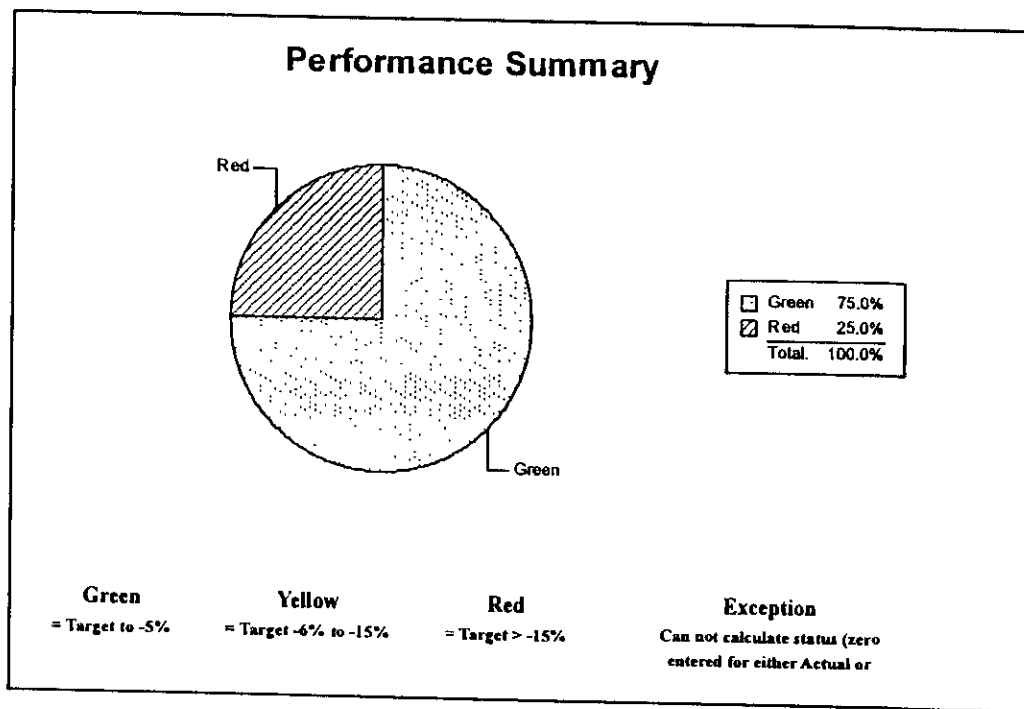
Original Submission Date: 2012

Finalize Date: 8/29/2012

| 2011-2012 KPM # | 2011-2012 Approved Key Performance Measures (KPMs) |
|--------------------|---|
| 1 | TIMELY COMPLAINT RESOLUTION - Percent of complaints upon which the Board makes a decision within six months of when the complaint is received in the Board office. |
| 2 | CE AUDITS - Percent of license renewal Continuing Education audits that meet the requirement for accredited coursework |
| 4 | CUSTOMER SATISFACTION WITH AGENCY SERVICES - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent" overall customer service, timeliness, accuracy, helpfulness, expertise, and availability of information |
| 5 | BOARD BEST PRACTICES - Percent of total best practices met by the Board. |

| New Delete | Proposed Key Performance Measures (KPM's) for Biennium 2013-2015 |
|---------------|--|
| | Title: Rationale: |

| | | | |
|--|--|-----------------------------|--------------------------------------|
| SOCIAL WORKERS, BOARD OF LICENSED | | I. EXECUTIVE SUMMARY | |
| Agency Mission: To protect the citizens of Oregon by setting a strong standard of practice and ethics through the regulation of social workers. | | | |
| Contact: Martin Pittioni | | | Contact Phone: 503-373-1163 |
| Alternate: Pam Johansen | | | Alternate Phone: 503-373-1159 |



1. SCOPE OF REPORT

The Board's four Key Performance Measures (KPMs) focus on customer service performance, with emphasis on licensing, and some insight on overall Board best practices. With respect to the key arena of consumer protection, the Board does have a KPM that tracks speed of complaint resolution, a measure shared by most agencies with a similar mission. However, that KPM, while valuable, is not a measure of quality of investigations, nor does this measure reflect the increasing subject matter complexity of the complaints received.

The KPMs of the Board do not track performance in the arena of information technology, or fiscal standards and controls. (In the latter arena the Board has historically earned the CAFR Gold Standard every year due to its partnership with the Shared Client Services unit of the Department of Administrative Services,

State Controller's Division).

2. THE OREGON CONTEXT

Degree and Type of Agency influence on Benchmarks and High-level Outcomes:

The Oregon State Board of Licensed Social Workers was created by the Legislature as a public protection agency. The primary mission of the Board is to protect the citizens of Oregon by setting a strong standard of practice and ethics through the regulation of social workers. The Board seeks to provide excellent customer service while effectively accomplishing its mission. The pursuit of its mission of public protection and quality customer service does not contribute to any specific Oregon Benchmark. Nevertheless, the Board currently tracks its performance through four Performance Measures.

3. PERFORMANCE SUMMARY

In Fiscal Year 2012, this agency was within target (within -5%) on three of its four performance measures. The performance measure on speed of consumer complaint resolution remains a serious challenge, even though performance on that measure improved considerably during FY 2012.

4. CHALLENGES

The key challenge for the Board is its primary consumer protection Key Performance Measure on timely resolution of complaints, which in FY 2009 came in 31% below target, 24% below target in FY 2010, and 34% below target in FY 2011. The increase in resources for compliance approved by the 2011 Legislature have led to an improvement to 18% below target for FY 2012, a significant step in the right direction, but still not adequate. Overall, the data reflects a continual rise in the number and complexity of complaints to the Board. In the 2009-11 biennium, the number of new complaints was double the Board's level of new complaints received in the 2005-07 biennium.

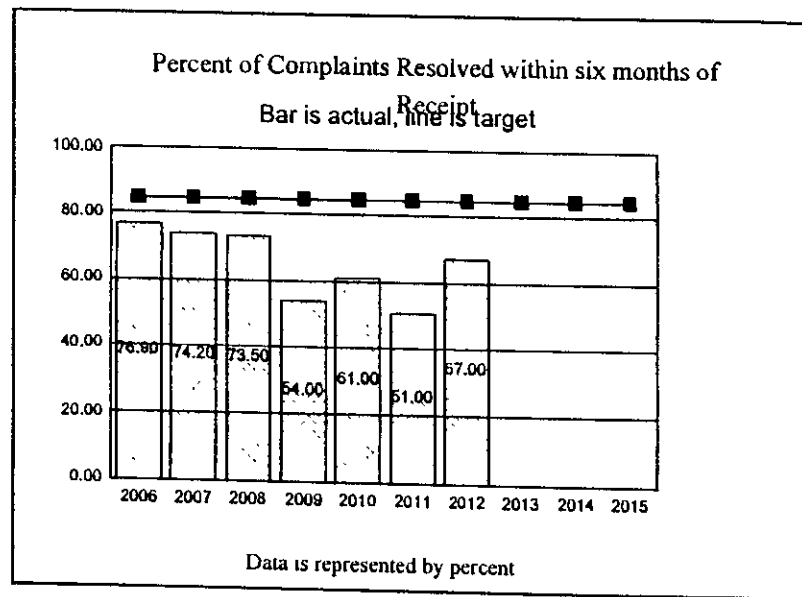
To address the serious drop in performance on this measure, the Board has worked with stakeholders and the Legislature since 2008 to implement fees to fund the Board's first staff-level compliance position. While these elements were put in place during the first half of FY 2009, and the Legislature approved the compliance position to continue through June 2011, the backlog of cases and continued record numbers of new complaints meant that improvement in this arena could not and cannot be achieved quickly. This arena remains the primary focus of Board time and resources. Final budgetary authority for the Board to continue its only compliance-related staff position on a permanent basis was obtained in the 2011 Legislature, together with limited duration authority for a second half-time investigatory position. These additional resources have led to an improvement in performance on this KPM in FY 2012. A new challenge for the Board with respect to this KPM emerged from the 2009 legislative session in the form of passage of Senate Bill 177, which provides the Board with significant expanded authority as of 1/1/2011. This includes for the first time a mandate requiring licensure for all those practicing clinical social work, as well as two new voluntary forms of licensure in the arena of non-clinical social work. While the bill is a very positive step for regulation of social work, the bill will also expand the tasks of the Board's compliance program over time, by adding unlicensed practice cases to the Board's case load. This new challenge will also continue to put pressure on the Board's KPM measuring speed of complaint resolution, together with the continual strong growth in the Board's licensee base. To address this challenge, the Board's only priority for the 2013-15 budget is to request permanent funding authority for a second, full-time investigator position.

5. RESOURCES AND EFFICIENCY

During FY 2012, the Board was staffed by a full-time Executive Director, three licensing staff, and a compliance position, plus a half-time compliance position that does not have permanent funding authority. The Board continues to grow rapidly. After 21% growth in its licensee base in 2009-11, the Board experienced additional 7.5% growth in FY 2012, with continued growth at about that level expected again in FY 2013. Efficiencies are therefore key. On-line renewal options were rolled out beginning Spring 2011 and into FY 2012, and served as a much appreciated efficiency tool for licensees and staff. In addition, the Board laid the groundwork in FY 2012, including drafting of proposed rules, to implement another key efficiency, conversion of Licensed Clinical Social Workers (LCSWs) from one-year to two-year licensure. (Other new license types added in 2011, RBSWs and LMSWs, immediately began operating as two-year licenses and do not need to be converted.) Implementation of this measure is another key step toward realizing efficiencies that will allow the Board to absorb the growth in FY 2012 and FY 2013 without the need to increase staffing resources in licensing.

The Board is entirely funded by fees paid by its licensees (100% Other Funds). The Oregon State Legislature approved a 2011-13 Agency Budget of \$1.24 million.

| | | |
|--|---|---------------------------------|
| SOCIAL WORKERS, BOARD OF LICENSED | | II. KEY MEASURE ANALYSIS |
| KPM #1 | TIMELY COMPLAINT RESOLUTION - Percent of complaints upon which the Board makes a decision within six months of when the complaint is received in the Board office. | |
| Goal | Public Protection - this KPM links to the goal of public protection by measuring the timeliness of complaint resolution | |
| Oregon Context | The KPMs of this Board do not link directly to Oregon benchmarks. | |
| Data Source | Index of Agency Consumer Protection Complaint Cases | |
| Owner | Martin Pittioni, Executive Director, 503-373-1163 | |



1. OUR STRATEGY

The Board worked with stakeholders to increase resources for the compliance function, by raising fees and adding its first compliance staff person on a limited-duration basis in October 2008. The fee increase was ratified in the 2009 Legislature. The Board used this limited duration resource to begin

SOCIAL WORKERS, BOARD OF LICENSED**II. KEY MEASURE ANALYSIS**

addressing the backlog in complaints. The outcome however was not as positive as hoped because the complaint load increased simultaneously, and the position experienced turnover multiple times due to the less secure limited duration funding. During FY 2011, the Board responded to this on-going serious challenge to meet its core mission by: working with the executive and legislative branch to secure funding authority for the Board's compliance position on a secure, permanent basis. This approval was obtained effective July 1, 2011. Adding a full-time temporary investigator from April to June 2011 to assist with investigations, working with the Executive and Legislative branch to obtain limited duration functioning authority for a second compliance position at half-time level (0.50 FTE). This approval was obtained effective July 1, 2011. In FY 2012, these additional resources have led to a significant, but not sufficient improvement in the KPM on timely compliant resolution, even though the second investigator position was frequently permitted to work additional hours beyond the funded 0.50 FTE. Significantly, even though the agency resolved 58 cases, the record volume of new cases filed during FY 2012 (70 new cases) meant the agency actually lost ground overall with respect to case resolution. The agency is investing additional temporary resources in FY 2013 to continue improving performance, and is requesting authority to continue the second investigation position full-time with permanent funding in its 2013-15 Agency Request Budget. The Board of the agency is fully aware that the Board will need to raise fees in 2015 to ensure sufficient funding for its positions from 2015-17 forward.

2. ABOUT THE TARGETS

The measure reveals the rate at which the agency is processing and resolving complaints in a timely manner.

