



# Budget Narrative

**Department of Administrative Services**  
**Agency Number: 10700**

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No.

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# Certification

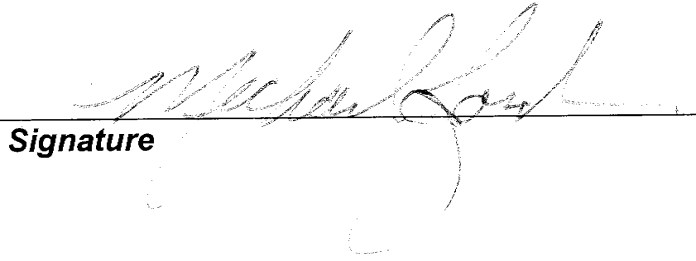
I hereby certify that the accompanying summary and detailed statements are true and correct to the best of my knowledge and belief and that the arithmetic accuracy of all numerical information has been verified.

**Department of Administrative Services**

*Agency Name*

**155 Cottage Street NE Salem**

*Agency Address*



*Signature*

**Michael Jordan, Chief Operating Officer**

*Title*

**Notice:** Requests of those agencies headed by a board or commission must be approved by those bodies of official action and signed by the board or commission chairperson. The requests of other agencies must be approved and signed by the agency director or administrator.

## ORBITS Budget Narrative

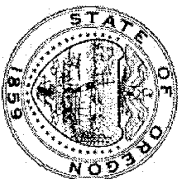
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## Summary of Emergency Board Action December 2012

The Legislative Emergency Board met on December 12, 2012 and considered an agenda of 33 items. The agenda included two requests for allocations from the general purpose appropriation made to the Emergency Board; neither of which were approved, although the Emergency Board did allocate Emergency Fund dollars to the Bureau of Labor and Industries for the rehiring of two Wage and Hour Division positions. There were also three agency requests on the agenda for allocations from special purpose appropriations made to the Emergency Board, totaling \$43.9 million, all of which was allocated. Additional details on these allocations are described below. In addition, the Emergency Board transferred the remaining balances from special purpose appropriations to the general purpose legal citation. After the Emergency Board actions, the unallocated balance of the general purpose Emergency Fund is \$50.4 million with a zero balance in special purpose appropriations.

The agenda included 18 items that requested additional 2011-13 biennium authority to spend Lottery Funds, Other Funds, and Federal Funds. The Emergency Board approved expenditure limitation increases of approximately \$413.5 million Other Funds (including \$330.8 million for the Oregon Health Authority's rebalance) and \$234.2 million Federal Funds (including \$129 million for the Oregon Health Authority rebalance and \$86.7 million for the Department of Human Services rebalance). The Emergency Board also authorized the establishment of 80 limited duration positions, with an overall increase of 20.17 FTE.

The agenda included 8 agency reports, which the Emergency Board acknowledged receiving. The most significant of these was a report from the Department of Administrative Services on the redirection of \$6.8 million of assessment revenues. The Emergency Board also heard eight requests for the submission of federal grant applications, four of which were in a consent agenda item (Departments of Housing and Community Services, Parks and Recreation, and Transportation). Two of the grant application requests included approvals of associated expenditure limitation increases.

The following is a summary of significant Emergency Board actions taken at the December 2012 meeting:

### Education

- Approved, retroactively, a request by the Governor's Office to apply for a federal Race-to-the-Top grant in the amount of \$20.5 million; increased the Federal Funds expenditure limitation for the Early Learning Council by \$1,223,658; increased the Other Funds expenditure limitation for the Employment Department by \$1,658,526; and authorized the establishment of five limited duration positions (0.65 FTE) for early learning activities, with the understanding that the expenditure limitation increases will be unscheduled until the grant funds are received.
  - Increased the Other Funds expenditure limitation of the Governor's Office by \$825,616 for Early Learning Council expenditures.
- 
- Human Services
- Approved a rebalance of the Oregon Health Authority's current biennium budget by approving the transfer of General Fund appropriations, increasing the Other Funds expenditure limitation by \$330,849,514, increasing the Federal Funds expenditure limitation by \$128,951,616, authorizing the establishment of 72 limited duration positions (18.77 FTE), and increasing the Other Funds nonlimited expenditure authority by \$21,320,100, with the understanding that the Department of Administrative Services will unschedule a total of \$5,237,309 General Fund, \$1,444,016 Other Funds, and \$10,000,000 Federal Funds expenditure limitation.
  - Approved a rebalance of the Department of Human Services' current biennium budget by allocating \$40,097,207 General Fund from the special purpose appropriation made to the Emergency Board for human services caseloads and other specified costs, approving the transfers of General Fund appropriations, increasing the

Other Funds expenditure limitation by \$61,532,972, and increasing the Federal Funds expenditure limitation by \$86,709,356, with the understanding that the Department of Administrative Services will unschedule \$60 million Other Funds expenditure limitation and \$101,918 General Fund.

- Acknowledged receipt of a report by the Oregon Health Authority on the agency's efforts to pursue a competitive bidding process for generic drugs within the Medicaid program.

#### Public Safety and Judicial Branch

- Allocated \$1,102,857 to the Department of Justice from a special purpose appropriation made to the Emergency Board for the on-going costs of the defense of the revenue stream from the Master Settlement Agreement with tobacco companies.

- Approved, retroactively, requests by the Department of Corrections to apply for a federal grant in the amount of \$191,768 from the U.S. Department of Justice for the Circles of Support and Accountability Training and Technical Assistance Project and in the amount of \$291,797 from the U.S. Department of Justice to provide advanced-level skills training for probation officers.

- Acknowledged receipt of a report by the Department of Corrections and the Oregon Health Authority on the purchase of pharmaceutical drugs for the inmate health services program, with instructions to the agencies to report again to the Joint Committee on Ways and Means during the 2013 session.

- Increased the Other Funds expenditure limitation of the Military Department, Community Support program, by \$133,355 for 2012 fire season activities.

- Increased the Other Funds expenditure limitation of the Military Department's Community Support program by \$300,000 and the Federal Funds expenditure limitation for the Oregon Youth Challenge program by \$650,000.

- Approved a request by the Department of Public Safety Standards and Training to apply for a federal grant from the White House Office of National Drug Control Policy in the amount of \$100,000 for training to support Oregon's drug enforcement and investigators and professionals, and increased the Federal Funds expenditure of the agency by \$50,000.

- Increased the Other Funds expenditure limitation of the Judicial Department by \$580,000 for specialty court operations, by \$380,000 for general operations, and by \$110,000 to address deferred maintenance issues with the Supreme Court Building.

#### Natural Resources

- Acknowledged receipt of a report by the Department of Forestry on the 2012 fire season, allocated \$2,660,983 from a special purpose appropriation made to the Emergency Board for fire protection expenses, and deferred a request for an allocation of \$22,093 General Fund from the Emergency Fund to the 2013 session when an agency rebalance can occur.

- Increased the Federal Funds expenditure limitation for the Department of Fish and Wildlife's Wildlife Division by \$1,219,834, increased the Federal Funds expenditure limitation for the Fish Division by \$1,555,846, and authorized the establishment of three limited duration positions (0.75 FTE) and the reclassification of two positions in the Fish Division.

- Deferred a request by the Department of Agriculture for an allocation of \$446,040 from the Emergency Fund to pay for research on the co-existence between canola, other brassicas crops, and Willamette Valley specialty seed crops to the 2013 session.

- Increased the Federal Funds expenditure limitation of the Department of Agriculture by \$101,200 to perform work on a federal grant related to increasing exports of blueberries to South Korea.

- Acknowledged receipt of a report by the Department of Agriculture on the use of \$50,000 of emergency funds approved at the September meeting of the Emergency Board to help those affected by rangeland fires in SE Oregon, with direction to the agency to report to the Joint Committee on Ways and Means during the 2013 session.

- Increased the Federal Funds expenditure limitation of the Department of Environmental Quality for the Air Quality program area by \$900,000 and transferred \$300,000 of Federal Funds expenditure limitation from the Cross Program area to the Air Quality program area.
- Approved, retroactively, the submission of a federal grant application by the Parks and Recreation Department to the North American Wetlands Conservation Act in the amount of \$60,000 to enhance the Sullivan Gulch Channel.

#### Economic and Community Development

- Approved a request by the Department of Housing and Community Services to apply for a federal grant to the U.S. Department of Housing and Urban Development for a National Foreclosure Mitigation Counseling grant of up to \$807,804 to provide foreclosure intervention counseling to owner-occupants of single-family



properties who are delinquent on their mortgages and/or at risk of default or foreclosure.

#### Transportation

- Increased the Other Funds Capital Construction expenditure limitation for the Department of Transportation by \$4,187,246 for the Portland Drive Testing Center remodeling and upgrade project.
- Increased the Federal Funds expenditure limitation for the Department of Transportation's Public Transit Division by \$12,800,000 for federal transit grant reimbursement and the Other Funds expenditure limitation by \$900,000 for federal cost allocation.
- Approved, retroactively, requests by the Department of Transportation to apply for a federal grant from the Federal Motor Carrier Safety Administration in the amount of \$154,824 to support agency efforts to comply with revised federal regulations from Commercial Driver Licenses and Commercial Learner Permits and to the Federal Transportation Administration in the amount of \$2,400,000 to construct a trail between Warren Creek and Lindsey Creek State Park.
- Increased the Other Funds Capital Construction expenditure limitation for the Department of Aviation by \$48,000 for the Chiloquin State Airport apron rehabilitation, obstruction removal, and lighting project.

#### Consumer and Business Services

- Allocated \$76,069 General Fund from the Emergency Fund for the Bureau of Labor and Industries to fund the restoration of two positions in the Wage and Hour Division temporarily suspended due to General Fund reductions made in the current biennium budget. The agency had requested restoring 12 positions using Other Funds from the Wage Security Fund and Prevailing Wage Rate Fund. The Board action was taken with the understanding that an equivalent amount of Other Funds will be reappropriated from the Wage Security Fund and Prevailing Wage Rate Fund to the General Fund during the 2013 session.
- Increased the Federal Funds expenditure limitation of the Bureau of Labor and Industries by \$41,123 for increased caseload and program costs in federal contracts with the Equal Employment Opportunity Commission and the U.S. Department of Housing and Urban Development.

#### Administration

- Established an Other Funds expenditure limitation for the Department of Administrative Services of \$11,965,254 for distributions to taxing districts of funds from the Shared Services Fund related to the Strategic Investment Program.
- Acknowledged receipt of a report by the Department of Administrative Services on expenditures not anticipated in the agency's current biennium budget by using \$6.8 million of resources from savings and the over-collection of assessment dollars from state agencies for Department services. The agency was instructed to unschedule \$2 million Other Funds expenditure limitation planned to be used for a review of the replacement of the state's Human Resource system and to report to the Joint Committee on Ways and Means during the 2013 session on possible inclusion of a capital construction project for the steam tunnel repair project to the list of 2011-13 capital construction projects.
- Acknowledged receipt of a report from the Department of Administrative Services on the coordination of funding requests related to removal of tsunami debris from Oregon's coastline.
- Acknowledged receipt of a report from the Citizens' Initiative Review Commission on the 2012 initiative review process.
- Acknowledged receipt of a report from the Oregon Liquor Control Commission on quarterly sales, revenue, and available expenditure limitation related to bank card fees and agent compensation.
- Deferred a request by the Treasurer of State to the 2013 session for the establishment of three permanent positions to plan for and initiate replacement of the agency's Local Government Investment Pool Voice Response System, with the understanding that the agency would continue to use existing staff and administratively established positions, where necessary, to both maintain the functionality of the Voice Response System and to develop a business case for the modernization of the Voice Response System. Any approval of the actual replacement of the system or other components of the agency's cash management system was deferred until the 2013 session.
- Transferred \$24,968,138 from unallocated balances in special purpose appropriations made to the Emergency Board for specified uses to the general purpose appropriation legal citation. The result of this action establishes a balance of \$50,447,306 in the general purpose Emergency Fund.

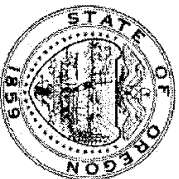
**Emergency Fund Balance Summary**

	Agency Requests	Full Board Action
<b>General Purpose Emergency Fund</b>		
Appropriation (after 2012 Session adjustments)	27,218,734	27,218,734
Allocations to date	(1,683,497)	(1,683,497)
<b>Unallocated/Unreserved Balance</b>	<b>25,555,237</b>	<b>25,555,237</b>
<b>December 2012 Requests - General Purpose</b>		
16 Department of Forestry - Fire severity costs in addition to special purpose appropriation request	(22,093)	
18 Department of Agriculture - Canola research	(446,040)	
33 Bureau of Labor and Industries - Position restoration	-	(76,069)
39 Legislative Fiscal Office - Transfer of unused special purpose appropriation amounts to Emergency Fund	24,968,138	24,968,138
Total Requests - General Purpose	24,500,005	24,892,069
<b>General Purpose Unallocated/Unreserved Balance after 12/2012</b>		
Special Purpose Appropriations - Agency Specific (includes 2012 Session actions)	82,145,415	82,145,415
Allocations/Transfers to Date	(13,316,230)	(13,316,230)
Unallocated Balance	68,829,185	68,829,185
<b>December 2012 Requests - Special Purpose Appropriations - Agency Specific</b>		
6 Department of Human Services - 2012 budget rebalance	(40,097,207)	(40,097,207)
10 Department of Justice - Defense of the Tobacco Master Settlement Agreement	(1,102,857)	(1,102,857)
16 Department of Forestry - Fire severity costs for the 2012 fire season and 2013 insurance premium	(2,660,983)	(2,660,983)
39 Legislative Fiscal Office - Transfer of unused special purpose appropriation amounts to Emergency Fund	(24,968,138)	(24,968,138)
Total Requests - Special Purpose - Agency Specific	(68,829,185)	(68,829,185)
<b>Special Purpose - Agency Specific - Unallocated Balance after 12/2012</b>		
	0	0

Additional detail of Emergency Board meetings are available on the  
 Legislative Fiscal Office website: [www.leg.state.or.us/comm/ifo/home.htm](http://www.leg.state.or.us/comm/ifo/home.htm)

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## Summary of Emergency Board Action September 2012

The Legislative Emergency Board met on September 14, 2012 and considered an agenda of 47 items. The agenda included two requests for allocations from the general purpose appropriation made to the Emergency Board; the Board approved one of the requests, an allocation of \$50,000 for the Department of Agriculture to be used in combination with other funding to help those affected by fires in southeastern Oregon. There were also two agency requests on the agenda for allocations from special purpose appropriations made to the Emergency Board, totaling \$6.1 million, of which \$4.6 million was allocated. Additional details on these allocations are described below. After the Emergency Board actions, the unallocated balance of the general purpose Emergency Fund is \$25.6 million and the remaining balance of all special purpose appropriations is \$68.8 million.

The agenda included 16 items that requested additional 2011-13 biennium authority to spend Lottery Funds, Other Funds, and Federal Funds. The Emergency Board approved expenditure limitation increases of approximately \$28.6 million Other Funds (including \$20 million for the Department of Forestry's fire program) and \$3.8 million Federal Funds (including \$1.8 million for Oregon Watershed Enhancement Board restoration grants). Also included was a small increase in Lottery Funds expenditure limitation (\$27,862) for the Parks and Recreation Department. The Emergency Board also authorized the establishment of 6 limited duration positions, with an overall increase of 2.04 FTE.

The agenda also included 8 agency reports, which the Emergency Board acknowledged receiving, three of which were in a consent agenda item. The most significant of these reports was a report from the Department of Forestry on the 2012 fire season. The Emergency Board also heard 22 requests for the submission of federal grant applications, 15 of which were in a consent agenda item (Departments of Education, Justice, Human Services, Housing and Community Services, Parks and Recreation, Energy, Agriculture, Forestry, and Human Transportation and the Oregon Health Authority). Two of the grant application requests included approvals of associated expenditure limitation increases.

The following is a summary of significant Emergency Board actions taken at the September 2012 meeting:

### Education

- Increased the Other Funds expenditure limitation of the Oregon Student Access Commission by \$201,660, and authorized the establishment of one limited duration position (0.33 FTE) to address workload and information system needs of the Office of Degree Authorization, with the understanding that \$100,000 of the amount will be unscheduled until a business case and project plan is approved.
  - Approved, retroactively, a request by the Department of Education to apply for a federal grant in the amount of \$7 million over four years to enhance the current English Language Proficiency Assessment.
- 
- Human Services**
- Approved, retroactively, a request by the Department of Human Services to apply for a federal grant in the amount of \$2.3 million over three years to help improve the state's Aging and Disability Resource Center program.
  - Approved, retroactively, a request by the Department of Human Services to apply for a federal grant in the amount of up to \$1.5 million over three years to help children in the child welfare system effectively connect or reconnect with family members.
  - Approved a request by the Department of Human Services to apply for a federal grant to support programs to prevent elder abuse.
  - Approved, retroactively, requests by the Oregon Health Authority to apply for federal grants to increase participation in the "Living Well" programs, to enhance protocols for improving public health response to outbreaks of foodborne illness, to improve the delivery of immunization services, to enhance the software used to collect

restaurant inspection information, to improve interoperability and integration across health, public health, and human services information systems, and to develop capacity for standardized collection, reporting, and analysis of data on the quality of health care provided to adults covered by Medicaid

- Approved a request by the Oregon Health Authority to apply for a federal grant in the amount of up to \$60 million over 3.5 years to test new health care payment and service delivery models in the context of larger health system transformation.

#### Public Safety and Judicial Branch

- Allocated \$2,000,000 to the Public Defense Services Commission from a special purpose appropriation made to the Emergency Board for trial level public defense.
- Increased the Federal Funds expenditure limitation of the Judicial Department by \$452,400 for the Oregon Juvenile Court Improvement Program.
- Deferred a request by the Military Department for an allocation of \$1.1 million from the Emergency Fund for various agencies conducting coastal debris cleanup resulting from the Japanese tsunami event, but directed the Department of Administrative Services to begin coordinating the financial aspects of this activity and report back to the Emergency Board in December 2012 on updated cost figures and estimates of future need for each of the participating agencies.
- Increased the Other Funds expenditure limitation of the Military Department by \$109,087, and authorized the establishment of two limited duration positions (0.58 FTE) for outreach and recruitment activities related to a Veterans in Transit training program, with the understanding that the Department of Administrative Services will unschedule the increase until grant funds have been awarded.
- Acknowledged receipt of a report by the Military Department on its long-range strategic plan.
- Approved, retroactively, a request by the Military Department to apply for a federal grant in the amount of \$250,000 for the purpose of biomass utilization.
- Increased the Other Funds expenditure limitation by \$40,000 and the Federal Funds expenditure limitation by \$120,000 of the Military Department for a grant of up to \$160,000 to the City of Salem to fund the installation of a flood warning system on the Mill Creek tributary in southern Marion County, with the understanding that the Department of Administrative Services will unschedule the expenditure limitation increases

until the Military Department receives grant approval from the Federal Emergency Management Agency.

- Approved a request by the Department of Justice to apply for a federal grant for a pilot project to establish parenting time orders concurrently with initial child support orders.

#### Natural Resources

- Increased the Other Funds expenditure limitation of the Department of Forestry by \$20,000,000 for expenses incurred in the 2011-13 fire seasons, with the understanding that the Department of Administrative Services will unschedule the increase until the expenditure limitation is needed.
- Acknowledged receipt of a report by the Department of Forestry on the 2012 fire season and General Fund reductions and directed the agency to complete a specific plan to meet the remaining \$152,318 General Fund reduction in the Fire Protection program such that there is no adverse effect on fire prevention and report on the plan at the December 2012 meeting of the Emergency Board.
- Increased the Federal Funds expenditure limitation of the Department of Land Conservation and Development by \$100,000 for the receipt of a grant to help communities deal with threats posed by coastal hazards and climate risks.
- Increased the Other Funds and Federal Funds expenditure limitations for Department of Agriculture programs, including the transfers of unneeded expenditure limitations between the programs, and authorized the establishment of 3 limited duration positions (1.13 FTE) for the federal Manufactured Food Regulatory Program; the net result of the action was an Other Funds expenditure limitation increase of \$941,000 and no change in the Federal Funds expenditure limitation.
- Allocated \$50,000 from the Emergency Fund to the Department of Agriculture, with the understanding that an equal amount will be committed from the Governor's Strategic Reserve Fund, and increased the Federal Funds expenditure limitation of the Department of Agriculture by \$100,000, with the understanding that the Department of Administrative Services will unschedule the Federal Funds expenditure limitation increase until the funds are received, and directed the Department of Agriculture to report to the Emergency Board in December 2012 on the efforts to provide financial assistance to those affected by rangeland fires in southeastern Oregon.
- Increased the Federal Funds expenditure

limitation of the Oregon Watershed Enhancement Board by \$1,800,000 and increased the Other Funds expenditure limitation of the Department of Fish and Wildlife by \$800,000 for expenditure of 2012 Pacific Coastal Salmon Recovery Fund grant monies.

- Increased the Other Funds expenditure limitation by \$421,925 and the Lottery Funds expenditure limitation by \$27,862 for the Parks and Recreation Department for Federal Emergency Management Agency reimbursements for January 2012 storm damage.
- Increased the Federal Funds expenditure limitation of the Parks and Recreation Department by \$82,260 for receipt of a grant to assist the agency with Native American cultural items.
- Approved, retroactively, a request by the Parks and Recreation Department to apply for a grant to defray initial costs of coastal tsunami debris cleanup and increased the Federal Funds expenditure limitation of the Parks and Recreation Department by \$50,000 for receipt of the grant.
- Acknowledged receipt of a report by the Department of State Lands on the Portland Harbor Superfund site budget for the 2011-13 biennium.
- Approved, retroactively, requests to apply for federal grants by the Department of Agriculture to remove trade barriers for Christmas tree exports and to meet standards for exporting blueberries, by the Parks and Recreation Department to defray costs of coastal tsunami debris cleanup, by the Department of Energy to support the development of biomass wood-to-energy cluster projects, and by the Department of Forestry to build an alternative access road to state and federal lands.

#### Economic and Community Development

- Approved, retroactively, a request by the Department of Veterans' Affairs to apply for a federal grant in the amount of up to \$26 million for the purpose of building a third veterans' home in Roseburg.
- Approved, retroactively, a request by the Department of Housing and Community Services to apply for a federal grant to help develop and subsidize rental housing.

#### Transportation

- Established a Federal Funds (Federal Revenues) Capital Construction expenditure limitation for the Department of Aviation in the amount of \$614,000 for the 2011-13 biennium for the Aurora State Airport air traffic control project.
- Acknowledged receipt of report by the Department of Aviation on actions taken to improve its business practices.
- Acknowledged receipt of a report by the

Department of Transportation on the status of the Innovative Partnership Program.

- Approved, retroactively, a request by the Department of Transportation to apply for a federal grant to provide partial funding for an electronic fuels tax system.

#### Consumer and Business Services

- Approved, retroactively, a request by the Department of Consumer and Business Services to apply for a federal grant and increased the Federal Funds expenditure limitation by \$451,354 to expand the scope and capacity of Oregon's Clearinghouse for Health Insurance and Advocacy program, known as Oregon Health Connect.

#### Administration

- Increased the Other Funds expenditure limitation of the Oregon Liquor Control Commission by \$5,320,000 for agents' compensation and by \$600,000 for bank card fees due to realized and anticipated increases in sales in the 2011-13 biennium.
- Acknowledged receipt of a report by the Oregon Liquor Control Commission on revenues and expenditures compared to projections.
- Allocated \$2,600,000 to the Department of Revenue from a special purpose appropriation made to the Emergency Board for the Elderly Rental Assistance and Nonprofit Housing programs.
- Acknowledged receipt of a report by the Department of Revenue on the Technology and Process Reengineering project.
- Increased the Other Funds expenditure limitation of the Citizens' Initiative Review Commission by \$114,999 for 2012 initiative review costs, increased the Other Funds expenditure limitation of the Oregon Health Licensing Agency by \$15,872 for services provided to the Citizens' Initiative Review Commission, and directed the Commission to report to the Emergency Board in December 2012 on the initiative review process, with the understanding that the Department of Administrative services will unscheduled the \$75,000 Other Funds expenditure limitation provided to the Department of Administrative Services in HB 2634 from the 2011 session.
- Acknowledged receipt of a report by the Department of Administrative Services on bond refinancing.

Emergency Fund Balance Summary			
	Agency Requests	Full Board Action	
<b>General Purpose Emergency Fund</b>			
Appropriation (after 2012 Session adjustments)	27,218,734	27,218,734	
Allocations to date	(1,613,497)	(1,613,497)	
Reservations			
Unallocated/Unreserved Balance	25,605,237	25,605,237	
<b># September 2012 Requests - General Purpose</b>			
17 Oregon Military Department, et. al. - Coastal Tsunami Debris Cleanup	(1,090,718)		
29 Department of Agriculture - Financial Assistance on Southeastern Oregon Rangeland Fires	(50,000)	(50,000)	
Total Requests - General Purpose	(1,140,718)	(50,000)	
<b>General Purpose Unallocated/Unreserved Balance after 9/12 (if requests approved)</b>			
Special Purpose Appropriations - Agency Specific (includes 2012 Session actions)	82,145,415	82,145,415	
Allocations/Transfers to Date	(8,716,230)	(8,716,230)	
Unallocated Balance	73,429,185	73,429,185	
<b># September 2012 Requests - Special Purpose Appropriations - Agency Specific</b>			
2 Public Defense Services Commission - Trial Level Public Defense Costs	(3,500,000)	(2,000,000)	
49 Department of Revenue - Elderly Rental Assistance Programs	(2,600,000)	(2,600,000)	
Total Requests - Special Purpose - Agency Specific	(6,100,000)	(4,600,000)	
<b>Special Purpose - Agency Specific - Unallocated Balance after 9/12 (if requests approved)</b>			
	67,329,185	68,829,185	

Additional detail and complete minutes of Emergency Board meetings are available on the  
 Legislative Fiscal Office website: [www.leg.state.or.us/comm/lfo/home.htm](http://www.leg.state.or.us/comm/lfo/home.htm)

Oregon Legislative Emergency Board  
Certificate

September 14, 2012

Pursuant to the provisions of ORS 291.328, and acting under the authority of ORS 286A.160(3); 291.326(1)(a), (b), (c), and (d); 291.371; and 291.375; this hereby certifies that the Emergency Board, meeting on September 14, 2012, took the following actions:

1. **Judicial Department**  
Increased the Federal Funds expenditure limitation established for the Judicial Department by section 4, chapter 634, Oregon Laws 2011, by \$452,400 for the Oregon Juvenile Court Improvement Program.
2. **Public Defense Services Commission**  
Allocated \$2,000,000 from the special purpose appropriation made to the Emergency Board by section 4(1), chapter 110, Oregon Laws 2012, for trial level public defense, to supplement the appropriation made to the Public Defense Services Commission by section 1(2), chapter 636, Oregon Laws 2011, for the Public Defense Services Account.
3. **Higher Education Coordinating Commission  
Oregon Student Access Commission**  
Increased the Other Funds expenditure limitation established for the Oregon Student Access Commission by section 2, chapter 541, Oregon Laws 2011, by \$201,660 and established one limited duration full-time position (0.33 FTE) to address workload and information system needs of the Office of Degree Authorization, with the understanding that the Department of Administrative Services will unschedule \$100,000 of the amount related to the information system until Legislative Fiscal Office and Department of Administrative Services staff review and approve a business case and project plan.
4. **Department of Education**  
Approved, retroactively, the submission of a federal grant application by the Department of Education to the U.S. Department of Education in the amount of \$7 million over four years to enhance the current English Language Proficiency Assessment.
5. **Department of Human Services**  
Approved, retroactively, the submission of a federal grant application by the Department of Human Services to the U.S. Administration on Aging for up to \$2.3 million over three years to help improve the state's Aging and Disability Resource Center program.
6. **Department of Human Services**  
Approved, retroactively, the submission of a federal grant application by the Department of Human Services to the U.S. Administration on Aging for \$723,700 to support programs to prevent elder abuse.

7. **Department of Human Services**  
Approved, retroactively, the submission of a federal grant application by the Department of Human Services to the U.S. Department of Health and Human Services, for up to \$1.5 million over the next 3 years to help children in the child welfare system effectively connect or reconnect with family members.
10. **Oregon Health Authority**  
Approved, retroactively, the submission of a federal grant application by the Oregon Health Authority to the U.S. Administration on Aging, for up to \$575,000 a year for three years, to increase the number of people who participate in interactive Living Well programs that provide real-life skills and tools for living a healthy life with chronic health conditions, including diabetes, arthritis, heart disease, hypertension, asthma, and depression.
11. **Oregon Health Authority**  
Approved, retroactively, the submission of a federal grant application by the Oregon Health Authority to the Centers for Disease Control and Prevention for up to \$500,000 a year for an indefinite number of years, starting in 2013, to enhance protocols for improving public health response to outbreak of foodborne illness by improving the collection, analysis, reporting, and usefulness of foodborne illness data in order to control and prevent foodborne disease.
12. **Oregon Health Authority**  
Approved, retroactively, the submission of a federal grant application by the Oregon Health Authority to the Centers for Disease Control and Prevention in the amount of up to \$1,700,350 over two years, to improve the delivery of immunization services in Oregon by strengthening the program's information technology systems.
13. **Oregon Health Authority**  
Approved, retroactively, the submission of a federal grant application by the Oregon Health Authority to the U.S. Food and Drug Administration, in the amount of up to \$60,000 for one year, to enhance the software used to collect restaurant inspection information.
14. **Oregon Health Authority**  
Approved, retroactively, the submission of a federal grant application by the Oregon Health Authority to the U.S. Department of Health and Human Services, in the amount \$1.125 million, to improve interoperability and integration across health, public health, and human services information systems.
15. **Oregon Health Authority**  
Approved, retroactively, the submission of a federal grant application by the Oregon Health Authority to the U.S. Department of Health and Human Services, in the amount of up to \$1 million per year for two years, to develop the agency's capacity for standardized collection, reporting, and analysis of data on the quality of health care provided to adults covered by Medicaid through the new Coordinated Care Organizations, as well as to incorporate requirements contained in the recently approved federal Medicaid waiver.
16. **Oregon Health Authority**  
Approved the submission of a federal grant application by the Oregon Health Authority to the U.S. Department of Health and Human Services, in the amount of up to \$60 million over 3 ½ years, to test new health care payment and service delivery models in the context of larger health system transformation.



- 17. Military Department**  
Deferred action on the request by the Japan Tsunami Marine Debris Task Force to allocate \$1,090,718 from the Emergency Fund for the clean-up of debris from the March 11, 2012 tsunami in Japan, with instruction that the Department of Administrative Services report to the December 2012 meeting of the Emergency Board with updated cost figures and estimates for each affected agency and to the 2013 Legislature on its policies, practices, and procedures governing the accounting and accountability for disaster related expenditures by state agencies.
- 18. Military Department**  
Increased the Other Funds expenditure limitation established for the Military Department by section 2(1), chapter 623, Oregon Laws 2011, by \$109,087 and authorized the establishment of two limited duration positions (0.58 FTE) for Administration, with the understanding that the Department of Administrative Services will unschedule the expenditure limitation increase until it has been notified that Portland State University has been awarded the Innovative Transit Workforce Development Program grant and that the Military Department has been officially notified by Portland State that it is a grant sub-recipient.
- 19. Military Department**  
Acknowledged receipt of a report from the Military Department on its long-range strategic plan.
- 21. Military Department**  
Approved, retroactively, the submission of a federal grant application and by the Military Department to the U.S. Department of Agriculture, U.S. Forest Service, in the amount of \$250,000 for a Hazardous Fuels Biomass Utilization grant.
- 22. Department of Justice**  
Approved, retroactively, the submission of a federal grant application by the Department of Justice to the U.S. Department of Health and Human Services, Office of Child Support Enforcement, in the amount of up to \$400,000 (\$100,000 per year for up to four years) for a pilot project to establish parenting time orders concurrently with initial child support orders.
- 24. Department of Veterans' Affairs**  
Approved, retroactively, the submission of a federal grant application by the Department of Veterans' Affairs to the U.S. Department of Veterans' Affairs for a State Home Construction Grant of up to \$26 million for the purpose of building a third veterans' home in Roseburg.
- 25. Housing and Community Services Department**  
Approved, retroactively, the submission of a federal grant application by the Housing and Community Services Department to the U.S. Department of Housing and Urban Development in the amount of up to \$3 million for Section 811 Project Rental Assistance Demonstration Program funds.
- 26. Department of Forestry**  
Acknowledged receipt of a report from the Department of Forestry on General Fund reductions and the 2012 fire season, and directed the Department of Forestry to complete a specific plan to meet the remaining unresolved \$152,318 General Fund reduction in the Fire Protection program, such that there is no adverse effect on fire protection, and to report on the plan at the December 2012 meeting of the Emergency Board.

- 27. Department of Forestry**  
Increased the Other Funds expenditure limitation established for the Department of Forestry by section 2(2), chapter 537, Oregon Laws 2011, Fire protection, by \$20,000,000 for expenses incurred in the 2011-13 fire seasons, with the understanding that the Department of Administrative Services will unschedule the increase until the need for the additional expenditure limitation is demonstrated.
- 28. Department of Agriculture**  
Authorized the establishment of 3 limited duration positions (1.13 FTE), approved the transfer of Other Funds and Federal Funds expenditure limitations, and increased Other Funds and Federal Funds expenditure limitations for the Department of Agriculture, per the attached schedule, for Food Safety, Natural Resources, and Agricultural Development programs.
- 29. Department of Agriculture**  
Allocated \$50,000 from the Emergency Fund established by section 1, chapter 600, Oregon Laws 2011, to supplement the appropriation made to the Department of Agriculture by section 1(4), chapter 409, Oregon Laws 2011, Agricultural development, with the understanding that an equal amount will be committed from the Governor's Strategic Reserve Fund; increased the Federal Funds expenditure limitation established for the Department of Agriculture by section 4(3), chapter 409, Oregon Laws 2011, Agricultural development, by \$100,000, with the understanding that the Department of Administrative Services will unschedule the Federal Funds expenditure limitation increase until the funds are received by the Department of Agriculture; and directed the Department of Agriculture to return to the Emergency Board in December 2012 with a status report on the efforts to provide financial assistance to those affected by rangeland fires in southeastern Oregon.
- 30. Department of Agriculture**  
Approved, retroactively, the submission of two federal grant applications by the Department of Agriculture to the U.S. Department of Agriculture, Technical Assistance for Specialty Crops program, to continue efforts to remove trade barriers for Christmas tree exports and for a new program to assist meeting with South Korea's standards for imported blueberries.
- 31. Oregon Watershed Enhancement Board  
Department of Fish and Wildlife**  
Increased the Federal Funds expenditure limitation established for the Oregon Watershed Enhancement Board by section 6, chapter 588, Oregon Laws 2011, by \$1,800,000 and increased the Other Funds expenditure limitation established for the Department of Fish and Wildlife by section 2(1), chapter 573, Oregon Laws 2011, Fish Division, by \$800,000 to allow expenditure of Pacific Coastal Salmon Recovery Fund resources.
- 32. Parks and Recreation Department**  
Approved the submission of a federal grant application by the Parks and Recreation Department to the National Marine Fisheries Service, National Oceanic and Atmospheric Administration, for up to \$150,000 to defray the costs of coastal tsunami debris clean-up.
- 33. Parks and Recreation Department**  
Increased the Other Funds expenditure limitation established for the Parks and Recreation Department by section 1(4), chapter 584, Oregon Laws 2011, Direct services, by \$421,925, and increased the Lottery Funds expenditure limitation established for the Parks and Recreation Department by section 2(4), chapter 584, Oregon Laws 2011, Direct services, by \$27,862 for costs related to January 2012 storm damage.

- 34. Parks and Recreation Department**  
Approved, retroactively, the submission of a federal grant application by the Parks and Recreation Department to the National Marine Fisheries Service, National Oceanic and Atmospheric Administration, and increased the Federal Funds expenditure limitation established for the Parks and Recreation Department by section 3(2), chapter 584, Oregon Laws 2011, Direct services, by \$50,000 for initial costs of coastal tsunami debris clean-up.
- 35. Parks and Recreation Department**  
Increased the Federal Funds expenditure limitation established for the Parks and Recreation Department by section 3 (3), chapter 584, Oregon Laws 2011, Community support and grants, by \$82,260 for assistance with Native American cultural items.
- 36. Department of State Lands**  
Acknowledged receipt of a report from the Department of State Lands on the Portland Harbor Superfund site budget for the 2011-13 biennium.
- 37. Department of Land Conservation and Development**  
Increased the Federal Funds expenditure limitation established for the Department of Land Conservation and Development by section 3, chapter 254, Oregon Laws 2011, by \$100,000 for assistance to communities to help deal with threats posed by coastal hazards and climate risks.
- 38. Department of Energy**  
Approved, retroactively, the submission of a federal grant application by the Department of Energy to the U.S. Forest Service for \$150,000 to support the development of biomass wood-to-energy cluster projects.
- 39. Department of Aviation**  
Acknowledged receipt of a report from the Department of Aviation on managing resources, as directed by budget note.
- 40. Department of Aviation**  
Established for the 2011-13 biennium a Federal Funds (Federal Revenues) Capital Construction expenditure limitation for the Department of Aviation in the amount of \$614,000 for the Aurora State Airport air traffic control project.
- 41. Department of Transportation**  
Acknowledged receipt of a report from the Department of Transportation on the implementation of the Oregon Innovative Partnerships Program.
- 42. Department of Transportation**  
Approved, retroactively, the submission of a federal grant application by the Department of Transportation to the Federal Highway Administration in the amount of \$250,000 to provide partial funding for an electronic fuels tax system currently in development.

43. **Department of Consumer and Business Services**  
Approved, retroactively, the submission of a federal grant application by the Department of Consumer and Business Services to the U.S. Department of Health and Human Services, and increased the Federal Funds expenditure limitation established for the Department of Consumer and Business Services by section 2, chapter 617, Oregon Laws 2011, by \$451,354 to work with the Oregon Health Authority to expand the scope and capacity of Oregon's Clearinghouse for Health Insurance and Advocacy Program.
45. **Oregon Liquor Control Commission**  
Acknowledged receipt of a report from the Oregon Liquor Control Commission on sales, revenue, and available expenditure limitation related to agents' compensation and credit card fees, as directed by budget note.
46. **Oregon Liquor Control Commission**  
Increased the Other Funds expenditure limitation established for the Oregon Liquor Control Commission by section 1(1), chapter 578, Oregon Laws 2011, Administrative expenses, by \$600,000 for expenses related to bank card fees, and increased the Other Funds expenditure limitation established for the Oregon Liquor Control Commission by section 1(2), chapter 578, Oregon Laws 2011, Agents' compensation, by \$5,320,000 for expenses related to agents' compensation, due to realized and anticipated increases in sales.
47. **Department of Administrative Services**  
Acknowledged receipt of a report from the Department of Administrative Services on the state's Lottery Revenue Bond debt service savings as a result of refinancing.
48. **Citizens' Review Initiative Commission  
Oregon Health Licensing Agency**  
Increased the Other Funds expenditure limitation established for the Citizens' Initiative Review Commission by section 13, chapter 365, Oregon Laws 2011, by \$114,999 for 2012 initiative review costs; increased the Other Funds expenditure limitation established for the Oregon Health Licensing Agency by section 1, chapter 539, Oregon Laws 2011, by \$15,872 for services provided to the Citizens' Initiative Review Commission; and directed the Citizens' Initiative Review Commission to report to the Emergency Board in December 2012 on the 2012 initiative review process; with the understanding that the Department of Administrative Services will unschedule the \$75,000 Other Funds expenditure limitation provided to the Department of Administrative Services by section 12, chapter 365, Oregon Laws 2011.
49. **Department of Revenue**  
Allocated \$2,600,000 from the special purpose appropriation made to the Emergency Board by section 6(1), chapter 625, Oregon Laws 2011 to supplement the appropriation made to the Department of Revenue by section 5, chapter 625, Oregon Laws 2011, for making payments authorized by ORS 307.244 and 310.630 to 310.706 for the elderly rental assistance program.
50. **Department of Revenue**  
Acknowledged receipt of a report from the Department of Revenue on the Technology and Process Reengineering project.

**51. Legislative Fiscal Office (for Military Department)**

Increased the Other Funds expenditure limitation established for the Military Department by section 2(3), chapter 623, Oregon Laws 2011, Emergency management, by \$40,000, and increased the Federal Funds expenditure limitation established for the Military Department by section 3(2), chapter 623, Oregon Laws 2011, Emergency management, by \$120,000, with the understanding that the Department of Administrative Services will unschedule the Other Funds and Federal Funds expenditure limitation increases until the Military Department receives grant approval from the Federal Emergency Management Agency.

**52. Department of Forestry**

Approved, retroactively, the submission of a federal grant application by the Department of Forestry to the U.S. Department of the Interior, Bureau of Land Management, in the amount of \$55,000, for the building of an alternative access road to state and federal lands.

/s/ Ken Rocco

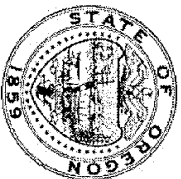
Ken Rocco, Legislative Fiscal Officer

**ODA Transfers and Expenditure Limitation Adjustments:**

		Positions	FTE
<b>Food safety</b>			
Ch 409 2(2)	Other Limited	1,304,000	
Ch 409 4(1)	Federal Limited	<u>89,505</u>	
	Total	1,393,505	3
			1.13
<b>Natural resources</b>			
Ch 409 2(3)	Other Limited	(1,100,000)	
Ch 409 4(2)	Federal Limited	<u>919,446</u>	
	Total	(180,554)	
<b>Agriculture development</b>			
Ch 409 2(4)	Other Limited	737,000	
Ch 409 4(3)	Federal Limited	<u>(1,008,951)</u>	
	Total	(271,951)	
<b>Department Total</b>			
	Other Limited	941,000	
	Federal Limited	<u>0</u>	
	Total	941,000	3
			1.13

**STATE OF OREGON  
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**KEN ROCCO**  
LEGISLATIVE FISCAL OFFICER  
**DARON HILL**  
DEPUTY LEGISLATIVE FISCAL OFFICER

**Summary of Emergency Board Action  
May 2012**

The Legislative Emergency Board met on May 21 - 23, 2012 and considered an agenda of 48 items. The agenda included six agency requests for allocations from the general purpose appropriation made to the Emergency Board; the Board approved five of the agency requests, one of which was approved at an amount less than the request. These allocations included \$593,497 for the Secretary of State, \$550,000 for the Department of Administrative Services for the City of Eugene, \$300,000 for the Department of Justice, and a total of \$170,000 for two requests from the Military Department. There were also two agency requests on the agenda for allocations from special purpose appropriations made to the Emergency Board, totaling \$10.2 million, of which \$8.7 million was allocated. Additional details on these allocations are described below. After the Emergency Board actions, the unallocated balance of the general purpose Emergency Fund is \$25.6 million and the remaining balance of all special purpose appropriations is \$73.4 million.

The agenda included 18 items that requested additional 2011-13 biennium authority to spend Lottery Funds, Other Funds, and Federal Funds. The Emergency Board approved expenditure limitation increases of approximately \$19.7 million Other Funds (including \$13.5 million for the Department of Transportation's rail program) and \$24.1 million Federal Funds (including \$5.5 million for Emergency Unemployment Compensation). Also included was a small increase in Lottery Funds expenditure limitation (\$74,250) for the Parks and Recreation Department. The Emergency Board also authorized the establishment of 42 limited duration positions, with an overall increase of 19.25 FTE; most of these positions resulted from additional federal funding to help unemployment insurance claimants get back to work more quickly (32 positions/15.50 FTE). Also approved was the extension of months to existing positions at the Employment Department for the Emergency Unemployment Compensation program (0 positions/14.50 FTE).

The agenda also included 10 agency reports, which the Emergency Board acknowledged receiving, three of which were in a consent agenda item. The most significant of these was a report from the Legislative Fiscal Office regarding agency plans to accommodate \$28 million in reductions included in the 2011-13 legislatively approved budget. The Emergency Board also heard 20 requests for the submission of federal grant applications, 13 of which were in a consent agenda item (Departments of Education, Community Colleges and Workforce Development, Human Services, Agriculture, Land Conservation and Development, Energy, and Transportation and the Oregon Health Authority). Two of the grant application requests included approvals of associated expenditure limitation increases.

The following is a summary of significant Emergency Board actions taken at the May 2012 meeting:

- |   |   |
|---|---|
| <ul style="list-style-type: none"><li>• Acknowledged receipt of a report on shifting Article XI-F bond proceeds between capital projects at Oregon State University.</li><li>• Increased the Federal Funds expenditure limitation of the Department of Community Colleges and Workforce Development by \$851,813 for grants received by the Oregon Youth Conservation Corps, with the understanding that \$500,000 of the amount will be unscheduled until the funds are received.</li><li>• Acknowledged receipt of a report by the Legislative Fiscal Office on preliminary findings concerning the 2011-12 School Year Subaccount of</li></ul> | <ul style="list-style-type: none"><li>• Increased the Other Funds expenditure limitation of the Long Term Care Ombudsman by \$120,000 and authorized the establishment of one limited duration position (0.50 FTE) for the Senior Medicare Patrol program.</li><li>• Acknowledged receipt of reports from the Oregon Health Authority on the Health Insurance</li></ul> |
|---|---|

- Exchange (HIX) project and on the Health Care System Transformation.
- Approved a request by the Department of Human Services to apply for a federal grant for Education System Collaboration to Increase Educational Stability for Child Welfare.

#### **Public Safety and Judicial Branch**

- Allocated \$300,000 from the Emergency Fund to the Department of Justice for costs associated with replacement of the primary information management system for Oregon's Child Support program.
- Allocated \$3,781,798 to the Department of Justice from a special purpose appropriation made to the Emergency Board, increased the Other Funds expenditure limitation by \$2,369,535, and authorized the establishment of five limited duration positions (1.13 FTE) for the Department of Justice for home foreclosure related activities and mediation services associated with the multi-state mortgage foreclosure settlement and SB 1552 (2012) through June 30, 2013; this action also included an allocation to the Housing and Community Services Department (for details, see Economic and Community Development below).
- Allocated \$170,000 from the Emergency Fund to the Military Department for facility and mission requirements at the Portland Air National Guard Base (\$90,000) and the Kingsley Field Air National Guard Base (\$80,000).
- Increased the Federal Funds expenditure limitation of the Military Department by \$5,000,000 and authorized the establishment of 23 limited duration positions (11.50 FTE) for an intergovernmental agreement with the federal government for the Umatilla Chemical Depot, to be unscheduled until a copy of the signed caretaker intergovernmental agreement is provided to the Department of Administrative Services and the Legislative Fiscal Office.
- Established a \$1,804,000 Capital Construction Federal Funds expenditure limitation for the Military Department for the 2011-13 biennium related to a service life extension project at the Milton-Freewater Armory, to be unscheduled until the agency submits more details on the planned project to the Department of Administrative Services and the Legislative Fiscal Office.
- Allocated \$1,084,432 to the Oregon Judicial Department from a special purpose appropriation made to the Emergency Board for court operations, but denied a request for an additional \$4.7 million from the Emergency Fund until more is known about the state's fiscal situation and actual court needs.

- Approved requests to apply for federal grants by the Department of State Police for a Port Security Grant to purchase patrol vessels for the Columbia River and by the Criminal Justice Commission for a re-entry grant through the U.S. Bureau of Justice Assistance.

#### **Natural Resources**

- Increased the Other Funds expenditure limitation of the Department of Energy by \$73,675 and authorized the establishment of two limited duration positions (1.08 FTE) to address immediate workload needs in the Energy Facility Siting Division.
- Increased the Federal Funds expenditure limitation of the Department of Land Conservation and Development by \$160,000 for a coastal zone project of special merit grant award.
- Increased the Other Funds expenditure limitation by \$220,175 and the Lottery Funds expenditure limitation by \$73,392 for the Parks and Recreation Department for costs related to the January 2012 floods and damages to state park property.
- Approved a request by the Parks and Recreation Department to apply for a grant from the U.S. Fish and Wildlife Service, National Coastal Wetlands Conservation program.
- Increased the Other Funds expenditure limitation of the Department of State Lands by \$352,000 for a rangeland improvement project in Harney County.

#### **Economic and Community Development**

- Allocated \$3,850,000 to the Housing and Community Services Department from a special purpose appropriation made to the Emergency Board and authorized the establishment of one limited duration position (0.50 FTE) for counseling services, legal assistance, and outreach to homeowners for home foreclosure related activities associated with the multi-state mortgage foreclosure settlement and SB 1552 (2012) through June 30, 2013; this action was a joint request with the Department of Justice (see Public Safety and Judicial Branch above for additional details).
- Increased the Federal Funds expenditure limitation of the Employment Department by \$5,500,000 and authorized the extension of existing limited duration positions (14.50 FTE) for the federal Emergency Unemployment Compensation program, and increased the Federal Funds expenditure limitation by \$1,527,235 for Child Care and Development Fund expenditures.
- Increased the Federal Funds expenditure limitation of the Employment Department by \$2,991,915 and authorized the establishment of 32 limited duration positions (15.50 FTE) related to a federal grant to expand programs designed to get



- unemployment insurance claimants back to work more quickly and to reduce errors and fraud in claims processing.
- Increased the Federal Funds expenditure limitation of the Oregon Business Development Department by \$480,000 related to a federal grant from the Small Business Administration for State Trade and Export Promotion.
- Increased the Other Funds expenditure limitation of the Department of Veterans' Affairs by \$125,000, established a Federal Funds Capital Construction expenditure limitation for the 2011-13 biennium in the amount of \$499,163, and established an Other Funds Capital Construction expenditure limitation for the 2011-13 biennium in the amount of \$268,780 to build and operate an electronic medical records system and install a secure access system for staff and patients at the Veterans' Home in The Dalles.

#### Transportation

- Increased the Other Funds expenditure limitation by \$13,500,808 and the Federal Funds expenditure limitation by \$5,141,157 for the Department of Transportation for costs in the agency's rail program.
- Approved requests by the Department of Transportation to apply for federal grants from the Federal Transit Authority related to Bus Livability and the Bus and Bus Facilities grant programs.

#### Consumer and Business Services

- Acknowledged receipt of a report from the Health Licensing Agency on the status of fee changes.

#### Administration

- Allocated \$550,000 from the Emergency Fund to the Department of Administrative Services for a grant to the City of Eugene for public safety costs associated with the 2012 U.S. Olympic Team Trials to be held at Hayward Field in June.

- Allocated \$593,497 from the Emergency Fund to the Secretary of State for reimbursement of state and county costs associated with the special elections necessary to fill a vacancy in the 1<sup>st</sup> Congressional District.
- Established a new Other Funds expenditure limitation for the Public Employees Retirement System of \$2,071,410 and authorized the establishment of three limited duration positions (1.62 FTE) to recover overpayments to certain retirement system benefit recipients.
- Acknowledged receipt of reports by the Department of Administrative Services on bond refinancing, compensation plan changes, and 2013-15 uniform rent rates.
- Acknowledged receipt of a report by the Oregon Liquor Control Commission on revenues and expenditures compared to projections.
- Acknowledged receipt of a report by the Legislative Fiscal Office on agency plans for accommodating reductions of \$28 million General Fund and \$3.8 million Other Funds included in the 2012 session rebalance of the 2011-13 biennium legislatively approved budget; and approved various appropriation transfers and Other Funds expenditure limitation increases within selected state agencies to clarify organizational changes resulting from the reduction. Direction was also provided to the Department of Administrative Services to ensure that the permanent changes recommended in the report would be reflected in the 2013-15 budget and to affected state agencies to implement the recommended changes to the 2011-13 budget. Modifications were made to the Legislative Fiscal Office recommendations for the Department of Corrections and the Department of Forestry.

**Emergency Fund Balance Summary**

	Agency Request	Full Board Action
<b>General Purpose Emergency Fund</b>		
Appropriation (after 2012 Session adjustments)	27,218,734	27,218,734
Allocations to date		
Reservations		
<b>Unallocated/Unreserved Balance</b>	<b>27,218,734</b>	<b>27,218,734</b>
<b># May 2012 Requests - General Purpose</b>		
1 Secretary of State - Special Elections Costs	(665,000)	(593,497)
2 Oregon Judicial Department - Court Operations	(4,709,600)	
17 Oregon Military Department - Portland Air National Guard Base	(90,000)	(90,000)
18 Oregon Military Department - Kingsley Field Air National Guard Base	(80,000)	(80,000)
50 Legislative Fiscal Office - Child Support System Funding for Department of Justice	(300,000)	(300,000)
53 Legislative Fiscal Office - Olympic Trials Public Safety for Department of Administrative Services	(550,000)	(550,000)
Total Requests - General Purpose	(6,414,600)	(1,613,497)
<b>General Purpose Unallocated/Unreserved Balance after 5/12 (if requests approved)</b>	<b>20,804,134</b>	<b>25,605,237</b>
Special Purpose Appropriations - Agency Specific (includes 2012 Session actions)	82,145,415	82,145,415
Allocations/Transfers to Date		
Unallocated Balance	82,145,415	82,145,415
<b># May 2012 Requests - Special Purpose Appropriations - Agency Specific</b>		
2 Oregon Judicial Department - Court Operations	(1,084,832)	(1,084,432)
21 Department of Justice - Home Foreclosure	(4,796,632)	(3,781,796)
21 Housing and Community Services Department - Home Foreclosure	(4,300,000)	(3,850,000)
Total Requests - Special Purpose - Agency Specific	(10,181,464)	(8,716,230)
<b>Special Purpose - Agency Specific - Unallocated Balance after 5/12 (if requests approved)</b>	<b>71,963,951</b>	<b>73,429,185</b>

**Enrolled**  
**Senate Bill 5701**

Printed pursuant to Senate Interim Rule 213.28 by order of the President of the Senate in conformance with pre-session filing rules, indicating neither advocacy nor opposition on the part of the President (at the request of Joint Interim Committee on Ways and Means)

CHAPTER .....

**AN ACT**

Relating to state financial administration; creating new provisions; amending section 5, chapter 20, Oregon Laws 2011; repealing section 5, chapter 339, Oregon Laws 2011, section 12, chapter 496, Oregon Laws 2011, section 6, chapter 577, Oregon Laws 2011, section 5, chapter 590, Oregon Laws 2011, section 52, chapter 600, Oregon Laws 2011, section 12, chapter 609, Oregon Laws 2011, section 5, chapter 621, Oregon Laws 2011, and section 4, chapter 666, Oregon Laws 2011; appropriating money; limiting expenditures; and declaring an emergency.

**Be It Enacted by the People of the State of Oregon:**

**SECTION 1.** Notwithstanding any other law limiting expenditures, the amount of \$1,712,451 is established for the biennium beginning July 1, 2011, as the maximum limit for payment of expenses from fees, moneys or other revenues, including Miscellaneous Receipts, and including reimbursements from federal service agreements, but excluding lottery funds and federal funds, collected or received by the Judicial Department, for specialty courts.

**SECTION 2.** Notwithstanding any other law limiting expenditures, the amount of \$413,449 is established for the biennium beginning July 1, 2011, as the maximum limit for payment of expenses from fees, moneys or other revenues, including Miscellaneous Receipts, but excluding lottery funds and federal funds, collected or received by the Department of Corrections, for capital improvements.

**SECTION 3.** Notwithstanding any other law limiting expenditures, the amount of \$200,000 is established for the biennium beginning July 1, 2011, as the maximum limit for payment of expenses from fees, moneys or other revenues, including Miscellaneous Receipts, but excluding lottery funds, federal funds and those funds described in section 7, chapter 616, Oregon Laws 2011, collected or received by the Department of Community Colleges and Workforce Development, for debt service on outstanding general obligation bonds sold pursuant to Article XI-G of the Oregon Constitution.

**SECTION 4.** (1) In addition to and not in lieu of any other appropriation, there is appropriated to the Emergency Board, for the biennium beginning July 1, 2011, out of the General Fund, the amount of \$3,500,000, to be allocated to the Public Defense Services Commission for trial level public defense.

(2) If any of the moneys appropriated by subsection (1) of this section are not allocated by the Emergency Board prior to December 1, 2012, the moneys remaining on that date become available for any purpose for which the Emergency Board lawfully may allocate funds.

SECTION 5. In addition to and not in lieu of any other appropriation, there is appropriated to the Department of State Lands, for the biennium beginning July 1, 2011, out of the General Fund, the amount of \$681,266, which may be expended for payment of expenses related to the Portland Harbor Superfund project.

SECTION 6. Notwithstanding any other provision of law, the General Fund appropriation made to the Emergency Board by section 1, chapter 600, Oregon Laws 2011, for the biennium beginning July 1, 2011, is decreased by \$681,266.

SECTION 7. Notwithstanding any other provision of law, the General Fund appropriation made to the Emergency Board by section 6, chapter 537, Oregon Laws 2011, for the biennium beginning July 1, 2011, for allocation to the State Forestry Department for fire suppression costs, is decreased by \$2,120,017.

SECTION 8. Notwithstanding any other law limiting expenditures, the amount of \$1 is established for the biennium beginning July 1, 2011, as the maximum limit for payment of expenses from fees, moneys or other revenues, including Miscellaneous Receipts, but excluding lottery funds and federal funds, collected or received by the Office of the Governor from the Governor's Office Operating Fund.

SECTION 9. Notwithstanding any other law limiting expenditures, the amount of \$140,000 is established for the biennium beginning July 1, 2011, as the maximum limit for payment of expenses for capital improvement from federal funds received by the State Department of Fish and Wildlife.

SECTION 10. Notwithstanding any other law limiting expenditures, the amount of \$85,455 is established for the biennium beginning July 1, 2011, as the maximum limit for payment of expenses from federal funds received by the Teacher Standards and Practices Commission.

SECTION 11. Notwithstanding any other law limiting expenditures, the amount of \$3,932,550 is established for the biennium beginning July 1, 2011, as the maximum limit for payment of expenses for construction and maintenance of court facilities from bond proceeds collected or received by the Oregon Department of Administrative Services.

SECTION 12. (1) Notwithstanding any other law limiting expenditures, the amount of \$134,361,683 is established for the biennium beginning July 1, 2011, as the maximum limit for payment of expenses from federal funds collected or received by the Employment Department under the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858 et seq.) and section 418 of the Social Security Act (42 U.S.C. 618), as amended.

(2) Notwithstanding any other law limiting expenditures, the amount of \$158,066,704 is established for the biennium beginning July 1, 2011, as the maximum limit for payment of expenses from federal funds other than those described in section 3, chapter 339, Oregon Laws 2011, or subsection (1) of this section collected or received by the Employment Department.

SECTION 13. Notwithstanding any other law limiting expenditures, the amount of \$97,460 is established for the biennium beginning July 1, 2011, as the maximum limit for payment of expenses for capital improvement from fees, moneys or other revenues, including Miscellaneous Receipts, and including reimbursements from federal service agreements, but excluding lottery funds and federal funds other than those described in this section, collected or received by the Judicial Department.

SECTION 14. In addition to and not in lieu of any other appropriation, there is appropriated to the Emergency Board, for the biennium beginning July 1, 2011, out of the General Fund, the amount of \$2,900,000 for any purpose for which the Emergency Board lawfully may allocate funds.

SECTION 15. (1) In addition to and not in lieu of any other appropriation, there is appropriated to the Emergency Board, for the biennium beginning July 1, 2011, out of the General Fund, the amount of \$60,000,000 for:

(a) Supplemental allocations to state agencies for restoration of targeted programs if the Oregon Department of Administrative Services reduces allotments under ORS 291.261;

(b) Home foreclosure issues; or

(c) Human services caseload increases.

(2) If any of the moneys appropriated by subsection (1) of this section are not allocated by the Emergency Board prior to December 1, 2012, the moneys remaining on that date become available for any purpose for which the Emergency Board lawfully may allocate funds.

SECTION 16. (1) In addition to and not in lieu of any other appropriation, there is appropriated to the Emergency Board, for the biennium beginning July 1, 2011, out of the General Fund, the amount of \$10,000,000, to be allocated for the preservation of education programs as described in subsection (2) of this section.

(2) If the Oregon Department of Administrative Services reduces allotments under ORS 291.261, a state agency administering a program appropriation described in this subsection may request allocations of moneys from the Emergency Board in a total amount that does not exceed the total amount of the allotment reduction applicable to the appropriation. This subsection applies to:

(a) The appropriation made to the Oregon Department of Administrative Services by section 1, chapter 582, Oregon Laws 2011;

(b) The appropriation made to the Department of Community Colleges and Workforce Development by section 1 (2), chapter 616, Oregon Laws 2011; and

(c) The appropriation made to the Department of Education by section 2, chapter 619, Oregon Laws 2011.

(3) If any of the moneys appropriated by subsection (1) of this section are not allocated by the Emergency Board prior to December 1, 2012, the moneys remaining on that date become available for any purpose for which the Emergency Board lawfully may allocate funds.

SECTION 17. (1) In addition to and not in lieu of any other appropriation, there is appropriated to the Emergency Board, for the biennium beginning July 1, 2011, out of the General Fund, the amount of \$1,084,482, to be allocated to the Judicial Department for court operations.

(2) If any of the moneys appropriated by subsection (1) of this section are not allocated by the Emergency Board prior to December 1, 2012, the moneys remaining on that date become available for any purpose for which the Emergency Board lawfully may allocate funds.

SECTION 18. Section 5, chapter 339, Oregon Laws 2011, section 12, chapter 496, Oregon Laws 2011, section 6, chapter 577, Oregon Laws 2011, section 5, chapter 590, Oregon Laws 2011, section 52, chapter 600, Oregon Laws 2011, section 12, chapter 609, Oregon Laws 2011, section 5, chapter 621, Oregon Laws 2011, and section 4, chapter 666, Oregon Laws 2011, are repealed.

SECTION 19. Notwithstanding any other provision of law, the authorized appropriations and expenditure limitations for the biennium beginning July 1, 2011, for the following agencies and programs are changed by the amounts specified:

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(1) ADMINISTRATIVE.

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	2011	
	Oregon Laws	
Agency/Program/Funds	Chapter/ Section	Adjustment

Oregon Department of  
Administrative Services:  
Operating expenses

Other funds	Ch. 571 2(1)	-\$6,307,494
Mill Creek debt service		
General Fund	Ch. 571 1(2)	+29,427
Go Oregon debt service		
General Fund	Ch. 571 1(3)	+209,061
Debt service on lottery bonds		
Oregon Public Broadcasting		
Lottery funds	Ch. 571 3(1)	+65,729
Pendleton Round-Up		
Lottery funds	Ch. 571 3(2)	+3,054
Port of Morrow		
Lottery funds	Ch. 571 3(3)	+6,110
Port of Newport-NOAA		
Lottery funds	Ch. 571 3(4)	+108,960
Judicial Department		
court facilities		
Lottery funds	Ch. 571 3(5)	+34,444
Tillamook FEMA match		
Lottery funds	Ch. 571 3(6)	+46,550
Lane Transit District EmX		
Lottery funds	Ch. 571 3(7)	+24,276
Coos Bay railroad		
Lottery funds	Ch. 571 3(8)	+10,854
SAGE Center		
Lottery funds	Ch. 600 39(2)(a)	+6,310
Eastern Oregon Trade Center		
Lottery funds	Ch. 600 39(2)(b)	+12,560
Milton-Freewater		
flood control		
Lottery funds	Ch. 600 39(2)(c)	+5,720
Oregon Historical Society		
Lottery funds	Ch. 600 39(2)(d)	+8,165
State Treasurer:		
Other funds	Ch. 627 1(1)	+250,000
Public Employees Retirement		
System:		
Other funds	Ch. 495 1(1)	-750,000
Secretary of State:		
Executive Office, Business		
Services Division, Information		
Systems Division and Human		
Resources Division		
General Fund	Ch. 411 1(1)	-128,891
Elections Division		
General Fund	Ch. 411 1(2)	-4,006
Archives Division		
General Fund	Ch. 411 1(3)	-423
Oregon Liquor Control		
Commission:		
Other funds	Ch. 578 1(1)	+507,973
Department of Revenue:		

General Fund	Ch. 625 1	-1,175,191
Employment Relations Board:		
General Fund	Ch. 572 1	+1,000,000
Office of Governor:		
General Fund	Ch. 538 1	+372,362
Oregon Education		
Investment Board		
General Fund	Ch. 600 30	-105,000
State Library:		
General Fund	Ch. 341 1	-19,886

(2) CONSUMER AND BUSINESS SERVICES.

	2011	
	Oregon Laws	
Agency/Program/Funds	Chapter/ Section	Adjustment
Oregon Health Licensing		
Agency:		
Other funds	Ch. 539 1	-\$20,751
Bureau of Labor and		
Industries:		
General Fund	Ch. 576 1	-213,815
Department of Consumer and		
Business Services:		
Federal funds	Ch. 617 2	+2,434,040

(3) ECONOMIC AND COMMUNITY DEVELOPMENT.

	2011	
	Oregon Laws	
Agency/Program/Funds	Chapter/ Section	Adjustment
Oregon Business		
Development Department:		
Oregon Arts Commission		
General Fund	Ch. 579 1	-\$8,729
Debt service		
Other funds	Ch. 579 2(5)	+321,885
Business, innovation and		
trade		
Other funds	Ch. 579 2(1)	-277,500
Lottery funds	Ch. 579	
Shared services	3(1)(a)	-284,920
Lottery funds	Ch. 579	

Oregon Film and Video Office	3(1)(b)	-127,418
Lottery funds	Ch. 579 3(1)(c)	-81,315
Debt service on lottery bonds		
Lottery funds	Ch. 579	
	3(1)(d)	+2,830,159
Housing and Community Services Department:		
General Fund	Ch. 574 1	+136,416
Other funds	Ch. 574 2	+9,081,162
Federal funds	Ch. 574 4	+5,000,000
Debt service on lottery bonds		
Lottery funds	Ch. 574 3	+80,919
Department of Veterans' Affairs:		
Services provided by Department of Veterans' Affairs		
General Fund	Ch. 587 1(1)	+91,964
Veterans' services organizations payments		
General Fund	Ch. 587 1(3)	+572
Employment Department:		
General Fund	Ch. 339 1	-336,868
Other funds	Ch. 339 2(1)	-5,385,131

(4) EDUCATION.

	2011 Oregon Laws Chapter/ Section	Adjustment
Agency/Program/Funds		
Oregon Student Access Commission:		
Oregon Opportunity Grants		
General Fund	Ch. 541 1(1)	-\$3,4152
Other payments to individuals and institutions		
General Fund	Ch. 541 1(2)	+34,152
Operations		
General Fund	Ch. 541 1(3)	-29,756
Oregon University System: Education and general services of higher education		
General Fund	Ch. 583 1(1)	-11,550
Debt service on outstanding general obligation bonds		
General Fund	Ch. 583	



Debt service on outstanding certificates of participation	1(5)(a)	+1,653,560
General Fund	Ch. 583 1(5)(b)	+585,977
Repayment to State Department of Energy		
General Fund	Ch. 583 1(5)(c)	-3,132,437
Debt service		
Other funds	Ch. 583 2(6)	+344,054
Sports Lottery Account		
Lottery funds	Ch. 583 3	-232,960
Debt service on lottery bonds		
Lottery funds	Ch. 583 4	+260,577
Department of Community Colleges and Workforce Development:		
General Fund	Ch. 600 31	-119,000
General Fund	Ch. 600 32	-17,500
Operations		
General Fund	Ch. 616 1(1)(a)	-96,762
Skill centers		
General Fund	Ch. 616 1(1)(b)	-19,250
Debt service on Article XI-G bonds		
General Fund	Ch. 616 1(1)(c)	+351,965
Debt service on lottery bonds		
Lottery funds	Ch. 616 8	+261,437
Department of Education: Operations		
General Fund	Ch. 619 1(1)	-298,878
Oregon State School for the Deaf		
General Fund	Ch. 619 1(2)	-151,056
Early Head Start		
General Fund	Ch. 619 2(11)	+587,015
Grants-in-aid and purchased services		
Other funds	Ch. 619 6	+5,610,036
Debt service on lottery bonds		
Lottery funds	Ch. 619 7	+1,907,943
Lottery funds	Ch. 619 8	-59,056
Other funds	Ch. 619 9	+61,218
State School Fund		
General Fund	Ch. 20 1	+5,479,570
State School Fund - Administrative Services		
Economic Development Fund		

Lottery funds	Ch. 20 2	-2,979,570
State School Fund - Local		
Option Equalization		
General Fund	Ch. 496 17	-175,000
General Fund	Ch. 682 8	-70,000
General Fund	Ch. 639 9	-8,750
General Fund	Ch. 600 55	-2,000,000
General Fund	Ch. 600 56	-5,250
General Fund	Ch. 663 3	-7,000
General Fund	Ch. 711 2(1)	-5,250
General Fund	Ch. 711 2(2)	-1,050
Oregon Health and Science University		
General Fund	Ch. 651 3	-18,375

(5) HUMAN SERVICES.

	2011	
	Oregon Laws	
Agency/Program/Funds	Chapter/	Adjustment
	Section	
Department of Human Services:		
Central Services		
General Fund	Ch. 621 1(1)	-\$522,515
Other funds	Ch. 621 2(1)	-10,047
Federal funds	Ch. 621 3(1)	-1,269,217
Children, Adults and Families		
General Fund	Ch. 621 1(2)	+26,759,752
Other funds	Ch. 621 2(2)	+14,874,410
Federal funds	Ch. 621 3(2)	+32,127,357
Seniors and People with Disabilities		
General Fund	Ch. 621 1(3)	+77,249,200
Other funds	Ch. 621 2(3)	+4,371,222
Federal funds	Ch. 621 3(3)	+129,821,636
Shared Services		
Other funds	Ch. 621 2(4)	+2,769,858
Oregon Health Authority: Programs		
General Fund	Ch. 580 1(1)	-26,058,247
Other funds	Ch. 580 2(1)	+15,403,999
Federal funds	Ch. 580 4(1)	+74,029,869
Central Services		
General Fund	Ch. 580 1(2)	+2,139,813
Other funds	Ch. 580 2(2)	-31,170
Federal funds	Ch. 580 4(2)	+78,803,882
Capital improvement		
General Fund	Ch. 580 1(3)	-663,318
Shared Services		

Other funds	Ch. 580 2(3)	+3,221,972
Gambling addition treatment and prevention		
Lottery funds	Ch. 580 3	-390,969

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(6) JUDICIAL BRANCH.

Agency/Program/Funds	2011	
	Oregon Laws Chapter/ Section	Adjustment
Commission on Judicial Fitness and Disability:		
Operations		
General Fund	Ch. 340 1(1)	+\$6,228
Extraordinary expenses		
General Fund	Ch. 340 1(2)	-12,647
Judicial Department:		
Judicial compensation		
General Fund	Ch. 634 1(1)	+1,868,270
Operations		
Other funds	Ch. 634 2(1)	+5,449,982
Mandated payments		
General Fund	Ch. 634 1(3)	+472,992
Electronic court		
General Fund	Ch. 634 1(4)	-93,643
Other funds	Ch. 634 3	+23,391,369
Debt service		
General Fund	Ch. 634 1(5)	+3,286,198
Other funds	Ch. 634 2(3)	+226,592
Third party collections		
General Fund	Ch. 600 15	+2,379,729
Operations - special payments		
General Fund	Ch. 628 1	-259,000
General Fund	Ch. 628 2	-259,000
General Fund	Ch. 628 3	-4,900
Public Defense Services		
Commission:		
Appellate Division		
General Fund	Ch. 636 1(1)	-112,000
Contract and Business Services Division		
General Fund	Ch. 636 1(3)	+112,000
Public Defense Services Account		
Other funds	Ch. 636 2(1)	+2,637,500

(7) LEGISLATIVE BRANCH.

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	2011	
	Oregon Laws	
Agency/Program/Funds	Chapter/ Section	Adjustment
Legislative Administration		
Committee:		
General program		
General Fund	Ch. 577 1(1)	-\$371,755
Debt service		
General Fund	Ch. 577 1(2)	+236,904
Legislative Assembly:		
Presiding officers,		
caucuses, desks		
General Fund	Ch. 577 4(1)	-558,047
Assembly - interim		
General Fund	Ch. 577 5(1)	+557,027
Assembly - session		
General Fund	Ch. 577 5(2)	-127,140
Legislative Counsel Committee:		
General Fund	Ch. 577 9	+400,043
Legislative Fiscal Officer:		
General Fund	Ch. 577 12	+29,973
Legislative Revenue Officer:		
General Fund	Ch. 577 13	-14,531
Commission on Indian Services:		
General Fund	Ch. 577 14	-26,451

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(8) NATURAL RESOURCES.

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	2011	
	Oregon Laws	
Agency/Program/Funds	Chapter/ Section	Adjustment
State Marine Board:		
Administration and education		
Federal funds	Ch. 187 2(1)	+\$243,200
Marine law enforcement		
Other funds	Ch. 187 1(2)	+757,200
Federal funds	Ch. 187 2(2)	+292,800
Facilities construction, maintenance and land acquisition		
Other funds	Ch. 187 1(3)	+509,800
Federal funds	Ch. 187 2(3)	-536,000
State Department of Energy:		

Other funds	Ch. 632 1	+4,249,010
Federal funds	Ch. 632 3	+109,164
Energy efficiency and sustainable technology		
Lottery funds	Ch. 632 2	+75,746
State Department of Geology and Mineral Industries:		
General Fund	Ch. 186 1	-1,204
Other funds	Ch. 186 2	+1,788,385
Federal funds	Ch. 186 3	+1,709,304
State Parks and Recreation		
Department:		
Director's office		
Lottery funds	Ch. 584 2(1)	+45,638
Central Services		
Lottery funds	Ch. 584 2(2)	+280,114
Park development		
Lottery funds	Ch. 584 2(3)	+592,240
Federal funds	Ch. 584 3(1)	+2,190,000
Direct services		
Lottery funds	Ch. 584 2(4)	+673,108
Community support and grants		
Lottery funds	Ch. 584 2(5)	+140,142
Federal funds	Ch. 584 3(3)	+861,950
Water Resources Department:		
Water resources program		
General Fund	Ch. 416 1	-255,387
Debt service on lottery bonds		
Lottery funds	Ch. 416 2	+25,633
Oregon Watershed Enhancement Board:		
Watershed Improvement Operating Fund		
Lottery funds	Ch. 588 5	-784,354
Department of State Lands:		
Common School Fund programs		
Other funds	Ch. 412 1(1)	+1,057,597
Federal funds	Ch. 412 2(1)	+178,000
Natural Heritage Advisory Council		
Federal funds	Ch. 412 2(2)	+250,127
State Department of Agriculture:		
Administrative and support services		
General Fund	Ch. 409 1(1)	+20,125
Food Safety		
General Fund	Ch. 409 1(2)	-46,288
Other funds	Ch. 409 2(2)	+31,311
Natural Resources		

General Fund	Ch. 409 1(3)	-589,002
Agricultural Development		
General Fund	Ch. 409 1(4)	-133,203
Other funds	Ch. 409 2(4)	+10,000
Parks and Natural Resources Fund		
Lottery funds	Ch. 409 3	+932,123
County fair support		
Lottery funds	Ch. 409 5	+763
Department of Environmental Quality:		
Water quality		
General Fund	Ch. 536 1(2)	-169,003
Land quality		
General Fund	Ch. 536 1(3)	-86,615
Debt service		
General Fund	Ch. 536 1(5)	+193,612
State Department of Fish and Wildlife:		
Fish Division		
General Fund	Ch. 573 1(1)	-294,504
Other funds	Ch. 573 2(1)	+41,000
Administrative Services Division		
General Fund	Ch. 573 1(3)	-5,368
Debt service		
General Fund	Ch. 573 1(4)	+12,168
Capital Improvement		
Other funds	Ch. 573 2(4)	-70,000
State Forestry Department:		
Protection from fire		
General Fund	Ch. 537 1(1)	+2,993,720
Private forests		
General Fund	Ch. 537 1(2)	-985,723
Debt service		
General Fund	Ch. 537 1(3)	+102,087
Debt service on lottery bonds		
Lottery funds	Ch. 537 3	+88,377
Department of Land Conservation and Development:		
Planning program		
General Fund	Ch. 254 1(1)	-302,792

(9) PUBLIC SAFETY.

2011		
Oregon Laws		
Agency/Program/Funds	Chapter/Section	Adjustment

Department of State Police:	
Patrol services, criminal investigations and gaming enforcement	
General Fund	Ch. 635 1(1) +\$5,369,604
Federal funds	Ch. 635 3(1) +521,944
Fish and wildlife enforcement	
General Fund	Ch. 635 1(2) -98,021
Other funds	Ch. 635 2(2) +436,875
Lottery funds	Ch. 635 4 +202,180
Forensic services and State Medical Examiner	
General Fund	Ch. 635 1(3) -709,326
Administrative services, information management and Office of the State Fire Marshal	
General Fund	Ch. 635 1(4) +693,862
Department of Corrections: Operations and health services	
General Fund	Ch. 631 1(1) +22,771,363
Other funds	Ch. 631 2(1) +10,306
Administration, public services, general services and human resources	
General Fund	Ch. 631 1(2) +3,275,828
Other funds	Ch. 631 2(2) +87,684
Transitional services	
General Fund	Ch. 631 1(3) +1,862,081
Other funds	Ch. 631 2(3) +29
Community corrections	
General Fund	Ch. 631 1(4) +5,270,671
Other funds	Ch. 631 2(4) +3,223,179
Debt service	
General Fund	Ch. 631 1(5) +4,887,059
Capital improvements	
General Fund	Ch. 631 1(6) +92,240
Agency operations	
Federal funds	Ch. 631 3 +907,373
Oregon Criminal Justice Commission:	
Federal funds	Ch. 214 3 +6,987,121
Department of Justice for district attorneys:	
General Fund	Ch. 252 1 +359,976
Department of Justice:	
General Fund	Ch. 575 1 -160,840
Other funds	Ch. 575 2 -722,713
Federal funds	Ch. 575 3 -795,709
Oregon Military Department: Operating expenses	

General Fund	Ch. 623 1(2)	+36,891
Emergency management		
General Fund	Ch. 623 1(3)	+4,379,103
Other funds	Ch. 623 2(3)	+4,000,000
Community support		
Other funds	Ch. 623 2(4)	+118,339
Capital debt service and related costs		
General Fund	Ch. 623 1(5)	-71,937
Other funds	Ch. 623 2(5)	+548,167
Department of Public Safety		
Standards and Training:		
General Fund	Ch. 586 1	+315,518
Other funds	Ch. 586 2	-873,897
Oregon Youth Authority:		
Operations		
General Fund	Ch. 590 1(1)	-1,605,977
Debt service		
General Fund	Ch. 590 1(2)	+186,988

(10) TRANSPORTATION.

Agency/Program/Funds	2011 Oregon Laws Chapter/ Section	Adjustment
Department of Transportation:		
Debt service - Oregon		
Wireless Interoperability		
Network (OWIN)		
General Fund	Ch. 542 1	-\$15,416,043
Maintenance and emergency relief programs		
Other funds	Ch. 542 2(2)	+9,211,366
Driver and motor vehicle services		
Other funds	Ch. 542 2(9)	+500,000
Debt service		
Other funds	Ch. 542	
	2(17)	+15,970,871
Lottery funds	Ch. 542 4	+2,914,388

SECTION 20. In addition to and not in lieu of any other appropriation, there is appropriated to the Department of Land Conservation and Development, for the biennium beginning July 1, 2011, out of the General Fund, the amount of \$200,000, which may be expended for payment of expenses related to regional land use planning activities.

SECTION 21. In addition to and not in lieu of any other appropriation, there is appropriated to the State Forestry Department, for the biennium beginning July 1, 2011, out of the



General Fund, the amount of \$200,000, which may be expended for payment of expenses related to forest policy.

**SECTION 22.** In addition to and not in lieu of any other appropriation, there is appropriated to the Department of Land Conservation and Development, for the biennium beginning July 1, 2011, out of the General Fund, the amount of \$350,000, for grants to Jackson, Josephine and Douglas counties for expenses related to regional land use planning activities.

**SECTION 23.** Section 5, chapter 20, Oregon Laws 2011, as amended by section 21, chapter 496, Oregon Laws 2011, and section 47, chapter 600, Oregon Laws 2011, is amended to read:  
**Sec. 5. (1)** The Department of Education may not spend more than \$2,928,830,000 from the State School Fund for the fiscal year beginning July 1, 2011.

(2) The Department of Education may not spend more than [\$2,842,830,000] \$2,845,330,000 from the State School Fund for the fiscal year beginning July 1, 2012.

**SECTION 24.** This 2012 Act being necessary for the immediate preservation of the public peace, health and safety, an emergency is declared to exist, and this 2012 Act takes effect on its passage.

Passed by Senate March 5, 2012

Received by Governor:

.....M.,....., 2012

.....  
Robert Taylor, Secretary of Senate

Approved:

.....M.,....., 2012

.....  
Peter Courtney, President of Senate

.....  
John Kitzhaber, Governor

Passed by House March 5, 2012

Filed in Office of Secretary of State:

.....  
Bruce Hanna, Speaker of House

.....M.,....., 2012

.....  
Arnie Roblan, Speaker of House

.....  
Kate Brown, Secretary of State

**76<sup>th</sup> OREGON LEGISLATIVE ASSEMBLY – 2012 Session  
BUDGET REPORT AND MEASURE SUMMARY**

**JOINT COMMITTEE ON WAYS AND MEANS**

**MEASURE: SB 5701-A**

**Carrier – House: Rep. Richardson**

**Carrier – Senate: Sen. Devlin**

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**Action:** Do Pass as Amended and as Printed A-Engrossed

**Vote:** 24 – 0 – 1

House – Yeas: Beyer, Buckley, Cowan, Freeman, Garrard, Komp, McLane, Nathanson, Nolan, Read, Richardson, G. Smith, Thatcher, Whisnant  
– Nays:  
– Exc:

Senate – Yeas: Bates, Devlin, Girod, Johnson, Monroe, Nelson, Thomsen, Verger, Whitsett, Winters  
– Nays:  
– Exc: Edwards

**Prepared By:** Linda Ames, Laurie Byerly, Doug Wilson  
Legislative Fiscal Office

**Reviewed By:** Sheila Baker, Legislative Fiscal Office

**Meeting Date:** March 5, 2012

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<u>Agency</u>	<u>Budget Page</u>	<u>LFO Analysis Page</u>	<u>Biennium</u>
Various Agencies Emergency Board	---	---	2011-13

**Budget Summary\***

\* Excludes Capital Construction

	2011-13 Legislatively Adopted Budget	2012 Session Legislatively Approved Budget	Legislative Adjustments (difference between 2012 and 2011-13)	Percentage Change from Legislatively Adopted Budget
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**Emergency Board**

**Emergency Fund**

General Fund - Emergency Fund	\$ 25,000,000	\$ 27,218,734	\$ 2,218,734	8.87%
General Fund - Special Purpose Appropriations				
Public Defense Services Commission	\$ 0	\$ 3,500,000	\$ 3,500,000	-
Oregon Judicial Department	\$ 0	\$ 1,084,432	\$ 1,084,432	-
Allotment mitigation; home foreclosure issues; human services caseloads	\$ 0	\$ 60,000,000	\$ 60,000,000	-
Education programs	\$ 0	\$ 10,000,000	\$ 10,000,000	-
Forestry, fire suppression costs	\$ 4,781,000	\$ 2,660,983	\$ -2,120,017	-44.34%
Early learning programs	\$ 17,649,000	\$ 0	\$ -17,649,000	-100.00%
Employment-related daycare and others	\$ 5,713,750	\$ 0	\$ -5,713,750	-100.00%
Child welfare differential response	\$ 5,000,000	\$ 0	\$ -5,000,000	-100.00%
Department of Human Services/ Oregon Health Authority program costs	\$ 8,000,000	\$ 0	\$ -8,000,000	-100.00%
Oregon Youth Authority	\$ 1,700,000	\$ 0	\$ -1,700,000	-100.00%

**Education Program Area**

**Department of Community Colleges and Workforce Development**

General Fund	\$ 403,049,433	\$ 402,796,921	\$ -252,512	-0.06%
General Fund Debt Service	15,341,082	15,693,047	351,965	2.29%
Lottery Funds Debt Service	6,882,643	7,144,080	261,437	3.80%
Other Funds Debt Service	0	200,000	200,000	-

**Department of Education**

General Fund	\$ 5,498,242,728	\$ 5,501,087,079	\$ 3,344,351	0.06%
Lottery Funds	556,980,287	554,000,717	-2,979,570	-0.53%
Lottery Funds Debt Service	52,311,630	54,160,517	1,848,887	3.53%
Other Funds	55,144,882	60,754,918	5,610,036	10.17%
Other Funds Debt Service	2,464,515	2,525,733	61,218	2.48%

\* Excludes Capital Construction

	2011-13 Legislatively Adopted Budget	2012 Session Legislatively Approved Budget	Legislative Adjustments (difference between 2012 and 2011-13)	Percentage Change from Legislatively Adopted Budget
<b><u>Oregon University System</u></b>				
General Fund	\$ 596,905,346	\$ 596,893,796	\$ -11,550	0.00%
General Fund Debt Service	72,263,657	71,370,757	-892,900	-1.24%
Lottery Funds	8,825,680	8,592,720	-232,960	-2.64%
Lottery Funds Debt Service	14,133,456	14,394,033	260,577	1.84%
Other Funds Debt Service	23,541,337	23,885,391	344,054	1.46%
<b><u>Oregon Health &amp; Science University</u></b>				
General Fund	\$ 66,059,636	\$ 66,041,261	\$ -18,375	-0.03%
<b><u>Oregon Student Access Commission</u></b>				
General Fund	\$ 99,921,326	\$ 99,891,570	\$ -29,756	-0.03%
<b><u>Teacher Standards &amp; Practices Commission</u></b>				
General Fund	\$ 100,000	\$ 0	\$ -100,000	-100.00%
Federal Funds	0	85,455	85,455	-
<b><u>Human Services Program Area</u></b>				
<b><u>Oregon Health Authority</u></b>				
General Fund	\$ 1,667,478,497	\$ 1,642,896,745	\$ -24,581,752	-1.47%
Lottery Funds	10,779,583	10,388,614	-390,969	-3.63%
Other Funds	1,918,748,828	1,937,343,629	18,594,801	0.97%
Federal Funds	4,877,574,818	5,030,408,569	152,833,751	3.13%
<b><u>Department of Human Services</u></b>				
General Fund	\$ 2,019,007,853	\$ 2,122,494,290	\$ 103,486,437	5.13%
Other Funds	430,256,781	452,262,224	22,005,443	5.11%
Federal Funds	3,131,478,990	3,292,158,766	160,679,776	5.13%

\* Excludes Capital Construction

	2011-13 Legislatively Adopted Budget	2012 Session Legislatively Approved Budget	Legislative Adjustments (difference between 2012 and 2011-13)	Percentage Change from Legislatively Adopted Budget
<b><u>Public Safety Program Area</u></b>				
<b><u>Department of Corrections</u></b>				
General Fund	\$ 1,188,270,117	\$ 1,221,349,965	\$ 33,079,848	2.78%
General Fund Debt Service	133,972,115	138,859,174	4,887,059	3.65%
General Fund Capital Improvement	2,543,185	2,635,425	92,240	3.63%
Other Funds	27,563,757	30,884,955	3,321,198	12.05%
Other Funds Capital Improvement	0	413,449	413,449	-
Federal Funds	6,908,809	7,816,182	907,373	13.13%
<b><u>Criminal Justice Commission</u></b>				
Federal Funds	\$ 12,512,069	\$ 19,499,190	\$ 6,987,121	55.84%
<b><u>District Attorneys and their Deputies</u></b>				
General Fund	\$ 9,979,285	\$ 10,339,261	\$ 359,976	3.61%
<b><u>Department of Justice</u></b>				
General Fund	\$ 53,992,283	\$ 53,831,443	\$ -160,840	-0.30%
Other Funds	225,622,550	224,899,837	-722,713	-0.32%
Federal Funds	107,968,730	107,173,021	-795,709	-0.74%
<b><u>Oregon Military Department</u></b>				
General Fund	\$ 14,341,387	\$ 18,757,381	\$ 4,415,994	30.79%
General Fund Debt Service	9,727,048	9,655,111	-71,937	-0.74%
Other Funds	120,644,724	124,763,063	4,118,339	3.41%
Other Funds Debt Service	112,363	660,530	548,167	487.85%

\* Excludes Capital Construction

	<b>2011-13 Legislatively Adopted Budget</b>	<b>2012 Session Legislatively Approved Budget</b>	<b>Legislative Adjustments (difference between 2012 and 2011-13)</b>	<b>Percentage Change from Legislatively Adopted Budget</b>
<b><u>Department of State Police</u></b>				
General Fund	\$ 215,889,726	\$ 221,145,845	\$ 5,256,119	2.43%
Lottery Funds	6,653,450	6,855,630	202,180	3.04%
Other Funds	93,439,786	93,876,661	436,875	0.47%
Federal Funds	9,122,153	9,644,097	521,944	5.72%
<b><u>Department of Public Safety Standards and Training</u></b>				
General Fund Debt Service	\$ 10,968,292	\$ 11,283,810	\$ 315,518	2.88%
Other Funds	33,836,196	32,962,299	-873,897	-2.58%
<b><u>Oregon Youth Authority</u></b>				
General Fund	\$ 251,618,682	\$ 250,012,705	\$ -1,605,977	-0.64%
General Fund Debt Service	5,155,518	5,342,506	186,988	3.63%
<b><u>Economic and Community Development Program Area</u></b>				
<b><u>Oregon Business Development Department</u></b>				
General Fund	\$ 3,851,208	\$ 3,842,479	\$ -8,729	-0.23%
Lottery Funds	57,070,679	56,577,026	-493,653	-0.86%
Lottery Funds Debt Service	79,270,043	82,100,202	2,830,159	3.57%
Other Funds	24,000,075	23,722,575	-277,500	-1.16%
Other Funds Debt Service	1,797,848	2,119,733	321,885	17.90%
Other Funds Nonlimited	196,559,609	193,244,609	-3,315,000	-1.69%
<b><u>Employment Department</u></b>				
General Fund	\$ 3,670,948	\$ 3,334,080	\$ -336,868	-9.18%
Other Funds	132,527,941	127,142,810	-5,385,131	-4.06%
Federal Funds - CCDF	128,161,683	134,361,683	6,200,000	4.84%
Federal Funds -Non-CCDF	145,721,505	158,066,704	12,345,199	8.47%

\* Excludes Capital Construction

	<u>2011-13 Legislatively Adopted Budget</u>	<u>2012 Session Legislatively Approved Budget</u>	<u>Legislative Adjustments (difference between 2012 and 2011-13)</u>	<u>Percentage Change from Legislatively Adopted Budget</u>
<b><u>Housing and Community Services Department</u></b>				
General Fund	\$ 10,018,855	\$ 10,155,271	\$ 136,416	1.36%
Lottery Funds Debt Service	10,383,766	10,464,685	80,919	0.78%
Other Funds	140,534,236	149,615,398	9,081,162	6.46%
Federal Funds	203,039,554	208,039,554	5,000,000	2.46%
<b><u>Department of Veterans' Affairs</u></b>				
General Fund	\$ 6,469,659	\$ 6,562,195	\$ 92,536	1.43%
<b><u>Natural Resources Program Area</u></b>				
<b><u>State Department of Agriculture</u></b>				
General Fund	\$ 12,917,172	\$ 12,108,804	\$ -808,368	-6.26%
Lottery Funds	6,894,457	7,827,343	932,886	13.53%
Other Funds	52,099,191	52,140,502	41,311	0.08%
<b><u>Department of Geology and Mineral Industries</u></b>				
General Fund	\$ 2,465,906	\$ 2,464,702	\$ -1,204	-0.05%
Other Funds	7,246,479	8,955,783	1,788,304	23.59%
Federal Funds	3,558,985	5,347,289	1,709,304	50.25%
<b><u>State Department of Energy</u></b>				
Lottery Funds	\$ 2,088,439	\$ 2,164,185	\$ 75,746	3.63%
Other Funds	31,477,822	35,726,832	4,249,010	13.50%
Federal Funds	36,736,670	36,845,834	109,164	0.30%
<b><u>Department of Environmental Quality</u></b>				
General Fund	\$ 19,693,974	\$ 19,438,356	\$ -255,618	-1.30%
General Fund Debt Service	5,379,568	5,573,180	193,612	3.60%

\* Excludes Capital Construction

	<b>2011-13 Legislatively Adopted Budget</b>	<b>2012 Session Legislatively Approved Budget</b>	<b>Legislative Adjustments (difference between 2012 and 2011-13)</b>	<b>Percentage Change from Legislatively Adopted Budget</b>
<b><u>State Department of Fish and Wildlife</u></b>				
General Fund	\$ 6,729,454	\$ 6,429,582	\$ -299,872	-4.46%
General Fund Debt Service	338,094	350,262	12,168	3.60%
Other Funds	197,593,072	197,564,072	-29,000	-0.01%
Federal Funds	109,794,486	109,934,486	140,000	0.13%
<b><u>State Forestry Department</u></b>				
General Fund	\$ 45,035,023	\$ 47,243,020	\$ 2,207,997	4.90%
General Fund Debt Service	2,836,524	2,938,611	102,087	3.60%
Lottery Funds Debt Service	2,453,947	2,542,324	88,377	3.60%
<b><u>Land Conservation &amp; Development Department</u></b>				
General Fund	\$ 10,885,017	\$ 11,132,225	\$ 247,208	2.27%
<b><u>State Marine Board</u></b>				
Other Funds	\$ 22,020,102	\$ 23,287,102	\$ 1,267,000	5.75%
<b><u>Department of State Lands</u></b>				
General Fund	\$ 0	\$ 681,266	\$ 681,266	-
Other Funds	36,548,525	37,606,122	1,057,597	2.89%
Federal Funds	5,671,787	6,099,914	428,127	7.55%
<b><u>State Parks and Recreation Department</u></b>				
Lottery Funds	\$ 79,815,323	\$ 81,546,565	\$ 1,731,242	2.17%
<b><u>Oregon Watershed Enhancement Board</u></b>				
Lottery Funds	\$ 64,796,420	\$ 64,012,066	\$ -784,354	-1.21%
<b><u>Water Resources Department</u></b>				
General Fund	\$ 20,614,684	\$ 20,359,297	\$ -255,387	-1.24%
Lottery Funds Debt Service	706,751	732,384	25,633	3.63%



\* Excludes Capital Construction

	2011-13 Legislatively Adopted Budget	2012 Session Legislatively Approved Budget	Legislative Adjustments (difference between 2012 and 2011-13)	Percentage Change from Legislatively Adopted Budget
<b><u>Transportation Program Area</u></b>				
<b><u>Department of Transportation</u></b>				
General Fund Debt Service	\$ 15,416,053	\$ 0	\$ -15,416,053	-100.00%
Lottery Funds Debt Service	69,700,542	72,614,930	2,914,388	4.18%
Other Funds	3,201,362,946	3,211,074,312	9,711,366	0.30%
Other Funds Debt Service	351,243,517	367,214,388	15,970,871	4.55%
<b><u>Consumer and Business Services Program Area</u></b>				
<b><u>Department of Consumer and Business Services</u></b>				
Federal Funds	\$ 753,662	\$ 3,187,702	\$ 2,434,040	322.96%
<b><u>Oregon Health Licensing Agency</u></b>				
Other Funds	\$ 6,612,566	\$ 6,591,815	\$ -20,751	-0.31%
<b><u>Bureau of Labor and Industries</u></b>				
General Fund	\$ 11,282,811	\$ 11,068,996	\$ -213,815	-1.90%
<b><u>Administration Program Area</u></b>				
<b><u>Department of Administrative Services</u></b>				
General Fund Debt Service	\$ 6,575,467	\$ 6,813,955	\$ 238,488	3.63%
Lottery Funds Debt Service	8,164,343	8,497,075	332,732	4.08%
Other Funds	397,950,590	395,575,646	-2,374,944	-0.60%
<b><u>Employment Relations Board</u></b>				
General Fund	\$ 932,803	\$ 1,932,803	\$ 1,000,000	107.20%

\* Excludes Capital Construction

		<b>2011-13 Legislatively Adopted Budget</b>		<b>2012 Session Legislatively Approved Budget</b>		<b>Legislative Adjustments (difference between 2012 and 2011-13)</b>		<b>Percentage Change from Legislatively Adopted Budget</b>
<b><u>Office of the Governor</u></b>								
General Fund	\$	13,339,757	\$	13,607,119	\$	267,362		2.00%
Other Funds		2,740,911		2,740,912		1		0.00%
<b><u>State Library</u></b>								
General Fund	\$	2,868,303	\$	2,848,417	\$	-19,886		-0.69%
<b><u>Oregon Liquor Control Commission</u></b>								
Other Funds	\$	133,668,473	\$	134,176,446	\$	507,973		0.38%
<b><u>Public Employees Retirement System</u></b>								
Other Funds	\$	78,010,820	\$	77,260,820	\$	-750,000		-0.96%
<b><u>Department of Revenue</u></b>								
General Fund	\$	146,373,434	\$	145,198,243	\$	-1,175,191		-0.80%
<b><u>Secretary of State</u></b>								
General Fund	\$	12,040,291	\$	11,906,971	\$	-133,320		-1.11%
<b><u>State Treasurer</u></b>								
Other Funds	\$	34,998,684	\$	35,248,684	\$	250,000		0.71%
<b><u>Judicial Branch Program Area</u></b>								
<b><u>Judicial Department</u></b>								
General Fund	\$	342,262,371	\$	346,366,819	\$	4,104,448		1.20%
General Fund Debt Service		16,971,657		20,257,855		3,286,198		19.36%
Other Funds		24,966,976		55,747,370		30,780,394		123.28%
Other Funds Capital Improvement		0		97,460		97,460		-
<b><u>Commission on Judicial Fitness and Disability</u></b>								
General Fund	\$	183,353	\$	176,934	\$	-6,419		-3.50%

\* Excludes Capital Construction

	<u>2011-13 Legislatively Adopted Budget</u>	<u>2012 Session Legislatively Approved Budget</u>	<u>Legislative Adjustments (difference between 2012 and 2011-13)</u>	<u>Percentage Change from Legislatively Adopted Budget</u>
<b><u>Public Defense Services Commission</u></b>				
Other Funds	\$ 1,192,555	\$ 3,830,055	\$ 2,637,500	221.16%
<b><u>Legislative Branch Program Area</u></b>				
<b><u>Legislative Assembly</u></b>				
General Fund	\$ 35,780,449	\$ 35,652,289	\$ -128,160	-0.36%
<b><u>Legislative Administration Committee</u></b>				
General Fund	\$ 28,438,846	\$ 28,303,995	\$ -134,851	-0.47%
<b><u>Legislative Counsel Committee</u></b>				
General Fund	\$ 8,127,672	\$ 8,527,715	\$ 400,043	4.92%
<b><u>Legislative Fiscal Officer</u></b>				
General Fund	\$ 5,596,558	\$ 5,626,531	\$ 29,973	0.54%
<b><u>Legislative Revenue Officer</u></b>				
General Fund	\$ 1,903,986	\$ 1,889,455	\$ -14,531	-0.76%
<b><u>Commission on Indian Services</u></b>				
General Fund	\$ 395,270	\$ 368,819	\$ -26,451	-6.69%
<b>General Fund Total</b>			\$ 158,436,374	
<b>Lottery Funds Total</b>			\$ 6,703,657	
<b>Other Funds Total</b>			\$ 119,666,478	
<b>Federal Funds Total</b>			\$ 349,585,545	

<b><u>Position Summary</u></b>	<b>2011-13 Legislatively Adopted Budget</b>	<b>2012 Session Legislatively Approved Budget</b>	<b>Legislative Adjustments (difference between 2012 and 2011-13)</b>	<b>Percentage Change from Legislatively Adopted Budget</b>
<b><u>Oregon Health Authority</u></b>				
Authorized Positions	4,089	4,036	-53	-1.30%
Full-time Equivalent (FTE) positions	4,033.27	3,980.27	-53.00	-1.31%
<b><u>Department of Human Services</u></b>				
Authorized Positions	7,392	7,405	13	0.18%
Full-time Equivalent (FTE) positions	7,298.44	7,311.44	13.00	0.18%
<b><u>Department of Corrections</u></b>				
Authorized Positions	4,511	4,509	-2	-0.04%
Full-time Equivalent (FTE) positions	4,420.74	4,416.55	-4.19	-0.09%
<b><u>Department of Justice</u></b>				
Authorized Positions	1,290	1,290	0	0.00%
Full-time Equivalent (FTE) positions	1,270.80	1,268.55	-2.25	-0.18%
<b><u>Department of Public Safety Standards and Training</u></b>				
Authorized Positions	137	137	0	0.00%
Full-time Equivalent (FTE) positions	135.79	132.04	-3.75	-2.76%
<b><u>Oregon Business Development Department</u></b>				
Authorized Positions	131	132	1	0.76%
Full-time Equivalent (FTE) positions	129.37	129.87	0.50	0.39%
<b><u>Employment Department</u></b>				
Authorized Positions	1,500	1,514	14	0.93%
Full-time Equivalent (FTE) positions	1,450.30	1,463.68	13.38	0.92%
<b><u>Housing and Community Services Department</u></b>				
Authorized Positions	190	210	20	10.53%
Full-time Equivalent (FTE) positions	168.37	183.72	15.35	9.12%

	2011-13 Legislatively Adopted Budget	2012 Session Legislatively Approved Budget	Legislative Adjustments (difference between 2012 and 2011-13)	Percentage Change from Legislatively Adopted Budget
<b><u>Department of Geology and Mineral Industries</u></b>				
Authorized Positions	43	53	10	23.26%
Full-time Equivalent (FTE) positions	42.20	48.57	6.37	15.09%
<b><u>Department of Energy</u></b>				
Authorized Positions	127	128	1	0.79%
Full-time Equivalent (FTE) positions	113.23	118.73	5.50	4.86%
<b><u>Department of Forestry</u></b>				
Authorized Positions	1,192	1,192	0	0.00%
Full-time Equivalent (FTE) positions	862.32	852.19	-10.13	-1.17%
<b><u>Department of Fish and Wildlife</u></b>				
Authorized Positions	1,469	1,467	-2	-0.14%
Full-time Equivalent (FTE) positions	1227.32	1,225.32	-2.00	-0.16%
<b><u>Department of Consumer and Business Services</u></b>				
Authorized Positions	930	934	4	0.43%
Full-time Equivalent (FTE) positions	919.68	921.90	2.22	0.24%
<b><u>Department of Administrative Services</u></b>				
Authorized Positions	774	773	-1	-0.13%
Full-time Equivalent (FTE) positions	770.67	769.67	-1.00	-0.13%
<b><u>Oregon Judicial Department</u></b>				
Authorized Positions	1,878	1,878	0	0.00%
Full-time Equivalent (FTE) positions	1,739.20	1,752.66	13.46	0.77%

## **Revenue**

The budget adjustments in Senate Bill 5701 anticipate a net \$101 million increase in General Fund resources from transfers of Other Funds account balances included in Senate Bill 1579 and other actions. Two major legal settlements contribute to this increase in General Fund resources. First, the State's share of the punitive damages related to the Williams vs. Philip Morris tobacco related case is \$56.2 million. This amount is transferred from the Criminal Injuries Compensation Account by Senate Bill 1579. The second is a multi-state agreement between 49 states and major mortgage lenders over mortgage fraud practices. The amount of \$25.2 million will be directly deposited in the General Fund. Senate Bill 1579 transfers a further \$4 million from the Department of Justice's Education and Protection Fund to the General Fund.

The rebalance plan also assumes a net increase to the June 2012 forecast of \$5 million from lower than anticipated costs related to the issuance of Tax Anticipation Notes (TANs).

## **Summary of Committee Action**

Senate Bill 5701 is the omnibus budget reconciliation bill for the 2012 legislative session, implementing the statewide rebalance plan that addresses changes in projected revenues and expenditures since the close of the 2011 session. The Joint Committee on Ways and Means approved Senate Bill 5701 with amendments to reflect budget adjustments as described below.

### **Statewide Adjustments/Special Actions**

#### **Statewide Restructure of State Government Business Operations**

As part of the legislative plan to rebalance the 2011-13 biennium budget, the Co-Chairs of the Joint Committee on Ways and Means included a reduction of \$28 million in combined General Fund and Lottery Funds as part of an effort to restructure state government business operations designed to make permanent changes to the management of agency programs and services. This effort is complementary to the Executive Branch interest in studying and modifying the state's compensation and classification systems to potentially realign the relative balance of management service and represented employees in state government.

Based on this decision, the personal services budgets of selected state agencies were reduced by targeted amounts. These amounts are highlighted in each agency's section of this budget report. The following budget note was adopted, to apply to each agency subject to the management service personal services reduction:

## **BUDGET NOTE**

The budget rebalance plan developed by the Co-Chairs of the Joint Committee on Ways and Means included the elimination of targeted amounts from adopted budgets through actions to be taken to reduce the number of middle managers and public affairs positions in state government and to reduce the amount currently planned for advertising and personal services contracts. In order to make these targeted reductions primarily to personal services appropriations, the Legislative Fiscal Office is directed to work with agencies to identify specific management and other positions to be eliminated as part of a restructuring of business operations aimed at making permanent changes to the management of agency programs and services. Affected agencies are directed to report on the status of this effort, with the assistance of the Legislative Fiscal Office, to the Emergency Board in May 2012. Since these reductions are intended to be permanent, it is expected that no positions recommended for elimination as a result of this plan will be included in the Governor's proposed 2013-15 budget.

### **E-Government Funding Model Change**

The statewide budget rebalance includes General Fund savings in agencies resulting from an upcoming change in the state's e-government funding model. Currently, agencies are assessed by the Department of Administrative Services (DAS) based on the number of an agency's full-time equivalent (FTE) positions to the cost of the statewide contract for e-government services. The expenditure is part of the statewide price list and is budgeted as a State Government Service Charge in an agency's budget.

In November 2011, DAS signed a contract with NICUSA to take over e-government services (the current contract expires in June 2012) using a self-funded model; under the model the vendor will be paid primarily through a convenience fee tied to certain (mostly commercial business) transactions. The new vendor and funding model is projected to be up and running in July 2012; DAS has calculated that it will be able to reduce agency assessments by \$2,232,000 for the last portion of the biennium. Those assessments are eliminated in the DAS budget, along with \$970,912 General Fund budgeted in other state agencies to pay for that assessment.

## **Emergency Board**

The Emergency Board provides General Fund appropriations and Other Funds and Federal Funds expenditure limitation to state agencies for unanticipated needs in approved agency budgets when the Legislature is not in session. As part of the 2011-13 biennium statewide rebalance plan, Senate Bill 5701 adjusts the Emergency Board's general purpose and special purpose appropriations as described below.

### **General Purpose Emergency Fund**

The bill disappropriates \$681,266 General Fund from the Emergency Fund to correspond with a General Fund appropriation to the Department of State Lands, in the same amount, for payment of expenses related to the Portland Harbor Superfund. It also increases the Emergency Fund by \$2.9 million. These two actions leave a balance of \$27.2 million in the general purpose Emergency Fund for the 2011-13 biennium.

### Special Purpose Appropriations

Senate Bill 5701 repeals five special purpose appropriations established during the 2011 legislative session for early learning programs and services (\$17.7 million); employment related day care or other supports and services for children and families (\$5.7 million); child welfare differential response (\$5 million); Department of Human Services and Oregon Health Authority caseload and costs for programs and services (\$8 million); and education-related expenses in the Oregon Youth Authority (\$1.7 million). The bill also:

- Reduces a special purpose appropriation for the Department of Forestry by \$2,120,017, with a corresponding \$2,120,017 General Fund appropriation to the Department of Forestry to pay for fire suppression costs.
- Establishes a \$3.5 million special purpose appropriation for the Public Defense Services Commission in the event that the Commission requires additional resources to support trial-level public defense services.
- Establishes a \$1.1 million special purpose appropriation for the Judicial Department to meet any potential operating needs of the courts.
- Establishes a \$60 million special purpose appropriation for potential allotment reduction mitigation; for home foreclosure issues; or for human services caseload increases.
- Establishes a \$10 million special purpose appropriation for the preservation of education programs in case of allotment reductions. This applies to the Community College Support Fund, the Department of Education grant-in-aid programs, and the state General Fund support of the Oregon Health Sciences University.

If the moneys in the special purpose appropriations are not allocated by the Emergency Board before December 1, 2012, the moneys are available to the Emergency Board to be allocated for any purpose for which the Emergency Board lawfully may allocate funds.

## Adjustments to Agency Budgets

### Education Program Area

#### Department of Community Colleges and Workforce Development

The Committee approved a 3.5% reduction to the General Fund appropriation for the following programs that had not been subject to the supplemental ending balance adjustment made during the 2011 legislative session:

- Skill Centers – \$19,250
- Trucking Solutions Consortium and loans to students participating in commercial driver training – \$17,500
- National Career Readiness Certificate and on-the-job training programs – \$119,000

The Committee restored \$813,402 for debt service (\$551,965 General Fund, \$261,437 Lottery Funds, and \$200,000 Other Funds) which had been reduced as part of the supplemental ending balance in the 2011 legislative session. The Community College and Workforce Development Department has \$200,000 available in interest earnings on bond proceeds to make a portion of the debt service payment.



As part of the statewide effort to restructure state government business operations and management of agency programs and services, the personal services budget for the agency was reduced by \$95,768 General Fund. A reduction of \$994 General Fund was made for the agency's share of the statewide e-government savings.

### **Department of Education**

The Committee approved a 3.5% reduction to the General Fund appropriation for the Oregon Department of Education (ODE) for the following new programs that had not been subject to the supplemental ending balance adjustment made during the 2011 legislative session:

- School District Collaboration (Senate Bill 252) – \$175,000
- Career and Technical Education (House Bill 3362) – \$70,000
- Accelerated College Credit (Senate Bill 254) – \$8,750
- For Inspiration and Recognition of Science and Technology (FIRST) – \$5,250
- Farm-to-School (House Bill 2800) – \$7,000
- After School Meal and Snack (Senate Bill 480) – \$6,300

An increase of \$5,610,036 Other Funds expenditure limitation was approved for the long-term care and treatment program. The increase supports an additional 271 slots from the implementation of Senate Bill 170 (2011) and \$1.6 million Other Funds for a high-cost reserve and inflation in the average net operating expenditures.

The 2011-13 legislatively adopted budget included \$5 million General Fund to cover the cost from a breach of contract lawsuit. The Department was directed to first use its 2009-11 legislatively approved budget to the greatest extent possible to address the payments, with any remaining balance due to be paid from the 2011-13 appropriation. The Committee approved a reduction of \$2 million General Fund as final payments have been made.

The overall funding level for the State School Fund was increased by \$2.5 million to cover the cost of extending the sunset for the Small School District Supplement Fund until June 30, 2013 (one additional year). Further, the Committee modified the funding sources to address a forecasted decline in Lottery Funds revenues. The General Fund appropriation is increased by \$5,479,570; the Lottery Funds allocation and expenditure limitation is decreased by \$2,979,570 million.

The Committee added \$587,015 General Fund for the Early Head Start Program. With this additional funding, the Department is expected to maintain 59 enrollment slots for the balance of the biennium.

A \$431,521 General Fund reduction in the personal services budget for the agency was made as ODE's share of the statewide effort to restructure state government business operations and management of agency programs and services (\$280,465 Operations, \$151,056 School for the Deaf). The budget was also reduced by \$18,413 General Fund to capture statewide e-government savings.

The Committee restored debt service of \$1,848,887 Lottery Funds and \$61,218 Other Funds to cover obligations that were reduced as part of the supplemental ending balance in the 2011 legislative session.

### **Oregon Health & Science University**

The Committee approved a 3.5% reduction, or \$18,375 General Fund, for new Health Care Loans (House Bill 2397, 2011) that had not been subject to the supplemental ending balance adjustment made during the 2011 legislative session.

### **Oregon Student Access Commission**

Senate Bill 5701 reflects three adjustments to the Commission's budget. The Committee transferred \$34,152 General Fund from the Oregon Opportunity Grants to child care grants to offset the 3.5% supplemental ending balance reduction for that program in the legislatively adopted budget. The second reduced personal services by \$29,294 General Fund for the Commission's share of the statewide effort to restructure state government business operations and management of agency programs and services. The third change was a \$462 General Fund reduction to capture statewide e-government savings.

### **Teacher Standards and Practices Commission**

As part of the Co-Chairs' statewide rebalance plan, the Committee eliminated the \$100,000 General Fund appropriation for the Educator Preparation Improvement Fund established in House Bill 3474 (2011). The Fund remains in statute and the Teacher Standards and Practices Commission may still accept grants, donations or gifts of money.

The Committee also established an \$85,455 Federal Funds expenditure limitation for the Advancing Longitudinal Data for Educational Reform (ALDER) grant funds received through an intergovernmental agreement with the Department of Education. Funds will be used to support staff time, data gathering, and hardware.

### **Oregon University System**

General Fund debt service appropriations for the Oregon University System (OUS) are adjusted based on updated repayment schedules and restorations of supplemental ending balance reductions taken as part of the legislatively adopted budget. General Fund debt service on Article XI-G general obligation bonds was increased by \$1.7 million. General Fund debt service on certificates of participation (COPs) was increased by \$585,977. General Fund debt service for repayment of energy loans to the Department of Energy (SELP) was decreased by \$3.1 million. The net effect of these adjustments is a savings of \$892,900 General Fund. Lottery Funds expenditure limitation for debt service was increased by \$260,577 to meet lottery bond obligations. Sports Lottery was reduced by \$232,960 to make Lottery Funds available to meet debt service obligations, with direction that this reduction be split between the University of Oregon (\$118,613) and Oregon State University (\$144,347), both of which are on track to experience significant increases in athletic revenues in the 2012-13 fiscal year. In addition, Other Funds debt service was increased by \$344,054 to reflect the redirection of lottery bonds proceeds issued in 2007 for capital repair projects to now pay for debt service on existing lottery bonds.

The Committee approved a 3.5% reduction, \$11,550 General Fund, for clinical legal education (House Bill 5056, 2011) that had not been subject to the supplemental ending balance adjustment made during the 2011 legislative session

## Human Services Program Area

### Oregon Health Authority

The Oregon Health Authority (OHA) budget is organized into several program areas including Health Care Programs, Addictions and Mental Health, and Public Health, as well as Central and Shared Services. Senate Bill 5701 adjusts the OHA budget for updated pricing of program caseloads, costs and revenues, and selected management actions to help “rebalance” the budget. Most notable are additional costs of \$21.3 million General Fund mostly related to a shortfall in personal services funding in the budget, and \$25.0 million General Fund savings primarily related to caseload changes. The rebalance plan includes agency actions to manage a portion of the personal services underfunding. Finally, the rebalance plan includes a number of technical adjustments to fix errors made in the original split of the Department of Human Services (DHS) into two agencies, and to realign resources within OHA. This includes moving 13 positions from OHA to DHS, and a realignment of positions in the Oregon State Hospital.

The budget as adjusted reflects a number of actions to be taken as a result of the \$62.4 million General Fund and \$390,969 Lottery Funds withheld in the legislatively adopted budget for the 3.5% supplemental statewide ending balance. These actions include various program reductions and the use of one-time revenue sources. They also include total administrative reductions of \$15.3 million General Fund, including the expectation that the agency will manage \$8.3 million of the personal services underfunding in the agency through holding position vacancies and other actions. This total also includes a reduction of \$5.1 million General Fund, which is OHA’s share of the statewide effort to restructure state government business operations and management of agency programs and services. The budget was reduced by \$104,840 General Fund and \$100,729 Federal Funds to reflect savings in State Government Service Charges from the changes in the state’s e-government funding model.

With the addition of certain other actions beyond that already described, the approved adjustments result in an overall \$146.5 million increase in the agency’s total funds budget, but a \$24.6 million General Fund decrease. These actions also result in a \$390,969 decrease in Lottery Funds expenditure limitation, an \$18.6 million increase in Other Funds expenditure limitation, a \$152.8 million increase in Federal Funds limitation, and a reduction of 53 positions (53.00 FTE).

A more detailed description of each program area follows.

### Health Care Programs

The budget adjustments in Senate Bill 5701 reflect a net reduction of \$34.1 million General Fund in the Health Care Programs budget, with a \$4.3 million increase in Other Funds expenditure limitation and a \$64 million increase in Federal Funds expenditures limitation. Positions are reduced by 18 (18.00 FTE).

The rebalance plan approved by the Committee includes overall savings of \$25.9 million General Fund, primarily as a result of lower caseloads, but also savings from a slight increase in the federal match rate. Increased costs include a shortfall of \$1.2 million in tobacco tax forecast, as well as \$2.6 million in General Fund costs related to the Medicaid Management Information System (MMIS) as a result of new federal requirements. The federal government will contribute \$21.8 million or 90% of these costs. The rebalance also includes an additional \$80 million in Federal Funds expenditure limitation for the Federal Medical Insurance Pool (FMIP), which is a new federal program to provide insurance coverage for high risk individuals.

The Committee approved a number of other actions, partially to manage the \$33.1 million General Fund withheld in the legislatively adopted budget for the 3.5% supplemental statewide ending balance. Federal revenues from both the 2010 and 2011 federal Children's Health Insurance Program Reauthorization Act (CHIPRA) bonuses, totaling \$23.5 million, will replace General Fund. A total of \$16.8 million of insurer's tax revenue will be redirected to help ensure access to health care for children. Of this total, \$11 million will be used to preserve services for children by preventing program reductions in the Oregon Health Plan (OHP) Plus program. These resources come from unallocated funds of \$10 million and an additional \$1 million in reduced marketing/advertising for the Healthy Kids program. Another \$5.8 million will be used in the Family Health Insurance Assistance Program (FHIAP) to prevent further program reductions. These resources result from lower than expected caseloads in Healthy Kids Connect. The following budget note was approved related to the insurer's tax:

### **BUDGET NOTE**

The Oregon Health Authority is instructed to convene a stakeholder work group consisting of all eight health insurers domiciled in Oregon to review whether there is a future for the health insurance premium tax. Further, by December 2012, the work group shall make recommendations on how to address the funding gap that will arise after the September 30, 2013 sunset of the existing tax authority and how to allocate any surplus premium tax revenue in the remaining months of the 2011-13 biennium to health care programs, especially for Oregon's children. The Oregon Health Authority is instructed to memorialize discussions in writing, as well as to provide updates on the work group discussions to the interim health care policy committees.

A number of program reductions are included in this budget, including administrative reductions of \$1.5 million General Fund. In addition, the agency is expected to manage all personal services underfunding within this program area. Membership in FHIAP will be reduced to save \$2 million General Fund, and those clients will be eligible for OHP Standard. Six positions (6.00 FTE) are also eliminated in the program. Funding for outreach workers at Federally Qualified Health Centers will be reduced by \$134,875 General Fund. The Committee approved the addition of \$1 million General Fund to mitigate the earlier reductions to the reimbursement rates for durable medical equipment. These adjustments are expected to be implemented at the same time as the overall rate adjustments for durable medical equipment for the fiscal year beginning July 1, 2012. This budget has also been reduced by \$699,560 General Fund as a result of the statewide effort to restructure state government business operations and management of agency programs and services. The following budget note relating to generic drugs was approved:

### **BUDGET NOTE**

The Oregon Health Authority is directed to pursue a competitive bidding process for the purchase of lowest cost generic drugs within the Medicaid program. The agency shall report back to the Emergency Board by December 2012 on the status and results of this initiative.

At the direction of the Governor and legislative leadership, OHA suspended new program enrollment in OHP Standard effective January 2012. This was done in order to give the Legislature maximum flexibility to rebalance the budget. These resources were not used in the budget rebalance, and the agency is expected to reopen enrollment in this program.

### Addictions and Mental Health

Overall budget adjustments for Addictions and Mental Health increase General Fund by \$3.3 million. These adjustments also result in a reduction to Lottery Funds expenditure limitation of \$390,969, an increase in Other Funds limitation of \$5.7 million, and an increase in Federal Funds limitation of \$3.2 million. A total of 35 positions are eliminated (35.00 FTE).

The rebalance plan approved by the Committee includes General Fund costs of \$12 million, primarily a result of underfunded personal services costs. The Oregon State Hospital accounts for \$14.1 million out of the total \$17.5 million General Fund shortfall in personal services funding agency wide. The rebalance plan reports management actions to absorb about 30% of this shortfall. This is a particularly difficult area of the budget in which to manage personal services costs, since holding vacancies of direct-care staff can result in inadequate staffing levels to provide the necessary care, and may also result in higher overtime costs. The rebalance includes a realignment of positions in the Oregon State Hospital. While this has no impact on the budget, it does result in a reduction of 34 positions. An additional Federal Funds expenditure limitation of \$3.9 million is included in the rebalance. A portion of this is needed as a result of more federal resources from Alcohol and Drug program grants than was originally anticipated. The remainder is for additional federal match of General Fund at the state hospital, which was understated in the legislatively adopted budget.