3. HOW WE ARE DOING

The Board has not been able to meet this KPM target since FY 2002. Data for FY 2009 reflect a major drop in performance, to only 54% of cases resolved in a timely manner. In FY 2010, this performance improved to 61%, still substantially below the goal of 85% and 10% below the average five-year performance from FY 2005-2009. The performance dropped again during FY 2011 to 51%, due to an increase in case complexity and volume, and turnover in the compliance position early in the fiscal year. Notwithstanding the drop in this particular performance measure, the Board was able to resolve a record 61 cases during FY 2011, and was able to resolve many older cases on its docket. In addition, the Board was able to reach a settlement agreement for public disciplinary outcomes in a record 8 cases, and issued a Notice proposing a disciplinary outcome in another case, where settlement was not within reach. In FY 2012, the performance on this KPM improved significantly to 67% of cases resolved within 180 days. This result is still well below the 85% target. The Board resolved 6 cases with disciplinary outcomes in FY 2012.

4. HOW WE COMPARE

SOCIAL WORKERS, BOARD OF LICENSED**II. KEY MEASURE ANALYSIS****5. FACTORS AFFECTING RESULTS**

The data shows the effect of not having a staff-level investigation function in place until late 2008, combined with a dramatic increase in the number and complexity of complaints. Performance in this KPM will show significant lag time before improvement will be realized, due to the accumulated backlog of complaint cases, the continual increase in case complexity, in combination with the volume increase in new complaints. The Board received 57 new cases in the 05-07 biennium, compared to 94 new cases during 07-09. In 09-11 the Board received 110 new complaints, almost double compared to the entire 05-07 biennium. In FY 2012, the Board handled a record 70 new cases, which on a straightline projection basis would result in 140 cases for the 2011-13 biennium, a 27% increase over 2009-11 and 44% increase over 2007-09. The Board has worked with stakeholders and the Legislature to increase fees and fund the Board's first staff-level compliance position, first filled October 2008, and obtained final funding authority for that position from the 2011 Legislature as of July 2011. A second half-time position was also approved as of that date on a limited duration basis. These resources are expected to contribute significantly to improvements in this KPM over time, after allowing for significant lag time to address the existing backlog of cases. The Board is requesting additional resources by requesting permanent funding for a second full-time compliance position in its 2013-15 Agency Request Budget. In addition, Senate Bill 177 (2009) places additional demands on the Board's compliance function as of 2011, by adding new unlicensed practice cases, and enforcement of a new title protection provision. This will put additional strain on the performance in this measure over time.

6. WHAT NEEDS TO BE DONE

Key to any hope of returning to acceptable levels of performance is legislative approval to obtain final budgetary authority for the Board's sole compliance position (Policy Option Package 100 in the Agency Request Budget for 2011-13). That approval was obtained effective July 1, 2011, and has led to a significant, if not sufficient, improvement in this KPM. In addition, Senate Bill 177 (2009) places additional demands on the Board's compliance function as of 2011, by adding new unlicensed practice cases, and enforcement of a new title protection provision. In order to achieve performance at acceptable levels on this performance measure, approval of a second compliance position in SB 177-related Policy Package 102 is essential. That approval was obtained effective July 1, 2011, on a limited duration basis (position funding end date of June 30, 2013). The Board has moved very quickly to fill these positions, filled on the same day budget authority was obtained, so that the full impact of these resources could be at work during FY 2012. The Board's Executive Director is intent on supplementing temporary additional resources to further help address the backlog of compliance cases and continue improving performance on this KPM. The Board is continuing to improve its performance on compliance as its key strategic goal, reflected in the Board's sole priority for its 2013-15 Agency Request Budget, which requests permanent funding authority for a second full-time investigation position.

7. ABOUT THE DATA

63

SOCIAL WORKERS, BOARD OF LICENSED

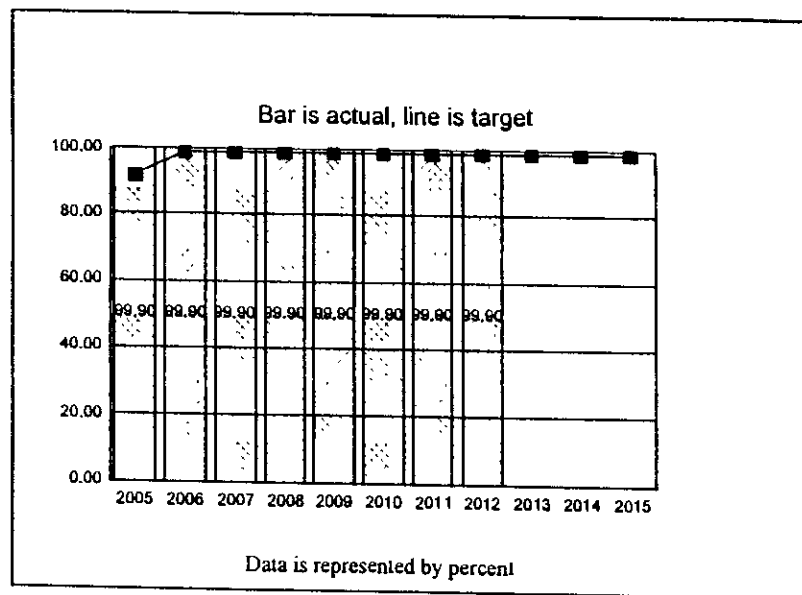
II. KEY MEASURE ANALYSIS

The data is reported on a fiscal year basis.

64

| | |
|--|---------------------------------|
| SOCIAL WORKERS, BOARD OF LICENSED | II. KEY MEASURE ANALYSIS |
|--|---------------------------------|

| | |
|-----------------------|--|
| KPM #2 | CE AUDITS - Percent of license renewal Continuing Education audits that meet the requirement for accredited coursework. |
| Goal | Public Protection - this measure links to the goal of public protection by measuring compliance with continuing education requirements by licensees. Continuing education is a key tool for licensees to stay current within their specialty fields. |
| Oregon Context | This Board's KPMs do not link directly to Oregon Benchmarks |
| Data Source | Agency random audits of continuing education compliance reports conducted during licensure renewal. |
| Owner | Kimberly Sommer, Licensing Specialist, 503-373-1161 |



1. OUR STRATEGY

Given the on-going outstanding performance on this measure, the agency's strategy is to continue with random audits of continuing education reports.

65

SOCIAL WORKERS, BOARD OF LICENSED**II. KEY MEASURE ANALYSIS****2. ABOUT THE TARGETS**

The measure reveals the extent of licensee compliance with Board continuing education requirements.

3. HOW WE ARE DOING

The performance in this KPM has remained steady at very high levels, as staff works very closely with licensees to ensure compliance with continuing education requirements.

4. HOW WE COMPARE

The random audit percentage of 20% is high, possibly the highest random audit percentage of any health board.

5. FACTORS AFFECTING RESULTS

One factor affecting results is staff time spent on ensuring licensees are fully familiar with how to comply with continuing education requirements.

6. WHAT NEEDS TO BE DONE

Although the data does not show the need for changes, the expansion of the Board's authority in January 2011 to include issuance of two new non-clinical license types (RBSWs and LMSWs) may present challenges for this KPM in the future. New CE requirements are under development for these license types, and new RBSW and LMSW licensees will need to be educated on this aspect of their obligations.

7. ABOUT THE DATA

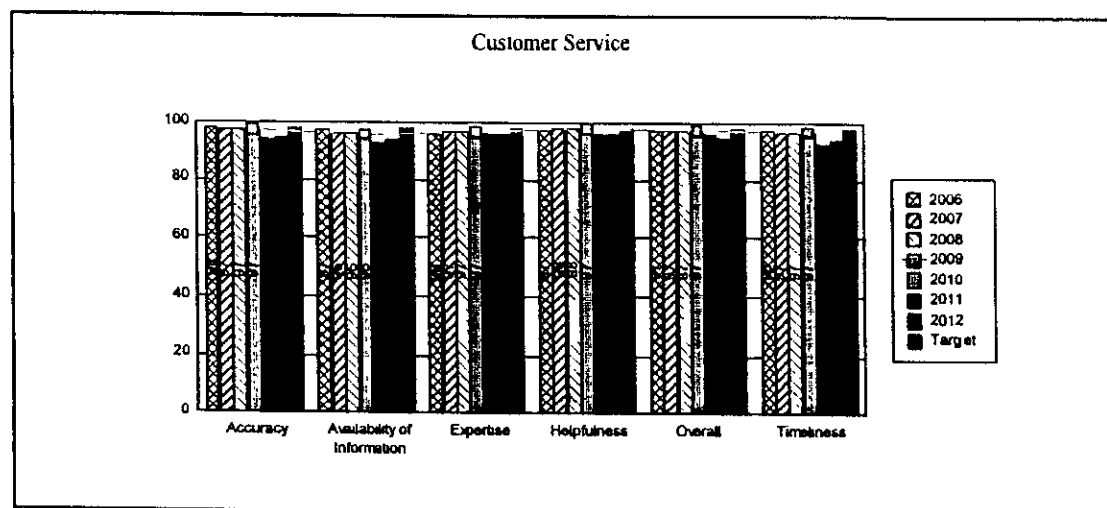
The data is reported on a fiscal year basis.

66

SOCIAL WORKERS, BOARD OF LICENSED

II. KEY MEASURE ANALYSIS

| | | |
|-----------------------|--|------|
| KPM #4 | CUSTOMER SATISFACTION WITH AGENCY SERVICES - Percent of customers rating their satisfaction with the agency's customer service as "good" or "excellent": overall customer service, timeliness, accuracy, helpfulness, expertise, and availability of information. | 2006 |
| Goal | Provide excellent customer service | |
| Oregon Context | The Board's KPMs do not align directly with Oregon Benchmarks | |
| Data Source | Responses to customer service surveys. Beginning during FY 2011, the Board moved towards broadening the opportunity for gathering data for this KPM. Previously, this customer service feedback data was compiled exclusively during the (then manual) licensure renewal process. While that opportunity was retained when licensure renewals moved on-line, the mechanics were changed to an automated survey-monkey option that was also available at the end of every e-mail sent out by Board staff. This has significantly broadened the opportunity for citizens and stakeholders to participate in customer service feedback to the agency. | |
| Owner | Pam Johansen, Licensing Manager, 503-373-1159 | |



67

SOCIAL WORKERS, BOARD OF LICENSED**II. KEY MEASURE ANALYSIS****1. OUR STRATEGY**

The Board's strategy for this KPM is to increase utilization of technology and implement licensing program streamlining measures, to ensure staff has sufficient time to provide good customer service. The Board has implemented on-line licensure renewal beginning in spring 2011 and into FY 2012, a significant customer service improvement.

2. ABOUT THE TARGETS

The Board has deliberately and continually set a high target for this measure, as the Board expects to achieve excellent customer service results.

3. HOW WE ARE DOING

The Board has consistently performed very well on this measure, within 5% of target, but hopes to improve further by actually achieving in full its 98% target.

4. HOW WE COMPARE

There is no comparable data from other social work boards around the country.

5. FACTORS AFFECTING RESULTS

1. Substantial growth of the licensee base (over 20% in the 2009-11 biennium, and another 7.5% on top of that growth during FY 2012.) 2. Complete overhaul of social work licensing laws and rules effective January 1, 2011 (implementing Senate Bill 177 from the 2009 Legislature). 3. Both line staff positions in licensing were fully staffed with new staff effective August 2010 and authorized to be full-time effective July 1, 2011 by the Legislature, to deal with the licensing growth triggered by SB 177. 4. On-line licensure renewals were implemented on a rolling basis beginning Spring 2011 (completed by spring 2012). 5. The licensing program added two new license types effective January 1, 2011. 6. The Board moved toward an on-line data collection tool for this KPM that expanded the pool of survey respondents beyond those receiving renewal notices from the Board, to all those who communicate with the Board electronically. 7. The Board has significantly emphasized pro-active outreach to MSW and BSW classrooms around the state to educate future licensees about what licensure means, and the basics of social work regulation.

6. WHAT NEEDS TO BE DONE

SOCIAL WORKERS, BOARD OF LICENSED**II. KEY MEASURE ANALYSIS**

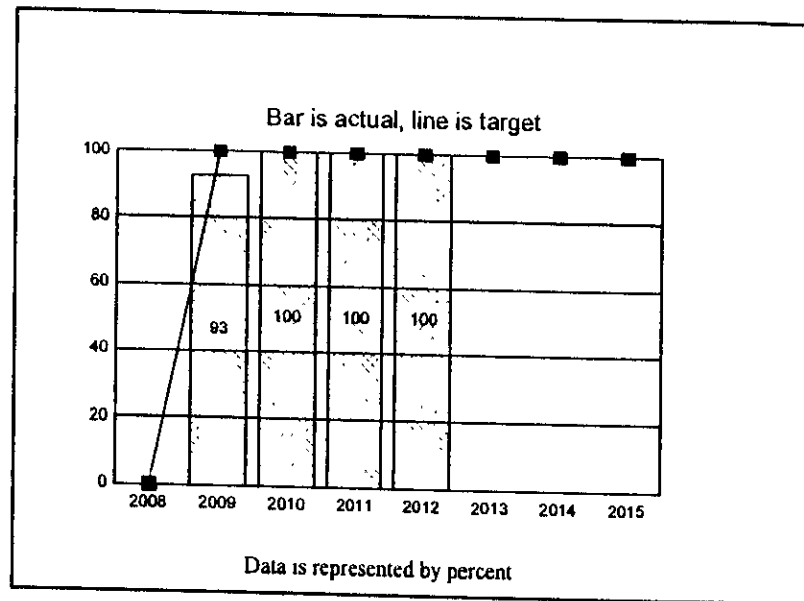
The Board needs to continue to invest in streamlining of licensing operations and utilization of technology to deliver the best customer performance results with the least amount of resources. The Board will continue to expand IT solutions to meet the needs of its customers and the Board. In FY 2012, the Board began implementation of a highly secure and efficient IT solution to manage Board meeting information electronically, first at the committee level for the Board's Consumer Protection Committee. In FY 2013 this solution has been rolled out to the full Board. Ultimately this means that the highly confidential data citizens, agencies, and licensees entrust to the Board is far better protected. Simultaneously, the Board has begun laying the groundwork for conversion of its main licensee base (LCSWs) to two-year licensure, currently in the rule-writing stage. The two-year implementation for this project is scheduled to start January 2013 and will be fully complete by the end of December 2014. This is the next critical efficiency step that should also contribute to customer satisfaction, since it will reduce the paperwork and need to interact with the Board from once a year to once every two years for LCSWs, for renewal of licensure purposes. Customer satisfaction should hopefully not be negatively impacted since the Board is not raising fees in the process (the \$130 annual fee will go to a \$260 fee with a commensurate doubling of the time the license is valid to two years).

7. ABOUT THE DATA

The data is presented on a fiscal year basis.

69

| | | |
|--|---|---------------------------------|
| SOCIAL WORKERS, BOARD OF LICENSED | | II. KEY MEASURE ANALYSIS |
| KPM #5 | BOARD BEST PRACTICES - Percent of total best practices met by the Board. | 2009 |
| Goal | Consumer Protection | |
| Oregon Context | This Board's KPMs do not align directly with Oregon Benchmarks. | |
| Data Source | Annual Self-Assessment | |
| Owner | Martin Pittioni, Executive Director, 503-373-1163 | |



1. OUR STRATEGY

The Board's strategy is to achieve and maintain 100% compliance with best practices.

70

SOCIAL WORKERS, BOARD OF LICENSED**II. KEY MEASURE ANALYSIS****2. ABOUT THE TARGETS**

The Board's position is that this KPM should always be at a 100% target level.

3. HOW WE ARE DOING

After a late introduction of this KPM in FY 2009, which prevented achievement at a 100% level (93% attained), the Board has improved its performance on this KPM to 100%.

4. HOW WE COMPARE

This is a standardized KPM with the same expectation of all stand-alone boards and commissions. It is assumed that most agencies measured by this KPM perform at or near the 100% mark.

5. FACTORS AFFECTING RESULTS

At the time of the initial introduction of this KPM in FY 2009, the (new) Executive Director had not yet received a performance evaluation, which prevented achieving a 100% result that fiscal year. The Board has now had sufficient implementation time to achieve 100% compliance with all best practices.

6. WHAT NEEDS TO BE DONE

Maintain focus on ensuring continued 100% compliance.

7. ABOUT THE DATA

The data is presented on a fiscal year basis.

71

SOCIAL WORKERS, BOARD OF LICENSED

III. USING PERFORMANCE DATA

Agency Mission: To protect the citizens of Oregon by setting a strong standard of practice and ethics through the regulation of social workers.

Contact: Martin Pittioni

Contact Phone: 503-373-1163

Alternate: Pam Johansen

Alternate Phone: 503-373-1159

The following questions indicate how performance measures and data are used for management and accountability purposes.

| | |
|---------------------------------------|--|
| <p>1. INCLUSIVITY</p> | <p>* Staff :</p> <p>* Elected Officials:</p> <p>* Stakeholders:</p> <p>* Citizens:</p> |
| <p>2 MANAGING FOR RESULTS</p> | |
| <p>3 STAFF TRAINING</p> | |
| <p>4 COMMUNICATING RESULTS</p> | <p>* Staff :</p> <p>* Elected Officials:</p> <p>* Stakeholders:</p> <p>* Citizens:</p> |

72

BUDGET NARRATIVE

AFFIRMATIVE ACTION REPORT

It is the policy of the State Board of Licensed Social Workers to comply with all aspects of the Governor's Affirmative Action directives as set forth in ORS 659A.012 and 659A.015.

By statute, the Board consists of seven members, appointed by the Governor. Four of those seven must be Licensed Clinical Social Workers, and the other three must be Public Members. At the time of submission of this Governor's Balanced Budget request, the seven people consisted of six Caucasians and two Hispanics.

As of August 2, 2010, the Board is staffed by five permanently funded staff: three Caucasian women, one Native American woman and one Caucasian man.

For the past several years, the Board has hired a disabled person through the Galt Foundation to serve as a temporary hire during renewal season, and to assist during personnel vacations or staff transitions. This has been a very successful effort, and the same person has worked on a seasonal basis for eleven years now.

The Board has submitted a 2013-15 Affirmative Action Plan to the Governor's Office which has been approved.

Agency Request

Governor's Balanced

Legislatively Adopted

Budget Page 73

Licensed Social Workers, Board of

Summary Cross Reference Listing and Packages
2013-15 Biennium

Agency Number: 12400

BAM Analyst: Ball, Dustin

Budget Coordinator: Carson-Phillips, Jenny - (503)373-0257

| Cross Reference Number | Cross Reference Description | Package Number | Priority | Package Description | Package Group |
|-------------------------------|------------------------------------|-----------------------|-----------------|------------------------------------|----------------------|
| 001-00-00-00000 | Operations | 010 | 0 | Non-PICS Psnl Svc / Vacancy Factor | Essential Packages |
| 001-00-00-00000 | Operations | 021 | 0 | Phase-in | Essential Packages |
| 001-00-00-00000 | Operations | 022 | 0 | Phase-out Pgm & One-time Costs | Essential Packages |
| 001-00-00-00000 | Operations | 031 | 0 | Standard Inflation | Essential Packages |
| 001-00-00-00000 | Operations | 032 | 0 | Above Standard Inflation | Essential Packages |
| 001-00-00-00000 | Operations | 033 | 0 | Exceptional Inflation | Essential Packages |
| 001-00-00-00000 | Operations | 050 | 0 | Fundshifts | Essential Packages |
| 001-00-00-00000 | Operations | 060 | 0 | Technical Adjustments | Essential Packages |
| 001-00-00-00000 | Operations | 070 | 0 | Revenue Shortfalls | Policy Packages |
| 001-00-00-00000 | Operations | 082 | 0 | September 2012 E-Board | Policy Packages |
| 001-00-00-00000 | Operations | 083 | 0 | December 2012 E-Board | Policy Packages |
| 001-00-00-00000 | Operations | 090 | 0 | Analyst Adjustments | Policy Packages |
| 001-00-00-00000 | Operations | 091 | 0 | Statewide Administrative Savings | Policy Packages |
| 001-00-00-00000 | Operations | 092 | 0 | PERS Taxation Policy | Policy Packages |
| 001-00-00-00000 | Operations | 093 | 0 | Other PERS Adjustments | Policy Packages |
| 001-00-00-00000 | Operations | 100 | 0 | Investigator 2 | Policy Packages |

Licensed Social Workers, Board of

Policy Package List by Priority
2013-15 Biennium

Agency Number: 12400

BAM Analyst: Ball, Dustin

Budget Coordinator: Carson-Phillips, Jenny - (503)373-0257

| <i>Priority</i> | <i>Policy Pkg Number</i> | <i>Policy Pkg Description</i> | <i>Summary Cross Reference Number</i> | <i>Cross Reference Description</i> |
|-----------------|--------------------------|----------------------------------|---------------------------------------|------------------------------------|
| 0 | 070 | Revenue Shortfalls | 001-00-00-00000 | Operations |
| | 082 | September 2012 E-Board | 001-00-00-00000 | Operations |
| | 083 | December 2012 E-Board | 001-00-00-00000 | Operations |
| | 090 | Analyst Adjustments | 001-00-00-00000 | Operations |
| | 091 | Statewide Administrative Savings | 001-00-00-00000 | Operations |
| | 092 | PERS Taxation Policy | 001-00-00-00000 | Operations |
| | 093 | Other PERS Adjustments | 001-00-00-00000 | Operations |
| | 100 | Investigator 2 | 001-00-00-00000 | Operations |

Licensed Social Workers, Board of

Agency Number: 12400

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 12400-000-00-00-00000

2013-15 Biennium

Clinical Social Workers, Board of

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|-----------------------------------|--------------------|-------------------------------|-----------------------------------|----------------------------------|---------------------------------|-------------------------------|
| BEGINNING BALANCE | | | | | | |
| 0025 Beginning Balance | | | | | | |
| 3400 Other Funds Ltd | 173,023 | 191,638 | 191,638 | 193,495 | 193,495 | - |
| 0030 Beginning Balance Adjustment | | | | | | |
| 3400 Other Funds Ltd | (41,000) | - | - | - | - | - |
| 8800 General Fund Revenue | 41,000 | - | - | - | - | - |
| All Funds | - | - | - | - | - | - |
| BEGINNING BALANCE | | | | | | |
| 3400 Other Funds Ltd | 132,023 | 191,638 | 191,638 | 193,495 | 193,495 | - |
| 8800 General Fund Revenue | 41,000 | - | - | - | - | - |
| TOTAL BEGINNING BALANCE | \$173,023 | \$191,638 | \$191,638 | \$193,495 | \$193,495 | - |
| REVENUE CATEGORIES | | | | | | |
| LICENSES AND FEES | | | | | | |
| 0205 Business Lic and Fees | | | | | | |
| 3400 Other Funds Ltd | 985,591 | 1,193,500 | 1,193,500 | 1,284,950 | 1,284,950 | - |
| 0210 Non-business Lic. and Fees | | | | | | |
| 3400 Other Funds Ltd | 43,283 | 22,325 | 22,325 | 25,000 | 25,000 | - |
| LICENSES AND FEES | | | | | | |
| 3400 Other Funds Ltd | 1,028,874 | 1,215,825 | 1,215,825 | 1,309,950 | 1,309,950 | - |
| TOTAL LICENSES AND FEES | \$1,028,874 | \$1,215,825 | \$1,215,825 | \$1,309,950 | \$1,309,950 | - |
| FINES, RENTS AND ROYALTIES | | | | | | |
| 0505 Fines and Forfeitures | | | | | | |
| 3400 Other Funds Ltd | 15,214 | 5,000 | 5,000 | 25,000 | 25,000 | - |