The Committee approved a number of other actions, partially to manage the \$23.4 million General Fund withheld in the legislatively adopted budget for the 3.5% supplemental statewide ending balance. Four wards in the new Oregon State Hospital will not be opened until the beginning of the 2013-15 biennium. This results in one-time General Fund savings of \$19.6 million in 2011-13, primarily as a result of positions held vacant for part of the biennium. Gambling addiction programs have been reduced by \$390,969 Lottery Funds. This is in addition to the program reduction as a result of the 3.5% supplemental ending balance holdback. Funding for the development of new capacity in the community mental health system is reduced by \$2.8 million General Fund, leaving \$4.8 million in the budget to move forward with immediate plans for expansion of capacity.

This budget anticipates using, in the second year of the biennium, \$5.7 million Other Funds from the Community Mental Health (Dammasch) Housing Trust Fund to support program services. This amount is equal to one-half of the current principal in the Fund. The Other Funds will be used to continue to provide community services to children and adults with mental illness. The community housing grant program will continue during the 2011-13 biennium, at reduced levels as funding permits. The following budget note related to the Dammasch Fund was approved:

### **BUDGET NOTE**

Funds from the Dammasch Trust Fund in the 2011-13 budget are being used due to the severe revenue shortfall the state has experienced. Dammasch funds are being used exclusively for vital mental health services. If revenue should substantially increase in the current biennium, the Legislature will restore these funds to their full amount.

This budget has been reduced by \$3.4 million General Fund as a result of the statewide effort to restructure state government business operations and management of agency programs and services. The agency is also expected to manage a portion of the personal services underfunding within this program area.

## Public Health

In Public Health, the overall budget adjustments add \$1.8 million General Fund, \$5.1 million Other Funds, and \$5.7 million Federal Funds.

The rebalance plan approved by the Committee includes a General Fund cost of \$1.2 million, primarily a result of underfunded personal services costs. The plan also includes a \$6.2 million Federal Funds expenditure limitation increase for the Office of Family Health and the Office of Environmental Health. This includes grant awards for the Maternal Infant and Early Childhood Home Visiting Program, the WIC Breastfeeding Program, and the Healthy Homes grant.

Actions were approved to manage the \$1.2 million General Fund withheld in the legislatively adopted budget for the 3.5% supplemental statewide ending balance. The agency is expected to manage all personal services underfunding within this program area. This budget has also been reduced by \$303,303 General Fund as a result of the statewide effort to restructure state government business operations and management of agency programs and services. Other approved actions include the transfer of \$1.5 million from the Tobacco Use Reduction Account to the state General Fund.

## Central, Shared & Direct Charge Services

The budget adjustments for Central, Shared and Direct Charge Services increase General Fund by \$5.1 million, Other Funds expenditure limitation by \$3.5 million, and Federal Funds expenditure limitation by \$80 million.

The rebalance plan includes a General Fund cost of \$4 million, primarily a result of underfunded personal services costs. The plan also includes a \$3.5 million increase in Other Funds expenditure limitation for Shared Services. Federal Funds expenditure limitation is increased by \$80.4 million to support additional resources for projects supported by the Office of Health Information Technology (OHIT). OHIT has received additional federal grant funds to support the infrastructure that will promote the development of health information technology strategies and applications to support the widespread improvement of the health care system. It also expects to receive \$67.8 million Federal Funds during the biennium to pass through to health care professionals and hospitals in Oregon as incentives to develop electronic health record systems.

The Committee approved a number of other actions, partially to manage the \$4.7 million General Fund withheld in the legislatively adopted budget for the 3.5% supplemental statewide ending balance. The agency is expected to manage all personal services underfunding within this program area. The original \$4.7 million holdback included \$1.9 million General Fund related to debt service, which was added back. This budget has also been reduced by \$691,053 General Fund as a result of the statewide effort to restructure state government business operations and management of agency programs and services.

## Department of Human Services

Senate Bill 5701 increases the Department of Human Services (DHS) budget by a net \$103.5 million General Fund, \$22 million Other Funds, \$160.7 million Federal Funds, and 13 positions (13.00 FTE). The 13 positions are moved from the Oregon Healthy Authority, for no net increase between the two agencies. The net adjustments reflect updated pricing of program caseloads, costs and revenues, and selected agency actions to help “rebalance” the legislatively adopted budget; technical adjustments to fix errors made in the initial distribution of resources between DHS and the Oregon Health Authority when that new agency was created; actions to be taken to address the \$73.7 million General Fund unspecified reduction in the legislatively adopted budget for the 3.5% supplemental statewide ending balance; and other actions anticipated in the Ways and

Means Co-Chairs' statewide budget plan. The budget was reduced by \$240,259 General Fund and \$196,576 Federal Funds to reflect savings in State Government Service Charges from the change in the state's e-government funding model. More detailed description of the budget changes and actions in each program area follows.

### Central Services

The Central Services budget is reduced by \$522,515 General Fund, \$10,047 Other Funds, \$1.3 million Federal Funds, and 9 positions (8.99 FTE). This includes reductions of \$580,630 General Fund and \$478,824 Federal Funds as part of the statewide effort to restructure state government business operations and management of agency programs and services. This unit is also expected to manage \$310,205 General Fund and \$310,205 Federal Funds in higher-than-budgeted position costs through holding position vacancies and other actions. Technical adjustments are made to move one position (1.00 FTE) into and 10 positions (9.99 FTE) out of this budget.

### Children, Adults and Families

Senate Bill 5701 increases funding for Children, Adults and Families (CAF) by \$26.8 million General Fund, \$14.9 million Other Funds, and \$31.1 million Federal Funds. This reflects budget adjustments based on CAF's budget rebalance needs and technical adjustments, the \$28.7 million General Fund reduction made in the 2011-13 legislatively adopted budget for the 3.5% supplemental ending balance, and other actions anticipated in the statewide budget plan.

DHS identified a net \$34.5 million General Fund need in the CAF budget in its financial report to the Joint Interim Committee on Ways and Means in January 2012. The federally-funded Supplemental Nutrition Assistance Program (SNAP) caseload continues significant growth: \$130 million was added to CAF's nonlimited Federal Funds expenditure limitation in December 2011, 5.6% above the legislatively adopted budget level. Caseloads and costs in the Temporary Assistance to Needy Families (TANF) cash assistance programs are forecast to be \$44.1 million General Fund higher than budgeted, with the most notable increase in the TANF Basic caseload, where the average caseload is now projected to be 28,607 monthly, 17.2% higher than the 24,407 average in the legislatively adopted budget. Child Substitute Care caseloads are forecast higher than budgeted, primarily in regular foster care, special contracts, residential treatment, and target children cases. Other substitute care programs show a small savings compared to the budget. Adoptions program caseloads and costs are projected to be lower than funded in the legislatively adopted budget.

Notable revenue adjustments in CAF's budget rebalance and in the statewide budget plan include the use of \$16 million in federal TANF funds received but not spent in the 2009-11 biennium; \$5.1 million in SNAP access and application process bonuses; and \$6.2 million in federal Child Care and Development Fund moneys received from the Employment Department as Other Funds. In addition, \$10 million in federal fiscal year 2013 TANF contingency funds are assumed to replace a \$5 million shortfall in federal fiscal year 2012 funding and to help avoid \$8 million in further reductions in TANF programs.

Key elements of the CAF budget after the Senate Bill 5701 adjustments include the following:

- In the TANF program, basic cash assistance payment levels and income eligibility criteria are unchanged. Current TANF Parents as Scholars clients can complete their education without losing cash assistance. The TANF Family Support and Connections program is maintained at full funding. Adults who meet the federal 60-month time limit in- or out-of-state will not be eligible for TANF in Oregon. The current "job quit"

ineligibility period is extended from 60 to 120 days. Post-TANF payments to working families are ended May 1, 2012, two months earlier than originally budgeted. There continues to be risk in TANF caseloads which are already running above the Fall 2011 forecast level.

- \$9 million in unallocated JOBS funding is maintained for job placement, contracted slots and client support services such as child care and transportation.
- Employment Related Day Care (ERDC) caseloads are funded at an expected 8,500 average cases, with a continued mix of General Fund and federal Child Care and Development Fund moneys. Client co-payments are increased by 10%, an average of \$5 to \$10 monthly for families receiving subsidies. The unallocated \$5.7 million special purpose appropriation to the Emergency Board for ERDC or other supports and services for children and families is eliminated.
- Child welfare services are maintained, including funding for SB 964 (2011) community-based, family preservation and reunification programs. The unallocated \$5 million special purpose appropriation to the Emergency Board for child welfare differential response is abolished. The new initiative to contract for domestic violence advocates in program offices is scaled back, and \$1 million for new infrastructure grants to domestic violence shelters is eliminated. Foster care, adoptions assistance and other child welfare provider reimbursement payments are unchanged from the legislatively adopted budget level.
- Funding for refugee services is decreased by \$100,000, reducing the legislatively adopted budget for the program by less than 1% overall. The \$100,000 reduction is made in federal TANF funds which will be used elsewhere in CAF to free up \$100,000 General Fund.
- Vocational Rehabilitation Services are continued without reduction.

Higher-than-budgeted position costs in CAF total \$15.6 million General Fund and \$15.6 million Federal Funds; these costs will be managed through holding position vacancies and other actions. An additional \$1.9 million General Fund and \$1.9 million Federal Funds reduction is made in CAF self-sufficiency program staffing and other operating costs. The CAF budget is further reduced by \$3 million General Fund, \$180,000 Other Funds and \$2.8 million Federal Funds as part of the statewide effort to restructure state government business operations and management of agency programs and services. Overall, the impact of these actions is expected to reduce CAF staffing by the equivalent of more than 310 positions, and bring field staffing levels for self-sufficiency and child welfare programs down to less than 70% of the workload staffing models for those programs.

#### Seniors and People with Disabilities

The budget for Seniors and People with Disabilities (SPD) is increased by \$77.2 million General Fund, \$4.4 million Other Funds, and \$129.8 million Federal Funds. Technical adjustments move one position (1.00 FTE) from SPD to the Central Services budget. These budget adjustments address SPD's budget rebalance and technical adjustments, the \$44.1 million General Fund reduction made in the 2011-13 legislatively adopted budget for the 3.5% supplemental ending balance, and other actions anticipated in the statewide budget plan.

DHS reported in January 2012 to the Joint Interim Committee on Ways and Means that caseloads in long-term care facilities for seniors and people with physical disabilities are expected to be down just slightly overall from the caseloads funded in the legislatively adopted budget.



However, costs for in-home cases and community-based care were higher than budgeted, in part because of some clients shifting to home and community-based care settings from Medicare Part A and Part B Buy-in programs that pay Medicare premiums for low-income “dual eligible” seniors who qualify for both Medicare and Medicaid. In the programs serving people with developmental disabilities, caseloads overall were slightly less than initially funded, although costs per case were running higher due to higher client acuity levels and some movement between program settings. Higher Medicaid client participation rates in those programs were expected to provide more Federal Funds to help offset the higher overall costs. Overall, SPD’s budget rebalance showed a small General Fund savings from the legislatively adopted budget, before consideration of the 3.5% supplemental ending balance reduction and the budgeted long-term care reimbursement reduction.

In addition to the unspecified \$44.1 million General Fund reduction for the 3.5% supplemental ending balance, the legislatively adopted budget reflected a reduction of \$51.5 million General Fund, \$147.6 million total funds in the second year of the biennium for long-term care costs for seniors and adults with physical disabilities in in-home services, community-based facilities, and nursing facilities. When repriced for the shift in service settings and costs in the agency’s budget rebalance, to fully restore this reduction would require \$53.4 million General Fund. The adjustments in Senate Bill 5701 include an additional \$40 million General Fund appropriation in this program area, reducing the potential reimbursement reduction from \$53.4 million to \$13.4 million. The Co-Chairs’ intent is that the Governor’s Office, the Oregon Health Authority and DHS will pursue additional federal Medicaid funding or other federal revenue to mitigate or eliminate the full reduction. DHS is expected to report on this issue to the Emergency Board at its May 2012 meeting, with recommendations regarding any further action to be taken at that time. In addition, as part of the Co-Chairs’ budget rebalance plan, an agreement was made to consider using the Emergency Fund to cover the remaining \$13.4 million reimbursement reduction if additional federal funds are not obtainable and the June 2012 Oregon Economic and Revenue Forecast of 2011-13 biennium General Fund revenues, excluding the impact of 2012 legislative session adjustments, exceeds the amount of General Fund revenues in the March 2012 forecast by at least \$25 million.

The approved budget makes no reductions in Oregon Project Independence services, Medicaid adult day services, or Medicaid home-delivered meals programs.

Alternatives to Employment Services, Sheltered Employment, Supported Employment, the Family Support Program and Family-to-Family network for people with developmental disabilities and their families also continue without reductions. The plan avoids further reductions to reimbursement rates for brokerages and community developmental disability programs (CDDPs). It also adds \$7.5 million General Fund for 24-hour residential providers; for supported living providers; and for children’s residential providers including children’s foster care group homes, to bring the 2011-13 biennial budget reductions to no more than 6% below the 2009-11 level. No changes were made at this time for the adult foster care programs, which are currently in collective bargaining negotiations. The following budget note was approved:

### **BUDGET NOTE**

The Department of Human Services is to report to the Emergency Board in September 2012 on the outcome of the negotiations for the adult foster care programs. If the negotiations result in a reduction that is more than 6% below the 2009-11 reimbursement rate, DHS is to identify options for bringing reimbursement for adult foster care programs to no more than a 6% reduction for the balance of the biennium, and include its preferred option in the agency’s next budget rebalance plan.

Costs for crisis diversion and in-home services for some individuals with developmental disabilities will be limited, resulting in budget savings of \$241,149 General Fund and \$956,710 Federal Funds. Clients in three additional state operated group homes for adults with disabilities will be moved to private group homes, for a \$350,190 General Fund and \$571,410 Federal Funds savings this biennium. With three state operated group homes already in the process of being closed, DHS will move a total of 30 clients to private group homes by the end of the biennium, for an estimated net savings of \$1.3 million General Fund and \$3.4 million Federal Funds.

The budget adjustments anticipate \$2.8 million in General Fund savings from a total of \$1.5 million Other Funds and \$1.3 million Federal Funds in increased revenue, based on contractor estimates for higher third-party recoveries for long-term care cases, higher projected estate recoveries, and a new Medicaid 1915(c) waiver for in-home comprehensive services for children with developmental disabilities.

Higher-than-budgeted position costs in SPD total \$9.4 million General Fund and \$10.7 million Federal Funds; these costs will be managed through holding position vacancies and other actions. An additional \$1 million General Fund and \$1 million Federal Funds reduction is made in SPD and Area Agencies on Aging (AAAs) program staffing and other operating costs. The SPD budget is further reduced by \$2.5 million General Fund and \$3.3 million Federal Funds as part of the statewide effort to restructure state government business operations and management of agency programs and services. Overall, the impact of these actions is expected to reduce staffing for AAA, DD, and SPD staffing by the equivalent of 180 positions, with reduced field staffing levels for Medicaid eligibility and case management.

#### Shared Services

The Shared Services budget is increased by a net \$2.8 million Other Funds and 23 positions (22.99 FTE). Technical adjustments add \$3.5 million Other Funds, based on the transfer of 23 positions to Shared Services from within DHS and the Oregon Health Authority. The budget reflects a reduction of \$716,863 Other Funds as part of the statewide effort to restructure state government business operations and management of agency programs and services. Higher-than-budgeted position costs of \$4 million Other Funds will be managed through holding position vacancies and other actions.

Of note: Senate Bill 5701 abolishes the unallocated \$8 million special purpose appropriation to the Emergency Board established in 2011 for Department of Human Services and Oregon Health Authority caseloads and costs for programs and services. However, the agencies may, if needed, be able to access a part of the new \$60 million special purpose appropriation to the Emergency Board designated for potential allotment reduction mitigation; for home foreclosure issues; or for human services caseload increases.

### Public Safety Program Area

#### Department of Corrections

Senate Bill 5701 adds a net \$38.1 million General Fund for the Department of Corrections (DOC), reflecting a partial restoration of the 2011-13 legislatively adopted budget's adjustment for the supplemental ending balance, a "rebalance" of resources across the agency's divisions, and DOC's share of the statewide effort to restructure state government business operations and management of agency programs and services.

The 2011-13 legislatively adopted budget included a \$48.2 million General Fund (3.5%) reduction for the supplemental ending balance. The Committee restored \$38.1 million resulting in the following reductions and actions the agency must make to close the resulting funding gap:

- The amount of funding dedicated for the reimbursement of counties for the jail costs of incarcerating Ballot Measure 73 offenders is reduced by \$1.6 million General Fund. Requests for reimbursement have been lower than expected for the first six months of the biennium. The agency would need to request further funding or reallocate resources within its budget if requests return to at least the amount assumed in the legislatively adopted budget.
- A greater amount of federal funds through the State Criminal Alien Assistance Program (SCAAP) is now anticipated so \$315,352 General Fund in the Health Services Division may be replaced with an equivalent amount of federal funds.
- The amount of debt service required for 2011-13 is reduced by \$81,641 General Fund through refinancing of existing certificates of participation (COPs). Future biennial budgets will reflect savings due to this refinancing.
- DOC's share of the statewide effort to restructure state government business operations and management of agency programs and services is \$7.9 million General Fund.

### **BUDGET NOTE**

The Department of Corrections is instructed not to close or deactivate any facility or units for the purposes of the \$7.9 million reduction related to the agency's share of the statewide effort to restructure state government business operations and management of agency programs and services without consulting the Emergency Board or the Legislature.

The Committee also approved an agency-wide rebalance of appropriation and expenditure limitation affecting most of the divisions. Overall, this rebalance reflects no net increase in General Fund, a \$98,019 increase in Other Funds expenditure limitation, and a \$7,989 decrease in the Federal Funds expenditure limitation. As part of this rebalance, \$220,875 General Fund is transferred to the Operations Division from the Transitional Services Division accounting for funding for one of the five management positions that were eliminated in the 2011-13 legislatively adopted budget. This bill eliminates the five positions (5.00 FTE) since only the funding was eliminated in the 2011 legislative session. The agency's budget was also reduced by \$266,788 General Fund to capture statewide e-government savings.

The bill also repeals the appropriation section for Chapter 666 (House Bill 2940, 2011) and combines this \$100,095 General Fund appropriation with the primary appropriation for the agency found in Chapter 635 (Senate Bill 5505, 2011). Also established in this bill is an Other Funds expenditure limitation for Capital Improvements of \$413,449 for the replacement of components of the Eastern Oregon Correctional Institution's (EOCI) water heating system utilizing solar panels.

The Other Funds expenditure limitation is increased by \$3.2 million for grants to local jails funded by criminal court fees. This limitation was inadvertently left out of House Bill 2712 (2011). The Federal Funds expenditure limitation is also increased by \$600,000 for a federal grant that the agency has received relating to the Prison Rape Elimination Act (PREA). There are three limited duration positions (0.81 FTE) authorized for the activities associated with this grant.

### **Oregon Criminal Justice Commission**

The Committee approved an increase in the Federal Funds expenditure limitation for the Criminal Justice Commission (CJC) of \$6,987,121 reflecting the amount of federal resources that must be spent by the end of the 2011-13 biennium. At the time final action on CJC's 2011-13 budget was taken during the 2011 legislative session, the amount of available federal funding through various grants was not finalized. These funds will mostly be used for programs similar to drug courts for Ballot Measure 57 offenders.

### **District Attorneys and Their Deputies**

Senate Bill 5701 appropriates \$359,976 General Fund for the District Attorneys and Their Deputies. This increase represents a restoration of the entire amount reduced for the supplemental ending balance in the 2011-13 legislatively adopted budget offset by a \$2,078 General Fund decrease for the e-government adjustment. The only option for adjusting this budget is to reduce the compensation of the 36 locally elected District Attorneys.

### **Department of Justice**

The Committee approved a net reduction of \$160,840 in the General Fund appropriation for the Department of Justice (DOJ). Instead of restoring the \$1.9 million General Fund (3.5%) that had been reduced in the 2011-13 legislatively adopted budget for the supplemental ending balance, several reductions were made to fill the gap. These General Fund adjustments include \$600,652 for the Defense of Criminal Convictions (DCC) program. At this time the agency believes this reduction will not significantly affect the DCC program as long as the target savings from management actions are met and the resources in a special purpose appropriation to the Emergency Board are available for the program. This DCC reduction also leads to decreases in the Other Funds expenditure limitations for the Appellate Division of \$210,442 (one position/1.00 FTE) and for the Trial Division of \$39,347 (0.25 FTE).

The Division of Child Support will use mostly vacancy savings to save \$785,156 General Fund, also resulting in a decrease of \$1,395,709 in federal matching funds. The Committee did approve a \$300,000 General Fund increase and a \$600,000 increase in the Federal Funds expenditure limitation for matching federal funds to continue the development of the replacement of the Division of Child Support's major information management system necessary to keep pace with changing program and federal requirements. The Criminal Justice Division will reduce its District Attorney Assist and Organized Crime programs by \$270,831 General Fund (2 positions/1.00 FTE) which also results in a \$221,874 reduction in the Other Funds expenditure limitation for the Division. Other General Fund reductions include \$10,500 for the grant to Project Clean Slate and \$50,000 from the Civil Rights unit. The change in the General Fund also reflects the use of \$348,950 Other Funds in penalties and other resources collected through the Medicaid Fraud unit to offset an equivalent amount of General Fund.

The DOJ's share of the statewide effort to restructure state government business operations and management of agency programs and services is \$600,000 Other Funds. It is expected all of the agency's programs will be reviewed and that a portion of the resulting reduction could lead to overall General Fund savings as the rate for agency legal services is adjusted.

The Department of Justice has joined the Attorneys General in 49 other states in a financial settlement with major private mortgage lenders. Funding to assist distressed homeowners and direct payments to states are part of this settlement. The following budget note is included for the Department of Justice.

## **BUDGET NOTE**

The Department of Justice may request funding for activities related to mortgage fraud and similar issues from the special purpose appropriation established for this purpose. These activities may include investigation and prosecution of mortgage fraud cases, efforts to assist distressed homeowners access funding made available by the recent multi-state settlement with private mortgage lenders, housing counseling, and other activities relating to possible foreclosures. The Department of Justice shall work with the Department of Consumer and Business Services, the Housing and Community Services Department, and other agencies and entities in formulating a plan for the best use of these funds for presentation to the Emergency Board as part of its request for these funds.

### **Oregon Military Department**

None of the funding reduced in the 2011-13 legislatively adopted budget was restored for the Oregon Military Department. The Committee made further reductions including \$35,046 General Fund in the Operations program through vacancy savings for a facilities engineer position, and transferred \$71,937 in savings from the Capital Debt Service program to the Operations program for general operating services and supplies expenses. The net General Fund increase to the Operations program is \$36,891. The Committee also decreased the Emergency Management program by a total of \$120,897 General Fund. A portion of this reduction is from vacancy savings in the Director of Emergency Management position (\$11,816) with the remainder as the agency's share of the statewide effort to restructure state government business operations and management of agency programs and services (\$109,081).

The Committee appropriated \$4.5 million General Fund to Military Department for depositing into the Oregon Local Disaster Assistance Loan and Grant Account for school districts that have raised at least 50% of the cost from local resources and donations for the replacement and relocation of school buildings damaged or destroyed by a flood that is declared a federal disaster. The Committee also approved \$4 million Other Funds expenditure limitation for the Oregon Local Disaster Assistance Loan and Grant Account for the payment of such expenses.

The Community Support program's Other Funds expenditure limitation is increased by \$118,339 for 2011 fire season expenditures.

The Committee also increased the Capital Debt Service Other Funds expenditure limitation by \$306,589 for cash proceeds from previously issued Seismic Rehabilitation Grant bonding and \$241,578 for cash proceeds from previously issued certificates of participation used to fund various armory capital improvements. These funds will be used in lieu of General Fund, for \$548,167 in General Fund Debt Service savings.

The following budget note was adopted.

## **BUDGET NOTE**

The Military Department is directed to prepare a statewide information technology plan for upgrading Oregon's 9-1-1 system(s) to Next Generation technology. The plan shall include a detailed component to consolidate the state's Public Safety Answering Points based upon the 2012 L.R. Kimball Consolidation Analysis and Next Generation 9-1-1

Implementation Report. The plan is to be submitted through the normal budget review process for an information technology project and be reviewed by the Department of Administrative Services - Information Enterprise Strategy and Policy Division.

### **Department of State Police**

Senate Bill 5701 reflects a net \$5.3 million increase in the General Fund appropriation for the Oregon State Police (OSP), including adjustments for the restoration of the reduction for the supplemental ending balance, adjustments across divisions based on a rebalance plan proposed by the agency, and OSP's share of the statewide effort to restructure state government business operations and management of agency programs and services. The total \$7.8 million reduced in the 2011-13 legislatively adopted budget for the supplemental ending balance (3.5% reduction) is restored across the agency.

The changes in the bill include the adjustment of General Fund appropriations for each division based on updated projected spending for the remainder of the biennium. This "rebalance" of resources generally transfers General Fund from the Forensics and Information Management divisions to the Patrol and Criminal divisions. Major factors for these transfers include final employee compensation decisions greater than what was assumed in the legislatively adopted budget, savings from vacant positions, increasing fuel costs, need to replace patrol car video camera systems, and specific programmatic needs. The Committee also approved a reduction of \$2.5 million General Fund for OSP's share of the statewide effort to restructure state government business operations and management of agency programs and services.

The 2011-13 legislatively adopted budget was also reduced by \$241,486 Lottery Funds for the supplemental ending balance. None of this reduction is restored in this bill. In addition, other cost increases (e.g., employee compensation and fuel costs) have left the Fish and Wildlife Division with a Lottery Funds shortfall of over \$700,000. To avoid further staffing reductions, funding for up to five Fish and Wildlife trooper positions will be transferred from Ballot Measure 76 Lottery Funds to Other Funds resources available from carry-forward of Oregon Department of Fish and Wildlife revenues and vacancy savings. This transfer requires an additional \$436,875 in Other Funds expenditure limitation. An increase of \$202,180 in the Lottery Funds expenditure limitation is also approved taking advantage of a greater use of Lottery Funds balances.

The Committee approved a \$521,944 increase in the Federal Funds expenditure limitation for OSP to execute a spending plan for the use of forfeiture and seizure funds. These federal resources have restrictions on how they may be used; and generally do not include the salaries and benefits of current permanent personnel. They also may not be used to replace or supplant appropriated resources of the agency. OSP plans to use these funds to purchase equipment to increase the productivity and safety of troopers including Tasers, equipment for the bomb squad, "Speak Write" software, and "confidential" funds for Criminal Division detectives.

### **Department of Public Safety Standards and Training**

An increase of \$315,518 General Fund was approved for the Department of Public Safety Standards and Training (DPSST). All of the General Fund for the agency is for Debt Service payments for the certificates of participation (COPs) issued for the construction of the agency's Salem facility. This amount represents what was reduced for the supplemental ending balance during the 2011 legislative session, less the amount of savings from refinancing some of the COPs.

The Committee approved an \$873,897 decrease of Other Funds expenditure limitation for the Criminal Justice Training program. This reduction corresponds with a decrease in the allocation of Criminal Fines Account (CFA) resources to the agency that is included in House Bill 5702 (2012). This allocation adjustment increases the amount of CFA resources available for the General Fund. This reduction in training funding will result in the discontinuation of the child abuse training program and the elimination of six positions (3.75 FTE) including a Training Support Specialist, two Range Masters, a Training Development Coordinator, a Health and Fitness Coordinator and a general trainer position. The agency has stated that these reductions will not affect the number of basic law enforcement training classes.

### **Oregon Youth Authority**

To restore county programs affected by the supplemental ending balance reduction applied in the Oregon Youth Authority (OYA) 2011-13 legislatively adopted budget, the Committee added \$910,596 General Fund for Diversion (\$325,265), Juvenile Crime Prevention (\$276,061), Multnomah County Gang (\$163,264), and Individualized Services (\$146,006). As part of the county funding discussion, state support for the East Metro Gang Enforcement Team (EMGET) was confirmed to be \$1,666,753 General Fund for the 2011-13 biennium. This amount consists of \$566,753 in state General Fund from Multnomah County's gang funding grant along with \$1.1 million in designated EMGET General Fund.

The Committee used \$186,988 General Fund from OYA's operations budget to restore debt service. The budget was also reduced by \$64,628 General Fund to capture statewide e-government savings.

To generate additional program savings, \$1 million General Fund was eliminated from the budget based on lower utilization of about 25 foster care and residential beds. The personal services budget was also reduced by \$1.3 million as part of the statewide effort to restructure state government business operations and management of agency programs and services.

## **Economic and Community Development Program Area**

### **Oregon Business Development Department**

The Committee reduced the agency's General Fund appropriation by \$8,729; reduced Lottery Funds expenditures for operations by \$493,653; reduced Other Funds expenditures for operations by \$277,500; and increased Lottery Funds debt service expenditures by \$2,830,159 and Other Funds debt service expenditures by \$321,885, to restore reductions and fully finance debt service costs on lottery revenue bonds. The budget adjustments will generally allow the agency to implement its budget as it identified it would with the 3.5% holdback that was approved to generate a supplemental statewide ending balance, but with certain modifications. These modifications include limiting the Lottery Funds reduction for the Strategic Reserve Fund to \$700,000; increasing the Lottery Funds reduction for Oregon InC by \$357,000; increasing Lottery Funds for the Government Contract Assistance Program with the understanding that the Department will provide a total of \$290,000 Lottery Funds to that program; and increasing the Lottery Funds reduction to the Oregon Film and Video Office by \$81,125.

The Committee also approved budget adjustments to eliminate any additional grant or loan commitments in the Building Opportunities for Oregon Small Business Today (BOOST) program. The Business, Innovation and Trade Division's Other Funds expenditure limitation was reduced by \$377,500 for the reduction in BOOST program grant expenditures, and Other Funds Nonlimited were reduced by \$3,315,000 for the

reduction in BOOST program loan expenditures. Senate Bill 1579 transfers the combined reduction of \$3,692,500 in uncommitted BOOST program account Other Funds to the General Fund.

Lottery Funds were reduced by \$3,547 for the e-government funding model change. Expenditures were reduced by \$9,006 General Fund and \$432,802 Lottery Funds for the agency's share of the statewide effort to restructure state government business operations and management of agency programs and services. Finally, a \$100,000 Other Funds increase in the Business, Innovation and Trade Division, and one position (0.50 FTE), were approved to implement Senate Bill 817 (2011), which established the Oregon Low Income Community Jobs Initiative.

### **Employment Department**

The General Fund appropriation to Employment Department's Child Care Division was reduced by \$336,868. Of this amount, \$250,000 reflects delayed implementation of a health consultation program model being developed by the Oregon Health Authority that was assumed in the Child Care plan and legislatively adopted budget, and a reduction in administrative costs related to the provision of customized reports for child care providers; the remaining \$86,868 is attributable to the statewide effort to restructure state government business operations and management of agency programs and services.

Senate Bill 5701 amends the agency's Federal Funds expenditure limitations to distinguish expenditures from Federal Funds received for benefits administration and operation of public employment offices from expenditures from federal Child Care and Development Funds.

The Committee increased Federal Funds expenditure limitation for benefits administration and public employment offices by \$12,345,199 and established three limited duration positions (6.50 FTE) to accommodate changes in caseload across several programs, as follows:

- \$1.3 million and 5.00 FTE for timely benefit administration of federal unemployment insurance benefit extensions for two additional months that had been approved by the U.S. Congress as of February 14, 2012;
- \$427,704 for Office of Administrative Hearings adjudication of those benefits;
- \$1.2 million and 3 positions (1.50 FTE) for casework and benefit administration of an anticipated 300 dislocated workers per quarter under a federally approved extension of the expanded Trade Act;
- \$447,958 for information technology expenditures necessary to enable participation in the Treasury Offset Grant Program, which enables the Department to recover employer taxes or benefit overpayments from Federal tax returns; and
- \$9 million for utilization of Federal Funds for administration in place of Other Funds (Reed Act) dollars.

The Employment Department identified \$16.6 million in Child Care and Development Funds carried over from previous biennia. The Committee increased Child Care and Development Federal Funds by \$6.2 million for the Employment Department for allowable child care expenditures, per federal guidelines. The statewide budget plan anticipates this \$6.2 million will be transferred to the Department of Human Services for child care subsidies and related expenditures. Decisions regarding how to utilize the remaining Child Care and Development Funds will be made at a later date.

Other Funds expenditure limitation adjustments resulted in a net reduction of \$5,385,131, consisting of the following:



- An additional \$2.5 million and eight limited duration positions (5.00 FTE) to allow for timely administrative hearings and decisions due to the 2-month extension of federal unemployment insurance benefits, and higher than anticipated caseloads originating in the Department of Education and the Portland Police and Fire Disability Fund;
- An additional \$1.4 million and three limited duration positions (1.88 FTE) associated with the Department's successful grant application for development of a national model workforce registration system;
- A reduction of \$9 million Other Funds due to the receipt and utilization of a like amount of Federal Funds for the administration of Unemployment Insurance activities; and
- A reduction of \$250,000 that is attributable to the statewide effort to restructure state government business operations and management of agency programs and services.

### **Housing and Community Services Department**

The General Fund appropriation for the Department was increased by \$75,956 to restore funding to the General Fund Food Program, and by \$76,910 to restore the 3.5% supplemental ending balance reduction to the Court Appointed Special Advocate (CASA) program transferred and funded in House Bill 4082 (2012). General Fund for the Low Income Rental Housing Fund was reduced by 3.5% (\$16,450). The bill increases Lottery Fund expenditures by \$80,919 for debt service costs on lottery revenue bonds. Other Funds expenditure limitation was increased by a total of \$9,081,162, consisting of \$5 million for the Oregon Energy Assistance Program pursuant to Senate Bill 863 (2011), \$2,697,087 and 20 positions (15.35 FTE) for administration of the Home Ownership Stabilization Initiative, and \$1,384,075 for administration of the CASA program. Federal Funds expenditure limitation was increased by \$5 million to reflect a federal grant award for phase three of the Neighborhood Stabilization Program.

### **Department of Veterans' Affairs**

The Committee increased the General Fund appropriation to the Oregon Department of Veterans' Affairs by a net \$92,536, based on the following adjustments:

- Restoration of \$115,656 of the 3.5% supplemental ending balance adjustment, with the intention that the General Fund appropriation made for Veterans' Disabled Transportation be reduced by \$90,000 in lieu of reductions to other services provided by the Department of Veterans' Affairs; and
- A reduction of \$23,120 that is attributable to the statewide effort to restructure state government business operations and management of agency programs and services.

A technical adjustment was approved to transfer a state agency assessment adjustment from the General Fund appropriation for National Services Organizations to the General Fund appropriation for services provided to the Oregon Department of Veterans' Affairs; this transfer has no net General Fund impact.

## Natural Resources Program Area

### Department of Environmental Quality

Senate Bill 7501 adds \$193,612 General Fund to restore funding for debt service payments. A one-time \$86,615 General Fund reduction to the Land Quality program captures savings from putting a position on special assignment in the Water Quality program. The agency's share of the statewide effort to restructure state government business operations and management of agency programs and services is \$169,003 General Fund, which was taken in the Water Quality program.

### Department of Geology and Mineral Industries

To accommodate increased demand for contract services such as Lidar data collection and FEMA flood hazard mapping, the Committee increased Federal Funds expenditure limitation by \$1,788,385, increased Other Funds expenditure limitation by \$1,709,304, and established 10 limited duration positions (6.37 FTE). General Fund was also reduced \$1,204 in the Geologic Survey program to reflect savings in State Government Service Charges from a change in the state's e-government funding model.

### Department of State Lands

For payment of expenses related to the Portland Harbor Superfund, the Committee added \$681,266 General Fund (with a corresponding disappropriation of \$681,266 General Fund from the Emergency Fund) and an increase of \$333,333 Other Funds expenditure limitation.

Other Funds expenditure limitation was increased by \$468,125 for fire suppression and land rehabilitation costs as a result of fire damage during the 2011 fire season on Common School Fund rangeland, and by \$256,139 for completion of the Territorial Seafloor Mapping Project. Federal Funds expenditure limitation was increased by \$428,127 for the Department to finalize administration of existing contract balances for eight federal grants in the Natural Heritage program (\$250,127) and to accept a grant from the Environmental Protection Agency for a Wetland Program Development grant (\$178,000).

### State Department of Agriculture

Senate Bill 5701 reflects a number of one-time fund shifts with the agency's budget, using Ballot Measure 66 (M66) ending balance carried over from the 2009-11 biennium and Other Funds in the Animal Health program, to rebalance the 3.5% General Fund supplemental ending balance reductions taken across agency programs as part of the 2011-13 legislatively adopted budget. These rebalance adjustments increase Administration and Support Services by \$29,703 General Fund; increase Food Safety Policy Area by \$150,882 General Fund and \$31,311 Other Funds; decrease Natural Resources Policy Area by \$73,647 General Fund, but increase dedicated Lottery Funds by \$416,788 (which includes about \$290,000 carry forward expenditure limitation for improvements at the Plant Division's Hawthorne facility); and decrease Agriculture Development Policy Area by \$113,203 General Fund, but increase non-dedicated Lottery Funds by \$763.

Additional adjustments were approved to make General Fund available as part of the state-wide budget rebalance plan. These include two fund shifts to use M66 Lottery Funds carry forward to replace General Fund: \$354,631 in the Invasive Weeds program and \$160,724 in the Insect Pest Prevention and Management program. In the Plant Health program, \$10,000 Other Funds was used to replace General Fund. The Agriculture Development and Marketing program was reduced by \$70,000 General Fund to reflect vacancy savings. Finally, General Fund was reduced \$4,328 in the Administrative and Support Services Division to reflect savings in State Government Service Charges from a change in the state's

e-government funding model. The Department's share of the statewide effort to restructure state government business operations and management of agency programs and services is \$197,170 General Fund, which was taken from the Food Safety program.

A 3.5% reduction, \$5,250 General Fund, was approved for individual farm credit mediations (House Bill 5056) that had not been subject to the supplemental ending balance adjustment made during the 2011 legislative session.

### **State Department of Energy**

Senate Bill 5701 adds \$4,249,010 Other Funds expenditure limitation, \$109,164 Federal Funds expenditure limitation, one position and 5.50 FTE for administration of energy incentive programs created or modified by the passage of House Bill 3672 (2011). Lottery Fund expenditures are increased by \$75,746 for debt service costs on lottery revenue bonds.

### **State Department of Fish and Wildlife**

The Committee approved a one-time \$41,000 fund shift, replacing General Fund for services and supplies with Other Funds at the Hatchery Research Center. Funding was adjusted for a fish ladder capital improvement package on Steamboat Creek by decreasing Other Funds capital improvement expenditure limitation by \$70,000 and increasing Federal Funds capital improvement expenditure limitation by \$140,000. When the Natural Resources Subcommittee considered budget requests from the Department, it also recommended the expenditure of \$20,000 from the Commercial Fish Fund to support the Port Orford Ocean Resource Team facility and \$100,000 from the Recreational Shellfish Fund for a subtidal survey of brood stock clams in Tillamook Bay with the understanding that if the Department requires an increase in Other Funds expenditure limitation to accommodate these expenditures they are to return later in the biennium to request such an increase.

In addition, \$12,168 General Fund was restored for debt service payments on outstanding certificates of participation. Finally, General Fund was reduced \$5,368 in the Administration Division to reflect savings in State Government Service Charges from a change in the state's e-government funding model. The Department's share of the statewide effort to restructure state government business operations and management of agency programs and services is \$253,504 General Fund, which was taken from the Fish Division.

A technical correction to the Department's adopted budget eliminates position authority for two positions (2.00 FTE) in the Conservation Strategy program that were added in error. The funding was intended to be used instead for services and supplies

### **State Forestry Department**

The Committee, per the Co-Chair budget plan, restored the 3.5% supplemental ending balance reduction for some agency programs, adding \$1,189,182 General Fund to the Fire Protection program, along with \$102,087 General Fund and \$81,990 Lottery Funds for debt service.

An additional one-time payment of \$200,000 General Fund was approved in the Agency Administration program to fund a position in the Governor's Office to support forest policy issues, in particular finding a solution to the county government financial predicament related to the Oregon & California (O&C) Act reduction in federal forest payments.

The budget for the Private Forests program was reduced by \$932,036 General Fund, affecting 50 positions and 9.92 FTE, for the Department of Forestry's share of reductions to address the statewide General Fund shortfall and budget rebalance. The budget was reduced by \$312,995

General Fund in the Fire Protection program and \$53,178 General Fund for the Department of Forestry's share of the statewide effort to restructure state government business operations and management of agency programs and services.

To cover 2011 fire suppression severity costs, Senate Bill 5701 appropriates \$2,120,017 General Fund for the Fire Protection program. The bill makes a corresponding disappropriation of \$2,120,017 General Fund from the special purpose appropriation made to the Emergency Board for costs associated with contracting for large air tankers and helicopters to supplement fire suppression resources for the 2011 fire season.

General Fund is reduced by \$2,484 in the Protection from Fire program and \$509 in the Private Forests program to reflect savings in State Government Service Charges from a change in the state's e-government funding model.

### **Department of Land Conservation and Development**

To support an anticipated Governor's directive to pilot a regional land use planning project, \$200,000 General Fund was added to the agency's budget to support rulemaking and related activities. In addition, \$350,000 General Fund was appropriated to the Department for distribution to Jackson, Josephine, and Douglas counties through intergovernmental agreements. The counties will use these funds to complete technical studies, mapping, and preparation of materials required for preparing a petition to the Land Conservation and Development Commission for rulemaking to consider regional definitions of agricultural and forest lands.

As part of the statewide rebalance plan, the agency's budget is reduced by \$265,752 General Fund to capture one-time budget savings achieved by holding positions vacant and through the agency director taking a job rotation to the Governor's office. Personal services expenditures are reduced by \$33,801 General Fund to capture the agency's share of the statewide effort to restructure state government business operations and management of agency programs. The budget also reflects a \$3,239 General Fund reduction in State Government Service Charges from a change in the state's e-government funding model.

The following budget note was adopted:

#### **BUDGET NOTE**

The Department of Land Conservation and Development shall prepare a report that identifies which counties and cities with a population over 10,000 people have completed or not completed the following:

1. The requirement of urban service agreements contained in ORS 195.
2. Approved facilities plans.

The report shall include the date the county and city's comprehensive plan was approved by the Land Conservation and Development Commission. The report shall include options to bring counties and cities into compliance with the ORS and shall be presented to the Joint Committee on Ways and Means prior to the 2013 legislative session.

### **State Marine Board**

The Oregon State Marine Board (OSMB) Law Enforcement program's funding is increased by a total of \$1.1 million, of which \$757,200 is Other Funds and \$292,800 is Federal Funds that would be transferred from the Facilities program. Of the total amount, \$945,000 would be used to increase funding for fiscal year 2013 law enforcement contracts to a level commensurate with fiscal years 2011 and 2012. Those fiscal year contracts totaled \$5.9 million each.

The Committee also approved a \$105,000 Other Funds expenditure limitation increase for the replacement of marine law enforcement boats. This is in addition to the \$300,000 Other Funds expenditure limitation in the Board's 2011-13 legislatively adopted budget.

Federal Funds expenditure limitation for the Administration and Education program is increased by \$243,200. The limitation would be transferred from the Facilities program for the replacement of the agency's legacy mainframe boat registration system. The project is currently estimated to cost \$310,000. OSMB has identified \$66,800 of Federal Funds in its 2011-13 legislatively adopted budget to partially fund the purchase with the remaining \$243,200 in Federal Funds coming from the Facilities program. Annual operation and maintenance costs are estimated at \$38,250 per year. Federal Funds from the U.S. Coast Guard's Recreational Boating Safety grant would be used to pay for both the registration system's development and ongoing operation and maintenance costs.

The Facilities program's funding is increased by \$509,800 in Other Funds expenditure limitation and the transfer of \$536,000 in Federal Funds expenditure limitation from the Law Enforcement and the Administration and Education programs. According to OSMB, the Federal Funds expenditure limitation is available for transfer because there are insufficient local matching funds for U.S. Fish and Wildlife Service Boating and Infrastructure grants. The \$509,800 in Other Funds expenditure limitation will be used to fund a second round of local grants for facility maintenance and improvements or to match Federal Funds provided through the Clean Vessel Act, which funds vessel waste pump out facilities and dump stations.

These adjustments in Other Funds and Federal Funds expenditure limitation are approved as one-time increases for the 2011-13 biennium and are not to carry forward into the 2013-15 biennium.

### **State Parks and Recreation Department**

Senate Bill 5701 includes an increase of \$1,731,242 in Lottery Funds dedicated to the Parks and Recreation Department, due to a higher than anticipated carryover of Lottery Fund savings from the 2009-11 biennium. This action offsets the 3.5% supplemental ending balance reductions for the Director's Office (\$45,638), Central Services (\$280,114), Park Development (\$592,240), Direct Services (\$673,108), and Community Support/Grants (\$140,142).

The budget is increased by \$861,950 Federal Funds to support disbursement of grant funds received from the U.S. Fish and Wildlife Service for the Natural Heritage Program, and by \$2,190,000 Federal Funds for grant funds from the National Oceanic and Atmospheric Administration and the U.S. Fish and Wildlife Service. The latter funding supports the Park Development program's purchase of property adjacent to the Carl B. Washburn State Park and Ona Beach State Park.

### **Water Resources Department**

Senate Bill 5701 includes an increase of \$25,633 Lottery Funds Debt Service to restore the 3.5% supplemental ending balance reduction. The agency's budget is reduced by \$7,516 General Fund due to savings in State Government Service Charges from a change in the state's funding model for e-government, and by \$247,871 General Fund for the agency's share of the statewide effort to restructure state government business operations and management of agency programs and services.

### **Oregon Watershed Enhancement Board**

The Committee reduced carry forward Lottery Funds expenditure limitation, provided to complete payments during 2011-13 on grants made in the 2009-11 biennium, by \$784,354 because the agency did not need all \$3.3 million in expenditure limitation provided in the 2011-13 legislatively adopted budget to close out these grants.

## **Transportation Program Area**

### **Department of Transportation**

In the Department of Transportation budget, a \$9,211,366 increase in Other Funds expenditure limitation was approved for the Highway Maintenance program for repair of damage sustained during winter storms in 2009 and 2011. The Committee also approved an increase of \$500,000 Other Funds in the Driver and Motor Vehicles (DMV) program to contract with third-party expertise and resources to assist in evaluating DMV's information systems against current and future business needs. The agency expects to develop a prioritized blueprint for moving forward with critical systems modernization initiatives. Deliverables will include prioritized business and technical requirements, environmental/peer analysis, identification of applicable best practices, a comprehensive technology inventory, assessment of the identified technical components to meet current and future needs, and a strategic vision for moving ahead. Subsequent work will include a tactical plan with an interrelated set of viable, prioritized, and phased initiatives.

Debt service on the Oregon Wireless Interoperability Network in the Department of Transportation was decreased by \$15,416,043 General Fund and increased by \$15,970,871 Other Funds. The source of Other Funds is State Highway Funds for the Department of Transportation's share to date of the State Radio Project. Future debt service is expected to be partially paid by the General Fund and State Highway Fund on an assumed benefit ratio of approximately 40% General Fund and 60% State Highway Fund for the 2013-15 biennium and on a calculated benefit ratio in 2015-17 based on the final bond sale amount, and actual usage data; adjusted by actual amounts paid by each fund. To date, General Fund has paid \$14,878,509, 100% of the bond debt.

Department of Transportation Debt Service was increased by \$2,914,388 Lottery Funds to restore the 3.5% supplemental ending balance reduction.

The following budget note was approved:

## **BUDGET NOTE**

The Department of Transportation shall provide a report to the Joint Committee on Ways and Means and the appropriate legislative policy committees by February 2013 on the criteria used for selecting ConnectOregon projects, and the public benefits derived from investments made by ConnectOregon.

### **Consumer and Business Services Program Area**

#### **Department of Consumer and Business Services**

The Committee approved a \$2,434,040 Federal Funds expenditure limitation increase and established four limited-duration positions (2.22 FTE) relating to expenditures financed from a U.S. Department of Health and Human Services Health Insurance Rate Review-Cycle II grant. The agency was awarded a \$4,040,777 grant, but only a portion of these funds will be spent this biennium. It is anticipated that the agency will request that the positions be continued, again on a limited-duration basis for the remainder of the Cycle II grant, and request Federal Funds expenditure limitation for the remaining \$1.6 million of grant funds, in its 2013-15 biennium budget request.

#### **Oregon Health Licensing Agency**

The Committee approved a decrease of \$20,751 in Other Funds expenditure limitation reflecting the net effect of the fee changes approved in Senate Bill 1579 (2012). The boards affected by these changes include those related to Body Art Practitioners, Respiratory Therapists and Polysomnographic Technologists, Nursing Home Administrators, and Licensed Dietitians. The original license and renewal fees for the Board of Direct Entry Midwifery were approved at \$1,200 per year, with the understanding that the fee increase is necessary by unique circumstances and is not intended to be permanent.

## **BUDGET NOTE**

There was concern with the fee changes for the Board of Direct Entry Midwifery. The agency is directed to report during the 2013 Legislative Assembly on the status of the revenues, expenditures, and current ending balance forecast for the board, including proposals for fee decreases or other regulatory options for the board.

#### **Bureau of Labor and Industries**

The Bureau's General Fund personal services expenditures were reduced by a total of \$210,205, for the agency's share of the statewide effort to restructure state government business operations and management of agency programs and services. The budget was also reduced by \$3,610 General Fund for the e-government funding model change.

## Administration Program Area

### Department of Administrative Services

The 2009-11 budget for the Department of Administrative Services (DAS) contained \$11,271,656 Other Funds expenditure limitation to spend lottery bond proceeds on county court facilities infrastructure projects. Not all of the projects were completed in that biennium, so the agency requested an adjustment to its 2011-13 budget to finish the projects. Accordingly, the Committee approved the establishment of a new Other Funds expenditure limitation of \$3,932,550 specifically for Court Facilities projects. The Committee also decreased the agency's operations Other Funds expenditure limitation by \$1,930,400 to remove spending authority that was initially expected to be used to cover the project costs.

The Committee approved a reduction of \$2,232,000 Other Funds expenditure limitation associated with a change in the state's e-government funding model. Also approved was a technical adjustment to eliminate a position and \$145,000 Other Funds expenditure limitation from the State Controller's Division. The position was added to the budget in the 2011-13 biennium due to a federal requirement that on January 1, 2012, state governments begin to withhold 3% on vendor payments; however, that federal law was repealed in November 2011.

The Committee restored debt service of \$238,488 General Fund and \$332,732 Lottery Funds to cover obligations that were reduced as part of the supplemental ending balance in the 2011 legislative session. To capture the agency's share of the statewide effort to restructure state government business operations and management of agency programs, personal services were reduced by \$2 million Other Funds.

### Employment Relations Board

Senate Bill 5701 appropriates \$1 million General Fund to support local government services in the second year of the biennium. The following budget note was adopted:

#### BUDGET NOTE

The Employment Relations Board is directed to undertake the following items and then report to the appropriate policy committee and the Joint Committee on Ways and Means during the 2013 legislative session:

- Review its administrative processes and procedures and make any necessary changes to improve the timely disposition of hearing and mediation cases;
- Propose to the 2013 Legislature an expedited hearings process as well as any statutory changes that will improve the timely disposition of its hearing and mediation cases;
- Conduct a review of recent opinions issued by the Board and its administrative law judges to evaluate the quality of opinions issued and how they can be improved upon; and
- Report on the number of frivolous claims received and recommendations for reducing the number of any such claims.

### Office of the Governor

The Governor's Office budget was increased by a net \$267,362 General Fund, which includes \$375,334 added to restore the 3.5% supplemental ending balance reduction from the 2011 legislative session, and reductions of \$105,000 to the Oregon Education Investment Board and \$2,972 in State Government Service Charges to reflect a change in the state's e-government funding model.



A \$1 Other Funds expenditure limitation was established as a placeholder for future increases to support spending any donations that the Office may receive.

### **Oregon State Library**

As part of the statewide effort to restructure state government business operations and management of agency programs and services, the General Fund personal services budget for the agency was reduced by \$19,886. The following budget note was adopted:

#### **BUDGET NOTE**

The State Library is to report to the May 2012 Joint Interim Committee on Joint Ways and Means or the Emergency Board with an analysis of the requests and response received by the Government Research and Electronic Services program. The report shall include the total number of requests received, the nature of requests, and from what entity the request was made, the number of requests that were responded to, and the cost associated with providing responses.

### **Oregon Liquor Control Commission**

The Commission's budget was increased by a net \$507,973 Other Funds, for the following:

- The legislatively adopted budget mistakenly applied a services and supplies reduction to personal services in the Administration and Support Services program of the Oregon Liquor Control Commission, so the technical correction adds \$707,973 Other Funds expenditure limitation.
- A reduction of \$200,000 Other Funds is the agency's share of the statewide effort to restructure state government business operations and management of agency programs and services.

### **Public Employees Retirement System**

The agency's personal services budget was reduced by \$750,000 Other Funds to capture the agency's share of the statewide effort to restructure state government business operations and management of agency programs.

### **Department of Revenue**

The Committee approved a decrease of \$48,504 General Fund to reflect savings in State Government Service Charges from a change in the state's e-government funding model. To capture the agency's share of the statewide effort to restructure state government business operations and management of agency programs, personal services were reduced by \$1,126,687 General Fund.

### **Secretary of State**

Senate Bill 5701 reflects two adjustments to the Secretary of State's budget. The first reduced General Fund appropriations for personal services expenditures by a total of \$128,650, for the agency's share of the statewide effort to restructure state government business operations and management of agency programs and services. The second made a \$4,670 General Fund reduction for the e-government funding model change.

### **State Treasurer**

Other Funds expenditure limitation was increased by \$250,000, for expenditures of grant funds received from the Rockefeller Foundation for the purpose of designing and launching a West Coast Infrastructure Exchange. This increase is approved on a one-time basis and the increase will be

phased-out in the development of the 2013-15 biennium budget. The Treasurer will request grant expenditure authority in his 2013-15 biennium budget request, if additional funding for this initiative is obtained from the Rockefeller Foundation or from other private sources.

## Judicial Branch

### Judicial Department

Senate Bill 5701 appropriates an additional \$7.4 million General Fund to the Oregon Judicial Department (OJD), partially offsetting the reduction made in the 2011-13 legislatively adopted budget for the supplemental ending balance. The bill also adds \$30.9 million in Other Funds expenditure limitation.

Funding was restored for constitutionally and legally mandated programs, including \$1.9 million for judicial compensation, \$472,922 for jury services, and \$345,207 for Oregon eCourt Program Debt Service. Court Operations, which includes the circuit and appellate courts and administration, are being held to their 2011-13 legislatively adopted budget, which includes \$2 million for Trial and Appellate Courts that was added at the end of the 2011 session. The Chief Justice has total flexibility to move funds and positions within the Operating Programs budget in order to keep the state's unified court system operating. A \$1.1 million General Fund special purpose appropriation to the Emergency Board is included in the bill in the event that the Department requires additional funding for court operations.

The bill includes \$2.4 million General Fund to fund revenue collection activities through the Department of Revenue and the private collection agencies.

### BUDGET NOTE

The Oregon Judicial Department is requested to report to the Legislative Fiscal Office on a quarterly basis on the Department's overall revenue activities, including the cost of collection, amounts collected, and collection rates.

The Department's Special Payments were reduced as these payments were not previously subject to the reduction for the supplemental ending balance. County Law Libraries were reduced by \$259,000 General Fund and Conciliation and Mediation Services were reduced by \$259,000 General Fund.

An additional \$2.9 million in General Fund Debt Service was approved for the Oregon eCourt Program to support \$13.7 million in new bonding authority provided for in House Bill 5201. The operations and maintenance appropriation for the Oregon eCourt Program was reduced by \$93,643 General Fund. This action was submitted as part of the Department's reduction plan and is not expected to impact the current roll-out of the program.

The Other Funds expenditure limitation for the Oregon eCourt Program was increased by a total of \$23.4 million, for the following items:

- \$13.7 million in new bonding authority in House Bill 5201;
- \$6 million for previously authorized bonding authority (Senate Bill 5505, 2011);

- \$3.5 million for an available cash balance from previously issued debt; and
- \$134,116 for a fingerprint grant from the Oregon State Police.

The following budget notes were adopted relating to the Oregon eCourt Program.

**BUDGET NOTE**

The Judicial Department is requested to work with the Legislative Fiscal Office to prepare a revised schedule for completing the deliverables that were defined in the Agreement between the Chief Justice and the Co-Chairs of the Joint Committee on Ways and Means in the SB 5516 (2011) budget report. The Judicial Department and the Legislative Fiscal Office are also requested to establish a regular meeting schedule to review the deliverables and the status of Oregon eCourt. The parties will report to the Chief Justice and the Co-Chairs of the Joint Committee on Ways and Means periodically on the status of the deliverables and the Oregon eCourt program. The Judicial Department will also report as requested to the Joint Committee on Legislative Audits and Technology on the deliverables and the status of the Oregon eCourt program.

**BUDGET NOTE**

The Oregon Judicial Department (OJD) is requested to develop, by no later than April 1, 2012, a detailed implementation and evaluation plan to manage the pilot court and early adopter court Oregon eCourt implementations. For each trial court, the plan must include a clear definition of the implementation goals & objectives, processes, timelines, and costs; success evaluation criteria and measures for proving successful execution of each plan; and a plan to incorporate the lessons learned after each trial court implementation into subsequent implementations. If OJD determines that the implementation goals & objectives, schedule, or costs should be revised or rebaselined, OJD must immediately provide documentation of any adjustment to the Legislative Fiscal Office (LFO) so that the impact can be calibrated to the affected trial court implementation plan.

LFO will work with OJD to evaluate the success of each pilot and early adopter implementation against the plan. Factors that will be considered will be agreed upon by LFO and OJD and will be documented in each trial court implementation plan. The plan for the initial trial court will specifically include an initial evaluation of the usability of the Odyssey product. Each of the subsequent four early adopter implementations will further test the scalability of the product, and additional components of Oregon eCourt that may have been added; the configurations that have been established; the business functions that have been integrated with the Odyssey product; the system interfaces; and the data conversion plan and implementation.

LFO will request that OJD report after the pilot court and each early adopter implementation on its implementation including a post implementation review of outcomes, success measures and costs, and the lessons learned analysis. OJD should provide recommendations based on these reviews for the next early adopter court. Once all four of the first early

adopters are completed, OJD should conduct a major review to verify that the product(s) and all the methods involved in the implementation for the first five pilot and early adopter courts are sufficient for a successful implementation in Multnomah County. Because Multnomah County is being implemented via a 'by case-type' methodology, rather than all case types at once, like the first five trial courts, OJD should provide a Multnomah County-specific implementation and evaluation plan to guide the Oregon eCourt product(s) implementation. Upon completion of this implementation, LFO and OJD will review the Multnomah County implementation against the detailed implementation plan and the OJD assessment to evaluate the success of this implementation. This review will provide the basis for determining readiness of OJD and the contractor for implementing Oregon eCourt in the remaining trial courts.

Other Funds expenditure limitation of \$1.7 million was established for the new and existing Specialty Court grants, which the Department reports will offset the impact of recent General Fund reductions. The Committee also approved a one-time 13.46 FTE increase for the Specialty Courts. The \$1.7 million Other Funds expenditure limitation and 13.46 FTE increase are one-time only, and do not carry forward for the 2013-15 budget.

The Department's Other Funds expenditure limitation was increased by a total of \$5.5 million for the following purposes:

- \$4.7 million for the State Court Facilities and Security Account for Special Payment transfers to local court security accounts.
- \$670,203 in for new and existing grants for pre-trial release programs and the Citizen Review Board.
- \$226,592 for Debt Service Other Funds expenditure limitation to support the issuance costs of an additional \$13.7 million in bonding.
- \$97,460 Other Funds Capital Improvement for emergency repairs to the Supreme Court Building.
- \$77,860 for a Special Payment to Tri-County Metropolitan Transportation District of Oregon (TRIMET).

This \$5.5 million increase in Other Funds expenditure limitation is a one-time increase and does not carry forward for the 2013-15 budget.

### **Commission on Judicial Fitness and Disability**

The Commission's Administration program budget was increased by \$6,228 General Fund. The Extraordinary program's budget was reduced by \$12,647 General Fund, leaving a balance of \$6,200 for any potential prosecutions. The Commission has not prosecuted a case of judicial misconduct in the last two biennia and its total extraordinary budget has been disappropriated each of the last two biennia.

### **Public Defense Services Commission**

Senate Bill 5701 reduces the Commission's Appellate Division budget by \$112,000 General Fund and increases the Contract and Business Services budget by \$112,000 General Fund. This rebalance action utilizes vacancy savings in the Appellate Division to fund a portion of the Contract and Business Services 3.5% supplemental ending balance holdback which was taken as part of the 2011-13 legislatively adopted budget. A \$3.5 million General Fund special purpose appropriation is included in the bill in the event that the Commission requires additional funding for the trial-level public defense services.

The Public Defense Services Account's Other Funds expenditure limitation is increased by \$1.4 million for trial-level public defense and by \$1.3 million for the Application and Contribution Program to establish a special payment to the Oregon Judicial Department. In future, the Application and Contribution program is to be budgeted as a special payment rather than as a revenue transfer. The legislative expectation is that the Application and Contribution Program will be funded by the Commission at a level not to exceed \$2.5 million.

### Legislative Branch

Budgets for the legislative branch agencies were adjusted for a number of issues, including a change in the way that General Fund reversions are handled for the Legislative and Judicial Branch (see Senate Bill 1579), a reduction in legislative members' budgets, restoration of some supplemental ending balance budget reductions including debt service, and changes in the state's e-government funding model. The net adjustments in Senate Bill 5701 are as follows:

- Legislative Assembly reduced by \$128,160 General Fund.
- Legislative Administration reduced by \$134,851 General Fund.
- Legislative Counsel increased by \$400,043 General Fund.
- Legislative Fiscal increased by \$29,973 General Fund.
- Legislative Revenue reduced by \$14,531 General Fund.
- Commission on Indian Services reduced by \$26,451 General Fund.

**Enrolled**  
**Senate Bill 5702**

Printed pursuant to Senate Interim Rule 213.28 by order of the President of the Senate in conformance with pre-session filing rules, indicating neither advocacy nor opposition on the part of the President (at the request of Joint Interim Committee on Ways and Means)

CHAPTER .....

AN ACT

Relating to state financial administration; creating new provisions; amending section 7, chapter 622, Oregon Laws 2011; and declaring an emergency.

Be It Enacted by the People of the State of Oregon:

**SECTION 1.** (1) Notwithstanding any other law allocating moneys from the Administrative Services Economic Development Fund, the allocation to the Oregon Business Development Department established by section 3 (1), chapter 622, Oregon Laws 2011, for the biennium beginning July 1, 2011, is decreased by \$139,171.

(2) Notwithstanding any other law allocating moneys from the Administrative Services Economic Development Fund, the allocation to the Oregon Business Development Department established by section 3 (2), chapter 622, Oregon Laws 2011, for the biennium beginning July 1, 2011, is decreased by \$293,896.

(3) Notwithstanding any other law allocating moneys from the Administrative Services Economic Development Fund, the allocation to the Oregon Business Development Department established by section 3 (3), chapter 622, Oregon Laws 2011, for the biennium beginning July 1, 2011, is decreased by \$81,315.

**SECTION 2.** Notwithstanding any other law allocating moneys from the Administrative Services Economic Development Fund, the allocation to the Department of Education, for the State School Fund, established by section 4, chapter 622, Oregon Laws 2011, for the biennium beginning July 1, 2011, is decreased by \$2,979,570.

**SECTION 3.** Notwithstanding any other law allocating moneys from the Administrative Services Economic Development Fund, the allocation to the office of the Governor established by section 6, chapter 622, Oregon Laws 2011, for the biennium beginning July 1, 2011, is decreased by \$943.

**SECTION 4.** Section 7, chapter 622, Oregon Laws 2011, is amended to read:

**Sec. 7. (1)** The Oregon Department of Administrative Services shall establish a process for allocating available moneys in the Administrative Services Economic Development Fund on a quarterly basis to fulfill the biennial allocations made by [this 2011 Act] chapter 622, Oregon Laws 2011. The department shall set the quarterly allocations.

(2) If, in any quarter, the moneys transferred from the State Lottery Fund to the Administrative Services Economic Development Fund are insufficient to pay for the quarterly allocations made by [this 2011 Act] chapter 622, Oregon Laws 2011, prior to making any other allocations pursuant to

[this 2011 Act] chapter 622, Oregon Laws 2011, the department shall satisfy lottery bond debt service obligations in the amount of [\$240,443,627] \$248,243,839, as follows:

(a) For outstanding lottery bonds	[\$ 238,307,273]	\$ 246,353,489
(b) For lottery bonds to be issued	[\$ 2,136,354]	\$ 1,890,350

(3) After the lottery bond debt service obligations described in subsection (2) of this section are satisfied, if the remaining moneys transferred from the State Lottery Fund to the Administrative Services Economic Development Fund are insufficient to pay for the quarterly allocations made by [this 2011 Act] chapter 622, Oregon Laws 2011, the department shall reduce allocations proportionately in amounts sufficient to accommodate the revenue shortfall.

SECTION 5. (1) Notwithstanding any other law allocating moneys from the Administrative Services Economic Development Fund, the allocation to the Oregon Department of Administrative Services, established by section 8 (1), chapter 622, Oregon Laws 2011, for the biennium beginning July 1, 2011, is increased by \$8,046,216.

(2) Notwithstanding any other law allocating moneys from the Administrative Services Economic Development Fund, the allocation to the Oregon Department of Administrative Services, established by section 8 (2), chapter 622, Oregon Laws 2011, for the biennium beginning July 1, 2011, is decreased by \$246,004.

SECTION 6. Notwithstanding any other law allocating moneys from the Administrative Services Economic Development Fund, the allocation to the Sports Lottery Account established by section 9, chapter 622, Oregon Laws 2011, for the biennium beginning July 1, 2011, is decreased by \$232,960.

SECTION 7. Notwithstanding any other law allocating moneys from the Administrative Services Economic Development Fund, the allocation to the Problem Gambling Treatment Fund, established by section 11, chapter 622, Oregon Laws 2011, for the biennium beginning July 1, 2011, is decreased by \$390,969.

SECTION 8. Notwithstanding any other law allocating moneys from the Criminal Fine and Assessment Account and the Criminal Fine Account, the allocation to the Department of Public Safety Standards and Training established by section 54, chapter 597, Oregon Laws 2011, for the period beginning July 1, 2011, and ending June 30, 2013, for the purpose of criminal justice training and standards operations, is decreased by \$873,897.

SECTION 9. This 2012 Act being necessary for the immediate preservation of the public peace, health and safety, an emergency is declared to exist, and this 2012 Act takes effect on its passage.

Passed by Senate March 5, 2012

Received by Governor:

.....M,....., 2012

.....  
Robert Taylor, Secretary of Senate

Approved:

.....M,....., 2012

.....  
Peter Courtney, President of Senate

.....  
John Kitzhaber, Governor

Passed by House March 5, 2012

Filed in Office of Secretary of State:

.....  
Bruce Hanna, Speaker of House

.....M,....., 2012

.....  
Arnie Roblan, Speaker of House

.....  
Kate Brown, Secretary of State



# Budget Narrative

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## House Bill 4131 Report

This narrative includes initial actions necessary for the Department of Administrative Services to reorganize in response to House Bill 5002 of 2009 session and comply with the recent passage of span-of-control legislation under House Bill 4131.

DAS' budget bill (HB 5002) from the 2009 session caused the state to reexamine DAS' organizational structure and funding methodology. DAS implemented the Entrepreneurial Management Advisory Council (EMAC) on December 8, 2010. EMAC consisted of a wide range of stakeholders including customers, DAS leaders, private sector representatives, and union leadership. EMAC was tasked with the initial effort to "slot" DAS services into utility or marketplace services, define the rules of engagement of the resulting enterprises, and recommend the membership and charter of the utility customer boards that will govern the utility services. Based on recommendations by EMAC, DAS leadership developed a new organizational structure, assessed and identified positions that require reclassification in that structure.

On April 11, 2012, the Governor signed HB 4131 restricting state agencies that employ more than 100 budgeted positions and have a ratio of less than 11 to 1 of non-supervisory budgeted positions to supervisory budgeted positions from hiring additional supervisory positions until they make demonstrable progress towards an 11:1 ratio.

DAS' Phase I reclass package, submitted to and approved by the Chief Financial Office and Legislative Fiscal Office, brought DAS closer to achieving the goal of increasing the ratio of non-supervisory to supervisory positions set forth by HB 4131. Previously, DAS had a 7:1 span-of-control. With the approval of Phase I, DAS reduced a net of 16 supervisory positions bringing the span of control to 8:1 for the current period.

The agency is working on the Phase II package to complete our reorganization under the entrepreneurial model. We expect this package to be completed by the spring of 2013. It will include the conversion of additional positions from supervisory to non-supervisory status in compliance with HB 4131 to bring DAS' span-of-control to 9:1.

**76<sup>th</sup> OREGON LEGISLATIVE ASSEMBLY – 2012 Session  
BUDGET REPORT AND MEASURE SUMMARY**

**MEASURE: SB 5702-A**

**Carrier – House: Rep. Cowan  
Carrier – Senate: Sen. Verger**

**JOINT COMMITTEE ON WAYS AND MEANS**

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**Action:** Do Pass as Amended and as Printed A-Engrossed

**Vote:** 24 – 0 – 1

House – Yeas: Beyer, Buckley, Cowan, Freeman, Garrard, Komp, McLane, Nathanson, Nolan, Read, Richardson, G. Smith, Thatcher, Whisnant  
– Nays:  
– Exc:

Senate – Yeas: Bates, Devlin, Girod, Johnson, Monroe, Nelson, Thomsen, Verger, Whitsett, Winters  
– Nays:  
– Exc: Edwards

**Prepared By:** Steve Bender, Legislative Fiscal Office

**Meeting Date:** March 5, 2012

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<u>Agency</u>	<u>Budget Page</u>	<u>LFO Analysis Page</u>	<u>Biennium</u>
Various Agencies – Lottery and Criminal Fine and Assessment Account Allocation Changes	---	---	2011-13

## **Summary of Revenue Changes**

The Oregon Lottery collects revenues from traditional and video lottery gaming. It pays player prizes and its operating expenses out of these revenues and then transfers the balance (net profits) to the Administrative Services Economic Development Fund (EDF). The Department of Administrative Services then distributes funds from the EDF in adherence with constitutional and statutory funding priorities, including specific Legislative allocations.

The March 2012 revenue forecast for available lottery funds in this biennium is \$35,583,512 below the level of revenues assumed in the 2011 Legislatively Adopted Budget, and \$3,681,388 above the approved allocations in the 2011 Legislatively Adopted Budget. The Legislatively Adopted Budget allocations, including the allocations specified in the constitution and not included in this bill, total \$1,091,736,711, compared to the \$1,095,418,099 of total lottery resources projected for the biennium in the March 2012 revenue forecast.

## **Summary of Committee Action**

### **Allocation of Lottery Revenue**

Two allocations are specified in the constitution. The Education Stability Fund receives 18 percent of net lottery proceeds and the Parks and Natural Resources Fund receives 15 percent of net lottery proceeds. The lottery funds transferred to these two Funds change in direct proportion to revenue changes. The March 2012 lottery revenue forecast translates into a \$6,405,019 reduction in revenue to the Education Stability Fund, and a \$5,337,516 reduction to the Parks and Natural Resources Fund, each equivalent to a 3.2% reduction from the levels anticipated in the 2011-13 Legislatively Adopted Budget.

The Committee approved net lottery allocation increases totaling \$3,681,388. Senate Bill 5701 contains Lottery Funds expenditure limitation adjustments for most of the lottery allocation adjustments in this bill. The lottery allocation adjustments include increases totaling \$7,800,212 to pay debt service costs for lottery revenues bonds, and reductions totaling \$3,494,895 to various programs. The debt service allocation increases are necessary to restore the 3.5% lottery allocation reductions approved in the 2011-13 legislatively adopted budget to finance a statewide supplemental ending balance. Although the restorations are less than the \$9.6 million in those 3.5% reductions, they provide sufficient funding for full payment of debt service costs.

### **Program Allocation Changes**

The Committee reduced the lottery allocation to the State School Fund by \$2,979,570. This reduction is backfilled with an equal amount of General Fund in Senate Bill 5701.

Senate Bill 5702 also includes the following lottery allocation reductions:

- Oregon Business Development Department – decreases the allocation for Shared Services operations by \$139,171.

- Oregon Business Development Department – decreases the allocation for Business, innovation, and trade by \$293,896.
- Oregon Business Development Department – decreases the allocation for the Oregon Film and Video Office by \$81,315.
- Office of the Governor – decreases the allocation to the Office of the Governor by \$943 as a technical correction to the allocation amount approved in the 2011 session.
- Oregon University System – decreases the allocation to the Sports Lottery Account by \$232,960.
- Oregon Health Authority – decreases the allocation to the Problem Gambling Treatment Fund by \$390,969.

### Debt Service Allocation Changes

The lottery allocation to pay debt service on lottery bonds issued prior to the start of the 2011-13 biennium is increased by \$8,046,216. In the 2011 session, the Legislature reduced the debt service allocation for existing lottery bonds by 3.5% to provide a statewide supplemental ending balance. The debt service allocation increase provides the level of Lottery Funds needed to pay all 2011-13 biennium debt service costs on these bonds.

The lottery allocation to pay debt service on new lottery bonds issued in the 2011-13 biennium is decreased by \$246,004. The allocation for debt service for new Housing and Community Services Department lottery bonds is reduced by \$312,164 (eliminated). Because the 2012 session budget reconciliation delays issuance of the Housing and Community Services Department lottery bonds until Spring 2013, there will be no debt service costs associated with these bonds in the 2011-13 biennium. The debt service allocation for all other new lottery bonds approved in the 2011 session is increased by \$66,160 to restore the 3.5% allocation reduction approved in the 2011 session for the statewide supplemental ending balance. This debt service allocation increase provides the level of Lottery Funds that is forecast to be needed to pay all 2011-13 biennium debt service costs on these bonds. No allocation is provided for lottery bonds approved in the 2012 session. Any such bonds will not be issued before Spring 2013, so there will be no debt service costs associated with them in the 2011-13 biennium.

The Committee updated the formula that the Department of Administrative Services uses to reduce Lottery Funds transfers in the event of a funding shortfall, to reflect the approved changes in the allocations for debt service.

### **Allocation of Criminal Fine and Assessment Account and Criminal Fine Account Funds**

The Committee approved a decrease in the allocation of Criminal Fine and Assessment (CFAA) and Criminal Fine Account (CFA) revenues for the Department of Public Safety Standards and Training of \$873,897. These revenues are collected from fines, assessments, and other financial penalties, imposed on certain convictions for felonies, misdemeanors, and violations. This reduction will result in the termination of the child abuse training program and the elimination of six positions (3.75 FTE) including two range masters, a training support specialist, a training development coordinator, and a health and fitness coordinator. The resources reduced in this bill will be deposited in the General Fund and used to balance the overall state budget. There is a corresponding reduction in Other Funds expenditure limitation in Senate Bill 5701.

## Administrative Services Economic Development Fund (Lottery Funds) 2011-13 Biennium

		Current Law 2011-13 Lottery Allocations	February 2012 Lottery Revenue Forecast	Debt Service Allocation Adjustments	2011-13 Budget Reconciliation Adjustments	SB 5702-A Lottery Allocation Adjustments	Revised 2011-13 Lottery Allocations
	Citations						
<b>Lottery Resources</b>							
Beginning Balance		244,821	244,736				244,736
Interest Income		1,100,000	1,100,000				1,100,000
Administrative Savings		8,000,000	8,005,456				8,005,456
Disappropriations & Reversions		1,361,248	1,361,248				1,361,248
Net Lottery Proceeds		1,120,295,553	1,084,706,659				1,084,706,659
<b>Total Lottery Resources</b>		<b>1,131,001,622</b>	<b>1,095,418,099</b>	-	-	-	<b>1,095,418,099</b>
<b>Transfers and Allocations</b>							
<b>Dedicated Transfers</b>							
Ed Stability Fund 18%		203,093,200	196,688,181				196,688,181
Parks & Natural Resources 15%		169,244,333	163,906,817				163,906,817
County Video Lottery	sec. 10, ch. 622, Oregon Laws 2011	37,118,584	37,118,584		-	-	37,118,584
OUS Sports Lottery Account	sec. 9, ch. 622, Oregon Laws 2011	8,825,680	8,825,680		(232,960)	(232,960)	8,592,720
Gambling Addiction Treatment	sec. 11, ch. 622, Oregon Laws 2011	10,972,521	10,972,521		(390,969)	(390,969)	10,581,552
County Fairs Distribution	sec. 12, ch. 622, Oregon Laws 2011	3,600,135	3,600,135			-	3,600,135
County Fairs - Administration (to ODA)	sec. 13, ch. 622, Oregon Laws 2011	18,354	18,354			-	18,354
<b>Total Dedicated Transfers</b>		<b>432,872,807</b>	<b>421,130,272</b>	-	<b>(623,929)</b>	<b>(623,929)</b>	<b>420,506,343</b>
<b>Program Allocations</b>							
Business Development Dept. - Shared services	sec. 3(1), ch. 622, Oregon Laws 2011	6,822,694	6,822,694		(139,171)	(139,171)	6,683,523
Business Development Dept. - BIT	sec. 3(2), ch. 622, Oregon Laws 2011	45,534,264	45,534,264		(293,896)	(293,896)	45,240,368
Business Development Dept. - Film & Video	sec. 3(3), ch. 622, Oregon Laws 2011	1,207,893	1,207,893		(81,315)	(81,315)	1,126,578
Dept. of Education - State School Fund	sec. 4, ch. 622, Oregon Laws 2011	374,741,287	374,741,287		(2,979,570)	(2,979,570)	371,761,717
Governor's Office - Econ. Revitalization Team	sec. 6, ch. 622, Oregon Laws 2011	1,856,674	1,856,674		(943)	(943)	1,855,731
<b>Total Program Allocations</b>		<b>430,162,812</b>	<b>430,162,812</b>	-	<b>(3,494,895)</b>	<b>(3,494,895)</b>	<b>426,667,917</b>
<b>Debt Service</b>							
Business Development Dept. - Old bonds	sec. 8(1), ch. 622, Oregon Laws 2011	79,199,162	79,199,162	2,834,047		2,834,047	82,033,209
Dept. of Transportation - Old bonds	sec. 8(1), ch. 622, Oregon Laws 2011	69,455,197	69,455,197	2,669,851		2,669,851	72,125,048
Department of Education - Old bonds	sec. 8(1), ch. 622, Oregon Laws 2011	47,811,752	47,811,752	1,367,790		1,367,790	49,179,542
Housing & Community Services - Old bonds	sec. 8(1), ch. 622, Oregon Laws 2011	10,045,891	10,045,891	303,452		303,452	10,349,343
Housing & Community Services - New bonds	sec. 8(2), ch. 622, Oregon Laws 2011	312,164	312,164	(312,164)		(312,164)	-
DAS - Pass-through bonds - Old bonds	sec. 8(1), ch. 622, Oregon Laws 2011	6,823,325	6,823,325	238,531		238,531	7,061,856
DAS - Pass-through bonds - New bonds	sec. 8(2), ch. 622, Oregon Laws 2011	1,281,027	1,281,027	46,461		46,461	1,327,488
Oregon University System - Old bonds	sec. 8(1), ch. 622, Oregon Laws 2011	13,223,235	13,223,235	225,167		225,167	13,448,402
Oregon University System - New bonds	sec. 8(2), ch. 622, Oregon Laws 2011	214,871	214,871	7,793		7,793	222,664
CCWD - Old bonds	sec. 8(1), ch. 622, Oregon Laws 2011	6,841,327	6,841,327	236,360		236,360	7,077,687
Water Resources Dept. - Old bonds	sec. 8(1), ch. 622, Oregon Laws 2011	553,635	553,635	18,483		18,483	572,118
Water Resources Dept. - New bonds	sec. 8(2), ch. 622, Oregon Laws 2011	152,455	152,455	5,529		5,529	157,984
State Forestry Dept. - Old bonds	sec. 8(1), ch. 622, Oregon Laws 2011	2,274,497	2,274,497	72,799		72,799	2,347,296
State Forestry Dept. - New bonds	sec. 8(2), ch. 622, Oregon Laws 2011	175,837	175,837	6,377		6,377	182,214
State Department of Energy - Old bonds	sec. 8(1), ch. 622, Oregon Laws 2011	2,079,252	2,079,252	79,736		79,736	2,158,988
<b>Total Debt Service Allocations</b>		<b>240,443,627</b>	<b>240,443,627</b>	<b>7,800,212</b>	-	<b>7,800,212</b>	<b>248,243,839</b>
<b>Total Resources</b>		<b>1,131,001,622</b>	<b>1,095,418,099</b>	-	-	-	<b>1,095,418,099</b>
<b>Total Transfers and Allocations</b>		<b>1,103,479,246</b>	<b>1,091,736,711</b>	<b>7,800,212</b>	<b>(4,118,824)</b>	<b>3,681,388</b>	<b>1,095,418,099</b>
<b>Ending Balance</b>		<b>27,522,376</b>	<b>3,681,388</b>				-

## LOTTERY FUNDS CASH FLOW SUMMARY

	2011-13 Legislatively Adopted Budget	2011-13 Legislatively Approved Budget	Percent Change
<b>ECONOMIC DEVELOPMENT FUND</b>			
<b>RESOURCES</b>			
Beginning Balance	\$244,821	\$244,736	0.0%
<b>REVENUES</b>			
Transfers from Lottery Net Proceeds	\$1,120,295,553	\$1,084,706,659	-3.2%
Administrative Savings	\$8,000,000	8,005,456	0.1%
Other Revenues			
Interest Earnings	\$1,100,000	1,100,000	0.0%
Reversions	\$1,361,248	1,361,248	0.0%
Total Revenue	1,130,756,801	1,095,173,363	-3.1%
<b>TOTAL RESOURCES</b>	1,131,001,622	1,095,418,099	-3.1%
<b>DISTRIBUTIONS / ALLOCATIONS</b>			
Distribution of Video Revenues to Counties	\$37,118,584	37,118,584	0.0%
Distribution to OUS Sports Lottery Account	\$8,825,680	8,592,720	-2.6%
Distribution to Education Stability Fund	\$203,093,200	196,688,181	-3.2%
Distribution to Parks and Natural Resources Fund	\$169,244,333	163,906,817	-3.2%
Distribution for Gambling Addiction Treatment Programs	\$10,972,521	10,581,552	-3.6%
Allocation to State School Fund	\$374,741,287	371,761,717	-0.8%
Debt Service Allocations	\$240,443,627	248,243,839	3.2%
Other Agency Allocations	\$59,040,014	58,524,689	-0.9%
<b>TOTAL DISTRIBUTIONS / ALLOCATIONS</b>	1,103,479,246	1,095,418,099	-0.7%
<b>ENDING BALANCE</b>	\$27,522,376	\$0	-100.0%
<b>EDUCATION STABILITY FUND</b> (not including the OGA or ORTDF)			
<b>RESOURCES</b>			
Beginning Balance	0	5,061,518	
<b>Revenues</b>			
Transfer from the Economic Development Fund	192,938,540	187,035,590	-3.1%
Interest Earnings	1,466,342	392,747	-73.2%
Total Revenue	194,404,882	187,428,337	-3.6%
<b>TOTAL RESOURCES</b>	194,404,882	192,489,855	-1.0%
<b>DISTRIBUTIONS</b>			
Interest Distributions Transfers to the State School Fund	(1,466,463) (182,239,000)	(431,799) (182,239,000)	-70.6% 0.0%
<b>TOTAL DISTRIBUTIONS</b>	(183,705,463)	(182,670,799)	-0.6%
<b>ENDING BALANCE</b>	\$10,699,419	\$9,819,056	-8.2%

# Enrolled House Bill 4176

Sponsored by COMMITTEE ON RULES

CHAPTER .....

## AN ACT

Relating to counties in fiscal distress; creating new provisions; amending ORS 203.095 and 203.100; repealing ORS 203.095 and 203.100; appropriating money; limiting expenditures; and declaring an emergency.

Whereas counties that received federal payments pursuant to the Secure Rural Schools and Community Self-Determination Act of 2000 (P.L. 106-393) are experiencing significant budget shortfalls that compromise public health and safety, undermine funding for county roads and exacerbate job losses; and

Whereas it is in the best interest of the state to provide counties with a tool that would facilitate a collaborative process to identify solutions and mitigate the impact of the loss of Secure Rural Schools and Community Self-Determination Act of 2000 funds; now, therefore,

### Be It Enacted by the People of the State of Oregon:

#### SECTION 1. ORS 203.095 is amended to read:

203.095. *[(1) If the governing body of a county or the Governor believes that the county is in a state of fiscal distress that compromises the county's ability to provide a minimally adequate level of public safety services, the governing body or the Governor may seek a declaration of a public safety services emergency by requesting in writing that the Oregon Criminal Justice Commission review and analyze public safety services provided by the county.]*

(1)(a) The governing body of a county may seek a declaration of a fiscal emergency by requesting in writing that the Governor review and analyze state-required services provided by the county if:

(A) The governing body of the county believes that the county is in a state of fiscal distress that compromises the county's ability to provide a minimally adequate level, currently or within the next fiscal year, of any service that a county is required to provide by state law; and

(B) For the fiscal year beginning July 1, 2007, the county received federal payments pursuant to the Secure Rural Schools and Community Self-Determination Act of 2000 (P.L. 106-393) in an amount equal to at least 10 percent of the county's property tax revenues, excluding bonds.

(b) A county making a request under this subsection shall include with the request an estimated amount needed to fund the fiscal assistance board that would be established under subsection (4) of this section and the proposed sources of those funds.

(2) When a request for review of *[public safety]* state-required services is made under subsection (1) of this section, the *[commission]* Governor shall:

(a) Consult with the governing body of the county, the sheriff, the district attorney, judges] and other appropriate county officials, with labor organizations representing county employees and with other [public safety] stakeholders to gather information regarding the current level of [public safety] state-required services provided by the county; and

(b) Review and analyze [public safety] state-required services provided in the county to determine, based on the guidelines established pursuant to subsection (9) of this section,] whether the county is providing a minimally adequate level of [public safety] state-required services.; and]

[(c) Report its findings and recommendation to the Governor within 14 days after the request for a review is made.]

(3) If the [commission] Governor finds that the county is providing, or within the next fiscal year will be providing, a less than minimally adequate level of [public safety] state-required services, [the commission shall recommend to the Governor that] the Governor shall declare a [public safety services] fiscal emergency for the county. The [commission] Governor shall [copy its findings and recommendation] provide notice of the declaration to the Legislative Assembly and the governing body of the fiscally distressed county.

(4) [Upon receipt of the findings and recommendation from the commission's review and analysis the Governor shall:]

[(a) Review the findings and recommendation;]

[(b) Within 14 days of receipt of the commission's findings and recommendation, either issue the declaration of a public safety services emergency, if the Governor determines that the county's fiscal distress prevents the county from providing a minimally adequate level of public safety services, or issue a determination that the county's fiscal distress does not cause the county to provide a less than minimally adequate level of public safety services; and]

[(c) If the Governor issues the declaration of a [public safety services] fiscal emergency under paragraph (b) of this subsection,] subsection (3) of this section, the Governor shall establish a fiscal [control] assistance board, as described in ORS 203.100, for the distressed county.

(5) The fiscal [control] assistance board shall meet with [the governing body of the county, the sheriff, the district attorney, judges and other] appropriate county officials, including but not limited to members of the county governing body, the county assessor, clerk, tax collector, court administrator, district attorney and judges, with labor organizations representing county employees, with other [public safety] stakeholders and with members of the public to gain a fuller understanding of the county's fiscal alternatives, service delivery alternatives and [public safety] service needs and shortcomings. The board shall [propose to the governing body of the county] consider and adopt a recovery plan designed to restore or sustain minimally adequate [public safety] state-required services. As part of the [proposed] recovery plan, the board may [recommend that the governing body of the county]:

(a) Reallocate county funds;

(b) Cut county services, lay off county employees or otherwise reduce county expenditures;

(c) Sell or lease real or personal property of the county;

(d) Issue bonds for the county;

(e) Renegotiate payment terms of the county's legal and moral indebtedness;

(f) Refer measures to the voters of the county;

(g) Request an emergency election under ORS 203.085; [or]

(h) Enter into intergovernmental agreements or other service delivery structures involving other public entities; or

[(h)] (i) Authorize the state to take over services as authorized by law [other than this section].

[(6) The governing body of the county shall approve or reject the recovery plan proposed by the fiscal control board. If the governing body:]

[(a) Approves the recovery plan, the board shall provide technical assistance in support of the governing body's implementation of the plan.]

[(b) Rejects the recovery plan, the board shall monitor the governing body's efforts to restore minimally adequate public safety services and, at the request of the governing body, shall provide technical



assistance in support of the governing body's efforts to restore minimally adequate public safety services in the county.}]

[(7)] (6) The fiscal [control] assistance board shall periodically update the Governor and the Legislative Assembly from the time the board [proposes] begins its consideration of a recovery plan [to] for the fiscally distressed county until the Governor declares the [public safety services] fiscal emergency terminated pursuant to subsection [(8)] (7) of this section. The update shall include a report on the costs of the fiscal assistance board, the revenues used by the board to pay those costs and the sources of the revenues so used. When the fiscal [control] assistance board concludes that minimally adequate [public safety] state-required services have been restored or sustained in the fiscally distressed county, the board shall recommend that Governor terminate the [public safety services] fiscal emergency.

[(8)] (7) The Governor shall declare the [public safety services] fiscal emergency terminated when the Governor concludes that the fiscally distressed county has restored or sustained minimally adequate [public safety] state-required services in the county.

(8) Any actions of the fiscal assistance board must comply with a home rule charter adopted by the county under ORS 203.710 to 203.770.

[(9) The commission shall establish, by rule, public safety services guidelines by which to identify the minimally adequate level at which public safety services must be delivered in a county. In establishing the guidelines, the commission shall seek broad input from the governing body of the county, the sheriff, the district attorney, judges and other appropriate county officials, labor organizations representing county employees, other public safety stakeholders and members of the public, and take into consideration the population density, geographic characteristics, historical crime rates and other relevant factors in Oregon counties. The guidelines must provide a basis for analyzing whether the county provides a minimally adequate level of public safety services in the areas of:]

[(a) County jail operations;]

[(b) Law enforcement, investigation and patrol;]

[(c) Community corrections;]

[(d) Juvenile justice;]

[(e) Emergency operations and emergency response;]

[(f) Search and rescue operations;]

[(g) Criminal prosecution; and]

[(h) Court facility operations.]

SECTION 2. ORS 203.100 is amended to read:

203.100. [(1) A fiscal control board established pursuant to ORS 203.095 consists of three nonvoting ex officio members and five appointed members who have knowledge of and experience with public safety services and fiscal management as follows:]

[(a) The Governor shall appoint three members.]

[(b) The President of the Senate shall appoint one member.]

[(c) The Speaker of the House shall appoint one member.]

[(d) The Secretary of State, the State Treasurer and the Director of the Department of Revenue shall serve as nonvoting ex officio members.]

[(2) A fiscal control board shall perform the functions described in this section and ORS 203.095.]

[(3) A majority of the members of the board constitutes a quorum for the transaction of business.]

[(4) Official action by the board requires the approval of a majority of the members of the board.]

(1)(a) A fiscal assistance board established pursuant to ORS 203.095 consists of:

(A) Five members appointed by the Governor who have knowledge of and experience with county services and fiscal management;

(B) All members of the governing body of the county, who serve as ex officio members;

(C) The sheriff for the county, who serves as an ex officio member, and who:

(i) Is a voting member for matters concerning public safety services; and

(ii) Is a nonvoting member for all other matters;

- (D) The Secretary of State, the State Treasurer and the Director of the Department of Revenue, who serve as nonvoting ex officio members;
- (E) One nonvoting member appointed by the President of the Senate; and
- (F) One nonvoting member appointed by the Speaker of the House of Representatives.
- (b) Prior to making appointments under this section, the Governor shall consult with the President of the Senate and the Speaker of the House of Representatives for the purpose of receiving their recommendations for appointments.
- (2) A fiscal assistance board shall perform the functions described in this section and ORS 203.095.
- (3) A majority of the members of the fiscal assistance board who are appointed by the Governor and a majority of the county officials listed in subsection (1)(a)(B) and (C) of this section must be present to constitute a quorum for the transaction of business. A meeting of the board constitutes a meeting of both the board and the county governing body and requires appropriate meeting notices and adherence to public meeting laws under ORS 192.610 to 192.690.
- (4) Official action by the fiscal assistance board requires the approval of:
- (a) A majority of the members of the board who are appointed by the Governor; and
- (b) A majority of the county officials listed in subsection (1)(a)(B) and (C) of this section.
- (5) The fiscal assistance board shall elect one of its members to serve as chairperson.
- (6) Appointed members of the fiscal assistance board serve at the pleasure of the appointing authority for a term of four years, subject to subsection (10) of this section.
- (7) If there is a vacancy for any cause, the appointing authority shall make an appointment to become immediately effective.
- (8) The fiscal assistance board shall use the services of permanent staff of the offices of the Governor, the Secretary of State and the State Treasurer, and the Department of Revenue to the greatest extent practicable. However, the Governor, the Secretary of State and the State Treasurer may agree to employ individuals to support the performance of the functions of the board, if necessary, and the employing state official shall fix the duties and amounts of compensation of these employees.
- (9) All agencies of state government, as defined in ORS 174.111, are directed to assist the fiscal assistance board in the performance of its duties and, to the extent permitted by laws relating to confidentiality, to furnish information and advice as the members of the board consider necessary to perform their duties.
- (10) A fiscal [control] assistance board terminates when the Governor declares that the [public safety services] fiscal emergency declared under ORS 203.095 [(4)] has ended.
- SECTION 3. (1) At least one-half of the costs of administering a fiscal assistance board established under ORS 203.095 must be paid by the county that has been declared to be in fiscal distress. The county shall deposit the moneys necessary to pay the county's share in the fund established under subsection (2) of this section. The remainder of the costs of administering a fiscal assistance board established under ORS 203.095 shall be paid from moneys appropriated from the General Fund or allocated by the Emergency Board to the Oregon Department of Administrative Services.**
- (2) The Fiscally Distressed Counties Fund is established, separate and distinct from the General Fund. Interest earned by the Fiscally Distressed Counties Fund shall be credited to the fund. The Fiscally Distressed Counties Fund shall consist of moneys deposited by counties under subsection (1) of this section and moneys contributed to the fund from any other source, public or private. Moneys in the Fiscally Distressed Counties Fund are continuously appropriated to the Oregon Department of Administrative Services for the purpose of establishing and administering fiscal assistance boards for fiscally distressed counties under ORS 203.095.

(3) If more than one county has been declared by the Governor as being in fiscal distress, the Fiscally Distressed Counties Fund shall be divided into separate accounts holding moneys dedicated to the administration of the fiscal assistance board for each county.

(4) When the Governor declares that the fiscal emergency for a county has ended, all moneys remaining in the Fiscally Distressed Counties Fund that have been deposited for the purpose of administering the fiscal assistance board for that county must be returned, on a pro rata basis, to the county.

SECTION 4. Notwithstanding any other law limiting expenditures, the amount of \$1 is established for the biennium beginning July 1, 2011, as the maximum limit for payment of expenses from fees, moneys or other revenues, including Miscellaneous Receipts, but excluding lottery funds and federal funds, collected or received by the Oregon Department of Administrative Services from the Fiscally Distressed Counties Fund.

SECTION 5. ORS 203.095 and 203.100 are repealed on January 2, 2014.

SECTION 6. If ORS 203.095 and 203.100 are repealed, any moneys remaining in the Fiscal Distressed Counties Fund on January 2, 2014, shall be returned as prescribed in section 3 (4) of this 2012 Act.

SECTION 7. This 2012 Act being necessary for the immediate preservation of the public peace, health and safety, an emergency is declared to exist, and this 2012 Act takes effect on its passage.

Passed by House March 2, 2012

Received by Governor:

.....M,....., 2012

.....  
Ramona Kenady Line, Chief Clerk of House

Approved:

.....M,....., 2012

.....  
Bruce Hanna, Speaker of House

.....  
John Kitzhaber, Governor

.....  
Arnie Roblan, Speaker of House

Filed in Office of Secretary of State:

Passed by Senate March 5, 2012

.....M,....., 2012

.....  
Peter Courtney, President of Senate

.....  
Kate Brown, Secretary of State

**REVENUE IMPACT OF  
PROPOSED LEGISLATION  
Seventy-Sixth Oregon Legislative  
Assembly  
2012 Regular Session  
Legislative Revenue Office**

**Bill Number:** SB 1579 - A  
**Revenue Area:** State Finance  
**Economist:** Paul Warner  
**Date:** 3-5-12

**Measure Description:**

Implements statutory changes to support legislatively approved budget. This bill works in conjunction with SB 5701 to make adjustments to the 2011-13 budget. SB 1579A contains a series of fund shifts from Other Fund accounts to the General Fund. The bill also extends the sunset for the Small School District Supplemental Fund for one year, allows Judicial and Legislative Departments to retain reversions from the 2009-11 budget, shifts resources among Other Fund accounts and clarifies application of budget related statutes.

**Revenue Impact (in \$Millions):**

Transfers to General Fund From:	2011-13 Revenue Impact (in millions)
Criminal Injuries Compensation Fund	\$56.2
DAS Insurance Fund	13.3
Supplemental Employment Department Admin Fund	9.0
State Court Facilities and Security Account	6.6
DOJ Protection & Education Revolving Account	4.0
Business Development Department Building Opportunities for Small Business Today Account	3.7
Tax Amnesty Account	3.0
DEQ—Motor Vehicle Pollution Account	3.0
Tobacco Use Reduction Account	1.5
Employment Department Special Admin Fund	1.1
Secretary of State Operating Account	1.1
<b>Total Transfer to General Fund</b>	<b>\$102.4</b>

**Impact Explanation:**

General Fund resources for the 2011-13 biennium are increased by \$102.4 million as a result of these fund shifts. The above listed Other Fund accounts are reduced by the corresponding amount.

Extending the sunset on the Small School District Supplement Fund from July 1, 2012 to July 1, 2013 reduces State School Fund revenue flowing through the school distribution formula by \$2.5 million for the 2012-13 fiscal year. However, this reduction is offset by an additional \$2.5 million State School Fund appropriation in SB 5701. SB 5701 increases the State School Fund appropriation to \$2.845 billion for the 2012-13 school year.

Allowing the Judicial and Legislative Departments to retain reversions from the prior biennium increases General Fund resources available to these departments for the 2011-13 biennium by \$2.5 million. Under current law, these resources would flow through to the General Fund ending balance and into the Oregon Rainy Day Fund.

**Creates, Extends, or Expands Tax Expenditure:**    Yes  No

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**76<sup>th</sup> OREGON LEGISLATIVE ASSEMBLY – 2012 Regular Session  
BUDGET REPORT AND MEASURE SUMMARY**

**MEASURE: HB 5202-A**

**Carrier – House: Rep. Beyer  
Carrier – Senate: Sen. Nelson**

**JOINT COMMITTEE ON WAYS AND MEANS**

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**Action:** Do Pass as Amended and as Printed A-Engrossed

**Vote:** 24 – 0 – 1

House – Yeas: Beyer, Buckley, Cowan, Freeman, Garrard, Komp, McLane, Nathanson, Nolan, Read, Richardson, G. Smith, Thatcher, Whisnant  
– Nays:  
– Exc:

Senate – Yeas: Bates, Devlin, Girod, Johnson, Monroe, Nelson, Thomsen, Verger, Whitsett, Winters  
– Nays:  
– Exc: Edwards

**Prepared By:** Jack Kenny and Bill McGee, Department of Administrative Services

**Reviewed By:** Daron Hill and Paul Siebert, Legislative Fiscal Office

**Meeting Date:** March 5, 2012

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<u>Agency</u>	<u>Budget Page</u>	<u>LFO Analysis Page</u>	<u>Biennium</u>
Capital Construction – various agencies	---	---	2011-13
Capital Construction – Department of Aviation	---	---	2007-09

**Budget Summary**

	2011-13 Legislatively Approved Budget	2011-13 2012 Session	2012 Session Adjustments
General Fund	\$ 0	\$ 0	\$ 0
Federal Funds	13,180,000	33,134,668	19,954,668
Other Funds	361,124,906	464,571,441	103,446,535
<b>Total Funds</b>	<b>\$ 374,304,906</b>	<b>\$ 497,706,109</b>	<b>\$ 123,401,203</b>

	2007-09 Legislatively Approved Budget	2011-13 2012 Session	2012 Session Adjustments
General Fund	\$ 29,256,500	\$ 29,256,500	\$ 0
Federal Funds	98,722,025	100,907,025	2,185,000
Other Funds	1,347,911,836	1,348,026,836	115,000
<b>Total Funds</b>	<b>\$ 1,475,890,361</b>	<b>\$ 1,478,190,361</b>	<b>\$ 2,300,000</b>

**Summary of Revenue Changes**

**State Agencies**

Other Fund revenues are from proceeds from the issuance of lottery bonds; aircraft registration fees assessed in accordance with ORS 837.045; and state gasoline tax and driver and vehicle related fees, and certain local government contributions. Federal Funds are anticipated from the U.S. Veterans Administration, the US Department of Justice’s Integrated Wireless Network grant program, the Federal Aviation Administration’s General Entitlement Program, and Airport Improvement Program.

**Oregon University System**

Funding for the Oregon University System capital construction projects includes Article XI-F (1) bonds. Student unions, dormitories, parking structures, and similar projects are generally financed from auxiliary enterprise balances and the proceeds of Article XI-F (1) bonds. Debt service on these bonds is paid with revenues generated by the facility and other campus revenues.

## Summary of Capital Construction Committee Action

### **Oregon University System**

This bill establishes expenditure limitations for capital construction projects for two universities operated by the Oregon University System: Oregon State University (OSU) and Western Oregon University (WOU).

The Committee approved a budget of \$81.9 million Other Funds for 4 projects, all funded with Article XI-F (1) general obligation bonds. Debt service on the bonds will be paid with student fees, housing and dining revenues, and other campus funds.

### Oregon State University

The Committee approved the following 3 projects, totaling \$80.9 million total funds.

- Student Experience Center: approved \$42,700,000 Other Funds (Article XI-F (1) bonds) to construct a new building to house student organizations and accommodate student events, replacing the Memorial Union/Snell Hall facility. Expenditure of bond proceeds for furnishings, fixtures, and equipment was not approved, reducing incurred debt by \$900,000. Debt service will be paid with increased student incidental fees approved by a student referendum in 2010.
- Memorial Union Renovation: approved \$9,177,500 Other Funds (Article XI-F (1) bonds) to renovate space currently occupied by the OSU Bookstore. Expenditure of bond proceeds for furnishings, fixtures, and equipment was not approved, reducing incurred debt by \$400,000. Debt service will be paid with increased student incidental fees approved by a student referendum in 2010.
- New Residence Hall: approved \$29,000,000 Other Funds (Article XI-F (1) bonds) to construct a new 150 student residence hall. Expenditure of bond proceeds for furnishings, fixtures, and equipment was not approved reducing incurred debt by \$1,000,000. Debt service will be paid with housing and dining revenues.

### Western Oregon University

The Committee approved the following project, totaling \$1 million total funds.

- Natural Sciences Laboratory Annex: approved \$1,000,000 Other Funds (Article XI-F (1) bonds) to supplement \$7.2 million in Lottery Bonds and \$2.5 million in Other Revenues for construction of a new natural science teaching laboratory. Debt service on the bonds will be paid with campus revenues.

## **Department of Community Colleges and Workforce Development**

The Committee approved \$9,604,450 in Other Funds (Lottery Bonds) for projects at all 17 community colleges. Projects support various Career Technical Education (CTE) and Science, Technology, Engineering, and Mathematics (STEM) programs at the colleges. The lottery bonds to fund these projects cannot be issued if the State Treasurer, after considering a report from the State Debt Policy Advisory Commission (SDPAC) on lottery-backed debt capacity, determines that lottery-backed debt capacity is not sufficient to support issuance of the bonds. The SDPAC report is to be received no later than February 1, 2013. The Department of Administrative Services is requested to unschedule the \$9,604,450 capital construction expenditure limitation for the 17 community college projects until the bonds are sold. Debt service on the bonds would be paid with Lottery Funds.

Blue Mountain Community College: \$465,037 Other Funds (Lottery Bonds) to add a veterinary examination room to an existing animal science building and construct an adjacent building with a general purpose classroom and animal science wet laboratory to support a veterinary assistant certificate program.

Central Oregon Community College: \$500,000 Other Funds (Lottery Bonds) to renovate and expand the mathematics and science laboratory on the Redmond campus.

Chemeketa Community College: \$1,000,000 Other Funds (Lottery Bonds) to contribute towards the purchase of equipment to support the machining program and renovation of part of Building 4 for the visual communications, drafting and civil technologies, and engineering programs.

Clackamas Community College: \$800,000 Other Funds (Lottery Bonds) to upgrade and purchase equipment and improve facilities to support industrial technology and science programs.

Clatsop Community College: \$281,785 Other Funds (Lottery Bonds) to purchase equipment and upgrade a ventilation system in an existing building in support of the welding and fabrication certificate program.

Columbia Gorge Community College: \$297,193 Other Funds (Lottery Bonds) to replace and upgrade equipment for the nursing program.

Klamath Community College: \$300,000 Other Funds (Lottery Bonds) to expand Building 2 to add kitchens, a dining room, and related space to support the Culinary Arts program and provide faculty office space.

Lane Community College: \$1,000,000 Other Funds (Lottery Bonds) to add four classrooms to Building 18 for science, technology, engineering, and mathematics (STEM) classes.



Linn-Benton Community College: \$800,000 Other Funds (Lottery Bonds) to construct or renovate part or all of an advanced transportation technology center to support automotive and heavy equipment diesel programs.

Mt. Hood Community College: \$800,000 Other Funds (Lottery Bonds) for laboratory and classroom additions and upgrades to support CTE and STEM programs.

Oregon Coast Community College: \$273,235 Other Funds (Lottery Bonds) to renovate and furnish the Waldport Center to house nursing assistant, emergency medical technician, home health aide, and other allied health programs.

Portland Community College: \$1,000,000 Other Funds (Lottery Bonds) to renovate surplus State of Oregon property owned by the college to house the facilities maintenance technology program.

Rogue Community College: \$500,000 Other Funds (Lottery Bonds) for construction of a flexible technology building with shop, storage, support, and classroom space to support manufacturing and fabrication programs.

Southwestern Oregon Community College: \$387,200 Other Funds (Lottery Bonds) to purchase equipment and upgrade facilities supporting CTE and STEM programs.

Tillamook Bay Community College: \$300,000 Other Funds (Lottery Bonds) to construct a learning laboratory for agricultural and natural resource programs.

Treasure Valley Community College: \$500,000 Other Funds (Lottery Bonds) to construct an agricultural arena as part of the ongoing Science Center construction project; the arena will support equine science, renewable energy, and viticulture program.

Umpqua Community College: \$400,000 Other Funds (Lottery Bonds): to renovate existing facilities in Roseburg and Drain and construct a new facility in the tri-cities area to enhance tele-education capacity in support of welding, construction, manufacturing, engineering, and other programs.

### **Department of Veterans' Affairs**

*Lebanon Veterans' Home*: \$10,126,096 Other Funds and \$19,362,748 Federal Funds were approved for construction of a veterans' home in Lebanon, a U.S. Veterans Administration priority project selected in June 2010. Local government funds from Linn County provide approximately 35 percent of project funding for this 150 bed facility expected to be completed in the fall of 2014.

### **Department of Transportation**

***OWIN – State Radio Project:*** \$591,920 Federal Funds is approved to provide additional construction funding for the Statewide Radio Project (formerly referred to as the Oregon Wireless Interoperability Network). A grant from the U.S. Department of Justice, under the Integrated Wireless Network grant program, is the source of the funds which will be used for radio tower construction at a specified site.

***DMV Bend Office:*** \$1,838,489 Other Funds is approved for renovation of the existing Driver and Motor Vehicles field offices in Bend. Cost of construction will be paid from Highway Fund revenues.

### ***2007-09 Supplemental Expenditure Limitation Adjustments***

#### **Department of Aviation**

***Chiloquin State Airport Runway/Apron Rehabilitation:*** \$115,000 Other Funds and \$2,185,000 Federal Funds is approved for a project to ultimately reconstruct the runway and rehabilitate the apron at Chiloquin State Airport. The most recent Pavement Condition Index survey indicated conditions do not meet manageable maintenance standards. The Department will use authorized funding to develop the final design, acquire the necessary permits, and provide actual construction costs. Other Funds represent the required 5 percent match for this phase of the project.

TION

HB 5202-A

OTHER FUNDS (JS/CCWD)	FEDERAL FUNDS	Oregon University System and CCWD OTHER FUNDS							TOTAL FUNDS	POS	FTE
		XI-F (1) Bond Expenditures	XI-G Bond Expenditures	XI-Q Bond Expenditures	Lottery Bonds	SELP Loans	Other Revenues (incl. Fed Funds)				
N/A	0	42,700,000	0	0	0	0	0	42,700,000	0	0.00	
N/A	0	9,177,500	0	0	0	0	0	9,177,500	0	0.00	
N/A	0	29,000,000	0	0	0	0	0	29,000,000	0	0.00	
N/A	0	1,000,000	0	0	0	0	0	1,000,000	0	0.00	
N/A	0	0	0	N/A	465,037	0	0	465,037	0	0.00	
N/A	0	0	0	N/A	500,000	0	0	500,000	0	0.00	
N/A	0	0	0	N/A	1,000,000	0	0	1,000,000	0	0.00	
N/A	0	0	0	N/A	800,000	0	0	800,000	0	0.00	
N/A	0	0	0	N/A	281,785	0	0	281,785	0	0.00	
N/A	0	0	0	N/A	297,193	0	0	297,193	0	0.00	
N/A	0	0	0	N/A	300,000	0	0	300,000	0	0.00	
N/A	0	0	0	N/A	1,000,000	0	0	1,000,000	0	0.00	
N/A	0	0	0	N/A	800,000	0	0	800,000	0	0.00	
N/A	0	0	0	N/A	800,000	0	0	800,000	0	0.00	
N/A	0	0	0	N/A	273,235	0	0	273,235	0	0.00	
N/A	0	0	0	N/A	1,000,000	0	0	1,000,000	0	0.00	
N/A	0	0	0	N/A	500,000	0	0	500,000	0	0.00	
N/A	0	0	0	N/A	387,200	0	0	387,200	0	0.00	
N/A	0	0	0	N/A	300,000	105	0	300,000	0	0.00	

OTHER FUNDS (JS/CCWD)	FEDERAL FUNDS	Oregon University System and CCWD OTHER FUNDS						TOTAL FUNDS	POS	FTE
		XI-F (1) Bond Expenditures	XI-G Bond Expenditures	XI-Q Bond Expenditures	Lottery Bonds	SELP Loans	Other Revenues (incl. Fed Funds)			
10,126,096	19,362,748	N/A	N/A	N/A	N/A	N/A	N/A	29,488,844	0	0.00
11,964,585	19,954,668	81,877,500	0	0	9,604,450	0	0	123,401,203	0	0.00
115,000	2,185,000	N/A	N/A	N/A	N/A	N/A	N/A	2,300,000	0	0.00

76<sup>th</sup> OREGON LEGISLATIVE ASSEMBLY – 2012 Session  
BUDGET REPORT AND MEASURE SUMMARY

MEASURE: HB 4176-B

Carrier – House: Rep. Nathanson  
Carrier – Senate: Sen. Whitsett

JOINT COMMITTEE ON WAYS AND MEANS

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**Action:** Do Pass the A-Engrossed Measure as Amended and as Printed B-Engrossed

**Vote:** 24 – 0 – 1

House – Yeas: Beyer, Buckley, Cowan, Freeman, Garrard, Komp, McLane, Nathanson, Nolan, Read, Richardson, G. Smith, Thatcher, Whisnant  
– Nays:  
– Exc:

Senate – Yeas: Devlin, Edwards, Girod, Johnson, Monroe, Nelson, Thomsen, Verger, Whitsett, Winters  
– Nays:  
– Exc: Bates

**Prepared By:** Blake Johnson, Department of Administrative Services

**Reviewed By:** Laurie Byerly, Legislative Fiscal Office

**Meeting Date:** March 2, 2012

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**Agency**

Department of Administrative Services

**Budget Page**

1-3

**LFO Analysis Page**

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**Biennium**

2011-13

**Budget Summary**

	2011-13 Legislatively Adopted Level		2012 Committee Recommendation		Committee Change from 2011-13 Leg. Adopted	
					\$S Change	% Change
Fiscally Distressed Counties Fund						
Other Funds – Limited	\$ 0	\$ 0	\$ 1	\$ 1	\$ 1	
Total	\$ 0	\$ 0	\$ 1	\$ 1	\$ 1	

**Position Summary**

Authorized Positions	0	0	0	0
Full-time Equivalent (FTE) positions	0.00	0.00	0.00	0.00

**Summary of Revenue Changes**

House Bill 4176 establishes the Fiscally Distressed Counties Fund within the budget for the Department of Administrative Services. Fund expenditures are expected to be at least half supported by county funds required to be transferred into the fund under the bill. The fund may also receive contributions from other public or private sources.

**Summary of Committee Action**

House Bill 4176 permits a county to seek a declaration of a fiscal emergency from Governor, if the following criteria are met:

- a) An assessment by the county that it is unable (or will be unable) to provide a minimally adequate level of state-required services.
- b) The county having received – in the fiscal year beginning July 1, 2007 – federal payments under the Secure Rural Schools and Community Self-Determination Act of 2000 in an amount equal to at least 10 percent of the county’s property tax revenues.

This last provision makes the following counties potentially eligible for a declaration: Benton, Columbia, Coos, Curry, Douglas, Grant, Jackson, Josephine, Klamath, Lane, Linn, Polk and Tillamook.

The Governor is required to consult with the county and other parties to gather information about state-required services in the county and their level of adequacy. If they are found to be less than minimally adequate, then the declaration is issued and a fiscal assistance board is established to develop a recovery plan for the county; board membership is set out in the bill. The recovery plan is to restore or sustain minimally adequate state-required services; the tools available to the board are identified in the bill. These include reallocating county funds, eliminating services, and entering into intergovernmental agreements.

The fiscal impact associated with the work of a fiscal assistance board is indeterminate as it will vary based on several factors, including the county affected and specific services at risk. A county must pay at least one-half of the costs of administering a fiscal assistance board and must deposit its moneys in a special fund established in the bill for this purpose; that fund has been attached to the Department of Administrative

Services along with \$1 Other Funds expenditure limitation as a placeholder. The bill contemplates that state General Fund will also be used to cover board costs, through either a direct appropriation or an Emergency Board allocation.

COMMITTEE ON WAYS AND MEANS ACTION

HB 4176-B

Services  
195

DESCRIPTION	GENERAL FUND	LOTTERY FUNDS	OTHER FUNDS		FEDERAL FUNDS		TOTAL ALL FUNDS	POS	FTE
			LIMITED	NONLIMITED	LIMITED	NONLIMITED			
ADOPTED BUDGET	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0 #	0.00
<u>TRANSFERS (from LAB)</u>									
and	0	0	1	0	0	0	1	0	0.00
	\$0	\$0	\$1	\$0	\$0	\$0	\$1	0	0.00
FUNDATION *	\$0	\$0	\$1	\$0	\$0	\$0	\$1	0	0.00
Adopted Budget	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

Expenditures



**Enrolled**

**Senate Bill 1579**

Printed pursuant to Senate Interim Rule 213.28 by order of the President of the Senate in conformance with precession filing rules, indicating neither advocacy nor opposition on the part of the President (at the request of Joint Interim Committee on Ways and Means)

CHAPTER .....

AN ACT

Relating to state financial administration; creating new provisions: amending ORS 1.177, 1.178, 45.275, 45.285, 135.050, 151.216, 151.225, 151.487, 171.585, 182.460, 184.360, 199.432, 276.390, 278.125, 284.118, 284.375, 286A.160, 291.100, 291.217, 291.342, 291.349, 291.371, 291.373, 291.375, 293.190, 314.840, 353.100, 377.836, 390.124, 390.134, 396.515, 401.536, 411.072, 412.079, 413.072, 419A.170, 419A.211, 419B.198, 419C.203, 419C.535, 421.352, 454.439, 461.120, 468.220, 576.306, 656.612, 656.753, 731.272, 741.002, 741.027, 741.101, 741.105, 741.201, 741.220, 741.222, 741.250, 741.310, 757.552, 757.822 and 774.190 and section 19, chapter 846, Oregon Laws 2007, section 19, chapter 827, Oregon Laws 2009, section 3, chapter 21, Oregon Laws 2011, section 4, chapter 220, Oregon Laws 2011, section 3, chapter 302, Oregon Laws 2011, section 61a, chapter 597, Oregon Laws 2011, section 1, chapter 604, Oregon Laws 2011, section 83, chapter 630, Oregon Laws 2011, and section 2; chapter —, Oregon Laws 2012 (Enrolled House Bill 4082); repealing ORS 291.385 and 391.100 and section 28, chapter 630, Oregon Laws 2011; and declaring an emergency.

Be It Enacted by the People of the State of Oregon:

ALLOTMENTS

SECTION 1. Section 28, chapter 630, Oregon Laws 2011, is repealed.

BONDING

SECTION 2. Section 3 of this 2012 Act is added to and made a part of ORS chapter 286A.

SECTION 3. (1) If the State Treasurer or Director of the Oregon Department of Administrative Services determines that the treasurer or director will cancel or postpone an issuance of general obligation bonds or lottery bonds that was authorized by law and previously scheduled, the treasurer or director shall provide written notice to the President of the Senate, the Speaker of the House of Representatives and the Legislative Fiscal Officer.

(2) The treasurer or director shall provide the notice not later than 30 days after the date the issuance of the bonds was scheduled.

REPORTS TO JOINT COMMITTEE ON WAYS AND MEANS

SECTION 4. Section 4, chapter 220, Oregon Laws 2011, is amended to read:

**Sec. 4.** (1) [Sections 1 and 2 of this 2011 Act] **ORS 431.862 and 431.864** become operative [when the Oregon Health Authority receives funding described in section 3 of this 2011 Act] on the date that the Office of the Legislative Counsel receives written notice from the Oregon Health Authority indicating that the authority has received an amount of moneys under **ORS 431.866** that is sufficient to carry out the provisions of [section 1 of this 2011 Act] **ORS 431.862**.

(2) The authority may take [any action] the actions described in **ORS 431.866** before the operative date specified in subsection (1) of this section to obtain the [funding] moneys necessary to carry out the provisions of [section 1 of this 2011 Act] **ORS 431.862**.

(3) Until the operative date specified in subsection (1) of this section, the authority shall report on [the status of funding requests made under this section at each meeting of the interim] the actions taken by the authority pursuant to **ORS 431.866** to the Joint Committee on Ways and Means [or to each meeting of the Human Services Subcommittee of the interim Joint Committee on Ways and Means] at least once during an odd-numbered year regular session of the Legislative Assembly.

#### **REPORTS TO JOINT INTERIM COMMITTEE ON WAYS AND MEANS**

**SECTION 5.** **ORS 171.585** is amended to read:  
**171.585.** The Joint Legislative Audit Committee shall:

(1) Review all audits and make recommendations for change or remediation by the agency or other organization under review to the Emergency Board or to the Joint Interim Committee on Ways and Means, the Joint Committee on Ways and Means [Committee] and other persons receiving the audit report under **ORS 192.245**.

(2) Accept requests for performance and program audits from individual legislators, legislative committees, the Division of Audits, the Budget and Management Division and the Legislative Fiscal Office.

(3) In conjunction with the Director of the Division of Audits, set priorities on the basis of risk assessment for performance and program audits and program evaluations.

(4) With the advice and assistance of the Legislative Fiscal Officer, the Administrator of the Budget and Management Division and the Director of the Division of Audits, determine the type of audit, evaluation or review utilizing criteria to include but not be limited to the nature and scope of the task, the time frame involved, necessary professional guidelines, economy, efficiency, cost and cost responsibility.

(5) Not later than 12 months after the issuance of an audit report, review the actions of an agency or other government organization for compliance with the recommendations of the audit report.

(6) Assign tasks to the Legislative Fiscal Office, the Budget and Management Division, the Division of Audits or a special task force.

(7) Review state agency performance measures and make recommendations for change.

**SECTION 6.** **ORS 184.360** is amended to read:

**184.360.** (1) As used in this section:

(a) "Executive department" has the meaning given that term in **ORS 174.112**.

(b) "State government" has the meaning given that term in **ORS 174.111**.

(2) It is the policy of this state that internal audit activities within state government be coordinated to promote effectiveness.

(3) The Oregon Department of Administrative Services shall adopt rules setting standards and policies for internal audit functions within state government. The rules shall include, but are not limited to:

(a) Standards for internal audits that are consistent with and incorporate commonly recognized industry standards and practices; and

(b) Policies and procedures that ensure the integrity of the internal audit process.

(4) Each agency of the executive department required to have an internal audit function shall produce a risk assessment of the entire agency that conforms to audit standards established by nationally recognized entities such as the United States Government Accountability Office or the Institute of Internal Auditors. The agency shall use its risk assessment as the basis for the selection and performance of at least one internal audit per calendar year.