Licensed Social Workers, Board of

Agency Number: 12400

Budget Support - Detail Revenues and Expenditures

2013-15 Biennium

Cross Reference Number: 12400-000-00-00-00000

Clinical Social Workers, Board of

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|--------------------------------------|--------------------|----------------------------|-----------------------------|-------------------------------|---------------------------|----------------------------|
| INTEREST EARNINGS | | | | | | |
| 0605 Interest Income | | | | | | |
| 3400 Other Funds Ltd | 1,392 | - | - | - | - | - |
| SALES INCOME | | | | | | |
| 0705 Sales Income | | | | | | |
| 3400 Other Funds Ltd | 1,520 | 1,500 | 1,500 | 2,000 | 2,000 | - |
| OTHER | | | | | | |
| 0975 Other Revenues | | | | | | |
| 3400 Other Funds Ltd | - | 24,500 | 24,500 | 3,000 | 3,000 | - |
| TRANSFERS IN | | | | | | |
| 1010 Transfer In - Intrafund | | | | | | |
| 3400 Other Funds Ltd | 195,977 | - | - | - | - | - |
| REVENUE CATEGORIES | | | | | | |
| 3400 Other Funds Ltd | 1,242,977 | 1,246,825 | 1,246,825 | 1,339,950 | 1,339,950 | - |
| TOTAL REVENUE CATEGORIES | \$1,242,977 | \$1,246,825 | \$1,246,825 | \$1,339,950 | \$1,339,950 | - |
| TRANSFERS OUT | | | | | | |
| 2010 Transfer Out - Intrafund | | | | | | |
| 3400 Other Funds Ltd | (195,977) | - | - | - | - | - |
| 2060 Transfer to General Fund | | | | | | |
| 8800 General Fund Revenue | (41,000) | - | - | - | - | - |
| TRANSFERS OUT | | | | | | |
| 3400 Other Funds Ltd | (195,977) | - | - | - | - | - |
| 8800 General Fund Revenue | (41,000) | - | - | - | - | - |

77

Licensed Social Workers, Board of

Agency Number: 12400

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 12400-000-00-00-00000

2013-15 Biennium

Clinical Social Workers, Board of

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|---|--------------------|-------------------------------|-----------------------------------|----------------------------------|---------------------------------|-------------------------------|
| TOTAL TRANSFERS OUT | (\$236,977) | - | - | - | - | - |
| AVAILABLE REVENUES | | | | | | |
| 3400 Other Funds Ltd | 1,179,023 | 1,438,463 | 1,438,463 | 1,533,445 | 1,533,445 | - |
| TOTAL AVAILABLE REVENUES | \$1,179,023 | \$1,438,463 | \$1,438,463 | \$1,533,445 | \$1,533,445 | - |
| EXPENDITURES | | | | | | |
| PERSONAL SERVICES | | | | | | |
| SALARIES & WAGES | | | | | | |
| 3110 Class/Unclass Sal. and Per Diem | | | | | | |
| 3400 Other Funds Ltd | 376,861 | 517,596 | 517,596 | 529,824 | 529,824 | - |
| 3160 Temporary Appointments | | | | | | |
| 3400 Other Funds Ltd | 14,569 | - | - | - | - | - |
| 3170 Overtime Payments | | | | | | |
| 3400 Other Funds Ltd | 4,350 | - | - | - | - | - |
| 3190 All Other Differential | | | | | | |
| 3400 Other Funds Ltd | 1,562 | - | - | - | - | - |
| SALARIES & WAGES | | | | | | |
| 3400 Other Funds Ltd | 397,342 | 517,596 | 517,596 | 529,824 | 529,824 | - |
| TOTAL SALARIES & WAGES | \$397,342 | \$517,596 | \$517,596 | \$529,824 | \$529,824 | - |
| OTHER PAYROLL EXPENSES | | | | | | |
| 3210 Empl. Rel. Bd. Assessments | | | | | | |
| 3400 Other Funds Ltd | 89 | 246 | 246 | 240 | 240 | - |
| 3220 Public Employees' Retire Cont | | | | | | |
| 3400 Other Funds Ltd | 32,014 | 73,860 | 73,860 | 103,540 | 100,077 | - |

Licensed Social Workers, Board of

Agency Number: 12400

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 12400-000-00-00-00000

2013-15 Biennium

Clinical Social Workers, Board of

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|--|------------------|-------------------------------|-----------------------------------|----------------------------------|---------------------------------|-------------------------------|
| 3221 Pension Obligation Bond | | | | | | |
| 3400 Other Funds Ltd | 22,360 | 19,748 | 19,748 | 28,433 | 28,433 | - |
| 3230 Social Security Taxes | | | | | | |
| 3400 Other Funds Ltd | 28,882 | 39,597 | 39,597 | 40,531 | 40,531 | - |
| 3240 Unemployment Assessments | | | | | | |
| 3400 Other Funds Ltd | 8,764 | - | - | - | - | - |
| 3250 Worker's Comp. Assess. (WCD) | | | | | | |
| 3400 Other Funds Ltd | 246 | 354 | 354 | 354 | 354 | - |
| 3260 Mass Transit Tax | | | | | | |
| 3400 Other Funds Ltd | 2,320 | 3,184 | 3,184 | 3,179 | 3,179 | - |
| 3270 Flexible Benefits | | | | | | |
| 3400 Other Funds Ltd | 123,460 | 180,576 | 180,576 | 183,168 | 183,168 | - |
| OTHER PAYROLL EXPENSES | | | | | | |
| 3400 Other Funds Ltd | 218,135 | 317,565 | 317,565 | 359,445 | 355,982 | - |
| TOTAL OTHER PAYROLL EXPENSES | \$218,135 | \$317,565 | \$317,565 | \$359,445 | \$355,982 | - |
| P.S. BUDGET ADJUSTMENTS | | | | | | |
| 3465 Reconciliation Adjustment | | | | | | |
| 3400 Other Funds Ltd | - | (49,110) | (49,110) | - | (1) | - |
| 3470 Undistributed (P.S.) | | | | | | |
| 3400 Other Funds Ltd | - | - | - | - | (1,070) | - |
| 3991 PERS Policy Adjustment | | | | | | |
| 3400 Other Funds Ltd | - | - | - | - | (18,895) | - |
| P.S. BUDGET ADJUSTMENTS | | | | | | |

Licensed Social Workers, Board of

Agency Number: 12400

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 12400-000-00-00-00000

2013-15 Biennium

Clinical Social Workers, Board of

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|--|------------------|-------------------------------|-----------------------------------|----------------------------------|---------------------------------|-------------------------------|
| 3400 Other Funds Ltd | - | (49,110) | (49,110) | - | (19,966) | - |
| TOTAL P.S. BUDGET ADJUSTMENTS | - | (\$49,110) | (\$49,110) | - | (\$19,966) | - |
| PERSONAL SERVICES | | | | | | |
| 3400 Other Funds Ltd | 615,477 | 786,051 | 786,051 | 889,269 | 865,840 | - |
| TOTAL PERSONAL SERVICES | \$615,477 | \$786,051 | \$786,051 | \$889,269 | \$865,840 | - |
| SERVICES & SUPPLIES | | | | | | |
| 4100 Instate Travel | | | | | | |
| 3400 Other Funds Ltd | 26,210 | 25,556 | 25,556 | 26,169 | 26,169 | - |
| 4125 Out of State Travel | | | | | | |
| 3400 Other Funds Ltd | 3,431 | 12,765 | 12,765 | 13,071 | 13,071 | - |
| 4150 Employee Training | | | | | | |
| 3400 Other Funds Ltd | 324 | 2,416 | 2,416 | 2,474 | 2,474 | - |
| 4175 Office Expenses | | | | | | |
| 3400 Other Funds Ltd | 40,781 | 37,380 | 37,380 | 38,277 | 38,277 | - |
| 4200 Telecommunications | | | | | | |
| 3400 Other Funds Ltd | 9,113 | 10,453 | 10,453 | 10,704 | 9,281 | - |
| 4225 State Gov. Service Charges | | | | | | |
| 3400 Other Funds Ltd | 64,657 | 69,514 | 69,514 | 91,873 | 82,572 | - |
| 4250 Data Processing | | | | | | |
| 3400 Other Funds Ltd | - | - | - | - | 235 | - |
| 4275 Publicity and Publications | | | | | | |
| 3400 Other Funds Ltd | 5,650 | 9,388 | 9,388 | 9,613 | 9,613 | - |
| 4300 Professional Services | | | | | | |

Licensed Social Workers, Board of**Agency Number: 12400****Budget Support - Detail Revenues and Expenditures****Cross Reference Number: 12400-000-00-00-00000****2013-15 Biennium****Clinical Social Workers, Board of**

| <i>Description</i> | <i>2009-11 Actuals</i> | <i>2011-13 Leg Adopted Budget</i> | <i>2011-13 Leg Approved Budget</i> | <i>2013-15 Agency Request Budget</i> | <i>2013-15 Governor's Budget</i> | <i>2013-15 Leg Adopted Budget</i> |
|--|------------------------|---------------------------------------|--|--|--|---------------------------------------|
| 3400 Other Funds Ltd | 33,881 | 41,001 | 41,001 | 42,149 | 41,868 | - |
| 4315 IT Professional Services | | | | | | |
| 3400 Other Funds Ltd | 13,930 | 13,210 | 13,210 | 13,580 | 13,580 | - |
| 4325 Attorney General | | | | | | |
| 3400 Other Funds Ltd | 74,370 | 90,265 | 90,265 | 103,714 | 103,714 | - |
| 4375 Employee Recruitment and Develop | | | | | | |
| 3400 Other Funds Ltd | - | 563 | 563 | 577 | 577 | - |
| 4400 Dues and Subscriptions | | | | | | |
| 3400 Other Funds Ltd | 2,275 | 2,952 | 2,952 | 3,023 | 3,023 | - |
| 4425 Facilities Rental and Taxes | | | | | | |
| 3400 Other Funds Ltd | 58,717 | 69,734 | 69,734 | 73,918 | 73,918 | - |
| 4575 Agency Program Related S and S | | | | | | |
| 3400 Other Funds Ltd | 39,569 | 48,962 | 48,962 | 50,137 | 50,137 | - |
| 4650 Other Services and Supplies | | | | | | |
| 3400 Other Funds Ltd | 13,188 | 9,153 | 9,153 | 9,373 | 9,373 | - |
| 4675 Undistributed (S.S.) | | | | | | |
| 3400 Other Funds Ltd | - | - | - | - | (607) | - |
| 4700 Expendable Prop 250 - 5000 | | | | | | |
| 3400 Other Funds Ltd | 337 | 5,183 | 5,183 | 5,307 | 5,307 | - |
| 4715 IT Expendable Property | | | | | | |
| 3400 Other Funds Ltd | 12,019 | 10,422 | 10,422 | 10,672 | 10,672 | - |
| SERVICES & SUPPLIES | | | | | | |
| 3400 Other Funds Ltd | 398,452 | 458,917 | 458,917 | 504,631 | 493,254 | - |

Licensed Social Workers, Board of

Agency Number: 12400

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 12400-000-00-00-00000

2013-15 Biennium

Clinical Social Workers, Board of

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|--------------------------------------|--------------------|-------------------------------|-----------------------------------|----------------------------------|---------------------------------|-------------------------------|
| TOTAL SERVICES & SUPPLIES | \$398,452 | \$458,917 | \$458,917 | \$504,631 | \$493,254 | - |
| EXPENDITURES | | | | | | |
| 3400 Other Funds Ltd | 1,013,929 | 1,244,968 | 1,244,968 | 1,393,900 | 1,359,094 | - |
| TOTAL EXPENDITURES | \$1,013,929 | \$1,244,968 | \$1,244,968 | \$1,393,900 | \$1,359,094 | - |
| ENDING BALANCE | | | | | | |
| 3400 Other Funds Ltd | 165,094 | 193,495 | 193,495 | 139,545 | 174,351 | - |
| TOTAL ENDING BALANCE | \$165,094 | \$193,495 | \$193,495 | \$139,545 | \$174,351 | - |
| AUTHORIZED POSITIONS | | | | | | |
| 8150 Class/Unclass Positions | 5 | 6 | 6 | 6 | 6 | - |
| TOTAL AUTHORIZED POSITIONS | 5 | 6 | 6 | 6 | 6 | - |
| AUTHORIZED FTE | | | | | | |
| 8250 Class/Unclass FTE Positions | 4.18 | 5.50 | 5.50 | 6.00 | 6.00 | - |
| 8280 FTE Reconciliation | - | (0.05) | (0.05) | - | - | - |
| TOTAL AUTHORIZED FTE | 4.18 | 5.45 | 5.45 | 6.00 | 6.00 | - |

Licensed Social Workers, Board of

Agency Number: 12400

Budget Support - Detail Revenues and Expenditures
2013-15 Biennium
Operations

Cross Reference Number: 12400-001-00-00-00000

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|--|--------------------|-------------------------------|-----------------------------------|----------------------------------|---------------------------------|-------------------------------|
| BEGINNING BALANCE | | | | | | |
| 0025 Beginning Balance | | | | | | |
| 3400 Other Funds Ltd | 173,023 | 191,638 | 191,638 | 193,495 | 193,495 | - |
| 0030 Beginning Balance Adjustment | | | | | | |
| 3400 Other Funds Ltd | (41,000) | - | - | - | - | - |
| 8800 General Fund Revenue | 41,000 | - | - | - | - | - |
| All Funds | - | - | - | - | - | - |
| BEGINNING BALANCE | | | | | | |
| 3400 Other Funds Ltd | 132,023 | 191,638 | 191,638 | 193,495 | 193,495 | - |
| 8800 General Fund Revenue | 41,000 | - | - | - | - | - |
| TOTAL BEGINNING BALANCE | \$173,023 | \$191,638 | \$191,638 | \$193,495 | \$193,495 | - |
| REVENUE CATEGORIES | | | | | | |
| LICENSES AND FEES | | | | | | |
| 0205 Business Lic and Fees | | | | | | |
| 3400 Other Funds Ltd | 985,591 | 1,193,500 | 1,193,500 | 1,284,950 | 1,284,950 | - |
| 0210 Non-business Lic. and Fees | | | | | | |
| 3400 Other Funds Ltd | 43,283 | 22,325 | 22,325 | 25,000 | 25,000 | - |
| LICENSES AND FEES | | | | | | |
| 3400 Other Funds Ltd | 1,028,874 | 1,215,825 | 1,215,825 | 1,309,950 | 1,309,950 | - |
| TOTAL LICENSES AND FEES | \$1,028,874 | \$1,215,825 | \$1,215,825 | \$1,309,950 | \$1,309,950 | - |
| FINES, RENTS AND ROYALTIES | | | | | | |
| 0505 Fines and Forfeitures | | | | | | |
| 3400 Other Funds Ltd | 15,214 | 5,000 | 5,000 | 25,000 | 25,000 | - |

Licensed Social Workers, Board of

Agency Number: 12400

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 12400-001-00-00-00000

2013-15 Biennium

Operations

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|--------------------------------------|--------------------|-------------------------------|-----------------------------------|----------------------------------|---------------------------------|-------------------------------|
| INTEREST EARNINGS | | | | | | |
| 0605 Interest Income | | | | | | |
| 3400 Other Funds Ltd | 1,392 | - | - | - | - | - |
| SALES INCOME | | | | | | |
| 0705 Sales Income | | | | | | |
| 3400 Other Funds Ltd | 1,520 | 1,500 | 1,500 | 2,000 | 2,000 | - |
| OTHER | | | | | | |
| 0975 Other Revenues | | | | | | |
| 3400 Other Funds Ltd | - | 24,500 | 24,500 | 3,000 | 3,000 | - |
| TRANSFERS IN | | | | | | |
| 1010 Transfer In - Intrafund | | | | | | |
| 3400 Other Funds Ltd | 195,977 | - | - | - | - | - |
| REVENUE CATEGORIES | | | | | | |
| 3400 Other Funds Ltd | 1,242,977 | 1,246,825 | 1,246,825 | 1,339,950 | 1,339,950 | - |
| TOTAL REVENUE CATEGORIES | \$1,242,977 | \$1,246,825 | \$1,246,825 | \$1,339,950 | \$1,339,950 | - |
| TRANSFERS OUT | | | | | | |
| 2010 Transfer Out - Intrafund | | | | | | |
| 3400 Other Funds Ltd | (195,977) | - | - | - | - | - |
| 2060 Transfer to General Fund | | | | | | |
| 8800 General Fund Revenue | (41,000) | - | - | - | - | - |
| TRANSFERS OUT | | | | | | |
| 3400 Other Funds Ltd | (195,977) | - | - | - | - | - |
| 8800 General Fund Revenue | (41,000) | - | - | - | - | - |

01/11/13

2:36 PM

Licensed Social Workers, Board of

Agency Number: 12400

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 12400-001-00-00-00000

2013-15 Biennium

Operations

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|---|--------------------|-------------------------------|-----------------------------------|----------------------------------|---------------------------------|-------------------------------|
| TOTAL TRANSFERS OUT | (\$236,977) | - | - | - | - | - |
| AVAILABLE REVENUES | | | | | | |
| 3400 Other Funds Ltd | 1,179,023 | 1,438,463 | 1,438,463 | 1,533,445 | 1,533,445 | - |
| TOTAL AVAILABLE REVENUES | \$1,179,023 | \$1,438,463 | \$1,438,463 | \$1,533,445 | \$1,533,445 | - |
| EXPENDITURES | | | | | | |
| PERSONAL SERVICES | | | | | | |
| SALARIES & WAGES | | | | | | |
| 3110 Class/Unclass Sal. and Per Diem | | | | | | |
| 3400 Other Funds Ltd | 376,861 | 517,596 | 517,596 | 529,824 | 529,824 | - |
| 3160 Temporary Appointments | | | | | | |
| 3400 Other Funds Ltd | 14,569 | - | - | - | - | - |
| 3170 Overtime Payments | | | | | | |
| 3400 Other Funds Ltd | 4,350 | - | - | - | - | - |
| 3190 All Other Differential | | | | | | |
| 3400 Other Funds Ltd | 1,562 | - | - | - | - | - |
| SALARIES & WAGES | | | | | | |
| 3400 Other Funds Ltd | 397,342 | 517,596 | 517,596 | 529,824 | 529,824 | - |
| TOTAL SALARIES & WAGES | \$397,342 | \$517,596 | \$517,596 | \$529,824 | \$529,824 | - |
| OTHER PAYROLL EXPENSES | | | | | | |
| 3210 Empl. Rel. Bd. Assessments | | | | | | |
| 3400 Other Funds Ltd | 89 | 246 | 246 | 240 | 240 | - |
| 3220 Public Employees' Retire Cont | | | | | | |
| 3400 Other Funds Ltd | 32,014 | 73,860 | 73,860 | 103,540 | 100,077 | - |

Licensed Social Workers, Board of

Agency Number: 12400

Budget Support - Detail Revenues and Expenditures
2013-15 Biennium
Operations

Cross Reference Number: 12400-001-00-00-00000

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|--|------------------|-------------------------------|-----------------------------------|----------------------------------|---------------------------------|-------------------------------|
| 3221 Pension Obligation Bond | | | | | | |
| 3400 Other Funds Ltd | 22,360 | 19,748 | 19,748 | 28,433 | 28,433 | - |
| 3230 Social Security Taxes | | | | | | |
| 3400 Other Funds Ltd | 28,882 | 39,597 | 39,597 | 40,531 | 40,531 | - |
| 3240 Unemployment Assessments | | | | | | |
| 3400 Other Funds Ltd | 8,764 | - | - | - | - | - |
| 3250 Worker's Comp. Assess. (WCD) | | | | | | |
| 3400 Other Funds Ltd | 246 | 354 | 354 | 354 | 354 | - |
| 3260 Mass Transit Tax | | | | | | |
| 3400 Other Funds Ltd | 2,320 | 3,184 | 3,184 | 3,179 | 3,179 | - |
| 3270 Flexible Benefits | | | | | | |
| 3400 Other Funds Ltd | 123,460 | 180,576 | 180,576 | 183,168 | 183,168 | - |
| OTHER PAYROLL EXPENSES | | | | | | |
| 3400 Other Funds Ltd | 218,135 | 317,565 | 317,565 | 359,445 | 355,982 | - |
| TOTAL OTHER PAYROLL EXPENSES | \$218,135 | \$317,565 | \$317,565 | \$359,445 | \$355,982 | - |
| P.S. BUDGET ADJUSTMENTS | | | | | | |
| 3465 Reconciliation Adjustment | | | | | | |
| 3400 Other Funds Ltd | - | (49,110) | (49,110) | - | (1) | - |
| 3470 Undistributed (P.S.) | | | | | | |
| 3400 Other Funds Ltd | - | - | - | - | (1,070) | - |
| 3991 PERS Policy Adjustment | | | | | | |
| 3400 Other Funds Ltd | - | - | - | - | (18,895) | - |
| P.S. BUDGET ADJUSTMENTS | | | | | | |

86)

Licensed Social Workers, Board of

Agency Number: 12400

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 12400-001-00-00-00000

2013-15 Biennium

Operations

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|--|------------------|-------------------------------|-----------------------------------|----------------------------------|---------------------------------|-------------------------------|
| 3400 Other Funds Ltd | - | (49,110) | (49,110) | - | (19,966) | - |
| TOTAL P.S. BUDGET ADJUSTMENTS | - | (\$49,110) | (\$49,110) | - | (\$19,966) | - |
| PERSONAL SERVICES | | | | | | |
| 3400 Other Funds Ltd | 615,477 | 786,051 | 786,051 | 889,269 | 865,840 | - |
| TOTAL PERSONAL SERVICES | \$615,477 | \$786,051 | \$786,051 | \$889,269 | \$865,840 | - |
| SERVICES & SUPPLIES | | | | | | |
| 4100 Instate Travel | | | | | | |
| 3400 Other Funds Ltd | 26,210 | 25,556 | 25,556 | 26,169 | 26,169 | - |
| 4125 Out of State Travel | | | | | | |
| 3400 Other Funds Ltd | 3,431 | 12,765 | 12,765 | 13,071 | 13,071 | - |
| 4150 Employee Training | | | | | | |
| 3400 Other Funds Ltd | 324 | 2,416 | 2,416 | 2,474 | 2,474 | - |
| 4175 Office Expenses | | | | | | |
| 3400 Other Funds Ltd | 40,781 | 37,380 | 37,380 | 38,277 | 38,277 | - |
| 4200 Telecommunications | | | | | | |
| 3400 Other Funds Ltd | 9,113 | 10,453 | 10,453 | 10,704 | 9,281 | - |
| 4225 State Gov. Service Charges | | | | | | |
| 3400 Other Funds Ltd | 64,657 | 69,514 | 69,514 | 91,873 | 82,572 | - |
| 4250 Data Processing | | | | | | |
| 3400 Other Funds Ltd | - | - | - | - | 235 | - |
| 4275 Publicity and Publications | | | | | | |
| 3400 Other Funds Ltd | 5,650 | 9,388 | 9,388 | 9,613 | 9,613 | - |
| 4300 Professional Services | | | | | | |

Licensed Social Workers, Board of

Agency Number: 12400

Budget Support - Detail Revenues and Expenditures
2013-15 Biennium
Operations

Cross Reference Number: 12400-001-00-00-00000

| Description | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|--|-----------------|-------------------------------|-----------------------------------|----------------------------------|---------------------------------|-------------------------------|
| 3400 Other Funds Ltd | 33,881 | 41,001 | 41,001 | 42,149 | 41,868 | - |
| 4315 IT Professional Services | | | | | | |
| 3400 Other Funds Ltd | 13,930 | 13,210 | 13,210 | 13,580 | 13,580 | - |
| 4325 Attorney General | | | | | | |
| 3400 Other Funds Ltd | 74,370 | 90,265 | 90,265 | 103,714 | 103,714 | - |
| 4375 Employee Recruitment and Develop | | | | | | |
| 3400 Other Funds Ltd | - | 563 | 563 | 577 | 577 | - |
| 4400 Dues and Subscriptions | | | | | | |
| 3400 Other Funds Ltd | 2,275 | 2,952 | 2,952 | 3,023 | 3,023 | - |
| 4425 Facilities Rental and Taxes | | | | | | |
| 3400 Other Funds Ltd | 58,717 | 69,734 | 69,734 | 73,918 | 73,918 | - |
| 4575 Agency Program Related S and S | | | | | | |
| 3400 Other Funds Ltd | 39,569 | 48,962 | 48,962 | 50,137 | 50,137 | - |
| 4650 Other Services and Supplies | | | | | | |
| 3400 Other Funds Ltd | 13,188 | 9,153 | 9,153 | 9,373 | 9,373 | - |
| 4675 Undistributed (S.S.) | | | | | | |
| 3400 Other Funds Ltd | - | - | - | - | (607) | - |
| 4700 Expendable Prop 250 - 5000 | | | | | | |
| 3400 Other Funds Ltd | 337 | 5,183 | 5,183 | 5,307 | 5,307 | - |
| 4715 IT Expendable Property | | | | | | |
| 3400 Other Funds Ltd | 12,019 | 10,422 | 10,422 | 10,672 | 10,672 | - |
| SERVICES & SUPPLIES | | | | | | |
| 3400 Other Funds Ltd | 398,452 | 458,917 | 458,917 | 504,631 | 493,254 | - |