(5) Each agency of the executive department required to have an internal audit function shall audit a component of its governance and risk management processes at least once every five years and file a report with the Oregon Department of Administrative Services.

(6) Not later than December 31 of each calendar year, the Oregon Department of Administrative Services shall prepare *[and submit]* a report describing internal audit activities that have occurred in state government during the calendar year in which the report is prepared. The department shall submit the report to the Joint Legislative Audit Committee. In the absence of the Joint Legislative Audit Committee, the department shall submit the report to the Joint Committee on Ways and Means, the Joint Interim Committee on Ways and Means, *[or]* the Emergency Board or another committee of the Legislative Assembly designated by the President of the Senate and the Speaker of the House of Representatives to receive the report. *[The report shall describe internal audit activities that have occurred in state government during the calendar year in which the report is prepared.]*

**SECTION 7.** ORS 276.390 is amended to read:

276.390. (1) Not later than June 30 of each even-numbered year, the Oregon Department of Administrative Services shall submit to the Emergency Board or to the Joint Interim Committee on Ways and Means a schedule of rentals proposed for the biennium beginning on July 1 of the next calendar year. *[Any changes in an existing schedule of rentals, or rentals for new buildings or facilities, are subject to approval by the Emergency Board.]* The Emergency Board or the Joint Interim Committee on Ways and Means shall recommend to the next odd-numbered year regular session of the Legislative Assembly that it appropriate for each agency occupying space in such a building or facility an amount sufficient to pay rentals required under the schedule *[as approved by the Emergency Board]*.

(2) The Emergency Board *[shall adopt]* or the Joint Interim Committee on Ways and Means shall recommend to the next odd-numbered year regular session of the Legislative Assembly a schedule of rentals for each biennium with respect to the State Capitol and the Supreme Court Building.

**SECTION 8.** ORS 291.217 is amended to read:

291.217. (1) As used in this section:

(a) "Continuous improvement" means a set of actions designed to permanently improve state agency performance, either in a specific targeted area or across all levels of an agency, through the use of structured process analysis and problem solving.

(b) "Outcomes-based budget" means a budget that allocates government resources to those uses of taxpayer moneys and fee revenues that will best produce the outcomes most important to the residents of this state, that generates options for funding, that uses service redesign, competition, collaboration and prioritization to drive continuous improvement and innovations, and that can be used to align government, nonprofit and private resources to help produce the desired outcomes.

(c) "Performance management" means a formal, comprehensive set of business processes, including strategic planning, performance measurement, leadership, process management and human resources that help ensure more efficient and effective management operations and practices and reduce costs.

(d) "Performance measurement" means a process of assessing progress toward achieving predetermined program objectives, including information on the efficiency with which resources are transformed into goods and services, the quality of those goods and services, the results of a program activity compared to its intended purpose and the effectiveness of state agency operations in terms of their specific contributions to program objectives.

(e) "State agency" means every state officer, board, commission, department, institution, branch or agency of the state government whose costs are paid wholly or in part from funds held in the State Treasury.

(f) "State government" has the meaning given that term in ORS 174.111.

(2) As part of the tentative budget plan and the budget report required under ORS 291.210 and 291.216, the Oregon Department of Administrative Services and the Governor shall submit an outcomes-based budget. The outcomes-based budget shall be based on the results of state agency continuous improvement actions, performance management and performance measurement, shall describe and measure state agency efforts to implement process improvements and shall reflect state agency efforts to achieve, through process improvements, quality service delivery at a lower cost.

(3) The budget for each state agency shall:

(a) Identify how the agency is addressing continuous improvement, performance management and performance measurement; and

(b) For each agency program, state the number of persons served, or other units of service provided, by the program.

(4) The Joint Committee on Ways and Means, the Emergency Board, the **Joint Interim Committee on Ways and Means** and the Legislative Fiscal Office may review performance management and performance measurement processes for services provided by contract by state agencies or by school districts.

**SECTION 9.** ORS 291.373 is amended to read:

291.373. (1) As used in this section, "state agency" has the meaning given that term in ORS 291.002.

(2) A state agency shall report, as provided in this section, to the appropriate committee of the Legislative Assembly if the agency makes substantive changes in programs after the agency's budget is approved by the Legislative Assembly.

(3) The Oregon Department of Administrative Services shall adopt rules defining what constitutes a substantive program change for purposes of this section. When an agency has made a substantive program change as defined by the department, the agency shall notify the department of the change. The department shall notify the Speaker of the House of Representatives and the President of the Senate of substantive program changes made by state agencies.

(4) Based upon information submitted by the Oregon Department of Administrative Services under subsection (3) of this section, the Speaker of the House of Representatives and the President of the Senate shall determine which committee is appropriate for each report that is to be made under subsection (2) of this section.

(5) A committee to which a report is to be made under subsection (2) of this section may request that the report be made orally or in writing.

(6) An agency need not report to a committee under subsection (2) of this section on any matter that the agency is required by ORS 291.371[,] or 291.375 [and 291.385] to report or present to the Emergency Board, to the **Joint Interim Committee on Ways and Means** or to the Joint Committee on Ways and Means.

**SECTION 10.** ORS 291.375 is amended to read:

291.375. (1) Prior to the submission of any application for financial assistance or grants from the United States or any agency [thereof] of the United States by or on behalf of any agency of this state, the application must be submitted for legislative review in the following manner:

(a) If the application is to be submitted to the federal government when the Legislative Assembly is in session, the application shall be submitted to the Joint Committee on Ways and Means for review.

(b) If the application is to be submitted to the federal government when the Legislative Assembly is not in session, the application shall be submitted to the Emergency Board or to the [interim] Joint **Interim** Committee on Ways and Means for review.

(2) If the legislative agency authorized under subsection (1) of this section to review applications described therein approves the application, it may be submitted to the appropriate federal agency.

If the legislative agency disapproves of the application, it *[shall]* **may** not be submitted to any federal agency unless it is or can be modified to meet the objections of the legislative agency.

(3) Notwithstanding subsection (1) of this section, the Joint Committee on Ways and Means, *[and]* the Emergency Board or the **Joint Interim Committee on Ways and Means** may exempt any state agency from the requirements of this section. Project grants for departmental research, organized activities related to instruction, sponsored research or other sponsored programs carried on within the Oregon University System, for which no biennial expenditure limitations have been established, are exempt from the requirements of this section.

(4) The review required by this section is in addition to and not in lieu of the requirements of ORS 293.550.

**SECTION 11.** ORS 314.840 is amended to read:

314.840. (1) The Department of Revenue may:

(a) Furnish any taxpayer, representative authorized to represent the taxpayer under ORS 305.230 or person designated by the taxpayer under ORS 305.193, upon request of the taxpayer, representative or designee, with a copy of the taxpayer's income tax return filed with the department for any year, or with a copy of any report filed by the taxpayer in connection with the return, or with any other information the department considers necessary.

(b) Publish lists of taxpayers who are entitled to unclaimed tax refunds.

(c) Publish statistics so classified as to prevent the identification of income or any particulars contained in any report or return.

(d) Disclose a taxpayer's name, address, telephone number, refund amount, amount due, Social Security number, employer identification number or other taxpayer identification number to the extent necessary in connection with collection activities or the processing and mailing of correspondence or of forms for any report, return or claim required in the administration of ORS 310.630 to 310.706, any local tax under ORS 305.620, or any law imposing a tax upon or measured by net income.

(2) The department also may disclose and give access to information described in ORS 314.835 to:

(a) The Governor of the State of Oregon or the authorized representative of the Governor:

(A) With respect to an individual who is designated as being under consideration for appointment or reappointment to an office or for employment in the office of the Governor. The information disclosed shall be confined to whether the individual:

(i) Has filed returns with respect to the taxes imposed by ORS chapter 316 for those of not more than the three immediately preceding years for which the individual was required to file an Oregon individual income tax return.

(ii) Has failed to pay any tax within 30 days from the date of mailing of a deficiency notice or otherwise respond to a deficiency notice within 30 days of its mailing.

(iii) Has been assessed any penalty under the Oregon personal income tax laws and the nature of the penalty.

(iv) Has been or is under investigation for possible criminal offenses under the Oregon personal income tax laws. Information disclosed pursuant to this paragraph shall be used only for the purpose of making the appointment, reappointment or decision to employ or not to employ the individual in the office of the Governor.

(B) For use by an officer or employee of the Oregon Department of Administrative Services duly authorized or employed to prepare revenue estimates, or a person contracting with the Oregon Department of Administrative Services to prepare revenue estimates, in the preparation of revenue estimates required for the Governor's budget under ORS 291.201 to 291.226, or required for submission to the Emergency Board or the **Joint Interim Committee on Ways and Means**, or if the Legislative Assembly is in session, to the Joint Committee on Ways and Means, and to the Legislative Revenue Officer or **Legislative Fiscal Officer** under ORS 291.342, 291.348 and 291.445. The Department of Revenue shall disclose and give access to the information described in ORS 314.835 for the purposes of this subparagraph only if:

- (i) The request for information is made in writing, specifies the purposes for which the request is made and is signed by an authorized representative of the Oregon Department of Administrative Services. The form for request for information shall be prescribed by the Oregon Department of Administrative Services and approved by the Director of the Department of Revenue.
- (ii) The officer, employee or person receiving the information does not remove from the premises of the Department of Revenue any materials that would reveal the identity of a personal or corporate taxpayer.
- (b) The Commissioner of Internal Revenue or authorized representative, for tax administration and compliance purposes only.
- (c) For tax administration and compliance purposes, the proper officer or authorized representative of any of the following entities that has or is governed by a provision of law that meets the requirements of any applicable provision of the Internal Revenue Code as to confidentiality:
  - (A) A state;
  - (B) A city, county or other political subdivision of a state;
  - (C) The District of Columbia; or
  - (D) An association established exclusively to provide services to federal, state or local taxing authorities.
- (d) The Multistate Tax Commission or its authorized representatives, for tax administration and compliance purposes only. The Multistate Tax Commission may make the information available to the Commissioner of Internal Revenue or the proper officer or authorized representative of any governmental entity described in and meeting the qualifications of paragraph (c) of this subsection.
- (e) The Attorney General, assistants and employees in the Department of Justice, or other legal representative of the State of Oregon, to the extent the department deems disclosure or access necessary for the performance of the duties of advising or representing the department pursuant to ORS 180.010 to 180.240 and the tax laws of this state.
- (f) Employees of the State of Oregon, other than of the Department of Revenue or Department of Justice, to the extent the department deems disclosure or access necessary for such employees to perform their duties under contracts or agreements between the department and any other department, agency or subdivision of the State of Oregon, in the department's administration of the tax laws.
- (g) Other persons, partnerships, corporations and other legal entities, and their employees, to the extent the department deems disclosure or access necessary for the performance of such others' duties under contracts or agreements between the department and such legal entities, in the department's administration of the tax laws.
- (h) The Legislative Revenue Officer or authorized representatives upon compliance with ORS 173.850. Such officer or representative shall not remove from the premises of the department any materials that would reveal the identity of any taxpayer or any other person.
- (i) The Department of Consumer and Business Services, to the extent the department requires such information to determine whether it is appropriate to adjust those workers' compensation benefits the amount of which is based pursuant to ORS chapter 656 on the amount of wages or earned income received by an individual.
- (j) Any agency of the State of Oregon, or any person, or any officer or employee of such agency or person to whom disclosure or access is given by state law and not otherwise referred to in this section, including but not limited to the Secretary of State as Auditor of Public Accounts under section 2, Article VI of the Oregon Constitution; the Department of Human Services pursuant to ORS 314.860 and 412.094; the Division of Child Support of the Department of Justice and district attorney regarding cases for which they are providing support enforcement services under ORS 25.080; the State Board of Tax Practitioners, pursuant to ORS 673.710; and the Oregon Board of Accountancy, pursuant to ORS 673.415.
- (k) The Director of the Department of Consumer and Business Services to determine that a person complies with ORS chapter 656 and the Director of the Employment Department to determine that a person complies with ORS chapter 657, the following employer information:

- (A) Identification numbers.
- (B) Names and addresses.
- (C) Inception date as employer.
- (D) Nature of business.
- (E) Entity changes.
- (F) Date of last payroll.
- (L) The Director of Human Services to determine that a person has the ability to pay for care that includes services provided by the Eastern Oregon Training Center or the Department of Human Services to collect any unpaid cost of care as provided by ORS chapter 179.
- (m) The Director of the Oregon Health Authority to determine that a person has the ability to pay for care that includes services provided by the Blue Mountain Recovery Center or the Oregon State Hospital or the Oregon Health Authority to collect any unpaid cost of care as provided by ORS chapter 179.
- (n) Employees of the Employment Department to the extent the Department of Revenue deems disclosure or access to information on a combined tax report filed under ORS 316.168 is necessary to performance of their duties in administering the tax imposed by ORS chapter 657.
- (o) The State Fire Marshal to assist the State Fire Marshal in carrying out duties, functions and powers under ORS 453.307 to 453.414, the employer or agent name, address, telephone number and standard industrial classification, if available.
- (p) Employees of the Department of State Lands for the purposes of identifying, locating and publishing lists of taxpayers entitled to unclaimed refunds as required by the provisions of chapter 694, Oregon Laws 1993. The information shall be limited to the taxpayer's name, address and the refund amount.
- (q) In addition to the disclosure allowed under ORS 305.225, state or local law enforcement agencies to assist in the investigation or prosecution of the following criminal activities:
  - (A) Mail theft of a check, in which case the information that may be disclosed shall be limited to the stolen document, the name, address and taxpayer identification number of the payee, the amount of the check and the date printed on the check.
  - (B) The counterfeiting, forging or altering of a check submitted by a taxpayer to the Department of Revenue or issued by the Department of Revenue to a taxpayer, in which case the information that may be disclosed shall be limited to the counterfeit, forged or altered document, the name, address and taxpayer identification number of the payee, the amount of the check, the date printed on the check and the altered name and address.
  - (r) The United States Postal Inspection Service or a federal law enforcement agency, including but not limited to the United States Department of Justice, to assist in the investigation of the following criminal activities:
    - (A) Mail theft of a check, in which case the information that may be disclosed shall be limited to the stolen document, the name, address and taxpayer identification number of the payee, the amount of the check and the date printed on the check.
    - (B) The counterfeiting, forging or altering of a check submitted by a taxpayer to the Department of Revenue or issued by the Department of Revenue to a taxpayer, in which case the information that may be disclosed shall be limited to the counterfeit, forged or altered document, the name, address and taxpayer identification number of the payee, the amount of the check, the date printed on the check and the altered name and address.
    - (s) The United States Financial Management Service, for purposes of facilitating the offsets described in ORS 305.612.
    - (t) A municipal corporation of this state for purposes of assisting the municipal corporation in the administration of a tax of the municipal corporation that is imposed on or measured by income, wages or net earnings from self-employment. Any disclosure under this paragraph may be made only pursuant to a written agreement between the Department of Revenue and the municipal corporation that ensures the confidentiality of the information disclosed.

(u) A consumer reporting agency, to the extent necessary to carry out the purposes of ORS 314.843.

(v) The Public Employees Retirement Board, to the extent necessary to carry out the purposes of ORS 238.372 to 238.384, and to any public employer, to the extent necessary to carry out the purposes of ORS 237.637 (2).

(3)(a) Each officer or employee of the department and each person described or referred to in subsection (2)(a), (e) to (k) or (n) to (q) of this section to whom disclosure or access to the tax information is given under subsection (2) of this section or any other provision of state law, prior to beginning employment or the performance of duties involving such disclosure or access, shall be advised in writing of the provisions of ORS 314.835 and 314.991, relating to penalties for the violation of ORS 314.835, and shall as a condition of employment or performance of duties execute a certificate for the department, in a form prescribed by the department, stating in substance that the person has read these provisions of law, that the person has had them explained and that the person is aware of the penalties for the violation of ORS 314.835.

(b) The disclosure authorized in subsection (2)(r) of this section shall be made only after a written agreement has been entered into between the Department of Revenue and the person described in subsection (2)(r) of this section to whom disclosure or access to the tax information is given, providing that:

(A) Any information described in ORS 314.835 that is received by the person pursuant to subsection (2)(r) of this section is confidential information that may not be disclosed, except to the extent necessary to investigate or prosecute the criminal activities described in subsection (2)(r) of this section;

(B) The information shall be protected as confidential under applicable federal and state laws; and

(C) The United States Postal Inspection Service or the federal law enforcement agency shall give notice to the Department of Revenue of any request received under the federal Freedom of Information Act, 5 U.S.C. 552, or other federal law relating to the disclosure of information.

(4) The Department of Revenue may recover the costs of furnishing the information described in subsection (2)(k) to (m) and (o) to (q) of this section from the respective agencies.

SECTION 12. ORS 396.515 is amended to read:

396.515. (1) Subject to the restriction contained in subsection (4) of this section, the Oregon Military Department may sell, exchange or lease any military department real property that is found to have become unsuitable for military department purposes. The Adjutant General shall make a determination of the unsuitability of the property for military department purposes and the advisability or necessity of sale, exchange or lease of the property.

(2) Title to any real property sold or exchanged shall be given in the name of the State of Oregon, and the deed conveying the title shall be signed by the Adjutant General. Title to real property received in exchange of military department real property shall be taken in the name of the State of Oregon, and the control of the property shall be vested in the military department.

(3) Military department real property owned jointly by the State of Oregon and the United States, or military department real property subject to federal restrictions in conflict with ORS 396.505 to 396.545, shall, with appropriate federal authorization, be subject to the provisions of ORS 396.505 to 396.545.

(4) Prior to the sale of military department real property, the military department shall submit to the Legislative Assembly, or to the Emergency Board or the **Joint Interim Committee on Ways and Means** when the legislature is not in session, the proposed sale of military department real property, for approval.

SECTION 13. ORS 411.072 is amended to read:

411.072. (1) As used in this section "policy change" includes any change in the operation of public assistance programs that affects recipients adversely in any substantial manner, including but not limited to the denial, reduction, modification or delay of benefits. "Policy change" does not in-



clude any procedural change that affects internal management but does not adversely and substantially affect the interest of public assistance recipients.

(2) The Department of Human Services may submit applications for waiver of federal statutory or regulatory requirements to the federal government or any agency thereof. Prior to the submission of any application for waiver that involves a policy change, and prior to implementation, the department shall do the following:

(a) Conduct a public process regarding the waiver application or application for waiver renewals;

(b) Prepare a complete summary of the testimony and written comments received during the public process;

(c) Submit the application for waiver or application for waiver renewals involving a policy change to the legislative review agency, as described in ORS 291.375, and present the summary of testimony and comments described in this section; and

(d) Give notice of the date of its appearance before the Emergency Board, the **Joint Interim Committee on Ways and Means** or the Joint Committee on Ways and Means in accordance with ORS 183.335, and before the Family Services Review Commission.

**SECTION 14.** ORS 413.072 is amended to read:

413.072. (1) As used in this section, "policy change" includes any change in the operation of medical assistance programs that affects recipients adversely in any substantial manner, including but not limited to the denial, reduction, modification or delay of benefits. "Policy change" does not include any procedural change that affects internal management but does not adversely and substantially affect the interest of medical assistance recipients.

(2) The Oregon Health Authority may submit applications for waiver of federal statutory or regulatory requirements to the federal government or any agency of the federal government. Prior to the submission of any application for waiver that involves a policy change, and prior to implementation, the authority shall do the following:

(a) Conduct a public process regarding the application for waiver or application for waiver renewals;

(b) Prepare a complete summary of the testimony and written comments received during the public process;

(c) Submit the application for waiver or application for waiver renewals involving a policy change to the legislative review agency, as described in ORS 291.375, and present the summary of testimony and comments described in this section; and

(d) Give notice of the date of the authority's appearance before the Emergency Board, the **Joint Interim Committee on Ways and Means** or the Joint Committee on Ways and Means in accordance with ORS 183.335, and before the Medicaid Advisory Committee.

**SECTION 15.** ORS 454.439 is amended to read:

454.439. (1) The Department of Environmental Quality shall use the moneys in the Assessment Deferral Loan Program Revolving Fund to provide funds for assessment deferral loan programs administered by public agencies that meet all of the following conditions:

(a) The program demonstrates that assessments or charges in lieu of assessments levied against benefited properties for construction of treatment works required by a federal grant agreement or by an order issued by a state commission or agency will subject property owners to extreme financial hardship.

(b) The governing body has adopted a program and the department has approved the program.

(c) The treatment works meets the requirements of section 2, Article XI-H of the Oregon Constitution concerning eligibility of pollution control bond funds.

(2) The department also may use the moneys in the Assessment Deferral Loan Program Revolving Fund to pay the expenses of the department in administering the Assessment Deferral Loan Program Revolving Fund and to repay capitalization loans.

(3) In administering the Assessment Deferral Loan Program Revolving Fund, the department shall:

(a) Allocate funds to public agencies for assessment deferral loan programs in accordance with a priority list adopted by the Environmental Quality Commission.

(b) Use accounting, audit and fiscal procedures that conform to generally accepted government accounting standards.

(c) Prepare any reports required by the federal government as a condition to the award of federal capitalization grants.

(4) The Department of Environmental Quality shall submit an informational report to the Joint Committee on Ways and Means or, if during the interim between sessions of the Legislative Assembly, to the Emergency Board **or to the Joint Interim Committee on Ways and Means** before awarding the first loan from the Assessment Deferral Loan Program Revolving Fund. The report shall describe the assessment deferral loan program and set forth in detail the operating procedures of the program.

**SECTION 16.** ORS 468.220 is amended to read:

468.220. (1) The Department of Environmental Quality [shall be] is the agency for the State of Oregon for the administration of the Pollution Control Fund. The department is [hereby] authorized to use the Pollution Control Fund for one or more of the following purposes:

(a) To grant funds not to exceed 30 percent of total project costs for eligible projects as defined in ORS 454.505 or sewerage systems as defined in ORS 468B.005.

(b) To acquire, by purchase, or otherwise, general obligation bonds or other obligations of any municipal corporation, city, county, or agency of the State of Oregon, or combinations thereof, issued or made for the purpose of paragraph (a) of this subsection in an amount not to exceed 100 percent of the total project costs for eligible projects.

(c) To acquire, by purchase, or otherwise, other obligations of any city that are authorized by its charter in an amount not to exceed 100 percent of the total project costs for eligible projects.

(d) To grant funds not to exceed 30 percent of the total project costs for facilities for the disposal of solid waste, including without being limited to, transfer and resource recovery facilities.

(e) To make loans or grants to any municipal corporation, city, county, or agency of the State of Oregon, or combinations thereof, for planning of eligible projects as defined in ORS 454.505, sewerage systems as defined by ORS 468B.005 or facilities for the disposal of solid waste, including without being limited to, transfer and resource recovery facilities. Grants made under this paragraph shall be considered a part of any grant authorized by paragraph (a) or (d) of this subsection if the project is approved.

(f) To acquire, by purchase, or otherwise, general obligation bonds or other obligations of any municipal corporation, city, county, or agency of the State of Oregon, or combinations thereof, issued or made for the purpose of paragraph (d) of this subsection in an amount not to exceed 100 percent of the total project costs.

(g) To advance funds by contract, loan or otherwise, to any municipal corporation, city, county or agency of the State of Oregon, or combination thereof, for the purpose of paragraphs (a) and (d) of this subsection in an amount not to exceed 100 percent of the total project costs.

(h) To pay compensation required by law to be paid by the state for the acquisition of real property for the disposal by storage of environmentally hazardous wastes.

(i) To dispose of environmentally hazardous wastes by the Department of Environmental Quality whenever the department finds that an emergency exists requiring such disposal.

(j) To acquire for the state real property and facilities for the disposal by landfill, storage or otherwise of solid waste, including but not limited to, transfer and resource recovery facilities.

(k) To acquire for the state real property and facilities for the disposal by incineration or otherwise of hazardous waste or PCB.

(l) To provide funding for the Assessment Deferral Loan Program Revolving Fund established in ORS 454.436.

(m) To provide funding for the Orphan Site Account established in ORS 465.381 but only to the extent that the department reasonably estimates that debt service from bonds issued to finance such facilities or activities shall be fully paid from fees collected pursuant to ORS 453.402 (2)(c), under

ORS 459.236 and under ORS 465.101 to 465.131 for the purpose of providing funds for the Orphan Site Account and other available funds, but not from repayments of financial assistance under ORS 465.265 to 465.310 or from moneys recovered from responsible parties.

(n) To advance funds by contract, loan or otherwise, to any municipal corporation, city, county or agency of this state, or combination thereof, for facilities or activities related to removal or remedial action of hazardous substances.

(o) To provide funding for the Water Pollution Control Revolving Fund established under ORS 468.427, either as a grant or an advance. If the funding provided is an advance, the department shall establish the program described in ORS 468.433 (2) to pay the bonds that funded the advance.

(p) To fund loans to or buy debt obligations of a public agency, as defined in ORS 468.423, that finance the costs of treatment works, as defined in ORS 468.423, which are funded in part through the Water Pollution Control Revolving Fund.

(q) To provide funding for remedial actions related to contaminated sediment found in the submerged and submersible lands, as those terms are defined in ORS 274.005, within the Willamette River between Swan Island and the confluence of the Willamette and Columbia Rivers and associated remedial actions. The funding provided under this paragraph may be used for remedial action costs, as defined in ORS 465.200.

(2) The facilities referred to in subsection (1)(a) to (c) of this section shall be only such as conservatively appear to the department to be not less than 70 percent self-supporting and self-liquidating from revenues, gifts, grants from the federal government, user charges, assessments and other fees.

(3) The facilities referred to subsection (1)(d), (f) and (g) of this section shall be only such as conservatively appear to the department to be not less than 70 percent self-supporting and self-liquidating from revenues, gifts, grants from the federal government, user charges, assessments and other fees.

(4) The real property and facilities that receive funding under subsection (1)(j), (k), (o) and (p) of this section shall be only such as conservatively appear to the department to be not less than 70 percent self-supporting and self-liquidating from revenues, gifts, grants from the federal government, user charges, assessments and other fees.

(5) The department may sell or pledge any bonds, notes or other obligations acquired under subsection (1)(b) of this section.

(6) Before making a loan or grant to or acquiring general obligation bonds or other obligations of a municipal corporation, city, county or agency for facilities for the disposal of solid waste or planning for such facilities, the department shall require the applicant to demonstrate that it has adopted a solid waste management plan that has been approved by the department. The plan must include a waste reduction program.

(7) Any grant authorized by this section shall be made only with the prior review of the Joint Committee on Ways and Means during the legislative sessions or the Emergency Board **or the Joint Interim Committee on Ways and Means** during the interim period between sessions.

(8) The department may assess those entities to whom grants and loans are made under this section to recover expenses incurred in administering this section.

**SECTION 17.** ORS 656.612 is amended to read:

**656.612. (1)** The Director of the Department of Consumer and Business Services shall impose and collect assessments from all insurers, self-insured employers and self-insured employer groups in an amount sufficient to pay the expenses of the Department of Consumer and Business Services under this chapter and ORS chapter 654 and under the Insurance Code. The assessments shall be paid in *[such]* the manner and at *[such]* intervals as the director may direct and when collected shall be deposited in the Consumer and Business Services Fund. *[Such]* **The** receipts in the account are continuously appropriated to the department for the purpose described in this subsection.

(2) The assessments shall be levied against the insurers' direct earned premium and the direct earned premium self-insured employers and self-insured employer groups would have paid had they been insured employers.

(3) The director may impose and collect an additional assessment from self-insured employer groups in an amount sufficient to pay the additional expenses involved in administering the group self-insured program.

(4) The director may establish a minimum assessment applicable to all insurers, self-insured employers and self-insured employer groups and shall establish the time, manner and method of imposing and collecting assessments subject to applicable budgeting and fiscal laws.

(5) The assessments required under this section shall be developed pursuant to ORS 183.310 to 183.410 and in such a manner that will reasonably and substantially accomplish the objective of subsection (2) of this section at the least possible administrative cost to everyone.

(6) Assessments developed by the department under this section shall be reported to the Joint [Legislative] Committee on Ways and Means or, during the interim between sessions of the Legislative Assembly, to the Emergency Board **or to the Joint Interim Committee on Ways and Means.**

**SECTION 18.** ORS 731.272 is amended to read:

731.272. (1) The Director of the Department of Consumer and Business Services shall prepare annually, as soon after March 1 as is consistent with full and accurate preparation, a report of the official transactions of the director under the Insurance Code. The report shall include:

(a) In condensed form statements made to the director by every insurer authorized to do business in this state.

(b) A statement of all insurers authorized to do business in this state as of the date of the report.

(c) A list of insurers whose business in this state was terminated and the reason for the termination. If the termination was a result of liquidation or delinquency proceedings brought against the insurer in this or any other state, the report shall include the amount of the insurer's assets and liabilities so far as those amounts are known to the director.

(d) A statement of the operating expenses of the Department of Consumer and Business Services under the Insurance Code, including salaries, transportation, communication, printing, office supplies, fixed charges and miscellaneous expenses.

(e) A detailed statement of the moneys, fees and taxes received by the department under the Insurance Code and from what source.

(f) Any other pertinent information and matters as the director considers to be in the public interest.

(2) The director shall give notice of the publication of the report to:

(a) The office of the Speaker of the House of Representatives;

(b) The office of the President of the Senate; and

(c) The chair or cochairs of the Joint [Legislative] Committee on Ways and Means if the Legislative Assembly is in session or of the Emergency Board **or the Joint Interim Committee on Ways and Means** if during the interim.

#### MISCELLANEOUS REPORTING REQUIREMENTS

**SECTION 19.** ORS 278.125 is amended to read:

278.125. (1) The Oregon Department of Administrative Services is authorized to negotiate for and purchase such insurance as [it] **the department** deems necessary or desirable to accomplish the purposes of this chapter and ORS 30.260 to 30.300 and 278.322, or such other insurance or reinsurance as may be desirable to insure the state, participating local public bodies or their officers, employees or agents against liability.

(2) The premium for [such] **the** insurance shall be paid from the Insurance Fund as either an administrative expense or charged to the benefiting state agency, agencies or participating local public bodies.

(3) The department [shall] **may** not implement any plan of self-insurance insuring any part of the liability of the state or its officers, employees or agents under ORS 30.260 to 30.300 until after the

plan has been submitted to and approved by the Joint Committee on Ways and Means [Committee] of the Legislative Assembly, if the legislature is in session, or the Emergency Board].

**SECTION 20.** ORS 286A.160 is amended to read:

286A.160. (1) Notwithstanding any law limiting expenditures of a state agency, for the purpose of repaying obligations of the state to obtain savings in total or periodic debt service payments, a law limiting expenditures does not apply to payments approved by the State Treasurer for administrative expenses, debt service or financing costs that are necessary or appropriate for the retirement or refunding of bonds unless the law limiting expenditures creates a specific exception to this section.

(2) The Oregon Department of Administrative Services may establish administrative limitations on the payment and recording of expenditures made pursuant to subsection (1) of this section.

(3) The Oregon Department of Administrative Services shall report incurred expenses and debt service savings resulting from actions taken under subsection (1) of this section that affect administrative expenses, debt service or financing costs paid with moneys out of the General Fund or lottery funds, within 90 days of taking action, to the Joint Committee on Ways and Means if the Legislative Assembly is in session or to the Emergency Board or to the Joint Interim Committee on Ways and Means during the interim between legislative sessions.

**SECTION 21.** ORS 291.342 is amended to read:

291.342. (1) By August 15 of each year, but not earlier than 90 days from the end of the regular session of the Legislative Assembly held in that calendar year, the Oregon Department of Administrative Services, with the assistance of the Department of Revenue, shall:

- (a) Ascertain by computation and estimate the total amount of revenue available for state purposes for the current fiscal year; and
- (b) Apportion the state tax levy on property, if any, among the several counties in the manner provided in ORS 291.445.

(2) In addition to the requirement in subsection (1) of this section, the Oregon Department of Administrative Services with the assistance of the Department of Revenue shall for each calendar quarter of the year ascertain by computation and estimate the total amount of revenue available for state purposes for the current fiscal year, as well as the amount of revenue received quarterly, cumulated throughout the biennium, and report its estimate to the Legislative Revenue Officer and to the [Emergency Board, or if the Legislative Assembly is in session, to the Joint Committee on Ways and Means] Legislative Fiscal Officer.

(3) In carrying out its duties under subsection (2) of this section, the Oregon Department of Administrative Services shall issue quarterly a statement setting forth the methodology and assumptions used in making the revenue estimate. Nothing in this subsection requires the statement to set forth procedures used or methods used to determine either the methodology or the assumptions.

**SECTION 22.** ORS 291.349 is amended to read:

291.349. (1) As soon as practicable after adjournment sine die of the odd-numbered year regular session of the Legislative Assembly, the Oregon Department of Administrative Services shall report to the [Emergency Board] Legislative Revenue Officer and the Legislative Fiscal Officer the estimate as of July 1 of the first year of the biennium of General Fund and State Lottery Fund revenues that will be received by the state during that biennium. The Oregon Department of Administrative Services shall base its estimate on the last forecast given to the Legislative Assembly before adjournment sine die of the odd-numbered year regular session on which the printed, adopted budget prepared in the Oregon Department of Administrative Services is based, adjusted only insofar as necessary to reflect changes in laws adopted at that session. The report shall contain the estimated revenues from corporate income and excise taxes separately from the estimated revenues from other General Fund sources. The Oregon Department of Administrative Services may revise the estimate if necessary following adjournment sine die of a special session or an even-numbered year regular session of the Legislative Assembly, but any revision does not affect the basis of the computation described in subsection (3) or (4) of this section.

(2) As soon as practicable after the end of the biennium, the Oregon Department of Administrative Services shall report to the [Emergency Board] Legislative Revenue Officer and the Legislative Fiscal Officer, or the Legislative Assembly if it is in session, the amount of General Fund revenues collected as of the last June 30 of the preceding biennium. The report shall contain the collections from corporate income and excise taxes separately from collections from other sources.

(3) If the revenues received from the corporate income and excise taxes during the biennium exceed the amounts estimated to be received from such taxes for the biennium, as estimated after adjournment sine die of the odd-numbered year regular session, by two percent or more, the total amount of that excess shall be credited to corporate income and excise taxpayers in a percentage amount of prior year corporate excise and income tax liability as determined under subsection (5) of this section. However, no credit shall be allowed against tax liability imposed by ORS 317.090.

(4) If the revenues received from General Fund revenue sources, exclusive of those described in subsection (3) of this section, during the biennium exceed the amounts estimated to be received from such sources for the biennium, as estimated after adjournment sine die of the odd-numbered year regular session, by two percent or more, there shall be credited to personal income taxpayers an amount equal to the total amount of that excess, reduced by the cost certified by the Department of Revenue under ORS 291.351 as being allocable to credits described under this subsection. The excess amount to be credited shall be credited to personal income taxpayers in a percentage amount of prior year personal income tax liability as determined under subsection (5) of this section.

(5)(a) If there is an excess to be credited under subsection (3) or (4) of this section, or both, on or before October 1, following the end of each biennium, the Oregon Department of Administrative Services shall determine and certify to the Department of Revenue the percentage amounts of credit for purposes of subsection (3) or (4) of this section. The percentage amounts determined shall be percentage amounts to the nearest one-tenth of a percent that will distribute the excess to be credited either to corporate excise and income taxpayers or to personal income taxpayers.

(b) The percentage amount applicable to subsection (3) of this section shall equal the amount distributed under subsection (3) of this section divided by the estimated total corporate income and excise tax liability for all corporate income and excise taxpayers for tax years beginning in the calendar year immediately preceding the calendar year in which the excess is determined.

(c) The amount of the surplus credit under subsection (3) of this section is determined by multiplying the percentage amount determined under paragraph (b) of this subsection by the total amount of a corporate income or excise taxpayer's tax liability for the tax year beginning in the calendar year immediately preceding the calendar year in which the excess is determined in order to calculate the amount to be credited to the taxpayer.

(d) The percentage amount applicable to subsection (4) of this section shall equal the amount distributed under subsection (4) of this section divided by the estimated total personal income tax liability for all personal income taxpayers for tax years beginning in the calendar year immediately preceding the calendar year in which the excess is determined.

(e) The amount of the surplus credit under subsection (4) of this section is determined by multiplying the percentage amount determined under paragraph (d) of this subsection by the total amount of a personal income taxpayer's tax liability for the tax year beginning in the calendar year immediately preceding the calendar year in which the excess is determined in order to calculate the amount to be credited to the taxpayer.

(f) The credit shall be determined based on the tax liability as shown on the return of the taxpayer or as corrected by the Department of Revenue.

(g) The credit shall be computed after the allowance of a credit provided under ORS 316.082, 316.131 or 316.292, but before the allowance of any other credit or offset against tax liability allowed or allowable under any provision of law of this state, and before the application of estimated tax payments, withholding or other advance tax payments.

(h) For corporate income and excise taxpayers, if a credit applied against tax liability as described in paragraph (g) of this subsection reduces tax liability to zero and an amount of the credit remains unused, the remaining unused amount shall be carried forward and applied against tax li-

ability as prescribed in paragraph (g) of this subsection in the succeeding tax year. Following application of the credit against tax liability in a succeeding tax year, any amount continuing to remain unused shall be carried forward and applied against tax liability in a succeeding tax year until all remaining amounts of unused credit are offset against tax liability.

(i) For personal income taxpayers, if a credit applied against tax liability as described in paragraph (g) of this subsection reduces tax liability to zero and an amount of the credit remains unused, the remaining unused amount shall be refunded to the taxpayer. For purposes of ORS chapters 305, 314, 315 and 316, refunds issued under this paragraph are refunds of an overpayment of tax imposed under ORS chapter 316.

(j) Notwithstanding paragraph (g) of this subsection, if an excess is credited under subsection (3) of this section for a tax year and an unused credit amount from a prior tax year is carried forward to the tax year as prescribed under paragraph (h) of this subsection, the amount of the carryforward credit shall be applied against tax liability prior to applying the new credit.

(k) The Department of Revenue may prescribe by rule the manner of calculating and claiming a credit if the filing status of a taxpayer changes between the tax year for which a credit may be claimed and the succeeding tax year.

(6) A refund may not be made under this section to a taxpayer if the amount of the refund is less than \$1.

(7) Not later than October 15 following the end of the biennium, the Department of Revenue shall provide information and guidance to taxpayers relating to the calculation of the credit. The department may make the information and guidance available electronically or otherwise.

(8) The Department of Revenue may adopt rules specifying the manner for issuing refunds under this section to taxpayers who filed returns in the tax year on which the credit is computed but who are not required to file returns in the year in which the credit could be claimed.

**SECTION 23.** ORS 390.124 is amended to read:

**390.124. (1)** In accordance with any applicable provision of ORS chapter 183, the State Parks and Recreation Commission may adopt rules necessary to carry out the duties, functions and powers imposed by law upon the commission and the State Parks and Recreation Department. Rules adopted pursuant to this section shall be duly entered in the minutes and records of the commission.

(2) The commission may adopt rules that assess reasonable charges, *including fee reductions, waivers and exemptions,* for the use of areas established and maintained by the department. **The department may also adopt rules establishing reductions in waivers of or exemption from the charges.** However, the commission shall authorize the use of any state park, individual campsite or day use fee area without charge:

(a) Upon the showing of proper identification, by a person maintaining a foster home, as defined by ORS 418.625, and the person's children, when accompanied by a foster child residing in the home.

(b) Upon the showing of proper identification, by a person maintaining a developmental disability child foster home, as defined by ORS 443.830, and the person's children, when accompanied by a foster child residing in the home.

(c) If a deed to, lease of or contract to use the property used as a state park, campsite or day use fee area prohibits the charging of fees for use of the property.

(d) Upon the showing of proper identification, by either a disabled veteran or a person on leave from military active duty status on Memorial Day, Independence Day or Veterans Day.

*[(3) The commission shall report to an appropriate committee of the Legislative Assembly, no later than January 31 of each odd-numbered year, on the fee reductions, waivers and exemptions adopted by rule by the commission pursuant to subsection (2) of this section.]*

*[(4)] (3)* The commission shall adopt any rules pursuant to ORS chapter 183 that the commission considers necessary to carry out ORS 273.563 to 273.591.

**SECTION 24.** ORS 390.134 is amended to read:

**390.134. (1)** As used in this section:

(a) "Camper" has the meaning given that term in ORS 801.180.

- (b) "County" includes a metropolitan service district organized under ORS chapter 268, but only to the extent that the district has acquired, through title transfer, and is operating a park or recreation site of a county pursuant to an intergovernmental agreement.
- (c) "Motor home" has the meaning given that term in ORS 801.350.
- (d) "Travel trailer" has the meaning given that term in ORS 801.565.
- (2) The State Parks and Recreation Department Fund is established separate and distinct from the General Fund. Moneys in the fund are continuously appropriated to the State Parks and Recreation Department for the purposes provided by law. The fund shall consist of the following:
- (a) All moneys placed in the fund as provided by law. Any interest or other income derived from the depositing or other investing of the fund must be credited to the fund.
- (b) All registration fees received by the Department of Transportation for campers, motor homes and travel trailers that are transferred to the fund under ORS 366.512. The funds must be deposited in a separate subaccount established under subsection (3) of this section.
- (c) Revenue from *[fees and]* charges pursuant to ORS 390.124.
- (3) Any moneys placed in the fund for a particular purpose may be placed in a separate subaccount within the fund. Each separate subaccount established under this subsection must be separately accounted for. Moneys placed in a subaccount must be used for the purposes for which they are deposited.
- (4) All of the moneys in the fund except those moneys described in subsection (3), (5), (6), (7), (8) or (9) of this section must be deposited in a separate subaccount within the fund and used by the State Parks and Recreation Department for the acquisition, development, maintenance, care and use of park and recreation sites and for the maintenance and operation of the Oregon State Fair. The moneys **deposited** in the subaccount under this subsection must be accounted for separately and stated separately in the State Parks and Recreation Department's biennial budget.
- (5)(a) Thirty-five percent of the amount transferred to the State Parks and Recreation Department under ORS 366.512 from the registration of travel trailers, campers and motor homes and under ORS 803.601 from recreational vehicle trip permits must be deposited in a separate subaccount within the fund to be distributed for the acquisition, development, maintenance, care and use of county park and recreation sites. The moneys **deposited** in the subaccount under this paragraph must be accounted for separately. The following apply to the distribution of moneys under this paragraph:
- (A) The moneys must be distributed among the several counties for the purposes described in this paragraph. The distribution shall be made at times determined by the State Parks and Recreation Department but must be made not less than once a year.
- (B) The sums designated under this paragraph must be remitted to the county treasurers of the several counties by warrant.
- (b) The department shall establish an advisory committee to advise the department in the performance of its duties under this subsection. The composition of the advisory committee under this subsection is as determined by the department by rule. In determining the composition of the advisory committee, the department shall attempt to provide reasonable representation for county officials or employees with responsibilities relating to county parks and recreation sites.
- (c) The department, by rule, shall establish a program to provide moneys to counties for the acquisition, development, maintenance, care and use of county park and recreation sites. The rules under this paragraph shall provide for distribution of moneys based on use and need and, as the department determines necessary, on the need for the development and maintenance of facilities to provide camping sites for campers, motor homes and travel trailers.
- (6) The department shall create a separate City and County Subaccount within the fund to be used to reimburse cities and counties as provided in ORS 390.290.
- (7) The department shall create a separate rural Fire Protection District Subaccount to be used to provide funds for the fire protection districts as provided in ORS 390.290.
- (8) Twelve percent of the amount transferred to the State Parks and Recreation Department Fund from the Parks Subaccount shall be used only to carry out the purposes and achievements



described in ORS 390.135 (2) and (3) through the awarding of grants to regional or local government entities to acquire property for public parks, natural areas or outdoor recreation areas or to develop or improve public parks, natural areas or outdoor recreation areas. Moneys described in this subsection may not be used to pay the cost of administering grants or the cost of any Secretary of State audit required under section 4c, Article XV of the Oregon Constitution.

(9) If the amount transferred to the State Parks and Recreation Department Fund from the Parks Subaccount during a biennium is more than 150 percent of the amount that was transferred during the 2009-2011 biennium, the State Treasurer shall, during the next following biennium, deposit for use as described in subsection (8) of this section the amount required under subsection (8) of this section plus an amount equal to the difference between the amount deposited for use as described in subsection (8) of this section during the preceding biennium and 25 percent of the moneys transferred to the State Parks and Recreation Department Fund from the Parks Subaccount during the preceding biennium.

(10) Subsections (8) and (9) of this section apply only for biennia in which the Legislative Assembly does not require a greater percentage of the amount transferred to the State Parks and Recreation Department Fund from the Parks Subaccount to be used for the purposes described in subsection (8) of this section. The Legislative Assembly may not authorize the percentage of the amount transferred to the State Parks and Recreation Department Fund from the Parks Subaccount that is deposited for use as described in subsection (8) of this section in a biennium to be less than the percentage required to be deposited under subsections (8) and (9) of this section.

*[11] On or before January 15 of each odd-numbered year, the State Parks and Recreation Director shall report to the Joint Committee on Ways and Means created by ORS 171.555 on the use of moneys deposited pursuant to ORS 805.256 in the fund. Notwithstanding ORS 192.230 to 192.250, the director shall make the report in a form and manner as the committee may prescribe.]*

*[12] (11) On or before January 15 of each odd-numbered year, the director shall submit a report to the Joint Committee on Ways and Means created by ORS 171.555, or the Joint Interim Committee on Ways and Means, that describes the measurable biennial and cumulative results of activities and programs financed by moneys transferred to the State Parks and Recreation Department Fund from the Parks Subaccount. Notwithstanding ORS 192.230 to 192.250, the director shall make the report in a form and manner as the committee may prescribe.]*

**SECTION 25.** ORS 390.134, as amended by section 2, chapter 792, Oregon Laws 2007, section 47, chapter 11, Oregon Laws 2009, and section 5, chapter 643, Oregon Laws 2011, is amended to read:

390.134. (1) As used in this section:

- (a) "Camper" has the meaning given that term in ORS 801.180.
- (b) "County" includes a metropolitan service district organized under ORS chapter 268, but only to the extent that the district has acquired, through title transfer, and is operating a park or recreation site of a county pursuant to an intergovernmental agreement.
- (c) "Motor home" has the meaning given that term in ORS 801.350.
- (d) "Travel trailer" has the meaning given that term in ORS 801.565.
- (2) The State Parks and Recreation Department Fund is established separate and distinct from the General Fund. Moneys in the fund are continuously appropriated to the State Parks and Recreation Department for the purposes provided by law. The fund shall consist of the following:
  - (a) All moneys placed in the fund as provided by law. Any interest or other income derived from the depositing or other investing of the fund must be credited to the fund.
  - (b) All registration fees received by the Department of Transportation for campers, motor homes and travel trailers that are transferred to the fund under ORS 366.512. The funds must be deposited in a separate subaccount established under subsection (3) of this section.
  - (c) Revenue from *[fes and]* charges pursuant to ORS 390.124.
  - (3) Any moneys placed in the fund for a particular purpose may be placed in a separate subaccount within the fund. Each separate subaccount established under this subsection must be separate

rately accounted for. Moneys placed in a subaccount must be used for the purposes for which they are deposited.

(4) All of the moneys in the fund except those moneys described in subsection (3), (5), (6), (7), (8) or (9) of this section must be deposited in a separate subaccount within the fund and used by the State Parks and Recreation Department for the acquisition, development, maintenance, care and use of park and recreation sites and for the maintenance and operation of the Oregon State Fair. The moneys **deposited** in the subaccount under this subsection must be accounted for separately and stated separately in the State Parks and Recreation Department's biennial budget.

(5)(a) Thirty percent of the amount transferred to the State Parks and Recreation Department under ORS 366.512 from the registration of travel trailers, campers and motor homes and under ORS 803.601 from recreational vehicle trip permits must be deposited in a separate subaccount within the fund to be distributed for the acquisition, development, maintenance, care and use of county park and recreation sites. The moneys **deposited** in the subaccount under this paragraph must be accounted for separately. The following apply to the distribution of moneys under this paragraph:

(A) The moneys must be distributed among the several counties for the purposes described in this paragraph. The distribution shall be made at times determined by the State Parks and Recreation Department but must be made not less than once a year.

(B) The sums designated under this paragraph must be remitted to the county treasurers of the several counties by warrant.

(b) The department shall establish an advisory committee to advise the department in the performance of its duties under this subsection. The composition of the advisory committee under this subsection is as determined by the department by rule. In determining the composition of the advisory committee, the department shall attempt to provide reasonable representation for county officials or employees with responsibilities relating to county parks and recreation sites.

(c) The department, by rule, shall establish a program to provide moneys to counties for the acquisition, development, maintenance, care and use of county park and recreation sites. The rules under this paragraph shall provide for distribution of moneys based on use and need and, as the department determines necessary, on the need for the development and maintenance of facilities to provide camping sites for campers, motor homes and travel trailers.

(6) The department shall create a separate City and County Subaccount within the fund to be used to reimburse cities and counties as provided in ORS 390.290.

(7) The department shall create a separate rural Fire Protection District Subaccount to be used to provide funds for the fire protection districts as provided in ORS 390.290.

(8) Twelve percent of the amount transferred to the State Parks and Recreation Department Fund from the Parks Subaccount shall be used only to carry out the purposes and achievements described in ORS 390.135 (2) and (3) through the awarding of grants to regional or local government entities to acquire property for public parks, natural areas or outdoor recreation areas or to develop or improve public parks, natural areas or outdoor recreation areas. Moneys described in this subsection may not be used to pay the cost of administering grants or the cost of any Secretary of State audit required under section 4c, Article XV of the Oregon Constitution.

(9) If the amount transferred to the State Parks and Recreation Department Fund from the Parks Subaccount during a biennium is more than 150 percent of the amount that was transferred during the 2009-2011 biennium, the State Treasurer shall, during the next following biennium, deposit for use as described in subsection (8) of this section the amount required under subsection (8) of this section plus an amount equal to the difference between the amount deposited for use as described in subsection (8) of this section during the preceding biennium and 25 percent of the moneys transferred to the State Parks and Recreation Department Fund from the Parks Subaccount during the preceding biennium.

(10) Subsections (8) and (9) of this section apply only for biennia in which the Legislative Assembly does not require a greater percentage of the amount transferred to the State Parks and Recreation Department Fund from the Parks Subaccount to be used for the purposes described in subsection (8) of this section. The Legislative Assembly may not authorize the percentage of the

amount transferred to the State Parks and Recreation Department Fund from the Parks Subaccount that is deposited for use as described in subsection (8) of this section in a biennium to be less than the percentage required to be deposited under subsections (8) and (9) of this section.

*[11] On or before January 15 of each odd-numbered year, the State Parks and Recreation Director shall report to the Joint Committee on Ways and Means created by ORS 171.555 on the use of moneys deposited pursuant to ORS 805.256 in the fund. Notwithstanding ORS 192.230 to 192.250, the director shall make the report in a form and manner as the committee may prescribe.]*

*[12] (11) On or before January 15 of each odd-numbered year, the director shall submit a report to the Joint Committee on Ways and Means created by ORS 171.555, or the Joint Interim Committee on Ways and Means, that describes the measurable biennial and cumulative results of activities and programs financed by moneys transferred to the State Parks and Recreation Department Fund from the Parks Subaccount. Notwithstanding ORS 192.230 to 192.250, the director shall make the report in a form and manner as the committee may prescribe.*

#### CRIMINAL INJURIES COMPENSATION ACCOUNT

SECTION 26. Notwithstanding ORS 31.735, 131A.410, 137.183 and 147.225 and section 3, chapter 670, Oregon Laws 2011, the amount of \$56,200,000 is transferred from the Criminal Injuries Compensation Account to the General Fund for general governmental purposes.

#### SECRETARY OF STATE

SECTION 27. Notwithstanding ORS 56.041 and in addition to the transfers required by ORS 56.041 (4), the amount of \$1,057,904 is transferred from the Operating Account to the General Fund for general governmental purposes.

#### ECONOMIC DEVELOPMENT

SECTION 28. Notwithstanding section 3, chapter 93, Oregon Laws 2010, the amount of \$3,692,500 is transferred from the Building Opportunities for Oregon Small Business Today Account of the Oregon Business Development Fund to the General Fund for general governmental purposes.

#### GOVERNOR'S OFFICE

SECTION 29. The Governor's Office Operating Fund is established in the State Treasury, separate and distinct from the General Fund. Moneys in the Governor's Office Operating Fund are continuously appropriated to the Office of the Governor for the operating expenses of the Office of the Governor. The fund shall consist of moneys appropriated or transferred to the fund and moneys received under section 30 of this 2012 Act.

SECTION 30. The Office of the Governor may receive gifts, grants or contributions from any source, whether public or private. Moneys received under this section shall be deposited in the Governor's Office Operating Fund established by section 29 of this 2012 Act.

#### OREGON HEALTH AUTHORITY

SECTION 31. Notwithstanding ORS 431.832 (2), the amount of \$1,500,000 is transferred from the Tobacco Use Reduction Account to the General Fund for general governmental purposes. The transfer shall be made not later than June 30, 2013.

SECTION 32. (1) Notwithstanding ORS 426-506, during the period beginning July 1, 2012, and ending June 30, 2013, the Oregon Health Authority may transfer from the Community

Housing Trust Account established by ORS 426.506 to the Oregon Health Authority Fund established by ORS 413.101 an amount not to exceed \$5,726,586.

(2) Moneys transferred from the Community Housing Trust Account under subsection (1) of this section may be used only for community mental health services provided to children and adults with mental illness.

#### EMPLOYMENT DEPARTMENT

SECTION 33. Notwithstanding ORS 657.822, the amount of \$1,100,000 is transferred from the Employment Department Special Administrative Fund to the General Fund for general governmental purposes.

SECTION 34. Notwithstanding ORS 657.783, the amount of \$9,000,000 is transferred from the Supplemental Employment Department Administration Fund to the General Fund for general governmental purposes.

#### DEPARTMENT OF REVENUE

SECTION 35. Notwithstanding section 7, chapter 710, Oregon Laws 2009, the amount of \$3,000,000 is transferred from the Tax Amnesty Fund to the General Fund for general governmental purposes.

#### STATE FINANCE

SECTION 36. Section 3, chapter 21, Oregon Laws 2011, as amended by section 3, chapter 496, Oregon Laws 2011, is amended to read:

**Sec. 3.** (1) Based on the findings in section 1, chapter 21, Oregon Laws 2011, and pursuant to section 4 (6), Article XV of the Oregon Constitution, on [June 1, 2012] **September 1, 2012**, the State Treasurer shall transfer \$100 million from the Education Stability Fund established under section 4 (4)(d), Article XV of the Oregon Constitution, and ORS 348.696 to the 2011-2012 School Year Sub-account established by section 2, chapter 21, Oregon Laws 2011.

(2) Moneys transferred under this section may be used in the manner provided by section 5, chapter 21, Oregon Laws 2011, for moneys in the 2011-2012 School Year Subaccount.

#### PUBLIC DEFENSE SERVICES ACCOUNT

SECTION 37. ORS 151.225 is amended to read:

151.225. (1) *[There is created a] The Public Defense Services Account [in] is established separate and distinct from the General Fund. The Public Defense Services Account is continuously appropriated to the Public Defense Services Commission to [pay compensation of counsel and]:*

(a) **Reimburse the actual costs and expenses, including personnel expenses, incurred in administration and support of the public defense system;**

(b) **Reimburse the State Court Administrator under ORS 151.216 (1)(i); and**

(c) **Pay other expenses in connection with the legal representation of persons for which the commission is responsible by law, including expenses incurred in the administration of the public defense system.**

*[(2) All moneys appropriated to the commission to pay compensation of counsel and other expenses in connection with the legal representation of persons for which the commission is responsible by law shall be deposited in the Public Defense Services Account.]*

*[(3) (2) All moneys received by the Judicial Department under ORS 135.050 (8), 151.487 (1), 419A.211, 419B.198 (1), [or] 419C.203 (1) or 419C.535 (2) shall be deposited in [a separate subaccount created in] the Public Defense Services Account [to be used by the public defense services executive*

director to reimburse the actual costs and expenses, including personnel expenses, incurred in administration and support of the public defense system.]

[4]] (3) All gifts, grants or contributions accepted by the commission under ORS 151.216 shall be deposited in a separate subaccount created in the Public Defense Services Account to be used by the commission for the purpose for which the gift, grant or contribution was given or granted.

[5] As used in this section, "other expenses in connection with the legal representation of persons for which the commission is responsible by law" includes expenses incurred in the administration of the public defense system.]

**SECTION 38.** The amendments to ORS 151.225 by section 37 of this 2012 Act are intended, in part, to convert the Public Defense Services Account from an account in the General Fund to an account separate and distinct from the General Fund. The account that is separate and distinct from the General Fund shall be considered a continuation of the account that was an account within the General Fund immediately before the operative date of the amendments to ORS 151.225 by section 37 of this 2012 Act, as specified in section 49 of this 2012 Act.

**SECTION 39.** ORS 45.275 is amended to read:

45.275. (1) The court shall appoint a qualified interpreter in a civil or criminal proceeding, and a hearing officer or the designee of a hearing officer shall appoint a qualified interpreter in an adjudicatory proceeding, whenever it is necessary:

(a) To interpret the proceedings to a non-English-speaking party;

(b) To interpret the testimony of a non-English-speaking party or witness; or

(c) To assist the court, agency or hearing officer in performing the duties and responsibilities of the court, agency or hearing officer.

(2) *[No fee shall]* **A fee may not** be charged to any person for the appointment of an interpreter to interpret testimony of a non-English-speaking party or witness, or to assist the court, agency or hearing officer in performing the duties and responsibilities of the court, agency or hearing officer. *[No fee shall]* **A fee may not** be charged to a non-English-speaking party who is unable to pay for the appointment of an interpreter to interpret the proceedings to the non-English-speaking party. *[No fee shall]* **A fee may not** be charged to any person for the appointment of an interpreter if appointment is made to determine whether the person is unable to pay or non-English-speaking for the purposes of this section.

(3) A non-English-speaking party *[shall be]* is considered unable to pay for an interpreter for the purposes of this section if:

(a) The party makes a verified statement and provides other information in writing under oath showing financial inability to pay for a qualified interpreter, and provides any other information required by the court or agency concerning the inability to pay for such an interpreter; and

(b) It appears to the court or agency that the party is in fact unable to pay for a qualified interpreter.

(4) Fair compensation for the services of an interpreter appointed under this section shall be paid:

(a) By the county, subject to the approval of the terms of the contract by the governing body of the county, in a proceeding in a county or justice court.

(b) By the city, subject to the approval of the terms of the contract by the governing body of the city, in a proceeding in a municipal court.

(c) By the state in a proceeding in a circuit court. Amounts payable by the state *[shall be from funds available to the court other than]* **are not payable from the Public Defense Services Account established by ORS 151.225].** *except that]* **or from moneys appropriated to the Public Defense Services Commission.** Fees of an interpreter necessary for the purpose of communication between appointed counsel and a client or witness in a criminal case *[shall be payable from that account]* **are payable from the Public Defense Services Account or from moneys appropriated to the Public Defense Services Commission.**

(d) By the agency in an adjudicatory proceeding.

(5) If a party or witness is dissatisfied with the interpreter appointed by the court, the hearing officer or the designee of the hearing officer, the party or witness may request the appointment of a different certified interpreter. A request under this subsection must be made in a manner consistent with the policies and notice requirements of the court or agency relating to the appointment and scheduling of interpreters. If the substitution of another interpreter will delay the proceeding, the person making the request must show good cause for the substitution. Any party may object to use of any interpreter for good cause. Unless the court, hearing officer or the designee of the hearing officer has appointed a different interpreter for cause, the party using any interpreter other than the interpreter originally appointed by the court, hearing officer or the designee of the hearing officer shall bear any additional costs beyond the amount required to pay the original interpreter.

(6) A judge or hearing officer, on the judge's or hearing officer's own motion, may substitute a different interpreter for the interpreter initially appointed in a proceeding. A judge or hearing officer may make a substitution under this subsection at any time and for any reason.

(7) A court may allow as costs reasonable expenses incurred by a party in employing the services of an interpreter in civil proceedings in the manner provided by ORCP 68.

(8) A court, a hearing officer or the designee of a hearing officer shall require any person serving as an interpreter for the court or agency to state the person's name on the record and whether the person is certified under ORS 45.291. If the person is certified under ORS 45.291, the interpreter need not make the oath or affirmation required by ORS 40.325 or submit the interpreter's qualifications on the record. If the person is not certified under ORS 45.291, the interpreter must make the oath or affirmation required by ORS 40.325 and submit the interpreter's qualifications on the record.

(9) For the purposes of this section:

(a) "Hearing officer" includes an administrative law judge.

(b) "Non-English-speaking person" means a person who, by reason of place of birth or culture, speaks a language other than English and does not speak English with adequate ability to communicate effectively in the proceedings.

(c) "Qualified interpreter" means a person who is readily able to communicate with the non-English-speaking person and who can orally transfer the meaning of statements to and from English and the language spoken by the non-English-speaking person. A qualified interpreter must be able to interpret in a manner that conserves the meaning, tone, level, style and register of the original statement, without additions or omissions. "Qualified interpreter" does not include any person who is unable to interpret the dialect, slang or specialized vocabulary used by the party or witness.

**SECTION 40.** ORS 45.285 is amended to read:

**45.285.** (1) For the purposes of this section:

(a) "Assistive communication device" means any equipment designed to facilitate communication by a person with a disability.

(b) "Hearing officer" includes an administrative law judge.

(c) "Person with a disability" means a person who cannot readily understand the proceedings because of deafness or a physical hearing impairment, or cannot communicate in the proceedings because of a physical speaking impairment.

(d) "Qualified interpreter" means a person who is readily able to communicate with the person with a disability, interpret the proceedings and accurately repeat and interpret the statements of the person with a disability to the court.

(2) In any civil action, adjudicatory proceeding or criminal proceeding, including a court-ordered deposition if no other person is responsible for providing an interpreter, in which a person with a disability is a party or witness, the court, hearing officer or the designee of the hearing officer shall appoint a qualified interpreter and make available appropriate assistive communication devices whenever it is necessary to interpret the proceedings to the person with a disability, or to interpret the testimony of the person with a disability.

(3) *[No fee shall]* **A fee may not** be charged to the person with a disability for the appointment of an interpreter or use of an assistive communication device under this section. *[No fee shall]* **A**

**fee may not** be charged to any person for the appointment of an interpreter or the use of an assistive communication device if appointment or use is made to determine whether the person has a disability for the purposes of this section.

(4) Fair compensation for the services of an interpreter or the cost of an assistive communication device under this section shall be paid:

(a) By the county, subject to the approval of the terms of the contract by the governing body of the county, in a proceeding in a county or justice court.

(b) By the city, subject to the approval of the terms of the contract by the governing body of the city, in a proceeding in a municipal court.

(c) By the state in a proceeding in a circuit court. Amounts payable by the state *[shall be from funds available to the court other than]* **are not payable from the Public Defense Services Account established by ORS 151.225, except that] or from moneys appropriated to the Public Defense Services Commission.** Fees of an interpreter necessary for the purpose of communication between appointed counsel and a client or witness in a criminal case *[shall be payable from that account]* **are payable from the Public Defense Services Account or from moneys appropriated to the Public Defense Services Commission.**

(d) By the agency in an adjudicatory proceeding.

**SECTION 41.** ORS 135.050 is amended to read:

135.050. (1) Suitable counsel for a defendant shall be appointed by a municipal, county or justice court if:

(a) The defendant is before a court on a matter described in subsection (5) of this section;

(b) The defendant requests aid of counsel;

(c) The defendant provides to the court a written and verified financial statement; and

(d) It appears to the court that the defendant is financially unable to retain adequate representation without substantial hardship in providing basic economic necessities to the defendant or the defendant's dependent family.

(2) Suitable counsel for a defendant shall be appointed by a circuit court if:

(a) The defendant is before the court on a matter described in subsection (5) of this section;

(b) The defendant requests aid of counsel;

(c) The defendant provides to the court a written and verified financial statement; and

(d)(A) The defendant is determined to be financially eligible under ORS 151.485 and the standards established by the Public Defense Services Commission under ORS 151.216; or

(B) The court finds, on the record, substantial and compelling reasons why the defendant is financially unable to retain adequate representation without substantial hardship in providing basic economic necessities to the defendant or the defendant's dependent family despite the fact that the defendant does not meet the financial eligibility standards established by the commission.

(3) Appointed counsel may not be denied to any defendant merely because the defendant's friends or relatives have resources adequate to retain counsel or because the defendant has deposited or is capable of depositing security for release. However, appointed counsel may be denied to a defendant if the defendant's spouse has adequate resources which the court determines should be made available to retain counsel.

(4) The defendant's financial statement under subsection (1) or (2) of this section shall include, but not be limited to:

(a) A list of bank accounts in the name of defendant or defendant's spouse, and the balance in each;

(b) A list of defendant's interests in real property and those of defendant's spouse;

(c) A list of automobiles and other personal property of significant value belonging to defendant or defendant's spouse;

(d) A list of debts in the name of defendant or defendant's spouse, and the total of each; and

(e) A record of earnings and other sources of income in the name of defendant or defendant's spouse, and the total of each.

- (5) Counsel must be appointed for a defendant who meets the requirements of subsection (1) or (2) of this section and who is before a court on any of the following matters:
- (a) Charged with a crime.
  - (b) For a hearing to determine whether an enhanced sentence should be imposed when such proceedings may result in the imposition of a felony sentence.
  - (c) For extradition proceedings under the provisions of the Uniform Criminal Extradition Act.
  - (d) For any proceeding concerning an order of probation, including but not limited to the revoking or amending thereof.
  - (e) Unless otherwise ordered by the court, the appointment of counsel under this section shall continue during all criminal proceedings resulting from the defendant's arrest through acquittal or the imposition of punishment. The court having jurisdiction of the case may not substitute one appointed counsel for another except pursuant to the policies, procedures, standards and guidelines of the Public Defense Services Commission under ORS 151.216.
  - (7) If, at any time after the appointment of counsel, the court having jurisdiction of the case finds that the defendant is financially able to obtain counsel, the court may terminate the appointment of counsel. If, at any time during criminal proceedings, the court having jurisdiction of the case finds that the defendant is financially unable to pay counsel whom the defendant has retained, the court may appoint counsel as provided in this section.
  - (8) The court may order the defendant in a circuit court to pay to the Public Defense Services Account [in the *General Fund*] **established by ORS 151.225**, through the clerk of the court, in full or in part the administrative costs of determining the eligibility of the defendant for appointed counsel and the costs of the legal and other services that are related to the provision of appointed counsel under ORS 151.487[ *151.505 or 161.665*].
  - (9) In addition to any criminal prosecution, a civil proceeding may be initiated by any public body which has expended moneys for the defendant's legal assistance within two years of judgment if the defendant was not qualified in accordance with subsection (1) or (2) of this section for legal assistance.
  - (10) The civil proceeding shall be subject to the exemptions from execution as provided for by law.
  - (11) As used in this section unless the context requires otherwise, "counsel" includes a legal advisor appointed under ORS 135.045.
- SECTION 42.** ORS 151.216 is amended to read:
- 151.216. (1) The Public Defense Services Commission shall:
- (a) Establish and maintain a public defense system that ensures the provision of public defense services in the most cost-efficient manner consistent with the Oregon Constitution, the United States Constitution and Oregon and national standards of justice.
  - (b) Establish an office of public defense services and appoint a public defense services executive director who serves at the pleasure of the commission.
  - (c) Submit the budget of the commission and the office of public defense services to the Legislative Assembly after the budget is submitted to the commission by the director and approved by the commission. The Chief Justice of the Supreme Court and the chairperson of the commission shall present the budget to the Legislative Assembly.
  - (d) Review and approve any public defense services contract negotiated by the director before the contract can become effective.
  - (e) Adopt a compensation plan, classification system and personnel plan for the office of public defense services that are commensurate with other state agencies.
  - (f) Adopt policies, procedures, standards and guidelines regarding:
    - (A) The determination of financial eligibility of persons entitled to be represented by appointed counsel at state expense;
    - (B) The appointment of counsel;
    - (C) The fair compensation of counsel appointed to represent a person financially eligible for appointed counsel at state expense;



- (D) Appointed counsel compensation disputes;
- (E) Any other costs associated with the representation of a person by appointed counsel in the state courts that are required to be paid by the state under ORS 34.355, 135.055, 138.500, 138.590, 161.346, 161.348, 161.365, 419A.211, 419B.201, 419B.208, 419B.518, 419B.908, 419C.206, 419C.209, 419C.408, 419C.535, 426.100, 426.135, 426.250, 426.307, 427.265, 427.295, 436.265 or 436.315 or any other provision of law that expressly provides for payment of such compensation, costs or expenses by the commission;
- (F) Professional qualifications for counsel appointed to represent public defense clients;
- (G) Performance for legal representation;
- (H) The contracting of public defense services;
- (I) Contracting with expert witnesses to allow contracting with out-of-state expert witnesses only if in-state expert witnesses are not available or are more expensive than out-of-state expert witnesses; and
- (J) Any other matters necessary to carry out the duties of the commission.
- (g) Establish a peer review system for the approval of nonroutine fees and expenses incurred in cases involving aggravated murder and the crimes listed in ORS 137.700 and 137.707. The review shall be conducted by a panel of attorneys who practice in the area of criminal defense.
- (h) Establish a complaint process that allows district attorneys, criminal defense counsel and the public to file complaints concerning the payment from public funds of nonroutine fees and expenses incurred in cases.
- (i) Reimburse the State Court Administrator from funds deposited in the [subaccount] **Public Defense Services Account** established [under] by ORS 151.225 for the costs of personnel and other costs associated with location of eligibility verification and screening personnel pursuant to ORS 151.489 by the State Court Administrator.
- (2) Policies, procedures, standards and guidelines adopted by the commission supersede any conflicting rules, policies or procedures of the Public Defender Committee, State Court Administrator, circuit courts, the Court of Appeals, the Supreme Court, the Psychiatric Security Review Board and the Oregon Health Authority related to the exercise of the commission's administrative responsibilities under this section and transferred duties, functions and powers as they occur.
- (3) The commission may accept gifts, grants or contributions from any source, whether public or private. However, the commission may not accept a gift, grant or contribution if acceptance would create a conflict of interest. Moneys accepted under this subsection shall be deposited in the Public Defense Services Account [created in] **established** by ORS 151.225 and expended for the purposes for which given or granted.
- (4) The commission may not:
- (a) Make any decision regarding the handling of any individual case;
- (b) Have access to any case file; or
- (c) Interfere with the director or any member of the staff of the director in carrying out professional duties involving the legal representation of public defense clients.
- SECTION 43.** ORS 151.487 is amended to read:
- 151.487. (1) If in determining that a person is financially eligible for appointed counsel under ORS 151.485, the court finds that the person has financial resources that enable the person to pay in full or in part the administrative costs of determining the eligibility of the person and the costs of the legal and other services to be provided at state expense that are related to the provision of appointed counsel, the court shall enter a limited judgment requiring that the person pay to the Public Defense Services Account [in the *General Fund*] **established** by ORS 151.225, through the clerk of the court, the amount that it finds the person is able to pay without creating substantial hardship in providing basic economic necessities to the person or the person's dependent family. The amount that a court may require the person to pay is subject to the guidelines and procedures issued by the Public Defense Services Commission as provided in subsection (4) of this section.
- (2) Failure to comply with the requirements of a limited judgment entered under this section is not grounds for contempt or grounds for withdrawal by the appointed attorney.

(3) Except as authorized in this section, [no] a person, organization or governmental agency may not request or accept a payment or promise of payment for assisting in the representation of a person by appointment.

(4) The commission shall promulgate and issue guidelines and procedures:

(a) For the determination of persons provided with appointed counsel who have some financial resources to pay in full or in part the administrative, legal and other costs under subsection (1) of this section; and

(b) Regarding the amounts persons may be required to pay by a court under subsection (1) of this section.

(5) The determination that a person is able to pay or partially able to pay, or that a person no longer has the ability to pay the amount ordered in subsection (1) of this section, is subject to review at any time by the court.

**SECTION 44.** ORS 419A.170 is amended to read:

419A.170. (1) In every case under ORS chapter 419B, the court shall appoint a court appointed special advocate. The court appointed special advocate is deemed a party in these proceedings, and in the furtherance thereof, may be represented by counsel, file pleadings and request hearings and may subpoena, examine and cross-examine witnesses. If the court appointed special advocate is represented by counsel, counsel shall be paid from funds available to the Court Appointed Special Advocate Volunteer Program. *[No funds from the Public Defense Services Account or] Counsel representing a court appointed special advocate may not be paid from moneys in the Public Defense Services Account established by ORS 151.225, from moneys appropriated to the Public Defense Services Commission or from Judicial Department operating funds [may be used for this purpose].*

(2) Subject to the direction of the court, the duties of the court appointed special advocate are to:

(a) Investigate all relevant information about the case;

(b) Advocate for the child or ward, ensuring that all relevant facts are brought before the court;

(c) Facilitate and negotiate to ensure that the court, Department of Human Services, if applicable, and the child or ward's attorney, if any, fulfill their obligations to the child or ward in a timely fashion; and

(d) Monitor all court orders to ensure compliance and to bring to the court's attention any change in circumstances that may require a modification of the court's order.

(3) If a juvenile court does not have available to it a CASA Volunteer Program, or a sufficient number of qualified CASA volunteers, the court may, in fulfillment of the requirements of this section, appoint a juvenile department employee or other suitable person to represent the child or ward's interest in court pursuant to ORS 419A.012 or 419B.195.

(4) Any person appointed as a court appointed special advocate in any judicial proceeding on behalf of the child or ward is immune from any liability for defamation or statements made in good faith by that person, orally or in writing, in the course of the case review or judicial proceeding.

(5) Any person appointed as a court appointed special advocate, CASA Volunteer Program director, CASA Volunteer Program employee or member of the board of directors or trustees of any CASA Volunteer Program is immune from any liability for acts or omissions or errors in judgment made in good faith in the course or scope of that person's duties or employment as part of a CASA Volunteer Program.

(6) Whenever the court appoints a court appointed special advocate or other person under subsections (1) to (3) of this section to represent the child or ward, it may require a parent, if able, or guardian of the estate, if the estate is able, to pay, in whole or in part, the reasonable costs of CASA services including reasonable attorney fees. The court's order of payment is enforceable in the same manner as an order of support under ORS 419B.408.

(7) Upon presentation of the order of appointment by the court appointed special advocate, any agency, hospital, school organization, division, office or department of the state, doctor, nurse or other health care provider, psychologist, psychiatrist, police department or mental health clinic shall

permit the court appointed special advocate to inspect and copy, and may consult with the court appointed special advocate regarding, any records relating to the child or ward involved in the case, without the consent of the child, ward or parents.

(8) All records and information acquired or reviewed by a court appointed special advocate during the course of official duties are deemed confidential under ORS 419A.255.

(9) For the purposes of a Child Abuse Prevention and Treatment Act (42 U.S.C. 5101 et seq.) grant to this state under Public Law No. 93-247, or any related state or federal legislation, a court appointed special advocate or other person appointed pursuant to subsections (1) to (3) of this section is deemed a guardian ad litem to represent the interests of the child or ward in proceedings before the court.

(10) There is created a Court Appointed Special Advocate (CASA) Fund in the General Fund. The fund consists of all moneys credited to it. Moneys in the Court Appointed Special Advocate Fund are continuously appropriated to the State Commission on Children and Families and may be used only to carry out the purposes of this section. The commission may apply for and receive funds from federal and private sources for carrying out the provisions of this section.

(11) The state commission may expend moneys from the Court Appointed Special Advocate Fund directly or indirectly through contracts or grants for the creation, supervision and operation of CASA Volunteer Programs statewide. The commission may also expend moneys from the Court Appointed Special Advocate Fund to pay the reasonable costs of its administration of the Court Appointed Special Advocate Fund. The commission shall adopt rules for carrying out its responsibilities under this section.

**SECTION 45.** ORS 419A.211 is amended to read:

419A.211. (1) If the child, ward, youth, youth offender, parent or guardian is determined to be entitled to, and financially eligible for, appointment of counsel at state expense in an appeal as provided in ORS 419A.200 and 419A.208, the court, upon request of the person or upon its own motion, shall appoint suitable counsel to represent the person. Counsel appointed by the court shall be paid compensation determined by the public defense services executive director as provided in ORS 135.055. If the circuit court is the appellate court or as provided in ORS 138.500 if the Court of Appeals or the Supreme Court is the appellate court. The court may not substitute one appointed counsel for another except pursuant to the policies, procedures, standards and guidelines of the Public Defense Services Commission.

(2)(a) When the court appoints counsel to represent the child, ward, youth or youth offender, it may order the parent, if able, or guardian of the estate, if the estate is able, to pay to the Public Defense Services Account [in the *General Fund*] **established by ORS 151.225**, through the clerk of the court, in full or in part the administrative costs of determining the ability of the parents or estate to pay for legal services and the costs of the legal and other services that are related to the provision of appointed counsel.

(b) The test of the parent's or estate's ability to pay costs under paragraph (a) of this subsection is the same test as applied to appointment of counsel for defendants under ORS 151.216. If counsel is provided at state expense, the court shall apply this test in accordance with the guidelines adopted by the Public Defense Services Commission under ORS 151.485.

(c) If counsel is provided at state expense, the court shall determine the amount the parents or estate is required to pay for the costs of administrative, legal and other services related to the provision of appointed counsel in the same manner as this amount is determined under ORS 151.487.

(d) The court's order of payment is enforceable in the same manner as an order of support under ORS 419B.408 and 419C.600.

(3) When the court appoints counsel and the child, ward, youth, youth offender, parent or guardian has been determined to be entitled to, and financially eligible for, appointed counsel at state expense, the compensation for counsel and costs and expenses necessary to the appeal shall be determined and paid as provided in ORS 135.055 if the circuit court is the appellate court or as provided in ORS 138.500 if the Court of Appeals or the Supreme Court is the appellate court.

**SECTION 46.** ORS 419B.198 is amended to read:

419B.198. (1) When the court appoints counsel to represent a child or ward, it may order the parent, if able, or guardian of the estate, if the estate is able, to pay to the Public Defense Services Account [in the *General Fund*] **established by ORS 151.225**, through the clerk of the court, in full or in part the administrative costs of determining the ability of the parents or estate to pay for legal services and the costs of the legal and other services that are related to the provision of appointed counsel.

(2) The test of the parent's or estate's ability to pay costs under subsection (1) of this section is the same test as applied to appointment of counsel for defendants under ORS 135.050 or under the policies, procedures, standards and guidelines adopted under ORS 151.216. If counsel is provided at state expense, the court shall apply this test in accordance with the guidelines adopted by the Public Defense Services Commission under ORS 151.485.

(3) If counsel is provided at state expense, the court shall determine the amount the parents or estate is required to pay for the costs of administrative, legal and other services related to the provision of appointed counsel in the same manner as this amount is determined under ORS 151.487.

(4) The court's order of payment is enforceable in the same manner as an order of support under ORS 419B.408.

**SECTION 47.** ORS 419C.203 is amended to read:

419C.203. (1) When the court appoints counsel to represent a youth, it may order the youth, if able, parent, if able, or guardian of the estate, if the estate is able, to pay to the Public Defense Services Account [in the *General Fund*] **established by ORS 151.225**, through the clerk of the court, in full or in part the administrative costs of determining the ability of the youth, parents or estate to pay for legal services and the costs of the legal and other services that are related to the provision of appointed counsel.

(2) The test of the youth's, parent's or estate's ability to pay costs under subsection (1) of this section is the same test as applied to appointment of counsel for defendants under ORS 135.050 or under the policies, procedures, standards and guidelines adopted under ORS 151.216. If counsel is provided at state expense, the court shall apply this test in accordance with the guidelines adopted by the Public Defense Services Commission under ORS 151.485.

(3) If counsel is provided at state expense, the court shall determine the amount the youth, parents or estate is required to pay for the costs of administrative, legal and other services related to the provision of appointed counsel in the same manner as this amount is determined under ORS 151.487.

(4) In determining whether to order the youth to pay costs under subsection (1) of this section, the court shall also consider the reformative effect of having the youth pay. The court may order that a portion of any moneys earned by the youth in juvenile work projects be used to pay costs ordered under subsection (1) of this section.

(5) The court's order of payment is enforceable in the same manner as an order of support under ORS 419C.600.

**SECTION 48.** ORS 419C.535 is amended to read:

419C.535. (1) If the juvenile panel of the Psychiatric Security Review Board determines that a young person about whom a hearing under ORS 419C.532 is being held is financially eligible, the juvenile panel shall appoint suitable counsel to represent the young person. Counsel appointed must be an attorney who satisfies the professional qualification standards established by the Public Defense Services Commission under ORS 151.216. The public defense services executive director shall determine and allow fair compensation for counsel appointed under this subsection and the reasonable expenses of the young person in respect to the hearing. Compensation payable to appointed counsel may not be less than the applicable compensation level established under ORS 151.216. The public defense services executive director shall pay compensation and expenses allowed from funds available for that purpose.

(2) When the juvenile panel appoints counsel to represent the young person, the juvenile panel may order the young person, if able, parent, if able, or guardian of the estate, if the estate is able, to pay to the Public Defense Services Account [in the *General Fund*] **established by ORS 151.225**.

through the clerk of the court, in full or in part, the administrative costs of determining the ability of the young person, parent or estate to pay for legal services and the costs of the legal and other services that are related to the provision of appointed counsel. The juvenile panel's order of payment may be entered in the County Clerk Lien Record and enforced as provided in ORS 205.126.

(3) The test of the young person's, parent's or estate's ability to pay costs under subsection (2) of this section is the same test as applied to appointment of counsel for defendants under ORS 135.050 or under the rules adopted under ORS 151.216. If counsel is provided at state expense, the juvenile panel shall apply this test in accordance with the guidelines adopted by the Public Defense Services Commission under ORS 151.485.

(4) If counsel is provided at state expense, the juvenile panel shall determine the amount the young person, parent or estate is required to pay for the costs of administrative, legal and other services related to the provision of appointed counsel in the same manner as this amount is determined under ORS 151.487.

(5) The Attorney General may represent the state at contested hearings before the juvenile panel unless the district attorney of the county in which the young person was adjudicated elects to represent the state. The district attorney of the county in which the young person was adjudicated shall cooperate with the Attorney General in securing the material necessary for presenting a contested hearing before the juvenile panel. If the district attorney elects to represent the state, the district attorney shall give timely written notice to the Attorney General, the juvenile panel and the attorney representing the young person.

SECTION 49. Section 38 of this 2012 Act and the amendments to ORS 45.275, 45.285, 135.050, 151.216, 151.225, 151.487, 419A.170, 419A.211, 419B.198, 419C.203 and 419C.535 by sections 37 and 39 to 48 of this 2012 Act become operative on July 1, 2012.

#### STATE AGENCY FEE APPROVAL

SECTION 50. For the purpose of carrying out the provisions of ORS 291.055 (1)(e), the following new or increased fees, adopted by the Oregon Health Authority and approved by the Oregon Department of Administrative Services, are approved for the Oregon environmental laboratory accreditation program:

(1) Out of state laboratory, application:		
(a) Tier 1 .....	\$	1,375
(b) Tier 2 .....	\$	2,200
(c) Tier 3 .....	\$	3,300
(2) Out of state laboratory, fields of testing assessment:		
(a) Basic assessment .....	\$	100
(b) Moderate assessment .....	\$	385
(c) Complex assessment .....	\$	550
(3) Out of state laboratory, additional matrix:		
(a) Basic .....	\$	11
(b) Moderate .....	\$	44
(c) Complex .....	\$	83
(4) Biological tissue matrix:		
(a) Out of state, moderate .....	\$	44
(b) Out of state, complex .....	\$	83
(c) In state, moderate .....	\$	40
(d) In state, complex .....	\$	75

SECTION 51. For the purpose of carrying out the provisions of ORS 291.055 (1)(e), the following new or increased fees, adopted by the Department of Veterans' Affairs and ap-

proved by the Oregon Department of Administrative Services, are approved for the conservatorship program:

(1) Maintaining property:		
(a) Real property management.....	\$	40 per hour
(b) Real property appraisal.....	Actual cost	
(c) Real property inspection.....	\$	50
(2) Representative payee fee:.....	per inspection	
	Four	
	percent of	
	value of	
	managed funds	

SECTION 52. For the purpose of carrying out the provisions of ORS 291.055 (1)(e), the following new or increased fees, adopted by the Oregon Health Licensing Agency and approved by the Oregon Department of Administrative Services, are approved:

(1) Board of Body Art Practitioners:		
(a) Application:		
(A) Application: practitioner.....	\$	50
(B) Application: facility.....	\$	100
(C) Application by reciprocity.....	\$	150
(b) Examination:		
(A) Examination: practical.....	\$	100
(B) Examination: written.....	\$	50
(c) Original issuance of license:		
(A) Original license.....	\$	50
(B) Original license: facility.....	\$	150
(d) License renewal:		
(A) License renewal: BP training license, dermal implant, scarification, advanced body piercing.....	\$	50
(B) License renewal: electrology.....	\$	25
(C) License renewal: facility.....	\$	150
(e) Online license renewal:		
(A) Tattoo, dermal implant, scarification, BP technician.....	\$	45
(B) Electrology, BP temporary earlobe.....	\$	20
(C) Facility.....	\$	125
(F) Information packets.....	\$	10
(2) Respiratory Therapist and Polysomnographic Technologist Licensing Board:		
(a) Application.....	\$	50
(b) Original license.....	\$	50
(c) License renewal.....	\$	50
(d) Online license renewal.....	\$	45
(e) Examination - Oregon Laws and rules.....	\$	50
(f) Information packets.....	\$	10
(3) Nursing Home Administrators Board:		

(a)	Application:		
(A)	Application: original license.....	\$	100
(B)	Application: provisional license .....	\$	50
(C)	Application by reciprocity.....	\$	100
(b)	Original issuance of license:		
(A)	Original license - per year .....	\$	130
(B)	Original license by reciprocity - for one year.....	\$	130
(c)	Preceptor registration .....	\$	100
(d)	License renewal - per year .....	\$	130
(e)	Affidavit of licensure .....	\$	50
(f)	Delinquency - per year, up to three years .....	\$	50
(g)	Information packets.....	\$	10
(4)	Board of Licensed Dietitians:		
(a)	Original license - for one year..	\$	75
(b)	License renewal - per year .....	\$	75
(5)	State Board of Direct Entry Midwifery:		
(a)	Original license .....	\$	1,200
(b)	License renewal .....	\$	1,200

JUDICIAL DEPARTMENT

SECTION 53. Notwithstanding ORS 1.178 and 31.735, the amount of \$6,552,125 is transferred from the State Court Facilities and Security Account to the General Fund for general governmental purposes.

DEPARTMENT OF ENVIRONMENTAL QUALITY

SECTION 54. Notwithstanding ORS 468A.400, the amount of \$3,000,000 is transferred from the Department of Environmental Quality Motor Vehicle Pollution Account to the General Fund for general governmental purposes.

OREGON UNIVERSITY SYSTEM

SECTION 55. Notwithstanding any provision of section 2, chapter 788, Oregon Laws 2005, as amended by section 2, chapter 746, Oregon Laws 2007, section 149, chapter 783, Oregon Laws 2007, sections 2 and 2a, chapter 906, Oregon Laws 2009, and section 6, chapter 70, Oregon Laws 2010, for the biennium beginning July 1, 2011, the Oregon University System may use proceeds of lottery bonds issued pursuant to section 2, chapter 788, Oregon Laws 2005, as amended by section 2, chapter 746, Oregon Laws 2007, section 149, chapter 783, Oregon Laws 2007, sections 2 and 2a, chapter 906, Oregon Laws 2009, and section 6, chapter 70, Oregon Laws 2010, to pay debt service on lottery bonds.

JUDICIAL DEPARTMENT AND LEGISLATIVE ASSEMBLY

SECTION 56. (1) Any difference between the amount appropriated for a biennium to the judicial department as defined in ORS 174.113, including amounts appropriated to any agency of the judicial department, and the amount of the appropriation actually expended on or be-

fore the end of the biennium, is continuously appropriated to the judicial department for payment of expenses of the judicial department for the next biennium.

(2) Any difference between the amount appropriated for a biennium to the legislative department as defined in ORS 174.114, including amounts appropriated to any agency of the legislative department, and the amount of the appropriation actually expended on or before the end of the biennium, is continuously appropriated to the legislative department for payment of expenses of the legislative department for the next biennium.

SECTION 57. Section 56 of this 2012 Act first applies to any moneys remaining from appropriations made for the biennium ending June 30, 2011.

SECTION 58. ORS 293.190 is amended to read:

293.190. (1) On December 31 in each odd-numbered year, all General Fund appropriation balances as recorded on the records of the Oregon Department of Administrative Services for the prior biennium shall revert to the General Fund except for capital construction, continuing contracts, contested claims, special appropriations designated by legislative action, **appropriations described in section 56 of this 2012 Act** or savings continuously appropriated to agencies under ORS 291.120.

(2) On December 31 in each odd-numbered year, all limitation balances on any separate fund or cash account in the State Treasury shall be canceled except for continuing contracts, contested claims or special limitations designated by legislative action.

(3) Notwithstanding subsections (1) and (2) of this section, under conditions which shall be described by the department by rule, upon request, an extension may be granted to allow an agency to make final analyses and corrections before an appropriation or limitation is canceled. The procedures for requesting an extension and the criteria for approving the request shall be established by the department.

#### FINANCING AGREEMENTS

SECTION 59. ORS 182.460 is amended to read:

182.460. (1) Except as provided in subsections (2) and (3) of this section and as otherwise provided by law, the provisions of **ORS 283.085 to 283.092** and ORS chapters 240, 276, 279A, 279B, 279C, 282, 283, 291, 292 and 293 do not apply to a board. A board is subject to all other statutes governing a state agency that do not conflict with ORS 182.456 to 182.472, including the tort liability provisions of ORS 30.260 to 30.300 and the provisions of ORS chapter 183, and a board's employees are included within the Public Employees Retirement System.

(2) Notwithstanding subsection (1) of this section, the following provisions apply to a board:

(a) ORS 240.309 (1) to (6) and 240.321;

(b) ORS 279A.250 to 279A.290;

(c) ORS 282.210 to 282.230; and

(d) ORS 293.240.

(3) Notwithstanding subsection (1) of this section, ORS chapter 240 applies to the Oregon Board of Optometry, the State Board of Massage Therapists and the Physical Therapist Licensing Board.

(4) In carrying out the duties, functions and powers of a board, the board may contract with any state agency for the performance of duties, functions and powers as the board considers appropriate. A state agency may not charge a board an amount that exceeds the actual cost of those services. ORS 182.456 to 182.472 do not require an agency to provide services to a board other than pursuant to a voluntary interagency agreement or contract.

(5) A board shall adopt personnel policies and contracting and purchasing procedures. The Oregon Department of Administrative Services shall review those policies and procedures for compliance with applicable state and federal laws and collective bargaining contracts.

(6) Except as otherwise provided by law, directors and employees of a board are eligible to receive the same benefits as state employees and are entitled to retain their State of Oregon hire dates, transfer rights and job bidding rights, all without loss of seniority, and to the direct transfer of all accumulated state agency leaves.



**SECTION 60.** ORS 284.118 is amended to read:

284.118. (1) Except as provided in subsection (2) of this section, the provisions of ORS 200.035 **landl**, 279.835 to 279.855 **and 283.085 to 283.092** and ORS chapters 240, 276, 279A, 279B, 279C, 282, 283, 291, 292 and 293 do not apply to the Oregon Tourism Commission. The commission is subject to all other statutes governing a state agency that do not conflict with ORS 284.101 to 284.146, including the tort liability provisions of ORS 30.260 to 30.300 and the provisions of ORS chapter 183. The employees of the commission are included within the Public Employees Retirement System.

(2) Notwithstanding subsection (1) of this section, the following provisions apply to the commission:

(a) ORS 279A.250 to 279A.290;

(b) ORS 292.495; and

(c) ORS 293.235, 293.240, 293.245, 293.250, 293.611, 293.625 and 293.630.

(3) In carrying out the duties, functions and powers of the commission, the commission may contract with any state agency for the performance of duties, functions and powers as the commission considers appropriate. A state agency may not charge the commission an amount that exceeds the actual cost of those services. ORS 284.101 to 284.146 do not require a state agency to provide services to the commission other than pursuant to a voluntary interagency agreement or contract.

(4) The commission shall adopt personnel policies and contracting and purchasing procedures. The Oregon Department of Administrative Services shall review those policies and procedures for compliance with applicable state and federal laws and collective bargaining contracts.

(5) Except as otherwise provided by law, members and employees of the commission are eligible to receive the same benefits as state employees and are entitled to retain their State of Oregon hire dates, transfer rights and job bidding rights, all without loss of seniority, and to the direct transfer of all accumulated state agency leaves.

**SECTION 61.** ORS 284.375 is amended to read:

284.375. (1) Except as otherwise provided by law, ORS 279.835 to 279.855 **and 283.085 to 283.092** and ORS chapters 240, 276, 279A, 279B, 279C, 282, 283, 291, 292 and 293 do not apply to the Oregon Film and Video Office.

(2) Notwithstanding subsection (1) of this section, ORS 279A.100, 279A.250 to 279A.290, 282.210 to 282.230, 293.235, 293.240, 293.245, 293.260, 293.262, 293.611, 293.625 and 293.630 apply to the Oregon Film and Video Office.

**SECTION 62.** ORS 353.100 is amended to read:

353.100. (1) The provisions of ORS chapters 35, 190, 192, 244 and 295 and ORS 30.260 to 30.460, 200.005 to 200.025, 200.045 to 200.090, 236.605 to 236.640, 243.650 to 243.782, 297.040, 307.090 and 307.112 apply to Oregon Health and Science University under the same terms as they apply to public bodies other than the state.

(2) Except as otherwise provided by law, the provisions of ORS chapters 182, 183, 240, 270, 273, 276, 279A, 279B, 279C, 283, 291, 292, 293, 294 and 297 and ORS 35.550 to 35.575, 180.060, 180.210 to 180.235, 183.710 to 183.725, 183.745, 183.750, 184.305 to 184.345, 190.430, 190.480, 190.490, 192.105, 200.035, 243.105 to 243.585, 243.696, 278.011 to 278.120, 278.315 to 278.415, 279.835 to 279.855, 282.010 to 282.150, **283.085 to 283.092**, 357.805 to 357.895 and 656.017 (2) do not apply to the university or any not-for-profit organization or other entity if the equity of the entity is owned exclusively by the university and if the organization or entity is created by the university to advance any of the university's statutory missions.

(3) The university, as a distinct governmental entity, or any organization or entity described in subsection (2) of this section is not subject to any provision of law enacted after January 1, 1995, with respect to any governmental entity, unless the provision specifically provides that it applies to the university or to the organization or entity.

**SECTION 63.** ORS 377.836 is amended to read:

377.836. (1) Except as otherwise provided by law, and except as provided in subsection (2) of this section, the provisions of ORS 279.835 to 279.855 **and 283.085 to 283.092** and ORS chapters 240, 276, 279A, 279B, 279C, 282, 283, 291, 292 and 293 do not apply to the Travel Information Council. The

council is subject to all other statutes governing a state agency that do not conflict with ORS 377.700 to 377.840, including the tort liability provisions of ORS 30.260 to 30.300 and the provisions of ORS chapter 183. Subject to the requirements of ORS chapters 238 and 238A, the council's employees are members of the Public Employees Retirement System.

(2) The following shall apply to the council:

(a) ORS 279A.250 to 279A.290;

(b) ORS 282.210 to 282.230; and

(c) ORS 293.235, 293.240, 293.245, 293.611, 293.625 and 293.630.

**SECTION 64.** ORS 421.352 is amended to read:

421.352. (1) The provisions of ORS chapters 182, 183, 240, 270, 273, 276, 279A, 279B, 279C, 283, 291, 292 and 293 and ORS 35.550 to 35.575, 183.710 to 183.725, 183.745, 183.750, 184.345, 190.430, 190.490, 200.035, 236.605 to 236.640, 243.303, 243.305, 243.315, 243.325 to 243.335, 243.345, 243.350, 243.696, 279.835 to 279.855, 282.010 to 282.150, **283.085 to 283.092** and 656.017 (2) do not apply to Oregon Corrections Enterprises.

(2) Oregon Corrections Enterprises is not subject to any provision of law enacted after December 2, 1999, that governs state agencies generally unless the provision specifically provides that it applies to Oregon Corrections Enterprises.

**SECTION 65.** ORS 461.120 is amended to read:

461.120. (1)(a) Except as otherwise provided by law, the provisions of ORS 279.835 to 279.855 and ORS chapters 279A, 279B, 279C, 282 and 283 do not apply to the Oregon State Lottery Commission unless otherwise provided by this chapter.

(b) Officers and employees of the Oregon State Lottery Commission are in the exempt service for purposes of ORS chapter 240 and other related statutes.

(c) ORS 276.004 (2), 276.021, 276.093 to 276.098, 276.410 to 276.426, 276.428, 276.440, **283.085 to 283.092**, 291.038, 291.201 to 291.260 and 292.210 to 292.250 do not apply to the Oregon State Lottery Commission.

(d) ORS 293.075, 293.190, 293.205 to 293.225 and 293.275 do not apply to the Oregon State Lottery Commission.

(e) ORS 279A.100 and ORS chapters 659 and 659A apply to the Oregon State Lottery Commission.

tion.

(f) Notwithstanding paragraph (a) of this subsection, the provisions of ORS 282.210 shall apply to the Oregon State Lottery Commission.

(2) The commission shall, in accordance with ORS chapter 183, adopt and enforce rules to carry out the provisions of this chapter.

**SECTION 66.** ORS 576.306 is amended to read:

576.306. (1) A commodity commission may contract with an independent contractor for the performance of any services. However, the commission may not contract with an independent contractor to perform the discretionary functions of the commission. ORS 279.835 to 279.855 and ORS chapters 240, 279A, 279B and 279C do not apply to the commission in obtaining such services, except that *[no contract for such services shall]* a contract for such services may not take effect until approved by the State Department of Agriculture as provided in subsection (7) of this section.

(2) The commission may rent space or acquire supplies and equipment from any contractor as described in subsection (1) of this section. ORS chapters 276, 278, 279A, 279B, 279C and 283 and ORS 279.835 to 279.855, **283.085 to 283.092** and 291.038 do not apply to such rentals or acquisitions.

(3) Except as provided in this section, a contractor described in subsection (1) of this section shall be considered an independent contractor and not an employee, eligible employee, public employee or employee of the state for purposes of Oregon law, including ORS chapters 236, 238, 238A, 240, 243, 291, 292, 316 and 652.

(4) Nothing in this section precludes the state or a commission from being considered the employer of the contractor described in subsection (1) of this section for purposes of unemployment compensation under ORS chapter 657 and ORS 670.600.

(5) A contractor described in subsection (1) of this section shall be considered an independent contractor and not a worker for purposes of ORS chapter 656 and ORS 670.600.

(6) A contractor described in subsection (1) of this section may not be considered a public official, public officer, state officer or executive official for purposes of Oregon law, including ORS chapters 236, 244, 292, 295 and 297 and ORS 171.725 to 171.785.

(7) The State Department of Agriculture shall review the contract described in subsection (1) of this section for the adequacy of the clauses pertaining to statement of work, starting and ending dates, consideration, subcontracts, funds authorized in the budget, amendments, termination, compliance with applicable law, assignment and waiver, access to records, indemnity, ownership of work product, nondiscrimination, successors in interest, attorney fees, tax certification or merger or any other clause the department deems necessary.

(8) The Oregon Department of Administrative Services, in consultation with the State Department of Agriculture, shall adopt rules necessary for the screening and selection of independent contractors under this section.

(9) Except as provided in subsection (8) of this section, the State Department of Agriculture may promulgate any rules necessary for the administration and enforcement of this section.

**SECTION 67.** ORS 656.753 is amended to read:

**656.753. (1)** Except as otherwise provided by law, the provisions of ORS 279.835 to 279.855 and **283.085 to 283.092** and ORS chapters 240, 276, 279A, 279B, 279C, 282, 283, 291, 292 and 293 do not apply to the State Accident Insurance Fund Corporation.

(2) In carrying out the duties, functions and powers imposed by law upon the State Accident Insurance Fund Corporation, the board of directors or the manager of the State Accident Insurance Fund Corporation may contract with any state agency for the performance of such duties, functions and powers as the corporation considers appropriate.

(3) Notwithstanding subsection (1) or (2) of this section, ORS 293.240 except for appeals pursuant to ORS 737.318, ORS 293.260, 293.262 and 293.505 (2) shall apply to the directors, manager, assistants and accounts of the State Accident Insurance Fund Corporation and any subsidiary corporation formed or acquired by the State Accident Insurance Fund Corporation.

(4) Notwithstanding subsection (1) or (2) of this section, ORS 243.305, 279A.100 and 659A.012 apply to the directors, manager and employees of the State Accident Insurance Fund Corporation.

**SECTION 68.** ORS 741.250 is amended to read:

741.250. (1) Except as otherwise provided by law, the provisions of ORS 279.835 to 279.855 and **283.085 to 283.092** and ORS chapters 240, 276, 279A, 279B, 279C, 282, 283, 291, 292 and 293 do not apply to the Oregon Health Insurance Exchange Corporation.

(2) In carrying out the duties, functions and powers imposed by law upon the corporation, the corporation board of directors or the executive director of the corporation may contract with any state agency or other qualified person or entity for the performance of such duties, functions and powers as the board or executive director considers appropriate.

(3) ORS 30.210 to 30.250, 30.260 to 30.300, 30.310, 30.312, 30.390 and 30.400 apply to the members of the board, the executive director and employees of the corporation.

(4) Notwithstanding subsection (1) of this section, ORS [293.235,] 293.240, 293.245, 293.260, 293.262, 293.611, 293.625 and 293.630 apply] **applies** to the accounts of the corporation.

(5) Notwithstanding subsections (1) and (2) of this section, ORS 243.305, 279A.100 and 659A.012 apply to the members of the board, executive director and employees of the corporation.

**SECTION 69.** ORS 757.552 is amended to read:

757.552. (1) It is the function of the board of directors to operate the Oregon Utility Notification Center, through which a person shall notify operators of underground facilities of proposed excavations and request that the underground facilities be marked.

(2) The board of directors shall:

(a) Utilize a competitive process to contract with any qualified person to provide the notification required under subsection (1) of this section.

(b) Subject to subsection (3) of this section, establish rates, on a per call basis, under which subscribers shall pay to fund all of the activities of the Oregon Utility Notification Center.

(c) Adopt rules according to ORS chapter 183 that regulate the notification and marking of underground facilities to prevent damage to underground facilities. The rules, insofar as is practicable, shall be consistent with the Oregon Utilities Coordinating Council Standards Manual of March 31, 1995.

(3) The Oregon Utility Notification Center shall have all of the powers of a state agency. Except as provided in subsection (2) of this section, the provisions of ORS 279.835 to 279.855 and **283.085 to 283.092** and ORS chapters 240, 276, 279A, 279B, 279C, 282, 283, 291, 292 and 293 [shall] do not apply to the Oregon Utility Notification Center.

(4) Notwithstanding subsection (2)(b) of this section, the board of directors shall not establish rates or other charges that require payments from any subscriber who receives fewer than 50 telephone calls in the calendar year or that result in annual payments of more than \$500 for any of the following subscribers:

(a) Cities with a population under 15,000;

(b) Telecommunications utilities serving fewer than 50,000 access lines and regulated by the Public Utility Commission under ORS chapter 759;

(c) Cable system operators serving fewer than 15,000 customers;

(d) Utilities, special districts, people's utility districts or authorities providing electricity, water or sanitary sewer service to fewer than 15,000 residential customers; and

(e) Telecommunications cooperatives.

**SECTION 70.** ORS 757.822 is amended to read:

757.822. (1) Except as provided in subsection (2) of this section, the provisions of ORS chapters 35 [I], other than ORS 35.550 to 35.575[I], 180, 190, 192 and 244 and ORS 30.260 to 30.460, 200.005 to 200.025, 200.045 to 200.090, 221.450, 236.605 to 236.640, 243.650 to 243.782 [I], other than [ORS] 243.696[I], 297.040, 307.090 and 307.112 apply to Oregon Community Power under the same terms as they apply to any other subdivision of state government.

(2) Except as otherwise provided by law, the provisions of ORS chapters 182, 183, 238, 238A, 240, 270, 273, 276, 279A, 279B, 279C, 283, 286A, 291, 292, 293, 294, 295 and 297 and ORS 35.550 to 35.575, 183.710 to 183.725, 183.745, 183.750, 184.305 to 184.345, 190.430, 190.480, 190.490, 192.105, 200.035, 243.105 to 243.585, 243.696, 278.011 to 278.120, 278.315 to 278.415, 279.835 to 279.855, 282.010 to 282.150, **283.085 to 283.092**, 287A.140, 287A.150, 287A.472 and 656.017 (2) do not apply to Oregon Community Power.

(3) Oregon Community Power is not a participating public employer in the Public Employees Retirement System.

(4) Any funds held by or under the control of Oregon Community Power are not public funds, as defined in ORS 295.001.

**SECTION 71.** ORS 774.190 is amended to read:

774.190. (1) ORS 279.835 to 279.855 and **283.085 to 283.092** and ORS chapters 278, 279A, 279B, 279C, 282, 283, 291, 292, 293, 295 and 297 do not apply to Citizens' Utility Board or to the administration and enforcement of this chapter. An employee of Citizens' Utility Board [shall not be] is not considered an "employee" as the term is defined in the public employees retirement laws. Citizens' Utility Board and its employees [shall be] are exempt from the provisions of the State Personnel Relations Law.

(2) ORS chapter 183 does not apply to determinations and actions by the board.

(3) The board, and any of the officers, employees, agents or members of Citizens' Utility Board shall be provided the same protections from liability as the board, officers, employees, agents, or members of any nonprofit corporation of the State of Oregon.

## DEPARTMENT OF JUSTICE

SECTION 72. Notwithstanding ORS 180.095, 180.096, 646.775 and 646A.284, the amount of \$4,000,000 is transferred from the Department of Justice Protection and Education Revolving Account to the General Fund for general governmental purposes.

#### PUBLIC BODY INSURANCE

SECTION 73. Notwithstanding ORS 30.282, 30.285, 278.425, 655.515 and 655.540, the amount of \$13,300,000 is transferred from the Insurance Fund to the General Fund for general governmental purposes. The transfer shall be made not later than June 30, 2012.

#### CONCILIATION AND MEDIATION SERVICES, LAW LIBRARY SERVICES AND COURT FACILITIES

SECTION 74. (1) Notwithstanding sections 1 (1) and 2 (1), chapter 628, Oregon Laws 2011, amounts distributed to counties under sections 1 (2) and 2 (2), chapter 628, Oregon Laws 2011, may be used for:

- (a) Conciliation and mediation services in circuit courts; or
- (b) The operation of law libraries or the provision of law library services.
- (2) The governing body of the county may determine the amount to be spent on each of the two purposes specified in subsection (1) of this section from the amounts distributed to counties under sections 1 (2) and 2 (2), chapter 628, Oregon Laws 2011.
- (3) The provisions of this section apply only to counties containing less than 400,000 inhabitants, according to the latest federal decennial census.

SECTION 74a. (1) Notwithstanding section 2 (1), chapter 628, Oregon Laws 2011, of the amounts distributed to a county under section 2 (2), chapter 628, Oregon Laws 2011, the county may expend not more than \$716,000 for capital outlays for court facilities.

- (2) Notwithstanding sections 1 (1) and 2 (1), chapter 628, Oregon Laws 2011, the amounts that remain after any expenditure under subsection (1) of this section from the distributions to a county under sections 1 (2) and 2 (2), chapter 628, Oregon Laws 2011, may be used for:
  - (a) Conciliation and mediation services in circuit courts; or
  - (b) The operation of law libraries or the provision of law library services.
  - (3) The governing body of the county may determine the amount to be spent on each of the two purposes specified in subsection (2) of this section from the amounts that remain after any expenditure under subsection (1) of this section from the distributions to a county under sections 1 (2) and 2 (2), chapter 628, Oregon Laws 2011.
  - (4) The provisions of this section apply only to counties containing more than 400,000 inhabitants, according to the latest federal decennial census.

#### STATE COURT SECURITY, EMERGENCY PREPAREDNESS AND BUSINESS CONTINUITY

SECTION 75. ORS 1.177 is amended to read:

1.177. *[(1) The Chief Justice of the Supreme Court may appoint an Advisory Committee on State Court Security and Emergency Preparedness for the Supreme Court, Court of Appeals, Oregon Tax Court and office of the State Court Administrator.]*

*[(2) A committee appointed under this section shall meet at the call of the Chief Justice.]*  
*[(3) A committee appointed under this section shall submit to the Chief Justice a state plan for state court security improvement, emergency preparedness and business continuity for each building containing or utilized by the Supreme Court, Court of Appeals, Oregon Tax Court or office of the State Court Administrator. The plan shall include capital outlay needs and may include recommendations concerning.]*

- [(a) Procedures for the secure handling, transportation and disposal of hazardous substances and contraband in court proceedings;]*
- [(b) Emergency alarm systems accessible to all court employees;]*
- [(c) Physical security for judges, staff and the public;]*
- [(d) Procedures for emergency evacuation of buildings containing or utilized by the Supreme Court, Court of Appeals, Oregon Tax Court or office of the State Court Administrator;]*
- [(e) Procedures for identifying court security personnel, including a court security officer to be appointed by the Chief Justice, who shall be responsible for:]*
- [(A) The management of the plan;]*
- [(B) A regular security inspection of each building containing or utilized by the Supreme Court, Court of Appeals, Oregon Tax Court or office of the State Court Administrator; and]*
- [(C) Regular security training of sheriff's department, judicial department and district attorney personnel; and]*
- [(f) Priorities for available court facilities within the building based on the level of security needed.]*
- [(4) The plan may also include:]*
- [(a) An evaluation of how each of the items listed in subsection (3) of this section is being addressed and should be addressed;]*
- [(b) How practices, facilities and equipment falling below appropriate levels are to be improved;]*
- [(c) The anticipated cost of improving practices, facilities and equipment that fall below appropriate levels;]*
- [(d) The funding source for each improvement; and]*
- [(e) The time schedule for implementation of improvements.]*
- [(5) Adoption of a plan under this section is subject to the approval of the Chief Justice. The plan may conclude that state court facility security is adequate.]*
- [(6) Implementation of the elements of a plan that have a significant fiscal impact are subject to availability of funding.]*
- [(7) The plan adopted under this section shall be reviewed and revised or amended as needed, not later than June 30 of each odd-numbered year.]*
- (1) The Chief Justice of the Supreme Court may adopt state standards, and a state plan, for state court security, emergency preparedness and business continuity for facilities used by judges or staff of a circuit court, the Supreme Court, Court of Appeals or Oregon Tax Court or the office of the State Court Administrator.**
- (2) The Chief Justice may appoint a judicial security officer and other judicial security personnel charged with implementing a state plan adopted under subsection (1) of this section.**
- [(8)] (3) Except as provided in this subsection, a plan [prepared] adopted under this section [is] and all documents related to development of the plan are confidential and need not be disclosed under the provisions of ORS 192.410 to 192.505. The Chief Justice may authorize the disclosure of all or part of a plan prepared under this section if the Chief Justice determines that the interest of the public would be served by the disclosure and that the disclosure will not impair the integrity of the plan. Records of expenditures for a state court security plan and records of equipment purchased under the plan are not confidential under the provisions of this subsection, and are subject to disclosure as public records under the provisions of ORS 192.410 to 192.505.**
- SECTION 76.** ORS 1.178 is amended to read:
- 1.178. (1)** The State Court Facilities and Security Account is established separate and distinct from the General Fund. The account consists of moneys allocated to the account under the provisions of ORS 137.300. *Interest earned by the State Court Facilities and Security Account shall be credited to the account.* Moneys in the account are continuously appropriated to the State Court Administrator for the purposes described in subsection (2) of this section.
- (2)** Expenditures by the State Court Administrator from the State Court Facilities and Security Account shall be made only for the following purposes:

(a) Developing or implementing [a] the plan for state court security [improvement], emergency preparedness and business continuity adopted under ORS 1.177. Expenditures under this paragraph may not be used to fund positions in the judicial department.

(b) Statewide training on state court security.

(c) Distributions to court facilities security accounts maintained under ORS 1.182.

(d) Capital improvements for courthouses and other state court facilities.

**SECTION 77. The amendments to ORS 1.178 by section 76 of this 2012 Act become operative July 1, 2013.**

**SECTION 78.** Section 61a, chapter 597, Oregon Laws 2011, is amended to read:

**Sec. 61a.** *[(1) During the biennium beginning July 1, 2011, the State Court Administrator may expend not more than \$2,862,376 from the State Court Facilities and Security Account for the purposes of:]*

*[(a) Developing or implementing a plan for state court security improvement, emergency preparedness and business continuity under ORS 1.177.]*

*[(b) Statewide training on state court security.]*

*[(2)]* During the biennium beginning July 1, 2011, the State Court Administrator may distribute not more than \$4,701,919 from the State Court Facilities and Security Account to court facilities security accounts maintained under ORS 1.182. The distribution to each county shall be based on amounts deposited in the Criminal Fine and Assessment Account by the circuit court for the county in the 2009-2011 biennium.

*[(3) Notwithstanding ORS 1.178 (2)(d), during the biennium beginning July 1, 2011, the State Court Administrator may not expend any funds from the State Court Facilities and Security Account for the purpose of capital improvements for courthouses and other state court facilities.]*

#### JUDICIAL BRANCH COMPENSATION CHANGES

**SECTION 79.** (1) Before making any change to a compensation plan, an administrative division of the judicial department must submit the proposed change to the Joint Committee on Ways and Means during the period when the Legislative Assembly is in session, or to the Emergency Board or the Joint Interim Committee on Ways and Means during the interim period between sessions.

(2) This section applies to all boards, commissions, committees and departments of the judicial department, as defined in ORS 174.113, including but not limited to the Public Defense Services Commission and the Commission on Judicial Fitness and Disability.

#### HUMAN SERVICES

**SECTION 80.** ORS 412.079 is amended to read:

**412.079.** (1) Except as provided in subsections (2) and (3) of this section, a needy caretaker relative may not receive aid under ORS 412.006 if the needy caretaker relative has received aid under the temporary assistance for needy families program in this state or any other state for more than a total of 60 months.

(2) The Department of Human Services may not count toward the 60-month limit on receipt of aid described in subsection (1) of this section any month in which a needy caretaker relative:

(a) Receives a grant of temporary assistance for needy families under ORS 412.001 to 412.069, or assistance funded under Title IV-A of the Social Security Act in this or another state, prior to July 1, 2003;

(b) Resides in an area described in 18 U.S.C. 1151, and 50 percent or more of the adult residents in the area are unemployed;

(c) Is, in that month, a minor child and neither the head of the household nor married to the head of the household;

(d) Receives aid under ORS [412.001 to 412.155 that is not funded with grants under Title IV-A of the Social Security Act] **411.878, 412.014 or 412.124;**

(e) Is enrolled at an educational institution under ORS 412.016;

(f) Is exempt from time limits pursuant to rules adopted by the department in accordance with section 408(a)(7)(C) of the Social Security Act; or

(g) Is unable to obtain or maintain employment for a sufficient number of hours in a month to satisfy the federally required participation rates because the needy caretaker relative:

(A) Is a victim of domestic violence as defined in ORS 411.117;

(B) Has a certified learning disability;

(C) Has a mental health condition or an alcohol or drug abuse problem;

(D) Has a disability as defined by the department by rule in a manner consistent with the definition of disability in the Americans with Disabilities Act;

(E) Has a child with a disability;

(F) Is deprived of needed medical care; or

(G) Is subjected to battery or extreme cruelty as defined by the department by rule.

(3) A needy caretaker relative may not be denied aid **or terminated from receiving aid** on the basis of the 60-month limitation described in subsection (1) of this section if the individual is experiencing a situation described in subsection (2) of this section.

(4)(a) The Department of Human Services shall monitor the average period of time a [person] family receives aid and shall record such information by family size. The department shall monitor the wages and benefits received by an individual who becomes employed while receiving aid, including medical and child care benefits. The department shall monitor and record the rate at which [persons] families who cease receiving aid for employment subsequently apply for and receive aid.

(b) The department shall report the results of the monitoring required under paragraph (a) of this subsection to the Legislative Assembly not later than the 15th day of each odd-numbered year regular session.

**SECTION 81.** Section 83, chapter 630, Oregon Laws 2011, is amended to read:

**Sec. 83. (1)** Notwithstanding ORS 412.124, for the [biennium] period beginning July 1, 2011, and ending April 30, 2012, the Department of Human Services may provide aid described in ORS 412.124 subject to available funding as approved in the legislatively adopted or legislatively approved budget for the department.

**(2) The department may not provide aid described in ORS 412.124 for the period beginning May 1, 2012, and ending June 30, 2013.**

[2] (3) As used in this section:

(a) "Legislatively adopted budget" has the meaning given that term in ORS 291.002.

(b) "Legislatively approved budget" has the meaning given that term in ORS 291.002.

**SECTION 82.** Section 1, chapter 604, Oregon Laws 2011, is amended to read:

**Sec. 1.** For the biennium beginning July 1, 2011, the Department of Human Services may, notwithstanding ORS 411.070, 412.006, 412.009 and 412.016:

(1) Prescribe by rule an employability assessment and orientation process that the department shall use to determine the level of participation by individuals applying for or receiving aid pursuant to the temporary assistance for needy families program and required to participate in the job opportunity and basic skills program described in ORS 412.006. This process must occur prior to any assessment described in ORS 412.006 (3) that is conducted by the department.

(2) Require all families to participate in the employability assessment and orientation process as a condition for the family's receipt of aid.

(3) Determine the selection and placement in the job opportunity and basic skills program activities of existing and future applicants and recipients of aid based on the results of the employability assessment or other criteria.

(4) Require an individual in a one-parent family to participate in the job opportunity and basic skills program while caring for a dependent child who is under two years of age.



(5) Not approve enrollment in and attendance at an educational institution as an allowable work activity for purposes of ORS 412.001 to 412.069, except for recipients who have a case plan in effect on June 30, 2011, that approves enrollment in and attendance at an educational institution as an allowable work activity under ORS 412.016.

(6) Deny or terminate aid to a family in which a caretaker relative is separated from employment without good cause [during the 60-day period ending on the date of application for aid], subject to exceptions prescribed by the department by rule. **The family shall be ineligible to receive aid for a period of 120 days beginning on the date the caretaker relative is separated from employment without good cause.**

(7) Establish an income eligibility limit equal to 185 percent of the federal poverty guidelines for aid to a dependent child residing with a caretaker relative who is not the child's parent.

(8) Deny employment-related day care assistance to a parent who is self-employed.

(9) Eliminate the reduced copayment required for employment-related day care assistance in the first month of employment.

**SECTION 83.** Section 19, chapter 827, Oregon Laws 2009, as amended by section 84, chapter 630, Oregon Laws 2011, is amended to read:

**Sec. 19.** Notwithstanding section 24, chapter 736, Oregon Laws 2003[1]:

(1) For the [biennium] period beginning July 1, 2011, and ending March 31, 2013, the Department of Human Services may limit reimbursement paid to Medicaid-certified long term care facilities to the rates in effect on June 30, 2011, in accordance with the legislatively adopted budget.

(2) For the period beginning April 1, 2013, and ending June 30, 2013, the department may limit reimbursement paid to Medicaid-certified long term care facilities to rates equal to or below the rates in effect on June 30, 2011, in accordance with the legislatively approved budget, as defined in ORS 291.002.

#### SMALL SCHOOL DISTRICTS

**SECTION 84.** Section 19, chapter 846, Oregon Laws 2007, is amended to read:

**Sec. 19.** The amendments to ORS 327.006, 327.008, 327.019, 327.125, 327.137, 339.129 and 340.045 by sections 11 to 17 [of this 2007 Act], chapter 846, Oregon Laws 2007, and the repeal of ORS 327.355, 327.357 and 327.360 by section 18 [of this 2007 Act], chapter 846, Oregon Laws 2007, become operative on June 30, [2012] 2013.

**SECTION 85.** Section 3, chapter 302, Oregon Laws 2011, is amended to read:

**Sec. 3.** The amendments to ORS 329.488 by section 2 [of this 2011 Act], chapter 302, Oregon Laws 2011, become operative June 30, [2012] 2013.

#### LOCAL COURT REPORTS

**SECTION 86.** (1) Once every two years, the League of Oregon Cities and the Association of Oregon Counties shall report to the Legislative Fiscal Officer information identified by the Legislative Fiscal Officer relating to the caseload, revenues and expenditures of municipal courts and justice courts. Before establishing the list of information to be provided, the Legislative Fiscal Officer shall consult with the League of Oregon Cities and the Association of Oregon Counties. The Legislative Fiscal Officer may not request information that requires additional data gathering by municipal courts, justice courts, cities and counties. The reported information shall be based on the fiscal year used by each municipal court and justice court.

(2) The reports required by this section must be made not later than October 1 of each even-numbered year. The Legislative Fiscal Officer may specify the manner in which the reports are made. The Legislative Fiscal Officer shall provide a summary of the information provided under this section to the Joint Committee on Ways and Means upon the convening of the next odd-numbered year regular session of the Legislative Assembly. Copies of the

summary shall be provided to the League of Oregon Cities and the Association of Oregon Counties.

SECTION 87. The first report under section 86 of this 2012 Act must be made not later than October 1, 2012.

#### OREGON HEALTH INSURANCE EXCHANGE CORPORATION

**SECTION 88.** ORS 741.002 is amended to read:

741.002. (1) The duties of the Oregon Health Insurance Exchange Corporation are to:

- (a) Administer a health insurance exchange in accordance with federal law to make qualified health plans available to individuals and groups throughout this state.
- (b) Provide information in writing, through an Internet-based clearinghouse and through a toll-free telephone line that will assist individuals and small businesses in making informed health insurance decisions, including:
  - (A) The grade of each health plan as determined by the corporation and the grading criteria that were used;
  - (B) Quality and enrollee satisfaction ratings; and
  - (C) The comparative costs, benefits, provider networks of health plans and other useful information.
- (c) Establish and make available an electronic calculator that allows individuals and employers to determine the cost of coverage after deducting any applicable tax credits or cost-sharing reduction.
- (d) Using procedures approved by the corporation's board of directors and adopted by rule by the corporation under ORS 741.310, screen, certify and recertify health plans as qualified health plans according to federal and state standards and ensure that qualified health plans provide choices of coverage.
- (e) Decertify or suspend, in accordance with ORS chapter 183, the certification of health plans that fail to meet federal and state standards in order to exclude them from participation in the exchange.
- (f) Promote fair competition of carriers participating in the exchange by certifying multiple health plans as qualified under ORS 741.310.
- (g) Grade health plans in accordance with criteria established by the United States Secretary of Health and Human Services and by the corporation.
- (h) Establish open and special enrollment periods for all enrollees, and monthly enrollment periods for Native Americans in accordance with federal law.
- (i) Assist individuals and groups to enroll in qualified health plans, including defined contribution plans as defined in section 414 of the Internal Revenue Code and, if appropriate, collect and remit premiums for such individuals or groups.
- (j) Facilitate community-based assistance with enrollment in qualified health plans by awarding grants to entities that are certified as navigators as described in 42 U.S.C. 18031(f).
- (k) Provide information to individuals and employers regarding the eligibility requirements for state medical assistance programs and assist eligible individuals and families in applying for and enrolling in the programs.
- (l) Provide employers with the names of employees who end coverage under a qualified health plan during a plan year.
- (m) Certify the eligibility of an individual for an exemption from the individual responsibility requirement of section 5000A of the Internal Revenue Code.
- (n) Provide information to the federal government necessary for individuals who are enrolled in qualified health plans through the exchange to receive tax credits and reduced cost-sharing.
- (o) Provide to the federal government:
  - (A) Information regarding individuals determined to be exempt from the individual responsibility requirement of section 5000A of the Internal Revenue Code;

- (B) Information regarding employees who have reported a change in employer;
- (C) Information regarding individuals who have ended coverage during a plan year; and
- (D) Any other information necessary to comply with federal requirements.
- (f) Take any other actions necessary and appropriate to comply with the federal requirements for a health insurance exchange.
- (g) Work in coordination with the Oregon Health Authority, the Oregon Health Policy Board and the Department of Consumer and Business Services in carrying out its duties.
- (2) The corporation may sue and be sued.
- (3) The corporation may:
  - (a) Acquire, lease, rent, own and manage real property.
  - (b) Construct, equip and furnish buildings or other structures as are necessary to accommodate the needs of the corporation.
  - (c) Purchase, rent, lease or otherwise acquire for the corporation's use all supplies, materials, equipment and services necessary to carry out the corporation's duties.
  - (d) Sell or otherwise dispose of any property acquired under this subsection.
  - (e) **Borrow money and give guarantees to finance its facilities and operations.**
  - (4) Any real property acquired and owned by the corporation under this section shall be subject to ad valorem taxation.
  - (5) **The corporation may not borrow money or give guarantees under subsection (3)(e) of this section unless the obligations of the corporation are payable solely out of the corporation's own resources and do not constitute a pledge of the full faith and credit of the State of Oregon or any of the revenues of this state.** The State Treasurer and the State of Oregon may not pay bond-related costs for an obligation incurred by the corporation. A holder of an obligation incurred by the corporation does not have the right to compel the exercise of the taxing power of the state to pay bond-related costs.
  - [5] (6) The corporation may adopt rules necessary to carry out its mission, duties and functions.

**SECTION 89.** ORS 741.101 is amended to read:

*741.101. [The Oregon Health Insurance Exchange Fund is established in the State Treasury, separate and distinct from the General Fund. Interest earned by the Oregon Health Insurance Exchange Fund shall be credited to the fund. The Oregon Health Insurance Exchange Fund consists of moneys received by the Oregon Health Insurance Corporation through premiums or the imposition of fees under ORS 741.105 and moneys received as grants under ORS 741.310. Moneys in the fund are continuously appropriated to the Oregon Health Insurance Exchange Corporation for carrying out the purposes of ORS 741.001 to 741.540.]*

- (1) As used in this section, "depository" has the meaning given that term in ORS 295.001.
- (2) The Oregon Health Insurance Exchange Corporation shall establish one or more accounts in one or more depositories insured by the Federal Deposit Insurance Corporation or the National Credit Union Share Insurance Fund. In a manner consistent with the requirements of ORS 295.001 to 295.108, the corporation shall ensure that sufficient collateral secures any amount of funds on deposit that exceeds the limits of the coverage of the Federal Deposit Insurance Corporation or the National Credit Union Share Insurance Fund. All moneys collected or received by the corporation or placed to the credit of the corporation that are not invested under ORS 741.105 must be deposited to the accounts established under this section, including, but not limited to, moneys received by the corporation through premiums or the imposition of fees under ORS 741.105 and moneys received as grants under ORS 741.310.

**SECTION 90.** All moneys remaining unexpended in the Oregon Health Insurance Exchange Fund on the effective date of this 2012 Act shall be deposited to an account established by the Oregon Health Insurance Exchange Corporation under ORS 741.101.

**SECTION 91.** ORS 741.105 is amended to read:

741.105. (1) The Oregon Health Insurance Exchange Corporation board of directors shall establish, and the corporation shall impose and collect, an administrative charge from all insurers and state programs participating in the health insurance exchange in an amount sufficient to cover the costs of grants to navigators certified under ORS 741.002 and to pay the administrative and operational expenses of the corporation in carrying out ORS 741.001 to 741.540. The charge shall be paid in a manner and at intervals prescribed by the board and shall be deposited in *[the Oregon Health Insurance Exchange Fund]* an account established in ORS 741.101.

(2) Each insurer's charge shall be based on the number of individuals, excluding individuals enrolled in state programs, who are enrolled in health plans offered by the insurer through the exchange. The assessment on each state program shall be based on the number of individuals enrolled in state programs offered through the exchange. The charge may not exceed:

- (a) Five percent of the premium or other monthly charge for each enrollee if the number of enrollees receiving coverage through the exchange is at or below 175,000;
- (b) Four percent of the premium or other monthly charge for each enrollee if the number of enrollees receiving coverage through the exchange is above 175,000 and at or below 300,000; and
- (c) Three percent of the premium or other monthly charge for each enrollee if the number of enrollees receiving coverage through the exchange is above 300,000.

(3)(a) If charges collected under subsection (1) of this section exceed the amounts needed for the administrative and operational expenses of the corporation, the excess moneys collected *[shall]* may be held and invested and, with the earnings and interest, used by the corporation to offset future net losses or reduce the administrative costs of the corporation.

(b) **Investments made by the corporation under this subsection are:**

- (A) **Limited to investments described in ORS 294.035;**
- (B) **Subject to the investment maturity date limitations described in ORS 294.135; and**
- (C) **Subject to the conduct prohibitions listed in ORS 294.145.**

(c) The maximum amount of excess moneys that may be held under this subsection is the total administrative and operational expenses anticipated by the corporation for a six-month period. Any moneys received that exceed the maximum shall be applied by the corporation to reduce the charges imposed by this section.

(4) Charges shall be based on annual statements and other reports deemed necessary by the corporation and filed by an insurer or state program with the exchange.

(5) In addition to charges imposed under subsection (1) of this section, to the extent permitted by federal law the corporation may impose a fee on insurers and state programs participating in the exchange to cover the cost of commissions of insurance producers that are certified by the corporation to facilitate the participation of individuals and employers in the exchange.

(6) The board shall establish the charges and fees under this section in accordance with ORS 183.310 to 183.410 and in such a manner that will reasonably and substantially accomplish the objective of subsections (1) and (5) of this section.

**SECTION 92.** ORS 741.201 is amended to read:

741.201. (1) The Oregon Health Insurance Exchange Corporation is under the supervision of an executive director appointed by the corporation board of directors. The executive director serves at the pleasure of the board. The executive director shall be paid a salary as prescribed by the board.

(2) Before assuming the duties of the office, the executive director shall:

(a) Give to the state a fidelity bond, with one or more corporate sureties authorized to do business in this state, in a penal sum prescribed by the Director of the Oregon Department of Administrative Services, but not less than \$50,000. The premium for the bond shall be paid from *[the Oregon Health Insurance Exchange Fund]* an account established under ORS 741.101.

(b) Subscribe to an oath that the executive director faithfully and impartially will discharge the duties of the office and that the executive director will support the Constitution of the United States and the Constitution of the State of Oregon. The executive director shall file a copy of the signed oath with the Secretary of State.

(3) The executive director *may establish a line of credit under ORS 293.214 and* has such other powers as are necessary to carry out the duties of the corporation, subject to policy direction by the board.

(4) The executive director may employ, supervise and terminate the employment of such staff as the executive director deems necessary. The executive director shall prescribe their duties and fix their compensation, in accordance with the personnel policies adopted by the board. Employees of the corporation may not be individuals who are:

(a) Employed by, consultants to or members of a board of directors of:

- (A) An insurer or third party administrator;
- (B) An insurance producer; or

(C) A health care provider, health care facility or health clinic;

(b) Members, board members or employees of a trade association of:

(A) Insurers or third party administrators; or

(B) Health care providers, health care facilities or health clinics; or

(c) Health care providers, unless they receive no compensation for rendering services as health care providers and do not have ownership interests in professional health care practices.

(5) The board shall adopt personnel policies, subject to ORS 236.605 to 236.640, for any transferred public employees. The board may elect to provide for participation in a health benefit plan available to state employees pursuant to ORS 243.105 to 243.285 and may elect to participate in the state deferred compensation plan established under ORS 243.401 to 243.507. If the board so elects, employees of the corporation shall be considered eligible employees for purposes of ORS 243.105 to 243.285 and eligible state employees for purposes of ORS 243.401 to 243.507.

(6) With respect to the Public Employees Retirement System, employees of the corporation shall be considered employees for purposes of ORS chapter 238 and eligible employees for purposes of ORS chapter 238A.

(7) Employees of the corporation may participate in collective bargaining in accordance with ORS 243.650 to 243.782.

**SECTION 93.** ORS 741.220 is amended to read:

741.220. (1) The Oregon Health Insurance Exchange Corporation shall keep an accurate accounting of the operation and all activities, receipts and expenditures of the corporation and the health insurance exchange.

(2) Beginning after the first 12 months of the operation of the exchange and every 12 months thereafter, the Secretary of State shall conduct a financial audit of the corporation and the *[fund] accounts established under ORS 741.101* pursuant to ORS 297.210, which shall include but is not limited to:

(a) A review of the sources and uses of the moneys in the *[fund] accounts*;

(b) A review of charges and fees imposed and collected pursuant to ORS 741.105; and

(c) A review of premiums collected and remitted.

(3) Beginning after the first 24 months of the operation of the exchange and every two years thereafter, the Secretary of State shall conduct a performance audit of the corporation and the exchange.

(4) The corporation board of directors, the executive director of the corporation and employees of the corporation shall cooperate with the Secretary of State in the audits and reviews conducted under subsections (2) and (3) of this section.

(5) The audits shall be conducted using generally accepted accounting principles and any financial integrity requirements of federal authorities.

(6) The cost of the audits required by subsections (2) and (3) of this section shall be paid by the corporation.

(7) The Secretary of State shall issue a report to the Governor, the President of the Senate, the Speaker of the House of Representatives, the Oregon Health Authority, the Oregon Health Policy Board, the Department of Consumer and Business Services and appropriate federal authorities on the results of each audit conducted pursuant to this section, including any recommendations for

corrective actions. The report shall be available for public inspection, in accordance with the Secretary of State's established rules and procedures governing public disclosure of audit documents.

(8) To the extent the audit requirements under this section are similar to any audit requirements imposed on the corporation by federal authorities, the Secretary of State and the corporation shall make reasonable efforts to coordinate with the federal authorities to promote efficiency and the best use of resources in the timing and provision of information.

(9) Not later than the 90th day after the Secretary of State completes and delivers an audit report issued under subsection (7) of this section, the corporation shall notify the Secretary of State in writing of the corrective actions taken or to be taken, if any, in response to any recommendations in the report. The Secretary of State may extend the 90-day period for good cause.

**SECTION 94.** ORS 741.027 is amended to read:

741.027. (1) The Oregon Health Insurance Exchange Corporation board of directors shall select one of its members as chairperson and another as vice chairperson, for such terms and with duties and powers necessary for the performance of the functions of those offices as the board determines.

(2) A majority of the members of the board constitutes a quorum for the transaction of business.

(3) The board shall meet at least once every three months at a place, day and hour determined by the board. The board shall meet at such other times and places specified by the call of the chairperson or of a majority of the members of the board.

(4)(a) Whenever a member of the board has a conflict of interest on an issue that is before the board, the member shall declare to the board the nature of the conflict and the declaration shall be recorded in the official records of the board. The member may participate in any discussion on the issue but may not vote on the issue.

(b) As used in this subsection:

(A) "Business" has the meaning given that term in ORS 244.020.

(B) "Business with which the member or the member's relative is associated" has the meaning given the term "business with which the person is associated" in ORS 244.020.

(C) "Conflict of interest" means that by taking any action or making any decision or recommendation on an issue, the member, the member's relative, or any business with which the member or the member's relative is associated, would receive a private pecuniary benefit or detriment, unless the pecuniary benefit or detriment would affect to the same degree a class consisting of all consumers of or payers for health care in this state.

(5) A member of the board is entitled to compensation and expenses as provided in ORS 292.495, subject to the availability of funds in *[the Oregon Health Insurance Exchange Fund]* **an account established under ORS 741.101.**

(6) ORS 192.610 to 192.690 apply to the board, to the Individual and Employer Consumer Advisory Committee established by ORS 741.029 and to any advisory and technical committees established by the board under ORS 741.031.

**SECTION 95.** ORS 741.222 is amended to read:

741.222. (1) The executive director of the Oregon Health Insurance Exchange Corporation shall report to the Legislative Assembly each calendar quarter on:

(a) The financial condition of the health insurance exchange, including actual and projected revenues and expenses of the administrative operations of the exchange and commissions paid to insurance producers out of fees collected under ORS 741.105 (5);

(b) The implementation of the business plan adopted by the corporation board of directors;

(c) The development of the information technology system for the exchange; and

(d) Any other information requested by the leadership of the Legislative Assembly.

(2) The corporation board of directors shall provide to the Legislative Assembly, the Governor, the Oregon Health Authority, the Oregon Health Policy Board and the Department of Consumer and Business Services, not later than April 15 of each year:

(a) A report covering the activities and operations of the corporation during the previous year of operations;

(b) A statement of the financial condition, [of the Oregon Health Insurance Exchange Fund] as of December 31 of the previous year, of the accounts established under ORS 741.101;

(c) A description of the role of insurance producers in the exchange; and

(d) Recommendations, if any, for additional groups to be eligible to purchase qualified health plans through the exchange under ORS 741.310.

**SECTION 96.** ORS 741.310 is amended to read:

741.310. (1) The following individuals and groups may purchase qualified health plans through the health insurance exchange:

(a) Beginning January 1, 2014, individuals and employers with no more than 50 employees.

(b) Beginning January 1, 2016, employers with 51 to 100 employees.

(2)(a) Only individuals who purchase health plans through the exchange may be eligible to receive premium tax credits under section 36B of the Internal Revenue Code and reduced cost-sharing under 42 U.S.C. 18071.

(b) Only employers that purchase health plans through the exchange may be eligible to receive small employer health insurance credits under section 45R of the Internal Revenue Code.

(3) Only an insurer that has a certificate of authority to transact insurance in this state and that meets applicable federal requirements for participating in the exchange may offer a qualified health plan through the exchange. Any qualified health plan must be certified under subsection (4) of this section. Prepaid managed care health services organizations that do not have a certificate of authority to transact insurance may serve only medical assistance recipients through the exchange and may not offer qualified health plans.

(4) The Oregon Health Insurance Exchange Corporation shall adopt by rule uniform requirements, standards and criteria for the certification of qualified health plans, including requirements that a qualified health plan provide, at a minimum, essential health benefits and have acceptable consumer and provider satisfaction ratings. The corporation may limit the number of qualified health plans that may be offered through the exchange as long as the same limit applies to all insurers.

(5) Notwithstanding subsection (4) of this section, the corporation shall certify as qualified a dental only health plan as permitted by federal law.

(6) The corporation shall establish one streamlined and seamless application and enrollment process for both the exchange and the state medical assistance program.

(7) The corporation, in collaboration with the appropriate state authorities, may establish risk mediation programs within the exchange.

(8) The corporation shall establish by rule a process for certifying insurance producers to facilitate the transaction of insurance through the exchange, in accordance with federal standards and policies.

(9) The corporation shall ensure, as required by federal laws, that an insurer charges the same premiums for plans sold through the exchange as for identical plans sold outside of the exchange.

(10) The corporation is authorized to enter into contracts for the performance of duties, functions or operations of the exchange, including but not limited to contracting with:

(a) All insurers that meet the requirements of subsections (3) and (4) of this section, to offer qualified health plans through the exchange; and

(b) Navigators certified by the corporation under ORS 741.002.

(11) The corporation is authorized to apply for and accept federal grants, other federal funds and grants from nongovernmental organizations for purposes of developing, implementing and administering the exchange. Moneys received under this subsection shall be deposited in [and credited to the Oregon Health Insurance Exchange Fund] an account established under ORS 741.101.

**SECTION 97.** ORS 741.310, as amended by section 12, chapter 415, Oregon Laws 2011, is amended to read:

741.310. (1) Individuals and employers with no more than 100 employees may purchase qualified health plans through the health insurance exchange.

(2)(a) Only individuals who purchase health plans through the exchange may be eligible to receive premium tax credits under section 36B of the Internal Revenue Code and reduced cost-sharing under 42 U.S.C. 18071.

(b) Only employers that purchase health plans through the exchange may be eligible to receive small employer health insurance credits under section 45R of the Internal Revenue Code.

(3) Only an insurer that has a certificate of authority to transact insurance in this state and that meets applicable federal requirements for participating in the exchange may offer a qualified health plan through the exchange. Any qualified health plan must be certified under subsection (4) of this section. Prepaid managed care health services organizations that do not have a certificate of authority to transact insurance may serve only medical assistance recipients through the exchange and may not offer qualified health plans.

(4) The Oregon Health Insurance Exchange Corporation shall adopt by rule uniform requirements, standards and criteria for the certification of qualified health plans, including requirements that a qualified health plan provide, at a minimum, essential health benefits and have acceptable consumer and provider satisfaction ratings. The corporation may limit the number of qualified health plans that may be offered through the exchange as long as the same limit applies to all insurers.

(5) Notwithstanding subsection (4) of this section, the corporation shall certify as qualified a dental only health plan as permitted by federal law.

(6) The corporation shall establish one streamlined and seamless application and enrollment process for both the exchange and the state medical assistance program.

(7) The corporation, in collaboration with the appropriate state authorities, may establish risk mediation programs within the exchange.

(8) The corporation shall establish by rule a process for certifying insurance producers to facilitate the transaction of insurance through the exchange, in accordance with federal standards and policies.

(9) The corporation shall ensure, as required by federal laws, that an insurer charges the same premiums for plans sold through the exchange as for identical plans sold outside of the exchange.

(10) The corporation is authorized to enter into contracts for the performance of duties, functions or operations of the exchange, including but not limited to contracting with:

(a) Insurers that meet the requirements of subsections (3) and (4) of this section, to offer qualified health plans through the exchange; and

(b) Navigators certified by the corporation under ORS 741.002.

(11) The corporation is authorized to apply for and accept federal grants, other federal funds and grants from nongovernmental organizations for purposes of developing, implementing and administering the exchange. Moneys received under this subsection shall be deposited in [and credited to the Oregon Health Insurance Exchange Fund] an account established under ORS 741.101.

#### **OREGON LOCAL DISASTER ASSISTANCE LOAN AND GRANT ACCOUNT**

**SECTION 98.** ORS 401.536 is amended to read:

401.536. (1) The Oregon Local Disaster Assistance Loan and Grant Account is established as an account in the Oregon Disaster Response Fund. The account consists of moneys appropriated by the Legislative Assembly and any other moneys deposited into the account pursuant to law.

(2) Moneys in the account are continuously appropriated to the Oregon Military Department for:

(a) Providing loans to local governments, as defined in ORS 174.116, and school districts to match, either in full or in part, moneys from federal programs for federally declared disaster relief that require a match;

(b) Providing loans and grants to local governments, as defined in ORS 174.116, and school districts, for the purpose of paying costs incurred by local governments and school districts in response to federally declared disasters; and



[*b*)] (c) Subject to subsection [*4*] (*5*) of this section, paying the department's expenses for administering loans made from the account under paragraph (a) of this subsection.

(3) Loans made under subsection (2)(b) of this section shall be repaid pursuant to such terms and conditions as may be established by the Oregon Department of Administrative Services. Loans made under subsection (2)(b) of this section may be interest free, or bear interest at a rate established by the Oregon Department of Administrative Services. Amounts repaid on loans made under subsection (2)(b) of this section shall be deposited in the General Fund.

[*3*]] (4) The Oregon Military Department shall deposit into the account any amounts repaid on loans made under subsection (2)(a) of this section.

[*4*]] (5) The Oregon Military Department may not charge the account more than five percent of the maximum amount in the account during a biennium for administrative expenses attributable to a loan made under subsection (2)(a) of this section.

[*5*]] (6) An applicant may apply to the Oregon Military Department for a loan [from the account] under subsection (2)(a) of this section. The department shall consider the application, make a recommendation and submit the application and recommendation to the Local Disaster Assistance Review Board established under subsection [*6*]] (*7*) of this section.

[*6*]] (7) The Oregon Military Department shall establish a Local Disaster Assistance Review Board to:

- (a) Review the recommendations of the department regarding loans [from the account] under subsection (2)(a) of this section;
- (b) Approve, by a majority vote of members, the amount of any loan under subsection (2)(a) of this section; and
- (c) Approve, by a majority vote of members, the terms and conditions of any loan under subsection (2)(a) of this section.

[*7*]] (8) The review board shall include:

(a) Three members of county governing bodies, with at least one member representing a county from east of the crest of the Cascade Mountains, with membership determined by the Association of Oregon Counties;

(b) Three members of city governing bodies, with at least one member representing a city from east of the crest of the Cascade Mountains, with membership determined by the League of Oregon Cities;

(c) A representative of the office of the State Treasurer;

(d) A representative of the Oregon Military Department;

(e) A representative of school districts, with membership determined by the Oregon School Boards Association;

(f) A representative of special districts, with membership determined by the Special Districts Association of Oregon;

(g) A representative of the Oregon Department of Administrative Services; and

(h) Two additional members determined jointly by the department, the Association of Oregon Counties and the League of Oregon Cities.

[*8*]] (9) The Office of Emergency Management of the Oregon Military Department shall adopt rules establishing:

(a) A loan application process and application forms for loans under subsection (2)(a) of this section;

(b) Reasonable financial terms and conditions for loans under subsection (2)(a) of this section, including interest and the repayment of the loans;

(c) Eligibility requirements for [loan] applicants for loans under subsection (2)(a) of this section;

(d) The maximum amount an applicant for a loan under subsection (2)(a) of this section may receive;

(e) The methodology the department will use for charging the account for administrative expenses; and

(f) Procedures for submission of [loan] recommendations to the review board **for loans under subsection (2)(a) of this section.**

[9] (10) The Oregon Military Department shall provide staff support for the review board.

#### TRANSFERS

**SECTION 99.** Except as provided in sections 31, 32 and 73 of this 2012 Act, the transfers described in sections 26, 27, 28, 31, 32, 33, 34, 35, 53, 54, 72 and 73 of this 2012 Act shall be made on the effective date of this 2012 Act from moneys maintained, on the effective date of this 2012 Act, in the funds or accounts from which the transfers are made.

#### REPEALS

**SECTION 100.** (1) ORS 291.385 and 391.100 are repealed.

(2) The Light Rail Construction Fund created under ORS 391.100 is abolished. Any moneys remaining in the Light Rail Construction Fund on the effective date of this 2012 Act are transferred to the General Fund.

#### CONFORMING AMENDMENTS

**SECTION 101.** ORS 199.432 is amended to read:

199.432. (1) A boundary commission created under ORS 199.430 may sue and be sued, enter into contracts and perform such other actions as may be necessary to carry out the provisions of ORS 199.410 to 199.534.

(2) A boundary commission is a state agency as defined in ORS 291.002 and is not subject to the provisions of ORS 291.201 to 291.226, 291.232 to 291.260, 291.371, 291.373[, or 291.375 [or 291.385].

(3) A boundary commission employing personnel under ORS 199.455 shall provide employee benefits provided to state management service employees.

**SECTION 102.** ORS 291.100 is amended to read:

291.100. (1) It is the intent of the Legislative Assembly, in funding the development and implementation of a new statewide financial management system, that statewide financial management systems and policies support program-driven budget planning and execution, based on timely and accurate statewide managerial cost accounting information and that such systems support legislative program evaluation and performance auditing of statewide programs and services.

(2) The Oregon Department of Administrative Services shall devise and supervise statewide financial management systems for all state agencies by preparing policies and procedures for implementing and operating financial management systems for all agencies in state government and measuring implementation. In order to [assure] ensure that the state's investment in a modern and complete statewide financial management system is fully implemented, every agency and unit of state government shall:

(a) Cooperate and comply fully with policies and procedures and deadlines prepared by the Oregon Department of Administrative Services for establishing a database for the financial management system.

(b) Comply fully with policies and procedures prepared by the Oregon Department of Administrative Services for operation of the financial management system.

(3) The Oregon Department of Administrative Services shall report to the Legislative Assembly no later than December 1 of even-numbered years:

(a) Progress in implementing the financial management system as to preparation of financial statements, nonfinancial management information and the ability of the system to support legislative program evaluation and performance auditing.

(b) Compliance by each agency and unit of state government with policies and procedures of the Oregon Department of Administrative Services for implementation of the financial management system.

(4) After a review of the Oregon Department of Administrative Services report by the Legislative Fiscal Officer, the Joint Legislative Audit Committee may schedule a hearing for any agency or unit of state government to review compliance with this section and policies and procedures of the Oregon Department of Administrative Services, prior to any appropriation approval by the Legislative Assembly, *as provided in ORS 171.585 (1)*.

**SECTION 103.** ORS 291.371 is amended to read:

291.371. (1) As used in this section, "legislative review agency" means the Joint Committee on Ways and Means during the period when the Legislative Assembly is in session and the Emergency Board or the [interim] Joint Interim Committee on Ways and Means during the interim period between sessions.

(2) Prior to making any changes in a salary plan, the Oregon Department of Administrative Services shall submit the proposed changes to the legislative review agency.

(3)(a) The Oregon Department of Administrative Services may approve the reallocation of positions or the establishment of new positions not specifically provided for in the budget of the affected agency if it finds that the proposed change:

- (A) Can be financed by the agency within the limits of its biennial budget and legislatively approved program;
  - (B) Will not produce future budgetary increases; and
  - (C) Conforms to legislatively approved salary policies.
- (b) Proposed changes not meeting the requirements of paragraph (a) of this subsection shall be presented to the legislative review agency.

(4) Agencies within the Department of Human Services, the Oregon Health Authority and the Department of Corrections shall report on a biennial basis to the legislative review agency. Each report shall include the number of vacant budgeted positions, including all job categories and classifications, within the agency. The legislative review agency shall order the reporting agency to show cause why the budgeted positions have not been filled and shall assess fully the impact the vacancies have on:

- (a) The agency's delivery of services, accounting for any seasonal fluctuation in the need for those services;
- (b) The agency's budget due to increased use of overtime;
- (c) The agency's use of temporary employees; and
- (d) Employee workload.

(5) It is declared to be the policy of this state that the total personal services, budget and full-time equivalent positions approved for any state agency shall be the maximum amount necessary to meet the requirements of the agency for the biennium. Notwithstanding ORS 291.232 to 291.260, the Governor and the Oregon Department of Administrative Services may transfer vacant position authority among and within state agencies to achieve maximum utilization of authorized positions within agencies.

#### CONFLICT AMENDMENTS

**SECTION 104.** If House Bill 4163 becomes law, section 75 of this 2012 Act (amending ORS 1.177) is repealed and ORS 1.177, as amended by section 1, chapter \_\_\_\_, Oregon Laws 2012 (Enrolled House Bill 4163), is amended to read:

1.177. (1) *The Chief Justice of the Supreme Court may appoint an Advisory Committee on State Court Security and Emergency Preparedness for the Supreme Court, Court of Appeals, Oregon Tax Court and office of the State Court Administrator.*

(2) *A committee appointed under this section shall meet at the call of the Chief Justice.*

[(3) A committee appointed under this section shall submit to the Chief Justice a state plan for state court security improvement, emergency preparedness and business continuity for each building containing or utilized by the Supreme Court, Court of Appeals, Oregon Tax Court or office of the State Court Administrator. The plan shall include capital outlay needs and may include recommendations concerning:]

[(a) Procedures for the secure handling, transportation and disposal of hazardous substances and contraband in court proceedings;]

[(b) Emergency alarm systems accessible to all court employees;]

[(c) Physical security for judges, staff and the public;]

[(d) Procedures for emergency evacuation of buildings containing or utilized by the Supreme Court, Court of Appeals, Oregon Tax Court or office of the State Court Administrator;]

[(e) Procedures for identifying judicial security personnel, including a judicial security marshal to be appointed by the Chief Justice, who shall be responsible for:]

[(A) The management of the plan;]

[(B) A regular security inspection of each building containing or utilized by the Supreme Court, Court of Appeals, Oregon Tax Court or office of the State Court Administrator; and]

[(C) Regular security training of sheriff's department, judicial department and district attorney personnel; and]

[(f) Priorities for available court facilities within the building based on the level of security needed.]

[(4) The plan may also include:]

[(a) An evaluation of how each of the items listed in subsection (3) of this section is being addressed and should be addressed;]

[(b) How practices, facilities and equipment falling below appropriate levels are to be improved;]

[(c) The anticipated cost of improving practices, facilities and equipment that fall below appropriate levels;]

[(d) The funding source for each improvement; and]

[(e) The time schedule for implementation of improvements.]

[(5) Adoption of a plan under this section is subject to the approval of the Chief Justice. The plan may conclude that state court facility security is adequate.]

[(6) Implementation of the elements of a plan that have a significant fiscal impact are subject to availability of funding.]

[(7) The plan adopted under this section shall be reviewed and revised or amended as needed, not later than June 30 of each odd-numbered year.]

(1) The Chief Justice of the Supreme Court may adopt state standards, and a state plan, for state court security, emergency preparedness and business continuity for facilities used by judges or staff of a circuit court, the Supreme Court, Court of Appeals or Oregon Tax Court or the office of the State Court Administrator.

(2) The Chief Justice may appoint a judicial security officer and other judicial security personnel charged with implementing a state plan adopted under subsection (1) of this section.

[(8)] (3) Except as provided in this subsection, a plan [prepared] adopted under this section [is] and all documents related to development of the plan are confidential and need not be disclosed under the provisions of ORS 192.410 to 192.505. The Chief Justice may authorize the disclosure of all or part of a plan prepared under this section if the Chief Justice determines that the interest of the public would be served by the disclosure and that the disclosure will not impair the integrity of the plan. Records of expenditures for a state court security plan and records of equipment purchased under the plan are not confidential under the provisions of this subsection, and are subject to disclosure as public records under the provisions of ORS 192.410 to 192.505.

**SECTION 105. If House Bill 4082 becomes law, section 44 of this 2012 Act (amending ORS 419A.170) is repealed and section 2, chapter \_\_\_, Oregon Laws 2012 (Enrolled House Bill 4082), is amended to read:**

**Sec. 2.** (1) In every case under ORS chapter 419B, the court shall appoint a court appointed special advocate. The court appointed special advocate is deemed a party in these proceedings and may be represented by counsel, file pleadings and request hearings and may subpoena, examine and cross-examine witnesses. If the court appointed special advocate is represented by counsel, counsel shall be paid from funds in the Court Appointed Special Advocate Fund established under section 5, chapter \_\_, Oregon Laws 2012 (Enrolled House Bill 4082) [of this 2012 Act]. [Funds from the Public Defense Services Account, or from Judicial Department operating funds, may not be used for this purpose.] **Counsel representing a court appointed special advocate may not be paid from moneys in the Public Defense Services Account established by ORS 151.225, from moneys appropriated to the Public Defense Services Commission or from Judicial Department operating funds.**

(2) Subject to the direction of the court, the duties of the court appointed special advocate are to:

- (a) Investigate all relevant information about the case;
  - (b) Advocate for the child or ward, ensuring that all relevant facts are brought before the court;
  - (c) Facilitate and negotiate to ensure that the court, the Department of Human Services, if applicable, and the child or ward's attorney, if any, fulfill their obligations to the child or ward in a timely fashion; and
  - (d) Monitor all court orders to ensure compliance and to bring to the court's attention any change in circumstances that may require a modification of an order of the court.
- (3) If a juvenile court does not have a sufficient number of qualified court appointed special advocates available to it, the court may, in fulfillment of the requirements of this section, appoint a juvenile department employee or other suitable person to represent the child or ward's interest in court pursuant to ORS 419A.012 or 419B.195.
- (4) Any person appointed as a court appointed special advocate in any judicial proceeding on behalf of the child or ward is immune from any liability for defamation or statements made in good faith by that person, orally or in writing, in the course of the case review or judicial proceeding.
- (5) Any person appointed as a court appointed special advocate, CASA Volunteer Program director, CASA Volunteer Program employee or member of the board of directors or trustees of any CASA Volunteer Program is immune from any liability for acts or omissions or errors in judgment made in good faith in the course or scope of that person's duties or employment as part of a CASA Volunteer Program.

(6) Whenever the court appoints a court appointed special advocate or other person under subsections (1) to (3) of this section to represent the child or ward, the court may require a parent, if able, or guardian of the estate, if the estate is able, to pay, in whole or in part, the reasonable costs of court appointed special advocate services, including reasonable attorney fees. The court's order of payment is enforceable in the same manner as an order of support under ORS 419B.408.

(7) Upon presentation of the order of appointment by the court appointed special advocate, any agency, hospital, school organization, division, office or department of the state, doctor, nurse or other health care provider, psychologist, psychiatrist, police department or mental health clinic shall permit the court appointed special advocate to inspect and copy, and may consult with the court appointed special advocate regarding, any records relating to the child or ward involved in the case, without the consent of the child, ward or parents.

(8) All records and information acquired or reviewed by a court appointed special advocate during the course of official duties are deemed confidential under ORS 419A.255.

(9) For the purposes of a Child Abuse Prevention and Treatment Act (42 U.S.C. 5101 et seq.) grant to this state under P.L. 93-247, or any related state or federal legislation, a court appointed special advocate or other person appointed pursuant to subsections (1) to (3) of this section is deemed a guardian ad litem to represent the interests of the child or ward in proceedings before the court.

**SECTION 106.** If House Bill 4082 becomes law, section 49 of this 2012 Act is amended to read:

**Sec. 49.** Section 38 of this 2012 Act and the amendments to ORS 45.275, 45.285, 135.050, 151.216, 151.225, 151.487, [419A.170.] 419A.211, 419B.198, 419C.203 and 419C.535 and **section 2, chapter \_\_\_\_\_, Oregon Laws 2012 (Enrolled House Bill 4082)**, by sections 37, [and] 39 to 48 and **105** of this 2012 Act become operative on July 1, 2012.

**CAPTIONS**

**SECTION 107.** The unit captions used in this 2012 Act are provided only for the convenience of the reader and do not become part of the statutory law of this state or express any legislative intent in the enactment of this 2012 Act.

**EMERGENCY CLAUSE**

**SECTION 108.** This 2012 Act being necessary for the immediate preservation of the public peace, health and safety, an emergency is declared to exist, and this 2012 Act takes effect on its passage.

Passed by Senate March 5, 2012

Received by Governor:

.....M,....., 2012

.....  
Robert Taylor, Secretary of Senate

Approved:

.....M,....., 2012

.....  
Peter Courtney, President of Senate

.....  
John Fitzhaber, Governor

Passed by House March 5, 2012

Filed in Office of Secretary of State:

.....  
Bruce Hanna, Speaker of House

.....M,....., 2012

.....  
Arnie Roblan, Speaker of House

.....  
Kate Brown, Secretary of State

**FISCAL IMPACT OF PROPOSED LEGISLATION****Measure: SB 1579-A**

Seventy-Sixth Oregon Legislative Assembly – 2012 Regular Session  
 Legislative Fiscal Office

Prepared by: Ken Rocco  
 Reviewed by: Linda Ames, Sheila Baker, Steve Bender, John Borden, Monica Brown, Laurie Byerly,  
 Michelle Deister, Daron Hill, Susie Jordan, John Terpening, and Doug Wilson  
 Date: March 5, 2012

**Measure Description:** SB 1579 implements statutory changes necessary to support the legislatively approved budget and to clarify the application of statutes.

**Government Unit(s) Affected:** Multiple agencies and local government

**Local Government Mandate:** This bill does not affect local governments' service levels or shared revenues sufficient to trigger Section 15, Article XI of the Oregon Constitution.

**Analysis:** This measure implements statutory changes necessary to support the legislatively approved budget and to clarify the application of statutes. The measure declares and emergency and is effective on passage.

The budgetary impacts of provisions of this measure are contained in SB 5701 which is the 2012 session's budget reconciliation bill for the 2011-13 biennium. Other Funds transfers provided in this measure are one-time in nature and only apply to the 2011-13 biennium. Unless otherwise noted, the transfers are to be made on the effective date of measure.

The following table summarizes, by section and agency, the fiscal impact of this measure.

Section/Gov't Unit	Program Change	Fiscal Impact
§1 Department of Administrative Services	Repeals direction to DAS to accept allotment plans allowing agencies to expend up to 54 percent of appropriated amounts in the first fiscal year of the 2011-13 biennium.	No fiscal impact
§2 to §3 Treasurer; Department of Administrative Services	Directs notification to President, Speaker, and Legislative Fiscal Officer when a previously scheduled bond issuance is cancelled.	No fiscal impact
§4 Oregon Health Authority	Changes reporting on the Maternal Mental Health Patient and Provider Education Program from every meeting of the Interim Joint Committee on Ways and Means to at least once during each odd-numbered year session.	No fiscal impact
§5 to §20 Joint Interim Committee on Ways and Means	Changes the reporting requirements of various reports due to the Emergency Board or the Joint Committee on Ways and Means to also include the Joint Interim Committee on Ways and Means.	No fiscal impact

Section/Gov't Unit	Program Change	Fiscal Impact
\$21 to \$22 Legislative Fiscal Office/Legislative Revenue Office	Requires reports from the Department of Administrative Services on the close of session revenue forecast and the collections of revenue from personal and corporate income taxes be made to the Legislative Fiscal Officer and Legislative Revenue Officer instead of the Emergency Board.	No fiscal impact
\$23 Parks and Recreation Department	Eliminates agency requirement to report on fee reductions, waivers, and exemptions on park fees and charges.	No fiscal impact
\$24 to \$25 Parks and Recreation Department	Eliminates agency requirement to report on use of moneys received from Salmon Registration Plate sales.	No fiscal impact
\$26 Department of Justice	Transfers funds from the Criminal Injuries Compensation Fund to the General Fund for general government purposes. These funds represent the State's share of damages recently collected by the Department of Justice for a tobacco-related lawsuit.	\$56,200,000 Transfer to General Fund
\$27 Secretary of State	Transfers funds from the Secretary of State's Operating Account (Business Registry fee revenues) to the General Fund for general government purposes. Estimated ending balance after transfer is \$2.2 million.	\$1,057,904 Transfer to General Fund
\$28 Business Development Department	Transfers funds from the Building Opportunities for Oregon Small Business Today Account to the General Fund for general government purposes. Estimated balance after transfer is \$1.3 million.	\$3,692,500 Transfer to General Fund
\$29 to \$30 Governor's Office	Establishes the Governor's Office Operating Fund separate and distinct from the General Fund. Fund will consist of gifts, grants, or contributions.	Indeterminate; \$1 Other Funds expenditure limitation established in SB 5701
\$31 Oregon Health Authority	Transfers funds from the Tobacco Use Reduction Account to the General Fund for general government purposes. Transfer shall be made no later than June 30, 2013.	\$1,500,000 Transfer to General Fund
\$32 Oregon Health Authority	Transfers funds from the Community Housing Trust Account to the Oregon Health Authority Fund to maintain services in community mental health programs.	\$5,726,586 Transfer to Other Funds
\$33 Employment Department	Transfers funds from the Special Administrative Fund to the General Fund for general government purposes.	\$1,100,000 Transfer to General Fund
\$34 Employment Department	Transfers funds from the Supplemental Employment Department Administration Fund to the General Fund for general government purposes.	\$9,000,000 Transfer to General Fund
\$35 Department of Revenue	Transfers funds from Tax Amnesty Fund to the General Fund for general government purposes	\$3,000,000 Transfer to General Fund



Section/Gov't Unit	Program Change	Fiscal Impact
\$36 Education Stability Fund	Modifies the transfer date to September 1, 2012, from June 1, 2012, for the 2011-2012 School Year Subaccount.	No fiscal impact
\$37 to \$49 Public Defense Services	Separates the Public Defense Services Account from the General Fund. Becomes operative July 1, 2012.	No fiscal impact
\$50 Oregon Health Authority	Ratifies fee increases for the Oregon Environmental Laboratory Accreditation Program which had been administratively established at the beginning of the 2011-13 biennium.	Minimal
\$51 Department of Veterans' Affairs	Ratifies fee increases for the Conservatorship Program which had been administratively established at the beginning of the 2011-13 biennium.	Minimal
\$52 Oregon Health Licensing Agency	Ratifies fee changes for the Oregon Health Licensing Agency, which had been administratively established at the beginning of the 2011-13 biennium, with the one exception. The licensing and renewal fees for the State Board of Direct Entry Midwifery were established at \$1,200 rather than \$1,800.	\$212,375 OF Revenue 11-13 \$334,648 OF Revenue 13-15
\$53 Oregon Judicial Department	Transfers funds from the State Court Facilities and Security Account to the General Fund for general government purposes.	\$6,552,125 Transfer to General Fund
\$54 Department of Environmental Quality	Transfers funds from the Motor Vehicle Pollution Account to the General Fund for general government purposes. Estimated balance after transfer is \$3.5 million.	\$3,000,000 Transfer to General Fund
\$55 Oregon University System	Permits use of previously issued Lottery Bond proceeds to be utilized for debt service for the 2011-13 biennium.	Other Funds expenditure limitation provided in SB 5701.
\$56 to \$58 Judicial and Legislative Departments	Continuously appropriates the unexpended General Fund budget for the Judicial and Legislative Departments beginning with the 2009-11 biennium.	One-time impact to General Fund resources of \$2,500,000
\$59-\$71 Multiple agencies	Exempts semi-independent agencies, Oregon Tourism Commission, Oregon Film and Video Office, Oregon Health & Science University, Travel Information Council, Oregon Corrections Enterprises, Oregon Lottery Commission, Commodity Commissions, SALF, Oregon Health Insurance Exchange Corporation, Oregon Utility Notification Center, Oregon Community Power, and the Citizen's Utility Board from statutes relating to financing agreements (ORS 283.085 - .092).	No fiscal impact

Section/Gov't Unit	Program Change	Fiscal Impact
§72 Department of Justice	Transfers funds from the Protection and Education Revolving Account to the General Fund for general government purposes. An equivalent amount will be transferred to the Protection and Education Revolving Account within two months from a settlement relating to mortgage fraud. The Department of Justice may present a plan to the Emergency Board and request expenditure limitation for these funds.	\$4,000,000 Transfer to General Fund
§73 Department of Administrative Services	Transfers funds from the Insurance Fund to the General Fund for general government purposes. Following the transfer, the projected fund balance is about \$95 million. This transfer does increase the program's unfunded liability, as liabilities are currently estimated to be \$127 million.	\$13,300,000 Transfer to General Fund
§74 Oregon Judicial Department	Permits counties of less than 400,000 inhabitants to determine how to allocate funding for conciliation and mediation services in circuit courts and county law library services. Counties of more than 400,000 inhabitants may expend no more than \$716,000 of the allocated funding for capital outlay for court facilities.	No fiscal impact
§75-78 Oregon Judicial Department	Modifies permissive language concerning the adoption of state standards for court security, emergency preparedness, and business continuity. Authorizes the use of the State Court Facilities and Security Account (Account) for circuit court expenses. Redirects interest earnings in the Account to the General. Limits use of Account for non-personnel related expenditures consistent with an adopted plan, effective July 1, 2013. Repeals expenditure limitation for the 2011-13 biennium.	No fiscal impact
§79 Oregon Judicial Department	Requires the Judicial Department to report the legislature on changes to a compensation plan prior to implementation.	No fiscal impact
§80-82 Department of Human Services	Denies Temporary Assistance to Needy Families (TANF) support to families who met the 60-month federal benefit limit; ends post-TANF payments beginning May 1, 2012, two months earlier than originally budgeted; and increases the ineligibility period to 120 days from 60 days for caretakers separated from employment without good cause (i.e., "job quit").	<\$910,538> General Fund which will be reflected in SB 5701

Section/Gov't Unit	Program Change	Fiscal Impact
§83 Department of Human Services	Permits the department to limit reimbursement paid to Medicaid-certified long term care facilities for the period beginning April 1, 2013 through June 30, 2013.	Indeterminate
§84-85 Department of Education, School Districts	Extends the sunset on the Small School District Supplement Fund for one year (June 30, 2013).	See Revenue Impact Statement; SB 5701 adds \$2,500,000 General Fund to the State School Fund
§86-87 Local Government	Requires the League of Oregon Cities and Association of Oregon Counties to report to the Legislative Fiscal Officer on caseload, revenue, and expenditure information related to municipal and justice courts once every two years.	Minimal
§88-97 Oregon Health Authority, State Treasurer	Requires Oregon Health Insurance Exchange Corporation to establish and deposit moneys into accounts in federally insured depositories. Specifies the corporation's obligations are not a pledge of the full faith and credit of the State.	No fiscal impact
§98 Military Department, Department of Administrative Services	Changes the name of the Oregon Local Disaster Assistance Loan Account to the Oregon Local Disaster Assistance Loan and Grant Account; provides for non-matching grants or loans to local governments and school districts for federally declared emergencies. The Department of Administrative Services will determine the terms and condition for the repayment of loans from the Account, with such repayments to be deposited into the General Fund.	Indeterminate
§99 Multiple agencies	Provides that transfers established in §26-28, 31-35, 53-54, and 72-73 shall be made from moneys in the Funds or Accounts on the effective date of transfer.	No fiscal impact
§100 Employment Department/Department of Transportation	Repeals two statutes requiring reports that are no longer required. Reports were related to federal unemployment and Light Rail Construction.	No fiscal impact
§101 to §103	Conforming amendment to make language changes to various statutes.	No fiscal impact
§104 to §106	Conflict amendments with HBs 4082 & 4163	No fiscal impact
§107 to §108	Captions and emergency clause.	No fiscal impact

76<sup>th</sup> OREGON LEGISLATIVE ASSEMBLY – 2012 Session  
STAFF MEASURE SUMMARY

MEASURE: SB 1579-A

Joint Committee on Ways and Means

Carrier – House: Rep. Buckley  
Carrier – Senate: Sen. Devlin

Revenue: Revenue statement issued

Fiscal: Fiscal statement issued

Action: Do Pass as Amended and as Printed A-Engrossed

Vote: 21 – 3 – 1

House

Yeas: Beyer, Buckley, Cowan, Freeman, Garrard, Komp, McLane, Nathanson, Nolan, Read, Richardson,

G. Smith, Thatcher, Whisman

Nays:

Exc:

Senate

Yeas: Bates, Devlin, Johnson, Monroe, Nelson, Verger, Winters

Nays: Girod, Thomsen, Whitsett

Exc: Edwards

Prepared By: Monica Brown, Legislative Fiscal Office

Meeting Date: March 5, 2012

**WHAT THE MEASURE DOES:** This measure implements statutory changes necessary to support the legislatively adopted budget. The measure also transfers a portion of select Other Funds balances to the General Fund for general government use. The measure is effective on passage.

**ISSUES DISCUSSED:**

- Effect of the amendment and fiscal impact
- Reallocation of funds
- Additional Judicial reporting

**EFFECT OF COMMITTEE AMENDMENT:** -23 amendment modifies several interim reporting requirements; transfers \$102.4 million from 11 Other Funds sources to the General Fund to help support the 2011-13 statewide budget rebalance; extends the sunset provision for the Small School Fund; changes the transfer date for the School Year Subaccount; ratifies fees established in the 2011 interim; modifies provisions of the Temporary Assistance to Needy Families (TANF) program; exempts certain quasi and semi-independent agencies from statutes concerning financing agreements; permits the Judicial and Legislative Branches to retain unexpended General Fund from the prior biennium; establishes an Operating Fund for the Governor's Office to accept gifts, grants, and donations; modifies use of funding for circuit court conciliation and mediation and county law library services; requires municipal and justice courts to report caseload and financial information; modifies the Oregon Local Disaster Assistance Loan program to include grants and provides for non-matching grants or loans; and resolves conflicts with HBs 4082 and 4163.

**BACKGROUND:** Each legislative session, a "program change" bill is introduced by the Joint Committee on Ways and Means. The measure, relating to state financial administration, is not an appropriation bill and therefore does not include the appropriation of funds.

## ORBITS Budget Narrative

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# Budget Narrative

## Department of Administrative Services

### Agency Summary

#### Budget Summary Graphics

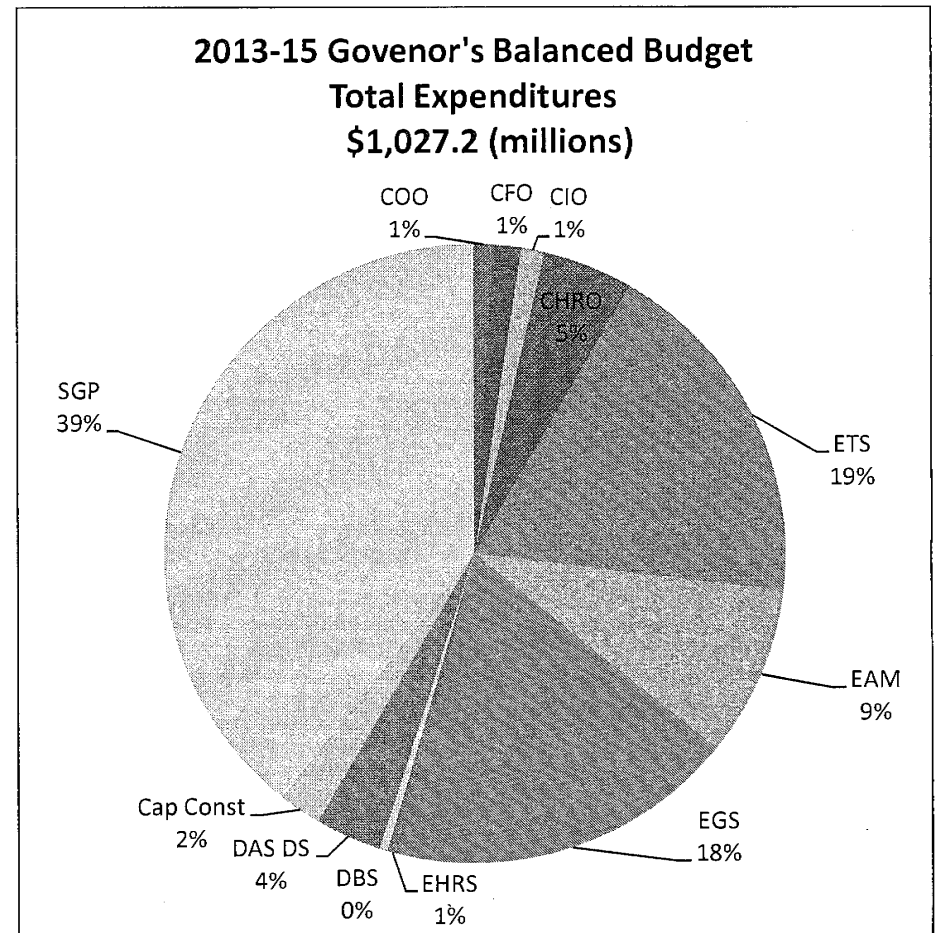
**Budget Summary Graphic No. 1: How the budget is allocated among programs or activities.**

This graph shows the allocation of total expenditures among the major Department of Administrative Services (DAS) programs and activities.

- SGP: Special Governmental Payments
- ETS: Enterprise Technology Services
- EGS: Enterprise Goods and Services
- EAM: Enterprise Asset Management
- CHRO: Chief Human Resource Office
- Const: Capital Construction and Capital Improvements
- CFO: Chief Financial Office
- CIO: Chief Information Office
- COO: Chief Operating Office
- EHRS: Enterprise Human Resource Services
- DBS: DAS Business Services
- DAS DS: DAS Only Debt Service

Debt service payments on Pension Obligation Bonds account for the bulk of the "SGP" category this biennium. In addition to these expenditures, DAS transfers lottery and other miscellaneous revenues through its budget to other state agencies.

A full description of the programs listed above can be found later in this section of the budget document.

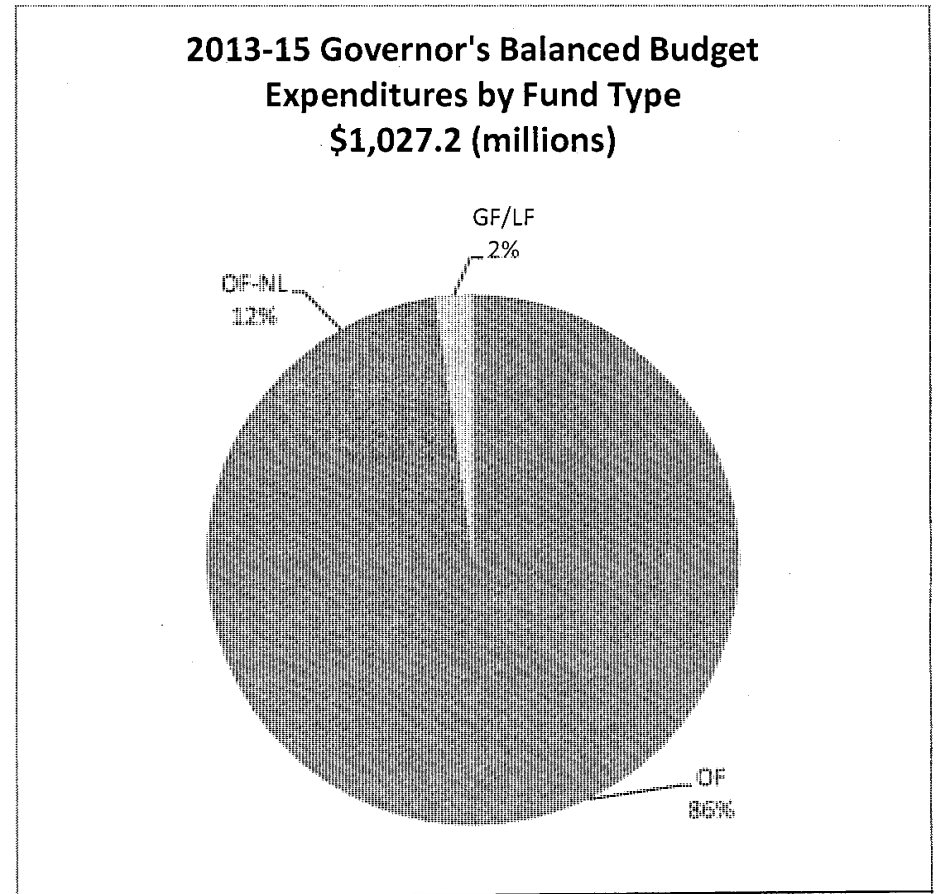


# Budget Narrative

**Budget Summary Graphic No. 2: Distribution by fund type.** This graph displays total expenditures by fund type.

## Definitions of fund types:

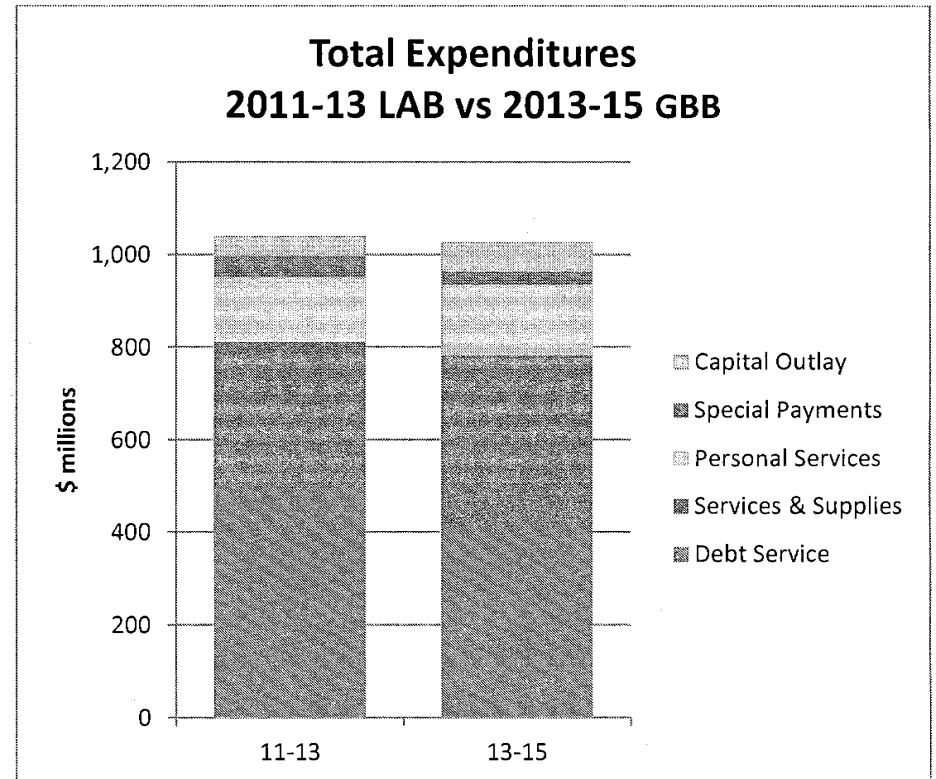
- **General Fund:** personal and corporate income tax revenue, which the Legislature may apply to virtually any governmental purpose, agency, or program.
- **Lottery Funds:** Constitutionally dedicated for creating jobs, furthering economic development, financing public education, restoring and protecting parks, beaches, watersheds and critical fish and wildlife habitats.
- **Other Funds:** Money (other than General Fund or Federal Funds) that an agency receives; for DAS, most of these are assessments and service charges received from other state agencies.
- **Other Funds Non-Limited:** Expenditures for which the Legislature defines purposes, but sets no dollar limits. Within DAS, only the Risk fund is non-limited.





# Budget Narrative

**Budget Summary Graphic No. 3: Comparison of 2011-13 Legislatively Approved Budget (as of August 2012) with the 2013-15 Governor's Balanced Budget.**



# Budget Narrative

## Mission Statement & Statutory Authority

The DAS mission is to serve state government to benefit the people of Oregon.

### OREGON REVISED STATUTES:

ORS	Summary
Chapter 30	Tort Actions Against Public Bodies
Chapter 182	State Administrative Agencies; Information Systems Security; Relationships with Tribes
Chapter 184	Establishes Department of Administrative Services and outlines selected duties
Chapter 190	Cooperation of Governmental Units
Chapter 240	State Personnel Relations
Chapter 270	Public Lands; Disposition of property, proceeds of sale, agreements for management and inventory of state-owned property
Chapter 276	Public Facilities, Contracting and Insurance
Chapter 278	Insurance for Public Bodies
Chapter 279	Public Contracting - Qualified Rehabilitation Facilities
Chapter 279 a, b and c	Public Contracting – General Provisions, Public Procurement and Public Improvement and Related Contracts
Chapter 282	Public Printing
Chapter 283	Interagency Services
Chapter 286 a	State Borrowing - Bonds
Chapter 291	State Financial Administration
Chapter 292	Salaries and Expenses of State Officers and Employees
Chapter 293	Administration of Public Funds

**Distributions:** ORS 291.407 – State Agency Mass Transit Assessments and Distributions to Transit Districts  
 ORS 291.276 – State Central Government Service Charge  
 ORS 221.770 – City Revenue Sharing of Oregon Liquor Control Commission (OLCC) Earnings  
 ORS 323.457 – Department of Revenue Cigarette Tax Distribution to Cities and Counties  
 ORS 320.100 – Department of Revenue Amusement Device Tax Distribution to Counties  
 ORS 293.560 – 25-percent U.S. Forest Service National Forest Products Sale Proceeds Distributed to Counties  
 ORS 293.565 – Federal Mineral Leases Proceeds Distributed to Counties  
 ORS 272.085 – 5-percent Land Sales Proceeds Distributed to Counties  
 ORS 293.575 – U.S. Taylor Grazing Fees Distributed to Counties  
 ORS 293.570 – Federal Flood Control Proceeds Distributed to Counties  
 ORS 461.540 – Oregon Lottery Proceeds Distributed to State Agencies, the Education Endowment Fund, and Other Entities  
 ORS 348.696 – Education Endowment Fund Earnings Distributed to the State School Fund and the State Scholarship Commission  
 ORS 293.537 – Tobacco Settlement Account Funds  
 ORS 286A.573 – Lottery Bond Administrative Fund  
 ORS 285C.639 – Shared Services Fund  
 ORS 291.120 – Distribution of Agency Savings  
 ORS 292.045 – Deduction for payment of United Fund  
 ORS 293.144 – General Fund interest to the Oregon Rainy Day Fund  
 ORS 297.466 – Withholding State Funds – Municipal Audit Law

# Budget Narrative

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## 2013-15 Two-Year Agency Plan

Early in his new administration, Governor Kitzhaber created the position of Chief Operating Officer (COO) to review outdated systems, streamline departments and create efficiencies and costs savings in state government. The COO reports directly to the Governor and is responsible for leading the day-to-day operations of state government. The COO also serves as the Director of DAS.

DAS restructured July 1, 2012 to implement a customer-focused entrepreneurial management model. DAS leadership commits to the following strategic direction:

### Mission

We serve state government to benefit the people of Oregon.

- Service means implementing the decisions of the Governor and policy makers.
- Service means taking the lead on behalf of state government.
- Service means partnering with our customers to achieve desired outcomes.
- Service means delivering the best value for every dollar spent in state government.

### Vision

We model value-driven leadership to provide services and develop policy.

- By taking an enterprise view of government operations, we implement cost-effective, efficient and sustainable policies and practices.
- By listening and responding to our employees, customers and stakeholders, we provide the best possible customer service and policy guidance.
- By continuously improving, we lead by example.

### Outcome Goals

We achieve the following goals:

- The right service, at the right time, for the right price.
- Effective, high-quality governance and oversight.
- A knowledgeable, skilled, diverse and engaged workforce.
- Respect among agencies and with the people of Oregon.

### Strategies

- Continuously improve everything we do through engaged employees, proven business methods, data-driven decision making and effective technologies.
- Improve our organizational performance through accountability, continual learning and entrepreneurial management.
- Advocate effective policies and remove barriers to success.
- Partner on policy and service-delivery decisions.

Over the next two years, DAS will be focused on continuing to bring agencies together to work on initiatives that affect all of state government. This work is being conducted by a number of groups, including:

- the Enterprise Leadership Team, which is comprised of directors of the largest state agencies;
- the Improving Government Steering Committee – a subcommittee of the ELT, which is working to find administrative efficiencies across the executive branch
- Customer Utility Boards, which have been established to govern the work of the 4 new DAS Service Enterprises

By working more closely across state government, DAS and the COO are focused on making state government more efficient and transparent.

# Budget Narrative

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## Program Descriptions:

The department reorganized on July 1, 2012, implementing a new structure focused on Entrepreneurial Management. The new structure is designed to separate the agency into policy teams and service teams. This allows the service side of the agency to focus on providing great customer service while policy officers focus on overarching issues that affect all state agencies.

## Policy Functions:

- **Chief Operating Office (COO)** manages all executive branch agencies; provides governmental and external communications; coordinates statewide internal audits; coordinates legislative activities; implements enterprise initiatives; and provides analysis of the economy, revenue and population.
- **Chief Financial Office (CFO)** is responsible for developing the Governor's Recommended Budget; assists executive branch agencies in the budget development; provides centralized procurement and facilities policy; and oversees statewide accounting and reporting.
- **Chief Information Office (CIO)** is responsible for enterprise IT strategic planning, e-government, geospatial information and statewide information security.
- **Chief Human Resources Office (CHRO)** provides direction and services to promote a stable and qualified workforce in Oregon state government by managing the state classification & compensation system; promulgating statewide human resources (HR) policy; providing executive recruitment services; managing statewide labor relations including leading collective bargaining; and implementing a statewide workforce development strategy.

## Service Functions:

- **Enterprise Goods and Services (EGS)** consists of several key state government programs that serve/support other public agencies. These programs include Risk Management, Publishing and Distribution, Procurement Services, and Accounting for DAS and client agencies.
- **Enterprise Asset Management (EAM)** secures facilities that provide a functional work environment for state employees. To meet this goal, the division works collaboratively with customers to competitively deliver services. Key services include: building operations; building maintenance; real estate services; space planning and construction project management; fleet and parking; and surplus property services.
- **Enterprise Technology Services (ETS)** is the leading supplier in managed computing technology for Oregon state government. The ETS infrastructure is designed to offer the highest availability in Oregon for mainframe, storage and server-based applications. ETS focuses on finding ways technology can enhance the state's business model to create opportunities for state government.
- **Enterprise Human Resource Services (EHRS)** provides direct HR support to DAS and client agencies as well as maintaining the statewide HR information systems used by most state agencies.
- **DAS Business Services (DBS)** provides budget, business continuity, performance management and data analysis services to DAS. DBS is also responsible for DAS' records management, information security and administrative rules programs. Customer Utility Boards (which govern DAS' service enterprises) are staffed by the Business Services team.

# Budget Narrative

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## Environmental Factors:

- **Economic Climate:** Oregon's economy continues to struggle. Declining revenues have resulted in budget reductions at the state and local levels of government. While recovery appears to be underway, many factors around the world contribute to economic uncertainty in the future.
- **Information Technology (IT) Operational Environment:** Staying abreast of a dynamic and rapidly evolving IT environment is a challenge. Until the establishment of the State Data Center, the state's investments and initiatives in IT infrastructure occurred in a fragmented, decentralized way. The consolidated State Data Center has enabled the state to reap significant savings and improvements, such as: 24/7 operations; improved tools and processes through collective purchasing; enhanced security; better and more reliable technology; improved ability to recover from disaster; and standardization. This "enterprise" approach to information resources management and IT service delivery offers a promising opportunity for state government to improve efficiency and effectiveness.

DAS has consolidated all of its operational Information Technology functions – both internal to DAS and external services – into the new Enterprise Technology Services division. This new enterprise includes the State Data Center, DAS' internal application development teams, and desktop support teams. The goal of the consolidation is to increase efficiency, lower costs, and expand opportunities to provide service to more client agencies.

- **Information Security Environment:** Safeguarding computing systems and network infrastructure is critically important. The goal of information security is to protect the confidentiality, integrity, and availability of information assets.

Information security management enables data to be shared while ensuring protection of that information and its associated technology assets. Implementing a reasonable set of controls—including policies, procedures, processes, personnel, organizational structures, and technology—helps achieve optimal security. Information security is a business responsibility. Every agency has responsibility for ensuring that appropriate safeguards are in place to protect its information assets, and to ensure that it does not undermine the security of other state entities, business partners or the public.

DAS will continue to provide tools and consultation to assist all agencies in keeping information secure. Tools include the Information Security Business Risk Assessment (ISBRA), which a number of agencies use to measure their information security maturity; DAS is one of the agencies that takes part in the ISBRA. DAS also takes part in internal risk assessments on a periodic basis.

- **Business Continuity and Disaster Recovery Planning Environment:** Natural disasters, accidents, or purposeful acts of disruption can interrupt operations and impede critical public services. Mitigating the disruptions requires resources (people and dollars) to plan for the maintenance or restoration of critical state services. Business Continuity Plans require periodic review and updating to minimize any potential disruption of business processes, and to ensure that alternative strategies are in place. Though the responsibility of identifying business continuity plans rests with the state agencies, much of the responsibility for enterprise functions—such as computing and network infrastructure—belongs to DAS.
- **E-government:** States use e-government to reduce costs, improve services, and connect systems across jurisdictions.

# Budget Narrative

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States have begun moving to consolidated infrastructures, central support for common functions (e.g., content-hosting and electronic payments) and connections among agencies to let citizens and businesses interact with state government in an efficient and uniform manner.

Oregon has a robust E-government program which was recently moved to a new vendor (NIC), which has successfully implemented similar programs in more than half the states. We expect this move to allow broader use by state agencies and the public.

- **Geographic Information Systems and Data Development (GIS):** At least 80 percent of the information collected and managed by government is geographic. That is, it's information about a location, or an event at a specific location. It's vital to know where we are and what's around us. Public agencies and other organizations in Oregon depend on maps and geographic information to support day-to-day operations. This information also supports good planning and decision-making.

There is a critical need to coordinate, develop and maintain geographic information and the computer systems that manage it. The main work of DAS' Geospatial Enterprise Office (GEO) is coordination, to ensure the quality and usefulness of Oregon's geographic information. navigatOR is a statewide effort to coordinate with local, state, tribal, and federal government, and private companies. navigatOR will lower the cost of managing geographic information and benefit all Oregonians.

- **Solvency of the Insurance Fund:** Risk Management bears the statutory obligation to maintain an actuarially sound insurance fund, which includes several components. Due to passage of Senate Bill 311 in the 2009 Legislative Session, which increased tort cap limits for state and local

governments, the potential increased risk fund costs over time are only now beginning to be understood. And, agencies have to review business practices to ensure that the new liability limits are appropriately applied as they contract with private, public and non-profit partners.

- **Customer Expectations:** Customers expect state government to meet their needs efficiently, quickly, and cost effectively. They expect highly trained and competent staff that communicate effectively and provide quality customer service.

Because DAS' primary customers are state and local governmental agencies, we have established Customer Utility Boards to govern our work. These boards include representatives from small, medium and large agencies as well as leaders from local government. The CUBs have responsibility for setting rate methodologies, establishing service offerings, and setting service level agreements.

## Initiatives:

DAS is proposing 18 policy option packages in our Agency Request Budget. Of the 18 policy option packages proposed, eleven packages were approved, four packages were reduced and three packages were denied at Governor's Balanced Budget. All packages contributed to achieving the DAS mission and strategic plan. The highest priority initiatives will generate a statewide impact and support continued development of key projects already under way.

**Package No. 101 – Chief Operating Officer Project Managers (APPROVED):** This package will enable the Chief Operating Office to develop, plan and implement Enterprise Initiatives to improve state government operations. In the 2013-2015 biennium, Enterprise Initiatives are expected to double in response to the demands for

## Budget Narrative

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improvements in state government operations from the Enterprise Leadership Team, the Governor and the Legislature. While ELT agency staff actively participate in the projects, having dedicated high skilled technical staff is critical to having a consistent approach to these Enterprise Initiatives.

### **Package No. 102 – Facilities Assessment and Planning Software (APPROVED):**

This package will provide a critical component to more effectively perform capital planning and to evaluate performance against agreed upon standards. Software will project future capital needs, such as replacement of major components including roofs, HVAC systems, etc. so that such costs can be properly included in cost-recovery models. Development of benchmarks and analytics will enable management and policymakers to evaluate performance of Operations and Maintenance functions compared to industry standards, and better ascertain appropriate funding levels.

**Package No. 103 –Broadband Mapping (APPROVED):** Allows the CIO to continue limited duration position authorization to complete Oregon broadband mapping through December 2014. Funding is through a National Telecommunications and Information Administration (NTIA) grant to Oregon PUC.

**Package No. 104 – Replace Personnel Position Data Base (APPROVED):** The purpose of this package is to provide the State of Oregon with a single, comprehensive Human Resource Information System (HRIS) to replace the 22 year old, state developed system that is beyond its useful life. The current HRIS no longer adequately supports the state functions it was originally designed to process and has been modified since implementation to accommodate changes to HR policy, statute, and other business process changes. The system is not capable of being upgraded to meet the modern HR system needs with complex human resource requirements faced by roughly 90 executive branch state agencies and 130 boards and commissions.

### **Package No. 105 – Did not move forward at Agency Request.**

**Package No. 106 – Equipment Lifecycle Replacement (REDUCED/APPROVED):** Establish funding necessary in order to ensure that the equipment that is used by agencies for networking, computing and telephone services are replaced to avoid lengthy and long technology outages. Lifecycle replacement has been deferred for last two biennia.

**Package No. 107 – Support IT Infrastructure Growth (REDUCED/APPROVED):** Support customer projected growth in technology usage and existing services. This growth also includes the funding to support the ongoing technology of new agency projects once they move from development to operational in the 2013-15.

**Package No. 108 – Leverage Technology (REDUCED/APPROVED):** Invest in infrastructure and tools which reduce the demand for more expensive or duplicate alternatives. Implements infrastructure for an integrated user directory to support enterprise shared systems such as e-mail or replacement of legacy systems needed statewide.

**Package No. 109 – Security (REDUCED/APPROVED):** Implementation of increasing technology security enhancements and best practices required by both agencies' federal audits and SOS. Implementation includes technology and training to increase the confidentiality, integrity and availability of the state's information assets.

**Package No. 110 – eGovernment Systems Support (APPROVED):** The purpose of this package is to ensure that the statewide e-Government services provide stable and reliable support for managing their web content, online commerce transactions, portal applications, online enterprise licensing, and internet applications services through citizen accessed portals.

# Budget Narrative

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**Package No. 111 – Companion Package for Agency Projects (APPROVED):** Companion package to agency project proposals to cover software, hardware, maintenance and personal services need to support the development through implementation of the agencies projects.

**Package No. 112 – CIO Support (DID NOT MOVE FORWARD):** Project manager for CIO Office. Provides policy and planning for statewide IT projects.

**Package No. 113 – Fleet Vehicle Purchase (APPROVED):** Increase limitation to allow the purchase of new vehicles.

**Package No. 114 – Surplus Staffing (DID NOT MOVE FORWARD):** Establish one position at Surplus.

**Package No. 115 – Procurement Services Strategic Sourcing Team (DID NOT MOVE FORWARD):** Support Strategic Sourcing initiatives to consolidate enterprise-wide spend and customer needs to achieve cost savings and best value. Key functions will include: conduct opportunity assessments with key stakeholders (i.e. Policy, CUB, Exec Team); increase depth of spend, category, saving lever, and supplier market analysis and supplier performance reviews; develop supplier and category profiles (i.e. supply chain, company health, cost drivers, supplier performance score cards); and create buying flexibility within Price Agreements when possible.

**Package No. 116 – Supreme Court Building Renovation (APPROVED):** This package will enable the Enterprise Asset Management Division, Administration, Planning and Construction Management Program to assist and provide project management for Oregon Judicial Department's Supreme Court Building Renovation project.

**Package No. 117 – Capital Planning (APPROVED):** This package will provide necessary staffing and contract resources to establish a proactive capital planning function within the Office of the Chief Financial Officer. The Capital Planning Unit currently has only two FTE. Over time, it is anticipated that a more robust capital planning function will enable the State to achieve efficiencies in maintenance and operations, space utilization, energy efficiency, and other areas; more effectively plan for future needs, both in construction, leasing, sale or purchase of existing facilities and replacement/upgrade of key components within facilities; standardize reporting and better integrate facilities reporting within the budget process; promote best practices, establish benchmarks and analytics and measure performance against the same.

**Package No. 118 – Oregon Govt. Ethics Commission (APPROVED):** OGEC new funding source, DAS bills, collects and transfer funds to OGEC. (Revenue only).

**Package No. 489 – Capital Construction Projects (APPROVED):** Establish new capital construction budget for 2013-15.

## Criteria for 2013-15 Budget Development

DAS' strategic plan established a framework for selecting policy option packages in the 2013-15 budget development. Within this framework, the following criteria helped prioritize the initiatives outlined in the policy option packages described above:

1. Federal/State mandate
2. Life/Health/Safety
3. Customer driven/improved customer service
4. Core infrastructure - future positioning of service delivery
5. Fits with Governor's priorities
6. Risk of service delivery failure



## Budget Narrative

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### **Key Performance Measure Criteria**

The department's strategic plan goals and strategies are the criteria against which agency key performance measures were developed and improved.

The department's performance on its key performance measures can be found in its Annual Performance Progress Report in this binder.

# Budget Narrative

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## Major Information Technology Projects \$500,000-plus

The following are IT-related policy option packages over \$500,000. Descriptions of these appear in the program unit section of this budget request:

### Package No. 110 – eGovernment Systems Support (APPROVED):

The purpose of this package is to ensure that the state-wide e-Government services provide stable and reliable support for managing their web content, online commerce transactions, portal applications, online enterprise licensing, and internet applications services through citizen accessed portals.

## Information Technology Projects \$150,000-plus

### Package No. 104 – Replace Personnel Position Data Base (APPROVED):

The purpose of this package is to provide the State of Oregon with a single, comprehensive Human Resource Information System (HRIS) to replace the 22 year old, state developed system that is beyond its useful life. The current HRIS no longer adequately supports the state functions it was originally designed to process and has been modified since implementation to accommodate changes to HR policy, statute, and other business process changes. The system is not capable of being upgraded to meet the modern HR system needs with complex human resource requirements faced by roughly 90 executive branch state agencies and 130 boards and commissions.

### Package No. 106 – Equipment Lifecycle Replacement (REDUCED/APPROVED):

Establish funding necessary in order to ensure that the equipment that is used by agencies for networking, computing and telephone services are replaced to avoid lengthy and long technology outages. Lifecycle replacement has been deferred for last two biennia.

### Package No. 108 – Leverage Technology (REDUCED/APPROVED):

Invest in infrastructure and tools which reduce the demand for more expensive or duplicate alternatives. Implements infrastructure for an integrated user directory to support enterprise shared systems such as e-mail or replacement of legacy systems needed statewide.

### Package No. 109 – Security (REDUCED/APPROVED):

Implementation of increasing technology security enhancements and best practices required by both agencies' federal audits and SOS. Implementation includes technology and training to increase the confidentiality, integrity and availability of the state's information assets.

### Package No. 111 – Companion Package for Agency Projects (APPROVED):

Companion package to agency project proposals to cover software, hardware, maintenance and personal services need to support the development through implementation of the agencies projects.

**Summary of 2013-15 Biennium Budget**

Administrative Svcs, Dept of  
 Administrative Svcs, Dept of  
 2013-15 Biennium

Governor's Budget  
 Cross Reference Number: 10700-000-00-00-00000

Description	Positions	Full-Time Equivalent (FTE)	ALL FUNDS	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds
2011-13 Leg Adopted Budget	774	770.67	1,040,449,754	8,172,526	11,740,796	898,121,111	-	122,415,320	1
2011-13 Emergency Boards	(1)	(1.00)	(1,803,723)	238,488	332,732	(2,374,943)	-	-	-
<b>2011-13 Leg Approved Budget</b>	<b>773</b>	<b>769.67</b>	<b>1,038,646,031</b>	<b>8,411,014</b>	<b>12,073,528</b>	<b>895,746,168</b>	<b>-</b>	<b>122,415,320</b>	<b>1</b>
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	(9)	(8.75)	10,164,748	9,925	-	10,154,823	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			(87,523,512)	1,781	473,050	(87,998,343)	-	-	-
Base Nonlimited Adjustment			136,957	-	-	-	-	136,957	-
Capital Construction			(13,243,854)	-	-	(13,243,854)	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	<b>764</b>	<b>760.92</b>	<b>948,180,370</b>	<b>8,422,720</b>	<b>12,546,578</b>	<b>804,658,794</b>	<b>-</b>	<b>122,552,277</b>	<b>1</b>
<b>Essential Packages</b>									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	67,663	-	-	67,663	-	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	359,380	566	-	358,814	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>427,043</b>	<b>566</b>	<b>-</b>	<b>426,477</b>	<b>-</b>	<b>-</b>	<b>-</b>
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	1,122,992	-	-	1,122,992	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	(26,264,933)	(1,325,000)	-	(24,939,932)	-	-	(1)
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>(25,141,941)</b>	<b>(1,325,000)</b>	<b>-</b>	<b>(23,816,940)</b>	<b>-</b>	<b>-</b>	<b>(1)</b>
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	11,610,337	1,550	-	11,608,787	-	-	-
State Gov't & Services Charges Increase/(Decrease)			25,141,460	(3,034)	-	25,144,494	-	-	-

**Summary of 2013-15 Biennium Budget**

Administrative Svcs, Dept of  
 Administrative Svcs, Dept of  
 2013-15 Biennium

Governor's Budget  
 Cross Reference Number: 10700-000-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal</b>	-	-	36,751,797	(1,484)	-	36,753,281	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	(1,289,944)	-	-	(1,289,944)	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	<b>764</b>	<b>760.92</b>	<b>958,927,325</b>	<b>7,096,802</b>	<b>12,546,578</b>	<b>816,731,668</b>	-	<b>122,552,277</b>	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Administrative Svcs, Dept of  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-000-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	<b>764</b>	<b>760.92</b>	<b>958,927,325</b>	<b>7,096,802</b>	<b>12,546,578</b>	<b>816,731,668</b>	<b>-</b>	<b>122,552,277</b>	<b>-</b>
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	<b>764</b>	<b>760.92</b>	<b>958,927,325</b>	<b>7,096,802</b>	<b>12,546,578</b>	<b>816,731,668</b>	<b>-</b>	<b>122,552,277</b>	<b>-</b>
080 - E-Boards									
081 - May 2012 E-Board	(4)	(4.00)	201,274	-	-	201,274	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	<b>(4)</b>	<b>(4.00)</b>	<b>201,274</b>	<b>-</b>	<b>-</b>	<b>201,274</b>	<b>-</b>	<b>-</b>	<b>-</b>
Policy Packages									
090 - Analyst Adjustments	(3)	(3.00)	(7,375,320)	750,000	-	(8,125,320)	-	-	-
091 - Statewide Administrative Savings	-	-	(10,183,389)	-	-	(10,183,389)	-	-	-
092 - PERS Taxation Policy	-	-	(404,559)	(611)	-	(403,948)	-	-	-
093 - Other PERS Adjustments	-	-	(3,232,617)	(4,881)	-	(3,227,736)	-	-	-
504 - Infrastructure Coordination	2	2.00	2,750,000	2,750,000	-	-	-	-	-
101 - COO - Project Managers	4	4.00	850,337	-	-	850,337	-	-	-
102 - Facilities Assessment & Planning Software	-	-	2,602,186	-	-	2,602,186	-	-	-
103 - CIO - Broadband Data Mapping	4	3.00	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	8	8.00	40,112,131	-	-	40,112,131	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	730,000	-	-	730,000	-	-	-
107 - ETS - Support IT Infrastructure Growth	3	3.00	3,428,248	-	-	3,428,248	-	-	-
108 - ETS - Leverage Technology	3	3.00	2,816,808	-	-	2,816,808	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Administrative Svcs, Dept of  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-000-00-00-00000**

<b>Description</b>	<b>Positions</b>	<b>Full-Time Equivalent (FTE)</b>	<b>ALL FUNDS</b>	<b>General Fund</b>	<b>Lottery Funds</b>	<b>Other Funds</b>	<b>Federal Funds</b>	<b>Nonlimited Other Funds</b>	<b>Nonlimited Federal Funds</b>
109 - ETS - Security	1	1.00	1,173,899	-	-	1,173,899	-	-	-
110 - ETS - eGov Admin Support	2	2.00	493,042	-	-	493,042	-	-	-
111 - ETS - Agency Companion POP	15	15.00	12,325,518	-	-	12,325,518	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	3,500,000	-	-	3,500,000	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	2	2.00	599,591	-	-	599,591	-	-	-
117 - Capital Planning	2	2.00	1,137,703	-	-	1,137,703	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	16,821,286	-	-	16,821,286	-	-	-
<b>Subtotal Policy Packages</b>	<b>43</b>	<b>42.00</b>	<b>68,144,864</b>	<b>3,494,508</b>	<b>-</b>	<b>64,650,356</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total 2013-15 Governor's Budget</b>	<b>803</b>	<b>798.92</b>	<b>1,027,273,463</b>	<b>10,591,310</b>	<b>12,546,578</b>	<b>881,583,298</b>	<b>-</b>	<b>122,552,277</b>	<b>-</b>
Percentage Change From 2011-13 Leg Approved Budget	3.90%	3.80%	-1.10%	25.90%	3.90%	-1.60%	-	0.10%	-100.00%
Percentage Change From 2013-15 Current Service Level	5.10%	5.00%	7.10%	49.20%	-	7.90%	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Public Employees Benefit Board  
2013-15 Biennium**

Governor's Budget  
Cross Reference Number: 10700-007-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	-	-	-	-	-	-	-	-	-
2011-13 Emergency Boards	-	-	-	-	-	-	-	-	-
<b>2011-13 Leg Approved Budget</b>	-	-	-	-	-	-	-	-	-
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	-	-	-	-	-	-	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			-	-	-	-	-	-	-
Base Nonlimited Adjustment			-	-	-	-	-	-	-
Capital Construction			-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	-	-	-	-	-	-	-	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
<b>Subtotal</b>	-	-	-	-	-	-	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Public Employees Benefit Board  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-007-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	-	-	-	-	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	-	-	-	-	-	-	-	-	-
080 - E-Boards									
081 - May 2012 E-Board	-	-	-	-	-	-	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	-	-	-	-	-	-	-	-	-
Policy Packages									
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
091 - Statewide Administrative Savings	-	-	-	-	-	-	-	-	-
092 - PERS Taxation Policy	-	-	-	-	-	-	-	-	-
093 - Other PERS Adjustments	-	-	-	-	-	-	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	-	-	-	-	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-



**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Public Employees Benefit Board  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-007-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	-	-	-	-	-	-	-	-	-
<b>Total 2013-15 Governor's Budget</b>	-	-	-	-	-	-	-	-	-
Percentage Change From 2011-13 Leg Approved Budget	-	-	-	-	-	-	-	-	-
Percentage Change From 2013-15 Current Service Level	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Oregon Educators Benefit Board  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-008-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	-	-	-	-	-	-	-	-	-
2011-13 Emergency Boards	-	-	-	-	-	-	-	-	-
<b>2011-13 Leg Approved Budget</b>	-	-	-	-	-	-	-	-	-
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	-	-	-	-	-	-	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			-	-	-	-	-	-	-
Base Nonlimited Adjustment			-	-	-	-	-	-	-
Capital Construction			-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	-	-	-	-	-	-	-	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
<b>Subtotal</b>	-	-	-	-	-	-	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Oregon Educators Benefit Board  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-008-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	-	-	-	-	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	-	-	-	-	-	-	-	-	-
080 - E-Boards									
081 - May 2012 E-Board	-	-	-	-	-	-	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	-	-	-	-	-	-	-	-	-
Policy Packages									
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
091 - Statewide Administrative Savings	-	-	-	-	-	-	-	-	-
092 - PERS Taxation Policy	-	-	-	-	-	-	-	-	-
093 - Other PERS Adjustments	-	-	-	-	-	-	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	-	-	-	-	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Oregon Educators Benefit Board  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-008-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	-	-	-	-	-	-	-	-	-
<b>Total 2013-15 Governor's Budget</b>	-	-	-	-	-	-	-	-	-
Percentage Change From 2011-13 Leg Approved Budget	-	-	-	-	-	-	-	-	-
Percentage Change From 2013-15 Current Service Level	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Chief Operating Office  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-030-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	24	24.00	7,386,989	272,059	-	7,114,930	-	-	-
2011-13 Emergency Boards	-	-	(211,164)	-	-	(211,164)	-	-	-
<b>2011-13 Leg Approved Budget</b>	<b>24</b>	<b>24.00</b>	<b>7,175,825</b>	<b>272,059</b>	<b>-</b>	<b>6,903,766</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	(4)	(4.00)	(270,691)	9,925	-	(280,616)	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			-	-	-	-	-	-	-
Base Nonlimited Adjustment			-	-	-	-	-	-	-
Capital Construction			-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	<b>20</b>	<b>20.00</b>	<b>6,905,134</b>	<b>281,984</b>	<b>-</b>	<b>6,623,150</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Essential Packages</b>									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	36,077	-	-	36,077	-	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	57,059	566	-	56,493	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>93,136</b>	<b>566</b>	<b>-</b>	<b>92,570</b>	<b>-</b>	<b>-</b>	<b>-</b>
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	76,996	1,550	-	75,446	-	-	-
State Gov't & Services Charges Increase/(Decrease)			710,577	(3,034)	-	713,611	-	-	-

**Summary of 2013-15 Biennium Budget**

Administrative Svcs, Dept of  
Chief Operating Office  
2013-15 Biennium

Governor's Budget  
Cross Reference Number: 10700-030-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal</b>	-	-	787,573	(1,484)	-	789,057	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	(320,642)	-	-	(320,642)	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	<b>20</b>	<b>20.00</b>	<b>7,465,201</b>	<b>281,066</b>	<b>-</b>	<b>7,184,135</b>	<b>-</b>	<b>-</b>	<b>-</b>

**Summary of 2013-15 Biennium Budget**

Administrative Svcs, Dept of  
Chief Operating Office  
2013-15 Biennium

Governor's Budget  
Cross Reference Number: 10700-030-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	<b>20</b>	<b>20.00</b>	<b>7,465,201</b>	<b>281,066</b>	<b>-</b>	<b>7,184,135</b>	<b>-</b>	<b>-</b>	<b>-</b>
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	<b>20</b>	<b>20.00</b>	<b>7,465,201</b>	<b>281,066</b>	<b>-</b>	<b>7,184,135</b>	<b>-</b>	<b>-</b>	<b>-</b>
080 - E-Boards									
081 - May 2012 E-Board	(1)	(1.00)	(6,898)	-	-	(6,898)	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	<b>(1)</b>	<b>(1.00)</b>	<b>(6,898)</b>	<b>-</b>	<b>-</b>	<b>(6,898)</b>	<b>-</b>	<b>-</b>	<b>-</b>
Policy Packages									
090 - Analyst Adjustments	(2)	(2.00)	(382,934)	-	-	(382,934)	-	-	-
091 - Statewide Administrative Savings	-	-	-	-	-	-	-	-	-
092 - PERS Taxation Policy	-	-	(15,357)	(611)	-	(14,746)	-	-	-
093 - Other PERS Adjustments	-	-	(122,706)	(4,881)	-	(117,825)	-	-	-
504 - Infrastructure Coordination	2	2.00	2,750,000	2,750,000	-	-	-	-	-
101 - COO - Project Managers	4	4.00	850,337	-	-	850,337	-	-	-
102 - Facilities Assessment & Planning Software	-	-	-	-	-	-	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Chief Operating Office  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-030-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	<b>4</b>	<b>4.00</b>	<b>3,079,340</b>	<b>2,744,508</b>	<b>-</b>	<b>334,832</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total 2013-15 Governor's Budget</b>	<b>23</b>	<b>23.00</b>	<b>10,537,643</b>	<b>3,025,574</b>	<b>-</b>	<b>7,512,069</b>	<b>-</b>	<b>-</b>	<b>-</b>
Percentage Change From 2011-13 Leg Approved Budget	-4.20%	-4.20%	46.80%	1,012.10%	-	8.80%	-	-	-
Percentage Change From 2013-15 Current Service Level	15.00%	15.00%	41.20%	976.50%	-	4.60%	-	-	-



**Summary of 2013-15 Biennium Budget**

Administrative Svcs, Dept of  
Chief Financial Office  
2013-15 Biennium

Governor's Budget  
Cross Reference Number: 10700-035-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	117	116.25	31,765,515	-	-	31,765,515	-	-	-
2011-13 Emergency Boards	-	-	(657,129)	-	-	(657,129)	-	-	-
<b>2011-13 Leg Approved Budget</b>	<b>117</b>	<b>116.25</b>	<b>31,108,386</b>	-	-	<b>31,108,386</b>	-	-	-
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	(79)	(78.25)	(12,301,279)	-	-	(12,301,279)	-	-	-
Estimated Cost of Merit Increase	-	-	-	-	-	-	-	-	-
Base Debt Service Adjustment	-	-	-	-	-	-	-	-	-
Base Nonlimited Adjustment	-	-	-	-	-	-	-	-	-
Capital Construction	-	-	-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	<b>38</b>	<b>38.00</b>	<b>18,807,107</b>	-	-	<b>18,807,107</b>	-	-	-
<b>Essential Packages</b>									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	106,600	-	-	106,600	-	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	(575,443)	-	-	(575,443)	-	-	-
<b>Subtotal</b>	-	-	<b>(468,843)</b>	-	-	<b>(468,843)</b>	-	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
<b>Subtotal</b>	-	-	-	-	-	-	-	-	-
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	351,911	-	-	351,911	-	-	-
State Gov't & Services Charges Increase/(Decrease)	-	-	984,591	-	-	984,591	-	-	-

**Summary of 2013-15 Biennium Budget**

Administrative Svcs, Dept of  
 Chief Financial Office  
 2013-15 Biennium

Governor's Budget  
 Cross Reference Number: 10700-035-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal</b>	-	-	1,336,502	-	-	1,336,502	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	1	1.00	(7,883,814)	-	-	(7,883,814)	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	<b>39</b>	<b>39.00</b>	<b>11,790,952</b>	<b>-</b>	<b>-</b>	<b>11,790,952</b>	<b>-</b>	<b>-</b>	<b>-</b>

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Chief Financial Office  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-035-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	<b>39</b>	<b>39.00</b>	<b>11,790,952</b>	<b>-</b>	<b>-</b>	<b>11,790,952</b>	<b>-</b>	<b>-</b>	<b>-</b>
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	<b>39</b>	<b>39.00</b>	<b>11,790,952</b>	<b>-</b>	<b>-</b>	<b>11,790,952</b>	<b>-</b>	<b>-</b>	<b>-</b>
080 - E-Boards									
081 - May 2012 E-Board	-	-	387,129	-	-	387,129	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	<b>-</b>	<b>-</b>	<b>387,129</b>	<b>-</b>	<b>-</b>	<b>387,129</b>	<b>-</b>	<b>-</b>	<b>-</b>
Policy Packages									
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
091 - Statewide Administrative Savings	-	-	(589,473)	-	-	(589,473)	-	-	-
092 - PERS Taxation Policy	-	-	(26,631)	-	-	(26,631)	-	-	-
093 - Other PERS Adjustments	-	-	(212,791)	-	-	(212,791)	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	2,200,800	-	-	2,200,800	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Chief Financial Office  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-035-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	2	2.00	1,137,703	-	-	1,137,703	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	<b>2</b>	<b>2.00</b>	<b>2,509,608</b>	<b>-</b>	<b>-</b>	<b>2,509,608</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total 2013-15 Governor's Budget</b>	<b>41</b>	<b>41.00</b>	<b>14,687,689</b>	<b>-</b>	<b>-</b>	<b>14,687,689</b>	<b>-</b>	<b>-</b>	<b>-</b>
Percentage Change From 2011-13 Leg Approved Budget	-65.00%	-64.70%	-52.80%	-	-	-52.80%	-	-	-
Percentage Change From 2013-15 Current Service Level	5.10%	5.10%	24.60%	-	-	24.60%	-	-	-

**Summary of 2013-15 Biennium Budget**

Administrative Svcs, Dept of  
Chief Information Office  
2013-15 Biennium

Governor's Budget  
Cross Reference Number: 10700-040-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	16	16.00	9,545,311	-	-	9,545,311	-	-	-
2011-13 Emergency Boards	-	-	-	-	-	-	-	-	-
<b>2011-13 Leg Approved Budget</b>	<b>16</b>	<b>16.00</b>	<b>9,545,311</b>	<b>-</b>	<b>-</b>	<b>9,545,311</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	2	2.00	811,546	-	-	811,546	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			-	-	-	-	-	-	-
Base Nonlimited Adjustment			-	-	-	-	-	-	-
Capital Construction			-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	<b>18</b>	<b>18.00</b>	<b>10,356,857</b>	<b>-</b>	<b>-</b>	<b>10,356,857</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Essential Packages</b>									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	9,831	-	-	9,831	-	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	11,803	-	-	11,803	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>21,634</b>	<b>-</b>	<b>-</b>	<b>21,634</b>	<b>-</b>	<b>-</b>	<b>-</b>
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	143,423	-	-	143,423	-	-	-
State Gov't & Services Charges Increase/(Decrease)			602,851	-	-	602,851	-	-	-

**Summary of 2013-15 Biennium Budget**

Administrative Svcs, Dept of  
 Chief Information Office  
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 Cross Reference Number: 10700-040-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal</b>	-	-	746,274	-	-	746,274	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	2,122,016	-	-	2,122,016	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	<b>18</b>	<b>18.00</b>	<b>13,246,781</b>	<b>-</b>	<b>-</b>	<b>13,246,781</b>	<b>-</b>	<b>-</b>	<b>-</b>

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
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**Governor's Budget  
Cross Reference Number: 10700-040-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	<b>18</b>	<b>18.00</b>	<b>13,246,781</b>	-	-	<b>13,246,781</b>	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	<b>18</b>	<b>18.00</b>	<b>13,246,781</b>	-	-	<b>13,246,781</b>	-	-	-
080 - E-Boards									
081 - May 2012 E-Board	-	-	-	-	-	-	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
Policy Packages									
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
091 - Statewide Administrative Savings	-	-	(565,560)	-	-	(565,560)	-	-	-
092 - PERS Taxation Policy	-	-	(12,521)	-	-	(12,521)	-	-	-
093 - Other PERS Adjustments	-	-	(100,052)	-	-	(100,052)	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	-	-	-	-	-	-	-
103 - CIO - Broadband Data Mapping	4	3.00	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

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Cross Reference Number: 10700-040-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	<b>4</b>	<b>3.00</b>	<b>(678,133)</b>	-	-	<b>(678,133)</b>	-	-	-
<b>Total 2013-15 Governor's Budget</b>	<b>22</b>	<b>21.00</b>	<b>12,568,648</b>	-	-	<b>12,568,648</b>	-	-	-
Percentage Change From 2011-13 Leg Approved Budget	37.50%	31.30%	31.70%	-	-	31.70%	-	-	-
Percentage Change From 2013-15 Current Service Level	22.20%	16.70%	-5.10%	-	-	-5.10%	-	-	-



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**Administrative Svcs, Dept of  
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<b>Description</b>	<b>Positions</b>	<b>Full-Time Equivalent (FTE)</b>	<b>ALL FUNDS</b>	<b>General Fund</b>	<b>Lottery Funds</b>	<b>Other Funds</b>	<b>Federal Funds</b>	<b>Nonlimited Other Funds</b>	<b>Nonlimited Federal Funds</b>
2011-13 Leg Adopted Budget	93	92.21	23,199,053	-	-	23,199,053	-	-	-
2011-13 Emergency Boards	-	-	-	-	-	-	-	-	-
<b>2011-13 Leg Approved Budget</b>	<b>93</b>	<b>92.21</b>	<b>23,199,053</b>	<b>-</b>	<b>-</b>	<b>23,199,053</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	(66)	(65.21)	(10,337,517)	-	-	(10,337,517)	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			(533,207)	-	-	(533,207)	-	-	-
Base Nonlimited Adjustment			-	-	-	-	-	-	-
Capital Construction			-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	<b>27</b>	<b>27.00</b>	<b>12,328,329</b>	<b>-</b>	<b>-</b>	<b>12,328,329</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Essential Packages</b>									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	(92,089)	-	-	(92,089)	-	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	(463,052)	-	-	(463,052)	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>(555,141)</b>	<b>-</b>	<b>-</b>	<b>(555,141)</b>	<b>-</b>	<b>-</b>	<b>-</b>
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	(300,000)	-	-	(300,000)	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>(300,000)</b>	<b>-</b>	<b>-</b>	<b>(300,000)</b>	<b>-</b>	<b>-</b>	<b>-</b>
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	217,565	-	-	217,565	-	-	-
State Gov't & Services Charges Increase/(Decrease)			931,387	-	-	931,387	-	-	-

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<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal</b>	-	-	1,148,952	-	-	1,148,952	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	(3,524,017)	-	-	(3,524,017)	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	<b>27</b>	<b>27.00</b>	<b>9,098,123</b>	-	-	<b>9,098,123</b>	-	-	-

**Summary of 2013-15 Biennium Budget**

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<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	<b>27</b>	<b>27.00</b>	<b>9,098,123</b>	-	-	<b>9,098,123</b>	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	<b>27</b>	<b>27.00</b>	<b>9,098,123</b>	-	-	<b>9,098,123</b>	-	-	-
080 - E-Boards									
081 - May 2012 E-Board	-	-	-	-	-	-	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
Policy Packages									
090 - Analyst Adjustments	(1)	(1.00)	(123,756)	-	-	(123,756)	-	-	-
091 - Statewide Administrative Savings	-	-	(635,146)	-	-	(635,146)	-	-	-
092 - PERS Taxation Policy	-	-	(23,051)	-	-	(23,051)	-	-	-
093 - Other PERS Adjustments	-	-	(184,192)	-	-	(184,192)	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	-	-	-	-	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	8	8.00	40,112,131	-	-	40,112,131	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

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<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	<b>7</b>	<b>7.00</b>	<b>39,145,986</b>	-	-	<b>39,145,986</b>	-	-	-
<b>Total 2013-15 Governor's Budget</b>	<b>34</b>	<b>34.00</b>	<b>48,244,109</b>	-	-	<b>48,244,109</b>	-	-	-
Percentage Change From 2011-13 Leg Approved Budget	-63.40%	-63.10%	108.00%	-	-	108.00%	-	-	-
Percentage Change From 2013-15 Current Service Level	25.90%	25.90%	430.30%	-	-	430.30%	-	-	-

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Enterprise Technology Services  
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**Governor's Budget  
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<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	292	291.50	200,548,103	-	-	200,548,103	-	-	-
2011-13 Emergency Boards	-	-	(2,887,621)	-	-	(2,887,621)	-	-	-
<b>2011-13 Leg Approved Budget</b>	<b>292</b>	<b>291.50</b>	<b>197,660,482</b>	<b>-</b>	<b>-</b>	<b>197,660,482</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	(89)	(88.50)	(7,573,415)	-	-	(7,573,415)	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			(2,618,643)	-	-	(2,618,643)	-	-	-
Base Nonlimited Adjustment			-	-	-	-	-	-	-
Capital Construction			-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	<b>203</b>	<b>203.00</b>	<b>187,468,424</b>	<b>-</b>	<b>-</b>	<b>187,468,424</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Essential Packages</b>									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	(58,357)	-	-	(58,357)	-	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	(299,557)	-	-	(299,557)	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>(357,914)</b>	<b>-</b>	<b>-</b>	<b>(357,914)</b>	<b>-</b>	<b>-</b>	<b>-</b>
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	(885,000)	-	-	(885,000)	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>(885,000)</b>	<b>-</b>	<b>-</b>	<b>(885,000)</b>	<b>-</b>	<b>-</b>	<b>-</b>
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	7,253,621	-	-	7,253,621	-	-	-
State Gov't & Services Charges Increase/(Decrease)			6,750,417	-	-	6,750,417	-	-	-

**Summary of 2013-15 Biennium Budget**

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<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal</b>	-	-	14,004,038	-	-	14,004,038	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	1	1.00	(20,347,774)	-	-	(20,347,774)	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	<b>204</b>	<b>204.00</b>	<b>179,881,774</b>	-	-	<b>179,881,774</b>	-	-	-

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<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	<b>204</b>	<b>204.00</b>	<b>179,881,774</b>	-	-	<b>179,881,774</b>	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	<b>204</b>	<b>204.00</b>	<b>179,881,774</b>	-	-	<b>179,881,774</b>	-	-	-
080 - E-Boards									
081 - May 2012 E-Board	-	-	252,162	-	-	252,162	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	<b>-</b>	<b>-</b>	<b>252,162</b>	-	-	<b>252,162</b>	-	-	-
Policy Packages									
090 - Analyst Adjustments	-	-	(3,300,000)	-	-	(3,300,000)	-	-	-
091 - Statewide Administrative Savings	-	-	(5,771,223)	-	-	(5,771,223)	-	-	-
092 - PERS Taxation Policy	-	-	(145,084)	-	-	(145,084)	-	-	-
093 - Other PERS Adjustments	-	-	(1,159,290)	-	-	(1,159,290)	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	-	-	-	-	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	730,000	-	-	730,000	-	-	-
107 - ETS - Support IT Infrastructure Growth	3	3.00	3,428,248	-	-	3,428,248	-	-	-
108 - ETS - Leverage Technology	3	3.00	2,816,808	-	-	2,816,808	-	-	-

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<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	1	1.00	1,173,899	-	-	1,173,899	-	-	-
110 - ETS - eGov Admin Support	2	2.00	493,042	-	-	493,042	-	-	-
111 - ETS - Agency Companion POP	15	15.00	12,325,518	-	-	12,325,518	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	<b>24</b>	<b>24.00</b>	<b>10,591,918</b>	<b>-</b>	<b>-</b>	<b>10,591,918</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total 2013-15 Governor's Budget</b>	<b>228</b>	<b>228.00</b>	<b>190,725,854</b>	<b>-</b>	<b>-</b>	<b>190,725,854</b>	<b>-</b>	<b>-</b>	<b>-</b>
Percentage Change From 2011-13 Leg Approved Budget	-21.90%	-21.80%	-3.50%	-	-	-3.50%	-	-	-
Percentage Change From 2013-15 Current Service Level	11.80%	11.80%	6.00%	-	-	6.00%	-	-	-



**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Enterprise Asset Management  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-060-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	168	167.71	105,528,980	-	-	105,528,980	-	-	-
2011-13 Emergency Boards	-	-	-	-	-	-	-	-	-
<b>2011-13 Leg Approved Budget</b>	<b>168</b>	<b>167.71</b>	<b>105,528,980</b>	<b>-</b>	<b>-</b>	<b>105,528,980</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	27	26.50	6,856,477	-	-	6,856,477	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			(30,595,107)	-	-	(30,595,107)	-	-	-
Base Nonlimited Adjustment			-	-	-	-	-	-	-
Capital Construction			-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	<b>195</b>	<b>194.21</b>	<b>81,790,350</b>	<b>-</b>	<b>-</b>	<b>81,790,350</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Essential Packages</b>									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	242,190	-	-	242,190	-	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	163,435	-	-	163,435	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>405,625</b>	<b>-</b>	<b>-</b>	<b>405,625</b>	<b>-</b>	<b>-</b>	<b>-</b>
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	1,596,245	-	-	1,596,245	-	-	-
State Gov't & Services Charges Increase/(Decrease)			6,879,787	-	-	6,879,787	-	-	-

**Summary of 2013-15 Biennium Budget**

Administrative Svcs, Dept of  
Enterprise Asset Management  
2013-15 Biennium

Governor's Budget  
Cross Reference Number: 10700-060-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal</b>	-	-	8,476,032	-	-	8,476,032	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	(663,487)	-	-	(663,487)	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	<b>195</b>	<b>194.21</b>	<b>90,008,520</b>	-	-	<b>90,008,520</b>	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Enterprise Asset Management  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-060-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	<b>195</b>	<b>194.21</b>	<b>90,008,520</b>	-	-	<b>90,008,520</b>	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	<b>195</b>	<b>194.21</b>	<b>90,008,520</b>	-	-	<b>90,008,520</b>	-	-	-
080 - E-Boards									
081 - May 2012 E-Board	(1)	(1.00)	(200,737)	-	-	(200,737)	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	<b>(1)</b>	<b>(1.00)</b>	<b>(200,737)</b>	-	-	<b>(200,737)</b>	-	-	-
Policy Packages									
090 - Analyst Adjustments	-	-	(1,954,712)	-	-	(1,954,712)	-	-	-
091 - Statewide Administrative Savings	-	-	-	-	-	-	-	-	-
092 - PERS Taxation Policy	-	-	(69,958)	-	-	(69,958)	-	-	-
093 - Other PERS Adjustments	-	-	(558,997)	-	-	(558,997)	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	-	-	-	-	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Enterprise Asset Management  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-060-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	3,500,000	-	-	3,500,000	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	2	2.00	599,591	-	-	599,591	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	<b>2</b>	<b>2.00</b>	<b>1,515,924</b>	<b>-</b>	<b>-</b>	<b>1,515,924</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total 2013-15 Governor's Budget</b>	<b>196</b>	<b>195.21</b>	<b>91,323,707</b>	<b>-</b>	<b>-</b>	<b>91,323,707</b>	<b>-</b>	<b>-</b>	<b>-</b>
Percentage Change From 2011-13 Leg Approved Budget	16.70%	16.40%	-13.50%	-	-	-13.50%	-	-	-
Percentage Change From 2013-15 Current Service Level	0.50%	0.50%	1.50%	-	-	1.50%	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Enterprise Goods & Services  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-065-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	58	57.00	129,806,823	-	-	30,245,416	-	99,561,407	-
2011-13 Emergency Boards	(1)	(1.00)	(145,094)	-	-	(145,094)	-	-	-
<b>2011-13 Leg Approved Budget</b>	<b>57</b>	<b>56.00</b>	<b>129,661,729</b>	-	-	<b>30,100,322</b>	-	<b>99,561,407</b>	-
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	169	168.50	26,987,606	-	-	26,987,606	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			-	-	-	-	-	-	-
Base Nonlimited Adjustment			(1,005,739)	-	-	-	-	(1,005,739)	-
Capital Construction			-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	<b>226</b>	<b>224.50</b>	<b>155,643,596</b>	-	-	<b>57,087,928</b>	-	<b>98,555,668</b>	-
<b>Essential Packages</b>									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	(147,922)	-	-	(147,922)	-	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	1,145,003	-	-	1,145,003	-	-	-
<b>Subtotal</b>	-	-	<b>997,081</b>	-	-	<b>997,081</b>	-	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
<b>Subtotal</b>	-	-	-	-	-	-	-	-	-
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	1,969,419	-	-	1,969,419	-	-	-
State Gov't & Services Charges Increase/(Decrease)			7,279,148	-	-	7,279,148	-	-	-

**Summary of 2013-15 Biennium Budget**

Administrative Svcs, Dept of  
Enterprise Goods & Services  
2013-15 Biennium

Governor's Budget  
Cross Reference Number: 10700-065-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal</b>	-	-	9,248,567	-	-	9,248,567	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	(1)	(1.00)	26,421,734	-	-	26,421,734	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	<b>225</b>	<b>223.50</b>	<b>192,310,978</b>	-	-	<b>93,755,310</b>	-	<b>98,555,668</b>	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Enterprise Goods & Services  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-065-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	<b>225</b>	<b>223.50</b>	<b>192,310,978</b>	-	-	<b>93,755,310</b>	-	<b>98,555,668</b>	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	<b>225</b>	<b>223.50</b>	<b>192,310,978</b>	-	-	<b>93,755,310</b>	-	<b>98,555,668</b>	-
080 - E-Boards									
081 - May 2012 E-Board	-	-	(252,162)	-	-	(252,162)	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	-	-	<b>(252,162)</b>	-	-	<b>(252,162)</b>	-	-	-
Policy Packages									
090 - Analyst Adjustments	-	-	(2,215,811)	-	-	(2,215,811)	-	-	-
091 - Statewide Administrative Savings	-	-	(2,023,956)	-	-	(2,023,956)	-	-	-
092 - PERS Taxation Policy	-	-	(95,037)	-	-	(95,037)	-	-	-
093 - Other PERS Adjustments	-	-	(759,393)	-	-	(759,393)	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	-	-	-	-	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Enterprise Goods & Services  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-065-00-00-00000**

<b>Description</b>	<b>Positions</b>	<b>Full-Time Equivalent (FTE)</b>	<b>ALL FUNDS</b>	<b>General Fund</b>	<b>Lottery Funds</b>	<b>Other Funds</b>	<b>Federal Funds</b>	<b>Nonlimited Other Funds</b>	<b>Nonlimited Federal Funds</b>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	-	-	<b>(5,094,197)</b>	-	-	<b>(5,094,197)</b>	-	-	-
<b>Total 2013-15 Governor's Budget</b>	<b>225</b>	<b>223.50</b>	<b>186,964,619</b>	-	-	<b>88,408,951</b>	-	<b>98,555,668</b>	-
Percentage Change From 2011-13 Leg Approved Budget	294.70%	299.10%	44.20%	-	-	193.70%	-	-1.00%	-
Percentage Change From 2013-15 Current Service Level	-	-	-2.80%	-	-	-5.70%	-	-	-



**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Enterprise Human Resource Services  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-070-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	-	-	-	-	-	-	-	-	-
2011-13 Emergency Boards	-	-	-	-	-	-	-	-	-
<b>2011-13 Leg Approved Budget</b>	-	-	-	-	-	-	-	-	-
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	21	20.21	3,709,305	-	-	3,709,305	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			-	-	-	-	-	-	-
Base Nonlimited Adjustment			-	-	-	-	-	-	-
Capital Construction			-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	<b>21</b>	<b>20.21</b>	<b>3,709,305</b>	-	-	<b>3,709,305</b>	-	-	-
<b>Essential Packages</b>									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	(46,022)	-	-	(46,022)	-	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	163,981	-	-	163,981	-	-	-
<b>Subtotal</b>	-	-	<b>117,959</b>	-	-	<b>117,959</b>	-	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
<b>Subtotal</b>	-	-	-	-	-	-	-	-	-
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	704	-	-	704	-	-	-
State Gov't & Services Charges Increase/(Decrease)			707,442	-	-	707,442	-	-	-

**Summary of 2013-15 Biennium Budget**

Administrative Svcs, Dept of  
Enterprise Human Resource Services  
2013-15 Biennium

Governor's Budget  
Cross Reference Number: 10700-070-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal</b>	-	-	708,146	-	-	708,146	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	1,763,155	-	-	1,763,155	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	<b>21</b>	<b>20.21</b>	<b>6,298,565</b>	-	-	<b>6,298,565</b>	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Enterprise Human Resource Services  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-070-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	<b>21</b>	<b>20.21</b>	<b>6,298,565</b>	-	-	<b>6,298,565</b>	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	<b>21</b>	<b>20.21</b>	<b>6,298,565</b>	-	-	<b>6,298,565</b>	-	-	-
080 - E-Boards									
081 - May 2012 E-Board	-	-	-	-	-	-	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	-	-	-	-	-	-	-	-	-
Policy Packages									
090 - Analyst Adjustments	-	-	(87,329)	-	-	(87,329)	-	-	-
091 - Statewide Administrative Savings	-	-	(462,891)	-	-	(462,891)	-	-	-
092 - PERS Taxation Policy	-	-	(9,690)	-	-	(9,690)	-	-	-
093 - Other PERS Adjustments	-	-	(77,427)	-	-	(77,427)	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	-	-	-	-	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Enterprise Human Resource Services  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-070-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	-	-	<b>(637,337)</b>	-	-	<b>(637,337)</b>	-	-	-
<b>Total 2013-15 Governor's Budget</b>	<b>21</b>	<b>20.21</b>	<b>5,661,228</b>	-	-	<b>5,661,228</b>	-	-	-
Percentage Change From 2011-13 Leg Approved Budget	-	-	-	-	-	-	-	-	-
Percentage Change From 2013-15 Current Service Level	-	-	-10.10%	-	-	-10.10%	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Core Services  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-075-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	6	6.00	1,313,690	-	-	1,313,690	-	-	-
2011-13 Emergency Boards	-	-	(476,086)	-	-	(476,086)	-	-	-
<b>2011-13 Leg Approved Budget</b>	<b>6</b>	<b>6.00</b>	<b>837,604</b>	<b>-</b>	<b>-</b>	<b>837,604</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	10	10.00	2,282,716	-	-	2,282,716	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			-	-	-	-	-	-	-
Base Nonlimited Adjustment			-	-	-	-	-	-	-
Capital Construction			-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	<b>16</b>	<b>16.00</b>	<b>3,120,320</b>	<b>-</b>	<b>-</b>	<b>3,120,320</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Essential Packages</b>									
010 - Non-PICS Pers Svc/Vacancy Factor									
Vacancy Factor (Increase)/Decrease	-	-	17,355	-	-	17,355	-	-	-
Non-PICS Personal Service Increase/(Decrease)	-	-	156,151	-	-	156,151	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>173,506</b>	<b>-</b>	<b>-</b>	<b>173,506</b>	<b>-</b>	<b>-</b>	<b>-</b>
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	(224,750)	-	-	(224,750)	-	-	-
<b>Subtotal</b>	<b>-</b>	<b>-</b>	<b>(224,750)</b>	<b>-</b>	<b>-</b>	<b>(224,750)</b>	<b>-</b>	<b>-</b>	<b>-</b>
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	453	-	-	453	-	-	-
State Gov't & Services Charges Increase/(Decrease)			444,872	-	-	444,872	-	-	-

**Summary of 2013-15 Biennium Budget**

Administrative Svcs, Dept of  
Core Services  
2013-15 Biennium

Governor's Budget  
Cross Reference Number: 10700-075-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal</b>	-	-	445,325	-	-	445,325	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	(1)	(1.00)	955,270	-	-	955,270	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	<b>15</b>	<b>15.00</b>	<b>4,469,671</b>	-	-	<b>4,469,671</b>	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Core Services  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-075-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	<b>15</b>	<b>15.00</b>	<b>4,469,671</b>	-	-	<b>4,469,671</b>	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	<b>15</b>	<b>15.00</b>	<b>4,469,671</b>	-	-	<b>4,469,671</b>	-	-	-
080 - E-Boards									
081 - May 2012 E-Board	(2)	(2.00)	21,780	-	-	21,780	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	<b>(2)</b>	<b>(2.00)</b>	<b>21,780</b>	-	-	<b>21,780</b>	-	-	-
Policy Packages									
090 - Analyst Adjustments	-	-	(60,778)	-	-	(60,778)	-	-	-
091 - Statewide Administrative Savings	-	-	(135,140)	-	-	(135,140)	-	-	-
092 - PERS Taxation Policy	-	-	(7,230)	-	-	(7,230)	-	-	-
093 - Other PERS Adjustments	-	-	(57,769)	-	-	(57,769)	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	-	-	-	-	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Core Services  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-075-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	-	-	<b>(260,917)</b>	-	-	<b>(260,917)</b>	-	-	-
<b>Total 2013-15 Governor's Budget</b>	<b>13</b>	<b>13.00</b>	<b>4,230,534</b>	-	-	<b>4,230,534</b>	-	-	-
Percentage Change From 2011-13 Leg Approved Budget	116.70%	116.70%	405.10%	-	-	405.10%	-	-	-
Percentage Change From 2013-15 Current Service Level	-13.30%	-13.30%	-5.40%	-	-	-5.40%	-	-	-