Licensed Social Workers, Board of

Agency Number: 12400

Budget Support - Detail Revenues and Expenditures

Cross Reference Number: 12400-001-00-00-00000

2013-15 Biennium

Operations

| <i>Description</i> | 2009-11 Actuals | 2011-13 Leg Adopted Budget | 2011-13 Leg Approved Budget | 2013-15 Agency Request Budget | 2013-15 Governor's Budget | 2013-15 Leg Adopted Budget |
|--------------------------------------|------------------------|---------------------------------------|--|--|--|---------------------------------------|
| TOTAL SERVICES & SUPPLIES | \$398,452 | \$458,917 | \$458,917 | \$504,631 | \$493,254 | - |
| EXPENDITURES | | | | | | |
| 3400 Other Funds Ltd | 1,013,929 | 1,244,968 | 1,244,968 | 1,393,900 | 1,359,094 | - |
| TOTAL EXPENDITURES | \$1,013,929 | \$1,244,968 | \$1,244,968 | \$1,393,900 | \$1,359,094 | - |
| ENDING BALANCE | | | | | | |
| 3400 Other Funds Ltd | 165,094 | 193,495 | 193,495 | 139,545 | 174,351 | - |
| TOTAL ENDING BALANCE | \$165,094 | \$193,495 | \$193,495 | \$139,545 | \$174,351 | - |
| AUTHORIZED POSITIONS | | | | | | |
| 8150 Class/Unclass Positions | 5 | 6 | 6 | 6 | 6 | - |
| TOTAL AUTHORIZED POSITIONS | 5 | 6 | 6 | 6 | 6 | - |
| AUTHORIZED FTE | | | | | | |
| 8250 Class/Unclass FTE Positions | 4.18 | 5.50 | 5.50 | 6.00 | 6.00 | - |
| 8280 FTE Reconciliation | - | (0.05) | (0.05) | - | - | - |
| TOTAL AUTHORIZED FTE | 4.18 | 5.45 | 5.45 | 6.00 | 6.00 | - |

Licensed Social Workers, Board of

Agency Number: 12400

**Version / Column Comparison Report - Detail
2013-15 Biennium
Operations**

Cross Reference Number:12400-001-00-00-00000

| Description | Agency Request Budget (V-01) 2013-15 Base Budget | Governor's Budget (Y-01) 2013-15 Base Budget | Column 2 minus Column 1 | % Change from Column 1 to Column 2 |
|--|---|---|----------------------------|---------------------------------------|
| | Column 1 | Column 2 | | |
| BEGINNING BALANCE | | | | |
| 0025 Beginning Balance | | | | |
| 3400 Other Funds Ltd | 193,495 | 193,495 | 0 | - |
| REVENUE CATEGORIES | | | | |
| LICENSES AND FEES | | | | |
| 0205 Business Lic and Fees | | | | |
| 3400 Other Funds Ltd | 1,284,950 | 1,284,950 | 0 | - |
| 0210 Non-business Lic. and Fees | | | | |
| 3400 Other Funds Ltd | 25,000 | 25,000 | 0 | - |
| TOTAL LICENSES AND FEES | | | | |
| 3400 Other Funds Ltd | 1,309,950 | 1,309,950 | 0 | - |
| FINES, RENTS AND ROYALTIES | | | | |
| 0505 Fines and Forfeitures | | | | |
| 3400 Other Funds Ltd | 25,000 | 25,000 | 0 | - |
| SALES INCOME | | | | |
| 0705 Sales Income | | | | |
| 3400 Other Funds Ltd | 2,000 | 2,000 | 0 | - |
| OTHER | | | | |
| 0975 Other Revenues | | | | |
| 3400 Other Funds Ltd | 3,000 | 3,000 | 0 | - |
| TOTAL REVENUES | | | | |
| 3400 Other Funds Ltd | 1,339,950 | 1,339,950 | 0 | - |
| AVAILABLE REVENUES | | | | |
| 3400 Other Funds Ltd | 1,533,445 | 1,533,445 | 0 | - |

90

Licensed Social Workers, Board of

Agency Number: 12400

Version / Column Comparison Report - Detail

Cross Reference Number:12400-001-00-00-00000

2013-15 Biennium

Operations

| Description | Agency Request Budget (V-01) 2013-15 Base Budget | Governor's Budget (Y-01) 2013-15 Base Budget | Column 2 minus Column 1 | % Change from Column 1 to Column 2 |
|-------------|--|--|----------------------------|---------------------------------------|
| | Column 1 | Column 2 | | |

EXPENDITURES

PERSONAL SERVICES

SALARIES & WAGES

3110 Class/Unclass Sal. and Per Diem

| | | | | |
|----------------------|---------|---------|---|---|
| 3400 Other Funds Ltd | 460,296 | 460,296 | 0 | - |
|----------------------|---------|---------|---|---|

OTHER PAYROLL EXPENSES

3210 Empl. Rel. Bd. Assessments

| | | | | |
|----------------------|-----|-----|---|---|
| 3400 Other Funds Ltd | 200 | 200 | 0 | - |
|----------------------|-----|-----|---|---|

3220 Public Employees' Retire Cont

| | | | | |
|----------------------|--------|--------|---------|--------|
| 3400 Other Funds Ltd | 89,822 | 86,818 | (3,004) | -3.34% |
|----------------------|--------|--------|---------|--------|

3221 Pension Obligation Bond

| | | | | |
|----------------------|--------|--------|---|---|
| 3400 Other Funds Ltd | 19,748 | 19,748 | 0 | - |
|----------------------|--------|--------|---|---|

3230 Social Security Taxes

| | | | | |
|----------------------|--------|--------|---|---|
| 3400 Other Funds Ltd | 35,212 | 35,212 | 0 | - |
|----------------------|--------|--------|---|---|

3250 Worker's Comp. Assess. (WCD)

| | | | | |
|----------------------|-----|-----|---|---|
| 3400 Other Funds Ltd | 295 | 295 | 0 | - |
|----------------------|-----|-----|---|---|

3260 Mass Transit Tax

| | | | | |
|----------------------|-------|-------|---|---|
| 3400 Other Funds Ltd | 3,184 | 3,184 | 0 | - |
|----------------------|-------|-------|---|---|

3270 Flexible Benefits

| | | | | |
|----------------------|---------|---------|---|---|
| 3400 Other Funds Ltd | 152,640 | 152,640 | 0 | - |
|----------------------|---------|---------|---|---|

TOTAL OTHER PAYROLL EXPENSES

| | | | | |
|----------------------|---------|---------|---------|--------|
| 3400 Other Funds Ltd | 301,101 | 298,097 | (3,004) | -1.00% |
|----------------------|---------|---------|---------|--------|

P.S. BUDGET ADJUSTMENTS

3465 Reconciliation Adjustment

Licensed Social Workers, Board of

Agency Number: 12400

Version / Column Comparison Report - Detail
 2013-15 Biennium
 Operations

Cross Reference Number:12400-001-00-00-00000

| Description | Agency Request Budget (V-01) 2013-15 Base Budget | Governor's Budget (Y-01) 2013-15 Base Budget | Column 2 minus Column 1 | % Change from Column 1 to Column 2 |
|--|---|---|----------------------------|---------------------------------------|
| | Column 1 | Column 2 | | |
| 3400 Other Funds Ltd | - | (1) | (1) | 100.00% |
| TOTAL PERSONAL SERVICES | | | | |
| 3400 Other Funds Ltd | 761,397 | 758,392 | (3,005) | -0.39% |
| SERVICES & SUPPLIES | | | | |
| 4100 Instate Travel | | | | |
| 3400 Other Funds Ltd | 25,556 | 25,556 | 0 | - |
| 4125 Out of State Travel | | | | |
| 3400 Other Funds Ltd | 12,765 | 12,765 | 0 | - |
| 4150 Employee Training | | | | |
| 3400 Other Funds Ltd | 2,416 | 2,416 | 0 | - |
| 4175 Office Expenses | | | | |
| 3400 Other Funds Ltd | 37,380 | 37,380 | 0 | - |
| 4200 Telecommunications | | | | |
| 3400 Other Funds Ltd | 10,453 | 10,453 | 0 | - |
| 4225 State Gov. Service Charges | | | | |
| 3400 Other Funds Ltd | 69,514 | 69,514 | 0 | - |
| 4275 Publicity and Publications | | | | |
| 3400 Other Funds Ltd | 9,388 | 9,388 | 0 | - |
| 4300 Professional Services | | | | |
| 3400 Other Funds Ltd | 41,001 | 41,001 | 0 | - |
| 4315 IT Professional Services | | | | |
| 3400 Other Funds Ltd | 13,210 | 13,210 | 0 | - |
| 4325 Attorney General | | | | |
| 3400 Other Funds Ltd | 90,265 | 90,265 | 0 | - |

92

Licensed Social Workers, Board of

Agency Number: 12400

Version / Column Comparison Report - Detail
2013-15 Biennium
Operations

Cross Reference Number:12400-001-00-00-00000

| Description | Agency Request Budget (V-01) 2013-15 Base Budget | Governor's Budget (Y-01) 2013-15 Base Budget | Column 2 minus Column 1 | % Change from Column 1 to Column 2 |
|--|--|--|----------------------------|---------------------------------------|
| | Column 1 | Column 2 | | |
| 4375 Employee Recruitment and Develop | | | | |
| 3400 Other Funds Ltd | 563 | 563 | 0 | - |
| 4400 Dues and Subscriptions | | | | |
| 3400 Other Funds Ltd | 2,952 | 2,952 | 0 | - |
| 4425 Facilities Rental and Taxes | | | | |
| 3400 Other Funds Ltd | 69,734 | 69,734 | 0 | - |
| 4575 Agency Program Related S and S | | | | |
| 3400 Other Funds Ltd | 48,962 | 48,962 | 0 | - |
| 4650 Other Services and Supplies | | | | |
| 3400 Other Funds Ltd | 9,153 | 9,153 | 0 | - |
| 4700 Expendable Prop 250 - 5000 | | | | |
| 3400 Other Funds Ltd | 5,183 | 5,183 | 0 | - |
| 4715 IT Expendable Property | | | | |
| 3400 Other Funds Ltd | 10,422 | 10,422 | 0 | - |
| TOTAL SERVICES & SUPPLIES | | | | |
| 3400 Other Funds Ltd | 458,917 | 458,917 | 0 | - |
| TOTAL EXPENDITURES | | | | |
| 3400 Other Funds Ltd | 1,220,314 | 1,217,309 | (3,005) | -0.25% |
| ENDING BALANCE | | | | |
| 3400 Other Funds Ltd | 313,131 | 316,136 | 3,005 | 0.96% |
| AUTHORIZED POSITIONS | | | | |
| 8150 Class/Unclass Positions | 5 | 5 | 0 | - |
| AUTHORIZED FTE | | | | |
| 8250 Class/Unclass FTE Positions | 5.00 | 5.00 | 0 | - |

Licensed Social Workers, Board of

Agency Number: 12400

Package Comparison Report - Detail
 2013-15 Biennium
 Operations

Cross Reference Number: 12400-001-00-00-00000
 Package: Non-PICS Psni Svc / Vacancy Factor
 Pkg Group: ESS Pkg Type: 010 Pkg Number: 010

| Description | Agency Request Budget (V-01) | Governor's Budget (Y-01) | Column 2 Minus Column 1 | % Change from Column 1 to Column 2 |
|-------------------------------------|------------------------------|--------------------------|-------------------------|------------------------------------|
| | Column 1 | Column 2 | | |
| EXPENDITURES | | | | |
| PERSONAL SERVICES | | | | |
| OTHER PAYROLL EXPENSES | | | | |
| 3221 Pension Obligation Bond | | | | |
| 3400 Other Funds Ltd | 8,685 | 8,685 | 0 | 0.00% |
| 3260 Mass Transit Tax | | | | |
| 3400 Other Funds Ltd | (422) | (422) | 0 | 0.00% |
| OTHER PAYROLL EXPENSES | | | | |
| 3400 Other Funds Ltd | 8,263 | 8,263 | 0 | 0.00% |
| TOTAL OTHER PAYROLL EXPENSES | \$8,263 | \$8,263 | \$0 | 0.00% |
| PERSONAL SERVICES | | | | |
| 3400 Other Funds Ltd | 8,263 | 8,263 | 0 | 0.00% |
| TOTAL PERSONAL SERVICES | \$8,263 | \$8,263 | \$0 | 0.00% |
| EXPENDITURES | | | | |
| 3400 Other Funds Ltd | 8,263 | 8,263 | 0 | 0.00% |
| TOTAL EXPENDITURES | \$8,263 | \$8,263 | \$0 | 0.00% |
| ENDING BALANCE | | | | |
| 3400 Other Funds Ltd | (8,263) | (8,263) | 0 | 0.00% |
| TOTAL ENDING BALANCE | (\$8,263) | (\$8,263) | \$0 | 0.00% |

94

Licensed Social Workers, Board of

Agency Number: 12400

Package Comparison Report - Detail
 2013-15 Biennium
 Operations

Cross Reference Number: 12400-001-00-00-00000
 Package: Standard Inflation
 Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

| Description | Agency Request Budget (V-01) | Governor's Budget (Y-01) | Column 2 Minus Column 1 | % Change from Column 1 to Column 2 |
|-------------|------------------------------|--------------------------|-------------------------|------------------------------------|
| | Column 1 | Column 2 | | |

EXPENDITURES

SERVICES & SUPPLIES

4100 Instate Travel

3400 Other Funds Ltd 613 613 0 0.00%

4125 Out of State Travel

3400 Other Funds Ltd 306 306 0 0.00%

4150 Employee Training

3400 Other Funds Ltd 58 58 0 0.00%

4175 Office Expenses

3400 Other Funds Ltd 897 897 0 0.00%

4200 Telecommunications

3400 Other Funds Ltd 251 (1,172) (1,423) (566.93%)

4225 State Gov. Service Charges

3400 Other Funds Ltd 22,359 13,058 (9,301) (41.60%)

4250 Data Processing

3400 Other Funds Ltd - 235 235 100.00%

4275 Publicity and Publications

3400 Other Funds Ltd 225 225 0 0.00%

4300 Professional Services

95

Licensed Social Workers, Board of

Agency Number: 12400

**Package Comparison Report - Detail
2013-15 Biennium
Operations**

**Cross Reference Number: 12400-001-00-00-00000
Package: Standard Inflation
Pkg Group: ESS Pkg Type: 030 Pkg Number: 031**

| Description | Agency Request Budget (V-01) | Governor's Budget (Y-01) | Column 2 Minus Column 1 | % Change from Column 1 to Column 2 |
|--|------------------------------|--------------------------|-------------------------|------------------------------------|
| | Column 1 | Column 2 | | |
| 3400 Other Funds Ltd | 1,148 | 867 | (281) | (24.48%) |
| 4315 IT Professional Services | | | | |
| 3400 Other Funds Ltd | 370 | 370 | 0 | 0.00% |
| 4325 Attorney General | | | | |
| 3400 Other Funds Ltd | 13,449 | 13,449 | 0 | 0.00% |
| 4375 Employee Recruitment and Develop | | | | |
| 3400 Other Funds Ltd | 14 | 14 | 0 | 0.00% |
| 4400 Dues and Subscriptions | | | | |
| 3400 Other Funds Ltd | 71 | 71 | 0 | 0.00% |
| 4425 Facilities Rental and Taxes | | | | |
| 3400 Other Funds Ltd | 4,184 | 4,184 | 0 | 0.00% |
| 4575 Agency Program Related S and S | | | | |
| 3400 Other Funds Ltd | 1,175 | 1,175 | 0 | 0.00% |
| 4650 Other Services and Supplies | | | | |
| 3400 Other Funds Ltd | 220 | 220 | 0 | 0.00% |
| 4700 Expendable Prop 250 - 5000 | | | | |
| 3400 Other Funds Ltd | 124 | 124 | 0 | 0.00% |
| 4715 IT Expendable Property | | | | |
| 3400 Other Funds Ltd | 250 | 250 | 0 | 0.00% |

96

Licensed Social Workers, Board of

Agency Number: 12400

Package Comparison Report - Detail
 2013-15 Biennium
 Operations

Cross Reference Number: 12400-001-00-00-00000

Package: Standard Inflation

Pkg Group: ESS Pkg Type: 030 Pkg Number: 031

| Description | Agency Request Budget (V-01) | Governor's Budget (Y-01) | Column 2 Minus Column 1 | % Change from Column 1 to Column 2 |
|--------------------------------------|---------------------------------|--------------------------|----------------------------|---------------------------------------|
| | Column 1 | Column 2 | | |
| SERVICES & SUPPLIES | | | | |
| 3400 Other Funds Ltd | 45,714 | 34,944 | (10,770) | (23.56%) |
| TOTAL SERVICES & SUPPLIES | \$45,714 | \$34,944 | (\$10,770) | (23.56%) |
| EXPENDITURES | | | | |
| 3400 Other Funds Ltd | 45,714 | 34,944 | (10,770) | (23.56%) |
| TOTAL EXPENDITURES | \$45,714 | \$34,944 | (\$10,770) | (23.56%) |
| ENDING BALANCE | | | | |
| 3400 Other Funds Ltd | (45,714) | (34,944) | 10,770 | 23.56% |
| TOTAL ENDING BALANCE | (\$45,714) | (\$34,944) | \$10,770 | 23.56% |

Licensed Social Workers, Board of

Agency Number: 12400

Package Comparison Report - Detail
 2013-15 Biennium
 Operations

Cross Reference Number: 12400-001-00-00-00000
 Package: Statewide Administrative Savings
 Pkg Group: POL Pkg Type: 090 Pkg Number: 091

| Description | Agency Request Budget (V-01) | Governor's Budget (Y-01) | Column 2 Minus Column 1 | % Change from Column 1 to Column 2 |
|-------------|------------------------------|--------------------------|-------------------------|------------------------------------|
| | Column 1 | Column 2 | | |

EXPENDITURES

PERSONAL SERVICES

P.S. BUDGET ADJUSTMENTS

3470 Undistributed (P.S.)

3400 Other Funds Ltd - (1,070) (1,070) 100.00%

P.S. BUDGET ADJUSTMENTS

3400 Other Funds Ltd - (1,070) (1,070) 100.00%

TOTAL P.S. BUDGET ADJUSTMENTS - (\$1,070) (\$1,070) 100.00%

PERSONAL SERVICES

3400 Other Funds Ltd - (1,070) (1,070) 100.00%

TOTAL PERSONAL SERVICES - (\$1,070) (\$1,070) 100.00%

SERVICES & SUPPLIES

4675 Undistributed (S.S.)

3400 Other Funds Ltd - (607) (607) 100.00%

SERVICES & SUPPLIES

3400 Other Funds Ltd - (607) (607) 100.00%

TOTAL SERVICES & SUPPLIES - (\$607) (\$607) 100.00%

EXPENDITURES

3400 Other Funds Ltd - (1,677) (1,677) 100.00%

98

Licensed Social Workers, Board of

Agency Number: 12400

Package Comparison Report - Detail
 2013-15 Biennium
 Operations

Cross Reference Number: 12400-001-00-00-00000
 Package: Statewide Administrative Savings
 Pkg Group: POL Pkg Type: 090 Pkg Number: 091

| Description | Agency Request Budget (V-01) | Governor's Budget (Y-01) | Column 2 Minus Column 1 | % Change from Column 1 to Column 2 |
|-----------------------------|------------------------------|--------------------------|-------------------------|------------------------------------|
| | Column 1 | Column 2 | | |
| TOTAL EXPENDITURES | - | (\$1,677) | (\$1,677) | 100.00% |
| ENDING BALANCE | | | | |
| 3400 Other Funds Ltd | - | 1,677 | 1,677 | 100.00% |
| TOTAL ENDING BALANCE | - | \$1,677 | \$1,677 | 100.00% |

99

Licensed Social Workers, Board of

Agency Number: 12400

Package Comparison Report - Detail
 2013-15 Biennium
 Operations

Cross Reference Number: 12400-001-00-00-00000
 Package: PERS Taxation Policy
 Pkg Group: POL Pkg Type: 090 Pkg Number: 092

| Description | Agency Request Budget (V-01) | Governor's Budget (Y-01) | Column 2 Minus Column 1 | % Change from Column 1 to Column 2 |
|--------------------------------------|------------------------------|--------------------------|-------------------------|------------------------------------|
| | Column 1 | Column 2 | | |
| EXPENDITURES | | | | |
| PERSONAL SERVICES | | | | |
| P.S. BUDGET ADJUSTMENTS | | | | |
| 3991 PERS Policy Adjustment | | | | |
| 3400 Other Funds Ltd | - | (2,102) | (2,102) | 100.00% |
| P.S. BUDGET ADJUSTMENTS | | | | |
| 3400 Other Funds Ltd | - | (2,102) | (2,102) | 100.00% |
| TOTAL P.S. BUDGET ADJUSTMENTS | - | (\$2,102) | (\$2,102) | 100.00% |
| PERSONAL SERVICES | | | | |
| 3400 Other Funds Ltd | - | (2,102) | (2,102) | 100.00% |
| TOTAL PERSONAL SERVICES | - | (\$2,102) | (\$2,102) | 100.00% |
| EXPENDITURES | | | | |
| 3400 Other Funds Ltd | - | (2,102) | (2,102) | 100.00% |
| TOTAL EXPENDITURES | - | (\$2,102) | (\$2,102) | 100.00% |
| ENDING BALANCE | | | | |
| 3400 Other Funds Ltd | - | 2,102 | 2,102 | 100.00% |
| TOTAL ENDING BALANCE | - | \$2,102 | \$2,102 | 100.00% |

100)

Licensed Social Workers, Board of

Agency Number: 12400

Package Comparison Report - Detail
 2013-15 Biennium
 Operations

Cross Reference Number: 12400-001-00-00-00000
 Package: Other PERS Adjustments
 Pkg Group: POL Pkg Type: 090 Pkg Number: 093

| Description | Agency Request Budget (V-01) | Governor's Budget (Y-01) | Column 2 Minus Column 1 | % Change from Column 1 to Column 2 |
|--------------------------------------|------------------------------|--------------------------|-------------------------|------------------------------------|
| | Column 1 | Column 2 | | |
| EXPENDITURES | | | | |
| PERSONAL SERVICES | | | | |
| P.S. BUDGET ADJUSTMENTS | | | | |
| 3991 PERS Policy Adjustment | | | | |
| 3400 Other Funds Ltd | - | (16,793) | (16,793) | 100.00% |
| P.S. BUDGET ADJUSTMENTS | | | | |
| 3400 Other Funds Ltd | - | (16,793) | (16,793) | 100.00% |
| TOTAL P.S. BUDGET ADJUSTMENTS | - | (\$16,793) | (\$16,793) | 100.00% |
| PERSONAL SERVICES | | | | |
| 3400 Other Funds Ltd | - | (16,793) | (16,793) | 100.00% |
| TOTAL PERSONAL SERVICES | - | (\$16,793) | (\$16,793) | 100.00% |
| EXPENDITURES | | | | |
| 3400 Other Funds Ltd | - | (16,793) | (16,793) | 100.00% |
| TOTAL EXPENDITURES | - | (\$16,793) | (\$16,793) | 100.00% |
| ENDING BALANCE | | | | |
| 3400 Other Funds Ltd | - | 16,793 | 16,793 | 100.00% |
| TOTAL ENDING BALANCE | - | \$16,793 | \$16,793 | 100.00% |

101

Licensed Social Workers, Board of

Agency Number: 12400

Package Comparison Report - Detail
 2013-15 Biennium
 Operations

Cross Reference Number: 12400-001-00-00-00000

Package: Investigator 2

Pkg Group: POL Pkg Type: POL Pkg Number: 100

| Description | Agency Request Budget (V-01) | Governor's Budget (Y-01) | Column 2 Minus Column 1 | % Change from Column 1 to Column 2 |
|-------------|------------------------------|--------------------------|-------------------------|------------------------------------|
| | Column 1 | Column 2 | | |