**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Capital Improvements  
2013-15 Biennium**

Governor's Budget  
Cross Reference Number: 10700-088-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	-	-	4,681,401	-	-	4,681,401	-	-	-
2011-13 Emergency Boards	-	-	-	-	-	-	-	-	-
<b>2011-13 Leg Approved Budget</b>	-	-	<b>4,681,401</b>	-	-	<b>4,681,401</b>	-	-	-
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	-	-	-	-	-	-	-	-	-
Estimated Cost of Merit Increase	-	-	-	-	-	-	-	-	-
Base Debt Service Adjustment	-	-	-	-	-	-	-	-	-
Base Nonlimited Adjustment	-	-	-	-	-	-	-	-	-
Capital Construction	-	-	-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	-	-	<b>4,681,401</b>	-	-	<b>4,681,401</b>	-	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	1,122,992	-	-	1,122,992	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
<b>Subtotal</b>	-	-	<b>1,122,992</b>	-	-	<b>1,122,992</b>	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	187,615	-	-	187,615	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	<b>5,992,008</b>	-	-	<b>5,992,008</b>	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Capital Improvements  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-088-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	5,992,008	-	-	5,992,008	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	-	-	5,992,008	-	-	5,992,008	-	-	-
080 - E-Boards									
081 - May 2012 E-Board	-	-	-	-	-	-	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	-	-	-	-	-	-	-	-	-
Policy Packages									
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
091 - Statewide Administrative Savings	-	-	-	-	-	-	-	-	-
092 - PERS Taxation Policy	-	-	-	-	-	-	-	-	-
093 - Other PERS Adjustments	-	-	-	-	-	-	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	-	-	-	-	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Capital Improvements  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-088-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	-	-	-	-	-	-	-	-	-
<b>Total 2013-15 Governor's Budget</b>	-	-	<b>5,992,008</b>	-	-	<b>5,992,008</b>	-	-	-
Percentage Change From 2011-13 Leg Approved Budget	-	-	28.00%	-	-	28.00%	-	-	-
Percentage Change From 2013-15 Current Service Level	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Capital Construction  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-089-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	-	-	13,243,854	-	-	13,243,854	-	-	-
2011-13 Emergency Boards	-	-	-	-	-	-	-	-	-
<b>2011-13 Leg Approved Budget</b>	-	-	<b>13,243,854</b>	-	-	<b>13,243,854</b>	-	-	-
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	-	-	-	-	-	-	-	-	-
Estimated Cost of Merit Increase	-	-	-	-	-	-	-	-	-
Base Debt Service Adjustment	-	-	-	-	-	-	-	-	-
Base Nonlimited Adjustment	-	-	-	-	-	-	-	-	-
Capital Construction	-	-	(13,243,854)	-	-	(13,243,854)	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	-	-	-	-	-	-	-	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
<b>Subtotal</b>	-	-	-	-	-	-	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Capital Construction  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-089-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	-	-	-	-	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	-	-	-	-	-	-	-	-	-
080 - E-Boards									
081 - May 2012 E-Board	-	-	-	-	-	-	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	-	-	-	-	-	-	-	-	-
Policy Packages									
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
091 - Statewide Administrative Savings	-	-	-	-	-	-	-	-	-
092 - PERS Taxation Policy	-	-	-	-	-	-	-	-	-
093 - Other PERS Adjustments	-	-	-	-	-	-	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	-	-	-	-	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Capital Construction  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-089-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	16,821,286	-	-	16,821,286	-	-	-
<b>Subtotal Policy Packages</b>	-	-	<b>16,821,286</b>	-	-	<b>16,821,286</b>	-	-	-
<b>Total 2013-15 Governor's Budget</b>	-	-	<b>16,821,286</b>	-	-	<b>16,821,286</b>	-	-	-
Percentage Change From 2011-13 Leg Approved Budget	-	-	27.00%	-	-	27.00%	-	-	-
Percentage Change From 2013-15 Current Service Level	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Miscellaneous Distributions  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-090-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	-	-	22,853,913	-	-	-	-	22,853,913	-
2011-13 Emergency Boards	-	-	-	-	-	-	-	-	-
<b>2011-13 Leg Approved Budget</b>	-	-	<b>22,853,913</b>	-	-	-	-	<b>22,853,913</b>	-
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	-	-	-	-	-	-	-	-	-
Estimated Cost of Merit Increase	-	-	-	-	-	-	-	-	-
Base Debt Service Adjustment	-	-	-	-	-	-	-	-	-
Base Nonlimited Adjustment	-	-	1,142,696	-	-	-	-	1,142,696	-
Capital Construction	-	-	-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	-	-	<b>23,996,609</b>	-	-	-	-	<b>23,996,609</b>	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
<b>Subtotal</b>	-	-	-	-	-	-	-	-	-
030 - Inflation & Price List Adjustments									
Cost of Goods & Services Increase/(Decrease)	-	-	-	-	-	-	-	-	-
<b>Subtotal</b>	-	-	-	-	-	-	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									

**Summary of 2013-15 Biennium Budget**

Administrative Svcs, Dept of  
Miscellaneous Distributions  
2013-15 Biennium

Governor's Budget  
Cross Reference Number: 10700-090-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	<b>23,996,609</b>	-	-	-	-	<b>23,996,609</b>	-



**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Miscellaneous Distributions  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-090-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	23,996,609	-	-	-	-	23,996,609	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	-	-	23,996,609	-	-	-	-	23,996,609	-
080 - E-Boards									
081 - May 2012 E-Board	-	-	-	-	-	-	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	-	-	-	-	-	-	-	-	-
Policy Packages									
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
091 - Statewide Administrative Savings	-	-	-	-	-	-	-	-	-
092 - PERS Taxation Policy	-	-	-	-	-	-	-	-	-
093 - Other PERS Adjustments	-	-	-	-	-	-	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	-	-	-	-	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Miscellaneous Distributions  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-090-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	-	-	-	-	-	-	-	-	-
<b>Total 2013-15 Governor's Budget</b>	-	-	<b>23,996,609</b>	-	-	-	-	<b>23,996,609</b>	-
Percentage Change From 2011-13 Leg Approved Budget	-	-	5.00%	-	-	-	-	5.00%	-
Percentage Change From 2013-15 Current Service Level	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Tobacco Settlement  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-092-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	-	-	-	-	-	-	-	-	-
2011-13 Emergency Boards	-	-	-	-	-	-	-	-	-
<b>2011-13 Leg Approved Budget</b>	-	-	-	-	-	-	-	-	-
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	-	-	-	-	-	-	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			-	-	-	-	-	-	-
Base Nonlimited Adjustment			-	-	-	-	-	-	-
Capital Construction			-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	-	-	-	-	-	-	-	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
<b>Subtotal</b>	-	-	-	-	-	-	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Tobacco Settlement  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-092-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	-	-	-	-	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	-	-	-	-	-	-	-	-	-
080 - E-Boards									
081 - May 2012 E-Board	-	-	-	-	-	-	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	-	-	-	-	-	-	-	-	-
Policy Packages									
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
091 - Statewide Administrative Savings	-	-	-	-	-	-	-	-	-
092 - PERS Taxation Policy	-	-	-	-	-	-	-	-	-
093 - Other PERS Adjustments	-	-	-	-	-	-	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	-	-	-	-	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Tobacco Settlement  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-092-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	-	-	-	-	-	-	-	-	-
<b>Total 2013-15 Governor's Budget</b>	-	-	-	-	-	-	-	-	-
Percentage Change From 2011-13 Leg Approved Budget	-	-	-	-	-	-	-	-	-
Percentage Change From 2013-15 Current Service Level	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
DAS Debt Service  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-093-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	-	-	-	-	-	-	-	-	-
2011-13 Emergency Boards	-	-	-	-	-	-	-	-	-
<b>2011-13 Leg Approved Budget</b>	-	-	-	-	-	-	-	-	-
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	-	-	-	-	-	-	-	-	-
Estimated Cost of Merit Increase	-	-	-	-	-	-	-	-	-
Base Debt Service Adjustment	-	-	35,119,016	-	-	35,119,016	-	-	-
Base Nonlimited Adjustment	-	-	-	-	-	-	-	-	-
Capital Construction	-	-	-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	-	-	<b>35,119,016</b>	-	-	<b>35,119,016</b>	-	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
<b>Subtotal</b>	-	-	-	-	-	-	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	<b>35,119,016</b>	-	-	<b>35,119,016</b>	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
DAS Debt Service  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-093-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	35,119,016	-	-	35,119,016	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	-	-	35,119,016	-	-	35,119,016	-	-	-
080 - E-Boards									
081 - May 2012 E-Board	-	-	-	-	-	-	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	-	-	-	-	-	-	-	-	-
Policy Packages									
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
091 - Statewide Administrative Savings	-	-	-	-	-	-	-	-	-
092 - PERS Taxation Policy	-	-	-	-	-	-	-	-	-
093 - Other PERS Adjustments	-	-	-	-	-	-	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	401,386	-	-	401,386	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
DAS Debt Service  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-093-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	-	-	<b>401,386</b>	-	-	<b>401,386</b>	-	-	-
<b>Total 2013-15 Governor's Budget</b>	-	-	<b>35,520,402</b>	-	-	<b>35,520,402</b>	-	-	-
Percentage Change From 2011-13 Leg Approved Budget	-	-	-	-	-	-	-	-	-
Percentage Change From 2013-15 Current Service Level	-	-	1.10%	-	-	1.10%	-	-	-



**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Bonds  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-094-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	-	-	449,406,828	-	-	449,406,827	-	-	1
2011-13 Emergency Boards	-	-	-	-	-	-	-	-	-
<b>2011-13 Leg Approved Budget</b>	-	-	<b>449,406,828</b>	-	-	<b>449,406,827</b>	-	-	<b>1</b>
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	-	-	-	-	-	-	-	-	-
Estimated Cost of Merit Increase	-	-	-	-	-	-	-	-	-
Base Debt Service Adjustment	-	-	(89,370,402)	-	-	(89,370,402)	-	-	-
Base Nonlimited Adjustment	-	-	-	-	-	-	-	-	-
Capital Construction	-	-	-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	-	-	<b>360,036,426</b>	-	-	<b>360,036,425</b>	-	-	<b>1</b>
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	(1)	-	-	-	-	-	(1)
<b>Subtotal</b>	-	-	<b>(1)</b>	-	-	-	-	-	<b>(1)</b>
030 - Inflation & Price List Adjustments									
State Gov't & Services Charges Increase/(Decrease)	-	-	(149,612)	-	-	(149,612)	-	-	-
<b>Subtotal</b>	-	-	<b>(149,612)</b>	-	-	<b>(149,612)</b>	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									

**Summary of 2013-15 Biennium Budget**

Administrative Svcs, Dept of  
 Bonds  
 2013-15 Biennium

Governor's Budget  
 Cross Reference Number: 10700-094-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	<b>359,886,813</b>	-	-	<b>359,886,813</b>	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Bonds  
2013-15 Biennium**

Governor's Budget  
Cross Reference Number: 10700-094-00-00-00000

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	359,886,813	-	-	359,886,813	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	-	-	359,886,813	-	-	359,886,813	-	-	-
080 - E-Boards									
081 - May 2012 E-Board	-	-	-	-	-	-	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	-	-	-	-	-	-	-	-	-
Policy Packages									
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
091 - Statewide Administrative Savings	-	-	-	-	-	-	-	-	-
092 - PERS Taxation Policy	-	-	-	-	-	-	-	-	-
093 - Other PERS Adjustments	-	-	-	-	-	-	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	-	-	-	-	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Bonds  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-094-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	-	-	-	-	-	-	-	-	-
<b>Total 2013-15 Governor's Budget</b>	-	-	<b>359,886,813</b>	-	-	<b>359,886,813</b>	-	-	-
Percentage Change From 2011-13 Leg Approved Budget	-	-	-19.90%	-	-	-19.90%	-	-	-100.00%
Percentage Change From 2013-15 Current Service Level	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Special Governmental Payments  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-099-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	-	-	41,169,294	7,900,467	11,740,796	21,528,031	-	-	-
2011-13 Emergency Boards	-	-	2,573,371	238,488	332,732	2,002,151	-	-	-
<b>2011-13 Leg Approved Budget</b>	-	-	<b>43,742,665</b>	<b>8,138,955</b>	<b>12,073,528</b>	<b>23,530,182</b>	-	-	-
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	-	-	-	-	-	-	-	-	-
Estimated Cost of Merit Increase	-	-	-	-	-	-	-	-	-
Base Debt Service Adjustment	-	-	474,831	1,781	473,050	-	-	-	-
Base Nonlimited Adjustment	-	-	-	-	-	-	-	-	-
Capital Construction	-	-	-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	-	-	<b>44,217,496</b>	<b>8,140,736</b>	<b>12,546,578</b>	<b>23,530,182</b>	-	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	(24,855,182)	(1,325,000)	-	(23,530,182)	-	-	-
<b>Subtotal</b>	-	-	<b>(24,855,182)</b>	<b>(1,325,000)</b>	-	<b>(23,530,182)</b>	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	<b>19,362,314</b>	<b>6,815,736</b>	<b>12,546,578</b>	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Special Governmental Payments  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-099-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	19,362,314	6,815,736	12,546,578	-	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	-	-	19,362,314	6,815,736	12,546,578	-	-	-	-
080 - E-Boards									
081 - May 2012 E-Board	-	-	-	-	-	-	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	-	-	-	-	-	-	-	-	-
Policy Packages									
090 - Analyst Adjustments	-	-	750,000	750,000	-	-	-	-	-
091 - Statewide Administrative Savings	-	-	-	-	-	-	-	-	-
092 - PERS Taxation Policy	-	-	-	-	-	-	-	-	-
093 - Other PERS Adjustments	-	-	-	-	-	-	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	-	-	-	-	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Special Governmental Payments  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-099-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	-	-	<b>750,000</b>	<b>750,000</b>	-	-	-	-	-
<b>Total 2013-15 Governor's Budget</b>	-	-	<b>20,112,314</b>	<b>7,565,736</b>	<b>12,546,578</b>	-	-	-	-
Percentage Change From 2011-13 Leg Approved Budget	-	-	-54.00%	-7.00%	3.90%	-100.00%	-	-	-
Percentage Change From 2013-15 Current Service Level	-	-	3.90%	11.00%	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Cop Issuance Costs - L - CC  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-189-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
2011-13 Leg Adopted Budget	-	-	-	-	-	-	-	-	-
2011-13 Emergency Boards	-	-	-	-	-	-	-	-	-
<b>2011-13 Leg Approved Budget</b>	-	-	-	-	-	-	-	-	-
<b>2013-15 Base Budget Adjustments</b>									
Net Cost of Position Actions									
Administrative Biennialized E-Board, Phase-Out	-	-	-	-	-	-	-	-	-
Estimated Cost of Merit Increase			-	-	-	-	-	-	-
Base Debt Service Adjustment			-	-	-	-	-	-	-
Base Nonlimited Adjustment			-	-	-	-	-	-	-
Capital Construction			-	-	-	-	-	-	-
<b>Subtotal 2013-15 Base Budget</b>	-	-	-	-	-	-	-	-	-
020 - Phase In / Out Pgm & One-time Cost									
021 - Phase-in	-	-	-	-	-	-	-	-	-
022 - Phase-out Pgm & One-time Costs	-	-	-	-	-	-	-	-	-
<b>Subtotal</b>	-	-	-	-	-	-	-	-	-
040 - Mandated Caseload									
040 - Mandated Caseload	-	-	-	-	-	-	-	-	-
050 - Fundshifts and Revenue Reductions									
050 - Fundshifts	-	-	-	-	-	-	-	-	-
060 - Technical Adjustments									
060 - Technical Adjustments	-	-	-	-	-	-	-	-	-
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	-	-	-	-	-	-	-



**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Cop Issuance Costs - L - CC  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-189-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
<b>Subtotal: 2013-15 Current Service Level</b>	-	-	-	-	-	-	-	-	-
070 - Revenue Reductions/Shortfall									
070 - Revenue Shortfalls	-	-	-	-	-	-	-	-	-
<b>Modified 2013-15 Current Service Level</b>	-	-	-	-	-	-	-	-	-
080 - E-Boards									
081 - May 2012 E-Board	-	-	-	-	-	-	-	-	-
082 - September 2012 E-Board	-	-	-	-	-	-	-	-	-
083 - December 2012 E-Board	-	-	-	-	-	-	-	-	-
<b>Subtotal Emergency Board Packages</b>	-	-	-	-	-	-	-	-	-
Policy Packages									
090 - Analyst Adjustments	-	-	-	-	-	-	-	-	-
091 - Statewide Administrative Savings	-	-	-	-	-	-	-	-	-
092 - PERS Taxation Policy	-	-	-	-	-	-	-	-	-
093 - Other PERS Adjustments	-	-	-	-	-	-	-	-	-
504 - Infrastructure Coordination	-	-	-	-	-	-	-	-	-
101 - COO - Project Managers	-	-	-	-	-	-	-	-	-
102 - Facilities Assessment & Planning Software	-	-	-	-	-	-	-	-	-
103 - CIO - Broadband Data Mapping	-	-	-	-	-	-	-	-	-
104 - CHRO - Replace PPDB	-	-	-	-	-	-	-	-	-
105 - CHRO - Class Comp Initiative	-	-	-	-	-	-	-	-	-
106 - ETS - Equipment Lifecycle Replacement	-	-	-	-	-	-	-	-	-
107 - ETS - Support IT Infrastructure Growth	-	-	-	-	-	-	-	-	-
108 - ETS - Leverage Technology	-	-	-	-	-	-	-	-	-

**Summary of 2013-15 Biennium Budget**

**Administrative Svcs, Dept of  
Cop Issuance Costs - L - CC  
2013-15 Biennium**

**Governor's Budget  
Cross Reference Number: 10700-189-00-00-00000**

<i>Description</i>	<i>Positions</i>	<i>Full-Time Equivalent (FTE)</i>	<i>ALL FUNDS</i>	<i>General Fund</i>	<i>Lottery Funds</i>	<i>Other Funds</i>	<i>Federal Funds</i>	<i>Nonlimited Other Funds</i>	<i>Nonlimited Federal Funds</i>
109 - ETS - Security	-	-	-	-	-	-	-	-	-
110 - ETS - eGov Admin Support	-	-	-	-	-	-	-	-	-
111 - ETS - Agency Companion POP	-	-	-	-	-	-	-	-	-
112 - ETS - CIO Support	-	-	-	-	-	-	-	-	-
113 - EAM - Fleet Vehicle Purchase	-	-	-	-	-	-	-	-	-
114 - EAM - Surplus Staffing	-	-	-	-	-	-	-	-	-
115 - Procurement Services Strategic Sourcing Team	-	-	-	-	-	-	-	-	-
116 - Supreme Court Building Renovation	-	-	-	-	-	-	-	-	-
117 - Capital Planning	-	-	-	-	-	-	-	-	-
118 - Oregon Government Ethics Commission	-	-	-	-	-	-	-	-	-
489 - Capital Construction Projects	-	-	-	-	-	-	-	-	-
<b>Subtotal Policy Packages</b>	-	-	-	-	-	-	-	-	-
<b>Total 2013-15 Governor's Budget</b>	-	-	-	-	-	-	-	-	-
Percentage Change From 2011-13 Leg Approved Budget	-	-	-	-	-	-	-	-	-
Percentage Change From 2013-15 Current Service Level	-	-	-	-	-	-	-	-	-

Department of Administrative Services

2013 - 2015 Biennium

Agency Number:

10700

Program/Division Priorities for 2013-15 Biennium

1	2	3	4	5	6	7	7	9	10	11	14	15	16	17	18	19
Priority (ranked with highest priority first)	Dept. Initials	Program or Activity Initials	Program Unit/Activity Description	Identify Key Performance Measure(s)	Primary Purpose Program- Activity Code	GF	LF	OF	NL-OF	TOTAL FUNDS	Pos.	FTE	New or Enhanced Program (Y/N)	Included as Reduction Option (Y/N)	Legal Req. Code (C, F, or D)	
Dept	Prgm/ Div															
1	1	DAS	COO	COO - Administration	1	4		2,024,868		\$ 2,024,868	5	5.00	N	Y (5)		
2	2	DAS	COO	COO - Statewide Initiatives	2	4		3,521,075		\$ 3,521,075	11	11.00	N	Y (23)		
3	1	DAS	CFO	CFO - Budget Policy	1	4		3,397,678		\$ 3,397,678	11	11.00	N	Y (33, 50)		
4	2	DAS	EHR	EHR - HR Client Managers	1,4,5	4		1,756,328		\$ 1,756,328	7	6.21	N	Y (15)		
5	1	DAS	EGS	EGS - Risk Management	1,13	4		19,902,679	98,555,668	\$ 118,458,347	21	21.00	Y	Y (8,22,51)		
6	1	DAS	EAM	EAM - Maintenance	1,7	4		13,774,413		\$ 13,774,413	45	44.21	N	Y (13,35)	D	
7	4	DAS	EGS	EGS - Shared Financial Services	1	4		7,022,565		\$ 7,022,565	33	31.50	Y	Y (43,54,55)		
8	5	DAS	CFO	CFO - SW Accounting & Reporting	1,3	4		2,697,000		\$ 2,697,000	10	10.00	N	N		
9	2	DAS	CHRO	CHRO - Labor Relations	1,4,5	4		3,203,098		\$ 3,203,098	9	9.00	N	N		
10	7	DAS	CHRO	CHRO - HRIS Replacement	1,4,5	4		40,121,522		\$ 40,121,522	8	8.00	Y	N		
11	3	DAS	CFO	CFO - Administration	1	4		1,803,772		\$ 1,803,772	6	6.00	N	Y (46)		
12	3	DAS	EGS	EGS - Procurement Services	1,12	4		18,636,147		\$ 18,636,147	55	55.00	Y	Y (14,18,30)		
13	1	DAS	ETS	ETS - Technology Availability Mgt	1,16	4		97,404,469		\$ 97,404,469	88	88.00	Y	Y (6,28,29,40,49,59)		
14	5	DAS	EGS	EGS - Publishing & Distribution	1	4		33,810,277		\$ 33,810,277	85	85.00	Y	Y (24,34,44,45,60)		
15	1	DAS	EHR	EHR - HR Operations & Systems	1,4,5	4		2,204,496		\$ 2,204,496	8	8.00	N	Y (1,15)		
16	2	DAS	EGS	EGS - Financial Business Systems	1,3	4		13,624,288		\$ 13,624,288	32	32.00	Y	Y (3,10,11,21,25,41)	C,F	
17	4	DAS	EHR	EHR - Administration	1,4,5	4		495,837		\$ 495,837	2	2.00	N	Y (15,39)		
18	4	DAS	CIO	CIO - IT Investment & Planning	1,8	4		7,112,766		\$ 7,112,766	7	7.00	N	Y (27,36,48)		
19	2	DAS	CFO	CFO - Capitol Planning & Finance	1	4		5,081,416		\$ 5,081,416	7	7.00	N	N		
20	7	DAS	ETS	ETS - Application Delivery	1,16	4		12,862,616		\$ 12,862,616	20	20.00	Y	N		
21	2	DAS	ETS	ETS - Service Delivery	1,16	4		79,458,877		\$ 79,458,877	56	56.00	Y	Y (40,49,59)		
22	1	DAS	CIO	CIO - Administration	1	4		983,240		\$ 983,240	3	3.00	N	N		
23	3	DAS	COO	COO - Office of Economic Analysis	1	4	282,073	1,923,203		\$ 2,205,276	5	5.00	N	Y (16)		
24	3	DAS	DBS	DBS - Business Support Services	1	4		1,571,009		\$ 1,571,009	4	4.00	N	Y (25, 4)		
25	6	DAS	CHRO	CHRO - Administration	1,4,5	4		944,132		\$ 944,132	2	2.00	N	N		
26	4	DAS	EAM	EAM - Statewide Real Property Services	1	4		3,442,779		\$ 3,442,779	11	11.00	N	Y (7,9,13,56)		
27	3	DAS	CIO	CIO - Enterprise Security Office	1,9	4		2,428,021		\$ 2,428,021	6	6.00	N	N		
28	5	DAS	ETS	ETS - Administration	1,16	4		2,649,148		\$ 2,649,148	2	2.00	N	N		
29	5	DAS	EAM	EAM - Operations	1,7	4		26,916,830		\$ 26,916,830	67	67.00	N	Y (19,20,35,47,53)	D	
30	4	DAS	ETS	ETS - Plans & Controls	1,16	4		6,679,974		\$ 6,679,974	21	21.00	N	N		
31	7	DAS	EAM	EAM - Administration	1	4		699,657		\$ 699,657	2	2.00	N	Y (61)		
32	3	DAS	CHRO	CHRO - HR Policy	1,4,5	4		1,967,052		\$ 1,967,052	5	5.00	N	Y (12)		
33	2	DAS	DBS	DBS - Budget Services	1	4		1,727,257		\$ 1,727,257	7	7.00	N	N		
34	6	DAS	EGS	EGS - Administration	1	4		1,931,927		\$ 1,931,927	5	5.00	Y	Y (52)		
35	6	DAS	CFO	CFO - SW Audit & Budget Reporting	1	4		1,002,806		\$ 1,002,806	3	3.00	N	N		
36	6	DAS	ETS	ETS - Engineering	1,16	4		12,537,949		\$ 12,537,949	24	24.00	Y	Y (59)		
37	2	DAS	CIO	CIO - Geospatial Enterprise Office	1	4		2,751,997		\$ 2,751,997	6	5.00	N	N		
38	4	DAS	CFO	CFO - Procurement Policy	1,12	4		1,591,188		\$ 1,591,188	4	4.00	N	Y (32)		
39	3	DAS	EAM	EAM - P&CM	1	4		5,594,574		\$ 5,594,574	23	23.00	N	Y (2,7,35)		
40	3	DAS	ETS	ETS - Service Solutions	1,16	4		8,271,221		\$ 8,271,221	22	22.00	N	N		
41	3	DAS	EHR	EHR - Recruitment & Position Management	1,4,5	4		897,039		\$ 897,039	4	4.00	N	Y (38)		
42	1	DAS	CI	Capital Improvements	1	4		5,804,393		\$ 5,804,393	-	-	N	N		
43	1	DAS	CC	Capital Construction	1	4		15,531,342		\$ 15,531,342	-	-	N	N		
44	5	DAS	CHRO	CHRO - Workforce Development	1,4,5	4		1,704,224		\$ 1,704,224	4	4.00	N	N		
45	4	DAS	COO	COO - Internal Audits	1	4		591,455		\$ 591,455	2	2.00	N	Y (37)		
46	1	DAS	CHRO	CHRO - Classification/Compensation	1,4,5	4		1,533,319		\$ 1,533,319	5	5.00	N	N		
47	5	DAS	EHR	EHR - Training & Development	1,4,5	4		20,498		\$ 20,498	-	-	N	N		
48	4	DAS	CHRO	CHRO - Executive Recruitment	1,4,5	4		737,808		\$ 737,808	2	2.00	N	N		
49	2	DAS	EAM	EAM - Fleet & Parking Services	1	4		40,104,554		\$ 40,104,554	31	31.00	N	Y (17,31,42,58)	D	

Department of Administrative Services  
2013 - 2015 Biennium

Agency Number: 10700

Program/Division Priorities for 2013-15 Biennium																		
1	2	3	4	5	6	7	7	9	10	11	14	15	16	17	18	19		
Priority (ranked with highest priority first)	Dept. Initials	Program or Activity Initials	Program Unit/Activity Description	Identify Key Performance Measure(s)	Primary Purpose Program- Activity Code	GF	LF	OF	NL-OF	TOTAL FUNDS	Pos.	FTE	New or Enhanced Program (Y/N)	Included as Reduction Option (Y/N)	Legal Req. Code (C, F, or D)			
Dept	Prgm/ Div																	
50	6	DAS	EAM	EAM - Surplus Property				5,173,228		\$ 5,173,228	18	18.00	N	Y (19,57)				
51	1	DAS	DBS	DBS - Administration	1	4		1,211,317		\$ 1,211,317	2	2.00	N	N				
52	1	DAS	TDP	Transit District Payments	N/A	4			23,996,609	\$ 23,996,609	-	-	N	N				
53	1	DAS	COP	DAS DS - Enterprise Asset Mgt	1	4		33,808,354		\$ 33,808,354	-	-	N	N	D			
54	2	DAS	COP	DAS DS - Enterprise Technology Service	1	4		1,310,662		\$ 1,310,662	-	-	N	N	D			
55	4	DAS	COP	DAS DS - Chief Human Resource Office	1	4		5,527,114		\$ 5,527,114	-	-	N	N	D			
56	3	DAS	COP	DAS DS - Chief Finance Office	1	4		401,386		\$ 401,386	-	-	N	N	D			
57	1	DAS	SGP	SGP - Article XI-O Bonds	N/A	4		330,924,713		\$ 330,924,713	-	-	N	N	D			
58	2	DAS	SGP	SGP - Oregon Appropriation Bonds	N/A	4		28,942,599		\$ 28,942,599	-	-	N	N	D			
59	3	DAS	SGP	SGP - Lottery Bonds	N/A	4		19,501		\$ 19,501	-	-	N	N	D			
60	1	DAS	SGP	SGP - Oregon Public Broadcasting	N/A	4		546,157		\$ 546,157	-	-	N	N	D			
61	2	DAS	SGP	SGP - County Fair Account	N/A	11		3,576,453		\$ 3,576,453	-	-	N	N	D			
62	3	DAS	SGP	SGP - Oregon Historical Project	N/A	11		210,392		\$ 210,392	-	-	N	N	D			
63	4	DAS	SGP	SGP - Pendleton Roundup	N/A	11		87,314		\$ 87,314	-	-	N	N	D			
64	5	DAS	SGP	SGP - Port of Morrow	N/A	11		443,931		\$ 443,931	-	-	N	N	D			
65	6	DAS	SGP	SGP - Port of Newport NAA	N/A	11		3,115,750		\$ 3,115,750	-	-	N	N	D			
66	7	DAS	SGP	SGP - OJD Court Facilities	N/A	11		984,166		\$ 984,166	-	-	N	N	D			
67	8	DAS	SGP	SGP - Tillamook FEMA Match	N/A	11		1,327,616		\$ 1,327,616	-	-	N	N	D			
68	9	DAS	SGP	SGP - Lane Transit EmX	N/A	11		657,362		\$ 657,362	-	-	N	N	D			
69	10	DAS	SGP	SGP - Coos Bay Railroad	N/A	11		310,712		\$ 310,712	-	-	N	N	D			
70	11	DAS	SGP	SGP - Mill Creek	N/A	11		843,109		\$ 843,109	-	-	N	N	D			
71	12	DAS	SGP	SGP - Go! Oregon	N/A	11		5,972,627		\$ 5,972,627	-	-	N	N	D			
72	13	DAS	SGP	SGP - Eastern Oregon Trade Center	N/A	11		884,898		\$ 884,898	-	-	N	N	D			
73	14	DAS	SGP	SGP - Milton Freewater	N/A	11		401,827		\$ 401,827	-	-	N	N	D			
								7,097,809	12,546,578	929,773,657	122,552,277	\$ 1,071,970,321	816	811.92				

ACTIVITY OR PROGRAM	DESCRIBE REDUCTION	AMOUNT AND FUND TYPE	RANK AND JUSTIFICATION
(WHICH PROGRAM OR ACTIVITY WILL NOT BE UNDERTAKEN)	(DESCRIBE THE EFFECTS OF THIS REDUCTION. INCLUDE POSITIONS AND FTE IN 2011-13 AND 2013-15)	(GF, LF, OF, FF. IDENTIFY REVENUE SOURCE FOR OF, FF)	(RANK THE ACTIVITIES OR PROGRAMS NOT UNDERTAKEN IN ORDER OF LOWEST COST FOR BENEFIT OBTAINED)
<b>Enterprise Human Resource Services (EHRS)</b> – Reduce Services & Supplies in HR Operations & Systems	Eliminate publishing and distribution of hard copy personnel actions and reports to agencies. Reduction possible because of efficiencies implemented by staff.	\$74,630 – OF CHARGES FOR SERVICES	1
<b>Enterprise Asset Management (EAM)</b> – Reduce Services & Supplies in Planning & Construction Management	Reduce office expenses, e-bay fees & shipping	\$239,928 – OF CHARGES FOR SERVICES	2
<b>Enterprise Goods &amp; Services (EGS)</b> – Reduce ETS mainframe costs by creating efficiencies in Financial Business Systems	There should be no impact to remove these dollars assuming Enterprise Technology Services costs remain consistent.	\$360,000 – OF CHARGES FOR SERVICES	3
<b>DAS Business Services</b> – Reduce Services & Supplies in Administration	Reduction will have minimal impact on the division.	\$60,778 – OF INTERNAL TRANSFER	4
<b>Chief Operating Office (COO)</b> – Eliminate Administrative Specialist 2 position in Administration	The agency is reevaluating administrative support functions post its realignment, and believes there are efficiencies to be gained in workload distribution that will absorb the work of this function.	\$112,390 – OF ASSESSMENT  1 POSITION / 1.00 FTE	5

<b>Enterprise Technology Services (ETS)</b> – Migrate telephone services to hosted VoIP	Migration of telephone services over 2 year period to externally provided hosted VoIP. Currently approximately 90% of state agencies telephone sets are provided via approx. 400 state owned premise-specific telephone systems via multiple contracts. This speeds the migration to VoIP via vendor provided hosted solutions versus a state owned and managed system. Does introduce significant risk and will require outages to agency operations due to the need for a very expedited timeframe to achieve savings in 2013-15.	\$3,300,000 – OF CHARGES FOR SERVICES	6
<b>Enterprise Asset Management (EAM)</b> – Reduce Attorney General costs in Real Estate Services and Planning & Construction Management	Poses risk threat in business operations due to cost avoidance behavior and reduces ability to hold contractors accountable for failing to meet contract requirements	\$131,444 – OF CHARGES FOR SERVICES	7
<b>Enterprise Goods &amp; Services (EGS)</b> – Reduce Attorney General fees for defense of lawsuits and claims against State Agencies by 9.3% in Risk Management	Creates negative impact on defending State agencies against lawsuits and non-litigated claims. Tort caps of \$1.8M / \$3.6M mean substantial exposure to agencies. 14.9% inflation allowed, but AG fees up by 17%	\$1,100,000 – OF CHARGES FOR SERVICES	8
<b>Enterprise Asset Management (EAM)</b> – Eliminate professional services contracts in Real Estate Services and Surplus Property	Reduces ability to contract with consultants & industry experts to meet future program goals.	\$307,974 – OF CHARGES FOR SERVICES	9
<b>Enterprise Goods &amp; Services (EGS)</b> – Reduce Services & Supplies due to savings realized from electronic rather than paper reporting in Financial Business Services	Savings as a result of electronic reports will have no impacts on the unit.	\$55,000 – OF CHARGES FOR SERVICES	10
<b>Enterprise Goods &amp; Services (EGS)</b> – Reduce Services & Supplies for travel and training funds in Financial Business Services	Training and travel reductions may affect employee productivity.	\$45,000 – OF CHARGES FOR SERVICES	11
<b>Chief Human Resource Office (CHRO)</b> – Eliminate Office Support Specialist position in HR Policy	In the reorganization of DAS HRSD to an EM policy model one clerical support position (Office Specialist 2) remained within the CHRO. While retaining this position would enable clerical support for a myriad of iLearn, Executive Recruitment and Policy needs, removing it would not	\$123,756 – OF ASSESSMENT	12

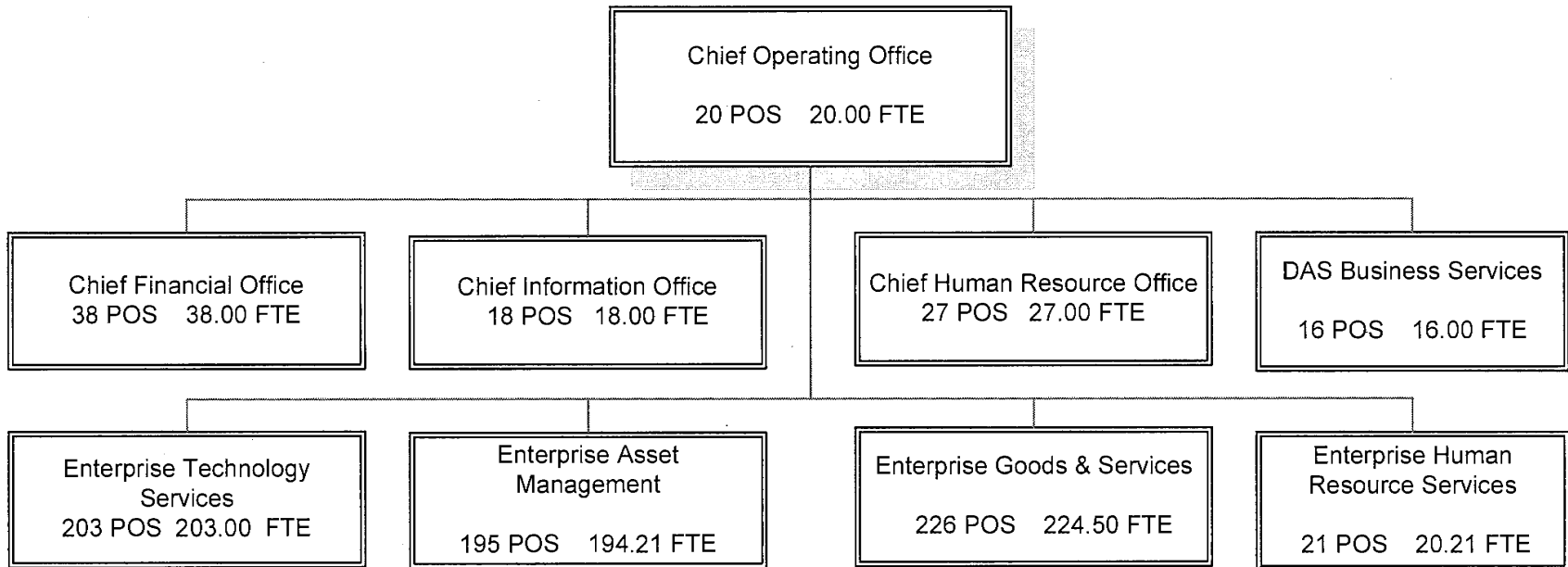
	pose a serious compromise of programs and services.	1 POSITION / 1.00 FTE	
<b>Enterprise Asset Management (EAM)</b> – Reduce Services & Supplies, Employee Training & Capital Outlay in Planning & Construction Management, Real Estate Services, Operations and Maintenance	Reduces services & supplies. Reduces employee development, travel, professional publications, professional services and impedes ability to maintain certifications.	\$382,735 – OF CHARGES FOR SERVICES	13
<b>Enterprise Goods &amp; Services (EGS)</b> – Eliminate inflation and funding for Procurement Services Initiatives – Sheltered Market Program, Process Improvements, limited duration needs	Eliminates limitation and funding for future Procurement Initiatives	\$600,000 – OF CHARGES FOR SERVICES	14
<b>Enterprise Human Resource Services (EHRS)</b> – Reduce Services & Supplies in Administration, HR Client Managers, HR Operations & Systems	Reduces services & supplies.	\$12,699 – OF CHARGES FOR SERVICES	15
<b>Chief Operating Office (COO)</b> – Eliminate Chief Audit Executive	DAS is responsible for support and leadership coordination of the state’s internal audit function and the elimination of this position would eliminate that oversight. Loss of the coordinated oversight would likely further degrade the internal audit function at individual agencies. It would also require statutory change as DAS would not have staff necessary to compile information for annual reports to the Legislature.	\$270,544 – OF ASSESSMENT  1 POSITION / 1.00 FTE	16
<b>Enterprise Asset Management (EAM)</b> – Reduce vehicle travel by 2.6% to only essential functions (reduce fuel and maintenance costs) in Fleet & Parking programs	Likely a negative impact on agencies’ ability to deliver services. Will likely reduce state government interactions with local government and private entities.	\$530,000 – OF CHARGES FOR SERVICES	17
<b>Enterprise Goods &amp; Services (EGS)</b> – Reduce Attorney General expenses in Procurement Services	Moderate increase in business risk; 12% reduction; this is in addition to 30% reduction reallocated to Policy; reduces contact with attorneys for procurement legal issues	\$55,811 – OF CHARGES FOR SERVICES	18

<b>Enterprise Asset Management (EAM)</b> – Reduce temporary staff in Surplus Property and Operations	Reduces ability to hire temp staff as needed, will result in longer waits for customers and reduce customer service levels	\$62,631 – OF CHARGES FOR SERVICES	19
<b>Enterprise Asset Management (EAM)</b> – Decrease utility usage in all 41 DAS owned buildings	Savings through energy audits, active occupant involvement in conservation, and improvements to buildings' operations and maintenance.	\$300,000 – OF CHARGES FOR SERVICES	20



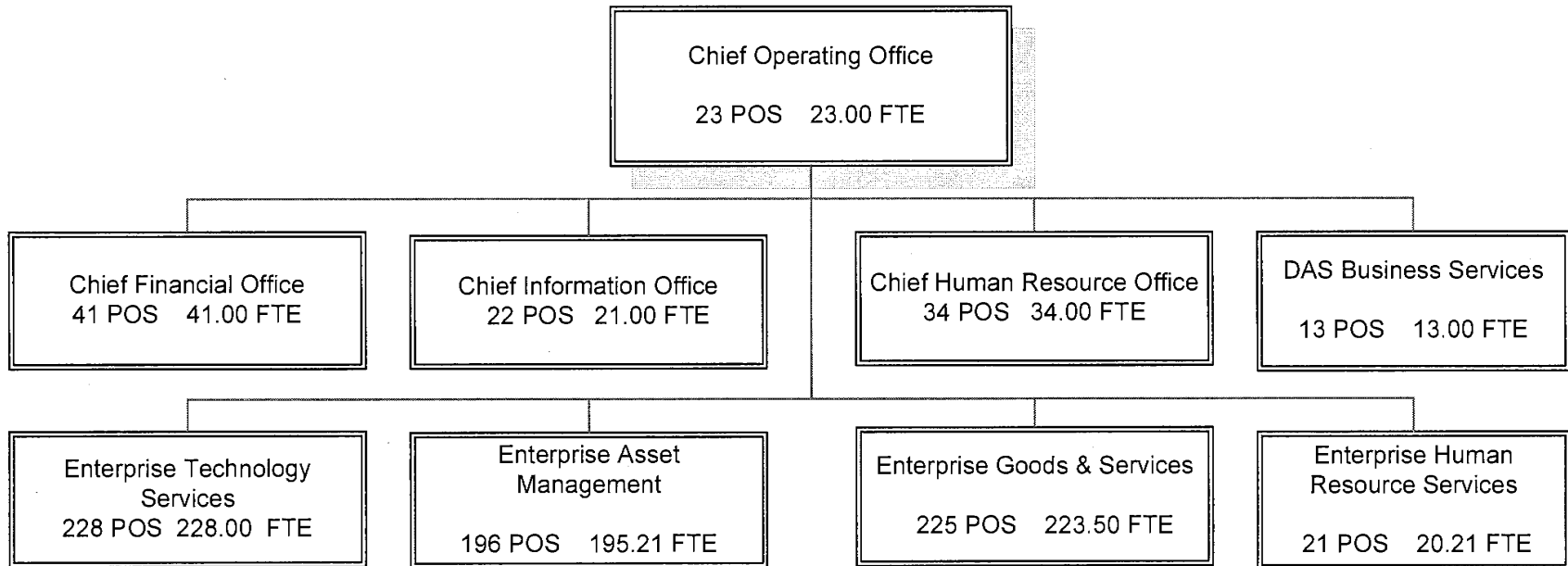
# Budget Narrative

**Department of Administrative Services  
Organization Chart  
2011-13  
764 POS 760.92 FTE**



# Budget Narrative

**Department of Administrative Services  
Organization Chart  
2013-15  
803 POS 798.92 FTE**



**Administrative Svcs, Dept of**

**Agency Number: 10700**

**Agencywide Appropriated Fund Group  
2013-15 Biennium**

**Version: Y - 01 - Governor's Budget**

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>LIMITED BUDGET (Excluding Packages)</b>						
General Fund	9,437,132	1,597,059	1,597,059	1,607,991	1,606,984	-
Lottery Funds	2,807,758	3,576,453	3,576,453	3,576,453	3,576,453	-
Other Funds	401,266,748	397,950,590	395,575,647	406,351,362	405,730,470	-
Federal Funds	47,000	-	-	-	-	-
All Funds	413,558,638	403,124,102	400,749,159	411,535,806	410,913,907	-
AUTHORIZED POSITIONS	822	774	773	764	764	-
AUTHORIZED FTE	812.34	770.67	769.67	760.92	760.92	-
<b>LIMITED BUDGET (Essential Packages)</b>						
010-NON-PICS PSNL SVC / VACANCY FACTOR						
General Fund	-	-	-	566	566	-
Other Funds	-	-	-	426,708	426,477	-
All Funds	-	-	-	427,274	427,043	-
022-PHASE-OUT PGM & ONE-TIME COSTS						
General Fund	-	-	-	(1,325,000)	(1,325,000)	-
Other Funds	-	-	-	(24,939,932)	(24,939,932)	-
All Funds	-	-	-	(26,264,932)	(26,264,932)	-
031-STANDARD INFLATION						
General Fund	-	-	-	(1,484)	(1,484)	-
Other Funds	-	-	-	33,296,340	32,938,899	-
All Funds	-	-	-	33,294,856	32,937,415	-
033-EXCEPTIONAL INFLATION						
Other Funds	-	-	-	3,814,382	3,814,382	-
060-TECHNICAL ADJUSTMENTS						

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2013-15 Biennium

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Legislatively Adopted  
Agencywide Appropriated Fund Group - BPR001

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	-	-	-	-	(1,477,559)	-
<b>TOTAL LIMITED BUDGET (Essential Packages)</b>						
General Fund	-	-	-	(1,325,918)	(1,325,918)	-
Other Funds	-	-	-	12,597,498	10,762,267	-
All Funds	-	-	-	11,271,580	9,436,349	-
<b>LIMITED BUDGET (Current Service Level)</b>						
General Fund	9,437,132	1,597,059	1,597,059	282,073	281,066	-
Lottery Funds	2,807,758	3,576,453	3,576,453	3,576,453	3,576,453	-
Other Funds	401,266,748	397,950,590	395,575,647	418,948,860	416,492,737	-
Federal Funds	47,000	-	-	-	-	-
All Funds	413,558,638	403,124,102	400,749,159	422,807,386	420,350,256	-
AUTHORIZED POSITIONS	822	774	773	764	764	-
AUTHORIZED FTE	812.34	770.67	769.67	760.92	760.92	-
<b>LIMITED BUDGET (Policy Packages)</b>						
081-MAY 2012 E-BOARD- RANK 0 - 030-00-00-00000						
Other Funds	-	-	-	(7,870)	(6,898)	-
Authorized Positions	-	-	-	(1)	(1)	-
Authorized FTE	-	-	-	(1.00)	(1.00)	-
081-MAY 2012 E-BOARD- RANK 0 - 035-00-00-00000						
Other Funds	-	-	-	387,129	387,129	-
081-MAY 2012 E-BOARD- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	252,162	252,162	-
081-MAY 2012 E-BOARD- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	(201,619)	(200,737)	-

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Authorized Positions	-	-	-	(1)	(1)	-
Authorized FTE	-	-	-	(1.00)	(1.00)	-
081-MAY 2012 E-BOARD- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	(252,162)	(252,162)	-
081-MAY 2012 E-BOARD- RANK 0 - 075-00-00-00000						
Other Funds	-	-	-	19,742	21,780	-
Authorized Positions	-	-	-	(2)	(2)	-
Authorized FTE	-	-	-	(2.00)	(2.00)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 030-00-00-00000						
Other Funds	-	-	-	-	(382,934)	-
Authorized Positions	-	-	-	-	(2)	-
Authorized FTE	-	-	-	-	(2.00)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 045-00-00-00000						
Other Funds	-	-	-	-	(123,756)	-
Authorized Positions	-	-	-	-	(1)	-
Authorized FTE	-	-	-	-	(1.00)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	-	(3,300,000)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	-	(1,954,712)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	-	(2,215,811)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 070-00-00-00000						
Other Funds	-	-	-	-	(87,329)	-

**Administrative Svcs, Dept of**

**Agency Number: 10700**

**Agencywide Appropriated Fund Group  
2013-15 Biennium**

**Version: Y - 01 - Governor's Budget**

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090-ANALYST ADJUSTMENTS- RANK 0 - 075-00-00-00000						
Other Funds	-	-	-	-	(60,778)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 099-00-00-00000						
General Fund	-	-	-	-	750,000	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 035-00-00-00000						
Other Funds	-	-	-	-	(589,473)	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 040-00-00-00000						
Other Funds	-	-	-	-	(565,560)	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 045-00-00-00000						
Other Funds	-	-	-	-	(635,146)	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	-	(5,771,223)	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	-	(2,023,956)	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 070-00-00-00000						
Other Funds	-	-	-	-	(462,891)	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 075-00-00-00000						
Other Funds	-	-	-	-	(135,140)	-
092-PERS TAXATION POLICY- RANK 0 - 030-00-00-00000						
General Fund	-	-	-	-	(611)	-
Other Funds	-	-	-	-	(14,746)	-
All Funds	-	-	-	-	(15,357)	-
092-PERS TAXATION POLICY- RANK 0 - 035-00-00-00000						
Other Funds	-	-	-	-	(26,631)	-

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2013-15 Biennium

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Agencywide Appropriated Fund Group - BPR001

**Administrative Svcs, Dept of**

**Agency Number: 10700**

**Agencywide Appropriated Fund Group  
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Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
092-PERS TAXATION POLICY- RANK 0 - 040-00-00-00000						
Other Funds	-	-	-	-	(12,521)	-
092-PERS TAXATION POLICY- RANK 0 - 045-00-00-00000						
Other Funds	-	-	-	-	(23,051)	-
092-PERS TAXATION POLICY- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	-	(145,084)	-
092-PERS TAXATION POLICY- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	-	(69,958)	-
092-PERS TAXATION POLICY- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	-	(95,037)	-
092-PERS TAXATION POLICY- RANK 0 - 070-00-00-00000						
Other Funds	-	-	-	-	(9,690)	-
092-PERS TAXATION POLICY- RANK 0 - 075-00-00-00000						
Other Funds	-	-	-	-	(7,230)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 030-00-00-00000						
General Fund	-	-	-	-	(4,881)	-
Other Funds	-	-	-	-	(117,825)	-
All Funds	-	-	-	-	(122,706)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 035-00-00-00000						
Other Funds	-	-	-	-	(212,791)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 040-00-00-00000						
Other Funds	-	-	-	-	(100,052)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 045-00-00-00000						
Other Funds	-	-	-	-	(184,192)	-

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Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
093-OTHER PERS ADJUSTMENTS- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	-	(1,159,290)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	-	(558,997)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	-	(759,393)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 070-00-00-00000						
Other Funds	-	-	-	-	(77,427)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 075-00-00-00000						
Other Funds	-	-	-	-	(57,769)	-
101-COO - PROJECT MANAGERS- RANK 0 - 030-00-00-00000						
Other Funds	-	-	-	853,693	850,337	-
Authorized Positions	-	-	-	4	4	-
Authorized FTE	-	-	-	4.00	4.00	-
102-FACILITIES ASSESSMENT & PLANNING SOFTWARE- RANK 0 - 035-00-00-00000						
Other Funds	-	-	-	2,200,800	2,200,800	-
Authorized Positions	-	-	-	4	4	-
Authorized FTE	-	-	-	3.00	3.00	-
104-CHRO - REPLACE PPDB- RANK 0 - 045-00-00-00000						
Other Funds	-	-	-	40,121,522	40,112,131	-
Authorized Positions	-	-	-	8	8	-
Authorized FTE	-	-	-	8.00	8.00	-
106-ETS - EQUIPMENT LIFECYCLE REPLACEMENT- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	3,697,738	730,000	-

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2013-15 Biennium

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Agencywide Appropriated Fund Group - BPR001



Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
107-ETS - SUPPORT IT INFRASTRUCTURE GROWTH- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	9,904,354	3,428,248	-
Authorized Positions	-	-	-	7	3	-
Authorized FTE	-	-	-	7.00	3.00	-
108-ETS - LEVERAGE TECHNOLOGY- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	8,176,611	2,816,808	-
Authorized Positions	-	-	-	3	3	-
Authorized FTE	-	-	-	3.00	3.00	-
109-ETS - SECURITY - RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	4,518,839	1,173,899	-
Authorized Positions	-	-	-	1	1	-
Authorized FTE	-	-	-	1.00	1.00	-
110-ETS - EGOV ADMIN SUPPORT- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	495,010	493,042	-
Authorized Positions	-	-	-	2	2	-
Authorized FTE	-	-	-	2.00	2.00	-
111-ETS - AGENCY COMPANION POP- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	12,343,533	12,325,518	-
Authorized Positions	-	-	-	15	15	-
Authorized FTE	-	-	-	15.00	15.00	-
112-ETS - CIO SUPPORT- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	289,569	-	-
Authorized Positions	-	-	-	1	-	-
Authorized FTE	-	-	-	1.00	-	-

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
113-EAM - FLEET VEHICLE PURCHASE- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	3,500,000	3,500,000	-
114-EAM - SURPLUS STAFFING- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	112,947	-	-
Authorized Positions	-	-	-	1	-	-
Authorized FTE	-	-	-	1.00	-	-
115-PROCUREMENT SERVICES STRATEGIC SOURCING TEAM- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	1,160,101	-	-
Authorized Positions	-	-	-	6	-	-
Authorized FTE	-	-	-	6.00	-	-
116-SUPREME COURT BUILDING RENOVATION- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	601,082	599,591	-
Authorized Positions	-	-	-	2	2	-
Authorized FTE	-	-	-	2.00	2.00	-
117-CAPITAL PLANNING- RANK 0 - 035-00-00-00000						
Other Funds	-	-	-	1,140,458	1,137,703	-
Authorized Positions	-	-	-	2	2	-
Authorized FTE	-	-	-	2.00	2.00	-
504-INFRASTRUCTURE COORDINATION- RANK 0 - 030-00-00-00000						
General Fund	-	-	-	-	2,750,000	-
Authorized Positions	-	-	-	-	2	-
Authorized FTE	-	-	-	-	2.00	-
<b>TOTAL LIMITED BUDGET (Policy Packages)</b>						
General Fund	-	-	-	-	3,494,508	-

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	-	-	-	89,313,639	47,628,958	-
All Funds	-	-	-	89,313,639	51,123,466	-
AUTHORIZED POSITIONS	-	-	-	52	39	-
AUTHORIZED FTE	-	-	-	51.00	38.00	-
<b>TOTAL LIMITED BUDGET (Including Packages)</b>						
General Fund	9,437,132	1,597,059	1,597,059	282,073	3,775,574	-
Lottery Funds	2,807,758	3,576,453	3,576,453	3,576,453	3,576,453	-
Other Funds	401,266,748	397,950,590	395,575,647	508,262,499	464,121,695	-
Federal Funds	47,000	-	-	-	-	-
All Funds	413,558,638	403,124,102	400,749,159	512,121,025	471,473,722	-
AUTHORIZED POSITIONS	822	774	773	816	803	-
AUTHORIZED FTE	812.34	770.67	769.67	811.92	798.92	-
<b>NONLIMITED BUDGET (Excluding Packages)</b>						
Other Funds	95,061,449	122,415,320	122,415,320	122,552,277	122,552,277	-
<b>NONLIMITED BUDGET (Current Service Level)</b>						
Other Funds	95,061,449	122,415,320	122,415,320	122,552,277	122,552,277	-
<b>TOTAL NONLIMITED BUDGET (Including Packages)</b>						
Other Funds	95,061,449	122,415,320	122,415,320	122,552,277	122,552,277	-
<b>OPERATING BUDGET (Excluding Packages)</b>						
General Fund	9,437,132	1,597,059	1,597,059	1,607,991	1,606,984	-
Lottery Funds	2,807,758	3,576,453	3,576,453	3,576,453	3,576,453	-
Other Funds	496,328,197	520,365,910	517,990,967	528,903,639	528,282,747	-
Federal Funds	47,000	-	-	-	-	-
All Funds	508,620,087	525,539,422	523,164,479	534,088,083	533,466,184	-

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
AUTHORIZED POSITIONS	822	774	773	764	764	-
AUTHORIZED FTE	812.34	770.67	769.67	760.92	760.92	-
<b>OPERATING BUDGET (Essential Packages)</b>						
010-NON-PICS PSNL SVC / VACANCY FACTOR						
General Fund	-	-	-	566	566	-
Other Funds	-	-	-	426,708	426,477	-
All Funds	-	-	-	427,274	427,043	-
022-PHASE-OUT PGM & ONE-TIME COSTS						
General Fund	-	-	-	(1,325,000)	(1,325,000)	-
Other Funds	-	-	-	(24,939,932)	(24,939,932)	-
All Funds	-	-	-	(26,264,932)	(26,264,932)	-
031-STANDARD INFLATION						
General Fund	-	-	-	(1,484)	(1,484)	-
Other Funds	-	-	-	33,296,340	32,938,899	-
All Funds	-	-	-	33,294,856	32,937,415	-
033-EXCEPTIONAL INFLATION						
Other Funds	-	-	-	3,814,382	3,814,382	-
060-TECHNICAL ADJUSTMENTS						
Other Funds	-	-	-	-	(1,477,559)	-
<b>TOTAL OPERATING BUDGET (Essential Packages)</b>						
General Fund	-	-	-	(1,325,918)	(1,325,918)	-
Other Funds	-	-	-	12,597,498	10,762,267	-
All Funds	-	-	-	11,271,580	9,436,349	-
<b>OPERATING BUDGET (Current Service Level)</b>						

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
General Fund	9,437,132	1,597,059	1,597,059	282,073	281,066	-
Lottery Funds	2,807,758	3,576,453	3,576,453	3,576,453	3,576,453	-
Other Funds	496,328,197	520,365,910	517,990,967	541,501,137	539,045,014	-
Federal Funds	47,000	-	-	-	-	-
All Funds	508,620,087	525,539,422	523,164,479	545,359,663	542,902,533	-
AUTHORIZED POSITIONS	822	774	773	764	764	-
AUTHORIZED FTE	812.34	770.67	769.67	760.92	760.92	-
<b>OPERATING BUDGET (Policy Packages)</b>						
081-MAY 2012 E-BOARD- RANK 0 - 030-00-00-00000						
Other Funds	-	-	-	(7,870)	(6,898)	-
Authorized Positions	-	-	-	(1)	(1)	-
Authorized FTE	-	-	-	(1.00)	(1.00)	-
081-MAY 2012 E-BOARD- RANK 0 - 035-00-00-00000						
Other Funds	-	-	-	387,129	387,129	-
081-MAY 2012 E-BOARD- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	252,162	252,162	-
081-MAY 2012 E-BOARD- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	(201,619)	(200,737)	-
Authorized Positions	-	-	-	(1)	(1)	-
Authorized FTE	-	-	-	(1.00)	(1.00)	-
081-MAY 2012 E-BOARD- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	(252,162)	(252,162)	-
081-MAY 2012 E-BOARD- RANK 0 - 075-00-00-00000						
Other Funds	-	-	-	19,742	21,780	-

**Administrative Svcs, Dept of**

**Agency Number: 10700**

**Agencywide Appropriated Fund Group  
2013-15 Biennium**

**Version: Y - 01 - Governor's Budget**

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Authorized Positions	-	-	-	(2)	(2)	-
Authorized FTE	-	-	-	(2.00)	(2.00)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 030-00-00-00000						
Other Funds	-	-	-	-	(382,934)	-
Authorized Positions	-	-	-	-	(2)	-
Authorized FTE	-	-	-	-	(2.00)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 045-00-00-00000						
Other Funds	-	-	-	-	(123,756)	-
Authorized Positions	-	-	-	-	(1)	-
Authorized FTE	-	-	-	-	(1.00)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	-	(3,300,000)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	-	(1,954,712)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	-	(2,215,811)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 070-00-00-00000						
Other Funds	-	-	-	-	(87,329)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 075-00-00-00000						
Other Funds	-	-	-	-	(60,778)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 099-00-00-00000						
General Fund	-	-	-	-	750,000	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 035-00-00-00000						
Other Funds	-	-	-	-	(589,473)	-

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Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 040-00-00-00000						
Other Funds	-	-	-	-	(565,560)	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 045-00-00-00000						
Other Funds	-	-	-	-	(635,146)	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	-	(5,771,223)	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	-	(2,023,956)	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 070-00-00-00000						
Other Funds	-	-	-	-	(462,891)	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 075-00-00-00000						
Other Funds	-	-	-	-	(135,140)	-
092-PERS TAXATION POLICY- RANK 0 - 030-00-00-00000						
General Fund	-	-	-	-	(611)	-
Other Funds	-	-	-	-	(14,746)	-
All Funds	-	-	-	-	(15,357)	-
092-PERS TAXATION POLICY- RANK 0 - 035-00-00-00000						
Other Funds	-	-	-	-	(26,631)	-
092-PERS TAXATION POLICY- RANK 0 - 040-00-00-00000						
Other Funds	-	-	-	-	(12,521)	-
092-PERS TAXATION POLICY- RANK 0 - 045-00-00-00000						
Other Funds	-	-	-	-	(23,051)	-
092-PERS TAXATION POLICY- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	-	(145,084)	-

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
092-PERS TAXATION POLICY- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	-	(69,958)	-
092-PERS TAXATION POLICY- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	-	(95,037)	-
092-PERS TAXATION POLICY- RANK 0 - 070-00-00-00000						
Other Funds	-	-	-	-	(9,690)	-
092-PERS TAXATION POLICY- RANK 0 - 075-00-00-00000						
Other Funds	-	-	-	-	(7,230)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 030-00-00-00000						
General Fund	-	-	-	-	(4,881)	-
Other Funds	-	-	-	-	(117,825)	-
All Funds	-	-	-	-	(122,706)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 035-00-00-00000						
Other Funds	-	-	-	-	(212,791)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 040-00-00-00000						
Other Funds	-	-	-	-	(100,052)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 045-00-00-00000						
Other Funds	-	-	-	-	(184,192)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	-	(1,159,290)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	-	(558,997)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	-	(759,393)	-



Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
093-OTHER PERS ADJUSTMENTS- RANK 0 - 070-00-00-00000						
Other Funds	-	-	-	-	(77,427)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 075-00-00-00000						
Other Funds	-	-	-	-	(57,769)	-
101-COO - PROJECT MANAGERS- RANK 0 - 030-00-00-00000						
Other Funds	-	-	-	853,693	850,337	-
Authorized Positions	-	-	-	4	4	-
Authorized FTE	-	-	-	4.00	4.00	-
102-FACILITIES ASSESSMENT & PLANNING SOFTWARE- RANK 0 - 035-00-00-00000						
Other Funds	-	-	-	2,200,800	2,200,800	-
Authorized Positions	-	-	-	4	4	-
Authorized FTE	-	-	-	3.00	3.00	-
104-CHRO - REPLACE PPDB- RANK 0 - 045-00-00-00000						
Other Funds	-	-	-	40,121,522	40,112,131	-
Authorized Positions	-	-	-	8	8	-
Authorized FTE	-	-	-	8.00	8.00	-
106-ETS - EQUIPMENT LIFECYCLE REPLACEMENT- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	3,697,738	730,000	-
107-ETS - SUPPORT IT INFRASTRUCTURE GROWTH- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	9,904,354	3,428,248	-
Authorized Positions	-	-	-	7	3	-
Authorized FTE	-	-	-	7.00	3.00	-
108-ETS - LEVERAGE TECHNOLOGY- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	8,176,611	2,816,808	-

**Administrative Svcs, Dept of**

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**Agencywide Appropriated Fund Group  
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**Version: Y - 01 - Governor's Budget**

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Authorized Positions	-	-	-	3	3	-
Authorized FTE	-	-	-	3.00	3.00	-
109-ETS - SECURITY - RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	4,518,839	1,173,899	-
Authorized Positions	-	-	-	1	1	-
Authorized FTE	-	-	-	1.00	1.00	-
110-ETS - EGOV ADMIN SUPPORT- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	495,010	493,042	-
Authorized Positions	-	-	-	2	2	-
Authorized FTE	-	-	-	2.00	2.00	-
111-ETS - AGENCY COMPANION POP- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	12,343,533	12,325,518	-
Authorized Positions	-	-	-	15	15	-
Authorized FTE	-	-	-	15.00	15.00	-
112-ETS - CIO SUPPORT- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	289,569	-	-
Authorized Positions	-	-	-	1	-	-
Authorized FTE	-	-	-	1.00	-	-
113-EAM - FLEET VEHICLE PURCHASE- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	3,500,000	3,500,000	-
114-EAM - SURPLUS STAFFING- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	112,947	-	-
Authorized Positions	-	-	-	1	-	-
Authorized FTE	-	-	-	1.00	-	-

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Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
115-PROCUREMENT SERVICES STRATEGIC SOURCING TEAM- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	1,160,101	-	-
Authorized Positions	-	-	-	6	-	-
Authorized FTE	-	-	-	6.00	-	-
116-SUPREME COURT BUILDING RENOVATION- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	601,082	599,591	-
Authorized Positions	-	-	-	2	2	-
Authorized FTE	-	-	-	2.00	2.00	-
117-CAPITAL PLANNING- RANK 0 - 035-00-00-00000						
Other Funds	-	-	-	1,140,458	1,137,703	-
Authorized Positions	-	-	-	2	2	-
Authorized FTE	-	-	-	2.00	2.00	-
504-INFRASTRUCTURE COORDINATION- RANK 0 - 030-00-00-00000						
General Fund	-	-	-	-	2,750,000	-
Authorized Positions	-	-	-	-	2	-
Authorized FTE	-	-	-	-	2.00	-
<b>TOTAL OPERATING BUDGET (Policy Packages)</b>						
General Fund	-	-	-	-	3,494,508	-
Other Funds	-	-	-	89,313,639	47,628,958	-
All Funds	-	-	-	89,313,639	51,123,466	-
AUTHORIZED POSITIONS	-	-	-	52	39	-
AUTHORIZED FTE	-	-	-	51.00	38.00	-
<b>TOTAL OPERATING BUDGET (Including Packages)</b>						
General Fund	9,437,132	1,597,059	1,597,059	282,073	3,775,574	-

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Lottery Funds	2,807,758	3,576,453	3,576,453	3,576,453	3,576,453	-
Other Funds	496,328,197	520,365,910	517,990,967	630,814,776	586,673,972	-
Federal Funds	47,000	-	-	-	-	-
All Funds	508,620,087	525,539,422	523,164,479	634,673,302	594,025,999	-
AUTHORIZED POSITIONS	822	774	773	816	803	-
AUTHORIZED FTE	812.34	770.67	769.67	811.92	798.92	-
<b>DEBT SERVICE (Excluding Packages)</b>						
General Fund	3,762,279	6,575,467	6,813,955	6,815,736	6,815,736	-
Lottery Funds	5,395,406	8,164,343	8,497,075	8,970,125	8,970,125	-
Other Funds	495,389,931	482,245,266	482,245,266	394,246,923	394,246,923	-
All Funds	504,547,616	496,985,076	497,556,296	410,032,784	410,032,784	-
<b>DEBT SERVICE (Current Service Level)</b>						
General Fund	3,762,279	6,575,467	6,813,955	6,815,736	6,815,736	-
Lottery Funds	5,395,406	8,164,343	8,497,075	8,970,125	8,970,125	-
Other Funds	495,389,931	482,245,266	482,245,266	394,246,923	394,246,923	-
All Funds	504,547,616	496,985,076	497,556,296	410,032,784	410,032,784	-
<b>DEBT SERVICE (Policy Packages)</b>						
102-FACILITIES ASSESSMENT & PLANNING SOFTWARE- RANK 0 - 093-00-00-00000						
Other Funds	-	-	-	401,386	401,386	-
104-CHRO - REPLACE PPDB- RANK 0 - 093-00-00-00000						
Other Funds	-	-	-	5,527,114	-	-
<b>TOTAL DEBT SERVICE (Policy Packages)</b>						
Other Funds	-	-	-	5,928,500	401,386	-
<b>TOTAL DEBT SERVICE (Including Packages)</b>						

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
General Fund	3,762,279	6,575,467	6,813,955	6,815,736	6,815,736	-
Lottery Funds	5,395,406	8,164,343	8,497,075	8,970,125	8,970,125	-
Other Funds	495,389,931	482,245,266	482,245,266	400,175,423	394,648,309	-
All Funds	504,547,616	496,985,076	497,556,296	415,961,284	410,434,170	-
<b>DEBT SERVICE NONLIMITED (Excluding Packages)</b>						
Other Funds	19,663,819	-	-	-	-	-
Federal Funds	-	1	1	1	1	-
All Funds	19,663,819	1	1	1	1	-
<b>DEBT SERVICE NONLIMITED (Essential Packages)</b>						
022-PHASE-OUT PGM & ONE-TIME COSTS						
Federal Funds	-	-	-	(1)	(1)	-
<b>TOTAL DEBT SERVICE NONLIMITED (Essential Packages)</b>						
Federal Funds	-	-	-	(1)	(1)	-
<b>DEBT SERVICE NONLIMITED (Current Service Level)</b>						
Other Funds	19,663,819	-	-	-	-	-
Federal Funds	-	1	1	-	-	-
All Funds	19,663,819	1	1	-	-	-
<b>TOTAL DEBT SERVICE NONLIMITED (Including Packages)</b>						
Other Funds	19,663,819	-	-	-	-	-
Federal Funds	-	1	1	-	-	-
All Funds	19,663,819	1	1	-	-	-
<b>CAPITAL IMPROVEMENT (Excluding Packages)</b>						
Other Funds	3,003,145	4,681,401	4,681,401	4,681,401	4,681,401	-
<b>CAPITAL IMPROVEMENT (Essential Packages)</b>						

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
021-PHASE-IN						
Other Funds	-	-	-	1,122,992	1,122,992	-
060-TECHNICAL ADJUSTMENTS						
Other Funds	-	-	-	-	187,615	-
<b>TOTAL CAPITAL IMPROVEMENT (Essential Packages)</b>						
Other Funds	-	-	-	1,122,992	1,310,607	-
<b>CAPITAL IMPROVEMENT (Current Service Level)</b>						
Other Funds	3,003,145	4,681,401	4,681,401	5,804,393	5,992,008	-
<b>TOTAL CAPITAL IMPROVEMENT (Including Packages)</b>						
Other Funds	3,003,145	4,681,401	4,681,401	5,804,393	5,992,008	-
<b>CAPITAL CONSTRUCTION (Excluding Packages)</b>						
Other Funds	9,606,721	13,243,854	13,243,854	-	-	-
<b>CAPITAL CONSTRUCTION (Current Service Level)</b>						
Other Funds	9,606,721	13,243,854	13,243,854	-	-	-
<b>CAPITAL CONSTRUCTION (Policy Packages)</b>						
489-CAPITAL CONSTRUCTION PROJECTS- RANK 0 - 089-00-00-00000						
Other Funds	-	-	-	15,531,342	16,821,286	-
<b>TOTAL CAPITAL CONSTRUCTION (Policy Packages)</b>						
Other Funds	-	-	-	15,531,342	16,821,286	-
<b>TOTAL CAPITAL CONSTRUCTION (Including Packages)</b>						
Other Funds	9,606,721	13,243,854	13,243,854	15,531,342	16,821,286	-
<b>TOTAL BUDGET (Excluding Packages)</b>						
General Fund	13,199,411	8,172,526	8,411,014	8,423,727	8,422,720	-
Lottery Funds	8,203,164	11,740,796	12,073,528	12,546,578	12,546,578	-

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	1,023,991,813	1,020,536,431	1,018,161,488	927,831,963	927,211,071	-
Federal Funds	47,000	1	1	1	1	-
All Funds	1,045,441,388	1,040,449,754	1,038,646,031	948,802,269	948,180,370	-
AUTHORIZED POSITIONS	822	774	773	764	764	-
AUTHORIZED FTE	812.34	770.67	769.67	760.92	760.92	-
<b>TOTAL BUDGET (Essential Packages)</b>						
010-NON-PICS PSNL SVC / VACANCY FACTOR						
General Fund	-	-	-	566	566	-
Other Funds	-	-	-	426,708	426,477	-
All Funds	-	-	-	427,274	427,043	-
021-PHASE-IN						
Other Funds	-	-	-	1,122,992	1,122,992	-
022-PHASE-OUT PGM & ONE-TIME COSTS						
General Fund	-	-	-	(1,325,000)	(1,325,000)	-
Other Funds	-	-	-	(24,939,932)	(24,939,932)	-
Federal Funds	-	-	-	(1)	(1)	-
All Funds	-	-	-	(26,264,933)	(26,264,933)	-
031-STANDARD INFLATION						
General Fund	-	-	-	(1,484)	(1,484)	-
Other Funds	-	-	-	33,296,340	32,938,899	-
All Funds	-	-	-	33,294,856	32,937,415	-
033-EXCEPTIONAL INFLATION						
Other Funds	-	-	-	3,814,382	3,814,382	-
060-TECHNICAL ADJUSTMENTS						

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	-	-	-	-	(1,289,944)	-
<b>TOTAL BUDGET (Essential Packages)</b>						
General Fund	-	-	-	(1,325,918)	(1,325,918)	-
Other Funds	-	-	-	13,720,490	12,072,874	-
Federal Funds	-	-	-	(1)	(1)	-
All Funds	-	-	-	12,394,571	10,746,955	-
<b>TOTAL BUDGET (Current Service Level)</b>						
General Fund	13,199,411	8,172,526	8,411,014	7,097,809	7,096,802	-
Lottery Funds	8,203,164	11,740,796	12,073,528	12,546,578	12,546,578	-
Other Funds	1,023,991,813	1,020,536,431	1,018,161,488	941,552,453	939,283,945	-
Federal Funds	47,000	1	1	-	-	-
All Funds	1,045,441,388	1,040,449,754	1,038,646,031	961,196,840	958,927,325	-
AUTHORIZED POSITIONS	822	774	773	764	764	-
AUTHORIZED FTE	812.34	770.67	769.67	760.92	760.92	-
<b>TOTAL BUDGET (Policy Packages)</b>						
081-MAY 2012 E-BOARD- RANK 0 - 030-00-00-00000						
Other Funds	-	-	-	(7,870)	(6,898)	-
Authorized Positions	-	-	-	(1)	(1)	-
Authorized FTE	-	-	-	(1.00)	(1.00)	-
081-MAY 2012 E-BOARD- RANK 0 - 035-00-00-00000						
Other Funds	-	-	-	387,129	387,129	-
081-MAY 2012 E-BOARD- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	252,162	252,162	-
081-MAY 2012 E-BOARD- RANK 0 - 060-00-00-00000						



Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	-	-	-	(201,619)	(200,737)	-
Authorized Positions	-	-	-	(1)	(1)	-
Authorized FTE	-	-	-	(1.00)	(1.00)	-
081-MAY 2012 E-BOARD- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	(252,162)	(252,162)	-
081-MAY 2012 E-BOARD- RANK 0 - 075-00-00-00000						
Other Funds	-	-	-	19,742	21,780	-
Authorized Positions	-	-	-	(2)	(2)	-
Authorized FTE	-	-	-	(2.00)	(2.00)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 030-00-00-00000						
Other Funds	-	-	-	-	(382,934)	-
Authorized Positions	-	-	-	-	(2)	-
Authorized FTE	-	-	-	-	(2.00)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 045-00-00-00000						
Other Funds	-	-	-	-	(123,756)	-
Authorized Positions	-	-	-	-	(1)	-
Authorized FTE	-	-	-	-	(1.00)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	-	(3,300,000)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	-	(1,954,712)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	-	(2,215,811)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 070-00-00-00000						

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Other Funds	-	-	-	-	(87,329)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 075-00-00-00000						
Other Funds	-	-	-	-	(60,778)	-
090-ANALYST ADJUSTMENTS- RANK 0 - 099-00-00-00000						
General Fund	-	-	-	-	750,000	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 035-00-00-00000						
Other Funds	-	-	-	-	(589,473)	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 040-00-00-00000						
Other Funds	-	-	-	-	(565,560)	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 045-00-00-00000						
Other Funds	-	-	-	-	(635,146)	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	-	(5,771,223)	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	-	(2,023,956)	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 070-00-00-00000						
Other Funds	-	-	-	-	(462,891)	-
091-STATEWIDE ADMINISTRATIVE SAVINGS- RANK 0 - 075-00-00-00000						
Other Funds	-	-	-	-	(135,140)	-
092-PERS TAXATION POLICY- RANK 0 - 030-00-00-00000						
General Fund	-	-	-	-	(611)	-
Other Funds	-	-	-	-	(14,746)	-
All Funds	-	-	-	-	(15,357)	-
092-PERS TAXATION POLICY- RANK 0 - 035-00-00-00000						

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Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	-	-	-	-	(26,631)	-
092-PERS TAXATION POLICY- RANK 0 - 040-00-00-00000						
Other Funds	-	-	-	-	(12,521)	-
092-PERS TAXATION POLICY- RANK 0 - 045-00-00-00000						
Other Funds	-	-	-	-	(23,051)	-
092-PERS TAXATION POLICY- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	-	(145,084)	-
092-PERS TAXATION POLICY- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	-	(69,958)	-
092-PERS TAXATION POLICY- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	-	(95,037)	-
092-PERS TAXATION POLICY- RANK 0 - 070-00-00-00000						
Other Funds	-	-	-	-	(9,690)	-
092-PERS TAXATION POLICY- RANK 0 - 075-00-00-00000						
Other Funds	-	-	-	-	(7,230)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 030-00-00-00000						
General Fund	-	-	-	-	(4,881)	-
Other Funds	-	-	-	-	(117,825)	-
All Funds	-	-	-	-	(122,706)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 035-00-00-00000						
Other Funds	-	-	-	-	(212,791)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 040-00-00-00000						
Other Funds	-	-	-	-	(100,052)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 045-00-00-00000						

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Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	-	-	-	-	(184,192)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	-	(1,159,290)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	-	(558,997)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	-	(759,393)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 070-00-00-00000						
Other Funds	-	-	-	-	(77,427)	-
093-OTHER PERS ADJUSTMENTS- RANK 0 - 075-00-00-00000						
Other Funds	-	-	-	-	(57,769)	-
101-COO - PROJECT MANAGERS- RANK 0 - 030-00-00-00000						
Other Funds	-	-	-	853,693	850,337	-
Authorized Positions	-	-	-	4	4	-
Authorized FTE	-	-	-	4.00	4.00	-
102-FACILITIES ASSESSMENT & PLANNING SOFTWARE- RANK 0 - 035-00-00-00000						
Other Funds	-	-	-	2,200,800	2,200,800	-
102-FACILITIES ASSESSMENT & PLANNING SOFTWARE- RANK 0 - 093-00-00-00000						
Other Funds	-	-	-	401,386	401,386	-
Authorized Positions	-	-	-	4	4	-
Authorized FTE	-	-	-	3.00	3.00	-
104-CHRO - REPLACE PPDB- RANK 0 - 045-00-00-00000						
Other Funds	-	-	-	40,121,522	40,112,131	-
Authorized Positions	-	-	-	8	8	-

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Authorized FTE	-	-	-	8.00	8.00	-
104-CHRO - REPLACE PPDB- RANK 0 - 093-00-00-00000						
Other Funds	-	-	-	5,527,114	-	-
106-ETS - EQUIPMENT LIFECYCLE REPLACEMENT- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	3,697,738	730,000	-
107-ETS - SUPPORT IT INFRASTRUCTURE GROWTH- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	9,904,354	3,428,248	-
Authorized Positions	-	-	-	7	3	-
Authorized FTE	-	-	-	7.00	3.00	-
108-ETS - LEVERAGE TECHNOLOGY- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	8,176,611	2,816,808	-
Authorized Positions	-	-	-	3	3	-
Authorized FTE	-	-	-	3.00	3.00	-
109-ETS - SECURITY - RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	4,518,839	1,173,899	-
Authorized Positions	-	-	-	1	1	-
Authorized FTE	-	-	-	1.00	1.00	-
110-ETS - EGOV ADMIN SUPPORT- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	495,010	493,042	-
Authorized Positions	-	-	-	2	2	-
Authorized FTE	-	-	-	2.00	2.00	-
111-ETS - AGENCY COMPANION POP- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	12,343,533	12,325,518	-
Authorized Positions	-	-	-	15	15	-

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Authorized FTE	-	-	-	15.00	15.00	-
112-ETS - CIO SUPPORT- RANK 0 - 055-00-00-00000						
Other Funds	-	-	-	289,569	-	-
Authorized Positions	-	-	-	1	-	-
Authorized FTE	-	-	-	1.00	-	-
113-EAM - FLEET VEHICLE PURCHASE- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	3,500,000	3,500,000	-
114-EAM - SURPLUS STAFFING- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	112,947	-	-
Authorized Positions	-	-	-	1	-	-
Authorized FTE	-	-	-	1.00	-	-
115-PROCUREMENT SERVICES STRATEGIC SOURCING TEAM- RANK 0 - 065-00-00-00000						
Other Funds	-	-	-	1,160,101	-	-
Authorized Positions	-	-	-	6	-	-
Authorized FTE	-	-	-	6.00	-	-
116-SUPREME COURT BUILDING RENOVATION- RANK 0 - 060-00-00-00000						
Other Funds	-	-	-	601,082	599,591	-
Authorized Positions	-	-	-	2	2	-
Authorized FTE	-	-	-	2.00	2.00	-
117-CAPITAL PLANNING- RANK 0 - 035-00-00-00000						
Other Funds	-	-	-	1,140,458	1,137,703	-
Authorized Positions	-	-	-	2	2	-
Authorized FTE	-	-	-	2.00	2.00	-
489-CAPITAL CONSTRUCTION PROJECTS- RANK 0 - 089-00-00-00000						

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Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	-	-	-	15,531,342	16,821,286	-
504-INFRASTRUCTURE COORDINATION- RANK 0 - 030-00-00-00000						
General Fund	-	-	-	-	2,750,000	-
Authorized Positions	-	-	-	-	2	-
Authorized FTE	-	-	-	-	2.00	-
<b>TOTAL BUDGET (Policy Packages)</b>						
General Fund	-	-	-	-	3,494,508	-
Other Funds	-	-	-	110,773,481	64,851,630	-
All Funds	-	-	-	110,773,481	68,346,138	-
AUTHORIZED POSITIONS	-	-	-	52	39	-
AUTHORIZED FTE	-	-	-	51.00	38.00	-
<b>TOTAL BUDGET (Including Packages)</b>						
General Fund	13,199,411	8,172,526	8,411,014	7,097,809	10,591,310	-
Lottery Funds	8,203,164	11,740,796	12,073,528	12,546,578	12,546,578	-
Other Funds	1,023,991,813	1,020,536,431	1,018,161,488	1,052,325,934	1,004,135,575	-
Federal Funds	47,000	1	1	-	-	-
All Funds	1,045,441,388	1,040,449,754	1,038,646,031	1,071,970,321	1,027,273,463	-
AUTHORIZED POSITIONS	822	774	773	816	803	-
AUTHORIZED FTE	812.34	770.67	769.67	811.92	798.92	-

Summary Cross Reference Number	Cross Reference Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>030-00-00-00000</b>	<b>Chief Operating Office</b>						
	General Fund	240,918	272,059	272,059	282,073	3,025,574	-
	Other Funds	4,390,402	7,114,930	6,903,766	8,060,601	7,512,069	-
	All Funds	4,631,320	7,386,989	7,175,825	8,342,674	10,537,643	-
<b>035-00-00-00000</b>	<b>Chief Financial Office</b>						
	Lottery Funds	323,068	-	-	-	-	-
	Other Funds	25,420,044	31,765,515	31,108,386	15,573,860	14,687,689	-
	All Funds	25,743,112	31,765,515	31,108,386	15,573,860	14,687,689	-
<b>040-00-00-00000</b>	<b>Chief Information Office</b>						
	Other Funds	14,758,168	9,545,311	9,545,311	13,276,024	12,568,648	-
<b>045-00-00-00000</b>	<b>Chief Human Resource Office</b>						
	Other Funds	25,104,733	23,199,053	23,199,053	50,211,155	48,244,109	-
<b>055-00-00-00000</b>	<b>Enterprise Technology Services</b>						
	Other Funds	204,903,179	200,548,103	197,660,482	219,864,254	190,725,854	-
	Federal Funds	47,000	-	-	-	-	-
	All Funds	204,950,179	200,548,103	197,660,482	219,864,254	190,725,854	-
<b>060-00-00-00000</b>	<b>Enterprise Asset Management</b>						
	General Fund	3,762,279	-	-	-	-	-
	Other Funds	150,891,205	105,528,980	105,528,980	95,706,035	91,323,707	-



Summary Cross Reference Number	Cross Reference Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
060-00-00-00000	<b>Enterprise Asset Management</b>						
	All Funds	154,653,484	105,528,980	105,528,980	95,706,035	91,323,707	-
065-00-00-00000	<b>Enterprise Goods &amp; Services</b>						
	Other Funds	104,270,230	129,806,823	129,661,729	193,483,551	186,964,619	-
070-00-00-00000	<b>Enterprise Human Resouce Services</b>						
	Other Funds	-	-	-	5,374,198	5,661,228	-
075-00-00-00000	<b>Core Services</b>						
	Other Funds	-	1,313,690	837,604	4,509,583	4,230,534	-
088-00-00-00000	<b>Capital Improvements</b>						
	Other Funds	3,003,145	4,681,401	4,681,401	5,804,393	5,992,008	-
089-00-00-00000	<b>Capital Construction</b>						
	Other Funds	9,606,721	13,243,854	13,243,854	15,531,342	16,821,286	-
090-00-00-00000	<b>Miscellaneous Distributions</b>						
	Other Funds	19,474,137	22,853,913	22,853,913	23,996,609	23,996,609	-
093-00-00-00000	<b>DAS Debt Service</b>						
	Other Funds	-	-	-	41,047,516	35,520,402	-
094-00-00-00000	<b>Bonds</b>						
	Other Funds	-	449,406,827	449,406,827	359,886,813	359,886,813	-
	Federal Funds	-	1	1	-	-	-

Summary Cross Reference Number	Cross Reference Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
094-00-00-00000	<b>Bonds</b>						
	All Funds	-	449,406,828	449,406,828	359,886,813	359,886,813	-
099-00-00-00000	<b>Special Governmental Payments</b>						
	General Fund	9,196,214	7,900,467	8,138,955	6,815,736	7,565,736	-
	Lottery Funds	7,880,096	11,740,796	12,073,528	12,546,578	12,546,578	-
	Other Funds	462,026,916	21,528,031	23,530,182	-	-	-
	All Funds	479,103,226	41,169,294	43,742,665	19,362,314	20,112,314	-
189-00-00-00000	<b>Cop Issuance Costs - L - CC</b>						
	Other Funds	142,933	-	-	-	-	-
<b>TOTAL AGENCY</b>							
	General Fund	13,199,411	8,172,526	8,411,014	7,097,809	10,591,310	-
	Lottery Funds	8,203,164	11,740,796	12,073,528	12,546,578	12,546,578	-
	Other Funds	1,023,991,813	1,020,536,431	1,018,161,488	1,052,325,934	1,004,135,575	-
	Federal Funds	47,000	1	1	-	-	-
	All Funds	1,045,441,388	1,040,449,754	1,038,646,031	1,071,970,321	1,027,273,463	-

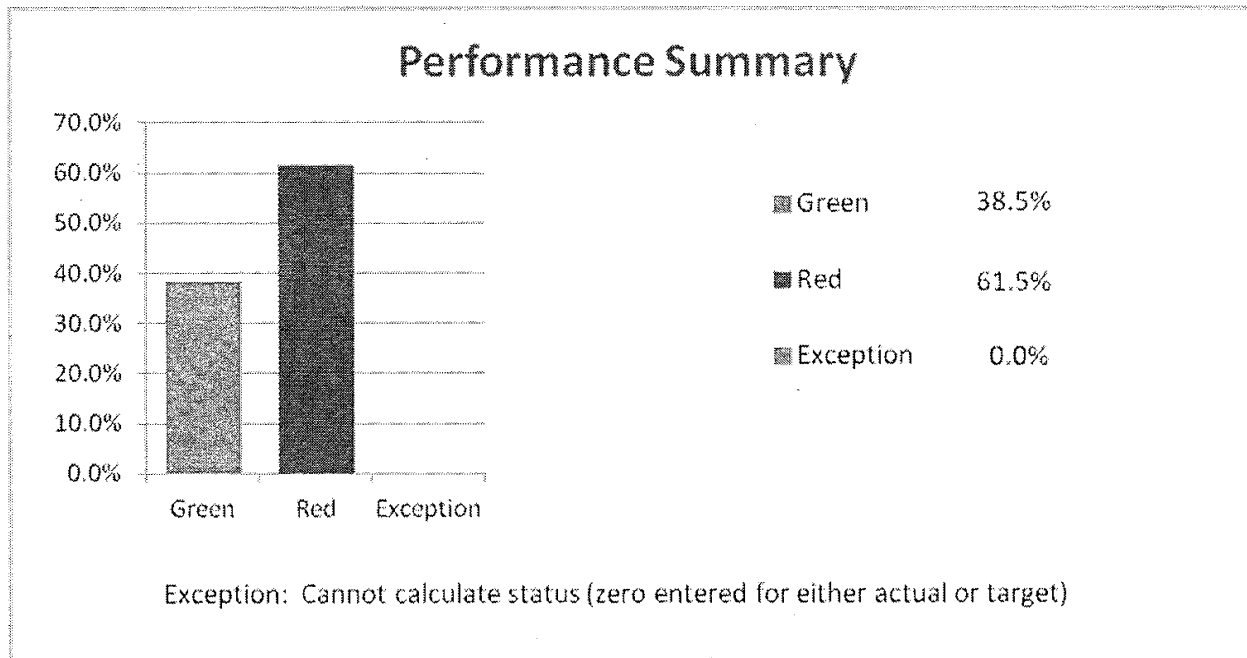
**ADMINISTRATION SERVICES, DEPARTMENT OF**

Annual Performance Progress Report (APR) for Fiscal Year (2010-2011)

Finalize Date: 11/13/2012

2010-2011 KPM #	2010-2011 Approved Key Performance Measures (KPMs)
1	CUSTOMER SERVICE – Percent of customers rating their satisfaction with the agency’s customer service as “good” or “excellent”: overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.
2	FORECAST RELIABILITY – Percent of Advisory Committee and Council Members who rank the reliability of the Office of Economic Analysis’ forecasts as good to excellent.
3	FINANCIAL REPORTING – Number of years out of the last five that State Controller’s Division wins GFOA Certificate of Achievement for Excellence in Financial Reporting.
4	STATE WORKFORCE TURNOVER – Annual turnover rate for the state workforce.
5	STATE WORKFORCE DIVERSITY – Racial/ethnic diversity in the state workforce as a percentage of the total civilian labor force.
6	FLEET ADMINISTRATION – Statewide Fleet Administration evaluated as effective by independent party.
7	RENT COSTS – Uniform rent costs per square foot as a percent of private market rates.
8	IT GOVERNANCE – Percent of the state’s major IT projects with a budget or schedule variance of plus 5% as reported in the quarterly major IT project portfolio report for which a mitigation plan is submitted in response to a DAS requirement.
9	INFORMATION SECURITY – Overall information security maturity rating based on a sample of state agencies. Rating achieved using a compilation and aggregate score based on the ISO 27002 standard and assigning a rating using the Carnegie-Mellon Capability Maturity Model. (3 <sup>rd</sup> party conducting information security business risk assessments)
12	PROCUREMENT EFFECTIVENESS – Estimated savings resulting from price agreement pricing compared to prices that would be paid without the benefit of a price agreement.
13	RISK MANAGEMENT – Annual number of: a) worker’s compensation; b) liability; c) property; and, d) total claims per 100 FTE.
14	SUSTAINABILITY – Percentage reduction in greenhouse gas emissions.
16	DATA CENTER – Percentage of time systems are available.

		<b>I. EXECUTIVE SUMMARY</b>
<b>Agency Mission:</b> Serve state government to benefit the people of Oregon		
<b>ADMINISTRATIVE SERVICES, DEPARTMENT of</b>		<b>Contact Phone:</b> 503-378-2277
<b>Alternate:</b> Sarah Jo Chaplen		<b>Alternate Phone:</b> 503-378-4691



## **1. SCOPE OF REPORT**

The Department of Administrative Services (DAS) key performance measures (KPM) align with the current DAS Strategic Plan's goals and strategies.

Goal 1: Effective, high quality leadership, governance and oversight. This has two strategies: Strategy 1 - Implement a shared leadership model governance by engaging stakeholders; Strategy 2 - Advocate for effective policies and remove barriers for success.

Goal 2: The right service at the right time for the right price. This has two strategies: Strategy 1 - Provide choice to customers with regards to services received and strategy; Strategy 2 - Provide a culture of continuous improvement by delivering in our commitments and using data to derive decisions on performance.

Goal 3: A knowledgeable, skilled, diverse and engaged workforce. This has one strategy: Strategy 1 - Invest in our employees' development.

The KPMs in this report cover the first year of the 2009-2011 biennium. The 2009 Legislative Assembly directed DAS to convene a workgroup to review the department's structure, service delivery, and funding mechanisms. The report required by HB 5002 was completed. The organizational changes for DAS were substantially completed including four functioning Customer Utility Boards (CUB) made up of small, medium and large DAS customers for each DAS Service Division.

## **2. THE OREGON CONTEXT**

Much of the work of DAS is oriented toward the principles of stable, efficient and accountable state government, and the DAS mission to serve state government to benefit the people of Oregon.

## **3. PERFORMANCE SUMMARY**

The performance results are grouped into three primary categories: Green, Yellow, and Red. Of the current set of measures, 38.5% are green, 61.5% are red, and 0% are exceptions.

## **4. CHALLENGES**

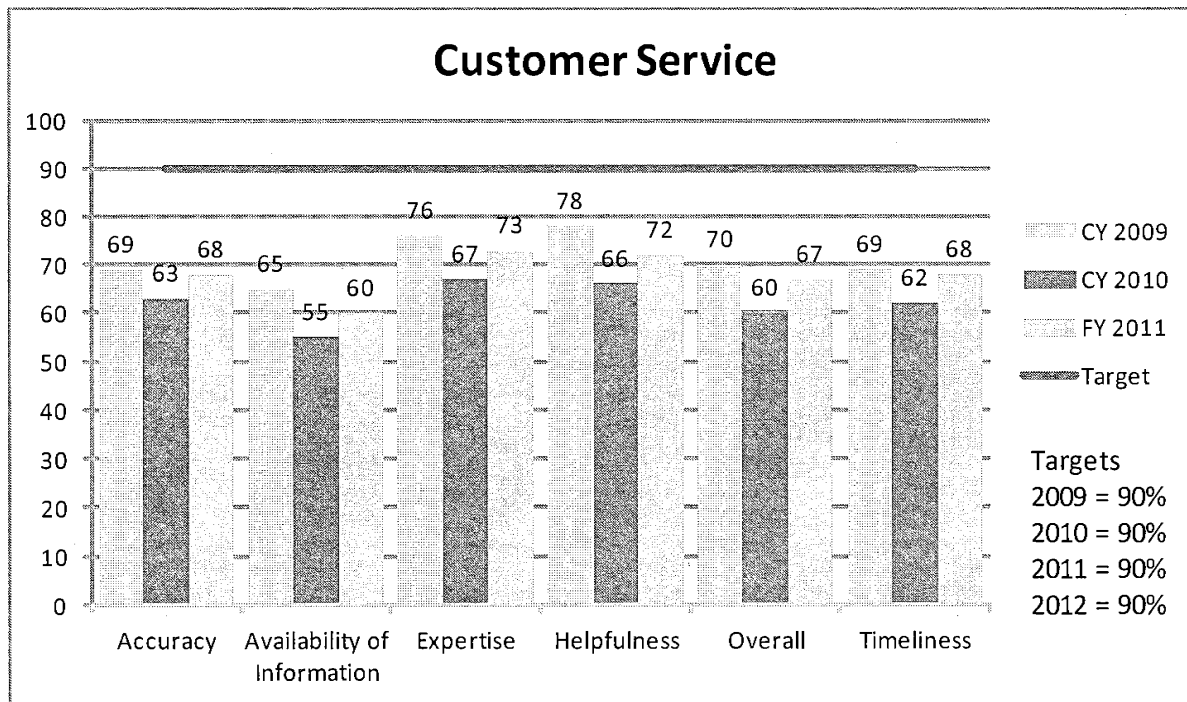
DAS continues to address two challenges to improve on performance measures use and reporting. The first is to develop measures that are more effective and meaningful. As discussed above, this challenge is being addressed by the development of a new agency strategic plan for DAS. We expect the proposed measures to provide meaningful information on how well DAS is fulfilling its role. The second challenge is using the measures as a management tool for achieving our objectives. DAS' leadership team is focused on having the department become a more data-driven organization, with regularly reporting results to a wide audience. DAS expects this

challenge will be overcome by communication, training, and agency-wide adoption of a common set of tools to guide our work.

## **5. RESOURCES AND EFFICIENCY**

The DAS Legislative Approved Budget total for the 2009-11 biennium is \$2,573,172,109. Approximately 20 percent of this budget is for non-operating expenditures such as general fund distributions, pension bonds and other debt service. Remaining funds, which are largely fees and assessments, cover DAS operations. Assessments are charges to agencies based on an allocation formula. Fees are monies collected for services provided by DAS.

<b>KPM #1</b>	CUSTOMER SERVICE – Percent of customers rating their satisfaction with the agency’s customer service as “good” or “excellent”: overall customer service, timeliness, accuracy, helpfulness, expertise and availability of information.	2012
<b>Goal</b>	Right service, at the right time, for the right price	
<b>Oregon Context</b>	Serve state government to benefit the people of Oregon	
<b>Data Source</b>	DAS’ Annual Customer Satisfaction Survey	
<b>Owner</b>	Sarah Jo Chaplen, Service Delivery Office, 503-378-4691	





## **1. OUR STRATEGY**

The strategy is to foster excellent customer relations, which links to the DAS strategic outcome goal of the right service, at the right time, for the right price.

## **2. ABOUT THE TARGETS**

The target was set at 90 percent for all service criteria. This value was selected based on the department's commitment to excellent customer service.

## **3. HOW WE ARE DOING**

All categories have increased since the prior year. On the most recent survey there were no changes in the kinds of service categories, though fewer questions were included in this survey. In addition, the time period for the services has been switched from a calendar year to a fiscal year to be more in line with state reporting standards. Expertise received the highest score with 73% of the respondents indicating they were satisfied or very satisfied with the way in which they were treated by DAS staff. Availability of information received the lowest score with 60 percent of customers satisfied or very satisfied. Some of the increase in DAS scores could be due to the shortening of the survey or due to DAS's received customer service xxx under the agency's strategic plan. Improving customer satisfaction is always a continuous process. DAS is demonstrating this with the creation of the Customer Utility Boards (CUB) made up of representatives from each kind of DAS customer. The CUB's will enable customers to have input on service levels and to set rates.

## **4. HOW WE COMPARE**

While other state and Federal agencies perform customer satisfaction surveys, there is no known comparable agency that aligns with the department's customer pool and survey methodology.

## **5. FACTORS AFFECTING RESULTS**

The methodology used gave the entire selected customer population the opportunity to evaluate service criteria for all applicable department service areas. This introduced some self-selection bias into the survey. It is impossible to know the impacts of this bias on the survey results. In addition, the survey has become more cumbersome to administer due to the complexity of the agency and its many divisions, contributing to a lower response rate.

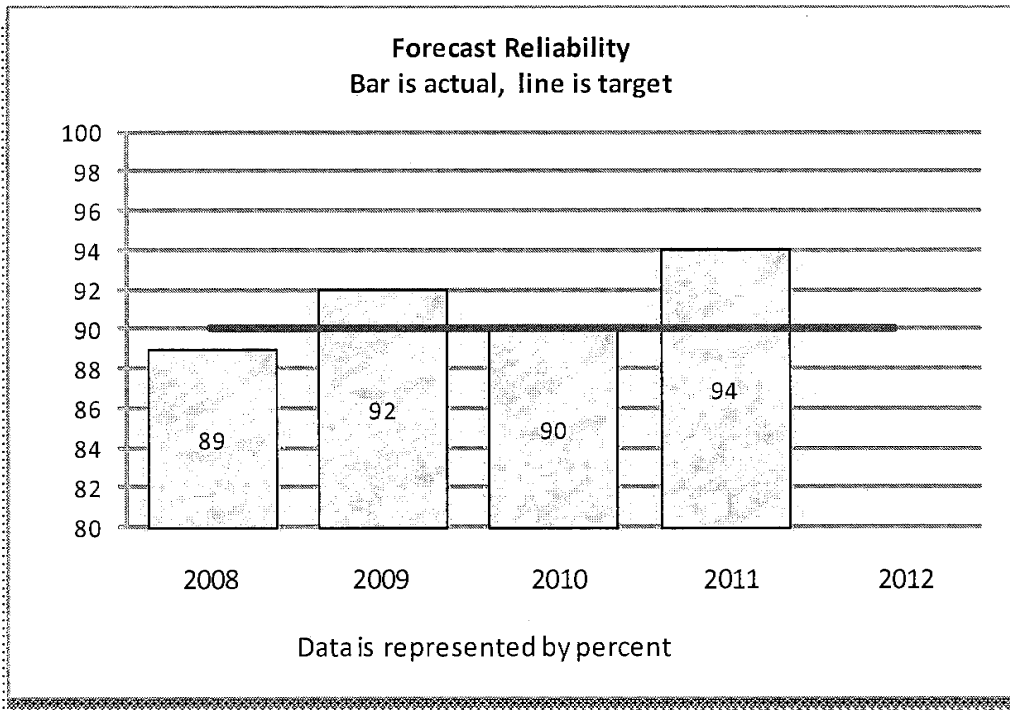
## **6. WHAT NEEDS TO BE DONE**

As discussed above, DAS leadership understands the necessity to constantly improve customer satisfaction. A new DAS agency strategic plan, with stakeholder input, has been developed and implemented. This divides DAS into two sections, Policy and Service which focuses efforts on specific customer focused outcomes. The CUB gives customer representatives the ability to shape services and set rules.

## **7. ABOUT THE DATA**

The methodology used gave the entire selected customer population the opportunity to evaluate service criteria for all applicable department service areas. This introduced some self-selection bias into the survey. It is impossible to know the impacts of this bias on the survey results

<b>KPM #2</b>	FORECAST RELIABILITY – Percent of Advisory Committee and Council Members who rank the reliability of the Office of Economic Analysis' forecasts as good to excellent	2011
<b>Goal</b>	Effective, high quality leadership, governance and oversight	
<b>Oregon Context</b>	Serve state government to benefit the people of Oregon	
<b>Data Source</b>	Annual survey completed by the Office of Economic Analysis	
<b>Owner</b>	Mark McMullen, Chief Economist, 503-378-3455	



## **1. OUR STRATEGY**

This measure links to the DAS strategy of providing a culture of continuous improvement and by delivering on our commitments and using data to derive decisions on performance. Specifically, OEA strives to produce the most reliable Oregon Economic and Revenue Forecast possible each quarter.

## **2. ABOUT THE TARGETS**

The target for forecast reliability is a measure of the forecast error. Historical errors form a benchmark. We use standard deviations of historical forecast errors as a target for current forecasts. We choose for "On Target" the range of one (1) standard deviation to one-half (1/2) standard deviation of an error in forecasting. This means that errors are falling between 68% and 38% of all past errors. To be "Ahead of Target", the errors must be less than one-half standard deviation and to be "Behind Target" the errors must be greater than one standard deviation.

## **3. HOW WE ARE DOING**

This is a relatively new measure with two years of history. OEA has been below target in 2 out of 12 forecasts over three years. Other forecasts have been on target or ahead of target.

## **4. HOW WE COMPARE**

Comparable to other state forecasts: to our knowledge, no other state publishes their forecast errors.

## **5. FACTORS AFFECTING RESULTS**

Rapid changes in the economy increase the difficulty in forecasting. After a forecast is published, unanticipated changes such as legislation, federal and state mandates can impact results.

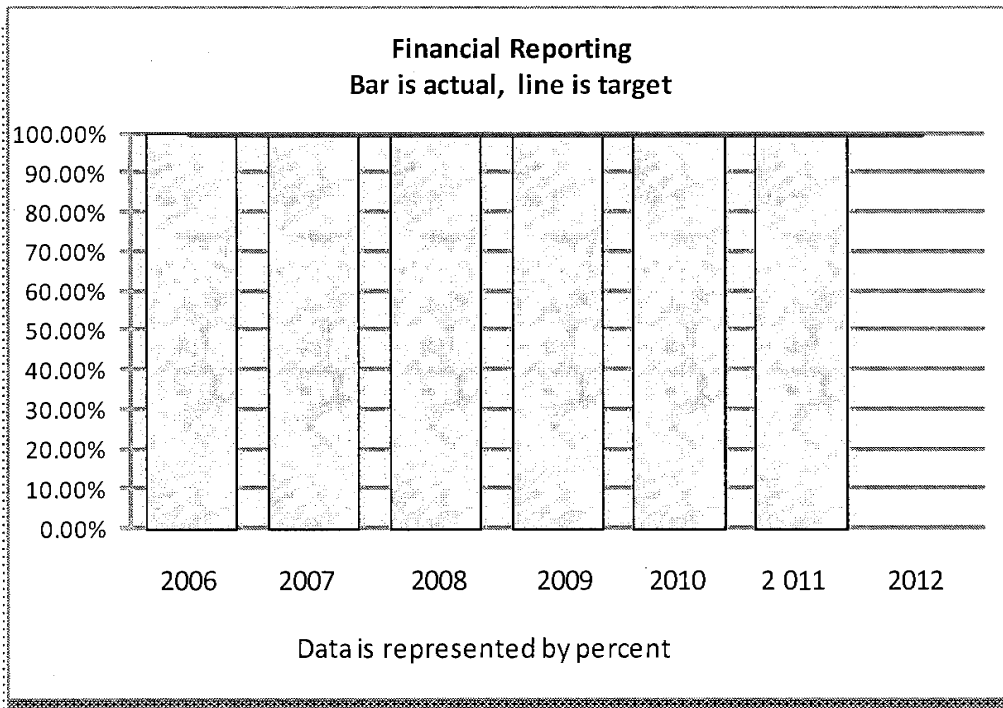
## **6. WHAT NEEDS TO BE DONE**

OEA needs to monitor the direction of errors to determine if their size is changing or is either consistently positive or negative; is the forecast consistently forecasting too high or too low.

## **7. ABOUT THE DATA**

The historical average errors are from 1979 to present. These will be updated every biennium.

<b>KPM #3</b>	FINANCIAL REPORTING – Number of years out of the last five the Chief Financial Office wins GFOA Certificate of Achievement for Excellence in Financial Reporting.	2011
<b>Goal</b>	Effective, high quality leadership, governance and oversight	
<b>Oregon Context</b>	Serve state government to benefit the people of Oregon	
<b>Data Source</b>	GFOA coordinates the review and awards the certificate.	
<b>Owner</b>	Kathy Ross, Chief Financial Office, 503-373-0265	



## **1. OUR STRATEGY**

The Chief Financial Office's strategy is to efficiently and effectively manage and coordinate financial reporting activities by: documenting/updating/streamlining our financial reporting processes (internal procedures manual; detailed agency disclosures packages; detailed fund compilation procedures; detailed footnote procedures; quality review checklists; agency guidebook); maintaining the compilation software and other technological tools used to compile the financial statements, including upgrades when appropriate; providing clearly written statewide accounting policies for agencies; providing relevant training for agencies on accounting and financial reporting; attracting highly qualified professional employees; and providing staff appropriate opportunities for continuing professional development

## **2. ABOUT THE TARGETS**

Publication of the state's Comprehensive Annual Financial Report (CAFR) is a statutory requirement. ORS 291.040 requires the Department of Administrative Services to prepare a financial report in accordance with current, generally accepted accounting principles (GAAP). Achievement of the GFOA award recognizes that the State of Oregon goes beyond the minimum requirements of generally accepted accounting principles to prepare a CAFR that evidences the spirit of transparency and full disclosure. This goal was selected because it demonstrates the Chief Financial Office's commitment to efficiently and effectively utilizing its resources to achieve excellence in financial reporting.

## **3. HOW WE ARE DOING**

The Chief Financial Office has been awarded the GFOA Certificate of Achievement for Excellence in Financial Reporting 20 years in a row (through the FY 2011 CAFR). Results for the FY 2012 CAFR will be announced in August/September 2013.

## **4. HOW WE COMPARE**

Participation in the GFOA Certificate of Achievement program is voluntary. For FY 2007, 41 states participated in the program, with 100 percent receiving the award. For FY 2008, only 41 of the 45 states that submitted their CAFRs for review received the award. For FY 2009, 39 states out of 41 participants received the award. For FY 2010, 39 states out of 42 participating states received the award. The source of these statistics is the GFOA. The statistical reports for FY 2011 are not currently available.

## **5. FACTORS AFFECTING RESULTS**

The extent and complexity of new accounting and financial reporting standards issued by the Governmental Accounting Standards Board (GASB) affects our ability to achieve the GFOA award. Implementation of new standards on a statewide basis requires focused resources to plan, modify systems as needed, update policies, and provide training to state agencies. Other factors affecting results include fiscal staffing levels at state agencies, level of staff expertise, turnover, and the ability of each agency to provide

timely and accurate information for the fiscal year end closing.

## **6. WHAT NEEDS TO BE DONE**

The CFO needs to recruit and retain highly competent professionals and invest in staff training to keep abreast of new accounting and financial reporting standards. In addition, the CFO needs to continue to commit sufficient resources to plan for implementation of new standards, modify/upgrade systems as needed, and provide clear guidance through policies and training for agency fiscal services staff.

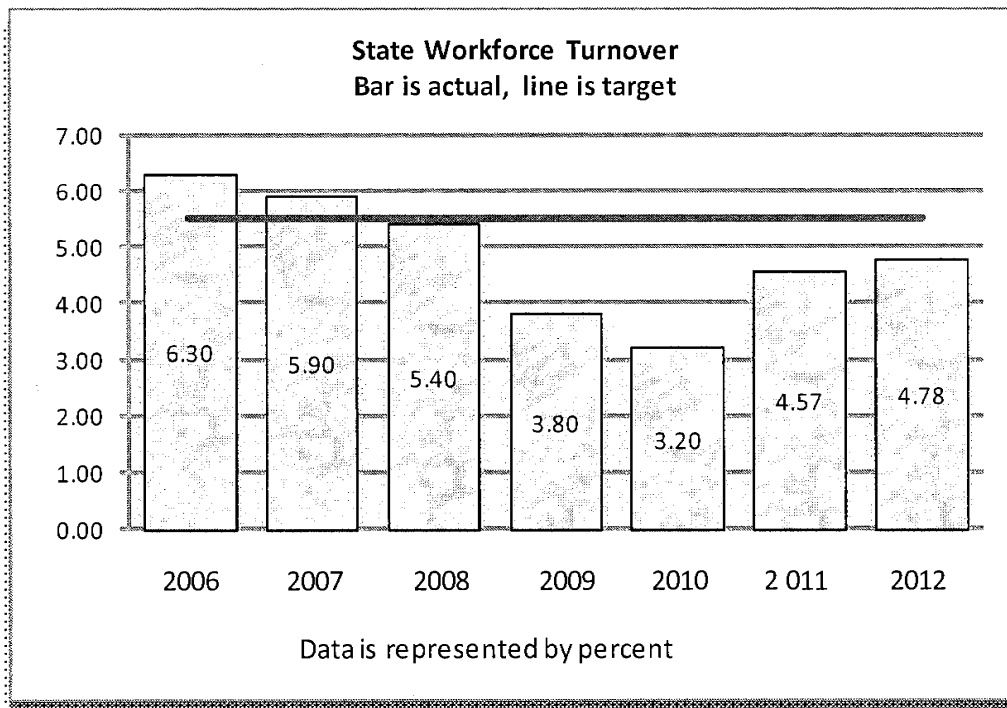
## **7. ABOUT THE DATA**

The data reported in the CAFR is based on Oregon's fiscal year, which ends June 30. Agency data is aggregated and presented at the reporting fund level, commonly referred to as the GAAP fund level. (GAAP stands for generally accepted accounting principles.) GAAP funds represent the state's major governmental functions on a cross-agency basis. Agencies provide detail information through completion of annual disclosure packages.

Data presented in the CAFR is accounted for and reported in accordance with standards issued by the Governmental Accounting Standards Board (GASB). To ensure compliance with these standards, Secretary of State, Audits Division issues an opinion on the CAFR as part of the annual statewide single audit.

Results of the GFOA review program are based on an independent, objective analysis performed by members of the GFOA's Special Review Committee.

<b>KPM #4</b>	STATE WORKFORCE TURNOVER – Annual turnover rate for the state workforce.	2012
<b>Goal</b>	A knowledgeable, skilled, diverse and engaged workforce	
<b>Oregon Context</b>	Serve state government to benefit the people of Oregon	
<b>Data Source</b>	Reports taken from the statewide position and personnel database (PPDB)	
<b>Owner</b>	Mark Rasmussen, Enterprise Human Resource Services, 503-378-4006	





## 1. OUR STRATEGY

The strategy is to invest in our employees development, create a work environment and employment opportunities to attract diverse and skilled workers.

## 2. ABOUT THE TARGETS

The target was set at 5.5% as it was considered an acceptable turnover rate for a stable workforce.

## 3. HOW WE ARE DOING

The state's resignation rate for FY 11-12 of 4.8% was less than the 5.5% level we consider to be the maximum desirable rate. A 4.8% resignation rate is indicative of a stable workforce.

## 4. HOW WE COMPARE

Oregon compares favorably with nationwide turnover data from the U.S. Department of Labor. The U.S. Department of Labor's statistics on the resignation rate for state and local governments in the U.S. was 5.9% for 2010 and 6.6% for 2011.

## 5. FACTORS AFFECTING RESULTS

The slow economy and an extended period of high unemployment are factors affecting the results. Oregon has continued to have high unemployment rates during this time. In an environment of fewer jobs and more people looking for work, employees tend to remain in their current positions.

The following tables list reasons for employees leaving the state workforce in 2011; Table 1 lists the top five primary reasons for leaving and Table 2 lists the top five secondary reasons given for leaving state service.

<b>*Table 1 - Primary Reason for Leaving (Top 5)</b>		<b>*Table 2 - Secondary Reason for Leaving (Top 5)</b>	
Other	57%	Personal/Not Disclosed	74%
In-State Private Sector	10%	Own or Family Member Health Reason	8%
In-State Public Sector	9%	Compensation or Benefit Issues	6%
Stay Home	8%	Work/Life Balance Issues	6%
Relocation	8%	Change of Duties	2%

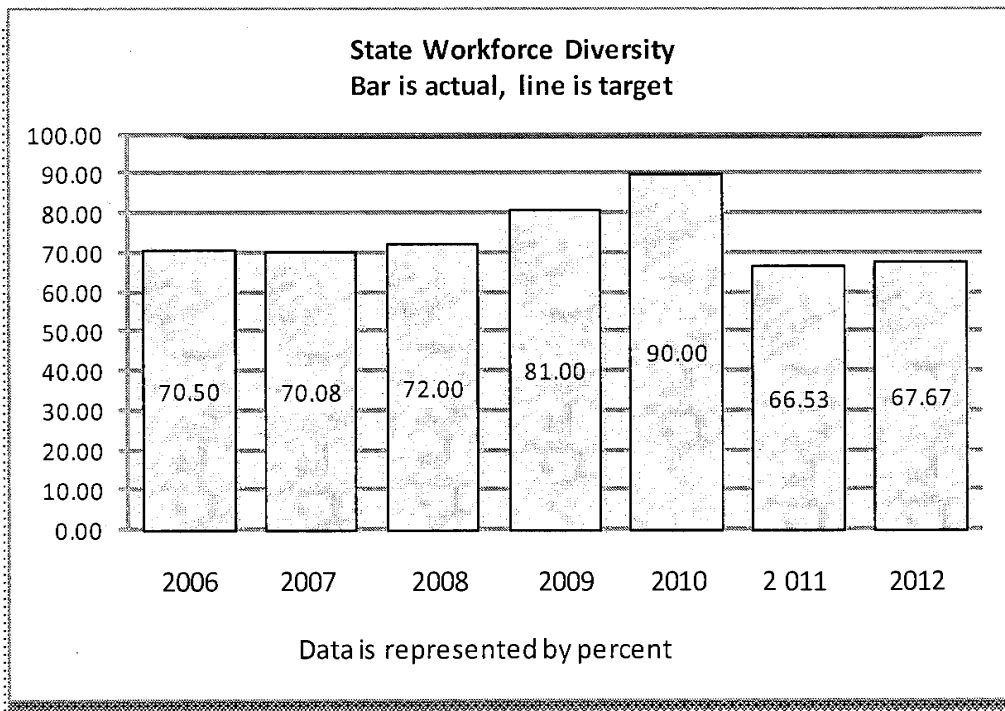
## **6. WHAT NEEDS TO BE DONE**

The state needs to continue to request departing employees participate in the voluntary exit survey tool in order to obtain accurate and complete data to help determine why employees are leaving state service. Over half of departing employees elected to resign without designating a reason which affects the accuracy of effective analysis.

## **7. ABOUT THE DATA**

The state's turnover rate is based on voluntary separations (excluding retirements) between July 1, 2011 and June 30, 2012. Of the 1641 employees who voluntarily left state service, only 521 completed the state's exit survey tool. 74% of those employees chose not to identify their reason for leaving state service. Obtaining a higher percentage of completed exit surveys will increase the Department's confidence level in identifying issues or trends which need to be addressed.

<b>KPM #5</b>	STATE WORKFORCE DIVERSITY – Racial/ethnic diversity in the state workforce as a percentage of the total civilian labor force.	2012
<b>Goal</b>	A knowledgeable, skilled, diverse and engaged workforce	
<b>Oregon Context</b>	Serve state government to benefit the people of Oregon	
<b>Data Source</b>	Reports are taken from the statewide position and personnel database (PPDB) and Oregon Employment Department workforce analysis report.	
<b>Owner</b>	Mark Rasmussen, Enterprise Human Resource Services, 503-378-4006	



## **1. OUR STRATEGY**

The strategy is to invest in our employees' development, create a work environment and employment opportunities to attract diverse and skilled workers.

## **2. ABOUT THE TARGETS**

The target was set at 100 percent. This value was selected to represent the State's commitment to cultural diversity in the workplace. As one of Oregon's largest employers, the State must set the example for other employers by striving to have a workforce that is as diverse as Oregon's population.

## **3. HOW WE ARE DOING**

Between 2004 and 2010, the State made modest progress toward reaching its target. In 2008 the diversity of the State's workforce was 9.5%. By 2012, the State increased the racial and ethnic diversity of its workforce to 13.67%; an increase of more than 4%.

However, this progress did not keep pace with the rapid diversification of Oregon's civilian workforce. According to Oregon Employment Department estimates, the percentage of Oregon's civilian workforce identified as belonging to a minority group in 2009 was 16.3%. As of 2011, this percentage jumped to 20.2%. Consequently, for the 2011 calendar year, racial/ethnic diversity of the State workforce as a percent of the total civilian labor force went down to 67%.

## **4. HOW WE COMPARE**

As of September 2011, the percentage of the Federal Workforce belonging to a minority group was 34.1% while the percentage of minorities in the U.S. civilian workforce was 30%. While the diversity of the Federal workforce exceeds that of the civilian workforce, the state has not kept pace with the rapidly growing diversity of Oregon's civilian workforce.

## **5. FACTORS AFFECTING RESULTS**

Many ethnic communities do not consider the State of Oregon as a potential employer. The State, as one of Oregon's largest employers, has not been adequately marketed to these groups. Additionally, much of Oregon's diversity is concentrated in the Portland metropolitan area while the bulk of our job opportunities in state government are located in Salem.

## **6. WHAT NEEDS TO BE DONE**

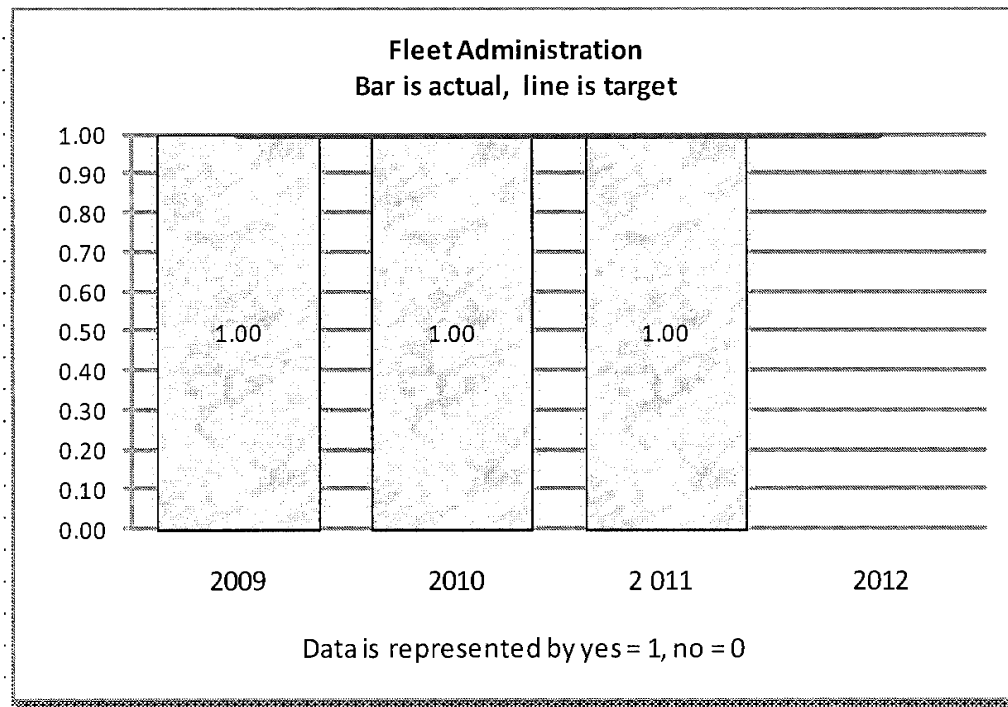
A more concentrated effort needs to be made to market the state's employment opportunities to ethnic minority communities. Work still remains to be done on recruitment policies and procedures to work toward the employment of ethnic minorities. Ensuring that

state agencies are actively recruiting candidates in the Oregon's more diverse communities through employment fairs, civic groups and educational institutions is one means of increasing the diversity of our applicant pools.

## **7. ABOUT THE DATA**

Oregon's civilian workforce data is obtained by the Oregon Employment Department from the American Community Survey's 1-year estimates.

<b>KPM #6</b>	FLEET ADMINISTRATION – Statewide Fleet Administration evaluated as effective by independent party.	2011
<b>Goal</b>	The right service, at the right time, for the right price	
<b>Oregon Context</b>	Serve state government to benefit the people of Oregon	
<b>Data Source</b>	DAS Fleet systems, other fleet systems	
<b>Owner</b>	Brian King, Enterprise Asset Management, 503-373-7723	



## **1. OUR STRATEGY**

To evaluate DAS Fleet to ensure industry best practices are being followed and the Fleet operates efficiently and cost effectively.

## **2. ABOUT THE TARGETS**

The primary target is the third party evaluation and review by a qualified consulting firm with the knowledge, expertise and industry contacts to perform an in depth and meaningful analysis of the program's effective management of the state's vehicle resources. The review is a combination of comparing DAS Fleet's practices with industry standards and with other fleets to establish the overall effectiveness.

The second biennial review target is set in ORS 283.343. At least biennially, the Oregon Department of Administrative Services shall examine compliance with rules adopted pursuant to ORS 283.340 by state agencies owning vehicles. The department shall submit biennially to the Joint Legislative Audit Committee a management report on state-owned motor vehicles that includes: (1) Summaries of agency compliance examinations, with specific emphasis on non-complying state agency fleets; (2) Numbers of motor vehicles, listed by model and by state agency; (3) Mileage utilization of motor vehicles, listed by state agency; (4) Operating cost per mile of motor vehicles, listed by state agency; and (5) Recommendations for increasing motor vehicle utilization, for decreasing the overall motor vehicle population and for absorbing non-complying state agency fleets into the motor pool.

## **3. HOW WE ARE DOING**

The third party review was completed by Mercury Associates Inc in October of 2011 and reviewed fiscal years 2009, 2010, and 2011. The report required in ORS 283.343 was completed and submitted to the Joint Legislative Audit Committee.

## **4. HOW WE COMPARE**

DAS Fleet was found to be effective in its vehicle management. From the Mercury Associates Inc review, *"The State of Oregon's Statewide Fleet Administration is an effective fleet management operation compared to the states included in this review. In fact, since MAI has worked with over 30 state fleet operations over the past several years, we can conclude that SFA is effective compared to most other state fleet programs. While there are certainly areas where improvement opportunities exist, the overall program meets or exceeds best management practices in several critical areas."*

## **5. FACTORS AFFECTING RESULTS**

Budget reductions over the last two biennia have limited the program's ability to implement some of the improvements recommended in the reviews. However, the program has also used the reductions as an opportunity to enhance cross agency cooperation and improve management of low use vehicles, seasonal rental vehicles, and supply usage data to educate agencies on ways to manage vehicles more cost effectively.

## **6. WHAT NEEDS TO BE DONE**

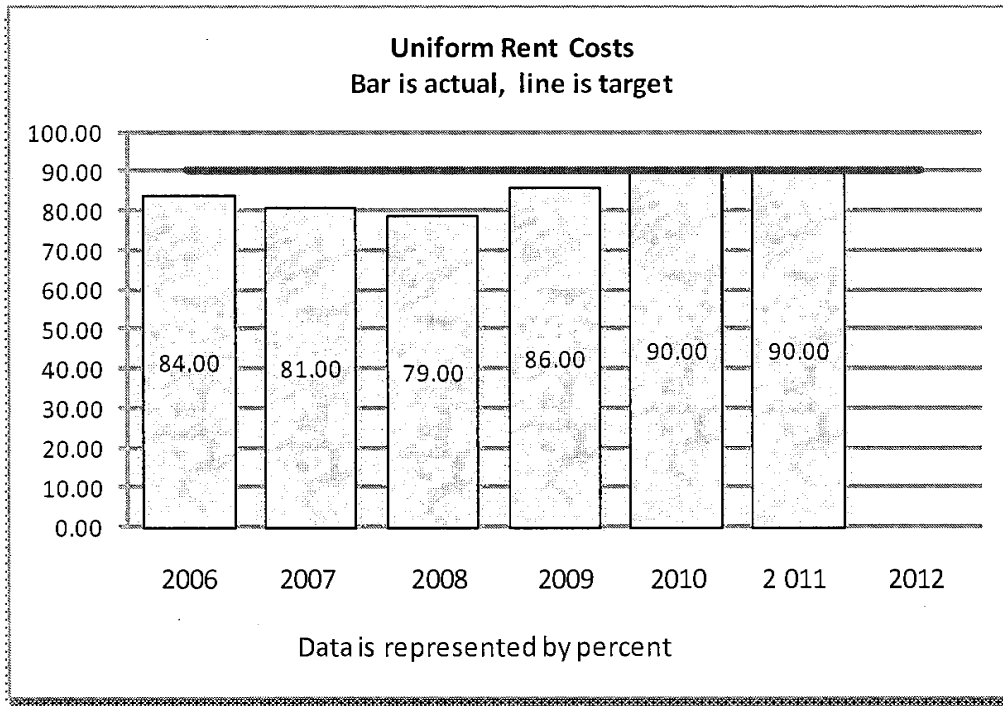
Review the need to continue external reviews of the Fleet in future biennia; cost of the most recent review was \$42,000. Establish and commit to long range fleet budgeting for implementing improvement strategies that reduce overall cost of operating vehicles used for state business.

## **7. ABOUT THE DATA**

Data for the review was collected from other state fleets by Mercury Associates Inc. Data for the ORS 283.343 report was collected by DAS Fleet from its fleet management software and from information provided from the other Oregon state agency fleets.



<b>KPM #7</b>	RENT COSTS – Uniform rent costs per square foot as a percent of private market rates.	2011
<b>Goal</b>	Right service, at the right time for the right price	
<b>Oregon Context</b>	Serve state government to benefit the people of Oregon	
<b>Data Source</b>	State office costs compared to private sector Salem/Keizer market rates, based on market data derived from CoStar Group, Inc. (NASDAQ-CSGP)	
<b>Owner</b>	Shannon Ryan, Enterprise Asset Management, 503-373-2315	



## **1. OUR STRATEGY**

The strategy is to provide a culture of continuous improvement by delivering on our commitments and using data to derive decisions on performance.

## **2. ABOUT THE TARGETS**

The measure compares private industry to lease rates in state-owned buildings. State office lease rates are considered equivalent to private market rates when the performance is at 100 percent. In pursuing cost-containment strategies, a target was set at a value below private industry rates. Successful performance achieves a percentage rate that is at or below the annual target rate.

## **3. HOW WE ARE DOING**

The mission of DAS Facilities is to provide well-maintained buildings at a good value for the state agencies who are our tenants. DAS Facilities also represents state agencies in leasing privately-owned buildings in the Salem-Keizer market and throughout the state; comparing costs between Uniform Rent and private leases demonstrates DAS Facilities to be a value-oriented building owner while at the same time securing fair and equitable leases in the private sector.

## **4. HOW WE COMPARE**

The market data is extrapolated from CoStar Group, Inc., a national commercial real estate database and analytics service. There are some challenges with making a straight comparison with the industry because of inherent differences between public and private entities including the following: (1) DAS expects to break even on their services verses realizing a margin (excess revenues are either returned to the agencies or used for capital expenditures),(2) DAS provides a fuller range of services inclusive with the full-service lease than its private sector counterparts and (3) the State does not pay property taxes. All of these factors are taken into consideration when running the analytics.

## **5. FACTORS AFFECTING RESULTS**

The Great Recession caused lower rates in many areas, however, as the economy slowly emerges, absorption rates are increasing as existing inventory is slowly re-occupied; ergo, rates in pocket markets, such as Portland, are increasing in asymmetric fashion. In Salem, we are seeing rates staying largely static over the last two years hovering around \$1.70 per square foot for a full service lease (the model most closely resembling the Uniform Rent program). Because DAS sets its rates in advance, it is doing well with cost containment and managing resources to remain competitive in its rates. Lease rates in DAS-owned assets (buildings) have been at 85% of market for the Salem/Keizer area for the past two years.

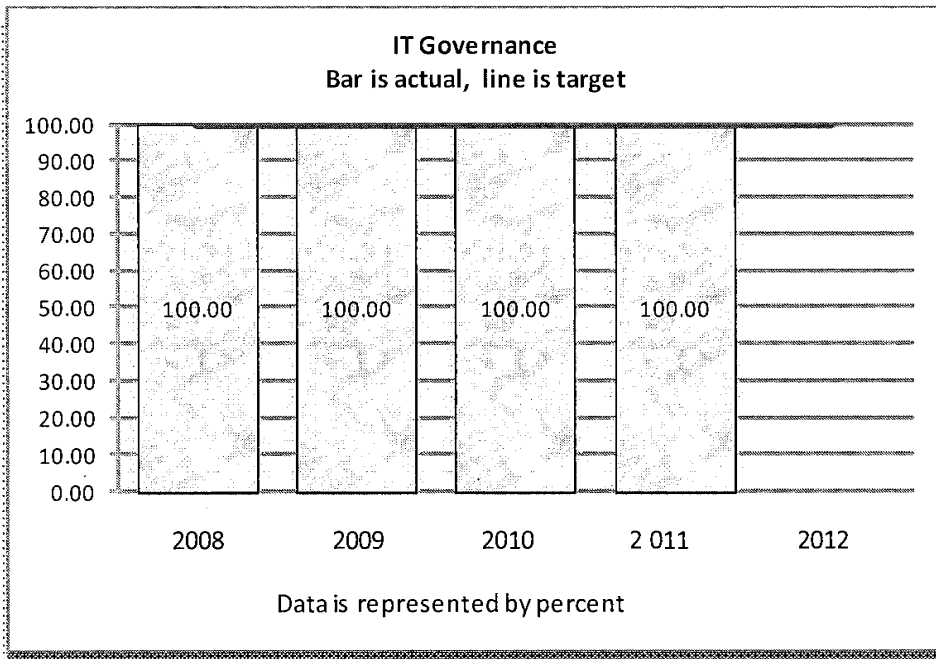
## **6. WHAT NEEDS TO BE DONE**

The Uniform Rent rate has remained level between the 05-07 and 07-09 biennia and then increased to \$1.38 for the 09-11 and 11-13 biennia. This increase was due in part to offset the rising cost of utilities, building materials and personnel costs. At all points the Uniform Rent rate trailed that of the market by a significant margin as past reports have detailed. As we move into the ensuing biennium, DAS and its client agencies need to focus on utility conservation as that is one of the largest cost components for this program.

## **7. ABOUT THE DATA**

Market Data in all markets derived from CoStar. CoStar Group, Inc. (NASDAQ — CSGP) is commercial real estate's leading provider of information and analytic services. Founded in 1987, CoStar conducts expansive, ongoing research to produce and maintain the largest and most comprehensive database of commercial real estate information. Our suite of online services enables clients to analyze, interpret and gain unmatched insight on commercial property values, market conditions and current availabilities. Headquartered in Washington, DC, CoStar maintains offices throughout the U.S. and in Europe with a staff of approximately 1,500 worldwide, including the industry's largest professional research organization.

<b>KPM #8</b>	IT GOVERNANCE – Percent of the state’s major IT projects with a budget or schedule variance of plus 5% as reported in the quarterly major IT project portfolio report for which a mitigation plan is submitted in response to a DAS requirement.	2011
<b>Goal</b>	Effective, high quality leadership governance and oversight	
<b>Oregon Context</b>	Serve state government to benefit the people of Oregon	
<b>Data Source</b>	Quarterly Major IT project reports	
<b>Owner</b>	Sean McSpaden, Chief Information Office, 503-378-5257	



## **1. OUR STRATEGY**

The strategy is to provide appropriate oversight and cost containment processes, advocate for effective policies and remove barriers for success, which links to the DAS strategic goal of effective policies with clear direction. The DAS Chief Information Office (CIO) Information Technology Investment and Planning (ITIP) section leads statewide IT-related planning, budgeting, and policy development efforts. ITIP is responsible for monitoring and overseeing the state's major IT projects.

## **2. ABOUT THE TARGETS**

This target was set at 5% of budget or schedule variance as reported in the quarterly major IT project reports submitted by agencies to DAS CIO. This target value was selected and aligns with industry practices for tracking project performance. Risk assessment and mitigation strategy development are expected for all major IT projects (\$ value > \$1M). When project budget or schedule variance exceeds 5%, project managers should consider implementing and/or revising existing mitigation strategies.

## **3. HOW WE ARE DOING**

The KPM target of 100% was met during reporting period. The risk of not meeting this KPM target in future reporting periods is low.

## **4. HOW WE COMPARE**

While other state and federal agencies track project schedule and budget variance there is no known agency with an identical Key Performance Measurement in place.

## **5. FACTORS AFFECTING RESULTS**

Estimated project schedule and budget variance at project completion is reported by agencies on a quarterly basis as measured against the currently approved schedule and budget baselines. Project budget and schedule baselines can change over time per project governance decision making processes.

## **6. WHAT NEEDS TO BE DONE**

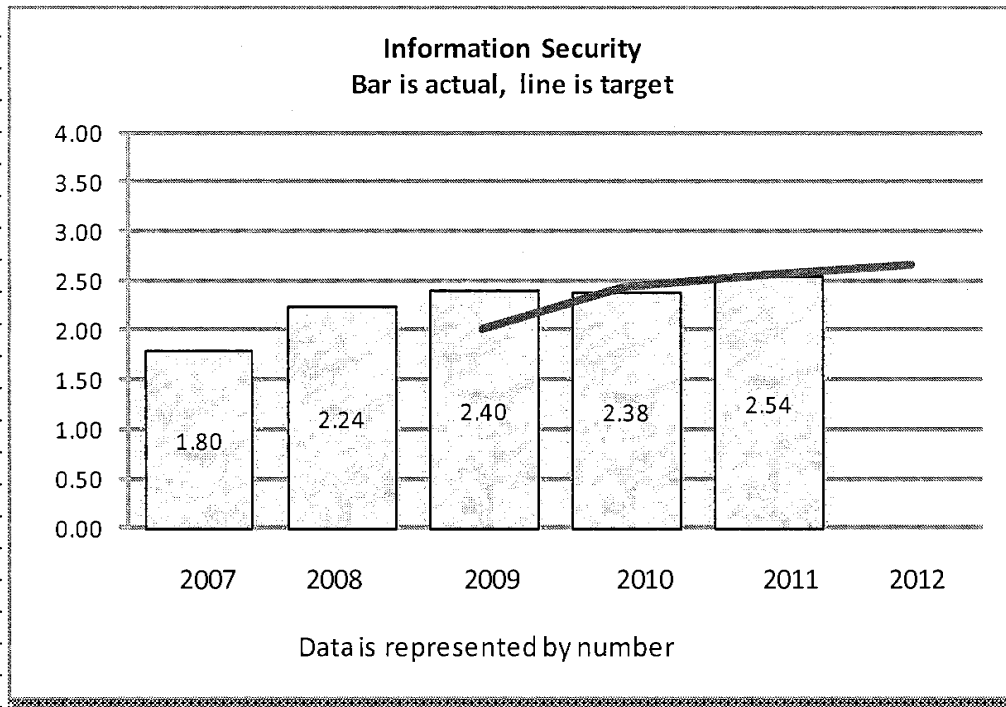
DAS CIO ITIP plans to continue requiring agencies with major IT projects to report quarterly on estimated project budget and schedule variance, project risks and associated mitigation strategies.

## **7. ABOUT THE DATA**

In accordance with statewide policy, state agencies with major IT projects are required to obtain the quality assurance oversight

services of third party independent contractors and to report project status to DAS CIO on at least a quarterly basis. In addition to reporting on estimated project schedule and budget variance at project completion, DAS CIO reporting instructions require agencies to identify and report their top three (3) project risks and associated mitigation strategies on a quarterly basis regardless of budget and schedule variance status. More information regarding IT oversight of major IT projects and quarterly reporting requirements can be found on the web at: [http://www.oregon.gov/DAS/CIO/ITIP/Pages/IT\\_Investment\\_Oversight.aspx](http://www.oregon.gov/DAS/CIO/ITIP/Pages/IT_Investment_Oversight.aspx)

<b>KPM #9</b>	INFORMATION SECURITY – Overall information security maturity rating based on a sample of state agencies. Rating achieved using a compilation and aggregate score based on the ISO 27002 standard and assigning a rating using the Carnegie-Mellon Capability Maturity Model (3d party conducting information security business risk assessments).	2011
<b>Goal</b>	Effective, high-quality leadership, governance and oversight	
<b>Oregon Context</b>	Serve state government to benefit the people of Oregon	
<b>Data Source</b>	An aggregate score is compiled based on the ISO 27002 standard and assigned a rating using the Carnegie-Mellon Capability Maturity Model. Score is assigned by 3d party contractor conducting information security business risk assessments.	
<b>Owner</b>	Theresa Masse, Chief Information Office, 503-378-4896	



## **1. OUR STRATEGY**

The Department of Administrative Services (DAS) strategy is to protect the state's information assets and systems through a variety of programs that assist agencies in improving their information security posture. The Enterprise Security Office (ESO) works in collaboration with agencies to protect the confidentiality, integrity and availability of state information resources. ESO focuses on mitigating risk by developing policies, standards and guidelines that serve as a baseline for agencies to implement security safeguards in their business and technology environments. ESO uses a comprehensive information security business risk assessment model to evaluate each participating agency's maturity in managing information security. The cumulative average of the business risk assessment becomes ESO's key performance measure.

## **2. ABOUT THE TARGETS**

A regular cycle of risk and vulnerability assessments helps to identify potential areas of risk across a representative sample of the enterprise. If DAS and participating agencies are successful in identifying and remediating these risks and vulnerabilities, future assessment cycles should reflect an improved security posture. The baseline was established in 2007 using a cross section of twelve agencies. Two additional agencies have been added to the program in each of the following years (2008 through 2010) – although for consistency, only the control group agencies are included in the aggregate average.

## **3. HOW WE ARE DOING**

DAS and a third party vendor use the International Organization for Standardization (ISO) information security standards ISO 27001 and 27002, and the Carnegie Mellon Software Engineering Institute's Capability Maturity Model integration (CMMi) to provide a framework for the risk assessment. Initial Information Security Business Risk Assessment (ISBRA) results, beginning in 2007, indicated an aggregated average of 1.8 which approaches a "Managed Level" of maturity. 2008 ISBRA results indicated an aggregated average of 2.24 which moved agencies beyond the "Managed Level" of maturity. 2009 ISBRA results indicate an aggregated average of 2.4 which maintains a positive trajectory towards the "Defined Level" of maturity. In 2010, the aggregate average was 2.38 which reflected a slight dip in the expected results. However in 2011 the movement of the agencies returned to an upward path with an aggregate average of 2.54. Although there may be many contributing factors, ESO is confident agencies will continue to achieve positive results and make progress. It is important to understand that every agency is not at the same level of maturity in each category, nor should they be. Typically, agencies progress fairly quickly and then as they focus on more complex areas, such as addressing business processes, results take longer to achieve. Through a consultative, collaborative process, realistic goals are established based on a variety of factors including risk, line of business, type and amount of confidential information, etc. Achieving these goals ensures agencies realize success which encourages continued commitment, momentum and progress.



#### **4. HOW WE COMPARE**

As outlined above, the aggregated average of the selected agencies has shown continued improvement. From an overall perspective, Oregon is comparable in maturity with other Public sector organizations in treating security as a priority, particularly in areas such as security organization, leadership, and risk assessment. Oregon is of comparable maturity with other Public sector organizations that put stronger emphasis on security awareness and training. In addition, Oregon has made positive movement in implementing core security policies and processes. Oregon is among the 2/3 of the states that have a policy requiring classification of information and in the top 1/3 that classify based on risk.

#### **5. FACTORS AFFECTING RESULTS**

In this challenging business environment, agencies' perception of their business risks may change, resulting in acceptance of a lower maturity rating. Formal security program adopted - when agencies adopt a formal information security program (security plan with metrics, policies and governance) with reporting and management, their CCMi maturity increases. Staff training and awareness - agency staff awareness of, and involvement in, the security program aids and improves that progress. Leadership - once a program is established, agency leadership must be actively involved and establish direction based on effectively managing risk. They must focus on specific category initiatives. Resources - these initiatives often take more planning and investment of resources which may result in a slower maturity growth rate. However, these initiatives are important in reducing overall risk. Budget - with reduced funding and resources, focusing on security categories with low cost and high return on investment will be critical to continued success.

#### **6. WHAT NEEDS TO BE DONE**

DAS ESO, the third party vendor, and each participating agency will continue to work closely together to identify agency specific targeted goals for each security category within ISO 27002 as a measureable outcome. Participating agencies must develop a plan to meet their goals. ISBRA assists the agency in evaluating progress towards these goals. As goals are met, agencies need to reevaluate the risk level as appropriate or raise the goal in that category.

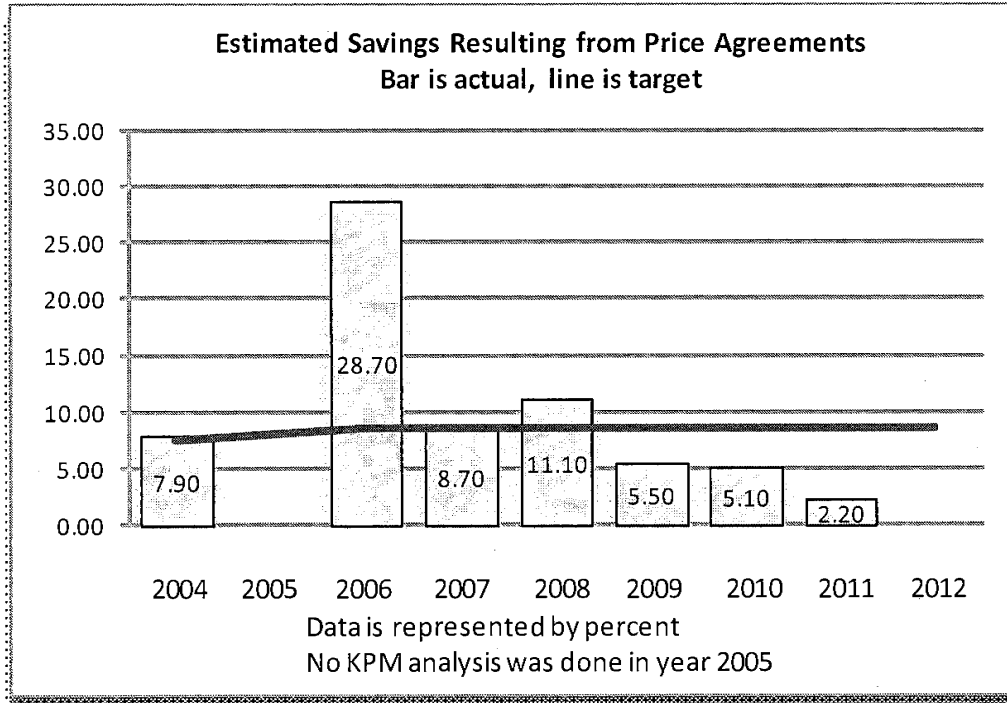
It is essential to be realistic in what can be accomplished so participating agencies do not become discouraged. The aggregate average for 2011 was 2.54 which was extremely close to their 2011 KPM target of 2.55. The target aggregate average for 2012 is 2.66. If goals are not achieved, ESO will examine the gaps and determine what assistance and support agencies need for improvement. Assistance may include individual consulting, information security forums, training or workshops, and policies, standards or guidance.

As participating agencies have become familiar with ISBRA, responsibility for conducting the assessment has shifted from DAS ESO and the third party vendor to agencies. In 2010, five (5) participating agencies conducted an internal ISBRA based review and provided their results to the ESO for evaluation. This has enabled ESO and the third party vendor to focus on agencies that need more direct support and involvement.

## 7. ABOUT THE DATA

ISBRA is conducted annually. The process begins in the fourth quarter of each year and the final aggregate report is completed in the first quarter of the following year. Data for the current time will continue to be reported based on the aggregated average of the control group agencies. As targets for various categories are established, the report will reflect progress against those specific goals. The information is self-reported which may be a weakness, but is validated at a high-level. The limitation on the number of agencies that participate in ISBRA is a weakness as not all agencies are included. The strength of the assessment is that it is an agency's view of how it is conducting information security. Many agencies tend to be quite critical in evaluating their progress. The aggregate average is one indicator of the ESO program effectiveness and provides valuable data on where additional emphasis is needed. Further information is available from the DAS Enterprise Security Office.

<b>KPM #12</b>	PROCUREMENT EFFECTIVENESS – Estimated savings resulting from price agreement pricing compared to prices that would be paid without the benefit of a price agreement.	2011
<b>Goal</b>	Right service, for the right time, for the right price.	
<b>Oregon Context</b>	Serve state government to benefit the people of Oregon	
<b>Data Source</b>	Data systems in state Procurement Services	
<b>Owner</b>	Debbie Dennis, Enterprise Goods & Services, 503-378-2631	



## **1. OUR STRATEGY**

The strategy is to contain procurement costs for state government through negotiated price agreements for goods and services commonly used by all state agencies. Over 525 local governments and educational entities also use these agreements as members of the Oregon Cooperative Procurement Program.

## **2. ABOUT THE TARGETS**

A composite index of high-use commodities measure cost savings gained through statewide price agreements compared to equivalent government market pricing. DAS sets the annual target.

## **3. HOW WE ARE DOING**

DAS established a cost savings index in 2002 and achieved or exceeded the target each year until FY2009. Savings achievement for FY2009, FY2010, FY2011 and FY2012 are 5.5%, 5.1%, 2.2% and 2.1% respectively. The target is 8.5%.

## **4. HOW WE COMPARE**

A market basket of five commodities - Vehicles, Computers, Office Supplies, Industrial Paper, Cellular Phones - was compared against other states' pricing as available (Washington, California, Idaho, Colorado, Utah, Texas, New York, Missouri). Also, we used pricing information from the Western States Contracting Alliance (WSCA), the federal General Services Administration (GSA) agreements, and to a lesser extent, pricing from suppliers who are not participants to price agreements with state of Oregon government.

## **5. FACTORS AFFECTING RESULTS**

One predominant factor continues to affect the results overall: neighbor states that are part of the comparison participate in the same WSCA agreements that Oregon participates in. Hence any advantage Oregon had from 2002 through 2008 has vanished and the only significant remaining pricing discriminator is the rate of the administrative fee charged by states to recover their operating costs. This factor alone indicates a need for adapting the methodology of the measure. (States with sales, county or regional taxes applicable on the purchase of goods and services are at a disadvantaged when compared to Oregon in reality but these taxes were not accounted for in order to provide consistency of method since 2002.) Alternatively, a more realistic target needs to be selected. External factors affecting pricing such as general industrial and global economic events and trends affect all northwest states. Again this year, none of the commodities analyzed met the target comparative percentage of savings of 8.5%. Vehicles came closest with a rate of savings of 4.3% - still distant from the target. Interestingly, Vehicles are not currently solicited at the WSCA level. Internal

factors such as the process for identifying those aspects of market analyses where focused attention can reduce prices and a consistent method for capturing price, spend and savings data are also at play. More on each factor follows.

1) WSCA Price Leveling Effect: Oregon uses WSCA price agreements for four of the five commodities (computers, office supplies, industrial paper, and cell phones). At least three neighbor states are using the same price agreements, so advantages over these neighbor states are nullified. Procurement boundaries between states are shrinking. State procurement offices in the western region and across the nation are increasingly developing interstate and national collaborative practices and standards.

2) Economic Climate: The continuing poor economic climate is resulting in tight margins and forcing government transformation. This trend is equally true in the public procurement arena. It is now customary for states to make use of the efforts of other states, to leverage multi-state spend and increase the emphasis on volume discounts throughout the life of every contract. Also, the supplier community is presumably operating on thinner margins and suppliers cannot ignore any opportunity to work with a volume customer; states working together can capitalize on this trend. Suppliers find cost savings in bidding fewer opportunities and working with one contract format while gaining more volume in sales.

3) Price, Spend and Savings Data Capture Methodology: Efforts at quantifying spend and savings are exerted internally but are not uniform and integrated. A systematic process as part of a solicitation for identifying potential savings opportunities and calculating thereafter actual savings based on a common or an array of agreed upon definitions is lacking. Likewise, benchmark or base pricing against which to measure savings is disappearing in the internet age. The ability to capture what pricing is in effect on any given day and what it would be if it were not for a concerted procurement strategy is increasingly difficult to determine. Information of base price on which discounts apply is elusive at best, difficult to verify and difficult to match to a realistic meaning for the savings. A method that realistically allows for the calculation of savings as true taxpayer dollar savings is currently lacking as well as the choice of which reasonable population of price agreements it should apply to. Of the top 30 statewide price agreements with highest spend, less than half have a known rate of savings. Also, apple-to-apple comparisons are becoming ever more difficult in the presence of fast changing technology and refined marketing strategies with complex pricing.

## **6. WHAT NEEDS TO BE DONE**

This is the fourth year of not meeting or exceeding the savings target. We arrive at the same conclusion this year: the current methodology used to report savings is no longer appropriate. The comparison of Oregon cost savings against other states that use the same WSCA price agreements marginalizes or eliminates savings. And more and more states take advantage of WSCA contracts. The figures alone hide the fact that WSCA contracts leverage significant savings by virtue of bulk purchases and an accentuated attention to the procurement process. As in past years' participation to WSCA contracts, Oregon continued in 2011 to

offer significant discounts from retail pricing through use of WSCA price agreements. Specifically for three of the commodities part of this analysis:

- 1) 29.2% on Price Agreement 9758 (PA9758) with Dell for PCs, printers and server storage;
- 2) 35.1% on PA9760 with HP for PCs, peripherals, printers, and server storage;
- 3) 65.6% on PA9803 with OfficeMax for Office Supplies;
- 4) 68.0% on PA9745 with Waxie for Janitorial Supply.

These are significant savings rates. Further discussion is required to determine if measuring against retail pricing provides an accurate measure of taxpayer dollars savings even if the associated data capture is the least cumbersome. We recommend that DAS adapt its measurement method to also include comparison against retail pricing and other benchmark pricing with appropriate weight factors for each method.

A supplement to this document is attached that describe factors to consider when adapting this method or developing a new method. Ideally, a comparison would be made against a methodology that accounts for controllable influences on the two factors affecting spend: quantities of the selected goods purchased and the unit price for these goods.

Alternatively, a lower target than 8.5% needs to be selected. A target around 3.0% would be more sensible as the only discriminators between neighbor states tend to be sales taxes and the administrative fee when applicable with this latter factor being less significant. The absence of a sales tax for Oregon favors Oregon significantly in reaching this target. Keeping the target at 8.5% for sake of historical perspective is reasonable as long as the expectation of meeting the target is managed. The target is arbitrary, based on historical values rather than on a specific formula.

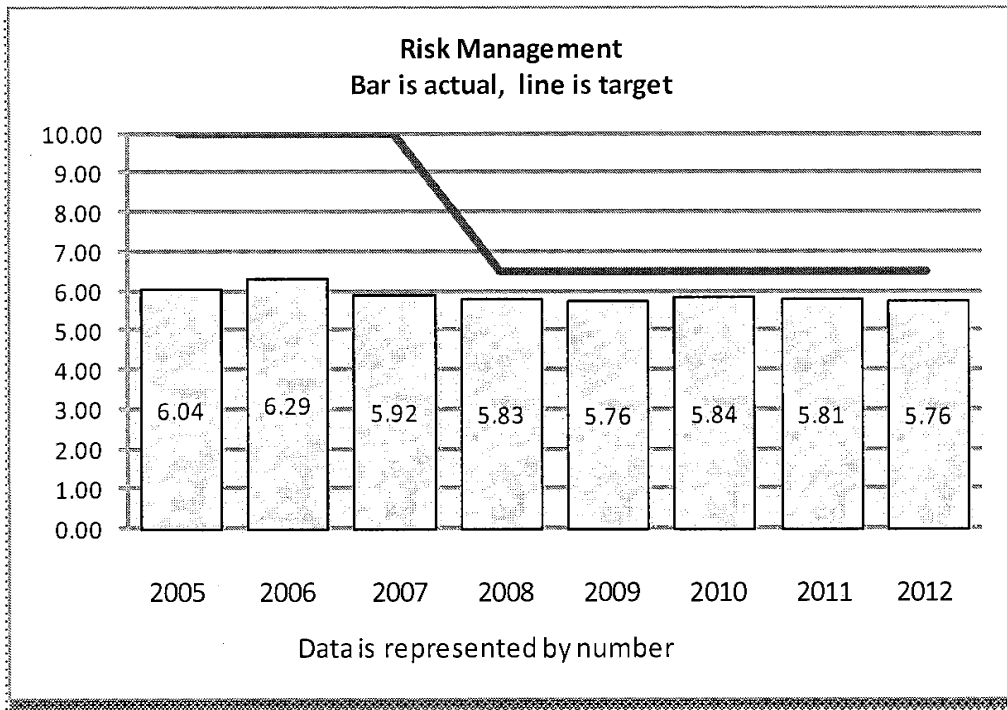
## **7. ABOUT THE DATA**

The reliability and integrity of the data is generally fair. DAS selects a large sample of items from a diverse array of five categories of contracted goods and related services for the measurement model. Volume sales data captured over a 12-month period (Calendar Year 2011) for four of the categories guaranteed a rich representation and an accurate measure of procurement pricing effectiveness. Each commodity is distinct with inherent peculiarities for effecting accurate price comparison measurement. A lot of elements need to be examined when matching items and determining them to be sufficiently comparable for inclusion in the analysis. The results of the savings analysis from the Contract Administrator for Vehicles was also used but not verified. For cellular phones, no savings determination could be achieved. The applicability of previously advertized savings on currently available plans was not verified with so much change having taken place on voice and data plans since the beginning of the applicable price agreement. Further, volume sales data was inadequate to confirm pricing actually in place for most plans and usage charges. This lack of transparency is more evident in certain commodities and especially so for cell phones.

Target 2011  
8.5%  
Actual 2011  
2.2%

Target 2012  
8.5%  
Actual 2012  
2.1%

<b>KPM #13</b>	RISK MANAGEMENT – Annual number of: a) worker's compensation; b) liability; c) property; and, d) total claims per 100 FTE	2012
<b>Goal</b>	The right service, at the right time, for the right place	
<b>Oregon Context</b>	Serve state government to benefit the people of Oregon	
<b>Data Source</b>	Risk Management Information System (CS Stars)	
<b>Owner</b>	Penny Evans, Enterprise Goods & Services, Risk Management, 503-373-1585	





## **1. OUR STRATEGY**

The strategy is to reduce the number of workers' compensation, liability, and property claims in order to reduce the total cost of risk to the State.

## **2. ABOUT THE TARGETS**

The target rate is the average number of claims for the last five years for workers' compensation and liability and the average number of claims for the last three years for property. The target is to have the actual number of claims per 100 FTE below the target of 6.5 claims per effective FTE.

## **3. HOW WE ARE DOING**

The combined claims rate has stabilized between 5.7 and 6.0 claims per 100 FTE over the last 5 years.

## **4. HOW WE COMPARE**

The measure is used to compare our current rate to our historical rate. Over the past 6 years, the results have consistently been below the target of 6.5 claims per FTE. The 2012 result of 5.76 claims per FTE is tied with 2009 results for the lowest rate since at least 2001.

## **5. FACTORS AFFECTING RESULTS**

This measure aggregates results from workers compensation, liability, and property claims. Unusual events in any given year in any one of these categories will result in atypical results for the aggregated measure. For liability claims, a failed chip-seal road project during 2009-2010 resulted in 1519 claims, of which 413 exceeded \$500. For property claims, the flood of January 19, 2012 resulted in 185 claims, of which 170 exceeded \$10.

## **6. WHAT NEEDS TO BE DONE**

Risk Management is aggressively implementing risk mitigation efforts with agencies in the following ways:

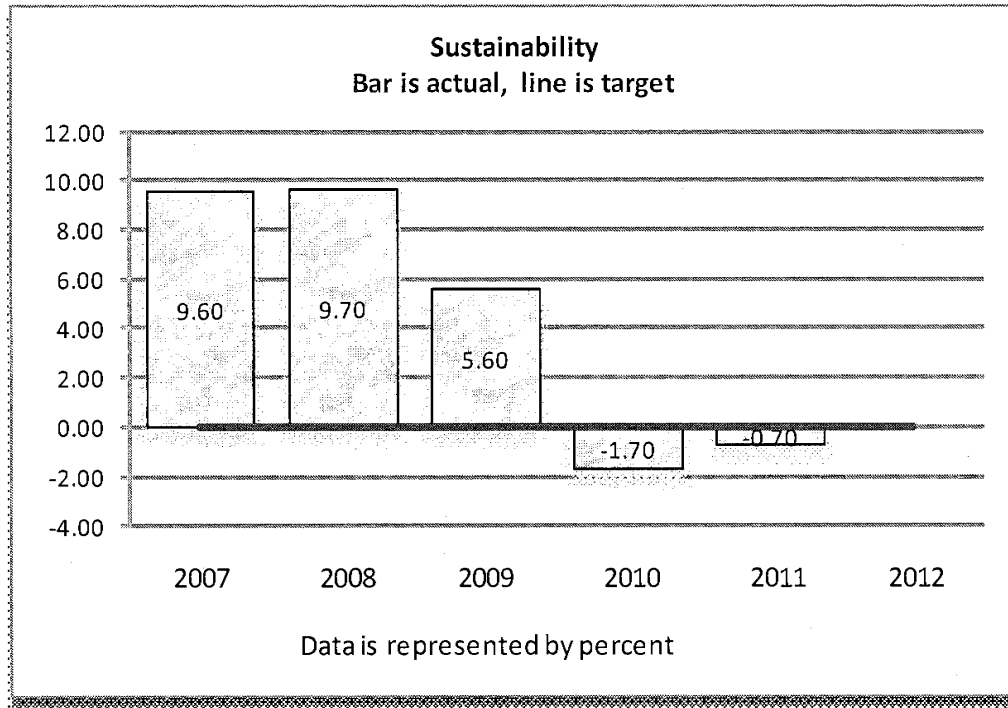
- Since 2011, Risk Management conducts annual risk consultations with the top 6 agencies incurring the highest frequency of claims. The consultations consist of in-depth review and analysis of claims history and recommendations to minimize and mitigate future losses. Beginning January 2013, this will be expanded to the top 26 agencies. Also in 2013, Risk Management will partner with DOJ Trial Division for select agency risk consultations to reduce the number of lawsuits and associated defense costs. Risk also conducts risk consultations for other agencies as we identify trends or if an agency requests a consultation.

- Quarterly “dashboard” reports summarizing claims data and trends are sent to each agency. Every agency receives an annual report which provides a five year trend data comparison. The reports are also posted on the Risk Management website.
- Risk Management partners with SAIF to provide training to agency safety managers and staff.
- Risk Management works closely with a variety of agency personnel including workers' compensation claim coordinators, risk coordinators, safety managers, business managers, Business Continuity planning coordinators and Human Resource managers.
- Risk Management's Strategic Plan will focus on Resource Network Development. The primary goal is to increase outreach to state agencies. This includes additional risk consultations, publications such as RiskKey Notes and RiskWise, training for agency personnel and focused training for agency specific concerns.

## **7. ABOUT THE DATA**

Reporting cycle is based on the fiscal year. Data is from the RMIS and SAIF databases. Small claims classified as “de minimus” are excluded from the report. The excluded claims are property claims with less than \$10 paid from the insurance fund and liability claims with less than \$500 paid from the insurance fund. All workers' compensation claims with time loss or medical costs are included.

<b>KPM #14</b>	<b>SUSTAINABILITY – Percentage reduction in greenhouse gas emissions</b>	<b>2010</b>
<b>Goal</b>	Advocate for effective policies and remove barriers for success	
<b>Oregon Context</b>	Serve state government to benefit the people of Oregon	
<b>Data Source</b>	Greenhouse Gas Emissions Interagency Team Report Initial Reporting Year Assessments and Recommended Best Practices	
<b>Owner</b>	Jeanette Fish, Enterprise Asset Management, 503-378-5093	



## 1. OUR STRATEGY

DAS developed a six-year plan to ensure we serve as a model for sustainability in state government, as well as to implement the Governor's sustainability and greenhouse gas reduction goals. The department's objective is to serve as a primary resource for state government's efforts to achieve and maintain sustainable practices. Due to budget reductions, the DAS Sustainability Coordinator position was held vacant for over two years and the program was put on hold along with measuring and monitoring of the goals outlined in the DAS Sustainability Plan. However, because of the importance of ensuring sustainable state government operations and measuring progress toward reaching sustainability goals, the department plans to fill the position in early 2013 to renew the program.

In addition, DAS has requested establishment of a position to assist in coordination of sustainability efforts throughout state government. This position will assist in evaluating and prioritizing sustainability projects, measuring progress and evaluating outcomes and developing incentives related to operational best practices.

## 2. ABOUT THE TARGETS

In 2009, former Governor Kulongoski developed seven goals for sustainability, which became the components to measure the success of the DAS Sustainability Plan. The goals and measures developed at the time are as follows:

1. DAS Greenhouse Gas (GHG) emissions - By 2010, stop the growth of greenhouse gas emissions; by 2020, reduce greenhouse gas emissions to 10 percent below 1990 levels; by 2050, reduce emissions to 75 percent below 1990 levels, and fully stabilize and eliminate their negative impacts. This measurement is a % reduction or increase for the current year compared to the previous year. It is the traditional measurement that formed the basis for the Sustainability KPM, and it would still be reflected as part of the total program. This measurement takes the total GHG emissions from DAS operations – energy to operate buildings, fuel use for fleet, and trash generation. Eventually, the data will be improved and expanded to take further factors into account. In 2007, the State of Oregon adopted the Clean Air, Cool Planet protocol (<http://www.cleanair-coolplanet.org/>) for tracking greenhouse gas emission reductions. In addition, the state of adopted the 2006 baseline data. In 2008, the backcasting process to 1990 was complete. It compared the number of vehicles in the state fleet and building square footage for DAS and ODOT, and used that figure as a representation of the emissions generated for the State of Oregon. Based on this calculation, DAS reported a 59% increase in GHG emissions.
2. DAS Energy Savings - By 2015, reduce energy consumption by 20% (based on energy consumption in 2000). This measurement is a % reduction or increase in energy conservation for the current year compared to the year 2000.

3. DAS Electricity Sources - By 2025, achieve 100 percent of DAS electrical needs through renewable sources. This measurement is a % reduction or increase in the amount of renewables that generate the total electrical consumption for DAS for the current year compared to the baseline year of 2009.
4. DAS Use of Alternative Fuels. Actively pursue the use of alternative fuels (i.e. biodiesel, ethanol, natural gas, and electric) in the DAS fleet. This measurement is a % reduction or increase in the amount of alternative fuels used in the fleet for the current year compared to the baseline year of 2006. This measurement also includes % reduction or increase in the amount of alternative fuel vehicles in fleet for the current year compared to 2006.
5. DAS Sustainable Procurement - Collaborate and coordinate on regional purchasing strategies to harness purchasing power and maximize environmental and economic value. In addition, develop a program to enable DAS to help to “green the supply chain” by using its purchasing power to encourage production of more sustainable products and services. This measurement needs management and staff support for building a database to track procurement activity. The goal for this metric is to measure a % reduction or increase in the amount of environmentally-preferred, local and MWESB products that DAS purchases for the current year compared to the baseline year of 2009.
6. DAS Sustainable Information Technology - Commit to buying high-efficiency IT systems for DAS; join the Climate Savers Computing Initiative. This measurement is a % reduction or increase in the amount of virtual servers, innovative policies, and new IT practices for DAS for the current year compared to the baseline year of 2009. The actual measurement connects a reduction or increase in electrical consumption tied to these practices.
7. Interagency Sustainability Network coordinated/facilitated by DAS - Form a network of state agency personnel to exchange ideas and practices, and develop new approaches to sustainability among state agencies. This measurement is a % reduction or increase in the number of attendees at the ISCN meetings, networking opportunities, or collaborative projects for the current year compared to the baseline year of 2009.

### **3. HOW WE ARE DOING**

Due to the two year vacancy of the DAS Sustainability Coordinator position, many of the measurement efforts for the targets were not tracked. Where possible, data is provided below.

1. DAS Greenhouse Gas (GHG) emissions - Since 2008, combined green house gas emissions have not been measured. The increase in number of alternative fuel vehicles in the fleet and DAS strategy to invest in more fuel efficient hybrid and smaller engine vehicles that use less fuel has reduced greenhouse gas vehicle emissions by 7% from the 2006 baseline. Miles driven for the same

period dropped by only 2% demonstrating that a strategy for use of alternative fuels combined with using the most fuel efficient vehicles is effective to manage vehicle greenhouse gas emissions.

2. DAS Energy Savings - This measure has not been tracked since 2009

3. DAS Electricity Sources - This measure has not been tracked since 2009

4. DAS Use of Alternative Fuels- With the closure of the Portland and Eugene motor pools in July of 2009, the availability of E-85 and Compressed Natural Gas (CNG) was greatly reduced for DAS Fleet vehicles and has led to an overall reduction in the use of these fuels. However, use of biodiesel has increased very slightly as market availability for this fuel has increased across the state. The percentage of vehicles that can use alternative fuel has increased from 21% in 2006 to 34% for 2011. The increase in number of alternative fuel vehicles and DAS strategy to invest in more fuel efficient hybrid and smaller engine vehicles that use less fuel has reduced greenhouse gas vehicle emissions by 7% from the 2006 baseline. Miles driven for the same period dropped by only 2% demonstrating that a strategy for use of alternative fuels combined with using the most fuel efficient vehicles is effective to manage vehicle greenhouse gas emissions.

5. DAS Sustainable Procurement – This measure was not developed or tracked due to elimination of the Sustainability Coordinator

6. DAS Sustainable Information Technology- This measure was not developed or tracked due to elimination of the Sustainability Coordinator

7. Interagency Sustainability Network coordinated/facilitated by PECl Outreach Manager. DAS staff has not coordinated/facilitated this network due to the two year vacancy of the sustainability position. The new DAS sustainability position will participate once hired.

#### **4. HOW WE COMPARE**

The City of Salem, City of Portland, Multnomah County, and METRO all have active sustainability programs in which DAS could compare data. This comparison has not yet occurred due to elimination of the Sustainability Coordinator

#### **5. FACTORS AFFECTING RESULTS**

The methodology was never completely developed prior to two year vacancy of the Sustainability Coordinator. DAS did not have resources dedicated to developing and measuring DAS performance for this KPM. Some agencies lack the technical expertise or

other resources needed for initial development of meaningful metrics, efficient data-gathering techniques and incentives for promoting best.

## **6. WHAT NEEDS TO BE DONE**

DAS approved filling the vacant sustainability position and expects the position to be filled in early 2013. This position will be dedicated to sustainability work. The position will be tasked with developing the measurements and monitoring progress for this KPM. Establishment of the requested Statewide Coordinator is necessary to make continued progress on coordinating consistent sustainability efforts through state government.

DAS needs to identify specific procurement and IT data for measurement and commit staff time to creation of a database that compiles the data required to track individual goal success. A weighting methodology for producing the overall % KPM measurement needs to be developed.

The lack of alternative fuel infrastructure in Oregon severely limits the ability of DAS to increase use of these fuels and is outside the management scope of the agency to affect. This goal of the KPM should be reexamined to scale the measurement back solely to reduction in greenhouse gas emissions, which the agency can and does manage through fuel efficiency of vehicles purchased and by directing policy for how vehicles are used across state agencies.

The overall methodology and measurement of greenhouse gas emission also needs to be reevaluated. For example, DAS operated fewer buildings in 2011 than 2008. The measurement may need to include square footage and occupancy factors to accurately reflect how well or poor the agency manages this item.

GHG emissions from fuel have a similar problem. 2008 had the highest number of miles driven recorded in the last decade, which lead to a high amount of emissions. In 2011, the number of miles driven and subsequent emissions dropped. The measurement should include a factor to see the effect per mile driven, not simply overall increase or decrease in emissions. A possible alternative or additional factor could be to track how well the agency increased or decreased the fuel efficiency per gallon of vehicles under its management.

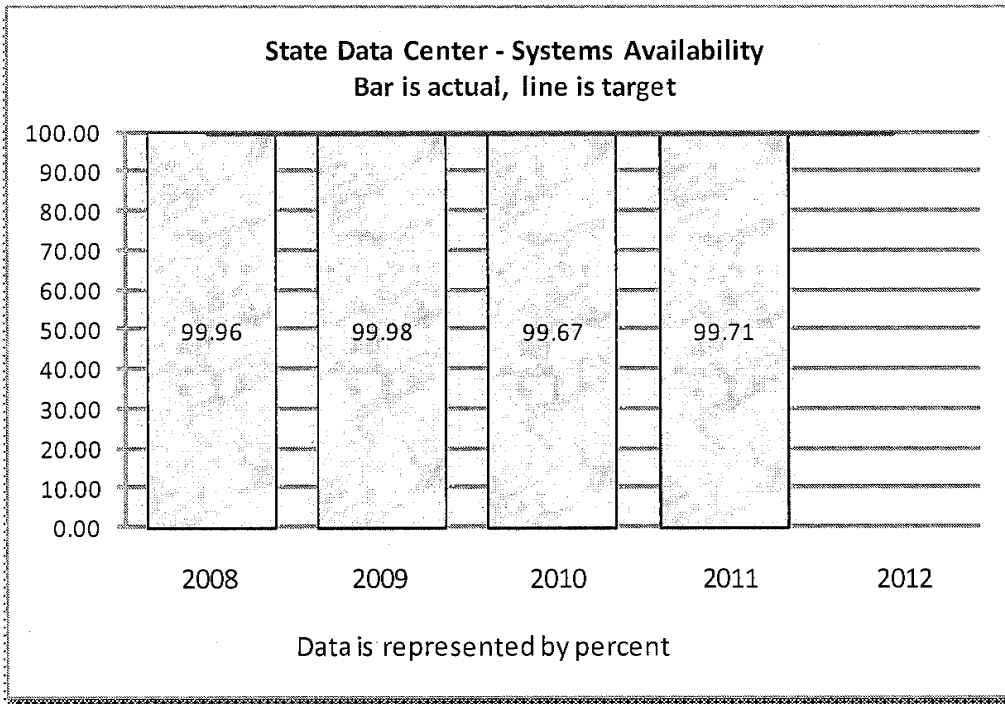
## **7. ABOUT THE DATA**

1. The 2008 greenhouse gas emissions were collected using the Clean Air, Cool Planet protocol. It uses an established and system reflecting the various greenhouse emissions contributions and converting it into CO<sub>2</sub>. How this toll was used in the past and how or if it will be used in the future is to be determined.

2. The energy consumption data is collected through the Utility Manager program. DAS uses a third-party company, LPB Energy, to enter and verify the data for accuracy. The data was not analyzed for 2009, 2010, 2011 and 2012.
3. The renewables data is extrapolated using the data in Utility Manager. This methodology needs to be reevaluated
4. The fleet data is compiled by State Fleet using a spreadsheet and collection tool developed by a statewide group in 2005.
5. The procurement data needs a new tool to ensure accuracy.
6. The IT data needs a new tool to ensure accuracy.
7. The Interagency Coordinators Network data is compiled by attendee rosters at the meetings. The DAS outreach data was compiled into a Word file as new opportunities are discovered. This effort and measurement needs to be reestablished.



<b>KPM #16</b>	DATA CENTER – Percentage of time systems are available	2011
<b>Goal</b>	Right service, at the right time, for the right price	
<b>Oregon Context</b>	Serve state government to benefit the people of Oregon	
<b>Data Source</b>	Network and Computing availability monitoring tools	
<b>Owner</b>	Brian Nealy, Enterprise Technology Services, 503-373-0224	



## **1. OUR STRATEGY**

The measure shows the availability of the State Data Center's Information Technology environment to support the strategy of optimizing performance to provide efficient and effective government infrastructure.

## **2. ABOUT THE TARGETS**

The target of 99.9 percent availability was reached through mutual agreement between the data center and its customers.

## **3. HOW WE ARE DOING**

The availability of 99.66% for 2010 is below target. Additional detail is provided in section # 7, about the data.

## **4. HOW WE COMPARE**

99.9 percent is a common availability goal for the data center industry.

## **5. FACTORS AFFECTING RESULTS**

Availability data still includes planned outages and false outage reports that cause the availability to be reported at lower value than actual. As data collection is improved, we expect higher availability will be reported.

## **6. WHAT NEEDS TO BE DONE**

Refine collection methods and define agreed service hours for each device. In addition, replacement of outdated and end-of-life equipment will increase availability. Development of standard processes will ensure changes to systems can occur without causing unscheduled outages.

## **7. ABOUT THE DATA**

Some of the availability data was not available for the time period. The availability data contains some duplication of outage reports, and some devices are not included. The SDC is implementing a new availability management process and availability monitoring system. The data will be available for the 2010-11 fiscal year. Availability for each area is calculated using the following formula:  $(AST-DT)/AST=Availability$ . AST=Agreed Service Time and DT=Down time. The three areas availability numbers are averaged to get the overall availability number.

ADMINISTRATIVE SERVICES, DEPARTMENT of	III. USING PERFORMANCE DATA	
<b>Agency Mission:</b> Serve state government to benefit the people of Oregon		
<b>Contact:</b> Donna Haole-Valenzuela	<b>Contact Phone:</b> 503-378-2277	
<b>Alternate:</b> Sarah Jo Chaplen	<b>Alternate Phone:</b> 503-378-4691	
<b>The following questions indicate how performance measures and data are used for management and accountability purposes</b>		
<b>1. INCLUSIVITY</b>	<p>* <b>Staff :</b> DAS is committed to using data to drive decisions, and is in the process of updating our performance measures to provide more meaningful, actionable data. The measures being developed align with our mission, vision and values as outlined in our strategic plan. We are implementing quarterly target reviews (QTRs) to regularly report on our performance and will also be sharing performance data with our Customer Utility Boards. Our goal is for these new measures to become our new KPMs, which will better inform the legislature of our progress.</p> <p>* <b>Elected Officials:</b> High-level elements of the new strategic plan were developed by the HB5002 workgroup, which included members of the Oregon House and Senate. The workgroup was convened in response to a budget note directing the department to review its structure, service delivery and funding mechanisms. The HB5002 workgroup's final report can be found at: <a href="http://oregon.gov/DAS/docs/HB5002/Report.pdf">http://oregon.gov/DAS/docs/HB5002/Report.pdf</a>.</p> <p>* <b>Stakeholders:</b> The HB5002 workgroup included members from the following state agencies: Legislative Fiscal Office, Dept. of Human Services, Teachers' Standards and Practices, Dept. of Revenue, Dept. of Environmental Quality, and the Governor's Office. Many other agencies were provided the opportunity to weigh in on the strategic plan as it was being developed.</p> <p>* <b>Citizens:</b> The HB5002 workgroup included membership from the private sector. Verizon Wireless and PACCESS graciously provided representatives to the workgroup.</p>	
<b>2. MANAGING FOR RESULTS</b>	The department continues to focus on developing the tools and processes necessary to manage for results. A major area of focus in the new strategic plan is performance management. The use of the Plan, Do, Check and Adjust methodology and tool kit is becoming the standard agency-wide. The department's leadership team expects data and performance information to be widely reported and analyzed on a regular basis.	

	Regular reporting, standardized tools, and rigorous data analysis will provide useful and actionable management information.
<b>3. STAFF TRAINING</b>	Agency leadership is currently implementing a new set of planning and performance reporting tools, and developing an agency-wide culture of performance analysis and reporting. The use of these tools will become the standard for all employees. A training schedule on these tools is expected to be part of the rollout of performance reporting in 2013.
<b>4. COMMUNICATING RESULTS</b>	<p>* <b>Staff :</b> The Annual Performance Progress Report is the primary vehicle DAS uses to communicate performance results. Results are posted on DAS web page, with a contact name and number <a href="http://www.das.state.or.us/DAS/about_us.shtml">http://www.das.state.or.us/DAS/about_us.shtml</a>. Additionally, the results are communicated at executive staff meetings, which in turn are communicated to the individual division management and staff.</p> <p>* <b>Elected Officials:</b> The Annual Performance Progress Report is the primary vehicle that DAS uses to communicate performance results to external stakeholders and is posted online at: <a href="http://www.das.state.or.us/DAS/about_us.shtml">http://www.das.state.or.us/DAS/about_us.shtml</a>.</p> <p>* <b>Stakeholders:</b> The Annual Performance Progress Report is the primary vehicle that DAS uses to communicate performance results to external stakeholders and is posted online at: <a href="http://www.das.state.or.us/DAS/about_us.shtml">http://www.das.state.or.us/DAS/about_us.shtml</a>.</p> <p>* <b>Citizens:</b> The Annual Performance Progress Report is the primary vehicle that DAS uses to communicate performance results to external stakeholders and is posted online at: <a href="http://www.das.state.or.us/DAS/about_us.shtml">http://www.das.state.or.us/DAS/about_us.shtml</a>.</p>



# Budget Narrative

<b>DETAIL OF FEE, LICENSE, OR ASSESSMENT REVENUE CHIEF OPERATING OFFICER</b>						
<b>Purpose or Type of Fee, License or Assessment</b>	<b>Who Pays?</b>	<b>2011-2013 Estimated Revenue</b>	<b>2013-2015 Agency Request</b>	<b>2013-2015 Governor's Recommended Budget</b>	<b>2013-2015 Legislatively Adopted</b>	<b>Explanation</b>
Admin and Service Charge	State Agencies	4,703,473	10,028,483	10,025,127		Assessment includes standard inflation of 2.4%. Agency Request also includes Policy Option Package #101, COO Project Managers.
Charges for Services (Highway Cost Allocation)	State Agencies	622,836	467,207	467,207		Highway Cost Allocation study, Office of Economic Analysis agreements with outside entities

# Budget Narrative

<b>DETAIL OF FEE, LICENSE, OR ASSESSMENT REVENUE CHIEF FINANCIAL OFFICER</b>						
Purpose or Type of Fee, License or Assessment	Who Pays?	2011-2013 Estimated Revenue	2013-2015 Agency Request	2013-2015 Governor's Recommended Budget	2013-2015 Legislatively Adopted	Explanation
Admin and Service Charges	State Agencies	16,752,913	12,746,624	12,743,869		Assessment includes standard inflation of 2.4%. Agency Request also includes Policy Option Package #102, Facilities Assessment & Planning Software and Policy Option Package #117, Capital Planning.
Charges for Services	State Agencies	266,577	266,577	266,577		Captiol Planning & Finance charge for service.

## Budget Narrative

<b>DETAIL OF FEE, LICENSE, OR ASSESSMENT REVENUE CHIEF INFORMATION OFFICE</b>						
<b>Purpose or Type of Fee, License or Assessment</b>	<b>Who Pays?</b>	<b>2011-2013 Estimated Revenue</b>	<b>2013-2015 Agency Request</b>	<b>2013-2015 Governor's Recommended Budget</b>	<b>2013-2015 Legislatively Adopted</b>	<b>Explanation</b>
Admin and Service Charges IT Investment and Planning	State Agencies	2,416,141	2,970,866	2,970,866		This program leads statewide IT planning and budget process.
Admin and Service Charges Enterprise Security Office	State Agencies	2,382,412	2,177,058	2,177,058		This program coordinates state agency efforts to ensure the integrity and security of the state's information assets.
Admin and Service Charges Geospatial Enterprise Office	State Agencies	1,998,809	2,287,812	2,287,812		This program coordinates state agencies' development and stewardship of geographic data.
Charges for Services	State Agencies	8,451,984	4,810,090	4,810,090		This program partners with agencies on IT projects and development.



## Budget Narrative

<b>DETAIL OF FEE, LICENSE, OR ASSESSMENT REVENUE CHIEF HUMAN RESOURCE OFFICE</b>						
Purpose or Type of Fee, License or Assessment	Who Pays?	2011-2013 Estimated Revenue	2013-2015 Agency Request	2013-2015 Governor's Recommended Budget	2013-2015 Legislatively Adopted	Explanation
Admin and Service Charges	State Agencies	14,866,727	9,575,624	15,093,347		The program provides leadership and services to maintain a reliable and qualified workforce for state agencies.

## Budget Narrative

<b>DETAIL OF FEE, LICENSE, OR ASSESSMENT REVENUE ENTERPRISE TECHNOLOGY SERVICES</b>						
Purpose or Type of Fee, License or Assessment	Who Pays?	2011-2013 Estimated Revenue	2013-2015 Agency Request	2013-2015 Governor's Recommended Budget	2013-2015 Legislatively Adopted	Explanation
Charges for Services	State Agencies and Other Government al Entities	176,209,037	180,077,316	161,626,178		Increased revenue due to generic growth and new revenue streams resulting from the DAS reorganization. New revenue streams include application services and desktop support.
Other Revenue – Passthrough costs to agency customers	State Agencies and Other Government al Entities	36,956,997	36,008,374	36,008,374		Hardware, Software, Voice and Network pass-through costs directly attributed to attributed to customers are based on historical trends.

## Budget Narrative

<b>DETAIL OF FEE, LICENSE, OR ASSESSMENT REVENUE ENTERPRISE ASSET MANAGEMENT</b>						
<b>Purpose or Type of Fee, License or Assessment</b>	<b>Who Pays?</b>	<b>2011-2013 Estimated Revenue</b>	<b>2013-2015 Agency Request</b>	<b>2013-2015 Governor's Recommended Budget</b>	<b>2013-2015 Legislatively Adopted</b>	<b>Explanation</b>
Rents & Royalties Uniform Rent	State Agencies	48,846,208	62,445,011	62,445,011		The Uniform Rent for state-owned buildings.
Rents & Royalties Service Agreements and Self Support Fees	State Agencies	28,163,367	32,170,422	32,170,422		Charges to agencies for self support buildings and service agreements.
Charges for Services Leasing Fees	State Agencies	1,685,838	1,756,108	1,756,108		Leasehold management charges to state agencies.
Charges for Services Project Management Fees	State Agencies	1,742,912	3,695,881	3,695,881		Charges to agencies requiring project management services.
Charges for Services Key Card Fees	State Agencies	124,745	128,750	128,750		Charges for state agency for key card services.
Other Revenues Monthly Parking Fees	State Agencies	6,086,265	6,086,265	6,086,265		Monthly revenues from state parking facilities.
Other Revenues Fines and Forfeitures	State Agencies	18,400	18,400	18,400		Monthly revenues from state parking facilities.
Admin and Service Charges Mall Plaza Debt Service	State Agencies	777,220	777,794	777,794		Charges to agencies to cover common area Mall Plaza debt service.

## Budget Narrative

<b>DETAIL OF FEE, LICENSE, OR ASSESSMENT REVENUE ENTERPRISE ASSET MANAGEMENT</b>						
Purpose or Type of Fee, License or Assessment	Who Pays?	2011-2013 Estimated Revenue	2013-2015 Agency Request	2013-2015 Governor's Recommended Budget	2013-2015 Legislatively Adopted	Explanation
Sales Income Property Management Fees	Purchasers of State Property	2,396,897	762,767	762,767		Charges for state agency management of state real estate sales.
Charges for Services State Motor Pool	State & Local Government	37,274,834	35,995,705	35,995,705		Decrease due to 09/11 reductions and closure of motor pools. Includes \$3,500,000 for POP #113 EAM Fleet Vehicle Purchase.
Charges for Services State Surplus Property	State & Local Government	3,288,998	3,200,000	3,200,000		Decrease due to reductions in vehicle sales.
Charges for Services Federal Surplus Property	State & Local Government	1,200,394	2,122,462	2,122,462		Increased sales volume of Federal excess.

## Budget Narrative

<b>DETAIL OF FEE, LICENSE, OR ASSESSMENT REVENUE ENTERPRISE GOODS AND SERVICES</b>						
<b>Purpose or Type of Fee, License or Assessment</b>	<b>Who Pays?</b>	<b>2011-2013 Estimated Revenue</b>	<b>2013-2015 Agency Request</b>	<b>2013-2015 Governor's Recommended Budget</b>	<b>2013-2015 Legislatively Adopted</b>	<b>Explanation</b>
Charges for Services Financial Business Systems	State & Local Government	11,775,155	13,348,664	13,348,664		Charge for services and controls in the management of statewide accounting, receivables, financial reporting, PERS and payroll functions.
Charges for Services Procurement Services	State & Local Government	12,562,290	18,427,095	17,266,994		Charge for procurement services, vendor fees, training, and ORCPP rates.
Charges for Services Shared Financial Services	Internal DAS Divisions & Client Agencies	39,742,590	37,274,834	7,834,225		Charge for accounting services and shared client service program.
Admin and Service Charges Property Insurance	State Agencies	25,902,462	20,131,997	20,969,567		Charge for property coverage.
Admin and Service Charges Liability Insurance	State Agencies	45,966,642	42,315,727	38,030,751		Charge for liability coverage.
Admin and Service Charges Worker's Compensation	State Agencies	58,902,000	45,809,189	47,265,935		Charge for workers' compensation
Admin and Service Charges Commercial Insurance	State Agencies	3,600,000	2,604,881	1,990,660		Charge to agencies that elect certain commercial insurance coverages.
Charges for Services Mail & Postage Services	State Agencies	6,511,597	6,511,597	6,511,597		Charges for mail processing and postage services.

## Budget Narrative

<b>DETAIL OF FEE, LICENSE, OR ASSESSMENT REVENUE ENTERPRISE GOODS AND SERVICES</b>						
<b>Purpose or Type of Fee, License or Assessment</b>	<b>Who Pays?</b>	<b>2011-2013 Estimated Revenue</b>	<b>2013-2015 Agency Request</b>	<b>2013-2015 Governor's Recommended Budget</b>	<b>2013-2015 Legislatively Adopted</b>	<b>Explanation</b>
Charges for Services Printing & Delivery Services	State & Local Government	27,156,256	27,671,196	27,671,196		Charges for design, delivery, bindery and printing services.

## Budget Narrative

<b>DETAIL OF FEE, LICENSE, OR ASSESSMENT REVENUE ENTERPRISE HUMAN RESOURCE SERVICES</b>						
<b>Purpose or Type of Fee, License or Assessment</b>	<b>Who Pays?</b>	<b>2011-2013 Estimated Revenue</b>	<b>2013-2015 Agency Request</b>	<b>2013-2015 Governor's Recommended Budget</b>	<b>2013-2015 Legislatively Adopted</b>	<b>Explanation</b>
Charges for Services	State Agencies	0	6,512,141	6,512,141		The program provides HR services and data systems to agencies to attract, select and maintain a reliable and qualified workforce for the state enterprise. Consists of staff & resources from what formerly was HRDS and DAS OPS Employee Services.

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

**Administrative Svcs, Dept of  
2013-15 Biennium**

**Agency Number: 10700  
Cross Reference Number: 10700-000-00-00-00000**

<b>Source</b>	<b>2009-11 Actuals</b>	<b>2011-13 Leg Adopted Budget</b>	<b>2011-13 Leg Approved Budget</b>	<b>2013-15 Agency Request Budget</b>	<b>2013-15 Governor's Budget</b>	<b>2013-15 Leg Adopted Budget</b>
<b>Lottery Funds</b>						
Interest Income	1,295,385	2,706,173	2,706,173	3,354,335	3,280,713	-
Transfer In - Intrafund	385,859,869	387,511,017	387,843,749	391,123,851	359,882,397	-
Tsfr From Lottery Comm	1,085,274,805	1,128,295,553	1,128,295,553	1,143,051,003	1,048,795,584	-
Transfer Out - Intrafund	(385,859,869)	(387,511,017)	(387,843,749)	(391,123,851)	(359,882,397)	-
Transfer to Counties	(29,533,651)	(37,118,584)	(37,118,584)	(37,990,381)	(37,415,971)	-
Tsfr To Governor, Office of the	(1,973,963)	(1,856,674)	(1,855,731)	(2,358,947)	(2,358,947)	-
Tsfr To OR Business Development	(99,300,859)	(132,764,013)	(135,083,678)	(93,697,542)	(128,962,813)	-
Tsfr To Veterans' Affairs	-	-	-	(2,093,963)	-	-
Tsfr To Energy, Dept of	(516,587)	(2,079,252)	(2,158,988)	(2,166,050)	(3,434,606)	-
Tsfr To Oregon Health Authority	(8,740,018)	(10,972,521)	(10,581,552)	(11,430,510)	(10,487,956)	-
Tsfr To Dept Post-Secondary Education	-	-	-	-	(38,561,051)	-
Tsfr To Student Access Comm	(5,998,657)	(401,543)	(401,543)	(338,584)	-	-
Tsfr To OR University System	(19,906,234)	(22,263,786)	(22,263,786)	(50,219,197)	-	-
Tsfr To Education, Dept of	(663,466,623)	(609,455,125)	(607,843,345)	(433,627,438)	(356,697,987)	-
Tsfr To Comm Coll/Wkfric Dev	(8,258,845)	(6,841,327)	(7,077,687)	(10,579,594)	-	-
Tsfr To Agriculture, Dept of	(20,445)	(18,354)	(18,354)	(18,354)	(18,354)	-
Tsfr To Forestry, Dept of	(1,370,183)	(2,450,334)	(2,529,510)	(2,523,132)	(3,316,986)	-
Tsfr To Parks and Rec Dept	(81,456,970)	(84,622,166)	(84,622,166)	(85,728,825)	(83,028,717)	-
Tsfr To Fish/Wildlife, Dept of	-	-	-	-	(242,676)	-
Tsfr To Water Resources Dept	(347,610)	(706,090)	(730,102)	(574,025)	(1,622,331)	-
Tsfr To Watershd Enhance Bd	(81,456,970)	(84,622,167)	(84,622,167)	(85,728,825)	(78,659,669)	-
Tsfr To Transportation, Dept	(79,058,568)	(69,455,197)	(72,125,048)	(97,261,416)	(95,169,421)	-
Tsfr To Housing and Com Svcs	(8,297,746)	(10,358,055)	(10,349,343)	(10,810,599)	(9,996,643)	-
<b>Total Lottery Funds</b>	<b>(\$3,133,739)</b>	<b>\$55,016,538</b>	<b>\$51,620,142</b>	<b>\$219,257,956</b>	<b>\$202,102,169</b>	<b>-</b>

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**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

**Administrative Svcs, Dept of  
2013-15 Biennium**

**Agency Number: 10700  
Cross Reference Number: 10700-000-00-00-00000**

<b>Source</b>	<b>2009-11 Actuals</b>	<b>2011-13 Leg Adopted Budget</b>	<b>2011-13 Leg Approved Budget</b>	<b>2013-15 Agency Request Budget</b>	<b>2013-15 Governor's Budget</b>	<b>2013-15 Leg Adopted Budget</b>
<b>Other Funds</b>						
Non-business Lic. and Fees	3,072,716	-	-	-	-	-
Central Service Charges	8,174,585	11,151,513	11,151,513	13,844,011	13,844,011	-
Charges for Services	267,615,951	217,197,396	217,197,396	349,283,770	329,559,584	-
Admin and Service Charges	69,718,504	367,085,693	367,085,693	371,168,789	365,626,173	-
Fines and Forfeitures	25,645	18,400	18,400	18,400	18,400	-
Rents and Royalties	94,337,334	77,009,575	77,009,575	94,615,433	94,615,433	-
Lottery Bonds	-	1,938,400	3,940,550	-	-	-
Revenue Bonds	42,771,565	-	-	-	-	-
Refunding Bonds	17,905,409	-	-	-	-	-
Cert of Participation	5,110,000	19,514,631	19,514,631	42,321,625	42,321,625	-
Interest Income	1,771,985	1,689,898	1,689,898	1,519,898	1,519,898	-
Sales Income	16,975,125	18,537,148	18,537,148	6,511,597	6,511,597	-
Cost of Goods Sold	1,987,834	-	-	-	-	-
Loan Repayments	54,612	-	-	-	-	-
Other Revenues	437,015,885	222,894,867	237,934,110	155,358,756	155,358,756	-
Transfer In - Intrafund	320,988,222	269,273,097	269,273,097	175,060,335	176,537,894	-
Transfer In Other	1,330,154	-	-	-	-	-
Tsfr From Revenue, Dept of	16,976,971	16,968,667	16,968,667	15,826,383	15,826,383	-
Tsfr From Judicial Dept	-	-	-	601,082	601,082	-
Tsfr From Or Liquor Cntrl Comm	42,919,530	47,444,400	47,444,400	53,649,805	54,792,909	-
Tsfr From Public Utility Comm	-	1,770,000	1,770,000	885,000	885,000	-
Transfer Out - Intrafund	(321,547,518)	(251,941,977)	(251,941,977)	(155,398,603)	(156,876,162)	-
Transfer to Agy-Res Equity	-	(4,240,664)	(4,240,664)	-	-	-
Transfer to Other	(2,593,173)	-	-	-	-	-

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**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-000-00-00-00000

Source	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Transfer to General Fund	(8,174,585)	(11,151,513)	(24,451,513)	(13,844,011)	(13,844,011)	-
Transfer to Cities	(50,831,720)	(55,138,734)	(55,138,734)	(60,772,997)	(60,772,997)	-
Transfer to Counties	(9,135,595)	(9,481,770)	(9,481,770)	(8,703,191)	(8,703,191)	-
Tsfr To Governor, Office of the	(1,126,416)	(1,171,416)	(1,171,416)	(975,000)	(975,000)	-
Tsfr To OR Business Development	(1,251,920)	(1,178,663)	(1,178,663)	(1,361,675)	(6,361,675)	-
Tsfr To Justice, Dept of	(980,381)	(1,165,593)	(1,165,593)	(1,269,870)	(1,269,870)	-
Tsfr To Judicial Dept	(2,064,900)	(2,343,470)	(2,343,470)	(2,392,804)	(2,392,804)	-
Tsfr To Govt Ethics Comm	(900,000)	(800,000)	(800,000)	(1,300,000)	(1,300,000)	-
Tsfr To Police, Dept of State	(1,533,475)	(3,157,008)	(3,157,008)	(3,341,813)	(3,341,813)	-
Tsfr To Oregon Health Authority	(6,500,000)	-	-	-	-	-
Tsfr To Dept Post-Secondary Education	-	-	-	-	(31,273,716)	-
Tsfr To State Library	(6,009,510)	(5,385,331)	(5,385,331)	(5,349,662)	(5,349,662)	-
Tsfr To Or Health & Science U	(28,509,000)	(31,634,760)	(31,634,760)	(31,273,716)	-	-
Tsfr To Transportation, Dept	-	-	(15,039,242)	-	-	-
<b>Total Other Funds</b>	<b>\$907,593,834</b>	<b>\$893,702,786</b>	<b>\$882,404,937</b>	<b>\$994,681,542</b>	<b>\$965,557,844</b>	<b>-</b>
<b>Federal Funds</b>						
Federal Funds	47,000	323,765,350	323,765,350	331,535,719	331,535,719	-
Transfer to Counties	-	(323,765,350)	(323,765,350)	(331,535,719)	(331,535,719)	-
<b>Total Federal Funds</b>	<b>\$47,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Nonlimited Other Funds</b>						
Charges for Services	131,420,181	130,771,109	130,771,109	108,256,913	108,256,913	-
Admin and Service Charges	19,506,427	22,853,913	22,853,913	23,996,609	23,996,609	-
Revenue Bonds	3,380,230	-	-	-	-	-
Refunding Bonds	694,640	-	-	-	-	-

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**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-000-00-00-00000

Source	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Nonlimited Other Funds</b>						
Interest Income	5,633,683	4,831,920	4,831,920	4,330,277	4,330,277	-
Sales Income	70,188	-	-	-	-	-
Transfer In - Intrafund	19,096,579	-	-	-	-	-
Transfer In Other	44,968,988	-	-	-	-	-
Transfer Out - Intrafund	(18,537,283)	(17,331,120)	(17,331,120)	(19,661,732)	(19,661,732)	-
Transfer to Agy-Res Equity	-	(254,246,184)	(254,246,184)	-	-	-
Transfer to Other	(44,717,715)	-	-	-	-	-
<b>Total Nonlimited Other Funds</b>	<b>\$161,515,918</b>	<b>(\$113,120,362)</b>	<b>(\$113,120,362)</b>	<b>\$116,922,067</b>	<b>\$116,922,067</b>	<b>-</b>
<b>Nonlimited Federal Funds</b>						
Federal Funds	-	1	1	-	-	-
<b>Total Nonlimited Federal Funds</b>	<b>-</b>	<b>\$1</b>	<b>\$1</b>	<b>-</b>	<b>-</b>	<b>-</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-007-00-00-00000

<i>Source</i>	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Transfer In Other	401,048	-	-	-	-	-
Transfer to Agy-Res Equity	-	(3,466,664)	(3,466,664)	-	-	-
Transfer to Other	(1,121,811)	-	-	-	-	-
<b>Total Other Funds</b>	<b>(\$720,763)</b>	<b>(\$3,466,664)</b>	<b>(\$3,466,664)</b>	-	-	-
<b>Nonlimited Other Funds</b>						
Transfer In Other	44,389,582	-	-	-	-	-
Transfer to Agy-Res Equity	-	(250,146,184)	(250,146,184)	-	-	-
Transfer to Other	(44,717,715)	-	-	-	-	-
<b>Total Nonlimited Other Funds</b>	<b>(\$328,133)</b>	<b>(\$250,146,184)</b>	<b>(\$250,146,184)</b>	-	-	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-008-00-00-00000

<i>Source</i>	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Transfer In Other	929,106	-	-	-	-	-
Transfer to Agy-Res Equity	-	(774,000)	(774,000)	-	-	-
Transfer to Other	(1,471,362)	-	-	-	-	-
<b>Total Other Funds</b>	<b>(\$542,256)</b>	<b>(\$774,000)</b>	<b>(\$774,000)</b>	-	-	-
<b>Nonlimited Other Funds</b>						
Transfer In Other	579,406	-	-	-	-	-
Transfer to Agy-Res Equity	-	(4,100,000)	(4,100,000)	-	-	-
<b>Total Nonlimited Other Funds</b>	<b>\$579,406</b>	<b>(\$4,100,000)</b>	<b>(\$4,100,000)</b>	-	-	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-030-00-00-00000

<i>Source</i>	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Charges for Services	422,092	622,836	622,836	467,207	467,207	-
Admin and Service Charges	4,130,327	4,703,473	4,703,473	10,028,483	10,025,127	-
Interest Income	22,288	-	-	-	-	-
Transfer In - Intrafund	2,515	2,950,435	2,950,435	513,874	513,874	-
Transfer Out - Intrafund	(299,424)	(443,005)	(443,005)	(227,437)	(227,437)	-
Tsfr To Governor, Office of the	(486,416)	(486,416)	(486,416)	(330,000)	(330,000)	-
<b>Total Other Funds</b>	<b>\$3,791,382</b>	<b>\$7,347,323</b>	<b>\$7,347,323</b>	<b>\$10,452,127</b>	<b>\$10,448,771</b>	<b>-</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-035-00-00-00000

<b>Source</b>	<b>2009-11 Actuals</b>	<b>2011-13 Leg Adopted Budget</b>	<b>2011-13 Leg Approved Budget</b>	<b>2013-15 Agency Request Budget</b>	<b>2013-15 Governor's Budget</b>	<b>2013-15 Leg Adopted Budget</b>
<b>Lottery Funds</b>						
Interest Income	9,599	-	-	-	-	-
Transfer In - Intrafund	1,352,767	-	-	-	-	-
Transfer Out - Intrafund	-	(1,361,248)	(1,361,248)	-	-	-
<b>Total Lottery Funds</b>	<b>\$1,362,366</b>	<b>(\$1,361,248)</b>	<b>(\$1,361,248)</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Other Funds</b>						
Charges for Services	7,942,281	6,652,218	6,652,218	266,577	266,577	-
Admin and Service Charges	15,941,099	16,752,913	16,752,913	12,746,624	12,743,869	-
Rents and Royalties	102,057	-	-	-	-	-
Cert of Participation	-	-	-	2,200,800	2,200,800	-
Interest Income	218,186	200,000	200,000	-	-	-
Sales Income	2,494,989	2,396,897	2,396,897	-	-	-
Transfer In - Intrafund	2,916,537	1,815,790	1,815,790	-	-	-
Transfer Out - Intrafund	(5,231,609)	(5,667,875)	(5,667,875)	(285,552)	(285,552)	-
<b>Total Other Funds</b>	<b>\$24,383,540</b>	<b>\$22,149,943</b>	<b>\$22,149,943</b>	<b>\$14,928,449</b>	<b>\$14,925,694</b>	<b>-</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-040-00-00-00000

<i>Source</i>	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Charges for Services	-	2,764,161	2,764,161	4,810,090	4,810,090	-
Admin and Service Charges	16,584,004	4,798,553	4,798,553	7,435,736	7,435,736	-
Interest Income	65,192	-	-	-	-	-
Transfer In - Intrafund	8,744,506	1,062,124	1,062,124	1,126,933	1,126,933	-
Tsfr From Public Utility Comm	-	-	-	885,000	885,000	-
Transfer Out - Intrafund	(9,002,074)	(1,390,791)	(1,390,791)	(1,326,527)	(1,326,527)	-
<b>Total Other Funds</b>	<b>\$16,391,628</b>	<b>\$7,234,047</b>	<b>\$7,234,047</b>	<b>\$12,931,232</b>	<b>\$12,931,232</b>	<b>-</b>



**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-045-00-00-00000

<i>Source</i>	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Charges for Services	1,274,556	2,356,762	2,356,762	-	-	-
Admin and Service Charges	14,709,492	14,866,727	14,866,727	15,102,738	15,093,347	-
Cert of Participation	-	-	-	40,120,825	40,120,825	-
Interest Income	84,134	150,000	150,000	150,000	150,000	-
Transfer In - Intrafund	22,396,916	12,307,117	12,307,117	9,199,206	9,199,206	-
Transfer Out - Intrafund	(11,937,100)	(3,910,502)	(3,910,502)	(9,481,057)	(9,481,057)	-
Tsfr To Governor, Office of the	(640,000)	(685,000)	(685,000)	(645,000)	(645,000)	-
<b>Total Other Funds</b>	<b>\$25,887,998</b>	<b>\$25,085,104</b>	<b>\$25,085,104</b>	<b>\$54,446,712</b>	<b>\$54,437,321</b>	<b>-</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-055-00-00-00000

Source	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Charges for Services	194,920,145	149,564,459	149,564,459	216,085,690	197,634,552	-
Admin and Service Charges	13,311,091	10,986,796	10,986,796	-	-	-
Interest Income	69,465	-	-	-	-	-
Sales Income	4,266,755	6,432,787	6,432,787	-	-	-
Cost of Goods Sold	1,987,834	-	-	-	-	-
Other Revenues	-	36,956,997	51,996,239	-	-	-
Transfer In - Intrafund	61,017,266	35,471,771	35,471,771	43,083,440	43,083,440	-
Tsfr From Public Utility Comm	-	1,770,000	1,770,000	-	-	-
Transfer Out - Intrafund	(54,171,295)	(38,171,641)	(38,171,641)	(47,123,106)	(47,123,106)	-
Tsfr To Transportation, Dept	-	-	(15,039,242)	-	-	-
<b>Total Other Funds</b>	<b>\$221,401,261</b>	<b>\$203,011,169</b>	<b>\$203,011,169</b>	<b>\$212,046,024</b>	<b>\$193,594,886</b>	<b>-</b>
<b>Federal Funds</b>						
Federal Funds	47,000	-	-	-	-	-
<b>Total Federal Funds</b>	<b>\$47,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-060-00-00-00000

Source	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Lottery Funds</b>						
Transfer In - Intrafund	320,068	-	-	-	-	-
<b>Total Lottery Funds</b>	<b>\$320,068</b>	-	-	-	-	-
<b>Other Funds</b>						
Non-business Lic. and Fees	3,072,716	-	-	-	-	-
Charges for Services	56,221,626	47,458,643	47,458,643	53,860,885	53,747,938	-
Admin and Service Charges	780,056	1,777,220	1,777,220	1,633,084	1,633,084	-
Fines and Forfeitures	25,645	18,400	18,400	18,400	18,400	-
Rents and Royalties	94,235,277	77,009,575	77,009,575	94,615,433	94,615,433	-
Refunding Bonds	17,905,409	-	-	-	-	-
Interest Income	282,603	360,000	360,000	410,000	410,000	-
Sales Income	2,279	-	-	-	-	-
Loan Repayments	54,612	-	-	-	-	-
Transfer In - Intrafund	51,116,027	34,061,402	34,061,402	1,445,824	1,445,824	-
Tsfr From Judicial Dept	-	-	-	601,082	601,082	-
Transfer Out - Intrafund	(90,925,417)	(56,890,102)	(56,890,102)	(57,804,429)	(59,281,988)	-
Tsfr To Police, Dept of State	(1,533,475)	(3,157,008)	(3,157,008)	-	-	-
<b>Total Other Funds</b>	<b>\$131,237,358</b>	<b>\$100,638,130</b>	<b>\$100,638,130</b>	<b>\$94,780,279</b>	<b>\$93,189,773</b>	-
<b>Nonlimited Other Funds</b>						
Transfer In - Intrafund	18,974,029	-	-	-	-	-
<b>Total Nonlimited Other Funds</b>	<b>\$18,974,029</b>	-	-	-	-	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-065-00-00-00000

Source	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Charges for Services	6,835,257	7,791,432	7,791,432	67,281,180	66,121,079	-
Admin and Service Charges	4,262,435	7,220,320	7,220,320	-	-	-
Interest Income	13,018	20,000	20,000	-	-	-
Sales Income	1,418	-	-	6,511,597	6,511,597	-
Transfer In - Intrafund	20,306,854	19,255,987	19,255,987	28,100,997	28,100,997	-
Transfer Out - Intrafund	(936,936)	(751,007)	(751,007)	(9,813,513)	(9,813,513)	-
Transfer to General Fund	-	-	(13,300,000)	-	-	-
<b>Total Other Funds</b>	<b>\$30,482,046</b>	<b>\$33,536,732</b>	<b>\$20,236,732</b>	<b>\$92,080,261</b>	<b>\$90,920,160</b>	<b>-</b>
<b>Nonlimited Other Funds</b>						
Charges for Services	131,420,181	130,771,109	130,771,109	108,256,913	108,256,913	-
Interest Income	5,548,893	4,831,920	4,831,920	4,330,277	4,330,277	-
Sales Income	70,188	-	-	-	-	-
Transfer Out - Intrafund	(18,425,110)	(17,331,120)	(17,331,120)	(19,661,732)	(19,661,732)	-
<b>Total Nonlimited Other Funds</b>	<b>\$118,614,152</b>	<b>\$118,271,909</b>	<b>\$118,271,909</b>	<b>\$92,925,458</b>	<b>\$92,925,458</b>	<b>-</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-070-00-00-00000

<i>Source</i>	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Charges for Services	-	-	-	6,512,141	6,512,141	-
Transfer In - Intrafund	-	-	-	210,016	210,016	-
Transfer Out - Intrafund	-	-	-	(431,077)	(431,077)	-
<b>Total Other Funds</b>	-	-	-	<b>\$6,291,080</b>	<b>\$6,291,080</b>	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-075-00-00-00000

<i>Source</i>	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Admin and Service Charges	-	1,317,603	1,317,603	-	-	-
Transfer In - Intrafund	-	-	-	4,711,409	4,711,409	-
<b>Total Other Funds</b>	-	<b>\$1,317,603</b>	<b>\$1,317,603</b>	<b>\$4,711,409</b>	<b>\$4,711,409</b>	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-088-00-00-00000

<i>Source</i>	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Transfer In - Intrafund	149,649	4,312,563	4,312,563	5,804,393	5,992,008	-
<b>Total Other Funds</b>	<b>\$149,649</b>	<b>\$4,312,563</b>	<b>\$4,312,563</b>	<b>\$5,804,393</b>	<b>\$5,992,008</b>	<b>-</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-089-00-00-00000

<i>Source</i>	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Cert of Participation	5,110,000	-	-	-	-	-
Transfer In - Intrafund	14,912,536	13,243,854	13,243,854	15,531,342	16,821,286	-
Transfer Out - Intrafund	(9,607,879)	-	-	-	-	-
<b>Total Other Funds</b>	<b>\$10,414,657</b>	<b>\$13,243,854</b>	<b>\$13,243,854</b>	<b>\$15,531,342</b>	<b>\$16,821,286</b>	-



**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-090-00-00-00000

Source	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Central Service Charges	8,174,585	11,151,513	11,151,513	13,844,011	13,844,011	-
Tsfr From Revenue, Dept of	16,976,971	16,968,667	16,968,667	15,826,383	15,826,383	-
Tsfr From Or Liquor Cntrl Comm	42,919,530	47,444,400	47,444,400	53,649,805	54,792,909	-
Transfer to General Fund	(8,174,585)	(11,151,513)	(11,151,513)	(13,844,011)	(13,844,011)	-
Transfer to Cities	(50,831,720)	(55,138,734)	(55,138,734)	(60,772,997)	(60,772,997)	-
Transfer to Counties	(9,135,595)	(9,481,770)	(9,481,770)	(8,703,191)	(8,703,191)	-
<b>Total Other Funds</b>	<b>(\$70,814)</b>	<b>(\$207,437)</b>	<b>(\$207,437)</b>	<b>-</b>	<b>\$1,143,104</b>	<b>-</b>
<b>Federal Funds</b>						
Federal Funds	-	323,765,350	323,765,350	331,535,719	331,535,719	-
Transfer to Counties	-	(323,765,350)	(323,765,350)	(331,535,719)	(331,535,719)	-
<b>Total Federal Funds</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Nonlimited Other Funds</b>						
Admin and Service Charges	19,506,427	22,853,913	22,853,913	23,996,609	23,996,609	-
<b>Total Nonlimited Other Funds</b>	<b>\$19,506,427</b>	<b>\$22,853,913</b>	<b>\$22,853,913</b>	<b>\$23,996,609</b>	<b>\$23,996,609</b>	<b>-</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-092-00-00-00000

Source	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Other Revenues	159,754,502	185,937,870	185,937,870	155,358,756	155,358,756	-
Transfer Out - Intrafund	(139,032,856)	(144,717,054)	(144,717,054)	(28,905,905)	(28,905,905)	-
Tsfr To Justice, Dept of	(980,381)	(1,165,593)	(1,165,593)	(1,269,870)	(1,269,870)	-
Tsfr To Oregon Health Authority	(6,500,000)	-	-	-	-	-
Tsfr To Dept Post-Secondary Education	-	-	-	-	(31,273,716)	-
Tsfr To Or Health & Science U	(28,509,000)	(31,634,760)	(31,634,760)	(31,273,716)	-	-
<b>Total Other Funds</b>	<b>(\$15,267,735)</b>	<b>\$8,420,463</b>	<b>\$8,420,463</b>	<b>\$93,909,265</b>	<b>\$93,909,265</b>	<b>-</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-093-00-00-00000

<i>Source</i>	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Admin and Service Charges	-	-	-	5,928,500	401,386	-
Transfer In - Intrafund	-	-	-	36,426,996	36,426,996	-
<b>Total Other Funds</b>	-	-	-	<b>\$42,355,496</b>	<b>\$36,828,382</b>	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-094-00-00-00000

<i>Source</i>	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Admin and Service Charges	-	304,662,088	304,662,088	304,547,670	304,547,670	-
Interest Income	2,178	959,898	959,898	959,898	959,898	-
Transfer In - Intrafund	-	144,717,054	144,717,054	28,905,905	28,905,905	-
<b>Total Other Funds</b>	<b>\$2,178</b>	<b>\$450,339,040</b>	<b>\$450,339,040</b>	<b>\$334,413,473</b>	<b>\$334,413,473</b>	<b>-</b>
<b>Nonlimited Federal Funds</b>						
Federal Funds	-	1	1	-	-	-
<b>Total Nonlimited Federal Funds</b>	<b>-</b>	<b>\$1</b>	<b>\$1</b>	<b>-</b>	<b>-</b>	<b>-</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-095-00-00-00000

Source	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Lottery Funds</b>						
Interest Income	763,912	1,100,000	1,100,000	2,000,000	2,000,000	-
Transfer In - Intrafund	-	1,361,248	1,361,248	-	-	-
Tsfr From Lottery Comm	1,085,274,805	1,128,295,553	1,128,295,553	1,143,051,003	1,048,795,584	-
Transfer Out - Intrafund	(367,863,899)	(384,945,139)	(385,277,871)	(390,108,100)	(358,921,862)	-
Transfer to Counties	(29,533,651)	(37,118,584)	(37,118,584)	(37,990,381)	(37,415,971)	-
Tsfr To Governor, Office of the	(1,973,963)	(1,856,674)	(1,855,731)	(2,358,947)	(2,358,947)	-
Tsfr To OR Business Development	(99,300,859)	(132,764,013)	(135,083,678)	(93,697,542)	(128,962,813)	-
Tsfr To Veterans' Affairs	-	-	-	(2,093,963)	-	-
Tsfr To Energy, Dept of	(516,587)	(2,079,252)	(2,158,988)	(2,166,050)	(3,434,606)	-
Tsfr To Oregon Health Authority	(8,740,018)	(10,972,521)	(10,581,552)	(11,430,510)	(10,487,956)	-
Tsfr To Dept Post-Secondary Education	-	-	-	-	(38,240,873)	-
Tsfr To OR University System	(19,906,234)	(22,263,786)	(22,263,786)	(50,219,197)	-	-
Tsfr To Education, Dept of	(462,776,275)	(422,553,039)	(420,941,259)	(433,219,193)	(356,237,097)	-
Tsfr To Comm Coll/Wkfrc Dev	(8,258,845)	(6,841,327)	(7,077,687)	(10,579,594)	-	-
Tsfr To Agriculture, Dept of	(20,445)	(18,354)	(18,354)	(18,354)	(18,354)	-
Tsfr To Forestry, Dept of	(1,370,183)	(2,450,334)	(2,529,510)	(2,523,132)	(3,316,986)	-
Tsfr To Parks and Rec Dept	-	-	-	-	(4,369,048)	-
Tsfr To Fish/Wildlife, Dept of	-	-	-	-	(242,676)	-
Tsfr To Water Resources Dept	(347,610)	(706,090)	(730,102)	(574,025)	(1,622,331)	-
Tsfr To Transportation, Dept	(79,058,568)	(69,455,197)	(72,125,048)	(97,261,416)	(95,169,421)	-
Tsfr To Housing and Com Svcs	(8,297,746)	(10,358,055)	(10,349,343)	(10,810,599)	(9,996,643)	-
<b>Total Lottery Funds</b>	<b>(\$1,926,166)</b>	<b>\$26,374,436</b>	<b>\$22,645,308</b>	-	-	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-096-00-00-00000

<i>Source</i>	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Lottery Funds</b>						
Interest Income	328,793	1,606,173	1,606,173	1,354,335	1,280,713	-
Transfer In - Intrafund	195,499,729	203,093,200	203,093,200	205,749,181	188,783,205	-
Transfer Out - Intrafund	(17,995,970)	(1,204,630)	(1,204,630)	(1,015,751)	(960,535)	-
Tsfr To Dept Post-Secondary Education	-	-	-	-	(320,178)	-
Tsfr To Student Access Comm	(5,998,657)	(401,543)	(401,543)	(338,584)	-	-
Tsfr To Education, Dept of	(180,699,184)	(182,239,000)	(182,239,000)	-	-	-
<b>Total Lottery Funds</b>	<b>(\$8,865,289)</b>	<b>\$20,854,200</b>	<b>\$20,854,200</b>	<b>\$205,749,181</b>	<b>\$188,783,205</b>	<b>-</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-097-00-00-00000

Source	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Lottery Funds</b>						
Interest Income	87,666	-	-	-	-	-
Transfer In - Intrafund	17,995,970	1,204,630	1,204,630	1,015,751	960,535	-
Tsfr To Education, Dept of	(19,991,164)	(4,663,086)	(4,663,086)	(408,245)	(460,890)	-
<b>Total Lottery Funds</b>	<b>(\$1,907,528)</b>	<b>(\$3,458,456)</b>	<b>(\$3,458,456)</b>	<b>\$607,506</b>	<b>\$499,645</b>	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-098-00-00-00000

Source	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Lottery Funds</b>						
Transfer In - Intrafund	162,913,940	169,244,333	169,244,333	171,457,650	157,319,338	-
Tsfr To Parks and Rec Dept	(81,456,970)	(84,622,166)	(84,622,166)	(85,728,825)	(78,659,669)	-
Tsfr To Watershd Enhance Bd	(81,456,970)	(84,622,167)	(84,622,167)	(85,728,825)	(78,659,669)	-
<b>Total Lottery Funds</b>	-	-	-	-	-	-



**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-099-00-00-00000

Source	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Lottery Funds</b>						
Interest Income	105,415	-	-	-	-	-
Transfer In - Intrafund	7,777,395	12,607,606	12,940,338	12,901,269	12,819,319	-
<b>Total Lottery Funds</b>	<b>\$7,882,810</b>	<b>\$12,607,606</b>	<b>\$12,940,338</b>	<b>\$12,901,269</b>	<b>\$12,819,319</b>	<b>-</b>
<b>Other Funds</b>						
Charges for Services	(6)	(13,115)	(13,115)	-	-	-
Admin and Service Charges	-	-	-	13,745,954	13,745,954	-
Lottery Bonds	-	1,938,400	3,940,550	-	-	-
Revenue Bonds	42,771,565	-	-	-	-	-
Cert of Participation	-	19,514,631	19,514,631	-	-	-
Interest Income	1,014,921	-	-	-	-	-
Sales Income	10,209,684	9,707,464	9,707,464	-	-	-
Other Revenues	277,261,383	-	1	-	-	-
Transfer In - Intrafund	139,385,416	75,000	75,000	-	-	-
Transfer Out - Intrafund	(402,928)	-	-	-	-	-
Tsfr To OR Business Development	(1,251,920)	(1,178,663)	(1,178,663)	(1,361,675)	(6,361,675)	-
Tsfr To Judicial Dept	(2,064,900)	(2,343,470)	(2,343,470)	(2,392,804)	(2,392,804)	-
Tsfr To Govt Ethics Comm	(900,000)	(800,000)	(800,000)	(1,300,000)	(1,300,000)	-
Tsfr To Police, Dept of State	-	-	-	(3,341,813)	(3,341,813)	-
Tsfr To State Library	(6,009,510)	(5,385,331)	(5,385,331)	(5,349,662)	(5,349,662)	-
<b>Total Other Funds</b>	<b>\$460,013,705</b>	<b>\$21,514,916</b>	<b>\$23,517,067</b>	<b>-</b>	<b>(\$5,000,000)</b>	<b>-</b>
<b>Nonlimited Other Funds</b>						
Revenue Bonds	3,380,230	-	-	-	-	-
Refunding Bonds	694,640	-	-	-	-	-
Interest Income	84,790	-	-	-	-	-

Agency Request  
2013-15 Biennium

Governor's Budget  
Page \_\_\_\_\_

Legislatively Adopted  
Detail of LF, OF, and FF Revenues - BPR012

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-099-00-00-00000

<i>Source</i>	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Nonlimited Other Funds</b>						
Transfer In - Intrafund	19,617	-	-	-	-	-
Transfer Out - Intrafund	(112,173)	-	-	-	-	-
<b>Total Nonlimited Other Funds</b>	<b>\$4,067,104</b>	-	-	-	-	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Administrative Svcs, Dept of  
2013-15 Biennium

Agency Number: 10700  
Cross Reference Number: 10700-189-00-00-00000

<i>Source</i>	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Transfer In - Intrafund	40,000	-	-	-	-	-
<b>Total Other Funds</b>	<b>\$40,000</b>	-	-	-	-	-
<b>Nonlimited Other Funds</b>						
Transfer In - Intrafund	102,933	-	-	-	-	-
<b>Total Nonlimited Other Funds</b>	<b>\$102,933</b>	-	-	-	-	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Department of Administrative Services		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
Source	Agency Request					Governor's Recommended	Legislatively Adopted	
Non-Business Lic & Fees	OF - L	3400	0210	3,072,716	-	-	-	-
Charges for Services	OF - N L	3200	0410	131,420,181	130,771,109	108,256,913	108,256,913	-
	OF - L	3400		72,695,806	67,632,937	133,198,080	131,925,032	-
Admin and Service Charges	OF - N L	3200	0415	19,506,427	22,853,913	23,996,609	23,996,609	-
	OF - L	3400		56,407,413	52,238,938	61,380,330	61,364,828	-
	OF - DS	3430		-	303,859,959	303,859,959	304,261,345	-
Fines & Forfeitures	OF - L	3400	0505	25,645	18,400	18,400	18,400	-
Rents & Royalties	OF - L	3400	0510	94,337,334	77,009,575	94,615,433	94,615,433	-
Lottery Bonds	OF - L	3400	0565	-	3,940,550	-	-	-
Revenue Bonds	OF - NL DS	3230	0570	3,441,623	-	-	-	-
	OF - L	3400		42,779,637	-	-	-	-
Refunding Bonds	OF - NL DS	3230	0575	694,640	-	-	-	-
	OF - DS	3430		22,172,164	6,432,787	-	-	-
Certificate of Participation	OF - CC	3020	0580	7,097,834	-	-	-	-
	OF - L	3400		-	19,514,631	42,321,625	42,321,625	-
Interest Income	LF - L	4400	0605	1,189,970	2,706,173	3,354,335	2,320,178	-
	LF - DS	4430		105,416	51,996,239	-	960,535	-
	OF - NL	3200		5,548,893	4,831,920	4,330,227	4,330,277	-
	OF - NL DS	3230		84,790	-	-	-	-
	OF - L	3400		734,025	1,689,898	1,519,898	1,519,898	-
	OF - DS	3430		1,015,495	-	-	-	-
Sales Income	OF - NL	3200	0705	70,188	-	-	-	-
	OF - L	3400		12,708,370	12,104,361	6,511,597	6,511,597	-
Cost of Goods Sold	OF - L	3400	0760	-	-	-	-	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Department of Administrative Services		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
Source	Agency Request					Governor's Recommended	Legislatively Adopted	
Loan Repayments	OF - L	3400	0925	54,612	-	-	-	-
Other Revenues	OF - L	3400	0975	16,903,463	12,349,876	9,731,232	9,731,232	-
	OF - DS	3430		437,015,885	176,206,638	145,627,524	145,627,524	-
Federal Funds	FF - DS	6230	0995	-	1	-	-	-
	FF - L	6400		-	323,765,350	331,535,719	331,535,719	-
Transfer In - Intrafund	LF - L	4400	1010	199,337,186	206,849,953	208,664,574	192,714,349	-
	LF - DS	4430		186,522,683	180,993,796	182,459,277	167,168,048	-
	OF - CI	3010		149,649	4,312,563	5,804,393	5,992,008	-
	OF - CC	3020		14,912,536	13,243,854	15,531,342	16,821,286	-
	OF - NL	3200		107,782	-	-	-	-
	OF - NL DS	3230		18,988,797	1,770,000	-	-	-
	OF - L	3400		49,440,171	40,382,216	45,323,259	45,323,259	-
	OF - DS	3430		195,468,600	175,862,693	65,317,901	65,317,901	-
	Tsfr From Dept of Revenue	OF - L	3400	1150	16,976,971	16,968,667	15,826,383	15,826,383
Tsfr From Lottery Commission	LF - L	4400	1177	869,218,662	887,851,926	870,673,807	789,363,615	-
	LF - DS	4430		161,884,848	202,271,986	225,254,090	212,308,863	-
Tsfr from Judicial Department	OF - L	3400	1198	-	-	601,082	601,082	-
Tsfr From Or Liquor Control Comm	OF - L	3400	1845	42,919,530	47,444,400	53,649,805	54,792,909	-
Tsfr From Public Utility Comm	OF - L	3400	1860	-	-	885,000	885,000	-
Transfer Out - Intrafund	LF - L	4400	2010	(380,567,164)	(377,298,916)	(381,137,975)	(350,033,687)	-
	LF - DS	4430		(5,292,705)	(10,212,101)	(9,985,876)	(9,848,710)	-
	OF - CC	3020		(9,607,879)	-	-	-	-
	OF - NL	3200		(18,425,110)	(17,331,120)	(19,661,732)	(19,661,732)	-
	OF - NL DS	3230		(112,173)	-	-	-	-
	OF - L	3400		(100,138,902)	(69,131,974)	(79,384,592)	(80,862,151)	-
	OF - DS	3430		(157,629,442)	(144,638,350)	(28,890,905)	(28,890,905)	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Department of Administrative Services		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
Source	Agency Request					Governor's Recommended	Legislatively Adopted	
Tsfr to Cities	OF - L	3400	2070	(50,831,720)	(55,138,734)	(60,772,997)	(60,772,997)	-
Tsfr to Counties	LF - L	4400	2080	(29,533,651)	(37,118,584)	(37,990,381)	(37,415,971)	-
	OF - L	3400		(9,135,595)	(9,481,770)	(8,703,191)	(8,703,191)	-
	FF - L	6400		-	(323,765,350)	(331,535,719)	(331,535,719)	-
Tsfr to Governor's Office	LF - L	4400	2121	(1,973,963)	(1,856,674)	(2,358,947)	(2,358,947)	-
	OF - L	3400		(1,126,416)	(1,171,416)	(975,000)	(975,000)	-
Tsfr to OR Business Development	LF - L	4400	2123	(30,982,222)	(53,564,851)	(37,137,277)	(72,668,541)	-
	LF - DS	4430		(68,318,637)	(79,199,162)	(56,560,265)	(56,294,272)	-
	OF - L	3400		(1,251,920)	(1,178,663)	(1,361,675)	(1,361,675)	-
	OF - DS	3430		-	-	-	(5,000,000)	-
Tsfr to Dept of Justice	OF - L	3400	2137	(980,381)	(1,165,593)	(1,269,870)	(1,269,870)	-
Tsfr to Judicial Dept	OF - L	3400	2198	(2,064,900)	(2,343,470)	(2,392,804)	(2,392,804)	-
Tsfr to Govt Ethics Comm	OF - L	3400	2199	(900,000)	(800,000)	(1,300,000)	(1,300,000)	-
Tsfr to State Police	OF - L	3400	2257	(1,533,475)	(3,157,008)	(3,341,813)	(3,341,813)	-
Tsfr to Veteran's Affairs	LF - DS	4430	2274	-	-	(2,093,963)	-	-
Tsfr to Dept of Energy	LF - DS	4430	2330	(516,587)	(2,079,252)	(2,166,050)	(3,434,606)	-
Tsfr to Oregon Health Authority	LF - L	4400	2443	(8,740,018)	(10,972,521)	(11,430,510)	(10,487,956)	-
	OF - L	3400		(6,500,000)	-	-	-	-
Tsfr to Dept Post-Secondary Education	LF - DS	4400	2523	-	-	-	(320,178)	-
	LF - DS	4430		-	-	-	(38,240,873)	-
	OF - L	3400		-	-	-	(65,416)	-
	OF-DS	3430		-	-	-	(31,208,300)	-
Tsfr to State Library	OF - L	3400	2543	(6,009,510)	(5,385,331)	(5,349,662)	(5,349,662)	-

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**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Department of Administrative Services		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
Source	Agency Request					Governor's Recommended	Legislatively Adopted	
Tsfr to Student Access Comm	LF - L	4400	2575	(5,998,657)	(401,543)	(338,584)	-	-
Tsfr to OR University System	LF - L	4400	2580	(9,665,082)	(8,825,680)	(11,430,510)	-	-
	LF - DS	4430		(10,241,152)	(13,438,106)	(38,788,687)	-	-
Tsfr to Dept of Education	LF - L	4400	2581	(609,121,349)	(556,980,287)	(391,169,853)	(314,547,564)	-
	LF - DS	4430		(54,345,274)	(52,474,838)	(42,457,585)	(42,150,423)	-
Tsfr to Comm Coll/Wkfrc Dev	LF - DS	4430	2586	(8,258,845)	(6,841,327)	(10,579,594)	-	-
Tsfr to OR Health & Science Univ	OF - L	3400	2590	-	(66,472)	(65,416)	-	-
	OF - DS	3430		(28,509,000)	(31,568,288)	(31,208,300)	-	-
Tsfr to Dept of Education	LF - L	4400	2603	(20,445)	(18,354)	(18,354)	(18,354)	-
Tsfr to Dept of Forestry	LF - DS	4430	2629	(1,370,183)	(2,450,334)	(2,523,132)	(3,316,986)	-
Tsfr to Parks and Rec	LF - L	4400	2634	-	-	-	(3,832,595)	-
	LF- DS	4430		(81,456,970)	(84,622,166)	(85,728,825)	(79,196,122)	-
Tsfr to Fish & Wildlife	LF- DS	4430	2635	-	-	-	(242,676)	-
Tsfr to Water Resources	LF - DS	4430	2690	(347,610)	(706,090)	(574,025)	(1,622,331)	-
Tsfr to Watershed Enhancement Board	LF - L	4400	2691	-	-	-	-	-
Tsfr to Dept of Transportation	LF - DS	4430	2730	(79,058,568)	(69,455,197)	(97,261,416)	(95,169,421)	-
	OF - L	3400		-	-	-	-	-
Tsfr to Housing & Comm Svcs	LF - DS	4430	2914	8,613,789	(7,739,412)	(10,810,599)	(9,996,643)	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

<b>Department of Administrative Services</b>		<b>ORBITS Revenue Account</b>	<b>2009-2011 Actual</b>	<b>2011-2013 Legislatively Approved</b>	<b>2013-2015</b>		
<b>Source</b>	<b>Fund</b>				<b>Agency Request</b>	<b>Governor's Recommended</b>	<b>Legislatively Adopted</b>
Lottery Funds Limited	4400		(6,856,733)	50,370,642	209,680,325	192,714,349	-
Lottery Funds Debt Service Limited	4430		3,722,995	4,978,628	9,577,631	10,348,355	-
Other Funds Cap Improvement	3010		149,649	4,312,563	5,804,393	5,992,008	-
Other Funds Cap Construction	3020		10,414,657	13,243,854	15,531,342	16,821,286	-
Other Funds Non-Limited	3200		138,228,361	141,125,822	116,922,017	116,922,067	-
Other Funds Non-Limited Debt Service	3230		23,036,284	-	-	-	-
Other Funds Limited	3400		211,671,339	199,655,375	300,665,104	299,042,099	-
Other Funds Debt Service Limited	3430		465,219,947	479,722,652	454,706,179	450,107,565	-
Federal Funds Debt Service Non-Limited	6230		-	1	-	-	-
Federal Funds Limited	6400		-	-	-	-	-
			<b>1,067,034,760</b>	<b>1,044,393,709</b>	<b>1,324,933,015</b>	<b>1,285,542,615</b>	<b>-</b>



Agencywide Revenues and Disbursements Summary  
2013-15 Biennium

Version: Y-01-Governor's Budget

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>BEGINNING BALANCE</b>						
<b>0025 Beginning Balance</b>						
Lottery Funds	95,316,094	288,131,702	288,131,702	231,360,997	231,360,997	-
Other Funds	490,221,846	454,043,283	454,043,283	249,396,443	249,396,443	-
All Funds	585,537,940	742,174,985	742,174,985	480,757,440	480,757,440	-
<b>0030 Beginning Balance Adjustment</b>						
Lottery Funds	891,471	(96,365,059)	(96,317,319)	(171,420,013)	(178,553,496)	-
Other Funds	(150,361,294)	44,230,073	44,230,073	41,557,865	46,809,164	-
All Funds	(149,469,823)	(52,134,986)	(52,087,246)	(129,862,148)	(131,744,332)	-
<b>TOTAL BEGINNING BALANCE</b>						
Lottery Funds	96,207,565	191,766,643	191,814,383	59,940,984	52,807,501	-
Other Funds	339,860,552	498,273,356	498,273,356	290,954,308	296,205,607	-
<b>TOTAL BEGINNING BALANCE</b>	<b>\$436,068,117</b>	<b>\$690,039,999</b>	<b>\$690,087,739</b>	<b>\$350,895,292</b>	<b>\$349,013,108</b>	<b>-</b>
<b>REVENUE CATEGORIES</b>						
<b>GENERAL FUND APPROPRIATION</b>						
<b>0050 General Fund Appropriation</b>						
General Fund	13,337,523	8,172,526	8,411,014	7,097,809	10,591,310	-
<b>LICENSES AND FEES</b>						
<b>0210 Non-business Lic. and Fees</b>						
Other Funds	3,072,716	-	-	-	-	-
<b>CHARGES FOR SERVICES</b>						

Agencywide Revenues and Disbursements Summary  
2013-15 Biennium

Version: Y-01-Governor's Budget

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>0405 Central Service Charges</b>						
Other Funds	8,174,585	11,151,513	11,151,513	13,844,011	13,844,011	-
<b>0410 Charges for Services</b>						
Other Funds	399,036,132	347,968,505	347,968,505	457,540,683	437,816,497	-
<b>0415 Admin and Service Charges</b>						
Other Funds	89,224,931	389,939,606	389,939,606	395,165,398	389,622,782	-
<b>TOTAL CHARGES FOR SERVICES</b>						
Other Funds	496,435,648	749,059,624	749,059,624	866,550,092	841,283,290	-
<b>FINES, RENTS AND ROYALTIES</b>						
<b>0505 Fines and Forfeitures</b>						
Other Funds	25,645	18,400	18,400	18,400	18,400	-
<b>0510 Rents and Royalties</b>						
Other Funds	94,337,334	77,009,575	77,009,575	94,615,433	94,615,433	-
<b>TOTAL FINES, RENTS AND ROYALTIES</b>						
Other Funds	94,362,979	77,027,975	77,027,975	94,633,833	94,633,833	-
<b>BOND SALES</b>						
<b>0565 Lottery Bonds</b>						
Other Funds	-	1,938,400	3,940,550	-	-	-
<b>0570 Revenue Bonds</b>						
Other Funds	46,151,795	-	-	-	-	-
<b>0575 Refunding Bonds</b>						

Agencywide Revenues and Disbursements Summary  
2013-15 Biennium

Version: Y-01-Governor's Budget

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	18,600,049	-	-	-	-	-
<b>0580 Cert of Participation</b>						
Other Funds	5,110,000	19,514,631	19,514,631	42,321,625	42,321,625	-
<b>TOTAL BOND SALES</b>						
Other Funds	69,861,844	21,453,031	23,455,181	42,321,625	42,321,625	-
<b>INTEREST EARNINGS</b>						
<b>0605 Interest Income</b>						
Lottery Funds	1,295,385	2,706,173	2,706,173	3,354,335	3,280,713	-
Other Funds	7,405,668	6,521,818	6,521,818	5,850,175	5,850,175	-
All Funds	8,701,053	9,227,991	9,227,991	9,204,510	9,130,888	-
<b>SALES INCOME</b>						
<b>0705 Sales Income</b>						
Other Funds	17,045,313	18,537,148	18,537,148	6,511,597	6,511,597	-
<b>COST OF GOODS SOLD</b>						
<b>0760 Cost of Goods Sold</b>						
Other Funds	1,987,834	-	-	-	-	-
<b>LOAN REPAYMENT</b>						
<b>0925 Loan Repayments</b>						
Other Funds	54,612	-	-	-	-	-
<b>OTHER</b>						
<b>0975 Other Revenues</b>						

Agencywide Revenues and Disbursements Summary  
2013-15 Biennium

Version: Y-01-Governor's Budget

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	437,015,885	222,894,867	237,934,110	155,358,756	155,358,756	-
<b>FEDERAL FUNDS REVENUE</b>						
<b>0995 Federal Funds</b>						
Federal Funds	47,000	323,765,351	323,765,351	331,535,719	331,535,719	-
<b>TRANSFERS IN</b>						
<b>1010 Transfer In - Intrafund</b>						
Lottery Funds	385,859,869	387,511,017	387,843,749	391,123,851	359,882,397	-
Other Funds	340,084,801	269,273,097	269,273,097	175,060,335	176,537,894	-
All Funds	725,944,670	656,784,114	657,116,846	566,184,186	536,420,291	-
<b>1050 Transfer In Other</b>						
Other Funds	46,299,142	-	-	-	-	-
<b>1150 Tsfr From Revenue, Dept of</b>						
Other Funds	16,976,971	16,968,667	16,968,667	15,826,383	15,826,383	-
<b>1177 Tsfr From Lottery Comm</b>						
Lottery Funds	1,085,274,805	1,128,295,553	1,128,295,553	1,143,051,003	1,048,795,584	-
<b>1198 Tsfr From Judicial Dept</b>						
Other Funds	-	-	-	601,082	601,082	-
<b>1845 Tsfr From Or Liquor Cntrl Comm</b>						
Other Funds	42,919,530	47,444,400	47,444,400	53,649,805	54,792,909	-
<b>1860 Tsfr From Public Utility Comm</b>						
Other Funds	-	1,770,000	1,770,000	885,000	885,000	-

Agencywide Revenues and Disbursements Summary  
2013-15 Biennium

Version: Y-01-Governor's Budget

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>TOTAL TRANSFERS IN</b>						
Lottery Funds	1,471,134,674	1,515,806,570	1,516,139,302	1,534,174,854	1,408,677,981	-
Other Funds	446,280,444	335,456,164	335,456,164	246,022,605	248,643,268	-
<b>TOTAL TRANSFERS IN</b>	<b>\$1,917,415,118</b>	<b>\$1,851,262,734</b>	<b>\$1,851,595,466</b>	<b>\$1,780,197,459</b>	<b>\$1,657,321,249</b>	<b>-</b>
<b>TOTAL REVENUES</b>						
General Fund	13,337,523	8,172,526	8,411,014	7,097,809	10,591,310	-
Lottery Funds	1,472,430,059	1,518,512,743	1,518,845,475	1,537,529,189	1,411,958,694	-
Other Funds	1,573,522,943	1,430,950,627	1,447,992,020	1,417,248,683	1,394,602,544	-
Federal Funds	47,000	323,765,351	323,765,351	331,535,719	331,535,719	-
<b>TOTAL REVENUES</b>	<b>\$3,059,337,525</b>	<b>\$3,281,401,247</b>	<b>\$3,299,013,860</b>	<b>\$3,293,411,400</b>	<b>\$3,148,688,267</b>	<b>-</b>
<b>TRANSFERS OUT</b>						
<b>2010 Transfer Out - Intrafund</b>						
Lottery Funds	(385,859,869)	(387,511,017)	(387,843,749)	(391,123,851)	(359,882,397)	-
Other Funds	(340,084,801)	(269,273,097)	(269,273,097)	(175,060,335)	(176,537,894)	-
All Funds	(725,944,670)	(656,784,114)	(657,116,846)	(566,184,186)	(536,420,291)	-
<b>2030 Transfer to Agy-Res Equity</b>						
Other Funds	-	(258,486,848)	(258,486,848)	-	-	-
<b>2050 Transfer to Other</b>						
Other Funds	(47,310,888)	-	-	-	-	-
<b>2060 Transfer to General Fund</b>						
Other Funds	(8,174,585)	(11,151,513)	(24,451,513)	(13,844,011)	(13,844,011)	-

Agencywide Revenues and Disbursements Summary  
2013-15 Biennium

Version: Y-01-Governor's Budget

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>2070 Transfer to Cities</b>						
Other Funds	(50,831,720)	(55,138,734)	(55,138,734)	(60,772,997)	(60,772,997)	-
<b>2080 Transfer to Counties</b>						
Lottery Funds	(29,533,651)	(37,118,584)	(37,118,584)	(37,990,381)	(37,415,971)	-
Other Funds	(9,135,595)	(9,481,770)	(9,481,770)	(8,703,191)	(8,703,191)	-
Federal Funds	-	(323,765,350)	(323,765,350)	(331,535,719)	(331,535,719)	-
All Funds	(38,669,246)	(370,365,704)	(370,365,704)	(378,229,291)	(377,654,881)	-
<b>2121 Tsfr To Governor, Office of the</b>						
Lottery Funds	(1,973,963)	(1,856,674)	(1,855,731)	(2,358,947)	(2,358,947)	-
Other Funds	(1,126,416)	(1,171,416)	(1,171,416)	(975,000)	(975,000)	-
All Funds	(3,100,379)	(3,028,090)	(3,027,147)	(3,333,947)	(3,333,947)	-
<b>2123 Tsfr To OR Business Development</b>						
Lottery Funds	(99,300,859)	(132,764,013)	(135,083,678)	(93,697,542)	(128,962,813)	-
Other Funds	(1,251,920)	(1,178,663)	(1,178,663)	(1,361,675)	(6,361,675)	-
All Funds	(100,552,779)	(133,942,676)	(136,262,341)	(95,059,217)	(135,324,488)	-
<b>2137 Tsfr To Justice, Dept of</b>						
Other Funds	(980,381)	(1,165,593)	(1,165,593)	(1,269,870)	(1,269,870)	-
<b>2198 Tsfr To Judicial Dept</b>						
Other Funds	(2,064,900)	(2,343,470)	(2,343,470)	(2,392,804)	(2,392,804)	-
<b>2199 Tsfr To Govt Ethics Comm</b>						
Other Funds	(900,000)	(800,000)	(800,000)	(1,300,000)	(1,300,000)	-

Agencywide Revenues and Disbursements Summary  
2013-15 Biennium

Version: Y-01-Governor's Budget

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>2257 Tsfr To Police, Dept of State</b>						
Other Funds	(1,533,475)	(3,157,008)	(3,157,008)	(3,341,813)	(3,341,813)	-
<b>2274 Tsfr To Veterans' Affairs</b>						
Lottery Funds	-	-	-	(2,093,963)	-	-
<b>2330 Tsfr To Energy, Dept of</b>						
Lottery Funds	(516,587)	(2,079,252)	(2,158,988)	(2,166,050)	(3,434,606)	-
<b>2443 Tsfr To Oregon Health Authority</b>						
Lottery Funds	(8,740,018)	(10,972,521)	(10,581,552)	(11,430,510)	(10,487,956)	-
Other Funds	(6,500,000)	-	-	-	-	-
All Funds	(15,240,018)	(10,972,521)	(10,581,552)	(11,430,510)	(10,487,956)	-
<b>2523 Tsfr To Dept Post-Secondary Education</b>						
Lottery Funds	-	-	-	-	(38,561,051)	-
Other Funds	-	-	-	-	(31,273,716)	-
All Funds	-	-	-	-	(69,834,767)	-
<b>2543 Tsfr To State Library</b>						
Other Funds	(6,009,510)	(5,385,331)	(5,385,331)	(5,349,662)	(5,349,662)	-
<b>2575 Tsfr To Student Access Comm</b>						
Lottery Funds	(5,998,657)	(401,543)	(401,543)	(338,584)	-	-
<b>2580 Tsfr To OR University System</b>						
Lottery Funds	(19,906,234)	(22,263,786)	(22,263,786)	(50,219,197)	-	-
<b>2581 Tsfr To Education, Dept of</b>						

Agencywide Revenues and Disbursements Summary  
2013-15 Biennium

Version: Y-01-Governor's Budget

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Lottery Funds	(663,466,623)	(609,455,125)	(607,843,345)	(433,627,438)	(356,697,987)	-
<b>2586 Tsfr To Comm Coll/Wkfrc Dev</b>						
Lottery Funds	(8,258,845)	(6,841,327)	(7,077,687)	(10,579,594)	-	-
<b>2590 Tsfr To Or Health &amp; Science U</b>						
Other Funds	(28,509,000)	(31,634,760)	(31,634,760)	(31,273,716)	-	-
<b>2603 Tsfr To Agriculture, Dept of</b>						
Lottery Funds	(20,445)	(18,354)	(18,354)	(18,354)	(18,354)	-
<b>2629 Tsfr To Forestry, Dept of</b>						
Lottery Funds	(1,370,183)	(2,450,334)	(2,529,510)	(2,523,132)	(3,316,986)	-
<b>2634 Tsfr To Parks and Rec Dept</b>						
Lottery Funds	(81,456,970)	(84,622,166)	(84,622,166)	(85,728,825)	(83,028,717)	-
<b>2635 Tsfr To Fish/Wildlife, Dept of</b>						
Lottery Funds	-	-	-	-	(242,676)	-
<b>2690 Tsfr To Water Resources Dept</b>						
Lottery Funds	(347,610)	(706,090)	(730,102)	(574,025)	(1,622,331)	-
<b>2691 Tsfr To Watershd Enhance Bd</b>						
Lottery Funds	(81,456,970)	(84,622,167)	(84,622,167)	(85,728,825)	(78,659,669)	-
<b>2730 Tsfr To Transportation, Dept</b>						
Lottery Funds	(79,058,568)	(69,455,197)	(72,125,048)	(97,261,416)	(95,169,421)	-
Other Funds	-	-	(15,039,242)	-	-	-
All Funds	(79,058,568)	(69,455,197)	(87,164,290)	(97,261,416)	(95,169,421)	-



Agencywide Revenues and Disbursements Summary  
2013-15 Biennium

Version: Y-01-Governor's Budget

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>2914 Tsfr To Housing and Com Svcs</b>						
Lottery Funds	(8,297,746)	(10,358,055)	(10,349,343)	(10,810,599)	(9,996,643)	-
<b>TOTAL TRANSFERS OUT</b>						
Lottery Funds	(1,475,563,798)	(1,463,496,205)	(1,467,225,333)	(1,318,271,233)	(1,209,856,525)	-
Other Funds	(504,413,191)	(650,368,203)	(678,707,445)	(305,645,074)	(312,122,633)	-
Federal Funds	-	(323,765,350)	(323,765,350)	(331,535,719)	(331,535,719)	-
<b>TOTAL TRANSFERS OUT</b>	<b>(\$1,979,976,989)</b>	<b>(\$2,437,629,758)</b>	<b>(\$2,469,698,128)</b>	<b>(\$1,955,452,026)</b>	<b>(\$1,853,514,877)</b>	-
<b>AVAILABLE REVENUES</b>						
General Fund	13,337,523	8,172,526	8,411,014	7,097,809	10,591,310	-
Lottery Funds	93,073,826	246,783,181	243,434,525	279,198,940	254,909,670	-
Other Funds	1,408,970,304	1,278,855,780	1,267,557,931	1,402,557,917	1,378,685,518	-
Federal Funds	47,000	1	1	-	-	-
<b>TOTAL AVAILABLE REVENUES</b>	<b>\$1,515,428,653</b>	<b>\$1,533,811,488</b>	<b>\$1,519,403,471</b>	<b>\$1,688,854,666</b>	<b>\$1,644,186,498</b>	-
<b>EXPENDITURES</b>						
General Fund	13,199,411	8,172,526	8,411,014	7,097,809	10,591,310	-
Lottery Funds	8,203,164	11,740,796	12,073,528	12,546,578	12,546,578	-
Other Funds	1,023,991,813	1,020,536,431	1,018,161,488	1,052,325,934	1,004,135,575	-
Federal Funds	47,000	1	1	-	-	-
<b>TOTAL EXPENDITURES</b>	<b>\$1,045,441,388</b>	<b>\$1,040,449,754</b>	<b>\$1,038,646,031</b>	<b>\$1,071,970,321</b>	<b>\$1,027,273,463</b>	-
<b>REVERSIONS</b>						
<b>9900 Reversions</b>						

Agencywide Revenues and Disbursements Summary  
2013-15 Biennium

Version: Y-01-Governor's Budget

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
General Fund	(138,112)	-	-	-	-	-
<b>ENDING BALANCE</b>						
Lottery Funds	84,870,662	235,042,385	231,360,997	266,652,362	242,363,092	-
Other Funds	384,978,491	258,319,349	249,396,443	350,231,983	374,549,943	-
<b>TOTAL ENDING BALANCE</b>	<b>\$469,849,153</b>	<b>\$493,361,734</b>	<b>\$480,757,440</b>	<b>\$616,884,345</b>	<b>\$616,913,035</b>	<b>-</b>

## ORBITS Budget Narrative

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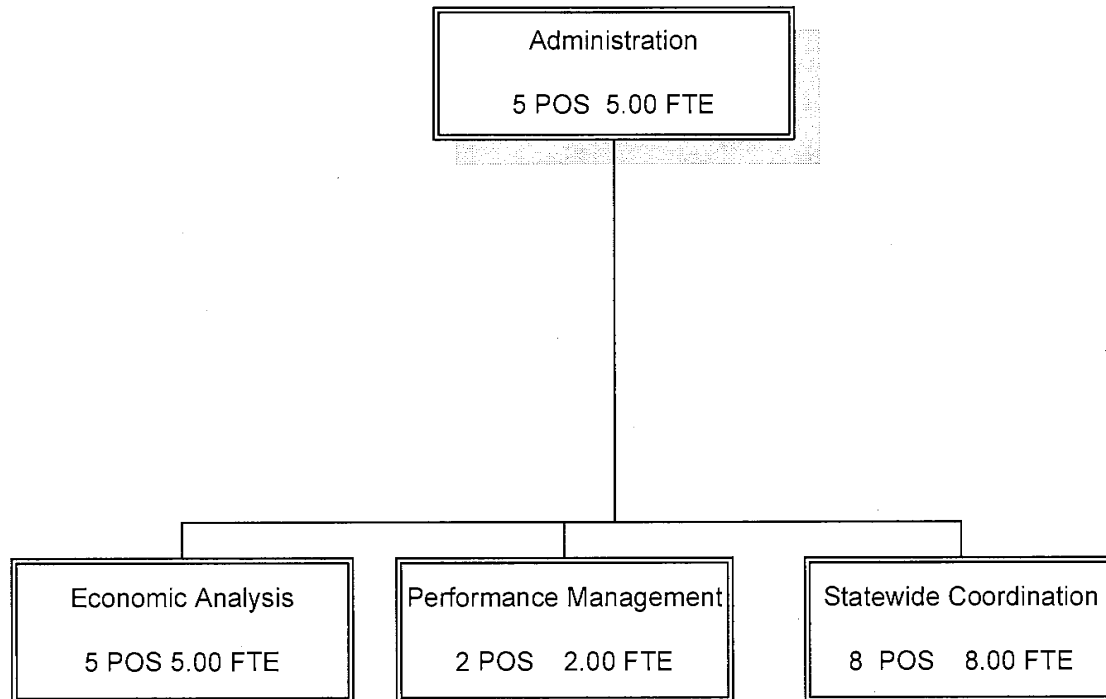
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# Budget Narrative

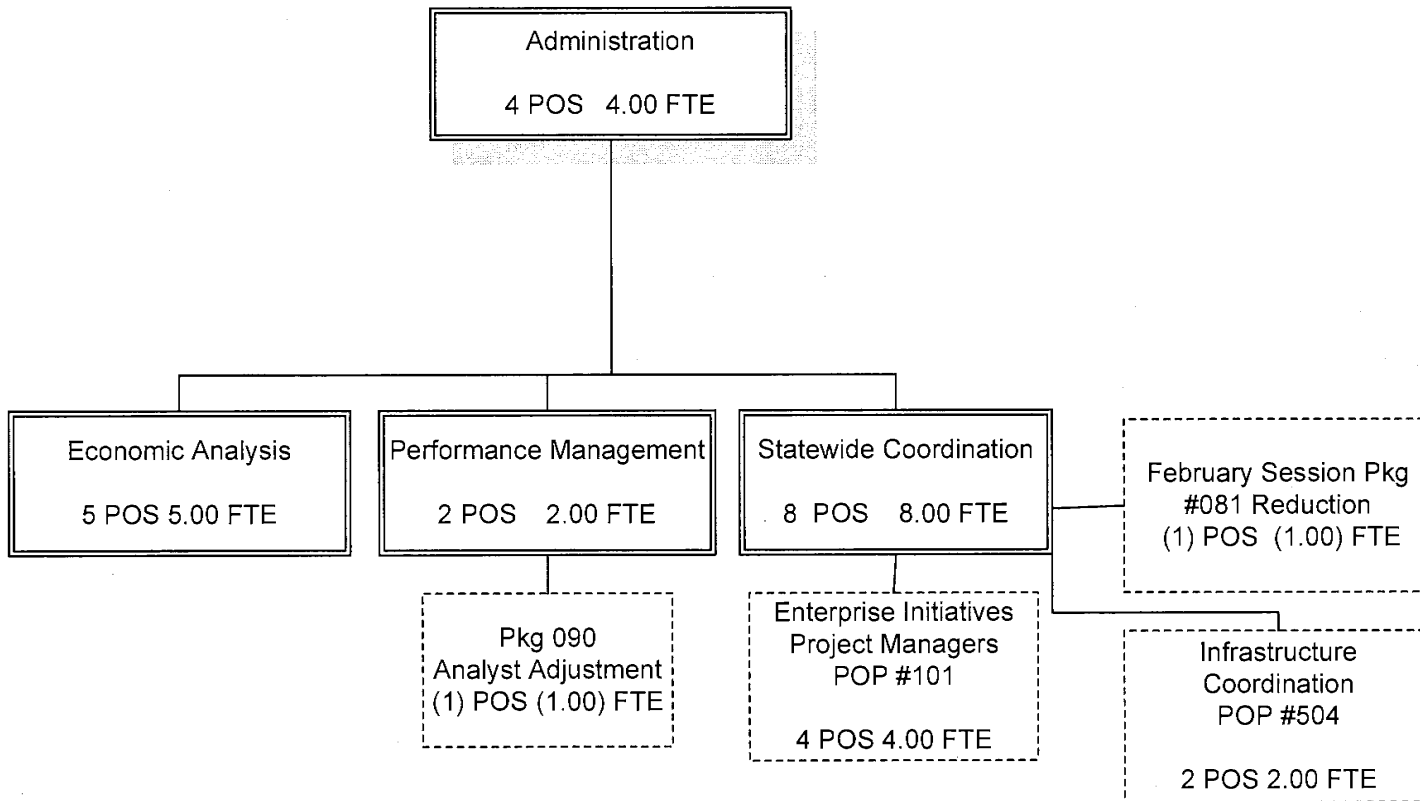
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**Chief Operating Office  
Organization Chart  
2011-2013  
20 POS 20.00 FTE**



# Budget Narrative

**Chief Operating Office  
Organization Chart  
2013-2015  
23 POS 23.00 FTE**



# Budget Narrative

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## Chief Operating Office (COO)

### **Mission Statement and Statutory Authority:**

ORS 184.305 to 184.351 and Chapters 283 and 291 establish the Department of Administrative Services (DAS) and authorize its general activities. Other chapters authorize specific programs.