EXPENDITURES

PERSONAL SERVICES

SALARIES & WAGES

3110 Class/Unclass Sal. and Per Diem

3400 Other Funds Ltd 69,528 69,528 0 0.00%

OTHER PAYROLL EXPENSES

3210 Empl. Rel. Bd. Assessments

3400 Other Funds Ltd 40 40 0 0.00%

3220 Public Employees Retire Cont

3400 Other Funds Ltd 13,718 13,259 (459) (3.35%)

3230 Social Security Taxes

3400 Other Funds Ltd 5,319 5,319 0 0.00%

3250 Workers Comp. Assess. (WCD)

3400 Other Funds Ltd 59 59 0 0.00%

3260 Mass Transit Tax

3400 Other Funds Ltd 417 417 0 0.00%

3270 Flexible Benefits

3400 Other Funds Ltd 30,528 30,528 0 0.00%

OTHER PAYROLL EXPENSES

102

Licensed Social Workers, Board of

Agency Number: 12400

Package Comparison Report - Detail
2013-15 Biennium
Operations

Cross Reference Number: 12400-001-00-00-00000

Package: Investigator 2

Pkg Group: POL Pkg Type: POL Pkg Number: 100

| Description | Agency Request Budget (V-01) | Governor's Budget (Y-01) | Column 2 Minus Column 1 | % Change from Column 1 to Column 2 |
|-------------------------------------|---------------------------------|--------------------------|----------------------------|---------------------------------------|
| | Column 1 | Column 2 | | |
| 3400 Other Funds Ltd | 50,081 | 49,622 | (459) | (0.92%) |
| TOTAL OTHER PAYROLL EXPENSES | \$50,081 | \$49,622 | (\$459) | (0.92%) |
| PERSONAL SERVICES | | | | |
| 3400 Other Funds Ltd | 119,609 | 119,150 | (459) | (0.38%) |
| TOTAL PERSONAL SERVICES | \$119,609 | \$119,150 | (\$459) | (0.38%) |
| EXPENDITURES | | | | |
| 3400 Other Funds Ltd | 119,609 | 119,150 | (459) | (0.38%) |
| TOTAL EXPENDITURES | \$119,609 | \$119,150 | (\$459) | (0.38%) |
| ENDING BALANCE | | | | |
| 3400 Other Funds Ltd | (119,609) | (119,150) | 459 | 0.38% |
| TOTAL ENDING BALANCE | (\$119,609) | (\$119,150) | \$459 | 0.38% |
| AUTHORIZED POSITIONS | | | | |
| 8150 Class/Unclass Positions | 1 | 1 | 0 | 0.00% |
| AUTHORIZED FTE | | | | |
| 8250 Class/Unclass FTE Positions | 1.00 | 1.00 | 0.00 | 0.00% |

01/11/13 REPORT NO.: PPDPLBUDCL
 REPORT: SUMMARY LIST BY PKG BY SUMMARY XREF
 AGENCY:12400 LICENSED SOCIAL WORKERS BOARD
 SUMMARY XREF:001-00-00 000 Operations

| PKG | CLASS | COMP | DESCRIPTION | POS CNT | FTE | MOS | AVERAGE RATE | GF SAL | OF SAL | FF SAL | LF SAL | AF SAL |
|-----|-----------|-------|--------------------------------|------------|------|--------|-----------------|-----------|-----------|-----------|-----------|-----------|
| 000 | B | Y7500 | AE BOARD AND COMMISSION MEMBER | | .00 | .00 | 0.00 | | 5,040 | | | 5,040 |
| 000 | MEAHZ7004 | HA | PRINCIPAL EXECUTIVE/MANAGER C | 1 | 1.00 | 24.00 | 6,225.00 | | 149,400 | | | 149,400 |
| 000 | UA | C0103 | AA OFFICE SPECIALIST 1 | 1 | 1.00 | 24.00 | 2,185.00 | | 52,440 | | | 52,440 |
| 000 | UA | C0104 | AA OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 2,279.00 | | 54,696 | | | 54,696 |
| 000 | UA | C0860 | AA PROGRAM ANALYST 1 | 1 | 1.00 | 24.00 | 3,652.00 | | 87,648 | | | 87,648 |
| 000 | UA | C5247 | AA COMPLIANCE SPECIALIST 2 | 1 | 1.00 | 24.00 | 4,628.00 | | 111,072 | | | 111,072 |
| 000 | | | | 5 | 5.00 | 120.00 | 1,580.75 | | 460,296 | | | 460,296 |

104

01/11/13 REPORT NO.: PPDPLBUDCL
 REPORT: SUMMARY LIST BY PKG BY SUMMARY XREF
 AGENCY:12400 LICENSED SOCIAL WORKERS BOARD
 SUMMARY XREF:001-00-00 100 Operations

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

2013-15 PAGE 2
 PROD FILE
 PICS SYSTEM: BUDGET PREPARATION

| PKG | CLASS | COMP | DESCRIPTION | POS CNT | FTE | MOS | AVERAGE RATE | GF SAL | OF SAL | FF SAL | LF SAL | AF SAL |
|-----|-------|----------|----------------|------------|------|--------|-----------------|-----------|-----------|-----------|-----------|-----------|
| 100 | UA | C5232 AA | INVESTIGATOR 2 | 1 | 1.00 | 24.00 | 2,897.00 | | 69,528 | | | 69,528 |
| 100 | | | | 1 | 1.00 | 24.00 | 2,897.00 | | 69,528 | | | 69,528 |
| | | | | 6 | 6.00 | 144.00 | 1,682.00 | | 529,824 | | | 529,824 |
| | | | | 6 | 6.00 | 144.00 | 1,682.00 | | 529,824 | | | 529,824 |

01/11/13 REPORT NO.: PPDPLBUDCL
REPORT: SUMMARY LIST BY PKG BY SUMMARY XREF
AGENCY:12400 LICENSED SOCIAL WORKERS BOARD
SUMMARY XREF:001-00-00 100 Operations

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

2013-15
PICS SYSTEM: BUDGET PREPARATION

| PKG | CLASS | COMP | DESCRIPTION | POS CNT | FTE | MOS | AVERAGE RATE | GF SAL | OF SAL | FF SAL | LF SAL | AF SAL |
|-----|-------|------|-------------|------------|------|--------|-----------------|-----------|-----------|-----------|-----------|-----------|
| | | | | 6 | 6.00 | 144.00 | 1,682.00 | | 529,824 | | | 529,824 |

106

01/11/13 REPORT NO.: PPDPLAGYCL
 REPORT: SUMMARY LIST BY PKG BY AGENCY
 AGENCY:12400 LICENSED SOCIAL WORKERS BOARD

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

PAGE 1
 2013-15
 PROD FILE
 PICS SYSTEM: BUDGET PREPARATIDN

| PKG | CLASS | COMP | DESCRIPTION | POS CNT | FTE | MOS | AVERAGE RATE | GF SAL | OF SAL | FF SAL | LF SAL | AF SAL |
|-----|-----------|-------|--------------------------------|------------|------|--------|-----------------|-----------|-----------|-----------|-----------|-----------|
| 000 | B | Y7500 | AE BOARD AND COMMISSION MEMBER | | .00 | .00 | 0.00 | | 5,040 | | | 5,040 |
| 000 | MEAHZ7004 | HA | PRINCIPAL EXECUTIVE/MANAGER C | 1 | 1.00 | 24.00 | 6,225.00 | | 149,400 | | | 149,400 |
| 000 | UA | C0103 | AA OFFICE SPECIALIST 1 | 1 | 1.00 | 24.00 | 2,185.00 | | 52,440 | | | 52,440 |
| 000 | UA | C0104 | AA OFFICE SPECIALIST 2 | 1 | 1.00 | 24.00 | 2,279.00 | | 54,696 | | | 54,696 |
| 000 | UA | C0860 | AA PROGRAM ANALYST 1 | 1 | 1.00 | 24.00 | 3,652.00 | | 87,648 | | | 87,648 |
| 100 | UA | C5232 | AA INVESTIGATOR 2 | 1 | 1.00 | 24.00 | 2,897.00 | | 69,528 | | | 69,528 |
| 000 | UA | C5247 | AA COMPLIANCE SPECIALIST 2 | 1 | 1.00 | 24.00 | 4,628.00 | | 111,072 | | | 111,072 |
| | | | | 6 | 6.00 | 144.00 | 1,682.00 | | 529,824 | | | 529,824 |

107

01/11/13 REPORT NO.: PPDPLAGYCL
REPORT: SUMMARY LIST BY PKG BY AGENCY
AGENCY:12400 LICENSED SOCIAL WORKERS BOARD

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

2013-15
PICS SYSTEM: BUDGET PREPARATION
PAGE 2
PROD FILE

| PKG | CLASS | COMP | DESCRIPTION | POS CNT | FTE | MOS | AVERAGE RATE | GF SAL | OF SAL | FF SAL | LF SAL | AF SAL |
|-----|-------|------|-------------|------------|------|--------|-----------------|-----------|-----------|-----------|-----------|-----------|
| | | | | 6 | 6.00 | 144.00 | 1,682.00 | | 529,824 | | | 529,824 |

108

)

)

)

01/11/13 REPORT NO.: PPDPLWSBUD
 REPORT: DETAIL LISTING BY SUMMARY XREF AGENCY
 AGENCY: 12400 LICENSED SOCIAL WORKERS BOARD
 SUMMARY XREF: 001-00-00 100 Operations

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

PAGE 1
 2013-15
 PROD FILE
 PICS SYSTEM: BUDGET PREPARATION

| POSITION NUMBER | AUTH NO | ORG STRUC | F POS PKG Y TYP | CLASS COMP | S T RNG P | POS CNT | FTE | BUDGET RATE | MOS | GF SAL | OF SAL | FF SAL | LF SAL | T R K |
|----------------------|-----------|----------------------|--------------------|------------|-----------------|------------|------|----------------|-------|-----------|-----------|-----------|-----------|-------------|
| 0000841 | 001184930 | 001-01-00-00000 | 100 0 PF UA | C5232 AA | 21 02 | 1 | 1.00 | 2,897.00 | 24.00 | | 69,528 | | | |
| EST DATE. 2013/07/01 | | EXP DATE: 9999/01/01 | | | | | | | | | | | | |
| | | | 100 | | | 1 | 1.00 | | 24.00 | | 69,528 | | | |
| | | | | | | 1 | 1.00 | | 24.00 | | 69,528 | | | |
| | | | | | | 1 | 1.00 | | 24.00 | | 69,528 | | | |

109

01/11/13 REPORT NO.: PPDPLWSBUD
REPORT: DETAIL LISTING BY SUMMARY XREF AGENCY
AGENCY: 12400 LICENSED SOCIAL WORKERS BOARD
SUMMARY XREF: 001-00-00 100 Operations

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

PAGE 2
2013-15
PROD FILE
PICS SYSTEM: BUDGET PREPARATION

| POSITION NUMBER | AUTH NO | ORG STRUC | F POS PKG Y TYP | CLASS COMP | S T RNG P | POS CNT | FTE | BUDGET RATE | MOS | GF SAL | OF SAL | FF SAL | LF SAL | T R K |
|--------------------|---------|-----------|--------------------|------------|-----------------|------------|------|----------------|-------|-----------|-----------|-----------|-----------|-------------|
| | | | | | | 1 | 1.00 | | 24.00 | | 69,528 | | | |

110

)

)

)

01/11/13 REPORT NO.: PPDFFISCAL
 REPORT: PACKAGE FISCAL IMPACT REPORT
 AGENCY:12400 LICENSED SOCIAL WORKERS BOARD
 SUMMARY XREF:001-00-00 Operations

DEPT. OF ADMIN. SVCS. -- PPDB PICS SYSTEM

2013-15
 PICS SYSTEM: BUDGET PREPARATION

PACKAGE: 100 - Investigator 2

| POSITION NUMBER CLASS COMP CLASS NAME | POS CNT | FTE | MOS | STEP | RATE | GF SAL/OPE | OF SAL/OPE | FF SAL/OPE | LF SAL/OPE | AF SAL/OPE |
|--|------------|------|-------|------|----------|---------------|------------------|---------------|---------------|------------------|
| 0000841 UA C5232 AA INVESTIGATOR 2 | 1 | 1.00 | 24.00 | 02 | 2,897.00 | | 69,528 49,205 | | | 69,528 49,205 |
| TOTAL PICS SALARY | | | | | | | 69,528 | | | 69,528 |
| TOTAL PICS OPE | | | | | | | 49,205 | | | 49,205 |
| TOTAL PICS PERSONAL SERVICES = | 1 | 1.00 | 24.00 | | | | 118,733 | | | 118,733 |

///