The Department of Administrative Services (DAS) consists of nine divisions whose common goal is to achieve excellence in state government.

DAS is the central administrative agency of state government. The Chief Operating Office provides leadership and support: 1) to help the Governor and Legislators make decisions by maintaining effective decision-making processes and by providing accurate information; 2) to make state government effective and efficient by creating and maintaining a consistent management framework, and by holding agencies accountable for managing within this framework; 3) to support state agencies with the kind of consistent, high-quality services that a central government agency can deliver.

### **Division Plans:**

Chief Operating Office plans link to the goals and strategies in the *DAS Strategic Plan* (see agency summary).

### **Program Unit Narratives:**

The **Chief Operating Office** leads the Department of Administrative Services and provides statewide operations and policy leadership. The COO coordinates work teams and initiatives that cross jurisdictional and agency boundaries with a goal of achieving transformative, long-term change and developing an agile organization that is able to meet current and future challenges.

The COO convenes the Enterprise Leadership Team, consisting of agency directors from the twenty largest state agencies and representatives of the four statewide elected officials, to identify and resolve operational issues across the enterprise of state government to support more efficient and effective operations.

The Chief Operating Office serves state agencies with the following functions:

Leadership of the Department of Administrative Services – ensures the delivery of quality services to state agencies and the excellence, effectiveness and diversity of the Department’s workforce.

**Statewide Coordination** – coordinates implementation of enterprise initiatives (like the 10-Year Plan for Oregon); coordination of communication and public affairs; and coordination of statewide legislative activity.

**Performance Management** – a new function that repurposes the statewide internal audit coordination function to develop a performance management framework to support the outcomes of the 10-Year Plan. This work also responds to the direction provided in Senate Bill 676 (2011), which requires the Governor to create a comprehensive plan for outcomes based strategies for all Executive Branch departments, including descriptions of continuous improvement, performance management and performance measurement strategies. Additionally, provides independent, objective assurance and consulting activity designed to improve the operations of the department. DAS is also required by statutes to coordinate statewide internal audit activities.

**Office of Economic Analysis (OEA)** - provides the state’s economic, demographic and revenue forecast each quarter and the criminal justice population forecasts twice a year. OEA is also responsible for the Highway Cost Allocation Study, which allocates the costs of

# Budget Narrative

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constructing and maintaining the state highway system among different vehicle weights and classes.

## **Revenue Forecast Narrative:**

A portion of the OEA program is funded through service charges to other agencies. These service charges are based on a flat rate per full-time equivalent position. The Oregon Department of Transportation pays for the Highway Cost Allocation Study. A General Fund appropriation finances costs associated with prison population forecasting.

## **Program Justification and Link to 10-Year Outcome**

The COO plays a crucial role in developing statewide solutions and providing policy leadership to move the entire state towards achievement of 10-year plan outcomes. The COO brings together state agencies to develop long term strategic policies, statewide initiatives, performance management, communication and budget processes through the convening of the Enterprise Leadership Team. This shared leadership model creates a mechanism for governance and management of state government as an enterprise.

The COO directly contributes to the long-term improvement of government operations and public engagement strategies specifically through internal service delivery improvements at DAS and leadership of the Improving Government outcome area steering team.

## **2013-2018 Six-Year Plan Goals:**

- Implement the 10-Year Plan for Oregon
- Effective, high-quality governance and oversight for state government operations
- Alignment between Governor's Office and Agency Directors in support of implementation of policy direction.

## **2013-15 Two-Year Plan Strategies:**

- Utilize a shared leadership model of decision making for addressing statewide operational issues through the work of the Enterprise Leadership Team and the Improving Government Steering Committee.
- Implement enterprise initiatives in support of Improving Government (i.e. Lease Renegotiation, Enterprise Email System, Human Resource Information System Replacement, etc).
- Support statewide service delivery redesign initiatives including regulatory streamlining, county payments, and agency service delivery change initiatives.
- Develop a performance management framework for tracking accountability to the success metrics of the 10-Year Plan.
- Develop information portals to assist with the collaboration opportunities across state agencies.
- Update forecasting methodologies with new industry standards.

## **2011-13 Accomplishments:**

- Convened the Enterprise Leadership Team and the Improving Government Steering Committee to identify and resolve issues that impact state government operations.
- Facilitated creation of 10-Year Plan for Oregon – defining outcomes that Oregonians expect from government, high level strategies to achieve those outcomes, and societal level metrics to measure progress.
- Completed Operation: Oregon project to define potential efficiency projects in administrative support areas of state agency operations.
- Developed portfolio of Improving Government projects to implement efficiency ideas generated from Operation: Oregon.
- Completed Administrative Baseline 2.0 – defining performance data for state government administrative functions.



# Budget Narrative

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## **Essential Packages**

**Purpose:** The essential packages present budget adjustments needed to bring the base budget to Current Service Level (CSL), the calculated cost of continuing legislatively approved programs into the 2013-2015 biennium.

**How Achieved:** See explanations under captions "Vacancy Factor and Non-PICS Personal Services", "Cost of Phased-in and Phased-out Programs and One-time Costs," "Inflation and Price List Adjustments" and "Technical Adjustments".

**Staffing Impact:** None

**Revenue Sources:** The revenue source(s) are the same as for the program unit as a whole, unless described differently within an individual package.

### **010 Non-PICS Psnl Svc / Vacancy Factor Package Description**

Package 010 includes standard inflation of 2.4% on such non-PICS items as temporaries, overtime, shift differential, all other differentials, and unemployment compensation, including the OPE associated with them, such as Mass Transit, Vacancy Savings and Pension Obligation Bond Debt Service.

The total amount of this package is \$93,136; \$92,570 Other Funds Limited and \$566 General Funds.

### **031 Inflation & Price List Adjustments Package Description**

This package is based on the standard 2.4% inflation factor for Services & Supplies and Capital Outlay items, except for State Government Service Charges, Facilities Rent, and Attorney General Charges, which are based on the 2013-2015 Price List of Goods and Services.

The total amount of this package is \$787,573; \$789,057 Other Funds Limited and (\$1,484) General Funds.

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### **060 Technical Adjustments Package Description**

Package 060 includes the amount for technical budget adjustments.

The DAS Transformation required movement of 2011-2013 LAB budgets to its new enterprises. To better align budgets with the new enterprise adjustments from the COO were made to DAS Business Services were made that reflected where the expenditures for 2013-2015 would occur.

The total amount of this package is (\$320,642) Other Funds Limited.

# Budget Narrative

## Policy Option Packages

### Policy Package 081: Senate Bill 5701-A Agency Reduction

**Companion Package:** None

**Purpose:** The purpose of this package is to capture the agency's share of the statewide efforts to restructure state government business operations and management of agency programs.

**How Achieved:** Senate Bill 5701-A, reduces personal services and data processing budgets for the Chief Operating Office, Chief Financial Office, Enterprise Technology Services, Enterprise Goods & Services, Enterprise Asset Management and DAS Business Service for a total amount of \$2,000,000.

#### Staffing Impact:

Abolish one permanent, full-time, Operations and Policy Analyst 4 (MMN X0873 AA) SR 32 (1.00 FTE)

#### Revenue Sources:

(\$6,898) – Other Funds

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### Policy Package No. 090: Analyst Adjustments

**Companion Package:** None

**Purpose:** To reduce budget levels for the Chief Operating Office.

#### How Achieved:

This package eliminates two positions in the Chief Operating Office. The agency is reevaluating administrative support functions post its realignment, and believes there are efficiencies to be gained in workload distribution that will absorb the work of this function. In addition, DAS is responsible for support and leadership coordination of

the state's internal audit function and the elimination of this position would eliminate that oversight. Loss of the coordinated oversight would likely further degrade the internal audit function at individual agencies. It would also require statutory change as DAS would not have staff necessary to compile information for annual reports to the Legislature.

#### Staffing Impact:

Abolish one permanent, full-time, Administrative Specialist 2, (UA C0108 AA), SR 19 (1.00 FTE)

Abolish one permanent, full-time, Principle Executive Manager G, (MESN Z7012 AA), SR 38x (1.00 FTE)

#### Revenue Source:

(\$382,934) Other Funds.

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### Policy Package 092: PERS Taxation Policy

**Companion Package:** None

**Purpose:** This package supports a policy change to limit tax relief calculations to PERS retirees that are Oregon residents. Non-resident retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

**How Achieved:** Non-resident retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

#### Staffing Impact:

None

#### Revenue Sources:

The total amount of this package is (\$15,357); \$14,746 Other Funds Limited and (\$611) General Funds.

## Budget Narrative

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### **Policy Package 093: Other PERS Adjustments**

**Companion Package:** None

**Purpose:** This package supports a policy change that affected other PERS Adjustments.

**How Achieved:** This package supports policy changes that reduce the PERS employer rate by approximately 320 basis points.

**Staffing Impact:**

None

**Revenue Sources:**

(\$122,706) – All Funds (\$4,881 General Fund; \$117,825 Other Funds)

The total amount of this package is (\$122,706); \$117,825 Other Funds Limited and (\$4,881) General Funds.

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### **Policy Option Package 101: Enterprise Initiative Project Managers**

**Companion Package:** None

**Purpose:** This package will enable the Chief Operating Office to develop, plan and implement Enterprise Initiatives to improve state government operations.

In collaboration with the Enterprise Leadership Team (ELT), the Chief Operating Office assigns project managers to coordinate large scale enterprise business projects. Currently the Office is staffed with a Principle Executive Manager F leading the statewide initiatives team, with two Operations and Policy Analyst 4 positions and two limited duration Project Manager 1 positions. This team currently manages four large projects including the 10-Year Plan for Oregon, Regulatory Streamlining, County Payments, and performance management. In

addition it provides staffing to the Improving Government Steering Committee and any associated operational improvement projects. While ELT agency staff actively participate in the projects, having dedicated high skilled technical staff is critical to having a consistent approach to these Enterprise Initiatives.

In the 2013-2015 biennium Enterprise Initiatives are expected to double in response to the demands for improvements in state government operations from the Enterprise Leadership Team, the Governor and the Legislature. Projects may include Human Resource Information System Replacement, 10-Year Plan for Oregon Strategic Plan Update, Information Technology Visioning, Board and Commission Improvement, County Payments, and initiatives that arise from the Improving Government Steering Committee such as performance management and operational efficiency projects. In order to successfully deliver these projects within the 2013-15 biennium additional dedicated project management resources are needed.

Staffing for Enterprise Initiatives requires high-level implementation experience, and deep program research and analysis skills. It also requires project coordination skills. A combination of entry-level Program Manager 1 and Policy and Budget Analyst positions provides the two skill-sets.

**How Achieved:** Establish two Project Manager 1 FTE and two Policy and Budget Analyst positions to the Chief Operating Office.

**Staffing Impact:**

Add two permanent, full-time, Project Manager 1 (MMN X0854 AA) SR 26 (2.00 FTE)

Add two permanent, full-time, Policy and Budget Analyst (MMN X1143 AA) SR 35 (2.00 FTE)

**Revenue Source:**

\$850,337 - Other Funds Limited, Admin & Service Charges

## Budget Narrative

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### **Policy Option Package 504:** Infrastructure Coordination

**Companion Package:** None

**Purpose:** This package will enable the Chief Operating Office to develop, plan and implement the 10-Year Capital Plan to improve state government operations.

The Chief Operational Officer of Oregon along with the Chief State Financial Officer (CFO) shall provide for the development of the 10-Year Capital Plan and make provision for statewide coordination of the plan within the Office of the Chief Operations Officer (COO). Responsibilities and activities include: designation of a statewide coordinator and support staffing; development of protocols for capital project planning and development of the planning governance structure for each area of related planning (education, state infrastructure, community and regional infrastructure); development of methodologies to compare cost and benefits of capital projects; retention of technical services to support regional solutions committee capital planning; facilitate participation in West Coast Infrastructure Exchange.

Staffing for the Infrastructure Coordination requires high-level implementation experience, and deep program research and analysis skills. A combination of Principle Executive Manager G and Operations & Policy Analyst position would allow for success of the program.

**How Achieved:** Establish one Principle Executive Manager G and one Operations & Policy Analyst 4 positions to the Chief Operating Office.

**Staffing Impact:**

Add one permanent, full-time, Principle Executive Manager G (MENN Z7012 AA) SR 38X (1.00 FTE)

Add one permanent, full-time, Operations & Policy Analyst 4 (MMN X0873 AA) SR 32 (1.00 FTE)

**Revenue Source:**

\$2,750,000 – General Funds

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Chief Operating Office  
Cross Reference Number: 10700-030-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	566	-	-	-	-	-	566
<b>Total Revenues</b>	<b>\$566</b>	-	-	-	-	-	<b>\$566</b>
<b>Personal Services</b>							
Pension Obligation Bond	593	-	60,721	-	-	-	61,314
Mass Transit Tax	(27)	-	(4,228)	-	-	-	(4,255)
Vacancy Savings	-	-	36,077	-	-	-	36,077
<b>Total Personal Services</b>	<b>\$566</b>	-	<b>\$92,570</b>	-	-	-	<b>\$93,136</b>
<b>Total Expenditures</b>							
Total Expenditures	566	-	92,570	-	-	-	93,136
<b>Total Expenditures</b>	<b>\$566</b>	-	<b>\$92,570</b>	-	-	-	<b>\$93,136</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(92,570)	-	-	-	(92,570)
<b>Total Ending Balance</b>	-	-	<b>(\$92,570)</b>	-	-	-	<b>(\$92,570)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Chief Operating Office  
Cross Reference Number: 10700-030-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(1,484)	-	-	-	-	-	(1,484)
<b>Total Revenues</b>	<b>(\$1,484)</b>	-	-	-	-	-	<b>(\$1,484)</b>
<b>Services &amp; Supplies</b>							
Instate Travel	24	-	541	-	-	-	565
Out of State Travel	110	-	-	-	-	-	110
Employee Training	44	-	1,281	-	-	-	1,325
Office Expenses	54	-	1,509	-	-	-	1,563
Telecommunications	50	-	1,809	-	-	-	1,859
State Gov. Service Charges	(3,034)	-	713,611	-	-	-	710,577
Data Processing	31	-	6,060	-	-	-	6,091
Publicity and Publications	74	-	590	-	-	-	664
Professional Services	493	-	27,894	-	-	-	28,387
Attorney General	-	-	28,218	-	-	-	28,218
Employee Recruitment and Develop	16	-	-	-	-	-	16
Dues and Subscriptions	34	-	506	-	-	-	540
Facilities Rental and Taxes	484	-	2,530	-	-	-	3,014
Other Services and Supplies	40	-	3,011	-	-	-	3,051
Expendable Prop 250 - 5000	-	-	1,005	-	-	-	1,005
IT Expendable Property	96	-	492	-	-	-	588
<b>Total Services &amp; Supplies</b>	<b>(\$1,484)</b>	-	<b>\$789,057</b>	-	-	-	<b>\$787,573</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Chief Operating Office  
Cross Reference Number: 10700-030-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total Expenditures</b>							
Total Expenditures	(1,484)	-	789,057	-	-	-	787,573
<b>Total Expenditures</b>	<b>(\$1,484)</b>	-	<b>\$789,057</b>	-	-	-	<b>\$787,573</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(789,057)	-	-	-	(789,057)
<b>Total Ending Balance</b>	-	-	<b>(\$789,057)</b>	-	-	-	<b>(\$789,057)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Chief Operating Office  
Cross Reference Number: 10700-030-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	(1,382)	-	-	-	(1,382)
Employee Training	-	-	(7,168)	-	-	-	(7,168)
Office Expenses	-	-	(4,096)	-	-	-	(4,096)
Telecommunications	-	-	(3,072)	-	-	-	(3,072)
Data Processing	-	-	(30,720)	-	-	-	(30,720)
Publicity and Publications	-	-	(1,024)	-	-	-	(1,024)
Professional Services	-	-	(385,474)	-	-	-	(385,474)
Dues and Subscriptions	-	-	(1,024)	-	-	-	(1,024)
Facilities Rental and Taxes	-	-	128,474	-	-	-	128,474
Expendable Prop 250 - 5000	-	-	(4,916)	-	-	-	(4,916)
IT Expendable Property	-	-	(10,240)	-	-	-	(10,240)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$320,642)</b>	-	-	-	<b>(\$320,642)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(320,642)	-	-	-	(320,642)
<b>Total Expenditures</b>	-	-	<b>(\$320,642)</b>	-	-	-	<b>(\$320,642)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	320,642	-	-	-	320,642
<b>Total Ending Balance</b>	-	-	<b>\$320,642</b>	-	-	-	<b>\$320,642</b>



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 081 - May 2012 E-Board

Cross Reference Name: Chief Operating Office  
Cross Reference Number: 10700-030-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	(147,216)	-	-	-	(147,216)
Empl. Rel. Bd. Assessments	-	-	(40)	-	-	-	(40)
Public Employees' Retire Cont	-	-	(28,074)	-	-	-	(28,074)
Social Security Taxes	-	-	(11,262)	-	-	-	(11,262)
Worker's Comp. Assess. (WCD)	-	-	(59)	-	-	-	(59)
Mass Transit Tax	-	-	(883)	-	-	-	(883)
Flexible Benefits	-	-	(30,528)	-	-	-	(30,528)
Undistributed (P.S.)	-	-	211,164	-	-	-	211,164
<b>Total Personal Services</b>	-	-	<b>(\$6,898)</b>	-	-	-	<b>(\$6,898)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(6,898)	-	-	-	(6,898)
<b>Total Expenditures</b>	-	-	<b>(\$6,898)</b>	-	-	-	<b>(\$6,898)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	6,898	-	-	-	6,898
<b>Total Ending Balance</b>	-	-	<b>\$6,898</b>	-	-	-	<b>\$6,898</b>
<b>Total Positions</b>							
Total Positions	-	-	-	-	-	-	(1)
<b>Total Positions</b>	-	-	-	-	-	-	<b>(1)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 081 - May 2012 E-Board

Cross Reference Name: Chief Operating Office  
Cross Reference Number: 10700-030-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total FTE</b>							
Total FTE							(1.00)
<b>Total FTE</b>	-	-	-	-	-	-	<b>(1.00)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 090 - Analyst Adjustments

Cross Reference Name: Chief Operating Office  
Cross Reference Number: 10700-030-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	(242,400)	-	-	-	(242,400)
Empl. Rel. Bd. Assessments	-	-	(80)	-	-	-	(80)
Public Employees' Retire Cont	-	-	(46,225)	-	-	-	(46,225)
Social Security Taxes	-	-	(18,543)	-	-	-	(18,543)
Worker's Comp. Assess. (WCD)	-	-	(118)	-	-	-	(118)
Mass Transit Tax	-	-	(383)	-	-	-	(383)
Flexible Benefits	-	-	(61,056)	-	-	-	(61,056)
Reconciliation Adjustment	-	-	(14,129)	-	-	-	(14,129)
<b>Total Personal Services</b>	-	-	<b>(\$382,934)</b>	-	-	-	<b>(\$382,934)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(382,934)	-	-	-	(382,934)
<b>Total Expenditures</b>	-	-	<b>(\$382,934)</b>	-	-	-	<b>(\$382,934)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	382,934	-	-	-	382,934
<b>Total Ending Balance</b>	-	-	<b>\$382,934</b>	-	-	-	<b>\$382,934</b>
<b>Total Positions</b>							
Total Positions	-	-	-	-	-	-	(2)
<b>Total Positions</b>	-	-	-	-	-	-	<b>(2)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 090 - Analyst Adjustments

Cross Reference Name: Chief Operating Office  
Cross Reference Number: 10700-030-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total FTE</b>							(2.00)
<b>Total FTE</b>	-	-	-	-	-	-	<b>(2.00)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 092 - PERS Taxation Policy

Cross Reference Name: Chief Operating Office  
Cross Reference Number: 10700-030-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(611)	-	-	-	-	-	(611)
<b>Total Revenues</b>	<b>(\$611)</b>	-	-	-	-	-	<b>(\$611)</b>
<b>Personal Services</b>							
PERS Policy Adjustment	(611)	-	(14,746)	-	-	-	(15,357)
<b>Total Personal Services</b>	<b>(\$611)</b>	-	<b>(\$14,746)</b>	-	-	-	<b>(\$15,357)</b>
<b>Total Expenditures</b>							
Total Expenditures	(611)	-	(14,746)	-	-	-	(15,357)
<b>Total Expenditures</b>	<b>(\$611)</b>	-	<b>(\$14,746)</b>	-	-	-	<b>(\$15,357)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	14,746	-	-	-	14,746
<b>Total Ending Balance</b>	-	-	<b>\$14,746</b>	-	-	-	<b>\$14,746</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 093 - Other PERS Adjustments

Cross Reference Name: Chief Operating Office  
Cross Reference Number: 10700-030-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(4,881)	-	-	-	-	-	(4,881)
<b>Total Revenues</b>	<b>(\$4,881)</b>	-	-	-	-	-	<b>(\$4,881)</b>
<b>Personal Services</b>							
PERS Policy Adjustment	(4,881)	-	(117,825)	-	-	-	(122,706)
<b>Total Personal Services</b>	<b>(\$4,881)</b>	-	<b>(\$117,825)</b>	-	-	-	<b>(\$122,706)</b>
<b>Total Expenditures</b>							
Total Expenditures	(4,881)	-	(117,825)	-	-	-	(122,706)
<b>Total Expenditures</b>	<b>(\$4,881)</b>	-	<b>(\$117,825)</b>	-	-	-	<b>(\$122,706)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	117,825	-	-	-	117,825
<b>Total Ending Balance</b>	-	-	<b>\$117,825</b>	-	-	-	<b>\$117,825</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 101 - COO - Project Managers

Cross Reference Name: Chief Operating Office  
Cross Reference Number: 10700-030-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Admin and Service Charges	-	-	850,337	-	-	-	850,337
<b>Total Revenues</b>	-	-	<b>\$850,337</b>	-	-	-	<b>\$850,337</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	508,512	-	-	-	508,512
Empl. Rel. Bd. Assessments	-	-	160	-	-	-	160
Public Employees' Retire Cont	-	-	96,974	-	-	-	96,974
Social Security Taxes	-	-	38,902	-	-	-	38,902
Worker's Comp. Assess. (WCD)	-	-	236	-	-	-	236
Mass Transit Tax	-	-	3,051	-	-	-	3,051
Flexible Benefits	-	-	122,112	-	-	-	122,112
<b>Total Personal Services</b>	-	-	<b>\$769,947</b>	-	-	-	<b>\$769,947</b>
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	1,890	-	-	-	1,890
Employee Training	-	-	9,700	-	-	-	9,700
Office Expenses	-	-	7,400	-	-	-	7,400
Telecommunications	-	-	6,000	-	-	-	6,000
State Gov. Service Charges	-	-	12,700	-	-	-	12,700
Data Processing	-	-	2,100	-	-	-	2,100
Publicity and Publications	-	-	1,400	-	-	-	1,400
Employee Recruitment and Develop	-	-	1,200	-	-	-	1,200
Dues and Subscriptions	-	-	1,400	-	-	-	1,400
Facilities Rental and Taxes	-	-	27,900	-	-	-	27,900

Agency Request  
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Legislatively Adopted  
Essential and Policy Package Fiscal Impact Summary - BPR013

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 101 - COO - Project Managers

Cross Reference Name: Chief Operating Office  
Cross Reference Number: 10700-030-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Other Services and Supplies	-	-	1,500	-	-	-	1,500
Expendable Prop 250 - 5000	-	-	7,200	-	-	-	7,200
<b>Total Services &amp; Supplies</b>	-	-	<b>\$80,390</b>	-	-	-	<b>\$80,390</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	850,337	-	-	-	850,337
<b>Total Expenditures</b>	-	-	<b>\$850,337</b>	-	-	-	<b>\$850,337</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions	-	-	-	-	-	-	4
<b>Total Positions</b>	-	-	-	-	-	-	<b>4</b>
<b>Total FTE</b>							
Total FTE	-	-	-	-	-	-	4.00
<b>Total FTE</b>	-	-	-	-	-	-	<b>4.00</b>



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 504 - Infrastructure Coordination

Cross Reference Name: Chief Operating Office  
Cross Reference Number: 10700-030-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	2,750,000	-	-	-	-	-	2,750,000
<b>Total Revenues</b>	<b>\$2,750,000</b>	-	-	-	-	-	<b>\$2,750,000</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	417,432	-	-	-	-	-	417,432
Empl. Rel. Bd. Assessments	80	-	-	-	-	-	80
Public Employees' Retire Cont	79,604	-	-	-	-	-	79,604
Social Security Taxes	31,358	-	-	-	-	-	31,358
Worker's Comp. Assess. (WCD)	118	-	-	-	-	-	118
Mass Transit Tax	2,505	-	-	-	-	-	2,505
Flexible Benefits	61,056	-	-	-	-	-	61,056
Reconciliation Adjustment	157,847	-	-	-	-	-	157,847
<b>Total Personal Services</b>	<b>\$750,000</b>	-	-	-	-	-	<b>\$750,000</b>
<b>Services &amp; Supplies</b>							
Instate Travel	1,350	-	-	-	-	-	1,350
Employee Training	7,000	-	-	-	-	-	7,000
Office Expenses	4,000	-	-	-	-	-	4,000
Telecommunications	3,000	-	-	-	-	-	3,000
State Gov. Service Charges	6,350	-	-	-	-	-	6,350
Data Processing	1,300	-	-	-	-	-	1,300
Publicity and Publications	1,000	-	-	-	-	-	1,000
Professional Services	1,954,450	-	-	-	-	-	1,954,450
Employee Recruitment and Develop	800	-	-	-	-	-	800

Agency Request  
2013-15 Biennium

Governor's Budget  
Page \_\_\_\_\_

Legislatively Adopted  
Essential and Policy Package Fiscal Impact Summary - BPR013

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 504 - Infrastructure Coordination

Cross Reference Name: Chief Operating Office  
Cross Reference Number: 10700-030-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Dues and Subscriptions	1,000	-	-	-	-	-	1,000
Facilities Rental and Taxes	13,950	-	-	-	-	-	13,950
Other Services and Supplies	1,000	-	-	-	-	-	1,000
Expendable Prop 250 - 5000	4,800	-	-	-	-	-	4,800
<b>Total Services &amp; Supplies</b>	<b>\$2,000,000</b>	-	-	-	-	-	<b>\$2,000,000</b>
<b>Total Expenditures</b>							
Total Expenditures	2,750,000	-	-	-	-	-	2,750,000
<b>Total Expenditures</b>	<b>\$2,750,000</b>	-	-	-	-	-	<b>\$2,750,000</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions							2
<b>Total Positions</b>	-	-	-	-	-	-	<b>2</b>
<b>Total FTE</b>							
Total FTE							2.00
<b>Total FTE</b>	-	-	-	-	-	-	<b>2.00</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Chief Operating Officer		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
Central Service Charges	GF	8800	0405	-	-	-	-	-
Charges for Services	OF - N L	3200	0410	-	-	-	-	-
	OF - L	3400		422,092	622,836	467,207	467,207	-
Admin and Service Charges	OF - N L	3200	0415	-	-	-	-	-
	OF - L	3400		4,130,327	4,703,473	10,028,483	10,025,127	-
	OF - DS	3430		-	-	-	-	-
Interest Income	LF - L	4400	0605	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		22,288	-	-	-	-
	OF - DS	3430		-	-	-	-	-
Transfer In - Intrafund	LF - L	4400	1010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CI	3010		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		2,515	2,950,435	513,874	513,874	-
	OF - DS	3430		-	-	-	-	-
Transfer Out - Intrafund	LF - L	4400	2010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		(299,424)	(443,005)	(227,437)	(227,437)	-
	OF - DS	3430		-	-	-	-	-
Tsfr to Governor's Office	LF - L	4400	2121	-	-	-	-	-
	OF - L	3400		(486,416)	(486,416)	(330,000)	(330,000)	-

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**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Chief Operating Officer		ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
					Agency Request	Governor's Recommended	Legislatively Adopted
Source	Fund						
Lottery Funds Limited	4400		-	-	-	-	-
Lottery Funds Debt Service Limited	4430		-	-	-	-	-
Other Funds Cap Improvement	3010		-	-	-	-	-
Other Funds Cap Construction	3020		-	-	-	-	-
Other Funds Non-Limited	3200		-	-	-	-	-
Other Funds Non-Limited Debt Service	3230		-	-	-	-	-
Other Funds Limited	3400		3,791,382	7,347,323	10,452,127	10,448,771	-
Other Funds Debt Service Limited	3430		-	-	-	-	-
Federal Funds Debt Service Non-Limited	6230		-	-	-	-	-
Federal Funds Limited	6400		-	-	-	-	-
			<b>3,791,382</b>	<b>7,347,323</b>	<b>10,452,127</b>	<b>10,448,771</b>	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Chief Operating Office

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-030-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>LIMITED BUDGET (Excluding Packages)</b>						
<b>PERSONAL SERVICES</b>						
General Fund	228,501	218,112	218,112	229,044	228,037	-
Other Funds	3,518,073	5,168,330	4,957,166	4,698,467	4,676,550	-
All Funds	3,746,574	5,386,442	5,175,278	4,927,511	4,904,587	-
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	12,417	53,947	53,947	53,947	53,947	-
Other Funds	872,329	1,946,600	1,946,600	1,946,600	1,946,600	-
All Funds	884,746	2,000,547	2,000,547	2,000,547	2,000,547	-
<b>TOTAL LIMITED BUDGET (Excluding Packages)</b>						
General Fund	240,918	272,059	272,059	282,991	281,984	-
Other Funds	4,390,402	7,114,930	6,903,766	6,645,067	6,623,150	-
All Funds	4,631,320	7,386,989	7,175,825	6,928,058	6,905,134	-
<b>AUTHORIZED POSITIONS</b>	16	24	24	20	20	-
<b>AUTHORIZED FTE</b>	16.00	24.00	24.00	20.00	20.00	-
<b>LIMITED BUDGET (Essential Packages)</b>						
<b>010 NON-PICS PSNL SVC / VACANCY FACTOR</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	566	566	-
Other Funds	-	-	-	92,570	92,570	-
All Funds	-	-	-	93,136	93,136	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Chief Operating Office

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-030-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>031 STANDARD INFLATION</b>						
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	(1,484)	(1,484)	-
Other Funds	-	-	-	797,783	789,057	-
All Funds	-	-	-	796,299	787,573	-
<b>060 TECHNICAL ADJUSTMENTS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	(320,642)	(320,642)	-
<b>TOTAL LIMITED BUDGET (Essential Packages)</b>						
General Fund	-	-	-	(918)	(918)	-
Other Funds	-	-	-	569,711	560,985	-
All Funds	-	-	-	568,793	560,067	-
<b>LIMITED BUDGET (Current Service Level)</b>						
General Fund	240,918	272,059	272,059	282,073	281,066	-
Other Funds	4,390,402	7,114,930	6,903,766	7,214,778	7,184,135	-
All Funds	4,631,320	7,386,989	7,175,825	7,496,851	7,465,201	-
<b>AUTHORIZED POSITIONS</b>	16	24	24	20	20	-
<b>AUTHORIZED FTE</b>	16.00	24.00	24.00	20.00	20.00	-
<b>LIMITED BUDGET (Policy Packages)</b>						
<b>PRIORITY 0</b>						
<b>081 MAY 2012 E-BOARD</b>						

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Chief Operating Office

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-030-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	(7,870)	(6,898)	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	(1)	(1)	-
<b>AUTHORIZED FTE</b>	-	-	-	(1.00)	(1.00)	-
<b>090 ANALYST ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	-	(382,934)	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	-	(2)	-
<b>AUTHORIZED FTE</b>	-	-	-	-	(2.00)	-
<b>092 PERS TAXATION POLICY</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	-	(611)	-
Other Funds	-	-	-	-	(14,746)	-
All Funds	-	-	-	-	(15,357)	-
<b>093 OTHER PERS ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	-	(4,881)	-
Other Funds	-	-	-	-	(117,825)	-
All Funds	-	-	-	-	(122,706)	-
<b>101 COO - PROJECT MANAGERS</b>						
<b>PERSONAL SERVICES</b>						

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Chief Operating Office

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-030-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	-	-	-	773,303	769,947	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	80,390	80,390	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	4	4	-
<b>AUTHORIZED FTE</b>	-	-	-	4.00	4.00	-
<b>504 INFRASTRUCTURE COORDINATION</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	-	750,000	-
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	-	2,000,000	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	-	2	-
<b>AUTHORIZED FTE</b>	-	-	-	-	2.00	-
<b>TOTAL LIMITED BUDGET (Policy Packages)</b>						
General Fund	-	-	-	-	2,744,508	-
Other Funds	-	-	-	845,823	327,934	-
All Funds	-	-	-	845,823	3,072,442	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	3	3	-
<b>AUTHORIZED FTE</b>	-	-	-	3.00	3.00	-
<b>TOTAL LIMITED BUDGET (Including Packages)</b>						
General Fund	240,918	272,059	272,059	282,073	3,025,574	-
Other Funds	4,390,402	7,114,930	6,903,766	8,060,601	7,512,069	-



Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Chief Operating Office

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-030-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
All Funds	4,631,320	7,386,989	7,175,825	8,342,674	10,537,643	-
<b>AUTHORIZED POSITIONS</b>	16	24	24	23	23	-
<b>AUTHORIZED FTE</b>	16.00	24.00	24.00	23.00	23.00	-
<b>OPERATING BUDGET</b>						
General Fund	240,918	272,059	272,059	282,073	3,025,574	-
Other Funds	4,390,402	7,114,930	6,903,766	8,060,601	7,512,069	-
All Funds	4,631,320	7,386,989	7,175,825	8,342,674	10,537,643	-
<b>AUTHORIZED POSITIONS</b>	16	24	24	23	23	-
<b>AUTHORIZED FTE</b>	16.00	24.00	24.00	23.00	23.00	-
<b>TOTAL BUDGET</b>						
General Fund	240,918	272,059	272,059	282,073	3,025,574	-
Other Funds	4,390,402	7,114,930	6,903,766	8,060,601	7,512,069	-
All Funds	4,631,320	7,386,989	7,175,825	8,342,674	10,537,643	-
<b>AUTHORIZED POSITIONS</b>	16	24	24	23	23	-
<b>AUTHORIZED FTE</b>	16.00	24.00	24.00	23.00	23.00	-

## ORBITS Budget Narrative

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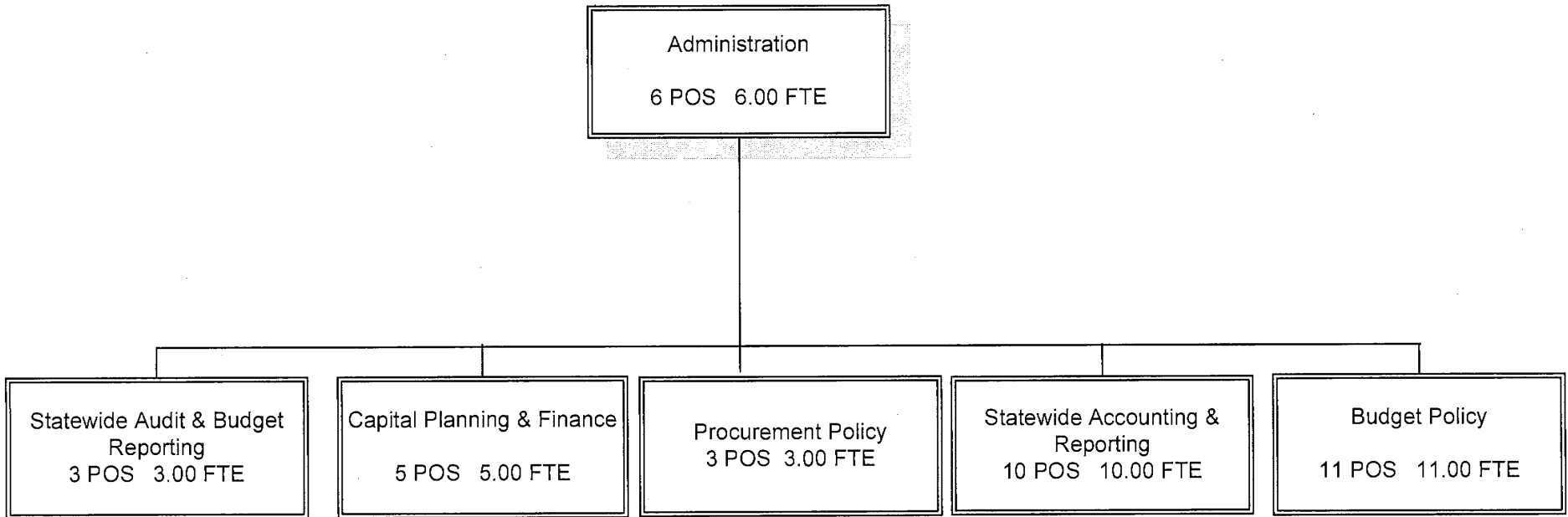
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# Budget Narrative

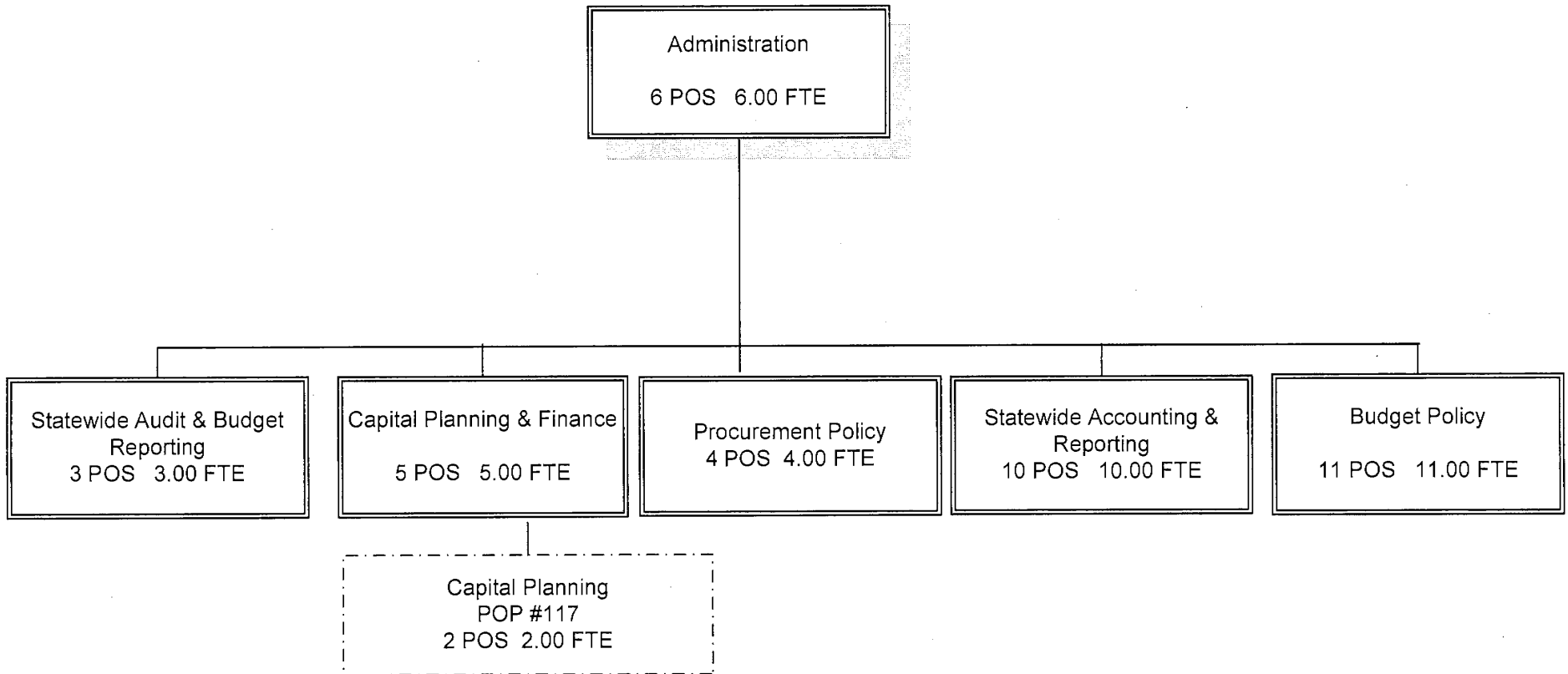
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**Chief Financial Office  
Organization Chart  
2011-13  
38 POS 38.00 FTE**



# Budget Narrative

**Chief Financial Office  
Organization Chart  
2013-15  
41 POS 41.00 FTE**



# Budget Narrative

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## Chief Financial Office (CFO)

### Statutory Authority:

ORS 270, 276, 279, 286, 291, 293

### Program Unit Narratives:

The Chief Financial Office (CFO) is responsible for enterprise-wide fiscal policy leadership, compliance and statewide reporting. The CFO provides guidance, training and direction to ensure fiscal integrity and consistency across state agencies. The Office routinely represents the Governor with other elected officials, political subdivisions, other states and investment houses. Specific policy sections within the Office include Budget Policy, Capital Finance and Planning, Procurement Policy, Statewide Accounting and Reporting (SARS), and Statewide Audit and Budget Reporting (SABRS).

The Office accomplishes its mission by coordinating with agencies to develop standard reporting frameworks, identify emerging policy trends, describe common assumptions on statewide issues and analyze the impacts of policy decisions.

The Office prepares the biennial budget that the Governor gives to the Legislature every two years and also compiles the Combined Annual Financial Report (CAFR). The Office also maintains the state's central budget system, monitors agency spending for compliance with applicable budgetary laws and legislative intent, and works with agencies to resolve issues during the interim, including, where necessary, appearances before the Emergency Board.

The Office helps coordinate and budget for major capital construction projects, issuing Article XI-Q bonds, Tax Anticipation Notes (TANs) and Lottery Revenue Bonds to finance capital and infrastructure projects. The Office also coordinates the statewide bonded debt process in

cooperation with the Oregon State Treasury. The Office also provides policy leadership for statewide procurements and facilities planning.

The CFO serves its customers with the following centralized functions:

**Budget Policy:** This unit provides budget and program review and analysis for the State's multi-billion dollar budget. Analysts work with the Governor's Office to identify and conceptualize strategies for state government's fiscal policy direction. They act in an advisory capacity to the Governor, Legislature, Department Director, and Program Funding Teams in the development of agency budgets. They work with agencies' leadership teams and fiscal staff to ensure consistent application of budget policy and practice across state agencies.

The Budget Policy Unit instructs agencies on how to prepare budget requests. Analysts then make recommendations to reflect the Governor's priorities, balance the budget to tax and lottery revenues, and convey the Governor's decisions to agencies during the development of the Governor's budget. They help explain the Governor's budget proposals to the Legislature and others.

The Budget Policy Unit analyzes agency requests to the Emergency Board and work with agencies to resolve budget and management issues in the execution of their budgets.

**Capital Finance and Planning (CFP):** CFP is responsible for the administration of various statewide financing programs including Article XI-Q bonds (for state-owned real and personal property), Lottery Revenue Bonds, Tax Anticipation Notes, Seismic Rehabilitation Bonds, Pension Obligation Bonds, and others. The section also develops the state capital construction budget request. CFP assists agencies in preparing budgets for debt service, and, in cooperation with the Office of the State Treasurer, coordinates development of the biennial bill authorizing new debt.

# Budget Narrative

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CFP takes a leadership role in coordination and development of the Statewide Facilities Plan, including the four-year and six-year construction budgets. As a part of this effort, CFP provides administrative support to various statutory committees including the Capital Projects Advisory Board, the Capitol Planning Commission and the Public Lands Advisory Committee. CFP ensures that the statewide capital planning process considers current condition of facilities, future projected needs and funding options to meet state objectives. This involves planning and direction of a comprehensive capital utilization program that promotes and enables agencies to complete timely, cost-effective capital improvements, renovations, and new construction projects and achieve optimal utilization of owned and leased space.

**Procurement Policy:** This unit assists in the implementation of the Public Contracting Code and related procurement statutes and rules. It provides objective and accurate information for the wise use of state resources through procurement to the Governor, Legislature, and state agencies. The section also fulfills the duties of DAS under ORS 279.845, Products and Services of Individuals with Disabilities Law.

**Statewide Accounting and Reporting Services:** This unit is responsible for statewide year end closing coordination, preparing the Oregon CAFR, the Schedule of Expenditures of Federal Awards, statewide accounting policy and the Oregon Accounting Manual. Section staff provides agency consultation and guidance, federal fiscal compliance related to OMB A-87, OMB A-133 and CMIA as well as system security administration.

**Statewide Budget and Reporting (SABR) Section:** This section maintains the systems used to budget money and positions. This includes the system used to project the cost of future wage increases. The section also manages the allotment process used to release funds for agency spending.

## 2013-19 Six-Year Plan:

- Deliver timely and accurate information.
- Provide appropriate oversight and cost containment processes.
- Optimize performance.

## 2013-15 Two-Year Plan:

The Office has planned the following actions to deliver timely and accurate information:

- Identify emerging policy trends and provide relevant data to policymakers.
- Respond to agency requests for information in a timely manner.
- Continue to use advisory groups for ways to improve the budget development process.

The division will take the following actions to provide appropriate oversight and cost containment processes:

- Coordinate with the Governor's Office to ensure that the Governor's budget strategies and priorities are communicated to agencies.
- Guide agency budget development by issuing formal budget instructions. Audit agency budget submittals to ensure that agencies have complied with the budget guidelines.
- Assist agencies with the technical aspects of developing their operating and capital budget requests.

The division has planned the following actions to optimize performance:

- Provide fiscal advice within the context of long term objectives.
- Increase the integration of performance information into the budget development process.
- Increase integration with other statewide systems.

# Budget Narrative

## 2011-13 Accomplishments:

Deliver timely and accurate information:

- During 2011-13, CFO staff worked with agencies and the Governor's Office to develop options for balancing the current biennial budget.
- CFO has continued working with state agencies to improve the budget development process. These improvements have included moving more processes from paper-based submittals to electronic transmissions.
- CFO has continued improvement of the statewide budget system. During the last two years, CFO staff has used the state budget system to enable policy-makers to look at agency programs based on outcome objectives, augmenting the existing view of agency programs based solely on agency structures.
- Received the *GFOA Certificate of Achievement for Excellence in Financial Accounting* for FY 2010 CAFR (19<sup>th</sup> consecutive year).
- Successfully implemented GASB Statement No. 54, *Fund Balance Reporting and Government Fund Type Definitions*.

Provide appropriate oversight and cost containment processes:

- During the past several biennia, CFO and LFO worked with agencies to plan for potential reductions in the state's revenue picture. The state's revenue picture has dominated conversations during the last several years. Based on the work of CFO and LFO staff, the Governor and Legislature have balanced the state budget providing greater long term stability for citizens served by state programs.
- CFO coordinated with the Governor's Office to ensure that the Governor's budget strategies and priorities were communicated to agencies.

- CFO developed the Governor's Recommended Budget for 2011-13.

Optimize performance:

- During 2011-13, Governor's Policy Advisors, COO staff and CFO staff have jointly been responsible for implementing the Governor's vision of developing a 10 year plan for Oregon. This 10 year plan focuses the budget development process on achieving strategic objectives over the next decade, and uses that focus in developing shorter term budget proposals.

## Trends:

Government leaders increasingly demand more and better information to help them make policy decisions. These needs include both better financial and performance information.

For financial information, the state budget system integrates better alignment between the budget and accounting systems. With this better alignment, the budget system is able to integrate accounting information into budget development, providing CFO and LFO analysts with more real-time information from which to make recommendations. Both CFO and LFO are developing new ways to use this information to provide better information to decision-makers.

The past several years have brought an increased emphasis on performance information and the results generated by public sector investments. Oregon's budget development process has responded to these demands by incorporating greater performance information into agency budgets. During 2011-13, CFO staff has worked with agencies to better align agency budget proposals with long term outcome objectives.

Finally, as Oregon has moved to annual legislative sessions, there have been increasing demands for budget-related information. This



## Budget Narrative

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has been true during the last two biennia with legislative sessions beginning during February of each year and multiple meetings of an Interim Joint Committee on Ways and Means. More frequent meetings of the Legislature have helped decision-makers stay in step with rapidly changing situations, and allow for more deliberation on important public policy issues. While both of these are important benefits, CFO and LFO need to adjust their business models to ensure that analysts have sufficient time to fully understand agency operations and emerging policy issues.

### **Revenue Sources:**

The Office receives funding mostly through an assessment to state agencies. The service charge is based on both approved full-time equivalent (FTE) positions and budget amounts. There is a minimum charge per agency. The Capital Financing and Planning section is partially supported by fees from the sale of Article XI-Q bonds. Expected revenue plus the ending balance from the current biennium cover the costs of the proposed program level for the division.

# Budget Narrative

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## **Essential Packages**

**Purpose:** The essential packages present budget adjustments needed to bring the base budget to Current Service Level (CSL), the calculated cost of continuing legislatively approved programs into the 2013-2015 biennium.

**How Achieved:** See explanations under captions "Vacancy Factor and Non-PICS Personal Services", "Cost of Phased-in and Phased-out Programs and One-time Costs," "Inflation and Price List Adjustments" and "Technical Adjustments".

**Staffing Impact:** None

**Revenue Sources:** The revenue source(s) are the same as for the program unit as a whole, unless described differently within an individual package.

## **010 Non-PICS Psnl Svc / Vacancy Factor Package Description**

Package 010 includes standard inflation of 2.4% on such non-PICS items as temporaries, overtime, shift differential, all other differentials, and unemployment compensation, including the OPE associated with them, such as Mass Transit, Vacancy Savings and Pension Obligation Bond Debt Service.

The total amount of this package is (\$468,843) Other Funds Limited.

## **031 Inflation & Price List Adjustments Package Description**

This package is based on the standard 2.4% inflation factor for Services & Supplies and Capital Outlay items, except for State Government Service Charges, Facilities Rent, and Attorney General Charges, which are based on the 2013-2015 Price List of Goods and Services.

The total amount of this package is \$1,336,502 Other Funds Limited

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## **060 Technical Adjustments Package Description**

Package 060 includes the amount for technical budget adjustments.

The DAS Transformation required movement of 2011-2013 LAB budgets to its new enterprises. To better align budgets with the new enterprise adjustments were made that reflected where the expenditures for 2013-2015 would occur.

The total amount of this package is (\$7,883,814) Other Funds Limited.

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# Budget Narrative

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## Policy Option Packages

### **Policy Package 081:** Senate Bill 5701-A Agency Adjustment

**Companion Package:** None

**Purpose:** The purpose of this package is to capture the agency's share of the statewide efforts to restructure state government business operations and management of agency programs.

**How Achieved:** Senate Bill 5701-A, reduces personal services and data processing budgets for the Chief Operating Office, Chief Financial Office, Enterprise Technology Services, Enterprise Goods & Services, Enterprise Asset Management and DAS Business Service for a total amount of \$2,000,000.

#### **Staffing Impact:**

None.

#### **Revenue Sources:**

\$387,129 – Other Funds

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### **Policy Option Package 102:** Facilities Assessment and Planning Software and Analytics

**Companion Package:** Policy Option Package 117, DCR 093-04, DAS Debt Service, Chief Financial Office

**Purpose:** This package will provide a critical component to more effectively perform capital planning and to evaluate performance against agreed upon standards. Software will project future capital needs, such as replacement of major components including roofs, HVAC systems, etc. so that such costs can be properly included in cost-recovery models. Development of benchmarks and analytics will

enable management and policymakers to evaluate performance of Operations and Maintenance functions compared to industry standards, and better ascertain appropriate funding levels.

We expect to achieve cost savings over time through operational efficiencies and effective planning. For example, software and analytics will provide tools to optimize space utilization (reduce amount per FTE) and reduce leasing costs through analysis of market data and planned migration to most cost-effective options.

**How Achieved:** Agency facility managers, the Capital Planning Advisory Board and the Department of Administrative Services (DAS), Capital Planning Unit will work with contractors with expertise in facilities analytics and industry standards to develop benchmarks appropriate for Oregon State government, including special use areas.

The Enterprise Asset Management (EAM) will purchase and deploy two modules of the IBM TRIRIGA Facilities Management software - Project Management and Operational Maintenance & Facilities Management. This will be the third phase of an ongoing software implementation. Although initial software implementation will be at the Department of Administrative Services (DAS), the software can be made available enterprise-wide on a charge-back basis for agencies that would benefit from its integration into their facility management systems. The Operational Maintenance and Facilities Management module provides real estate portfolio business analytics, critical alerts, and automated processes to increase asset utilization and control. It provides tools to enhance real estate management, space management, facility maintenance, and energy management. This investment will increase the operational, financial, and environmental performance. The Project Management module provides a tool for monitoring and integrating tasks including rehabilitation of facilities or replacement of major components.

Contract assistance will be provided to EAM staff in making initial condition assessments of facility components. These contractors will

## Budget Narrative

provide training to agency staff in assessment techniques so that ongoing work will be performed by agency personnel.

**Staffing Impact:**

None

**Revenue Source:**

\$2,200,800 – Article XI-Q Bond Proceeds (035-00)

\$401,386 – Other Funds Limited, Admin & Service Charges (093-04)

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**Policy Option Package 117: Enhance Facilities Capital Planning**

**Companion Package:** None

**Purpose:** This package will provide necessary staffing and contract resources to establish a proactive capital planning function within the Office of the Chief Financial Officer. The Capital Planning Unit currently has only two FTE. Over time, it is anticipated that a more robust capital planning function will enable the State to:

- 1) achieve efficiencies in maintenance and operations, space utilization, energy efficiency, and other areas;
- 2) more effectively plan for future needs, both in construction, leasing, sale or purchase of existing facilities and replacement/upgrade of key components within facilities;
- 3) standardize reporting and better integrate facilities reporting within the budget process;
- 4) promote best practices, establish benchmarks and analytics and measure performance against the same.

**How Achieved:** Two new positions will be established. A Statewide Facilities Planning Director (State Architect) will serve in a leadership capacity. Coordinating with facilities managers throughout State government as well as the Capital Planning Advisory Board (CPAB), this

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position will take the lead in developing and implementing mid and long-range capital plans. Such plans will project future needs, include recommendations on both acquisition and disposition of state assets, evaluate use of leased facilities, and incorporate projected needs for replacement and renovation of facility components.

The Facility Planning Director will also lead efforts, augmented with contract services, to establish benchmarks and analytics, and systems to measure performance against applicable industry standards. This position, again with contractor assistance, will evaluate existing statewide facilities data and implement procedures to promote uniform reporting.

A second position, the Statewide Sustainability Coordinator will work with agencies to implement sustainable business practices and achieve greater efficiencies in utility usage. Systems will be promoted to achieve the State's Sustainability goals as set forth in ORS 184.423 as they pertain to facilities operations, including improvements in the use of energy, water and other resources. Much work is required to create appropriate measurement and data collection infrastructure and well as to create incentives for best practices.

Currently, significant work will be required to "stand-up" a proactive facilities planning operation. The use of considerable contractor hours is anticipated. The program requires contracting with consultants in various fields of building, space, land and utility expertise to assist in the development of program benchmarks, measuring, monitoring, interpreting and consulting on best practices, acquisitions and dispositions, developing statewide policies for asset and utility utilization. The state will utilize consultant services ranging from space planning, furniture utilization, recapture of existing building space, remodeling and construction optimization methods, technology improvement options, workforce layout and practice, contemporary industry standards and best practices used throughout the world by leading firms and government entities with proven savings in space utilization, efficiency gains, data

# Budget Narrative

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collection, manipulation and measurements to reach and compare future improvements, and lower costs.

**Staffing Impact:**

One permanent, full-time, Operations & Policy Analyst 4 (MMN X 0873 AA) SR 32 (1.00 FTE)

One permanent, full-time, Principal/Executive Manager G (MENN Z7012) SR 38x (1.00 FTE)

**Revenue Source:**

\$1,137,703 - Other Funds Limited, Admin & Service Charges

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**Policy Package 091: Statewide Administrative Savings**

**Companion Package:** None

**Purpose:** The Governor's budget requires increased efficiency in the operation of state government, calls for additional savings in administrative expenditures, and allows for the reinvestment of some of the savings realized through efficiencies into agency programs or to other initiatives that will further improve the administrative operations of state government.

**How Achieved:** Package 091 was included in all agency budgets as a placeholder for administrative efficiencies to be found in Finance, IT, HR, Accounting, Payroll, and Procurement activities. The Improving Government subcommittee of the Enterprise Leadership Team will be identifying proposed efficiencies or changes in the delivery of service to meet the funding level in the Governor's budget, and will work with individual agencies on the impact to their budget, along with reinvestment opportunities.

**Staffing Impact:**

None

**Revenue Sources:**

(\$589,473) – Other Funds

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**Policy Package 092: PERS Taxation Policy**

**Companion Package:** None

**Purpose:** This package supports a policy change to limit tax relief calculations to PERS retirees that are Oregon residents. Non-resident retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

**How Achieved:** Non-resident retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

**Staffing Impact:**

None

**Revenue Sources:**

(\$26,631) – Other Funds

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**Policy Package 093: Other PERS Adjustments**

**Companion Package:** None

**Purpose:** This package supports a policy change that affected other PERS Adjustments.

**How Achieved:** This package supports policy changes that reduce the PERS employer rate by approximately 320 basis points.

**Staffing Impact:**

None

**Revenue Sources:**

(\$212,791) – Other Funds

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**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Chief Financial Office  
Cross Reference Number: 10700-035-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Temporary Appointments	-	-	1,173	-	-	-	1,173
Overtime Payments	-	-	214	-	-	-	214
Shift Differential	-	-	1	-	-	-	1
All Other Differential	-	-	671	-	-	-	671
Public Employees' Retire Cont	-	-	170	-	-	-	170
Pension Obligation Bond	-	-	(521,387)	-	-	-	(521,387)
Social Security Taxes	-	-	157	-	-	-	157
Mass Transit Tax	-	-	(56,440)	-	-	-	(56,440)
Vacancy Savings	-	-	106,600	-	-	-	106,600
Reconciliation Adjustment	-	-	(2)	-	-	-	(2)
<b>Total Personal Services</b>	-	-	<b>(\$468,843)</b>	-	-	-	<b>(\$468,843)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(468,843)	-	-	-	(468,843)
<b>Total Expenditures</b>	-	-	<b>(\$468,843)</b>	-	-	-	<b>(\$468,843)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	468,843	-	-	-	468,843
<b>Total Ending Balance</b>	-	-	<b>\$468,843</b>	-	-	-	<b>\$468,843</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Chief Financial Office  
Cross Reference Number: 10700-035-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	1,159	-	-	-	1,159
Out of State Travel	-	-	435	-	-	-	435
Employee Training	-	-	3,608	-	-	-	3,608
Office Expenses	-	-	6,319	-	-	-	6,319
Telecommunications	-	-	7,718	-	-	-	7,718
State Gov. Service Charges	-	-	984,591	-	-	-	984,591
Data Processing	-	-	26,435	-	-	-	26,435
Professional Services	-	-	30,494	-	-	-	30,494
IT Professional Services	-	-	87,867	-	-	-	87,867
Attorney General	-	-	131,991	-	-	-	131,991
Employee Recruitment and Develop	-	-	197	-	-	-	197
Dues and Subscriptions	-	-	1,026	-	-	-	1,026
Facilities Rental and Taxes	-	-	41,485	-	-	-	41,485
Fuels and Utilities	-	-	120	-	-	-	120
Agency Program Related S and S	-	-	76	-	-	-	76
Other Services and Supplies	-	-	8,459	-	-	-	8,459
Expendable Prop 250 - 5000	-	-	2,418	-	-	-	2,418
IT Expendable Property	-	-	2,104	-	-	-	2,104
<b>Total Services &amp; Supplies</b>	-	-	<b>\$1,336,502</b>	-	-	-	<b>\$1,336,502</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	1,336,502	-	-	-	1,336,502
<b>Total Expenditures</b>	-	-	<b>\$1,336,502</b>	-	-	-	<b>\$1,336,502</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Chief Financial Office  
Cross Reference Number: 10700-035-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Ending Balance</b>							
Ending Balance	-	-	(1,336,502)	-	-	-	(1,336,502)
<b>Total Ending Balance</b>	-	-	<b>(\$1,336,502)</b>	-	-	-	<b>(\$1,336,502)</b>



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

**Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments**

**Cross Reference Name: Chief Financial Office  
Cross Reference Number: 10700-035-00-00-00000**

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	134,496	-	-	-	134,496
Temporary Appointments	-	-	(44,706)	-	-	-	(44,706)
Overtime Payments	-	-	(1,903)	-	-	-	(1,903)
All Other Differential	-	-	(4,198)	-	-	-	(4,198)
Empl. Rel. Bd. Assessments	-	-	40	-	-	-	40
Public Employees' Retire Cont	-	-	24,485	-	-	-	24,485
Social Security Taxes	-	-	6,402	-	-	-	6,402
Worker's Comp. Assess. (WCD)	-	-	59	-	-	-	59
Mass Transit Tax	-	-	711	-	-	-	711
Flexible Benefits	-	-	30,528	-	-	-	30,528
Reconciliation Adjustment	-	-	1	-	-	-	1
<b>Total Personal Services</b>	-	-	<b>\$145,915</b>	-	-	-	<b>\$145,915</b>

**Services & Supplies**

Instate Travel	-	-	(38,262)	-	-	-	(38,262)
Out of State Travel	-	-	(11,328)	-	-	-	(11,328)
Employee Training	-	-	(99,063)	-	-	-	(99,063)
Office Expenses	-	-	(204,200)	-	-	-	(204,200)
Telecommunications	-	-	(228,501)	-	-	-	(228,501)
State Gov. Service Charges	-	-	(739,855)	-	-	-	(739,855)
Data Processing	-	-	(1,125,463)	-	-	-	(1,125,463)
Publicity and Publications	-	-	145,333	-	-	-	145,333
Professional Services	-	-	(983,390)	-	-	-	(983,390)
IT Professional Services	-	-	(3,012,000)	-	-	-	(3,012,000)
Attorney General	-	-	(757,633)	-	-	-	(757,633)

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**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Chief Financial Office  
Cross Reference Number: 10700-035-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Employee Recruitment and Develop	-	-	(2,248)	-	-	-	(2,248)
Dues and Subscriptions	-	-	(26,712)	-	-	-	(26,712)
Facilities Rental and Taxes	-	-	(528,611)	-	-	-	(528,611)
Fuels and Utilities	-	-	(563)	-	-	-	(563)
Agency Program Related S and S	-	-	(3,243)	-	-	-	(3,243)
Other Services and Supplies	-	-	(305,255)	-	-	-	(305,255)
Expendable Prop 250 - 5000	-	-	(73,399)	-	-	-	(73,399)
IT Expendable Property	-	-	(35,336)	-	-	-	(35,336)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$8,029,729)</b>	-	-	-	<b>(\$8,029,729)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(7,883,814)	-	-	-	(7,883,814)
<b>Total Expenditures</b>	-	-	<b>(\$7,883,814)</b>	-	-	-	<b>(\$7,883,814)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	7,883,814	-	-	-	7,883,814
<b>Total Ending Balance</b>	-	-	<b>\$7,883,814</b>	-	-	-	<b>\$7,883,814</b>
<b>Total Positions</b>							
Total Positions	-	-	-	-	-	-	1
<b>Total Positions</b>	-	-	-	-	-	-	<b>1</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Chief Financial Office  
Cross Reference Number: 10700-035-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total FTE</b>							
Total FTE							1.00
<b>Total FTE</b>	-	-	-	-	-	-	<b>1.00</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 081 - May 2012 E-Board

Cross Reference Name: Chief Financial Office  
Cross Reference Number: 10700-035-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Undistributed (P.S.)	-	-	387,129	-	-	-	387,129
<b>Total Personal Services</b>	-	-	<b>\$387,129</b>	-	-	-	<b>\$387,129</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	387,129	-	-	-	387,129
<b>Total Expenditures</b>	-	-	<b>\$387,129</b>	-	-	-	<b>\$387,129</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(387,129)	-	-	-	(387,129)
<b>Total Ending Balance</b>	-	-	<b>(\$387,129)</b>	-	-	-	<b>(\$387,129)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 091 - Statewide Administrative Savings

Cross Reference Name: Chief Financial Office  
Cross Reference Number: 10700-035-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Undistributed (S.S.)	-	-	(589,473)	-	-	-	(589,473)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$589,473)</b>	-	-	-	<b>(\$589,473)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(589,473)	-	-	-	(589,473)
<b>Total Expenditures</b>	-	-	<b>(\$589,473)</b>	-	-	-	<b>(\$589,473)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	589,473	-	-	-	589,473
<b>Total Ending Balance</b>	-	-	<b>\$589,473</b>	-	-	-	<b>\$589,473</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 092 - PERS Taxation Policy

Cross Reference Name: Chief Financial Office  
Cross Reference Number: 10700-035-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
PERS Policy Adjustment	-	-	(26,631)	-	-	-	(26,631)
<b>Total Personal Services</b>	-	-	<b>(\$26,631)</b>	-	-	-	<b>(\$26,631)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(26,631)	-	-	-	(26,631)
<b>Total Expenditures</b>	-	-	<b>(\$26,631)</b>	-	-	-	<b>(\$26,631)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	26,631	-	-	-	26,631
<b>Total Ending Balance</b>	-	-	<b>\$26,631</b>	-	-	-	<b>\$26,631</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 093 - Other PERS Adjustments

Cross Reference Name: Chief Financial Office  
Cross Reference Number: 10700-035-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
PERS Policy Adjustment	-	-	(212,791)	-	-	-	(212,791)
<b>Total Personal Services</b>	-	-	<b>(\$212,791)</b>	-	-	-	<b>(\$212,791)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(212,791)	-	-	-	(212,791)
<b>Total Expenditures</b>	-	-	<b>(\$212,791)</b>	-	-	-	<b>(\$212,791)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	212,791	-	-	-	212,791
<b>Total Ending Balance</b>	-	-	<b>\$212,791</b>	-	-	-	<b>\$212,791</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 102 - Facilities Assessment & Planning Software

Cross Reference Name: Chief Financial Office  
Cross Reference Number: 10700-035-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Cert of Participation	-	-	2,200,800	-	-	-	2,200,800
<b>Total Revenues</b>	-	-	<b>\$2,200,800</b>	-	-	-	<b>\$2,200,800</b>
<b>Services &amp; Supplies</b>							
Professional Services	-	-	1,517,986	-	-	-	1,517,986
Other COP Costs	-	-	82,814	-	-	-	82,814
<b>Total Services &amp; Supplies</b>	-	-	<b>\$1,600,800</b>	-	-	-	<b>\$1,600,800</b>
<b>Capital Outlay</b>							
Data Processing Software	-	-	600,000	-	-	-	600,000
<b>Total Capital Outlay</b>	-	-	<b>\$600,000</b>	-	-	-	<b>\$600,000</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	2,200,800	-	-	-	2,200,800
<b>Total Expenditures</b>	-	-	<b>\$2,200,800</b>	-	-	-	<b>\$2,200,800</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 117 - Capital Planning

Cross Reference Name: Chief Financial Office  
Cross Reference Number: 10700-035-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Admin and Service Charges	-	-	1,137,703	-	-	-	1,137,703
<b>Total Revenues</b>	-	-	<b>\$1,137,703</b>	-	-	-	<b>\$1,137,703</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	417,432	-	-	-	417,432
Empl. Rel. Bd. Assessments	-	-	80	-	-	-	80
Public Employees' Retire Cont	-	-	79,604	-	-	-	79,604
Social Security Taxes	-	-	31,358	-	-	-	31,358
Worker's Comp. Assess. (WCD)	-	-	118	-	-	-	118
Mass Transit Tax	-	-	2,505	-	-	-	2,505
Flexible Benefits	-	-	61,056	-	-	-	61,056
<b>Total Personal Services</b>	-	-	<b>\$592,153</b>	-	-	-	<b>\$592,153</b>
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	1,350	-	-	-	1,350
Employee Training	-	-	7,000	-	-	-	7,000
Office Expenses	-	-	4,000	-	-	-	4,000
Telecommunications	-	-	3,000	-	-	-	3,000
State Gov. Service Charges	-	-	6,350	-	-	-	6,350
Data Processing	-	-	1,300	-	-	-	1,300
Publicity and Publications	-	-	1,000	-	-	-	1,000
Professional Services	-	-	500,000	-	-	-	500,000
Employee Recruitment and Develop	-	-	800	-	-	-	800
Dues and Subscriptions	-	-	1,000	-	-	-	1,000

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**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 117 - Capital Planning

Cross Reference Name: Chief Financial Office  
Cross Reference Number: 10700-035-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Facilities Rental and Taxes	-	-	13,950	-	-	-	13,950
Other Services and Supplies	-	-	1,000	-	-	-	1,000
Expendable Prop 250 - 5000	-	-	4,800	-	-	-	4,800
<b>Total Services &amp; Supplies</b>	-	-	<b>\$545,550</b>	-	-	-	<b>\$545,550</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	1,137,703	-	-	-	1,137,703
<b>Total Expenditures</b>	-	-	<b>\$1,137,703</b>	-	-	-	<b>\$1,137,703</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions							2
<b>Total Positions</b>	-	-	-	-	-	-	<b>2</b>
<b>Total FTE</b>							
Total FTE							2.00
<b>Total FTE</b>	-	-	-	-	-	-	<b>2.00</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Chief Financial Officer		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
Charges for Services	OF - N L	3200	0410	-	-	-	-	-
	OF - L	3400		7,942,281	6,652,218	266,577	266,577	-
Admin and Service Charges	OF - N L	3200	0415	-	-	-	-	-
	OF - L	3400		15,941,099	16,752,913	12,746,624	12,743,869	-
	OF - DS	3430		-	-	-	-	-
Rents & Royalties	OF - L	3400	0510	102,057	-	-	-	-
Certificate of Participation	OF - CC	3020	0580	-	-	-	-	-
	OF - L	3400		-	-	2,200,800	2,200,800	-
Interest Income	LF - L	4400	0605	9,599	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		218,148	200,000	-	-	-
	OF - DS	3430		38	-	-	-	-
Sales Income	OF - NL	3200	0705	-	-	-	-	-
	OF - L	3400		2,494,989	2,396,897	-	-	-
Transfer In - Intrafund	LF - L	4400	1010	1,029,699	-	-	-	-
	LF - DS	4430		323,068	-	-	-	-
	OF - CI	3010		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		2,317,701	1,815,790	-	-	-
	OF - DS	3430		598,836	-	-	-	-
Transfer Out - Intrafund	LF - L	4400	2010	-	(1,361,248)	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		(5,231,609)	(5,667,875)	(285,552)	(285,552)	-
	OF - DS	3430		-	-	-	-	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Chief Financial Officer		ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
Source	Fund				Agency Request	Governor's Recommended	Legislatively Adopted
Lottery Funds Limited	4400		1,039,298	(1,361,248)	-	-	-
Lottery Funds Debt Service Limited	4430		323,068	-	-	-	-
Other Funds Cap Improvement	3010		-	-	-	-	-
Other Funds Cap Construction	3020		-	-	-	-	-
Other Funds Non-Limited	3200		-	-	-	-	-
Other Funds Non-Limited Debt Service	3230		-	-	-	-	-
Other Funds Limited	3400		23,784,666	22,149,943	14,928,449	14,925,694	-
Other Funds Debt Service Limited	3430		598,874	-	-	-	-
Federal Funds Debt Service Non-Limited	6230		-	-	-	-	-
Federal Funds Limited	6400		-	-	-	-	-
			<b>25,745,906</b>	<b>20,788,695</b>	<b>14,928,449</b>	<b>14,925,694</b>	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Chief Financial Office

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-035-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>LIMITED BUDGET (Excluding Packages)</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	16,412,435	22,107,551	21,720,422	9,459,424	9,419,143	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	7,906,236	9,657,964	9,387,964	9,387,964	9,387,964	-
<b>CAPITAL OUTLAY</b>						
Other Funds	157,073	-	-	-	-	-
<b>TOTAL LIMITED BUDGET (Excluding Packages)</b>						
Other Funds	24,475,744	31,765,515	31,108,386	18,847,388	18,807,107	-
<b>AUTHORIZED POSITIONS</b>	96	117	117	38	38	-
<b>AUTHORIZED FTE</b>	95.50	116.25	116.25	38.00	38.00	-
<b>LIMITED BUDGET (Essential Packages)</b>						
<b>010 NON-PICS PSNL SVC / VACANCY FACTOR</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	(468,837)	(468,843)	-
<b>031 STANDARD INFLATION</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	1,349,889	1,336,502	-
<b>060 TECHNICAL ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	146,762	145,915	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Chief Financial Office

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-035-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	(8,029,729)	(8,029,729)	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	1	1	-
<b>AUTHORIZED FTE</b>	-	-	-	1.00	1.00	-
<b>TOTAL LIMITED BUDGET (Essential Packages)</b>						
Other Funds	-	-	-	(7,001,915)	(7,016,155)	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	1	1	-
<b>AUTHORIZED FTE</b>	-	-	-	1.00	1.00	-
<b>LIMITED BUDGET (Current Service Level)</b>						
Other Funds	24,475,744	31,765,515	31,108,386	11,845,473	11,790,952	-
<b>AUTHORIZED POSITIONS</b>	96	117	117	39	39	-
<b>AUTHORIZED FTE</b>	95.50	116.25	116.25	39.00	39.00	-
<b>LIMITED BUDGET (Policy Packages)</b>						
<b>PRIORITY 0</b>						
<b>081 MAY 2012 E-BOARD</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	387,129	387,129	-
<b>091 STATEWIDE ADMINISTRATIVE SAVINGS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	-	(589,473)	-
<b>092 PERS TAXATION POLICY</b>						

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Chief Financial Office

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Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	-	(26,631)	-
<b>093 OTHER PERS ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	-	(212,791)	-
<b>102 FACILITIES ASSESSMENT &amp; PLANNING SOFTWARE</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	1,600,800	1,600,800	-
<b>CAPITAL OUTLAY</b>						
Other Funds	-	-	-	600,000	600,000	-
<b>117 CAPITAL PLANNING</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	594,908	592,153	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	545,550	545,550	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	2	2	-
<b>AUTHORIZED FTE</b>	-	-	-	2.00	2.00	-
<b>TOTAL LIMITED BUDGET (Policy Packages)</b>						
Other Funds	-	-	-	3,728,387	2,896,737	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	2	2	-
<b>AUTHORIZED FTE</b>	-	-	-	2.00	2.00	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
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Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>TOTAL LIMITED BUDGET (Including Packages)</b>						
Other Funds	24,475,744	31,765,515	31,108,386	15,573,860	14,687,689	-
<b>AUTHORIZED POSITIONS</b>	96	117	117	41	41	-
<b>AUTHORIZED FTE</b>	95.50	116.25	116.25	41.00	41.00	-
<b>OPERATING BUDGET</b>						
Other Funds	24,475,744	31,765,515	31,108,386	15,573,860	14,687,689	-
<b>AUTHORIZED POSITIONS</b>	96	117	117	41	41	-
<b>AUTHORIZED FTE</b>	95.50	116.25	116.25	41.00	41.00	-
<b>DEBT SERVICE (Excluding Packages)</b>						
<b>DEBT SERVICE</b>						
Lottery Funds	323,068	-	-	-	-	-
Other Funds	944,300	-	-	-	-	-
All Funds	1,267,368	-	-	-	-	-
<b>TOTAL DEBT SERVICE (Excluding Packages)</b>						
Lottery Funds	323,068	-	-	-	-	-
Other Funds	944,300	-	-	-	-	-
All Funds	1,267,368	-	-	-	-	-
<b>DEBT SERVICE (Current Service Level)</b>						
Lottery Funds	323,068	-	-	-	-	-
Other Funds	944,300	-	-	-	-	-
All Funds	1,267,368	-	-	-	-	-



Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Chief Financial Office

Version: Y - 01 - Governor's Budget  
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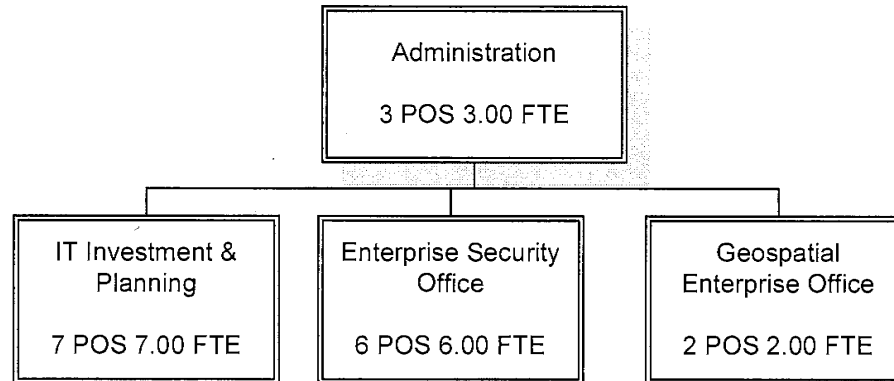
Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>TOTAL DEBT SERVICE (Including Packages)</b>						
Lottery Funds	323,068	-	-	-	-	-
Other Funds	944,300	-	-	-	-	-
All Funds	1,267,368	-	-	-	-	-
<b>TOTAL BUDGET</b>						
Lottery Funds	323,068	-	-	-	-	-
Other Funds	25,420,044	31,765,515	31,108,386	15,573,860	14,687,689	-
All Funds	25,743,112	31,765,515	31,108,386	15,573,860	14,687,689	-
<b>AUTHORIZED POSITIONS</b>	96	117	117	41	41	-
<b>AUTHORIZED FTE</b>	95.50	116.25	116.25	41.00	41.00	-



# Budget Narrative

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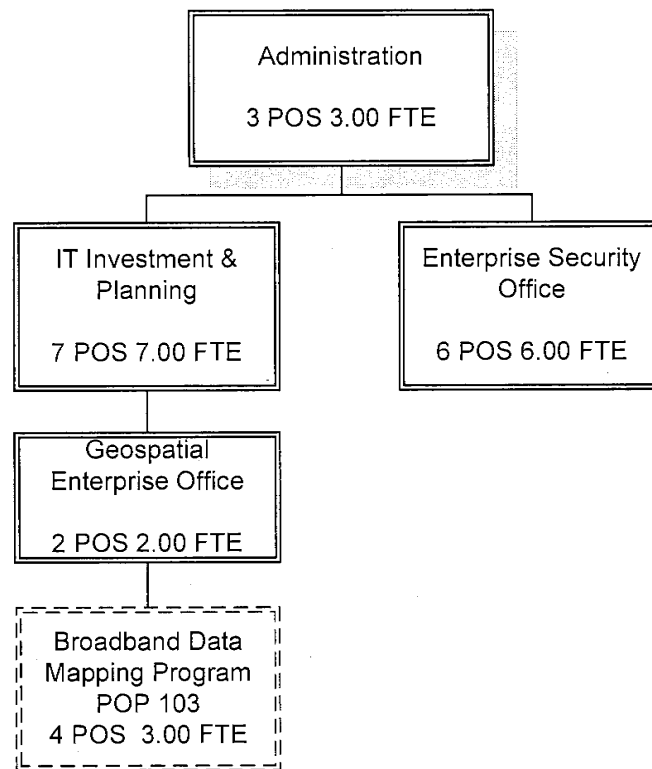
**Chief Information Office  
Organization Chart  
2011-13  
18 POS 18.00 FTE**



# Budget Narrative

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**Chief Information Office  
Organization Chart  
2013-15  
22 POS 21.00 FTE**



# Budget Narrative

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## Chief Information Office (CIO)

### Statutory Authority:

ORS 84.064, 184.305, 184.475, 184.477, 182.122, 192,825,192.850, 291.018, ORS 291.037, 291.038

### Program Unit Narratives:

The Chief Information Office (CIO) leads state government in enterprise information resource management, oversight, strategic planning and policy. The CIO serves the Chief Operating Officer and works collaboratively with other policy offices within the Chief Operating Office, governance bodies and agencies to lead Oregon's Information Technology (IT) Enterprise and to set the strategic direction for Oregon Government's current and future use of IT. The State Chief Information Officer (State CIO) serves as the Chief Information Office Administrator.

The Chief Information Office (CIO) is comprised of the following primary programs:

- 1) **Information Technology Investment and Planning (ITIP)** – leads statewide IT-related planning, coordination and oversight. ITIP develops and implements state IT management strategies, rules, policies, standards and processes and is responsible for the state's IT portfolio and asset management programs. ITIP provides professional support to a variety of government-wide IT initiatives and major IT-related governance bodies such as the Chief Information Officers Council.

ITIP develops the state's IT related budget instructions and reviews agency IT project requests. In addition, ITIP monitors and oversees the state's major IT projects and reports on the status of those projects to the State CIO, the Chief Operating Officer, the Chief Financial Officer and the Legislative Fiscal Office on a regular basis. Oversight and monitoring is

accomplished through third party Quality Assurance (QA) reviews, project reporting, and facilitation of risk mitigation strategies through work with the QA and agency project managers. ITIP develops policies, guidelines and standards, designed to help agencies successfully complete major technology projects.

- 2) **The Geospatial Enterprise Office (GEO)** is a program within ITIP that serves as a central collection and dissemination point for statewide geographic information. GEO coordinates the statewide development and stewardship of this information which is shared among federal, state, academic, regional, and local groups, as well as the general public. GEO also provides statewide coordination for geographic information activities across the enterprise of state government, including state agencies, local governments and academic institutions, as well as other communities of interest. In order for multiple organizations to access the data, a single agency needs to coordinate the development of the data, make sure it is in a standard format, document it and make it available electronically. This coordination results in better use of Geographic Information System (GIS) technologies, more effective IT planning, and ultimately, improved human, economic, public safety, and natural resource policies.
- 3) **The Enterprise Security Office (ESO)** provides state government-wide information security planning, coordination, training and consulting. ESO acts as a business and technology information security resource to state agencies. ESO is responsible for leadership, planning, development, and governance of the state information security program that includes:
  - Leads implementation of all laws and state policies relating to information security;

# Budget Narrative

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- Serves as the single point of accountability for information security in the state;
- Develops enterprise information security rules, plans, strategies and policies, standards and guidelines;
- Evaluates the security posture of the state on an ongoing basis and reports the results and trends to executive management and the legislature;
- Trains state employees on information security;
- Identifies, evaluates, and recommends mitigation strategies for ongoing as well as new/emerging threats and vulnerabilities; and,
- Leads statewide information security incident response.

## **2013-19 Six-Year Plan:**

The Enterprise Information Resource Management Strategy adopted in January of 2010 continues to provide overarching guidance and direction for state wide IT operations and initiatives through 2015. The three high level goals contained within the strategy are outlined below. More detail can be found in the Strategy document posted on the CIO website.

Goal 1 Strengthen Strategic Governance - Establish an IT governance model that engages top level executives to better utilize information technology to support statewide business through improved planning, management, oversight and utilization of existing and future assets.

Goal 2 Optimize Information Resource Investments – Identify and plan potential opportunities for consolidations such as e-mail systems. Reduce the complexity of IT infrastructure and processes through increased adoption of common architectures, standards and data interoperability. Optimize DAS enterprise level IT resources to better meet customer and stakeholder needs.

Goal 3 Innovate Service Delivery – Create the capacity to innovate and test systems and potential innovative solutions in an environment that leads to sharing and less replication of system development. Enable centers of excellence to facilitate shared use of resources and data. Deploy effective statewide administrative systems.

The Enterprise Information Resource Management Strategy will be updated during the 2013-15 biennium and will guide statewide information technology efforts and investments in alignment with the Governor's 10 year plan for government.

## **2013-15 Two-Year Plan:**

- Support Improving Government efforts coordinating with agencies on the development, planning and execution of cost saving and efficiency concepts.
- Develop a strategy and roadmap for agencies that administer licensing programs to obtain licensing services through either a center of excellence or shared licensing service model.
- Conduct a legacy system inventory and developing a strategy and roadmap for the replacement or modification of these systems over the next 10 years.
- Assess potential opportunities to consolidate IT infrastructure, systems and functions to better enable agency business needs.
- Create a clearing house of agency IT applications and identifying potential centers of excellence for agencies to utilize, share or replicate.
- Strengthen IT Governance for enterprise, updating relevant statewide strategies and plans, statewide policies and standards.
- Continue work with state agencies to strengthen security risk management practices, including lessons learned from Cyber Storm IV exercise to strengthen and refine Enterprise and Agency Incident Response practices.

# Budget Narrative

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- Develop and implement a Mobile Computing Strategy
- Develop a Cloud Computing Strategy for Oregon that incorporates the Software as a Service (SaaS) Strategy developed in 2010.
- Increase enterprise guidance for architecture and standards.
- Renew the Enterprise License Agreement for GIS software.

## 2011-2013 Accomplishments:

### Key division accomplishments:

- Conducted multiagency Cyber Storm IV Security Incident Response exercise simulating cyber attacks on several state agencies in conjunction with the US Department of Homeland Security.
- Utilized the Oregon Stimulus Transparency Accountability Tracking System (ORSTATS) to track and report Go Oregon and American Recovery and Reinvestment Act (ARRA) project expenditures using location based information.
- With no additional staff resources or funding and per the requirements outlined in: HB 2500 (passed in 2009); HB 2788, HB 2825, HB3188 and SB 250 (passed in 2011), the CIO staff have been responsible for the creation and progressively expanded annual update of Oregon's Transparency Website.
- Executed a contract with NICUSA to transition the e-Government portal, e-commerce and content management systems. With the contract comes a transition of the e-government program from an assessment based program to a self-funded program based on transaction and convenience fees.
- Implemented the email system consolidation roadmap and reduced the number of state e-mail systems in partnership with the Enterprise Technology Services division and customer agencies.
- Led the transition of statewide Audio & Video Conferencing Services to Oregon State University. Executed an enterprise license for web conferencing services in 2012.

- Worked in partnership with the Public Utility Commission to secure a multi-million dollar supplemental Broadband data collection and mapping federal grant. CIO became a subrecipient under the grant and now operates the program on behalf of Oregon through its scheduled sunset in December 2014.
- Completed the state's IT Asset Inventory.
- Deployed a number of location based tools including;
  - Oregon Cool Schools Interactive Map;
  - Oregon's Broadband Map
  - An updated Criminal Justice Statistics Map
  - RAPTOR – Real time Assessment and Planning Tool for Oregon
- Implemented a cloud-based aerial imagery services pilot.
- Established a multi-state contract for GIS Cloud Services in partnership with Colorado, Utah, Montana and the Western States Contracting Alliance.
- Established Statewide PC & Laptop Hardware Configuration Standards.
- Established multiagency task force to coordinate compliance with IRS Federal Tax Information regulations.
- Facilitated US Department of Homeland Security outreach to State and local government, Higher Education, and the private sector.
- Facilitated all agency Enterprise Risk Management Summit and provided agency training.
- Established Statewide Mobile Device Guidelines.

# Budget Narrative

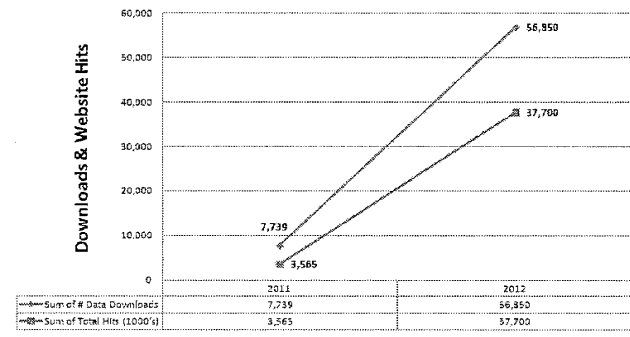
## Trends:

**GIS Usage:** Government usage of geographic information for decision-making support and system applications is increasing. Updates of GIS imagery continue to increase GIS data storage needs and costs. The demand from citizens, businesses and government agencies for on-line access to location based data through web portals is increasing.

Potential impacts of trends on GEO include:

- Increasing storage costs for data intensive imagery
- Increasing demand for imagery
- Increasing demand for access to common GIS data
- Increasing need to consolidate data and avoid data duplication
- Increased demand for web based tools using GIS data

Spatial Data Library - # of Downloads & # of Total Website Hits



NOTE: 2011 and 2012 data represent fiscal years. Government organizations (Federal, tribal, regional, state, and local government) and citizens have significantly increased their use of geospatial data and data services made available by the CIO GEO. This increase is partially due to the deployment of new technology acquired through Oregon's Esri enterprise license agreement. The CIO GEO has made the data much more easily accessible on the web, which has resulted in a dramatic increase in use of the data in support of business processes at every level of government, private sector, academia and the public.

**Open Government:** Citizens are expecting more information and data about government and government services. They want access to data in ways that allow them to gather the information and use it in ways that they want. The [Oregon Transparency Website](#), [Oregon Geospatial Data Library](#) and [Data.oregon.gov](#) were created and deployed to give citizens better access to public information.

**Information Security:** Targeted attacks are increasing as criminal activity becomes more organized and nation states develop cyber intelligence gathering capabilities. Performing risk assessments to identify and protect high profile systems becomes increasingly important as the sophistication of security attacks evolves.

## Revenue Sources:

All sections within the CIO receive funding through assessments to state agencies. Most assessments are based on an allocation of section cost divided by agency FTE count. The Geospatial Enterprise Office's assessment model uses a weighted FTE count that adds an additional weighted factor for agencies using GIS data.

To fund cooperative aerial imagery purchases and joint data development activities, the division may receive revenues from local and federal government participants, public utilities and private businesses. Broadband Data Collection and Mapping program efforts are funded through Other Funds Inter-agency transfer from Public Utilities Commission.



# Budget Narrative

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## **Essential Packages**

**Purpose:** The essential packages present budget adjustments needed to bring the base budget to Current Service Level (CSL), the calculated cost of continuing legislatively approved programs into the 2013-2015 biennium.

**How Achieved:** See explanations under captions "Vacancy Factor and Non-PICS Personal Services", "Cost of Phased-in and Phased-out Programs and One-time Costs," "Inflation and Price List Adjustments" and "Technical Adjustments".

**Staffing Impact:** None

**Revenue Sources:** The revenue source(s) are the same as for the program unit as a whole, unless described differently within an individual package.

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### **010 Non-PICS Psnl Svc / Vacancy Factor Package Description**

Package 010 includes standard inflation of 2.4% on such non-PICS items as temporaries, overtime, shift differential, all other differentials, and unemployment compensation, including the OPE associated with them, such as Mass Transit, Vacancy Savings and Pension Obligation Bond Debt Service.

The total amount of this package is \$21,634 Other Funds Limited.

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### **031 Inflation & Price List Adjustments Package Description**

This package is based on the standard 2.4% inflation factor for Services & Supplies and Capital Outlay items, except for State Government Service Charges, Facilities Rent, and Attorney General Charges, which are based on the 2013-2015 Price List of Goods and Services.

The total amount of this package is \$746,274 Other Funds Limited

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### **060 Technical Adjustments Package Description**

Package 060 includes the amount for technical budget adjustments.

The DAS Transformation required movement of 2011-2013 LAB budgets to its new enterprises. To better align budgets with the new enterprise adjustments were made that reflected where the expenditures for 2013-2015 would occur.

The total amount of this package is \$2,122,016 Other Funds Limited.

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# Budget Narrative

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**Policy Option Package 103:** Chief Information Office - State Broadband Data Development Program

**Companion Reduction Package:** None

**Purpose:** To continue implementation of the statewide initiative to track and report the availability and adoption of broadband internet services within Oregon.

**Background:**

Under the National Telecommunications and Information Administration's (NTIA) State Broadband Data Development Grants Program (initially funded by NTIA for two years beginning in December, 2009 under NTIA Grant Number 41-50-M09042 and now called the State Broadband Initiative (SBI), Oregon was awarded a supplemental federal grant to provide for the continued collection of broadband service area data, the maintenance of a broadband inventory map of Oregon, and semi-annual data submissions to NTIA. This activity supports the development and maintenance of a comprehensive nationwide inventory map of existing broadband service capability and availability in the United States, as well as identifying areas in Oregon where citizens do not have Internet access at broadband speeds. These supplemental funds provide the resources needed to maintain the broadband service area data collection and mapping efforts through December 31, 2014.

During the first two years of the grant, NTIA funding was used to engage a broadband mapping vendor whose employees lived and worked in New Hampshire. These federally-funded activities are currently being carried out on behalf of the Oregon Public Utility Commission (OPUC) via the Department of Administrative Services (DAS), Chief Information Office, Geospatial Enterprise Office through limited duration staffing and consultant services. The broadband service area and adoption data collected using the supplemental funds

are made available to the public by way of a mapping portal, subject to non-disclosure agreements as permitted by federal guidelines.

Now that the transition of the program to Oregon is complete (2012), the positions requested within this package are essential to Oregon's continued ability to operate, support and maintain the broadband data collection and mapping program technical infrastructure, data, and processes. Reporting deadlines established under NTIA occur on April 1 and October 1 each year and through the program's scheduled sunset in December 31, 2014.

**How Achieved:** DAS requests establishment of four limited duration positions in order to continue its commitment through the program's scheduled sunset in December 31, 2014. \$885,000 carried forward as an inter-agency transfer from Public Utilities Commission (PUC) is part of the CIO 2013-2015 current service level budget. No additional expenditure limitation is being requested at this time.

Should additional funds become available through the PUC, or other sources to continue the State Broadband Development Program, DAS will make a separate request to the Oregon Legislature and/or its Emergency Board for any needed expenditure limitation or position authority required to continue the program beyond its scheduled sunset.

**Staffing Impact:**

Add one limited duration, full-time, Information Systems Specialist 8, (UA C1488 IA), SR 33, (0.75 FTE)  
Add one limited duration, full-time, Information Systems Specialist 7, (UA C1487 IA), SR 31, (0.75 FTE)  
Add two limited duration, full-time, Information Systems Specialist 6, (UA C1486 IA), SR 29, (0.75 FTE)

# Budget Narrative

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**Revenue Source:**

\$885,000 - Other Funds Inter-agency transfer from Public Utilities Commission

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**Policy Package 091:** Statewide Administrative Savings

**Companion Package:** None

**Purpose:** The Governor's budget requires increased efficiency in the operation of state government, calls for additional savings in administrative expenditures, and allows for the reinvestment of some of the savings realized through efficiencies into agency programs or to other initiatives that will further improve the administrative operations of state government.

**How Achieved:** Package 091 was included in all agency budgets as a placeholder for administrative efficiencies to be found in Finance, IT, HR, Accounting, Payroll, and Procurement activities. The Improving Government subcommittee of the Enterprise Leadership Team will be identifying proposed efficiencies or changes in the delivery of service to meet the funding level in the Governor's budget, and will work with individual agencies on the impact to their budget, along with reinvestment opportunities.

**Staffing Impact:**

None

**Revenue Sources:**

(\$565,560) – Other Funds

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**Policy Package 092:** PERS Taxation Policy

**Companion Package:** None

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040 Chief Information Office  
2013-15 Governor's Balanced Budget

**Purpose:** This package supports a policy change to limit tax relief calculations to PERS retirees that are Oregon residents. Non-resident retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

**How Achieved:** Non-resident retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

**Staffing Impact:**

None

**Revenue Sources:**

(\$12,521) – Other Funds

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**Policy Package 093:** Other PERS Adjustments

**Companion Package:** None

**Purpose:** This package supports a policy change that affected other PERS Adjustments.

**How Achieved:** This package supports policy changes that reduce the PERS employer rate by approximately 320 basis points.

**Staffing Impact:**

None

**Revenue Sources:**

(\$100,052) – Other Funds

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Chief Information Office  
Cross Reference Number: 10700-040-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Pension Obligation Bond	-	-	10,064	-	-	-	10,064
Mass Transit Tax	-	-	1,739	-	-	-	1,739
Vacancy Savings	-	-	9,831	-	-	-	9,831
<b>Total Personal Services</b>	-	-	<b>\$21,634</b>	-	-	-	<b>\$21,634</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	21,634	-	-	-	21,634
<b>Total Expenditures</b>	-	-	<b>\$21,634</b>	-	-	-	<b>\$21,634</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(21,634)	-	-	-	(21,634)
<b>Total Ending Balance</b>	-	-	<b>(\$21,634)</b>	-	-	-	<b>(\$21,634)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Chief Information Office  
Cross Reference Number: 10700-040-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	416	-	-	-	416
Out of State Travel	-	-	80	-	-	-	80
Employee Training	-	-	3,347	-	-	-	3,347
Office Expenses	-	-	1,720	-	-	-	1,720
Telecommunications	-	-	2,472	-	-	-	2,472
State Gov. Service Charges	-	-	602,851	-	-	-	602,851
Data Processing	-	-	1,261	-	-	-	1,261
Publicity and Publications	-	-	542	-	-	-	542
Professional Services	-	-	914	-	-	-	914
IT Professional Services	-	-	1,392	-	-	-	1,392
Attorney General	-	-	2,062	-	-	-	2,062
Employee Recruitment and Develop	-	-	528	-	-	-	528
Dues and Subscriptions	-	-	265	-	-	-	265
Facilities Rental and Taxes	-	-	12,860	-	-	-	12,860
Agency Program Related S and S	-	-	112,707	-	-	-	112,707
Other Services and Supplies	-	-	502	-	-	-	502
Expendable Prop 250 - 5000	-	-	2,355	-	-	-	2,355
<b>Total Services &amp; Supplies</b>	-	-	<b>\$746,274</b>	-	-	-	<b>\$746,274</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	746,274	-	-	-	746,274
<b>Total Expenditures</b>	-	-	<b>\$746,274</b>	-	-	-	<b>\$746,274</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Chief Information Office  
Cross Reference Number: 10700-040-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Ending Balance</b>							
Ending Balance	-	-	(746,274)	-	-	-	(746,274)
<b>Total Ending Balance</b>	-	-	( <b>\$746,274</b> )	-	-	-	( <b>\$746,274</b> )

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Chief Information Office  
Cross Reference Number: 10700-040-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	1,802	-	-	-	1,802
Employee Training	-	-	7,007	-	-	-	7,007
Office Expenses	-	-	6,007	-	-	-	6,007
Telecommunications	-	-	5,771	-	-	-	5,771
Data Processing	-	-	267,674	-	-	-	267,674
Publicity and Publications	-	-	1,501	-	-	-	1,501
Professional Services	-	-	934,560	-	-	-	934,560
IT Professional Services	-	-	698,037	-	-	-	698,037
Employee Recruitment and Develop	-	-	2,503	-	-	-	2,503
Dues and Subscriptions	-	-	1,452	-	-	-	1,452
Facilities Rental and Taxes	-	-	4,650	-	-	-	4,650
Other Services and Supplies	-	-	191,052	-	-	-	191,052
<b>Total Services &amp; Supplies</b>	-	-	<b>\$2,122,016</b>	-	-	-	<b>\$2,122,016</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	2,122,016	-	-	-	2,122,016
<b>Total Expenditures</b>	-	-	<b>\$2,122,016</b>	-	-	-	<b>\$2,122,016</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(2,122,016)	-	-	-	(2,122,016)
<b>Total Ending Balance</b>	-	-	<b>(\$2,122,016)</b>	-	-	-	<b>(\$2,122,016)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 091 - Statewide Administrative Savings

Cross Reference Name: Chief Information Office  
Cross Reference Number: 10700-040-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Undistributed (S.S.)	-	-	(565,560)	-	-	-	(565,560)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$565,560)</b>	-	-	-	<b>(\$565,560)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(565,560)	-	-	-	(565,560)
<b>Total Expenditures</b>	-	-	<b>(\$565,560)</b>	-	-	-	<b>(\$565,560)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	565,560	-	-	-	565,560
<b>Total Ending Balance</b>	-	-	<b>\$565,560</b>	-	-	-	<b>\$565,560</b>



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 092 - PERS Taxation Policy

Cross Reference Name: Chief Information Office  
Cross Reference Number: 10700-040-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
PERS Policy Adjustment	-	-	(12,521)	-	-	-	(12,521)
<b>Total Personal Services</b>	-	-	<b>(\$12,521)</b>	-	-	-	<b>(\$12,521)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(12,521)	-	-	-	(12,521)
<b>Total Expenditures</b>	-	-	<b>(\$12,521)</b>	-	-	-	<b>(\$12,521)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	12,521	-	-	-	12,521
<b>Total Ending Balance</b>	-	-	<b>\$12,521</b>	-	-	-	<b>\$12,521</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 093 - Other PERS Adjustments

Cross Reference Name: Chief Information Office  
Cross Reference Number: 10700-040-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
PERS Policy Adjustment	-	-	(100,052)	-	-	-	(100,052)
<b>Total Personal Services</b>	-	-	<b>(\$100,052)</b>	-	-	-	<b>(\$100,052)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(100,052)	-	-	-	(100,052)
<b>Total Expenditures</b>	-	-	<b>(\$100,052)</b>	-	-	-	<b>(\$100,052)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	100,052	-	-	-	100,052
<b>Total Ending Balance</b>	-	-	<b>\$100,052</b>	-	-	-	<b>\$100,052</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 103 - CIO - Broadband Data Mapping

Cross Reference Name: Chief Information Office  
Cross Reference Number: 10700-040-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions							4
<b>Total Positions</b>	-	-	-	-	-	-	<b>4</b>
<b>Total FTE</b>							
Total FTE							3.00
<b>Total FTE</b>	-	-	-	-	-	-	<b>3.00</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Chief Information Officer		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
Source	Agency Request					Governor's Recommended	Legislatively Adopted	
Charges for Services	OF - N L	3200	0410	-	-	-	-	-
	OF - L	3400		-	2,764,161	4,810,090	4,810,090	-
Admin and Service Charges	OF - N L	3200	0415	-	-	-	-	-
	OF - L	3400		16,584,004	4,798,553	7,435,736	7,435,736	-
	OF - DS	3430		-	-	-	-	-
Interest Income	LF - L	4400	0605	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		63,140	-	-	-	-
	OF - DS	3430		2,052	-	-	-	-
Transfer In - Intrafund	LF - L	4400	1010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CI	3010		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		1,085,319	1,062,124	1,126,933	1,126,933	-
	OF - DS	3430		7,659,187	-	-	-	-
Tsfr From Public Utility Comm	OF - L	3400	1860	-	-	885,000	885,000	-
Transfer Out - Intrafund	LF - L	4400	2010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		(9,002,074)	(1,390,761)	(1,326,527)	(1,326,527)	-
	OF - DS	3430		-	-	-	-	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Chief Information Officer		ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
Source	Fund				Agency Request	Governor's Recommended	Legislatively Adopted
Lottery Funds Limited	4400		-	-	-	-	-
Lottery Funds Debt Service Limited	4430		-	-	-	-	-
Other Funds Cap Improvement	3010		-	-	-	-	-
Other Funds Cap Construction	3020		-	-	-	-	-
Other Funds Non-Limited	3200		-	-	-	-	-
Other Funds Non-Limited Debt Service	3230		-	-	-	-	-
Other Funds Limited	3400		8,730,389	7,234,077	12,931,232	12,931,232	-
Other Funds Debt Service Limited	3430		7,661,239	-	-	-	-
Federal Funds Debt Service Non-Limited	6230		-	-	-	-	-
Federal Funds Limited	6400		-	-	-	-	-
			<b>16,391,628</b>	<b>7,234,077</b>	<b>12,931,232</b>	<b>12,931,232</b>	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Chief Information Office

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-040-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>LIMITED BUDGET (Excluding Packages)</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	4,063,060	3,856,748	3,856,748	4,688,930	4,668,294	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	1,988,516	5,688,563	5,688,563	5,688,563	5,688,563	-
<b>TOTAL LIMITED BUDGET (Excluding Packages)</b>						
Other Funds	6,051,576	9,545,311	9,545,311	10,377,493	10,356,857	-
AUTHORIZED POSITIONS	19	16	16	18	18	-
AUTHORIZED FTE	19.00	16.00	16.00	18.00	18.00	-
<b>LIMITED BUDGET (Essential Packages)</b>						
<b>010 NON-PICS PSNL SVC / VACANCY FACTOR</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	21,634	21,634	-
<b>031 STANDARD INFLATION</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	754,881	746,274	-
<b>060 TECHNICAL ADJUSTMENTS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	2,122,016	2,122,016	-
<b>TOTAL LIMITED BUDGET (Essential Packages)</b>						
Other Funds	-	-	-	2,898,531	2,889,924	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Chief Information Office

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-040-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>LIMITED BUDGET (Current Service Level)</b>						
Other Funds	6,051,576	9,545,311	9,545,311	13,276,024	13,246,781	-
<b>AUTHORIZED POSITIONS</b>	19	16	16	18	18	-
<b>AUTHORIZED FTE</b>	19.00	16.00	16.00	18.00	18.00	-
<b>LIMITED BUDGET (Policy Packages)</b>						
<b>PRIORITY 0</b>						
<b>091 STATEWIDE ADMINISTRATIVE SAVINGS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	-	(565,560)	-
<b>092 PERS TAXATION POLICY</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	-	(12,521)	-
<b>093 OTHER PERS ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	-	(100,052)	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	4	4	-
<b>AUTHORIZED FTE</b>	-	-	-	3.00	3.00	-
<b>TOTAL LIMITED BUDGET (Policy Packages)</b>						
Other Funds	-	-	-	-	(678,133)	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	4	4	-
<b>AUTHORIZED FTE</b>	-	-	-	3.00	3.00	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Chief Information Office

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-040-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>TOTAL LIMITED BUDGET (Including Packages)</b>						
Other Funds	6,051,576	9,545,311	9,545,311	13,276,024	12,568,648	-
<b>AUTHORIZED POSITIONS</b>	19	16	16	22	22	-
<b>AUTHORIZED FTE</b>	19.00	16.00	16.00	21.00	21.00	-
<b>OPERATING BUDGET</b>						
Other Funds	6,051,576	9,545,311	9,545,311	13,276,024	12,568,648	-
<b>AUTHORIZED POSITIONS</b>	19	16	16	22	22	-
<b>AUTHORIZED FTE</b>	19.00	16.00	16.00	21.00	21.00	-
<b>DEBT SERVICE (Excluding Packages)</b>						
<b>DEBT SERVICE</b>						
Other Funds	8,706,592	-	-	-	-	-
<b>TOTAL DEBT SERVICE (Excluding Packages)</b>	8,706,592	-	-	-	-	-
<b>DEBT SERVICE (Current Service Level)</b>						
Other Funds	8,706,592	-	-	-	-	-
<b>TOTAL DEBT SERVICE (Including Packages)</b>	8,706,592	-	-	-	-	-
<b>TOTAL BUDGET</b>						
Other Funds	14,758,168	9,545,311	9,545,311	13,276,024	12,568,648	-
<b>AUTHORIZED POSITIONS</b>	19	16	16	22	22	-
<b>AUTHORIZED FTE</b>	19.00	16.00	16.00	21.00	21.00	-

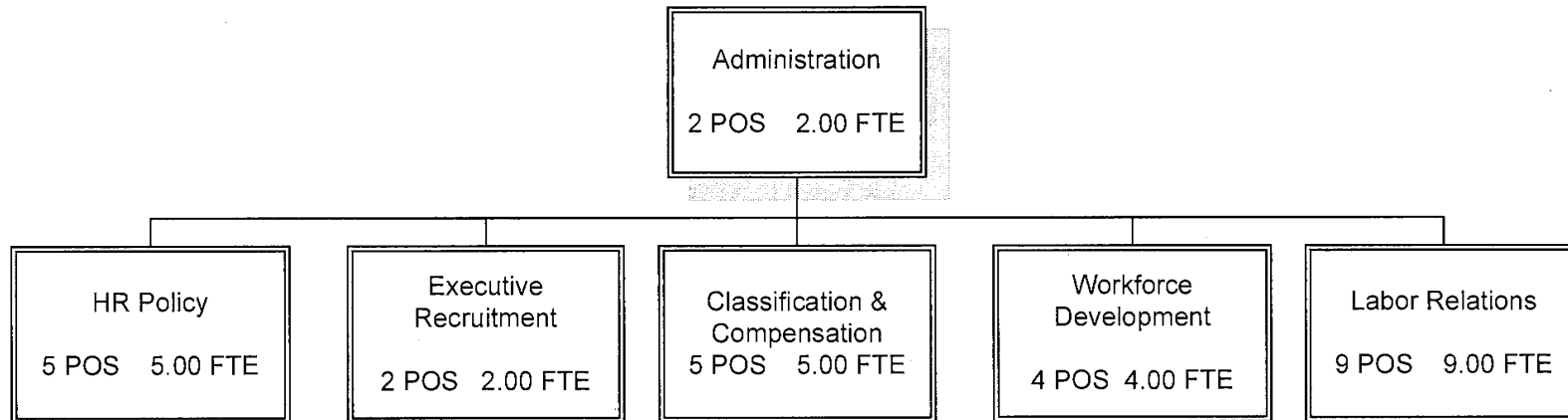




# Budget Narrative

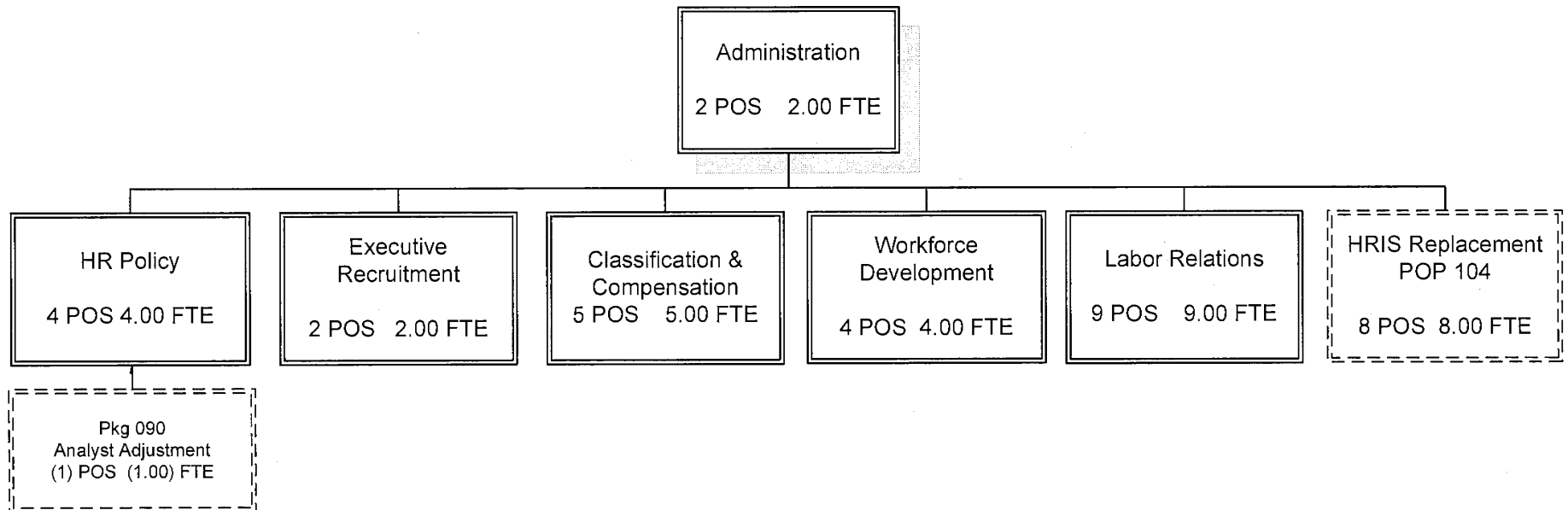
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**Chief Human Resource Office  
Organization Chart  
2011-13  
27 POS 27.00 FTE**



# Budget Narrative

## Chief Human Resource Office Organization Chart 2013-15 34 POS 34.00 FTE



# Budget Narrative

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## Chief Human Resource Office (CHRO)

### **Statutory Authority:**

ORS 240, 243

OAR 105

### **Program Unit Narratives:**

The Chief Human Resource Office (CHRO) provides leadership and policies to maintain a reliable and qualified workforce for state agencies. This allows state agencies the flexibility to manage their human capital in a cost-effective way.

The CHRO serves its customers with the following central functions:

#### **HR Policy:**

CHRO receives requests for policy information and interpretation from all state agencies, boards and commissions seeking interpretation and assistance with DAS policies and practices. The staff provides service to ensure a consistent application of a myriad of policies and practices that affect employees' workplace environment, benefits and expectations.

#### **Executive Recruitment:**

CHRO assists with or facilitates the recruitment of executive level positions on a statewide basis. This includes working with agencies to determine recruitment needs and talent assessment, reference checks and on boarding executives into positions of responsibility. In addition, e-Recruit, an online application process is administered by CHRO which automates manual screening for minimum qualifications, reduces paperwork for agencies and applicants and provides timely responses to applicants.

#### **Classification and Compensation:**

This program ensures state government acts as one employer in classifying and compensating employees equitably, especially those who perform similar duties. The unit administers and maintains a central system for job classification and compensation and responds to requests from agencies and labor unions for selective reviews and classification studies to ensure compliance with HR policy and collective bargaining agreements.

#### **Workforce Development:**

CHRO provides a statewide framework for a variety of management training systems, processes, resources and tools to support a manager's lifecycle and success achievement. This includes talent acquisition, employee development, retention and exiting.

#### **Labor Relations:**

Staff handles collective bargaining and contract negotiations for 27,000 state employees, more than 12,000 home care workers, 7,000 child care providers and 3,300 providers of adult foster care and relative care. Labor Relations brings proven expertise to employee relations, contract negotiation and contract administration providing consistent application across Oregon state government as one employer.

#### **2013-19 Six-Year Plan:**

- Meet the information needs of CHRO's customers.
- Develop effective policies with clear direction.
- Develop appropriate enterprise wide human resource solutions.
- Protect the state's human resources and information assets.
- Develop and maintain constructive and effective labor relations.

#### **2013-15 Two-Year Plan:**

The division has planned the following short-term actions to meet the information needs of our customers:

- Establish and monitor standards for response time.

# Budget Narrative

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- Evaluate and create a viable management and classification and compensation system.
- Develop a statewide management training program.
- Create a succession planning method in anticipation of widespread employee retirements
- 

The division has planned the following actions to provide policies with clear direction:

- Continue to involve agencies in all aspects of policy development.
- Continue using advisory groups in classification and compensation.
- Establish a Human Resource Advisory Committee for policy development.
- Establish a sub-committee of the Enterprise Leadership Committee to discuss classification and compensation on a statewide basis.

The division will take the following actions to develop appropriate enterprise solutions:

- Align job classifications and compensation systems more closely with the market.
- Establish and implement a broad and consistent labor negotiation philosophy and plan of action in anticipation of the 2013-2015 labor negotiation cycle.

The division has planned the following actions to define and implement statewide visions:

- Develop strategies to help agencies retain key employees and compete for talent, both locally and nationally.
- Increase representation of minority candidates in recruitment pools at all levels.
- Continue to increase visibility of state government as an employer of choice among diverse communities.

The division has planned the following actions to protect the state's information assets and systems:

- Maintain a central policy that governs the process for security access.
- Continue to educate HR managers and staff about how to handle sensitive data.

## **2011-13 Accomplishments:**

For information needs of the division:

- Conducted training in new collective bargaining language.
- Provides policy training for HR managers.

For policies and direction, the division:

- Continued advisory committees and feedback mechanisms for stakeholders.
- Involved agencies in policy development.
- Continued advisory groups to assist in classification and compensation.

For information security, the division:

- Updated and maintained the central security access policy and process.
- Educated HR managers and staff on how to handle sensitive data.

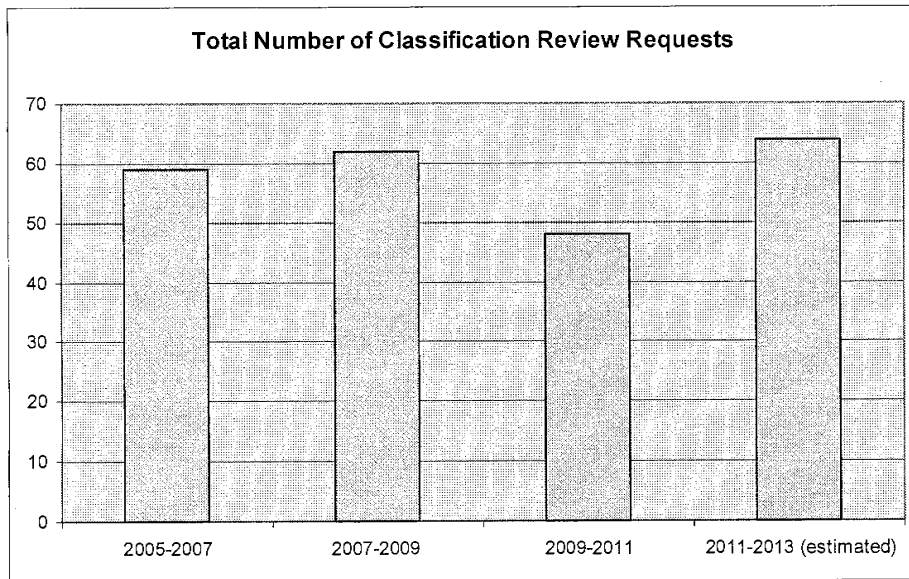
## **Trends:**

### *Classification and Compensation Reviews*

Requests to the Classification and Compensation Unit for review of classifications and compensation continue to grow. Some requests result from the collective bargaining process, while others come at the

## Budget Narrative

request of the agencies. The unit provides comparators to determine how Oregon state government compares in total compensation to the overall labor market. Market comparisons include published surveys, other local governments, and other contiguous states.



### **Revenue Sources:**

The division receives its funding mainly through an assessment to agencies which is based on agency total budgeted FTE.

### **Debt Service:**

The Human Resource Information System (HRIS) project was originally a joint effort with the Oregon Department of Transportation (ODOT) to identify and implement a commercial off the shelf enterprise resource planning system that included a statewide human resource component and ODOT financial models. When fully implemented, this project was

intended to replace the nearly 30 year old Position Personnel Database (PPDB) and the Position Information Control Systems (PICS) applications.

In the 2011-13 biennium the CHRO completed final payment of the \$1,225,000 for Certificates of Participation issued in 07-09 biennium to fund the HRIS Project Stage 1. The last debt service payment of \$533,207 was paid in the 2011-13 biennium.

Due to the economic downturn the next phase, Project Stage 2, was deferred.

At this time the project is being continued, but only by the DAS CHRO. Budget Package 105 is being submitted requesting expenditure limitation and financing through Article XI – Q bonds for the next phase – Human Resource Information System (HRIS) Selection and Implementation. It is anticipated that this phase of the project will undertake systems development and system implementation.

Full implementation of the new HRIS system will most likely be achieved during the 2015-17 biennium as the CHRO assists other Executive Branch agencies in transitioning to them to the same robust HR services implemented through the new system by DAS. At this point, the project will have reached its estimated full cost of approximately \$46M.

# Budget Narrative

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## **Essential Packages**

**Purpose:** The essential packages present budget adjustments needed to bring the base budget to Current Service Level (CSL), the calculated cost of continuing legislatively approved programs into the 2013-2015 biennium.

**How Achieved:** See explanations under captions "Vacancy Factor and Non-PICS Personal Services", "Cost of Phased-in and Phased-out Programs and One-time Costs," "Inflation and Price List Adjustments" and "Technical Adjustments".

**Staffing Impact:** None

**Revenue Sources:** The revenue source(s) are the same as for the program unit as a whole, unless described differently within an individual package.

### **010 Non-PICS Psnl Svc / Vacancy Factor Package Description**

Package 010 includes standard inflation of 2.4% on such non-PICS items as temporaries, overtime, shift differential, all other differentials, and unemployment compensation, including the OPE associated with them, such as Mass Transit, Vacancy Savings and Pension Obligation Bond Debt Service.

The total amount of this package is (\$555,141) Other Funds Limited.

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### **022 Phase-out Package Description**

Package 022 phases out positions and its related costs attributed to the following packages from the 2011-13 biennium. Package 165, Class/Comp.

The total amount of this phase-out package equals (\$300,000) Other Funds Limited.

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### **031 Inflation & Price List Adjustments Package Description**

This package is based on the standard 2.4% inflation factor for Services & Supplies and Capital Outlay items, except for State Government Service Charges, Facilities Rent, and Attorney General Charges, which are based on the 2013-2015 Price List of Goods and Services.

The total amount of this package is \$1,148,952 Other Funds Limited.

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### **060 Technical Adjustments Package Description**

Package 060 includes the amount for technical budget adjustments.

The DAS Transformation required movement of 2011-2013 LAB budgets to its new enterprises. To better align budgets with the new enterprise adjustments were made that reflected where the expenditures for 2013-2015 would occur.

The total amount of this package is (\$3,524,017) Other Funds Limited.

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## Budget Narrative

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### **Policy Packages:**

**Policy Package No. 090:** Analyst Adjustments

**Companion Package:** None

**Purpose:** To reduce budget levels for the Chief Human Resource Office.

**How Achieved:**

This package eliminates one position in the Chief Human Resource Office. In the reorganization of DAS HRSD to an EM policy model one clerical support position (Office Specialist 2) remained within the CHRO. While retaining this position would enable clerical support for a myriad of iLearn, Executive Recruitment and Policy needs, removing it would not pose a serious compromise of programs and services.

**Staffing Impact:**

Abolish one permanent, full-time, Office Specialist 2, (UA C0104 AA), SR 15 (1.00 FTE)

**Revenue Source:**

(\$123,756) Other Funds.

---

**Policy Package 091:** Statewide Administrative Savings

**Companion Package:** None

**Purpose:** The Governor's budget requires increased efficiency in the operation of state government, calls for additional savings in administrative expenditures, and allows for the reinvestment of some of the savings realized through efficiencies into agency programs or to other initiatives that will further improve the administrative operations of state government.

**How Achieved:** Package 091 was included in all agency budgets as a placeholder for administrative efficiencies to be found in Finance, IT, HR, Accounting, Payroll, and Procurement activities. The Improving Government subcommittee of the Enterprise Leadership Team will be identifying proposed efficiencies or changes in the delivery of service to meet the funding level in the Governor's budget, and will work with individual agencies on the impact to their budget, along with reinvestment opportunities.

**Staffing Impact:**

None

**Revenue Sources:**

(\$635,146) – Other Funds

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**Policy Package 092:** PERS Taxation Policy

**Companion Package:** None

**Purpose:** This package supports a policy change to limit tax relief calculations to PERS retirees that are Oregon residents. Non-resident retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

**How Achieved:** Non-resident retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

**Staffing Impact:**

None

**Revenue Sources:**

(\$23,051) – Other Funds

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## Budget Narrative

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### **Policy Package 093:** Other PERS Adjustments

**Companion Package:** None

**Purpose:** This package supports a policy change that affected other PERS Adjustments.

**How Achieved:** This package supports policy changes that reduce the PERS employer rate by approximately 320 basis points.

**Staffing Impact:**

None

**Revenue Sources:**

(\$184,192) – Other Funds

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### **Policy Option Package 104:** Human Resource Information System (HRIS) Selection and Implementation

**Companion Package:** Policy Option Package 117, DCR 093-04, DAS Debt Service, Chief Financial Office

**Purpose:** The purpose of this package is to provide the State of Oregon with a single, comprehensive Human Resource Information System (HRIS) to replace the 22 year old, state developed system that is beyond its useful life. The current HRIS no longer adequately supports the state functions it was originally designed to process and has been modified since implementation to accommodate changes to HR policy, statute, and other business process changes. The system is not capable of being upgraded to meet the modern HR system needs with complex human resource requirements faced by roughly 90 executive branch state agencies and 130 boards and commissions.

**How Achieved:** Establish eight FTE. An HRIS Project Manager (Limited Duration Principal Executive/Manager F) will be hired to lead/coordinate/manage the selection and initial implementation for this phase of the HRIS project. Three Human Resource positions (Human Resource Consultant 2, Operations & Policy Analyst 4, Operations & Policy Analyst 2) will be hired and responsible for ensuring the needed Human Resource functionality is clearly understood and incorporated into the new system; and that the system which is the end product of the project can be operated efficiently and effectively by the Human Resource staff for which the system is being designed. Four Information Systems staff (3 Information Systems Specialist 8, 1 Information System Specialist 5) will be responsible ensuring the system requirements, design, and implementation are consistent with and integrate appropriately with the State's existing Information Technology strategies, policies, and systems, while providing the identified Human Resource systems functionality.

This package will provide the resources necessary to:

- Review and analyze historical HRIS efforts from the Division's legislatively-approved 2007-09 budget;
- Refine the enterprise HR business processes;
- Determine current customer requirements and HR data needs;
- Complete comprehensive business case / cost benefit analysis considering available solutions;
- Develop a Request for Proposal to identify and acquire a human resource information system (HRIS) solution; and
- Begin implementation of a commercial off the shelf (COTS) or Software as a Service (SaaS) human resource information system (HRIS) to replace the state's existing Position Personnel Data Base (PPDB) and Position Information Control System (PICS) in the 2013-15 biennium.

Once fully implemented, this HRIS project will improve the state government enterprise by:

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## Budget Narrative

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- Increasing the efficiency and productivity of HR processes by using electronic workflow and approval capabilities;
- Greatly reducing if, if not eliminating, redundant and costly state agency HR shadow systems and processes;
- Providing robust standardized reporting and what-if scenario capabilities with consistent and accurate HR and position data available on demand;
- Reducing costly paper storage of HR related documents, such as personnel files;
- Interfacing with or incorporate other core enterprise systems; and
- Providing functionality and other benefits that an enterprise HRIS application can provide.

Some additional capabilities a new system may provide which are not currently available with existing enterprise systems are:

- Electronic file management of personnel records;
- On boarding new employees;
- Performance appraisal management and processing; and
- Talent management (including succession/career management, skills assessments, employee development plans, etc.).

In replacing the HR system, one of the main requirements will be to choose a modern HR system which will integrate with other State management systems in the future such as financial, accounting, procurement, and asset management.

### **Staffing Impact:**

Add one limited duration, full-time, Principal Executive/Manager F, (MMS X7010 IA), SR 35X, (1.00 FTE)

Add one permanent, full-time, Operations & Policy Analyst 4, (MMN X0873 AA), SR 32, (1.00 FTE)

Add one permanent, full-time, Operations & Policy Analyst 2, (MMN X0871 AA), SR 27, (1.00 FTE)

Add one permanent, full-time, Human Resource Consultant 2, (MMN X1327 AA), SR 32, (1.00 FTE)

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045 Chief Human Resource Office  
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Add three permanent, full-time, Information Systems Specialist 8, (OA C1488 IA), SR 33, (3.00 FTE)

Add one permanent full-time, Information Systems Specialist 5, (OA C1485 IA), SR 28, (1.00 FTE)

### **Revenue Source:**

\$40,112,131 - Article XI-Q Bond Proceeds (045-00)

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Chief Human Resource Office  
Cross Reference Number: 10700-045-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Temporary Appointments	-	-	347	-	-	-	347
All Other Differential	-	-	410	-	-	-	410
Public Employees' Retire Cont	-	-	79	-	-	-	79
Pension Obligation Bond	-	-	(416,566)	-	-	-	(416,566)
Social Security Taxes	-	-	58	-	-	-	58
Mass Transit Tax	-	-	(47,378)	-	-	-	(47,378)
Vacancy Savings	-	-	(92,089)	-	-	-	(92,089)
Reconciliation Adjustment	-	-	(2)	-	-	-	(2)
<b>Total Personal Services</b>	-	-	<b>(\$555,141)</b>	-	-	-	<b>(\$555,141)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(555,141)	-	-	-	(555,141)
<b>Total Expenditures</b>	-	-	<b>(\$555,141)</b>	-	-	-	<b>(\$555,141)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	555,141	-	-	-	555,141
<b>Total Ending Balance</b>	-	-	<b>\$555,141</b>	-	-	-	<b>\$555,141</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 022 - Phase-out Pgm & One-time Costs

Cross Reference Name: Chief Human Resource Office  
Cross Reference Number: 10700-045-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Professional Services	-	-	(300,000)	-	-	-	(300,000)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$300,000)</b>	-	-	-	<b>(\$300,000)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(300,000)	-	-	-	(300,000)
<b>Total Expenditures</b>	-	-	<b>(\$300,000)</b>	-	-	-	<b>(\$300,000)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	300,000	-	-	-	300,000
<b>Total Ending Balance</b>	-	-	<b>\$300,000</b>	-	-	-	<b>\$300,000</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Chief Human Resource Office  
Cross Reference Number: 10700-045-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	925	-	-	-	925
Out of State Travel	-	-	115	-	-	-	115
Employee Training	-	-	4,224	-	-	-	4,224
Office Expenses	-	-	6,358	-	-	-	6,358
Telecommunications	-	-	5,028	-	-	-	5,028
State Gov. Service Charges	-	-	931,387	-	-	-	931,387
Data Processing	-	-	21,682	-	-	-	21,682
Publicity and Publications	-	-	1,635	-	-	-	1,635
Professional Services	-	-	14,119	-	-	-	14,119
IT Professional Services	-	-	21,707	-	-	-	21,707
Attorney General	-	-	90,901	-	-	-	90,901
Employee Recruitment and Develop	-	-	1,017	-	-	-	1,017
Dues and Subscriptions	-	-	1,144	-	-	-	1,144
Facilities Rental and Taxes	-	-	41,150	-	-	-	41,150
Other Services and Supplies	-	-	1,570	-	-	-	1,570
Expendable Prop 250 - 5000	-	-	4,363	-	-	-	4,363
IT Expendable Property	-	-	1,627	-	-	-	1,627
<b>Total Services &amp; Supplies</b>	-	-	<b>\$1,148,952</b>	-	-	-	<b>\$1,148,952</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	1,148,952	-	-	-	1,148,952
<b>Total Expenditures</b>	-	-	<b>\$1,148,952</b>	-	-	-	<b>\$1,148,952</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Chief Human Resource Office  
Cross Reference Number: 10700-045-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Ending Balance</b>							
Ending Balance	-	-	(1,148,952)	-	-	-	(1,148,952)
<b>Total Ending Balance</b>	-	-	<b>(\$1,148,952)</b>	-	-	-	<b>(\$1,148,952)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Chief Human Resource Office  
Cross Reference Number: 10700-045-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	(16,045)	-	-	-	(16,045)
Out of State Travel	-	-	(199)	-	-	-	(199)
Employee Training	-	-	(124,069)	-	-	-	(124,069)
Office Expenses	-	-	(204,729)	-	-	-	(204,729)
Telecommunications	-	-	(109,686)	-	-	-	(109,686)
State Gov. Service Charges	-	-	(232,196)	-	-	-	(232,196)
Data Processing	-	-	(734,643)	-	-	-	(734,643)
Publicity and Publications	-	-	(25,472)	-	-	-	(25,472)
Professional Services	-	-	(471,604)	-	-	-	(471,604)
IT Professional Services	-	-	(693,142)	-	-	-	(693,142)
Attorney General	-	-	(175,616)	-	-	-	(175,616)
Employee Recruitment and Develop	-	-	(27,181)	-	-	-	(27,181)
Dues and Subscriptions	-	-	(21,591)	-	-	-	(21,591)
Facilities Rental and Taxes	-	-	(438,386)	-	-	-	(438,386)
Other Services and Supplies	-	-	(61,467)	-	-	-	(61,467)
Expendable Prop 250 - 5000	-	-	(164,660)	-	-	-	(164,660)
IT Expendable Property	-	-	(23,331)	-	-	-	(23,331)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$3,524,017)</b>	-	-	-	<b>(\$3,524,017)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(3,524,017)	-	-	-	(3,524,017)
<b>Total Expenditures</b>	-	-	<b>(\$3,524,017)</b>	-	-	-	<b>(\$3,524,017)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Chief Human Resource Office  
Cross Reference Number: 10700-045-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Ending Balance</b>							
Ending Balance	-	-	3,524,017	-	-	-	3,524,017
<b>Total Ending Balance</b>	-	-	<b>\$3,524,017</b>	-	-	-	<b>\$3,524,017</b>



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 090 - Analyst Adjustments

Cross Reference Name: Chief Human Resource Office  
Cross Reference Number: 10700-045-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	(72,768)	-	-	-	(72,768)
Empl. Rel. Bd. Assessments	-	-	(40)	-	-	-	(40)
Public Employees' Retire Cont	-	-	(13,877)	-	-	-	(13,877)
Social Security Taxes	-	-	(5,567)	-	-	-	(5,567)
Worker's Comp. Assess. (WCD)	-	-	(59)	-	-	-	(59)
Flexible Benefits	-	-	(30,528)	-	-	-	(30,528)
Reconciliation Adjustment	-	-	(917)	-	-	-	(917)
<b>Total Personal Services</b>	-	-	<b>(\$123,756)</b>	-	-	-	<b>(\$123,756)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(123,756)	-	-	-	(123,756)
<b>Total Expenditures</b>	-	-	<b>(\$123,756)</b>	-	-	-	<b>(\$123,756)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	123,756	-	-	-	123,756
<b>Total Ending Balance</b>	-	-	<b>\$123,756</b>	-	-	-	<b>\$123,756</b>
<b>Total Positions</b>							
Total Positions	-	-	-	-	-	-	(1)
<b>Total Positions</b>	-	-	-	-	-	-	<b>(1)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 090 - Analyst Adjustments

Cross Reference Name: Chief Human Resource Office  
Cross Reference Number: 10700-045-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total FTE</b>							
Total FTE							(1.00)
<b>Total FTE</b>	-	-	-	-	-	-	<b>(1.00)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 091 - Statewide Administrative Savings

Cross Reference Name: Chief Human Resource Office  
Cross Reference Number: 10700-045-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Undistributed (S.S.)	-	-	(635,146)	-	-	-	(635,146)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$635,146)</b>	-	-	-	<b>(\$635,146)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(635,146)	-	-	-	(635,146)
<b>Total Expenditures</b>	-	-	<b>(\$635,146)</b>	-	-	-	<b>(\$635,146)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	635,146	-	-	-	635,146
<b>Total Ending Balance</b>	-	-	<b>\$635,146</b>	-	-	-	<b>\$635,146</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 092 - PERS Taxation Policy

Cross Reference Name: Chief Human Resource Office  
Cross Reference Number: 10700-045-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
PERS Policy Adjustment	-	-	(23,051)	-	-	-	(23,051)
<b>Total Personal Services</b>	-	-	<b>(\$23,051)</b>	-	-	-	<b>(\$23,051)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(23,051)	-	-	-	(23,051)
<b>Total Expenditures</b>	-	-	<b>(\$23,051)</b>	-	-	-	<b>(\$23,051)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	23,051	-	-	-	23,051
<b>Total Ending Balance</b>	-	-	<b>\$23,051</b>	-	-	-	<b>\$23,051</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 093 - Other PERS Adjustments

Cross Reference Name: Chief Human Resource Office  
Cross Reference Number: 10700-045-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
PERS Policy Adjustment	-	-	(184,192)	-	-	-	(184,192)
<b>Total Personal Services</b>	-	-	(\$184,192)	-	-	-	(\$184,192)
<b>Total Expenditures</b>							
Total Expenditures	-	-	(184,192)	-	-	-	(184,192)
<b>Total Expenditures</b>	-	-	(\$184,192)	-	-	-	(\$184,192)
<b>Ending Balance</b>							
Ending Balance	-	-	184,192	-	-	-	184,192
<b>Total Ending Balance</b>	-	-	\$184,192	-	-	-	\$184,192

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 104 - CHRO - Replace PPDB

Cross Reference Name: Chief Human Resource Office  
Cross Reference Number: 10700-045-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Admin and Service Charges	-	-	5,517,723	-	-	-	5,517,723
Cert of Participation	-	-	40,120,825	-	-	-	40,120,825
<b>Total Revenues</b>	-	-	<b>\$45,638,548</b>	-	-	-	<b>\$45,638,548</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	1,422,864	-	-	-	1,422,864
Empl. Rel. Bd. Assessments	-	-	320	-	-	-	320
Public Employees' Retire Cont	-	-	271,339	-	-	-	271,339
Social Security Taxes	-	-	108,271	-	-	-	108,271
Worker's Comp. Assess. (WCD)	-	-	472	-	-	-	472
Mass Transit Tax	-	-	8,537	-	-	-	8,537
Flexible Benefits	-	-	244,224	-	-	-	244,224
<b>Total Personal Services</b>	-	-	<b>\$2,056,027</b>	-	-	-	<b>\$2,056,027</b>
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	6,480	-	-	-	6,480
Out of State Travel	-	-	50,000	-	-	-	50,000
Employee Training	-	-	100,000	-	-	-	100,000
Office Expenses	-	-	16,000	-	-	-	16,000
Telecommunications	-	-	16,000	-	-	-	16,000
State Gov. Service Charges	-	-	25,400	-	-	-	25,400
Data Processing	-	-	104,023	-	-	-	104,023
Publicity and Publications	-	-	4,000	-	-	-	4,000
IT Professional Services	-	-	36,900,000	-	-	-	36,900,000

Agency Request  
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Governor's Budget  
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Legislatively Adopted  
Essential and Policy Package Fiscal Impact Summary - BPR013

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 104 - CHRO - Replace PPDB

Cross Reference Name: Chief Human Resource Office  
Cross Reference Number: 10700-045-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Attorney General	-	-	50,000	-	-	-	50,000
Employee Recruitment and Develop	-	-	3,200	-	-	-	3,200
Dues and Subscriptions	-	-	4,000	-	-	-	4,000
Facilities Rental and Taxes	-	-	55,800	-	-	-	55,800
Other COP Costs	-	-	618,586	-	-	-	618,586
Other Services and Supplies	-	-	68,059	-	-	-	68,059
Expendable Prop 250 - 5000	-	-	22,400	-	-	-	22,400
IT Expendable Property	-	-	12,156	-	-	-	12,156
<b>Total Services &amp; Supplies</b>	-	-	<b>\$38,056,104</b>	-	-	-	<b>\$38,056,104</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	40,112,131	-	-	-	40,112,131
<b>Total Expenditures</b>	-	-	<b>\$40,112,131</b>	-	-	-	<b>\$40,112,131</b>
<b>Ending Balance</b>							
Ending Balance	-	-	5,526,417	-	-	-	5,526,417
<b>Total Ending Balance</b>	-	-	<b>\$5,526,417</b>	-	-	-	<b>\$5,526,417</b>
<b>Total Positions</b>							
Total Positions	-	-	-	-	-	-	8
<b>Total Positions</b>	-	-	-	-	-	-	<b>8</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 104 - CHRO - Replace PPDB

Cross Reference Name: Chief Human Resource Office  
Cross Reference Number: 10700-045-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
Total FTE							8.00
Total FTE							8.00
<b>Total FTE</b>	-	-	-	-	-	-	<b>8.00</b>



**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Chief Human Resource Officer		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
Source								
Charges for Services	OF - N L	3200	0410	-	-	-	-	-
	OF - L	3400		1,274,556	2,356,762	-	-	-
Admin and Service Charges	OF - N L	3200	0415	-	-	-	-	-
	OF - L	3400		14,709,492	14,866,727	15,102,738	15,093,347	-
	OF - DS	3430		-	-	-	-	-
Certificate of Participation	OF - CC	3020	0580	-	-	-	-	-
	OF - L	3400		-	-	40,120,825	40,120,825	-
Interest Income	LF - L	4400	0605	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		83,586	150,000	150,000	150,000	-
	OF - DS	3430		548	-	-	-	-
Transfer In - Intrafund	LF - L	4400	1010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CI	3010		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		21,864,025	11,773,910	9,199,206	9,199,206	-
	OF - DS	3430		532,891	533,207	-	-	-
Transfer Out - Intrafund	LF - L	4400	2010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		(11,937,100)	(3,910,502)	(9,481,057)	(9,481,057)	-
	OF - DS	3430		-	-	-	-	-
Tsfr to Governor's Office	LF - L	4400	2121	-	-	-	-	-
	OF - L	3400		(640,000)	(685,000)	(645,000)	(645,000)	-

Chief Human Resource Officer		ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
Source	Fund				Agency Request	Governor's Recommended	Legislatively Adopted
Lottery Funds Limited	4400		-	-	-	-	-
Lottery Funds Debt Service Limited	4430		-	-	-	-	-
Other Funds Cap Improvement	3010		-	-	-	-	-
Other Funds Cap Construction	3020		-	-	-	-	-
Other Funds Non-Limited	3200		-	-	-	-	-
Other Funds Non-Limited Debt Service	3230		-	-	-	-	-
Other Funds Limited	3400		25,354,559	24,551,897	54,446,712	54,437,321	-
Other Funds Debt Service Limited	3430		533,439	533,207	-	-	-
Federal Funds Debt Service Non-Limited	6230		-	-	-	-	-
Federal Funds Limited	6400		-	-	-	-	-
			<b>25,887,998</b>	<b>25,085,104</b>	<b>54,446,712</b>	<b>54,437,321</b>	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Chief Human Resource Office

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-045-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>LIMITED BUDGET (Excluding Packages)</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	18,108,240	17,319,736	17,319,736	7,010,816	6,982,219	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	6,338,472	5,346,110	5,346,110	5,346,110	5,346,110	-
<b>SPECIAL PAYMENTS</b>						
Other Funds	124,903	-	-	-	-	-
<b>TOTAL LIMITED BUDGET (Excluding Packages)</b>						
Other Funds	24,571,615	22,665,846	22,665,846	12,356,926	12,328,329	-
<b>AUTHORIZED POSITIONS</b>	104	93	93	27	27	-
<b>AUTHORIZED FTE</b>	97.71	92.21	92.21	27.00	27.00	-
<b>LIMITED BUDGET (Essential Packages)</b>						
<b>010 NON-PICS PSNL SVC / VACANCY FACTOR</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	(555,138)	(555,141)	-
<b>022 PHASE-OUT PGM &amp; ONE-TIME COSTS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	(300,000)	(300,000)	-
<b>031 STANDARD INFLATION</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	1,161,862	1,148,952	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Chief Human Resource Office

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-045-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>060 TECHNICAL ADJUSTMENTS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	(2,574,017)	(3,524,017)	-
<b>TOTAL LIMITED BUDGET (Essential Packages)</b>						
Other Funds	-	-	-	(2,267,293)	(3,230,206)	-
<b>LIMITED BUDGET (Current Service Level)</b>						
Other Funds	24,571,615	22,665,846	22,665,846	10,089,633	9,098,123	-
<b>AUTHORIZED POSITIONS</b>	104	93	93	27	27	-
<b>AUTHORIZED FTE</b>	97.71	92.21	92.21	27.00	27.00	-
<b>LIMITED BUDGET (Policy Packages)</b>						
<b>PRIORITY 0</b>						
<b>090 ANALYST ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	-	(123,756)	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	-	(1)	-
<b>AUTHORIZED FTE</b>	-	-	-	-	(1.00)	-
<b>091 STATEWIDE ADMINISTRATIVE SAVINGS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	-	(635,146)	-
<b>092 PERS TAXATION POLICY</b>						
<b>PERSONAL SERVICES</b>						

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Chief Human Resource Office

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-045-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	-	-	-	-	(23,051)	-
<b>093 OTHER PERS ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	-	(184,192)	-
<b>104 CHRO - REPLACE PPDB</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	2,065,418	2,056,027	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	38,056,104	38,056,104	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	8	8	-
<b>AUTHORIZED FTE</b>	-	-	-	8.00	8.00	-
<b>TOTAL LIMITED BUDGET (Policy Packages)</b>						
Other Funds	-	-	-	40,121,522	39,145,986	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	8	7	-
<b>AUTHORIZED FTE</b>	-	-	-	8.00	7.00	-
<b>TOTAL LIMITED BUDGET (Including Packages)</b>						
Other Funds	24,571,615	22,665,846	22,665,846	50,211,155	48,244,109	-
<b>AUTHORIZED POSITIONS</b>	104	93	93	35	34	-
<b>AUTHORIZED FTE</b>	97.71	92.21	92.21	35.00	34.00	-
<b>OPERATING BUDGET</b>						
Other Funds	24,571,615	22,665,846	22,665,846	50,211,155	48,244,109	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Chief Human Resource Office

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-045-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
AUTHORIZED POSITIONS	104	93	93	35	34	-
AUTHORIZED FTE	97.71	92.21	92.21	35.00	34.00	-
<b>DEBT SERVICE (Excluding Packages)</b>						
<b>DEBT SERVICE</b>						
Other Funds	533,118	533,207	533,207	-	-	-
<b>TOTAL DEBT SERVICE (Excluding Packages)</b>						
Other Funds	533,118	533,207	533,207	-	-	-
<b>DEBT SERVICE (Current Service Level)</b>						
Other Funds	533,118	533,207	533,207	-	-	-
<b>TOTAL DEBT SERVICE (Including Packages)</b>						
Other Funds	533,118	533,207	533,207	-	-	-
<b>TOTAL BUDGET</b>						
Other Funds	25,104,733	23,199,053	23,199,053	50,211,155	48,244,109	-
AUTHORIZED POSITIONS	104	93	93	35	34	-
AUTHORIZED FTE	97.71	92.21	92.21	35.00	34.00	-

## ORBITS Budget Narrative

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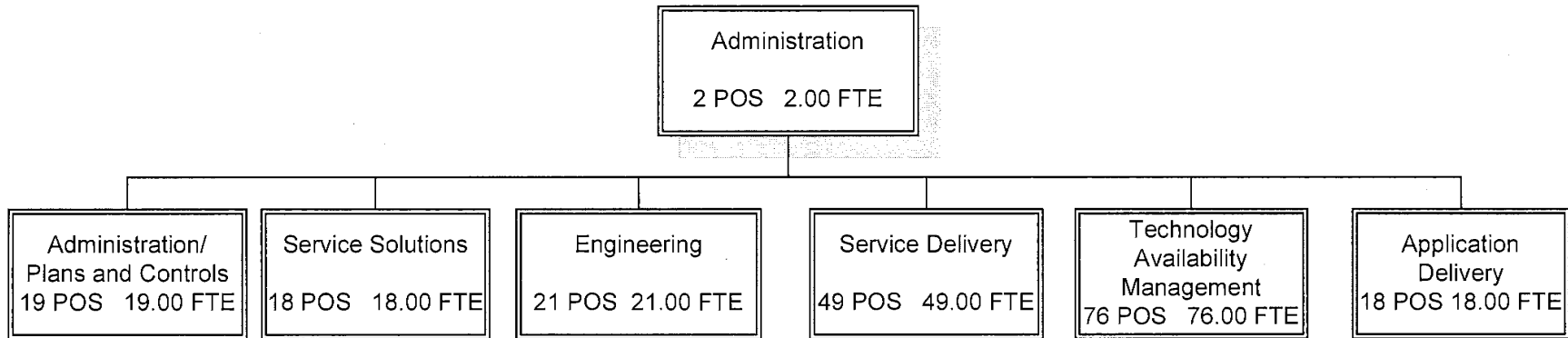




# Budget Narrative

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**Enterprise Technology Services  
Organization Chart  
2011-13  
203 POS 203.00 FTE**

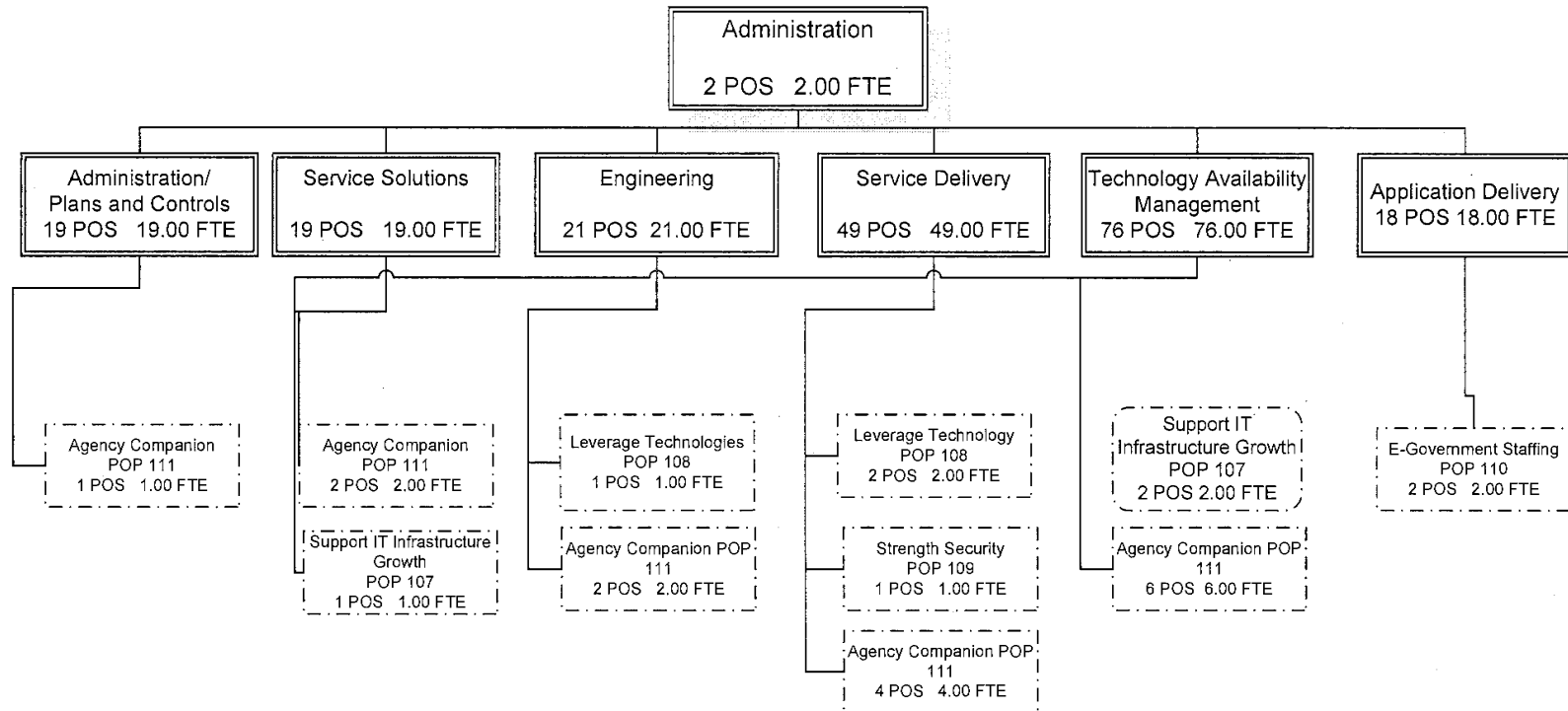


# Budget Narrative

## Enterprise Technology Services Organization Chart

2013-15

228 POS 228.00 FTE



# Budget Narrative

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## **Enterprise Technology Services (ETS)**

### **Statutory Authority:**

ORS 184.305, 190.240, 190.250, 276.212, 276.218, 276.224, 283.140, 283.143, 283.500-283.524, 291.032-291.038, 291.042, 293.595, and 461.055.

### **Program Unit Narratives:**

Enterprise Technology Services (ETS) focuses on maximizing the value of the state's technology investments so the business of government runs efficiently, securely, and reliably. Modern and standardized technology enables numerous business operations within and across government. This facilitates the use of new and existing IT assets to quickly leverage standard solutions and services, providing alternatives for the state to obtain multiple returns on each dollar invested.

Enterprise Technology Services (ETS) is structured to deliver computing and application services to its customers. This core function is delivered by the Service Delivery section of ETS. Primarily, Plans and Controls (P&C), Service Solutions, Engineering, Technology Availability Management (TAM), and Application Delivery support the function of delivering technology services to customers.

### **Plans and Controls Section**

Plans and Controls supplies supporting products and services to meet customer needs. Plans and Controls staff facilitates budgeting, strategic planning, financial management, human

resources management, and project management. They provide business controls for running the operation.

### **Service Solutions Section**

Service Solutions aligns customers' business needs and ETS products and services, including services for new customer transition and internal services to ETS. Service Solutions leads the architectural process and works with customers to translate their business requirements into solution options that may be built in-house or brokered. Service Solutions' staff has a broad knowledge of ETS technology and customer business needs.

### **Engineering Section**

Engineering designs and builds the products and services to be delivered and supported by ETS. Engineering staff maintain a high level of expertise in specific technologies, disciplines or product lines. They use their expertise to design, build, and install solutions. Engineering staff tune, upgrade and support their products.

### **Service Delivery Section**

Service Delivery owns and operates the shared ETS environment which includes computing hardware (mainframe, midrange, and distributed), operating systems, storage and backup solutions, security, production control, help-desk and desktop support functions, and enterprise and contracted applications. Services are determined by customer demand, funding and their computing environment needs, and are defined in the ETS service catalog. Service Delivery staff are there to ensure that systems are delivered and meet defined customer requirements.

# Budget Narrative

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## **Technology Availability Management Section:**

Technology Availability Management (TAM) monitors, maintains and supports the shared ETS environment which includes computing hardware, operating systems and enterprise and contracted applications. TAM staff is there to ensure that systems are highly available and they are experts in maintaining the equipment, systems and services offered. TAM staff also manages help-desk, specific agency desktop support functions, and agency production control functions (24x7 support).

## **Application Delivery Section:**

Application Delivery owns and operates the Enterprise Applications and Internal DAS Application which enables state government to deliver on its business goals, mission and drivers. Services are determined by customer demand, funding and their computing environment needs. Applications Delivery staff is there to ensure that applications are delivered and maintained and meet defined customer requirements. They are experts in the application environment and specific languages and technologies that provide these services from conception to operation.

**Service Portfolio** - ETS is the leading supplier and expert in managed computing technology for Oregon state government. Our telecommunication services provide secure and reliable connection to the people, computers and data necessary to conduct business in or with state government. The State Data Center (SDC) was the original service operation that the new ETS has been built upon. The SDC operation expanded to include other enterprise technology services (ETS) such as

statewide application support of Finance, Payroll, E-Gov, and desktop support for DAS and other small agencies.

## **Services Provided:**

**Managed Computing Services** - Provides hosting for mainframe and server based applications. ETS offer competitive computing platforms with flexibility and choice to meet the varying needs of state and local government. This enables us to meet any computing need, small or large, that our customers may have. All platforms are built using best practice and state security standards, and are maintained and monitored, to ensure a reliable computing experience.

**Data Storage Services** - Provides highly available disk for all server platforms at a highly competitive cost per unit. ETS offer customer business a wide range of storage and backup choices for all computing platforms and locations depending on customer requirements. ETS also provides options for safeguarding customer data; skilled staff and tools to self manage and analyze customer storage costs.

**Telecommunications Services** - Provides secure and reliable access to the data and technology customers need to do their business. ETS offer scalable, flexible communication technologies to customer individual business environment. Our network is also designed for flexibility to meet customer federal and state requirements for secure transport of data. All of the hosted systems and their private/public data are secured consistently to ensure that they are protected at the state's highest security standards.

## Budget Narrative

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**IT Professional Services** - Offers a broad range of skilled IT professionals to assist with customer technology needs. Sometimes, the specific IT skill set you need is not readily available within customer organization to troubleshoot applications, improve customer systems and assist with complicated network and infrastructure configurations. ETS offer technical expertise in computing, network, telecom, storage, infrastructure, planning and security. Our professional services can help you address temporary, short-term needs as an extension of customer organization, as you need them.

**Application Services** - Manage and distribute enterprise shared software solutions to customers across the state network from our central data center. ETS provide the shared business model and infrastructure to acquire, support and maintain enterprise class applications, such as email, to allow all state agencies to share a common business application.

**Workplace Productivity Services** - Offers resources to securely and reliably connect people to information. These include desktop and mobile computing, telephones, SmartPhones, and other workplace technologies that support customer business. The combination of flexible technology choices and standard deployments enables us to meet the varying needs of government customers.

### **Program Justification and Link to 10-Year Outcome:**

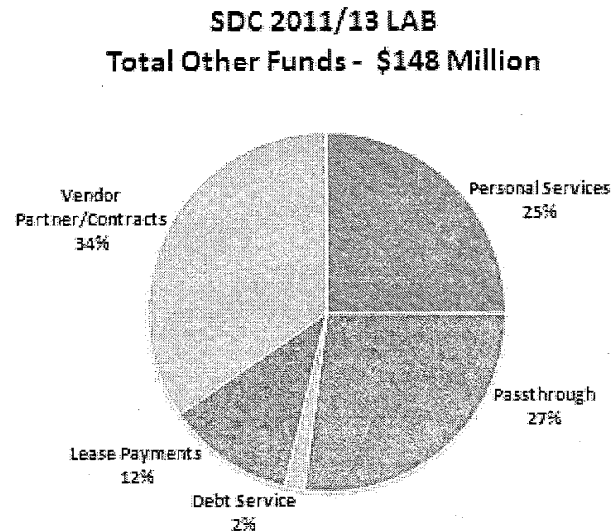
**Value to State Government** - Oregon citizens, businesses and local governments deserve to, and significantly benefit when, they conduct business with the state through modern, safe, and reliable technology. This is accomplished by

continually leveraging the state's IT investment and resources to meet policy goals and agencies' mission requirements. ETS partners with customers and vendors to choose and implement the best value IT solutions for all stakeholders. This approach allows all stakeholders from individual citizens to large agencies to benefit from the same technology solutions as Fortune 500 companies while driving unit costs significantly down.

**Financially Stable and Competitive** - A 2011 findings report from the Legislature stated "The ROI on the state data center strongly supports the state's decision to build a single consolidated center." And "the service costs and rates are within or far below industry standards." If state government is to meet the growing demand for services, executives must think of technology spending as an investment, not an expense. The right investments in technology will help the state save money, save time, do more with less and grow the capability of the state to meet an increased demand for services. Technology consolidation is one of the most frequently recommended opportunities for savings in enterprises due to cost reduction, increased service availability, improved security/regulatory compliance and greater ability to benefit from new technologies.

# Budget Narrative

**Major Cost Drivers** – The following chart shows that 73% of the budget goes directly to the vendors to procure hardware, software, and vendor support. Reduced spend in these areas has come through consolidation and standardization, which have enabled the state to realize a savings of \$38M dollars over 5 years, which was returned to the legislature as budget reductions and significantly reduced rates. Continued budget reduction without further consolidation/standardization of other government technologies will directly eliminate technology critical to the agencies providing services.



## Sustainability:

Across the nation, the annual growth in energy consumption in data centers tops seven percent. Ever-greater processing power drives the growth in utilization of existing applications, but the increases in processing come with higher energy consumption. Moreover, users always add more devices to support new applications.

The migration of agency computing assets into the SDC facility created an opportunity to consolidate existing computing resources into fewer devices. Focus on virtualizing the computing and data storage environment – running multiple unrelated pieces of work on the same physical machines – is a key strategy. Doing so not only reduces complexity and cost, but also reduces the need for additional energy.

The finite amount of available power and cooling infrastructure within the facility has required the SDC to look for ways to reduce total energy consumption. Expediting consolidation of hardware is part of the answer. The SDC will procure devices that meet established energy star ratings, and will participate in such programs as the Climate Savers initiative. The Energy Trust of Oregon has been advising the SDC on energy saving practices, and as a result the SDC recently received energy incentive rebates for its computing virtualization efforts. The SDC recently joined the ranks of Marion County's "EarthWISE" - a designation for organizations who commit to observe environmentally-friendly practices in their facilities.

# Budget Narrative

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## **2013-2018 Six-Year Plan Goals:**

- *Expand Statewide IT Service* – Achieve greater cost savings and mitigate rising costs by expanding our statewide IT service offerings and bringing in new customers. Expansion allows the state to further realize cost savings through efficiencies and volume discounts.
- *Optimize and Protect IT Resources* – Provide a well-designed and architected secure computing and communications environment to ensure optimal service delivery to business. Architecture and process will be optimized to support agile, reliable and secure computing and communication services.
- *Maintain Competitive Business Model* – Maintain a competitive business model that ensures organizational efficiencies, competitive and flexible rate structure, continuous scanning of future opportunities and knowledge of client business needs.
- *Provide Proactive Customer Service* – Proactive customer service improves the overall customer service experience. Service interruptions will be detected and resolved prior to customer awareness. Simple mechanisms will enable customers to self-help or obtain assisted help. These practices will free technical resources to assist with more complex customer issues.
- *Leverage Multi-Sourcing* - Multi-sourcing allows Enterprise Technology Services to efficiently meet the needs of customers by providing scalable solutions in a timely and cost effective manner. By multi-sourcing IT Services we can improve service levels and enable

access to specialized vendors and emerging technologies.

## **2013-15 Two-Year Plan Strategies:**

- Implement new Email service offerings
- Implement Long-Term backup service
- Implement technologies to permit mobile access to applications
- Implement Disk and Tape encryption service
- Implement deduplication for backup and primary storage
- Implement automated storage management
- Identify and implement software consolidation for shared services
- Roll out Voice Over IP phone service statewide
- Implement service improvement program
- Implement automated provisioning
- Implement knowledge management tools
- Monitor, maintain, and support strategic sourcing capabilities
- Implement cloud switching service
- Broker voice and network services

## **2011-13 Accomplishments:**

1. Implemented a State-to-State partnership with the State of Montana to provide essential technology services while reducing cost. Additionally, ETS has established multiple public-private partnerships for the strategic delivery of services.
2. Closed out the original SDC 5-year strategic plan and developed the new ETS 5-year strategic plan that is the bridge between the State's most critical business

## Budget Narrative

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problems and the service outcomes with the highest impact.

3. Added numerous new customers including local government entities such as Salem-Keizer School District, City of Albany, and Salem Keizer Transit.
4. Created and implemented a systematic model for budgeting which follows a maturity model for cost transparency and fully bundled rates of ETS products and services.
5. Reduced the total cost of technology ownership by continuing to modernize older technology infrastructure. This modernization also enabled ETS to continue to meet increasing agency demands for capacity and services. Examples include the replacement of the very old "GTD5" analog phone switch being replaced by a modern, less costly solution, and the achievement of a highly virtualized computing and storage environment.
6. The implementation of a new enterprise backup and recovery infrastructure that accomplished many of the objectives of statewide storage initiatives including the reduction in growth of the statewide storage footprint.
7. Achieved increased network resiliency and bandwidth capacity available to agencies while maintaining current budget levels by focusing the collective buying-power of the state to meet said objectives.
8. Responded to and mitigated the impact of service disruptions due to aging infrastructure and equipment.

### Revenue Sources:

The ETS obtains funding through usage fees, based on charges to agencies and other authorized customers. The ETS works with representatives from client agencies to review the rate methodology and to ensure an equitable billing model. This collaboration ensures that costs for each service are paid for by the users of that service, and that the rate model meets the compliance requirements established by OMB Circular A-87.



# Budget Narrative

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## **Essential Packages:**

**Purpose:** The essential packages present budget adjustments needed to bring the base budget to Current Service Level (CSL), the calculated cost of continuing legislatively approved programs into the 2013-2015 biennium.

**How Achieved:** See explanations under captions "Vacancy Factor and Non-PICS Personal Services", "Cost of Phased-in and Phased-out Programs and One-time Costs," "Inflation and Price List Adjustments" and "Technical Adjustments".

**Staffing Impact:** None

**Revenue Sources:** The revenue source(s) are the same as for the program unit as a whole, unless described differently within an individual package.

## **010 Non-PICS Psnl Svc / Vacancy Factor Package Description**

Package 010 includes standard inflation of 2.4% on such non-PICS items as temporaries, overtime, shift differential, all other differentials, and unemployment compensation, including the OPE associated with them, such as Mass Transit, Vacancy Savings and Pension Obligation Bond Debt Service.

The total amount of this package is (\$357,914) Other Funds Limited.

---

## **022 Phase-out Package Description**

Package 022 phases out costs attributed to the following packages from the 2011-13 biennium. Package 106, State Broadband Data Development Grant. Although the phase-out affects CIO in SCR 040-00, the phase-out occurred in ETS SCR 055-00 because of the budget transition.

The total amount of this phase-out package is (\$885,000) Other Funds Limited.

---

## **031 Inflation & Price List Adjustments Package Description**

This package is based on the standard 2.4% inflation factor for Services & Supplies and Capital Outlay items, except for State Government Service Charges, Facilities Rent, and Attorney General Charges, which are based on the 2013-2015 Price List of Goods and Services.

The total amount of this package is \$10,494,015 Other Funds Limited.

---

## **033 Exceptional Inflation & Price List Adjustments Package Description**

This package is approved by the Exception Committee because the inflation factor was higher than the standard inflation factor of 2.4%.

Technology industry standard charges for software and hardware maintenance and support are approximately 20% of the total initial purchase price with 6-8% annual increases thereafter. In some cases annual cost increases can exceed 10%. These costs greatly exceed the standard inflation factor.

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## Budget Narrative

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The SDC has seen significant overall savings over past biennia in these costs categories through consolidation initiatives and these savings have been given up through past budget reduction efforts or used to fund annual increases. The consolidation opportunities that have been available have been taken advantage of and annual increases across all areas maintenance and support are expected.

The total amount of this package is \$3,510,023 Other Funds Limited.

---

### **060 Technical Adjustments Package Description**

Package 060 includes the amount for technical budget adjustments.

The DAS Transformation required movement of 2011-2013 LAB budgets to its new enterprises. To better align budgets with the new enterprise adjustments were made that reflected where the expenditures for 2013-2015 would occur.

The total amount of this package is (\$20,347,774) Other Funds Limited.

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# Budget Narrative

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## **Policy Option Packages:**

**Policy Option Package 081:** Senate Bill 5701-A Agency Reduction

**Companion Package:** None

**Purpose:** The purpose of this package is to capture the agency's share of the statewide efforts to restructure state government business operations and management of agency programs.

**How Achieved:** Senate Bill 5701-A, reduces personal services and data processing budgets for the Chief Operating Office, Chief Financial Office, Enterprise Technology Services, Enterprise Goods & Services, Enterprise Asset Management and DAS Business Service for a total amount of \$2,000,000.

### **Staffing Impact:**

None.

### **Revenue Sources:**

\$252,162 – Other Funds

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**Policy Package No. 090:** Analyst Adjustments

**Companion Package:** None

**Purpose:** To reduce budget levels for the Chief Operating Office.

## **How Achieved:**

This package eliminates the migration of telephone services over a two year period to externally provided hosted VoIP. Currently approximately 90% of state agencies telephone sets are provided via approx. 400 state owned premise-specific telephone systems via multiple contracts. This speeds the migration to VoIP via vendor provided hosted solutions versus a state owned and managed system. Does introduce significant risk and will require outages to agency operations due to the need for a much expedited timeframe to achieve savings in 2013-15.

### **Staffing Impact:**

None

### **Revenue Source:**

(\$3,300,000) Other Funds.

---

**Policy Package 091:** Statewide Administrative Savings

**Companion Package:** None

**Purpose:** The Governor's budget requires increased efficiency in the operation of state government, calls for additional savings in administrative expenditures, and allows for the reinvestment of some of the savings realized through efficiencies into agency programs or to other initiatives that will further improve the administrative operations of state government.

**How Achieved:** Package 091 was included in all agency budgets as a placeholder for administrative efficiencies to be found in Finance, IT, HR, Accounting, Payroll, and Procurement activities. The Improving Government subcommittee of the

# Budget Narrative

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Enterprise Leadership Team will be identifying proposed efficiencies or changes in the delivery of service to meet the funding level in the Governor's budget, and will work with individual agencies on the impact to their budget, along with reinvestment opportunities.

**Staffing Impact:**

None

**Revenue Sources:**

(\$5,771,223) – Other Funds

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**Policy Package 092: PERS Taxation Policy**

**Companion Package:** None

**Purpose:** This package supports a policy change to limit tax relief calculations to PERS retirees that are Oregon residents. Non-resident retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

**How Achieved:** Non-resident retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

**Staffing Impact:**

None

**Revenue Sources:**

(\$145,084) – Other Funds

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**Policy Package 093: Other PERS Adjustments**

**Companion Package:** None

**Purpose:** This package supports a policy change that affected other PERS Adjustments.

**How Achieved:** This package supports policy changes that reduce the PERS employer rate by approximately 320 basis points.

**Staffing Impact:**

None

**Revenue Sources:**

(\$1,159,290) – Other Funds

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*(Note: This package was modified at GBB)*

**Policy Option Package 106: Equipment Lifecycle Replacement**

**Companion Package:** None

**Purpose:**

The purpose of the package is to replace the Enterprise Technology Services (ETS) computing, network and telephone equipment on an established lifecycle replacement plan in order to ensure stable and reliable operations in support of agency operations.

**How Achieved:**

## Budget Narrative

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Establish sufficient level of funding necessary in order to ensure that the equipment that the ETS uses to provide networking, computing and telephone services are replaced as necessary on established lifecycle replacement plans.

The ETS is responsible for the lifecycle management of over 2000 UNIX, Windows and Linux servers, a mainframe computer which is larger than that used by the New York Stock Exchange, over 2600 networking devices and firewalls, 700 telephone systems and enough data storage capacity for 67 copies of the Library of Congress. Replacement cost of these assets is estimated to exceed \$70 million. These devices are not only located in the ETS facility but at over 600 statewide agency locations.

In order to ensure stable and reliable services from the ETS, the equipment needs to be replaced on a regular basis. While projects to replace equipment have been ongoing since the creation of the ETS, budget reductions taken during the 2009-11 and 2011-13 biennia does not provide sufficient funding to replace systems critical to normal state operations. Failure of individual devices can result in impacts ranging from minor disruption of business processes to the total halt of agency operations.

In order to ensure the confidentiality, integrity and availability of the systems necessary to support agency core business functions, the planned and scheduled replacement of these technology assets is essential. This package establishes the appropriate funding level in order to replace assets prior to End of Life on an ongoing basis.

This package is in alignment with the Enterprise Information Resources Management Strategy goal 2 “Optimize information investments” and goal 3 to “Innovate service delivery and improve access to government services and information”. DAS customer agencies and elected officials will be in support of this package due to the potential impact on agency goals and objectives if not funded.

**Staffing Impact:**

None

**Revenue Source:**

\$730,000 - Other Funds Limited, Charges for Services

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*(Note: This package was modified at GBB)*

**Policy Option Package 107: Support IT Infrastructure Growth**

**Companion Package:** None

**Purpose:**

Support customer projected growth in technology usage and existing services. This growth also includes the funding to support the ongoing technology of new agency projects once they move from development to operational in the 2013-15.

**How Achieved:**

This package request is to purchase necessary hardware, software, professional services and full time staff which are required for the Enterprise Technology Services (ETS) to support growth in demand for existing services and for new agency information technology projects. The ETS provides core

# Budget Narrative

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infrastructure including computing, storage and network platforms supporting agency operations.

The ETS budget currently supports those applications and functions, with no growth or improvement, which are currently in operation and does not currently contain funding which would allow for projected expansion in demand for existing services or the completion of the proposed agency projects in 2013-15. As the Enterprise Technology Services budget is funded on a fee for service basis, as the Enterprise Technology Services deploys the infrastructure necessary for new agency applications and charges for those applications, additional limitation is needed to allow for the recovery of incurred costs under the applicable rate recovery methodology. Additional staff is needed in order to implement the new projects and to support the increased workload that results from continuing demand for new services.

The additional limitation which would be created through the approval of this Policy Option Package does not result in a direct increase in the rates charged by the Enterprise Technology Services or to client agencies except for the additional cost for the additional services an agency experiences through growth in usage of services or with the completion of an information technology project to deploy a new or improved application.

This package is in alignment with the Enterprise Information Resources Management Strategy goal 2 "Optimize information investments" and goal 3 to "Innovate service delivery and improve access to government services and information". Agencies and elected officials will be in support

of this package due to the potential impact on agency goals and objectives if not funded.

**Staffing Impact:**

Add two permanent, full-time, Information Systems Specialist 7, (OA C1487 IA), SR 31, (2.00 FTE)

Add one permanent, full-time, Information Systems Specialist 6, (OA C1486 IA), SR 29, (1.00 FTE)

**Revenue Source:**

\$3,428,248 - Other Funds Limited, Charges for Services

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*(Note: This package was modified at GBB)*

**Policy Option Package 108: Leverage Technology**

**Companion Package:** None

**Purpose:**

Invest in infrastructure and tools which reduce the demand for more expensive or duplicate alternatives. Implements infrastructure for an integrated user directory to support enterprise shared systems such as e-mail or replacement of legacy systems needed statewide.

**How Achieved:**

The Enterprise Technology Services (ETS) manages approximately 90% of the state's computing and storage infrastructure. Demands for new services from agencies, changes in technology which can drive greater efficiencies/provide more effective services and opportunities for the creation of additional shared services environments for functions common across state agencies can result in benefits to the citizens of Oregon.

# Budget Narrative

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This package provides for additional storage technologies which reduce the demand for more expensive alternatives and instead use less expensive technology for data which must be stored for longer time periods and which is accessed infrequently. It builds out shared services environments to significantly reduce the number of over 100 electronic user directories managed across state government and an e-mail platform to be used statewide and could eliminate many of the over 50 e-mail systems in use today. It also funds implementation of tools and staff to run technology functions which more efficiently deliver IT services to state agencies.

These initiatives require investment in 2013/15 but deliver cost avoidance and potential savings in subsequent biennium. Without implementing these initiatives, the growth in demand for IT services will continue to grow IT spend in the state. While this package will not entirely eliminate growth in future IT spend, implementation is essential to controlling that growth.

This package is in alignment with the Enterprise Information Resources Management Strategy goal 2 "Optimize information investments" and goal 3 to "Innovate service delivery and improve access to government services and information".

### **Staffing Impact:**

Add one permanent, full-time, Information Systems Specialist 8, (OA C1488 IA), SR 33, (1.00 FTE)

Add two permanent, full-time, Information Systems Specialist 6, (OA C1486 IA), SR 29, (2.00 FTE)

### **Revenue Source:**

\$2,816,808 - Other Funds Limited, Charges for Services

*(Note: This package was modified at GBB)*

**Policy Option Package 109: Security**

**Companion Package: None**

### **Purpose:**

The purpose of this package is due to the implementation of increasing technology security enhancements and best practices required by both agencies' federal audits and SOS. Implementation includes technology and training to increase the confidentiality, integrity and availability of the state's information assets.

### **How Achieved:**

Numerous independent audits of the security architecture of the Enterprise Technology Services (ETS) have shown that the ETS systems and infrastructure are fundamentally secure but audits have itemized some industry tools and best practices which could be implemented to increase the level of security provided and potentially lessen the risk to the state's information assets.

This package would procure and implement technology to encrypt sensitive data (including HIPAA and Federal Tax Information), provide tools to ETS staff for vulnerability scanning to proactively identify and address potential configuration issues, tools which will allow the monitoring of configuration changes and other activity which indicates potential malicious activity and one FTE to oversee the significant amount of activity monitoring. This package will also

# Budget Narrative

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address the security finding recommending replacement of network equipment inherited from agencies at the creation of the ETS and which, while mitigating controls are in place, does prevent potential security vulnerabilities. Advanced training for ETS staff is also funded, ensuring that all staff has up to date skills in this constantly evolving area.

This package is in alignment with the Enterprise Information Resources Management Strategy goal 2 “Optimize information investments” and goal 3 to “Innovate service delivery and improve access to government services and information”. DAS customer agencies and elected officials will be in support of this package due to the potential impact on agency goals and objectives if not funded.

**Staffing Impact:**

Add one permanent, full-time, Information Systems Specialist 7 (OA C1487 IA), SR 31, (1.00 FTE)

**Revenue Source:**

\$1,173,899 - Other Funds Limited, Charges for Services

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**Policy Option Package 110: E-Government System Support**

**Companion Package:** None

**Purpose:**

The purpose of this package is to ensure that the state-wide e-Government Program can provide stable and reliable support for managing web content, online commerce transactions, portal applications, online enterprise licensing,

and internet applications services through citizen accessed portals.

**How Achieved:**

The eGovernment Program has specific statutory requirements to deliver centralized and improved services to the State of Oregon in a comprehensive electronic government initiative.

The eGovernment program is in transition from the OCIO to ETS. Initiatives originate in the OCIO through planning, policy and implementation; the on-going support of these initiatives will convert to the ETS during the 2013–15 biennium. OCIO and ETS management will develop and execute a matrixed management agreement for e-Government staff to address day to day management, supervision and performance evaluation responsibilities.

E-Government provides services to all state agencies and the citizens of Oregon. Currently, the program provides access to 5 – 6 million visitors each month. Last year, over \$100 million in state agency revenues were collected and is estimated to reach \$1.8 billion in the next year at a third of the current cost of collecting revenue.

Permanent staffing is needed to provide expert consultation to management, professional IS staff and other jurisdictions, and conducts comprehensive analysis, planning, development, implementation and coordination for the operations, maintenance, installation and construction of information systems.

Many of the agencies’ e-Government services are integral to the services they provide to citizens and for supporting their business operations. Having a stable and reliable



# Budget Narrative

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infrastructure is critical to their operations. A sufficient level of staffing support is needed in order to sustain this growing environment, ensure that agencies' assistance needs are met, support enterprise collaboration, oversee the delivery of work orders, plan and construct enterprise solutions and strategies, and informs agencies about cost saving opportunities. Without this support, vital services to the citizens of the State of Oregon may be impacted ranging from minor disruption to complete loss of access to services.

This package enables the E-government program to meet the DAS objectives of providing efficient and effective infrastructure and services to Oregon agencies.

### **Staffing Impact:**

Add one permanent, full-time, Information Systems Specialist 8 (OA C1488 IA), SR31, 1.00 FTE

Add one permanent, full-time, Information Systems Specialist 3 (OA C1483 IA), SR25, 1.00 FTE

### **Revenue Source:**

\$493,042 - Other Funds Assessment

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### **Policy Option Package 111: Support Agency IT Projects**

**Companion Package:** Other State Agency POP's

### **Purpose:**

The purpose of the package is to establish a place holder for additional limitation in the Enterprise Technology Services' (ETS) budget to support agency information technology projects which have been proposed as a part of agency 2013-15 budget packages.

### **How Achieved:**

This package request is to purchase necessary hardware, software and other components which are required for the Enterprise Technology Services to support agency information technology projects. The Enterprise Technology Services provides core infrastructure including computing, storage and network platforms supporting agency operations.

The Enterprise Technology Services budget currently supports those applications and functions, with limited growth or improvement, which are currently in operation. New agency information technology projects require additional servers, storage, networking equipment, software and labor to provide the base on which new applications are developed and deployed.

The Enterprise Technology Services budget does not currently contain funding which would allow for the completion of the proposed agency projects in 2013-15. The Enterprise Technology Services budget is funded on a fee for service basis, therefore as the Enterprise Technology Services deploys the infrastructure necessary for new agency applications and charges for those applications, additional limitation is needed to allow for the recovery of incurred costs under the applicable rate recovery methodology and for the procurement of new hardware, software and professional services costs needed to implement the infrastructure to support the agency project.

The additional limitation which would be created through the approval of this Policy Option Package does not result in a direct increase in the rates charged by the Enterprise Technology Services or to client agencies except for the additional cost for

# Budget Narrative

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the additional services an agency receives with the completion of an information technology project to deploy a new or improved application.

This package is in alignment with the Department of Administrative Services' goal 3 of "Efficient and Effective Infrastructure" and goal 3 of the Enterprise Information Resources Management Strategy of "Optimize the efficiency and cost effectiveness of government infrastructure and services". The availability of these systems is crucial in order to achieve the Enterprise Technology Services' Key Performance Measure of system availability, and the proposed model improves the ability of the Enterprise Technology Services to ensure the availability of the service in future years to a much greater extent than other options available.

**Staffing Impact:**

Add fifteen permanent, full-time, Information Systems Specialist 8 (OA C1488 IA), SR 33, (15.00 FTE)

**Revenue Source:**

\$12,325,518 - Other Funds Limited Charges for Services

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*(Note: This package was excluded at GBB)*

**Policy Option Package112: Chief Information Office Policy Support**

**Companion Package: None**

**Purpose:**

The purpose of the package is to establish permanent Enterprise Technology Services (ETS) financing for one FTE

to be imbedded at Office of the Chief Information Officer (CIO) on an ongoing basis. This position is currently a limited duration position that provides project management, policy, and planning support for statewide IT projects, the State CIO, and the CIO Council.

**How Achieved:**

The Chief Information Office leads state government in enterprise information technology management, strategic planning and policy. IT Investment and Planning (ITIP) leads statewide IT planning and budgeting; develops and implements state IT management strategies, rules, policies, standards and processes. ITIP is also responsible for the state's IT portfolio and asset management program, and supporting the State CIO and various IT-related governance bodies. This position will be embedded at the OCIO/ITIP in order to support IT policy related to services provided to citizens of the State of Oregon, such as:

- SaaS email system contract administration and user administration.
- Annual update of the Oregon Transparency website. Requires updates, maintenance and support of the website and the associated coordination and interaction with DAS Divisions, the Oregon University System, Education Service Districts, Counties, and State agencies, boards, commissions.
- Email Consolidation Roadmap including business case development, project coordination, and policy related activities. This should transition to ETS once the email

# Budget Narrative

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support unit within ETS has been established and the enterprise email service offering is stable.

- IT Investment Review and Approval (IRR Review) activities. Work in these areas is expected to expand as other short term duties are transferred to ETS.
- Supports reporting requirements such as the American Reinvestment and Recovery Act and reporting coordination with State Agencies, Counties, Education Services Districts, and Universities.

CIO and ETS management will develop and execute a matrixed management agreement for ITIP staff to address day to day management, supervision and performance evaluation responsibilities.

The availability of these systems is crucial in order to achieve the Enterprise Technology Services' Key Performance Measure of system availability, and the proposed model improves the ability of the Enterprise Technology Services to ensure the availability of the service in future years to a much greater extent than other options available. DAS customer agencies and elected officials will be in support of this package due to the potential impact on agency goals and objectives if performed via other options.

This package is in alignment with the Department of Administrative Services' goal 3 of "Efficient and Effective Infrastructure" and goal 3 of the Enterprise Information Resources Management Strategy of "Optimize the efficiency and cost effectiveness of government infrastructure and services".

**Staffing Impact:**

Add one permanent, full-time, Information Systems Specialist 8 (OA C1488 IA), SR 33, (1.00 FTE)

**Revenue Source:**

\$289,569 - Other Funds Limited, Admin & Service Charges

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
 Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Enterprise Technology Services  
 Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Temporary Appointments	-	-	8,921	-	-	-	8,921
Overtime Payments	-	-	863	-	-	-	863
Shift Differential	-	-	4,729	-	-	-	4,729
All Other Differential	-	-	20,152	-	-	-	20,152
Public Employees' Retire Cont	-	-	4,910	-	-	-	4,910
Pension Obligation Bond	-	-	(294,740)	-	-	-	(294,740)
Social Security Taxes	-	-	2,652	-	-	-	2,652
Unemployment Assessments	-	-	263	-	-	-	263
Mass Transit Tax	-	-	(47,307)	-	-	-	(47,307)
Vacancy Savings	-	-	(58,357)	-	-	-	(58,357)
<b>Total Personal Services</b>	-	-	<b>(\$357,914)</b>	-	-	-	<b>(\$357,914)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(357,914)	-	-	-	(357,914)
<b>Total Expenditures</b>	-	-	<b>(\$357,914)</b>	-	-	-	<b>(\$357,914)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	357,914	-	-	-	357,914
<b>Total Ending Balance</b>	-	-	<b>357,914</b>	-	-	-	<b>357,914</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 022 - Phase-out Pgm & One-time Costs

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Professional Services	-	-	(885,000)	-	-	-	(885,000)
<b>Total Services &amp; Supplies</b>	-	-	(\$885,000)	-	-	-	(\$885,000)
<b>Total Expenditures</b>							
Total Expenditures	-	-	(885,000)	-	-	-	(885,000)
<b>Total Expenditures</b>	-	-	(\$885,000)	-	-	-	(\$885,000)
<b>Ending Balance</b>							
Ending Balance	-	-	885,000	-	-	-	885,000
<b>Total Ending Balance</b>	-	-	\$885,000	-	-	-	\$885,000

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	1,526	-	-	-	1,526
Out of State Travel	-	-	652	-	-	-	652
Employee Training	-	-	3,963	-	-	-	3,963
Office Expenses	-	-	9,883	-	-	-	9,883
Telecommunications	-	-	356,408	-	-	-	356,408
State Gov. Service Charges	-	-	6,750,417	-	-	-	6,750,417
Data Processing	-	-	552,941	-	-	-	552,941
Publicity and Publications	-	-	7,667	-	-	-	7,667
Professional Services	-	-	103,583	-	-	-	103,583
IT Professional Services	-	-	288,732	-	-	-	288,732
Attorney General	-	-	51,900	-	-	-	51,900
Employee Recruitment and Develop	-	-	651	-	-	-	651
Dues and Subscriptions	-	-	504	-	-	-	504
Facilities Rental and Taxes	-	-	626,923	-	-	-	626,923
Ruels and Utilities	-	-	22	-	-	-	22
Facilities Maintenance	-	-	5,360	-	-	-	5,360
Agency Program Related S and S	-	-	344,185	-	-	-	344,185
Other Services and Supplies	-	-	817,800	-	-	-	817,800
Expendable Prop 250 - 5000	-	-	5,603	-	-	-	5,603
IT Expendable Property	-	-	152,488	-	-	-	152,488
<b>Total Services &amp; Supplies</b>	-	-	<b>\$10,081,208</b>	-	-	-	<b>\$10,081,208</b>
<b>Capital Outlay</b>							
Telecommunications Equipment	-	-	85,478	-	-	-	85,478
Data Processing Software	-	-	66,477	-	-	-	66,477

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Administrative Svcs, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Capital Outlay</b>							
Data Processing Hardware	-	-	224,358	-	-	-	224,358
Other Capital Outlay	-	-	36,494	-	-	-	36,494
<b>Total Capital Outlay</b>	-	-	<b>\$412,807</b>	-	-	-	<b>\$412,807</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	10,494,015	-	-	-	10,494,015
<b>Total Expenditures</b>	-	-	<b>\$10,494,015</b>	-	-	-	<b>\$10,494,015</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(10,494,015)	-	-	-	(10,494,015)
<b>Total Ending Balance</b>	-	-	<b>(\$10,494,015)</b>	-	-	-	<b>(\$10,494,015)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 033 - Exceptional Inflation

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Telecommunications	-	-	702,987	-	-	-	702,987
Data Processing	-	-	1,116,908	-	-	-	1,116,908
Other Services and Supplies	-	-	1,690,128	-	-	-	1,690,128
<b>Total Services &amp; Supplies</b>	-	-	<b>\$3,510,023</b>	-	-	-	<b>\$3,510,023</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	3,510,023	-	-	-	3,510,023
<b>Total Expenditures</b>	-	-	<b>\$3,510,023</b>	-	-	-	<b>\$3,510,023</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(3,510,023)	-	-	-	(3,510,023)
<b>Total Ending Balance</b>	-	-	<b>(\$3,510,023)</b>	-	-	-	<b>(\$3,510,023)</b>



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

**Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments**

**Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000**

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	181,968	-	-	-	181,968
Empl. Rel. Bd. Assessments	-	-	40	-	-	-	40
Public Employees' Retire Cont	-	-	34,701	-	-	-	34,701
Social Security Taxes	-	-	13,920	-	-	-	13,920
Worker's Comp. Assess. (WCD)	-	-	59	-	-	-	59
Mass Transit Tax	-	-	1,240	-	-	-	1,240
Flexible Benefits	-	-	30,528	-	-	-	30,528
Reconciliation Adjustment	-	-	31,356	-	-	-	31,356
<b>Total Personal Services</b>	-	-	<b>\$293,812</b>	-	-	-	<b>\$293,812</b>
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	(1,812)	-	-	-	(1,812)
Out of State Travel	-	-	(5,951)	-	-	-	(5,951)
Employee Training	-	-	(47,247)	-	-	-	(47,247)
Office Expenses	-	-	(159,064)	-	-	-	(159,064)
Telecommunications	-	-	(171,619)	-	-	-	(171,619)
State Gov. Service Charges	-	-	(352,708)	-	-	-	(352,708)
Data Processing	-	-	(328,739)	-	-	-	(328,739)
Publicity and Publications	-	-	(7,359)	-	-	-	(7,359)
Professional Services	-	-	(1,050,046)	-	-	-	(1,050,046)
IT Professional Services	-	-	(1,087,997)	-	-	-	(1,087,997)
Attorney General	-	-	(58,896)	-	-	-	(58,896)
Employee Recruitment and Develop	-	-	(2,021)	-	-	-	(2,021)
Dues and Subscriptions	-	-	(615)	-	-	-	(615)
Facilities Rental and Taxes	-	-	(1,876,832)	-	-	-	(1,876,832)

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Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Fuels and Utilities	-	-	563	-	-	-	563
Facilities Maintenance	-	-	(142,408)	-	-	-	(142,408)
Agency Program Related S and S	-	-	(14,685,238)	-	-	-	(14,685,238)
Other Services and Supplies	-	-	(603,502)	-	-	-	(603,502)
Expendable Prop 250 - 5000	-	-	(34,437)	-	-	-	(34,437)
IT Expendable Property	-	-	(25,658)	-	-	-	(25,658)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$20,641,586)</b>	-	-	-	<b>(\$20,641,586)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(20,347,774)	-	-	-	(20,347,774)
<b>Total Expenditures</b>	-	-	<b>(\$20,347,774)</b>	-	-	-	<b>(\$20,347,774)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	20,347,774	-	-	-	20,347,774
<b>Total Ending Balance</b>	-	-	<b>\$20,347,774</b>	-	-	-	<b>\$20,347,774</b>
<b>Total Positions</b>							
Total Positions	-	-	-	-	-	-	1
<b>Total Positions</b>	-	-	-	-	-	-	<b>1</b>
<b>Total FTE</b>							
Total FTE	-	-	-	-	-	-	1.00
<b>Total FTE</b>	-	-	-	-	-	-	<b>1.00</b>

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Administrative Svcs, Dept of  
Pkg: 081 - May 2012 E-Board

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Data Processing	-	-	252,162	-	-	-	252,162
<b>Total Services &amp; Supplies</b>	-	-	<b>\$252,162</b>	-	-	-	<b>\$252,162</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	252,162	-	-	-	252,162
<b>Total Expenditures</b>	-	-	<b>\$252,162</b>	-	-	-	<b>\$252,162</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(252,162)	-	-	-	(252,162)
<b>Total Ending Balance</b>	-	-	<b>(\$252,162)</b>	-	-	-	<b>(\$252,162)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 090 - Analyst Adjustments

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Telecommunications	-	-	(3,300,000)	-	-	-	(3,300,000)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$3,300,000)</b>	-	-	-	<b>(\$3,300,000)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(3,300,000)	-	-	-	(3,300,000)
<b>Total Expenditures</b>	-	-	<b>(\$3,300,000)</b>	-	-	-	<b>(\$3,300,000)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	3,300,000	-	-	-	3,300,000
<b>Total Ending Balance</b>	-	-	<b>\$3,300,000</b>	-	-	-	<b>\$3,300,000</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 091 - Statewide Administrative Savings

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Undistributed (P.S.)	-	-	(2,763,569)	-	-	-	(2,763,569)
<b>Total Personal Services</b>	-	-	<b>(\$2,763,569)</b>	-	-	-	<b>(\$2,763,569)</b>
<b>Services &amp; Supplies</b>							
Undistributed (S.S.)	-	-	(3,007,654)	-	-	-	(3,007,654)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$3,007,654)</b>	-	-	-	<b>(\$3,007,654)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(5,771,223)	-	-	-	(5,771,223)
<b>Total Expenditures</b>	-	-	<b>(\$5,771,223)</b>	-	-	-	<b>(\$5,771,223)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	5,771,223	-	-	-	5,771,223
<b>Total Ending Balance</b>	-	-	<b>\$5,771,223</b>	-	-	-	<b>\$5,771,223</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 092 - PERS Taxation Policy

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
PERS Policy Adjustment	-	-	(145,084)	-	-	-	(145,084)
<b>Total Personal Services</b>	-	-	(\$145,084)	-	-	-	(\$145,084)
<b>Total Expenditures</b>							
Total Expenditures	-	-	(145,084)	-	-	-	(145,084)
<b>Total Expenditures</b>	-	-	(\$145,084)	-	-	-	(\$145,084)
<b>Ending Balance</b>							
Ending Balance	-	-	145,084	-	-	-	145,084
<b>Total Ending Balance</b>	-	-	\$145,084	-	-	-	\$145,084

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 093 - Other PERS Adjustments

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
PERS Policy Adjustment	-	-	(1,159,290)	-	-	-	(1,159,290)
<b>Total Personal Services</b>	-	-	<b>(\$1,159,290)</b>	-	-	-	<b>(\$1,159,290)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(1,159,290)	-	-	-	(1,159,290)
<b>Total Expenditures</b>	-	-	<b>(\$1,159,290)</b>	-	-	-	<b>(\$1,159,290)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	1,159,290	-	-	-	1,159,290
<b>Total Ending Balance</b>	-	-	<b>\$1,159,290</b>	-	-	-	<b>\$1,159,290</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 106 - ETS - Equipment Lifecycle Replacement

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Charges for Services	-	-	730,000	-	-	-	730,000
<b>Total Revenues</b>	-	-	<b>\$730,000</b>	-	-	-	<b>\$730,000</b>
<b>Services &amp; Supplies</b>							
Data Processing	-	-	146,000	-	-	-	146,000
<b>Total Services &amp; Supplies</b>	-	-	<b>\$146,000</b>	-	-	-	<b>\$146,000</b>
<b>Capital Outlay</b>							
Other Capital Outlay	-	-	584,000	-	-	-	584,000
<b>Total Capital Outlay</b>	-	-	<b>\$584,000</b>	-	-	-	<b>\$584,000</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	730,000	-	-	-	730,000
<b>Total Expenditures</b>	-	-	<b>\$730,000</b>	-	-	-	<b>\$730,000</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 107 - ETS - Support IT Infrastructure Growth

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Charges for Services	-	-	3,428,248	-	-	-	3,428,248
<b>Total Revenues</b>	-	-	<b>\$3,428,248</b>	-	-	-	<b>\$3,428,248</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	484,536	-	-	-	484,536
Empl. Rel. Bd. Assessments	-	-	120	-	-	-	120
Public Employees' Retire Cont	-	-	92,401	-	-	-	92,401
Social Security Taxes	-	-	37,067	-	-	-	37,067
Worker's Comp. Assess. (WCD)	-	-	177	-	-	-	177
Mass Transit Tax	-	-	6,447	-	-	-	6,447
Flexible Benefits	-	-	91,584	-	-	-	91,584
Reconciliation Adjustment	-	-	159	-	-	-	159
<b>Total Personal Services</b>	-	-	<b>\$712,491</b>	-	-	-	<b>\$712,491</b>
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	1,620	-	-	-	1,620
Employee Training	-	-	9,000	-	-	-	9,000
Office Expenses	-	-	4,000	-	-	-	4,000
Telecommunications	-	-	4,000	-	-	-	4,000
State Gov. Service Charges	-	-	6,350	-	-	-	6,350
Data Processing	-	-	516,433	-	-	-	516,433
Publicity and Publications	-	-	1,000	-	-	-	1,000
Employee Recruitment and Develop	-	-	800	-	-	-	800
Dues and Subscriptions	-	-	1,000	-	-	-	1,000

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**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 107 - ETS - Support IT Infrastructure Growth

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Facilities Rental and Taxes	-	-	13,950	-	-	-	13,950
Other Services and Supplies	-	-	2,000	-	-	-	2,000
Expendable Prop 250 - 5000	-	-	5,600	-	-	-	5,600
<b>Total Services &amp; Supplies</b>	-	-	<b>\$565,753</b>	-	-	-	<b>\$565,753</b>
<b>Capital Outlay</b>							
Other Capital Outlay	-	-	2,150,004	-	-	-	2,150,004
<b>Total Capital Outlay</b>	-	-	<b>\$2,150,004</b>	-	-	-	<b>\$2,150,004</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	3,428,248	-	-	-	3,428,248
<b>Total Expenditures</b>	-	-	<b>\$3,428,248</b>	-	-	-	<b>\$3,428,248</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions							3
<b>Total Positions</b>	-	-	-	-	-	-	<b>3</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 107 - ETS - Support IT Infrastructure Growth

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total FTE</b>							
Total FTE							3.00
<b>Total FTE</b>	-	-	-	-	-	-	<b>3.00</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 108 - ETS - Leverage Technology

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Charges for Services	-	-	2,816,808	-	-	-	2,816,808
<b>Total Revenues</b>	-	-	<b>\$2,816,808</b>	-	-	-	<b>\$2,816,808</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	483,648	-	-	-	483,648
Empl. Rel. Bd. Assessments	-	-	120	-	-	-	120
Public Employees' Retire Cont	-	-	92,231	-	-	-	92,231
Social Security Taxes	-	-	36,998	-	-	-	36,998
Worker's Comp. Assess. (WCD)	-	-	177	-	-	-	177
Mass Transit Tax	-	-	2,902	-	-	-	2,902
Flexible Benefits	-	-	91,584	-	-	-	91,584
Reconciliation Adjustment	-	-	1	-	-	-	1
<b>Total Personal Services</b>	-	-	<b>\$707,661</b>	-	-	-	<b>\$707,661</b>
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	2,430	-	-	-	2,430
Employee Training	-	-	13,500	-	-	-	13,500
Office Expenses	-	-	6,000	-	-	-	6,000
Telecommunications	-	-	6,000	-	-	-	6,000
State Gov. Service Charges	-	-	9,525	-	-	-	9,525
Data Processing	-	-	410,394	-	-	-	410,394
Publicity and Publications	-	-	1,500	-	-	-	1,500
Employee Recruitment and Develop	-	-	1,200	-	-	-	1,200
Dues and Subscriptions	-	-	1,500	-	-	-	1,500

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**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 108 - ETS - Leverage Technology

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Facilities Rental and Taxes	-	-	20,925	-	-	-	20,925
Other Services and Supplies	-	-	3,000	-	-	-	3,000
Expendable Prop 250 - 5000	-	-	8,400	-	-	-	8,400
<b>Total Services &amp; Supplies</b>	-	-	<b>\$484,374</b>	-	-	-	<b>\$484,374</b>
<b>Capital Outlay</b>							
Other Capital Outlay	-	-	1,624,773	-	-	-	1,624,773
<b>Total Capital Outlay</b>	-	-	<b>\$1,624,773</b>	-	-	-	<b>\$1,624,773</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	2,816,808	-	-	-	2,816,808
<b>Total Expenditures</b>	-	-	<b>\$2,816,808</b>	-	-	-	<b>\$2,816,808</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions	-	-	-	-	-	-	3
<b>Total Positions</b>	-	-	-	-	-	-	<b>3</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 108 - ETS - Leverage Technology

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total FTE</b>							
Total FTE							3.00
<b>Total FTE</b>	-	-	-	-	-	-	<b>3.00</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 109 - ETS - Security

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Charges for Services	-	-	1,173,899	-	-	-	1,173,899
<b>Total Revenues</b>	-	-	<b>\$1,173,899</b>	-	-	-	<b>\$1,173,899</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	166,848	-	-	-	166,848
Empl. Rel. Bd. Assessments	-	-	40	-	-	-	40
Public Employees' Retire Cont	-	-	31,818	-	-	-	31,818
Social Security Taxes	-	-	12,764	-	-	-	12,764
Worker's Comp. Assess. (WCD)	-	-	59	-	-	-	59
Mass Transit Tax	-	-	1,001	-	-	-	1,001
Flexible Benefits	-	-	30,528	-	-	-	30,528
<b>Total Personal Services</b>	-	-	<b>\$243,058</b>	-	-	-	<b>\$243,058</b>
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	810	-	-	-	810
Employee Training	-	-	4,500	-	-	-	4,500
Office Expenses	-	-	2,000	-	-	-	2,000
Telecommunications	-	-	2,000	-	-	-	2,000
State Gov. Service Charges	-	-	3,175	-	-	-	3,175
Data Processing	-	-	182,356	-	-	-	182,356
Publicity and Publications	-	-	500	-	-	-	500
Employee Recruitment and Develop	-	-	400	-	-	-	400
Dues and Subscriptions	-	-	500	-	-	-	500
Facilities Rental and Taxes	-	-	6,975	-	-	-	6,975

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 109 - ETS - Security

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Other Services and Supplies	-	-	1,000	-	-	-	1,000
Expendable Prop 250 - 5000	-	-	2,800	-	-	-	2,800
<b>Total Services &amp; Supplies</b>	-	-	<b>\$207,016</b>	-	-	-	<b>\$207,016</b>
<b>Capital Outlay</b>							
Other Capital Outlay	-	-	723,825	-	-	-	723,825
<b>Total Capital Outlay</b>	-	-	<b>\$723,825</b>	-	-	-	<b>\$723,825</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	1,173,899	-	-	-	1,173,899
<b>Total Expenditures</b>	-	-	<b>\$1,173,899</b>	-	-	-	<b>\$1,173,899</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions	-	-	-	-	-	-	1
<b>Total Positions</b>	-	-	-	-	-	-	<b>1</b>



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 109 - ETS - Security

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total FTE</b>							
Total FTE							1.00
<b>Total FTE</b>	-	-	-	-	-	-	<b>1.00</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 110 - ETS - eGov Admin Support

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Charges for Services	-	-	493,042	-	-	-	493,042
<b>Total Revenues</b>	-	-	<b>\$493,042</b>	-	-	-	<b>\$493,042</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	298,200	-	-	-	298,200
Empl. Rel. Bd. Assessments	-	-	80	-	-	-	80
Public Employees' Retire Cont	-	-	56,866	-	-	-	56,866
Social Security Taxes	-	-	22,812	-	-	-	22,812
Worker's Comp. Assess. (WCD)	-	-	118	-	-	-	118
Mass Transit Tax	-	-	1,790	-	-	-	1,790
Flexible Benefits	-	-	61,056	-	-	-	61,056
<b>Total Personal Services</b>	-	-	<b>\$440,922</b>	-	-	-	<b>\$440,922</b>
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	1,620	-	-	-	1,620
Employee Training	-	-	9,000	-	-	-	9,000
Office Expenses	-	-	4,000	-	-	-	4,000
Telecommunications	-	-	4,000	-	-	-	4,000
State Gov. Service Charges	-	-	6,350	-	-	-	6,350
Data Processing	-	-	2,800	-	-	-	2,800
Publicity and Publications	-	-	1,000	-	-	-	1,000
Employee Recruitment and Develop	-	-	800	-	-	-	800
Dues and Subscriptions	-	-	1,000	-	-	-	1,000
Facilities Rental and Taxes	-	-	13,950	-	-	-	13,950

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Administrative Svcs, Dept of  
Pkg: 110 - ETS - eGov Admin Support

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Other Services and Supplies	-	-	2,000	-	-	-	2,000
Expendable Prop 250 - 5000	-	-	5,600	-	-	-	5,600
<b>Total Services &amp; Supplies</b>	-	-	<b>\$52,120</b>	-	-	-	<b>\$52,120</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	493,042	-	-	-	493,042
<b>Total Expenditures</b>	-	-	<b>\$493,042</b>	-	-	-	<b>\$493,042</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions	-	-	-	-	-	-	2
<b>Total Positions</b>	-	-	-	-	-	-	<b>2</b>
<b>Total FTE</b>							
Total FTE	-	-	-	-	-	-	2.00
<b>Total FTE</b>	-	-	-	-	-	-	<b>2.00</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 111 - ETS - Agency Companion POP

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Charges for Services	-	-	12,325,518	-	-	-	12,325,518
<b>Total Revenues</b>	-	-	<b>\$12,325,518</b>	-	-	-	<b>\$12,325,518</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	2,729,520	-	-	-	2,729,520
Empl. Rel. Bd. Assessments	-	-	600	-	-	-	600
Public Employees' Retire Cont	-	-	520,515	-	-	-	520,515
Social Security Taxes	-	-	208,800	-	-	-	208,800
Worker's Comp. Assess. (WCD)	-	-	885	-	-	-	885
Mass Transit Tax	-	-	16,378	-	-	-	16,378
Flexible Benefits	-	-	457,920	-	-	-	457,920
<b>Total Personal Services</b>	-	-	<b>\$3,934,618</b>	-	-	-	<b>\$3,934,618</b>
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	12,150	-	-	-	12,150
Employee Training	-	-	67,500	-	-	-	67,500
Office Expenses	-	-	30,000	-	-	-	30,000
Telecommunications	-	-	30,000	-	-	-	30,000
State Gov. Service Charges	-	-	47,625	-	-	-	47,625
Data Processing	-	-	1,621,000	-	-	-	1,621,000
Publicity and Publications	-	-	7,500	-	-	-	7,500
Employee Recruitment and Develop	-	-	6,000	-	-	-	6,000
Dues and Subscriptions	-	-	7,500	-	-	-	7,500
Facilities Rental and Taxes	-	-	104,625	-	-	-	104,625

Agency Request  
2013-15 Biennium

Governor's Budget  
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Legislatively Adopted  
Essential and Policy Package Fiscal Impact Summary - BPR013

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 111 - ETS - Agency Companion POP

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Other Services and Supplies	-	-	15,000	-	-	-	15,000
Expendable Prop 250 - 5000	-	-	42,000	-	-	-	42,000
<b>Total Services &amp; Supplies</b>	-	-	<b>\$1,990,900</b>	-	-	-	<b>\$1,990,900</b>
<b>Capital Outlay</b>							
Other Capital Outlay	-	-	6,400,000	-	-	-	6,400,000
<b>Total Capital Outlay</b>	-	-	<b>\$6,400,000</b>	-	-	-	<b>\$6,400,000</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	12,325,518	-	-	-	12,325,518
<b>Total Expenditures</b>	-	-	<b>\$12,325,518</b>	-	-	-	<b>\$12,325,518</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions							15
<b>Total Positions</b>	-	-	-	-	-	-	<b>15</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
 Pkg: 111 - ETS - Agency Companion POP

Cross Reference Name: Enterprise Technology Services  
 Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total FTE</b>							
Total FTE							15.00
<b>Total FTE</b>	-	-	-	-	-	-	<b>15.00</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 112 - ETS - CIO Support

Cross Reference Name: Enterprise Technology Services  
Cross Reference Number: 10700-055-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Mass Transit Tax	-	-	1,092	-	-	-	1,092
Reconciliation Adjustment	-	-	(1,092)	-	-	-	(1,092)
<b>Total Personal Services</b>	-	-	-	-	-	-	-
<b>Total Expenditures</b>							
Total Expenditures	-	-	-	-	-	-	-
<b>Total Expenditures</b>	-	-	-	-	-	-	-
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Enterprise Technology Services		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
Source								
Charges for Services	OF - N L	3200	0410	-	-	-	-	-
	OF - L	3400		194,920,145	149,564,459	216,085,690	197,634,552	-
Admin and Service Charges	OF - N L	3200	0415	-	-	-	-	-
	OF - L	3400		13,311,091	10,986,796	-	-	-
	OF - DS	3430		-	-	-	-	-
Interest Income	LF - L	4400	0605	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		61,393	-	-	-	-
	OF - DS	3430		8,072	-	-	-	-
Sales Income	OF - NL	3200	0705	-	-	-	-	-
	OF - L	3400		4,266,755	6,432,787	-	-	-
Cost of Goods Sold	OF - L	3400	0760	1,987,834	-	-	-	-
Loan Repayments	OF - L	3400	0925	-	-	-	-	-
Other Revenues	OF - L	3400	0975	-	51,996,239	-	-	-
	OF - DS	3430		-	-	-	-	-
Federal Funds	FF - DS	6230	0995	-	-	-	-	-
	FF - L	6400		47,000	-	-	-	-
Transfer In - Intrafund	LF - L	4400	1010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CI	3010		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		44,113,803	32,853,128	43,083,440	43,083,440	-
	OF - DS	3430		16,903,463	2,618,643	-	-	-
Tsfr From Public Utility Comm	OF - L	3400	1860	-	1,770,000	-	-	-



**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

<b>Enterprise Technology Services</b>		<b>ORBITS</b>	<b>2011-2013</b>	<b>2013-2015</b>				
<b>Source</b>	<b>Fund</b>			<b>Revenue Account</b>	<b>2009-2011 Actual</b>	<b>Legislatively Approved</b>	<b>Agency Request</b>	<b>Governor's Recommended</b>
Transfer Out - Intrafund	LF - L	4400	2010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		(54,171,295)	(38,171,641)	(47,123,106)	(47,123,106)	-
	OF - DS	3430		-	-	-	-	-
	Lottery Funds Limited	4400		-	-	-	-	-
	Lottery Funds Debt Service Limited	4430		-	-	-	-	-
	Other Funds Cap Improvement	3010		-	-	-	-	-
	Other Funds Cap Construction	3020		-	-	-	-	-
	Other Funds Non-Limited	3200		-	-	-	-	-
	Other Funds Non-Limited Debt Service	3230		-	-	-	-	-
	Other Funds Limited	3400		204,489,726	161,665,529	212,046,024	193,594,886	-
	Other Funds Debt Service Limited	3430		16,911,535	2,618,643	-	-	-
	Federal Funds Debt Service Non-Limited	6230		-	-	-	-	-
	Federal Funds Limited	6400		47,000	-	-	-	-
				<b>221,448,261</b>	<b>164,284,172</b>	<b>212,046,024</b>	<b>193,594,886</b>	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Technology Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-055-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>LIMITED BUDGET (Excluding Packages)</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	54,822,203	57,211,343	57,211,343	49,843,994	49,637,928	-
Federal Funds	32,965	-	-	-	-	-
All Funds	54,855,168	57,211,343	57,211,343	49,843,994	49,637,928	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	122,003,110	123,517,820	120,630,199	120,630,199	120,630,199	-
Federal Funds	14,035	-	-	-	-	-
All Funds	122,017,145	123,517,820	120,630,199	120,630,199	120,630,199	-
<b>CAPITAL OUTLAY</b>						
Other Funds	11,169,868	17,200,297	17,200,297	17,200,297	17,200,297	-
<b>TOTAL LIMITED BUDGET (Excluding Packages)</b>						
Other Funds	187,995,181	197,929,460	195,041,839	187,674,490	187,468,424	-
Federal Funds	47,000	-	-	-	-	-
All Funds	188,042,181	197,929,460	195,041,839	187,674,490	187,468,424	-
AUTHORIZED POSITIONS	306	292	292	203	203	-
AUTHORIZED FTE	305.75	291.50	291.50	203.00	203.00	-
<b>LIMITED BUDGET (Essential Packages)</b>						
<b>010 NON-PICS PSNL SVC / VACANCY FACTOR</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	(357,744)	(357,914)	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Technology Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-055-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>022 PHASE-OUT PGM &amp; ONE-TIME COSTS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	(885,000)	(885,000)	-
<b>031 STANDARD INFLATION</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	10,178,272	10,081,208	-
<b>CAPITAL OUTLAY</b>						
Other Funds	-	-	-	412,807	412,807	-
<b>033 EXCEPTIONAL INFLATION</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	3,510,023	3,510,023	-
<b>060 TECHNICAL ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	295,176	293,812	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	(20,641,586)	(20,641,586)	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	1	1	-
<b>AUTHORIZED FTE</b>	-	-	-	1.00	1.00	-
<b>TOTAL LIMITED BUDGET (Essential Packages)</b>						
Other Funds	-	-	-	(7,488,052)	(7,586,650)	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	1	1	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Technology Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-055-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>AUTHORIZED FTE</b>	-	-	-	1.00	1.00	-
<b>LIMITED BUDGET (Current Service Level)</b>						
Other Funds	187,995,181	197,929,460	195,041,839	180,186,438	179,881,774	-
Federal Funds	47,000	-	-	-	-	-
All Funds	188,042,181	197,929,460	195,041,839	180,186,438	179,881,774	-
<b>AUTHORIZED POSITIONS</b>	306	292	292	204	204	-
<b>AUTHORIZED FTE</b>	305.75	291.50	291.50	204.00	204.00	-
<b>LIMITED BUDGET (Policy Packages)</b>						
<b>PRIORITY 0</b>						
<b>081 MAY 2012 E-BOARD</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	252,162	252,162	-
<b>090 ANALYST ADJUSTMENTS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	-	(3,300,000)	-
<b>091 STATEWIDE ADMINISTRATIVE SAVINGS</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	-	(2,763,569)	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	-	(3,007,654)	-
<b>092 PERS TAXATION POLICY</b>						

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Technology Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-055-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	-	(145,084)	-
<b>093 OTHER PERS ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	-	(1,159,290)	-
<b>106 ETS - EQUIPMENT LIFECYCLE REPLACEMENT</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	739,548	146,000	-
<b>CAPITAL OUTLAY</b>						
Other Funds	-	-	-	2,958,190	584,000	-
<b>107 ETS - SUPPORT IT INFRASTRUCTURE GROWTH</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	1,589,447	712,491	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	1,808,917	565,753	-
<b>CAPITAL OUTLAY</b>						
Other Funds	-	-	-	6,505,990	2,150,004	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	7	3	-
<b>AUTHORIZED FTE</b>	-	-	-	7.00	3.00	-
<b>108 ETS - LEVERAGE TECHNOLOGY</b>						
<b>PERSONAL SERVICES</b>						

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Technology Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-055-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	-	-	-	710,853	707,661	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	1,555,696	484,374	-
<b>CAPITAL OUTLAY</b>						
Other Funds	-	-	-	5,910,062	1,624,773	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	3	3	-
<b>AUTHORIZED FTE</b>	-	-	-	3.00	3.00	-
<b>109 ETS - SECURITY</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	244,159	243,058	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	875,784	207,016	-
<b>CAPITAL OUTLAY</b>						
Other Funds	-	-	-	3,398,896	723,825	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	1	1	-
<b>AUTHORIZED FTE</b>	-	-	-	1.00	1.00	-
<b>110 ETS - EGOV ADMIN SUPPORT</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	442,890	440,922	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	52,120	52,120	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Technology Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-055-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
AUTHORIZED POSITIONS	-	-	-	2	2	-
AUTHORIZED FTE	-	-	-	2.00	2.00	-
111 ETS - AGENCY COMPANION POP						
PERSONAL SERVICES						
Other Funds	-	-	-	3,952,633	3,934,618	-
SERVICES & SUPPLIES						
Other Funds	-	-	-	1,990,900	1,990,900	-
CAPITAL OUTLAY						
Other Funds	-	-	-	6,400,000	6,400,000	-
AUTHORIZED POSITIONS	-	-	-	15	15	-
AUTHORIZED FTE	-	-	-	15.00	15.00	-
112 ETS - CIO SUPPORT						
PERSONAL SERVICES						
Other Funds	-	-	-	263,509	-	-
SERVICES & SUPPLIES						
Other Funds	-	-	-	26,060	-	-
AUTHORIZED POSITIONS	-	-	-	1	-	-
AUTHORIZED FTE	-	-	-	1.00	-	-
TOTAL LIMITED BUDGET (Policy Packages)						
Other Funds	-	-	-	39,677,816	10,844,080	-
AUTHORIZED POSITIONS	-	-	-	29	24	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Technology Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-055-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>AUTHORIZED FTE</b>	-	-	-	29.00	24.00	-
<b>TOTAL LIMITED BUDGET (Including Packages)</b>						
Other Funds	187,995,181	197,929,460	195,041,839	219,864,254	190,725,854	-
Federal Funds	47,000	-	-	-	-	-
All Funds	188,042,181	197,929,460	195,041,839	219,864,254	190,725,854	-
<b>AUTHORIZED POSITIONS</b>	306	292	292	233	228	-
<b>AUTHORIZED FTE</b>	305.75	291.50	291.50	233.00	228.00	-
<b>OPERATING BUDGET</b>						
Other Funds	187,995,181	197,929,460	195,041,839	219,864,254	190,725,854	-
Federal Funds	47,000	-	-	-	-	-
All Funds	188,042,181	197,929,460	195,041,839	219,864,254	190,725,854	-
<b>AUTHORIZED POSITIONS</b>	306	292	292	233	228	-
<b>AUTHORIZED FTE</b>	305.75	291.50	291.50	233.00	228.00	-
<b>DEBT SERVICE (Excluding Packages)</b>						
<b>DEBT SERVICE</b>						
Other Funds	16,907,998	2,618,643	2,618,643	-	-	-
<b>TOTAL DEBT SERVICE (Excluding Packages)</b>						
Other Funds	16,907,998	2,618,643	2,618,643	-	-	-
<b>DEBT SERVICE (Current Service Level)</b>						
Other Funds	16,907,998	2,618,643	2,618,643	-	-	-
<b>TOTAL DEBT SERVICE (Including Packages)</b>						



Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Technology Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-055-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	16,907,998	2,618,643	2,618,643	-	-	-
<b>TOTAL BUDGET</b>						
Other Funds	204,903,179	200,548,103	197,660,482	219,864,254	190,725,854	-
Federal Funds	47,000	-	-	-	-	-
All Funds	204,950,179	200,548,103	197,660,482	219,864,254	190,725,854	-
<b>AUTHORIZED POSITIONS</b>	306	292	292	233	228	-
<b>AUTHORIZED FTE</b>	305.75	291.50	291.50	233.00	228.00	-

## ORBITS Budget Narrative

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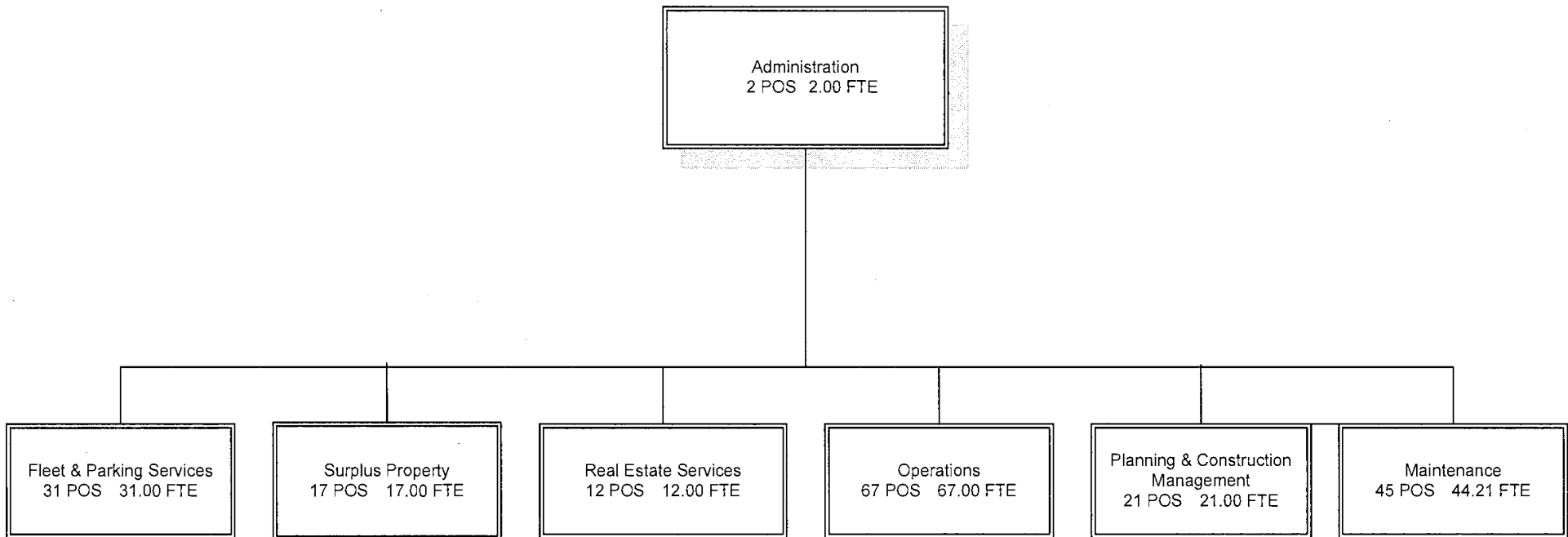
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# Budget Narrative

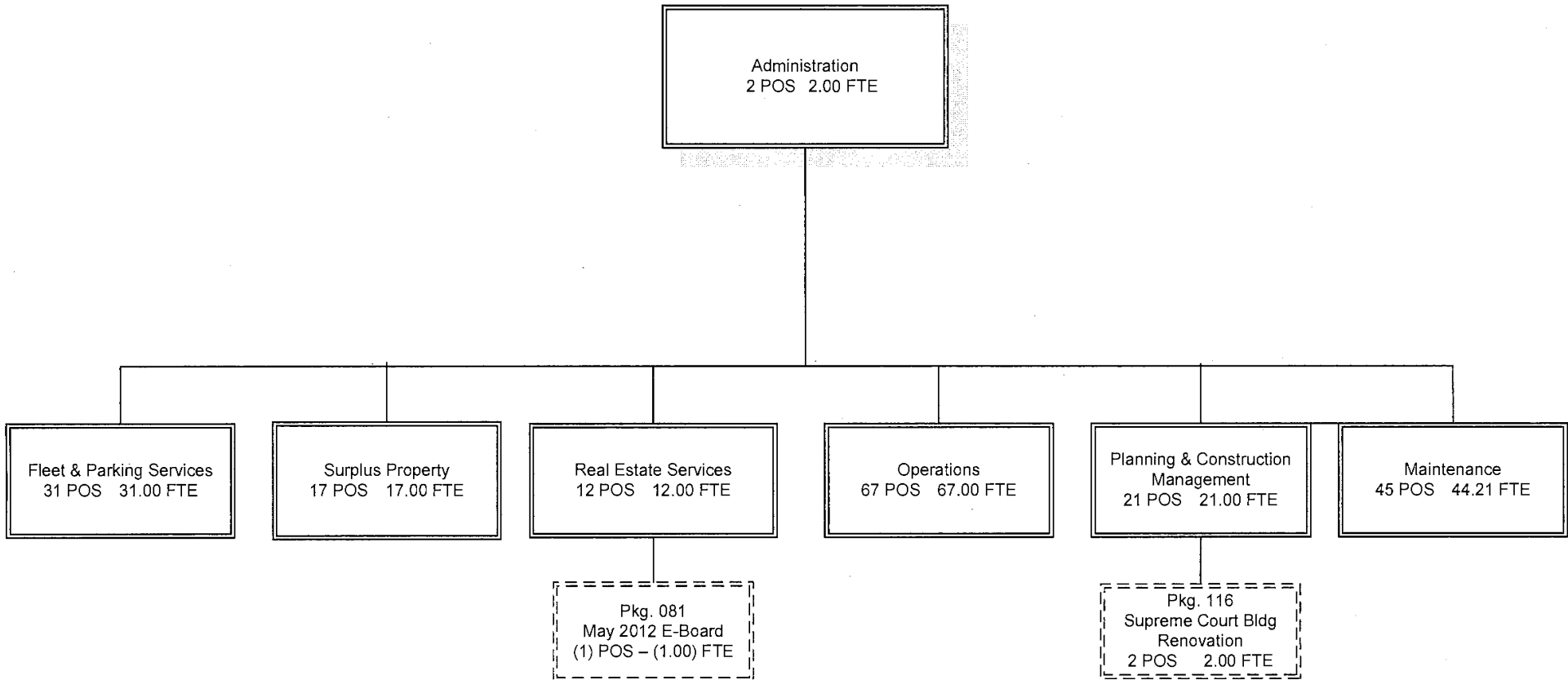
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**Enterprise Asset Management  
Organization Chart  
2011-13  
195 POS 194.21 FTE**



# Budget Narrative

## Enterprise Asset Management Organization Chart 2013-15 196 POS 195.21 FTE



# Budget Narrative

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## Enterprise Asset Management (EAM)

### Statutory Authority:

ORS Chapter 184.305, ORS 279 A-C, ORS 283, and OAR 125-35 and 125-50, OAR 125-155, ORS 276, OAR 270, OAR 125-45, OAR 125-75, OAR 125-80, OAR 125-85, and OAR 125-90.

### Program Unit Narratives:

Enterprise Asset Management is comprised of Facilities Services (Operations, Maintenance, Planning and Construction Management, and Real Estate Services Programs); the Statewide Fleet Administration and Parking Services Program, and the Oregon Surplus Property Program. The core focus of these programs is property management, both real and personal, for the benefit and optimal use of state government enterprise-wide to support agencies space, travel, and operational needs. The division is responsible for cost effective, quality, and efficient asset life cycle management: acquisition, operation, maintenance, and disposal. These are value-added services that allow agencies and some local governments to focus on their primary missions. Specifically, EAM supports its customers by providing vehicles from the state fleet, disposition of surplus property, facility space needs, and maintaining a safe work environment.

The division focuses on responsive customer service, with particular attention to the following goals:

- Providing clear and consistent guidelines and policies
- Seeking input and collaboration through stakeholder groups
- Leading state government sustainability projects and practices
- Responding promptly to customer requests

The Enterprise Asset Management Division serves its customers with the following central-service programs:

**Facilities Services (FAC)** consists of the Operations, Maintenance, Planning and Construction Management, and Real Estate Services Programs. These programs support state agencies by providing facilities and services that meet their needs, while maximizing space utilization and protecting the state's real property investments. DAS owns 53 buildings (includes office, special use, parking structure, restroom, and storage) 25 parking lots, 3 fountains, and 3 parks.

Operations and Maintenance Programs: These two programs operate and maintain 49 DAS-owned buildings and service 9 other state agency- owned buildings, with over 3 million square feet throughout Oregon. These facilities include general government offices, forensic crime and health labs, computer data centers, printing operations and the Executive Residence. These programs:

- Provide professional and technical and maintenance services and expertise consulting.
- Maintain building systems and structures properly to prevent failures, and responds to intermittent operating system needs.
- Provide expertise including HVAC mechanics, electricians, refrigeration mechanics, plumbers, security technicians, locksmiths, maintenance technicians, painters and carpenters.
- Provide expertise including general landscapers, Arborist, pesticide applicators and custodians.

### Planning and Construction Management Program:

Consists of two areas of expertise; *Administration and Purchasing Services (A&P)* provide administrative, accounting, and procurement support services for all of the Facilities Services. *Project Management* provides construction and interior project management leadership for the Department and many other state agencies.

- Provides support for the processing of all the division personnel related activities.

## Budget Narrative

- Performs general accounts payable/receivable duties and prepares invoices for payment.
- Purchases various maintenance parts, materials, and computerized related software and equipment.
- Pays for building utilities, security, and maintenance and repair services.
- Management of new construction, major renovations, or improvements to building structures and systems that are funded by the Department's Capital Improvement and Capital Construction budget requests.
- Directly assists agencies with project management needs and works with agencies to facilitate the development of: scope for the construction project, budget, management, and the project timeline.
- Ensures that the design and construction of each project meets high standards of quality and sustainability, providing facilities with maximum life-cycle durations at reasonable costs.
- Develops functional, efficient, and comfortable work environments, making the best use of space, utilizing existing furniture as much as possible, ultimately saving their customer money, and offering the best customer service.

**Real Estate Services Program:** Provides low-cost, value-driven commercial lease brokerage, land acquisition and sales, and real estate development services for client agencies. The program, consisting of *Commercial Lease Services* and *Statewide Property Management Services* which, also manages the support and operations of statewide facilities planning, standards, project review, and sustainability efforts.

- Strategically manages the state's overall lease portfolio with the end goal of shrinking its private-sector footprint while optimizing current and future state-owned assets.
- Transacts 250 leases annually (for 85 client agencies), maintains a portfolio of 650 private sector leases covering 4.6 million square feet of office, storage and special use facilities as

well as 300 DAS controlled state leases covering 2.4 million square feet of office and storage space.

- Actively engages the market through networking and research to continually position itself to deliver quality facility options for client agencies that are consistent policy requirements.
- Provides lease administration guidance and services to client agencies ensuring their contract rights are upheld and enforced. Interprets terms and conditions of leases. It also helps resolve lease disputes.
- Using current, relevant data, it continually develops statewide facilities standards and guidelines with respect to economy, effectiveness, and sustainability.
- Manages the resource conservation program, including recycling, energy and water conservation, and coordinates initiatives to ensure that state facilities are operated sustainably.
- Sells state-owned surplus real property, assists in the analysis of new acquisitions for the enterprise and is the vehicle for the agency to acquire and own real estate.
- Maintains an inventory of state lands and buildings that are owned or leased. In that regard, the section provides analysis and technical reports for the activities and public review process of the Public Lands Advisory Committee on state land acquisitions and sales.
- Provides analysis, area plans and reports for the Capitol Planning Commission (CPC). The CPC is responsible for updating the eight Salem Area Plans which guide state property development in the Salem and Keizer areas.

### **Statewide Fleet Administration and Parking Services Program:**

Located in Salem, the program owns and operates 4,000 vehicles used by 120 state agencies and local government customers. The program also manages 4600 parking spaces located in Salem, Portland and Eugene

- Provides centralized acquisition, maintenance and regulation of vehicles for used by state agencies.

## Budget Narrative

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- Sets uniform rental fees while taking advantage of economies of scale in buying vehicles, parts and fuel to reduce agency transportation costs.
- Rents vehicles to local governments so they don't have to purchase their own.
- Provides required federal and state reporting on progress for alternative fuel use, alternative fuel vehicle purchases, and vehicle related greenhouse gas emissions.
- Manages reserved parking space within the Capitol Mall, striving to maintain one space per two employees.
- Performs enforcement activities to ensure proper collection of fees and maintains the lots under its management.
- Promotes and coordinates with carpool, vanpool, and other mass transit commuter programs to reduce traffic congestion, greenhouse gas emissions, and to reduce the need for additional parking spaces for state employee use.
- Sets an example for other public entities and responsible, centralized management of emissions and resources for state agencies. The program takes a holistic view of its responsibility to conserve resources and protect the environment—a responsibility that extends to all operations, not merely operation of motor vehicles and parking facilities.

**Surplus Property Program:** Facilitates the reuse of surplus personal property for state, local and federal government entities.

- Provides a transparent audit trail, fair and equitable distribution of property and significant money savings for state & local governments, eligible non-profits and small businesses.
- Provides state & local government and non-profits with access to federal surplus property at a significant savings compared with buying retail.
- Returns sale proceeds to the agencies which have turned items over to the Surplus Property program for sale/disposal.

- Sells to the public as a final option prior to recycling or disposing of items.
- Provides a central distribution point for its inventory, and conducts its marketing through online auctions and store sales.
- Manages an E-waste disposal program for use by state agencies, counties and cities through Garten Services, a qualified rehabilitation facility.
- Administers the federal Law Enforcement Support Office (LESO) program, which enables state and local law enforcement agencies to buy equipment and supplies from federal sources at substantial savings.

### **2013-19 Six-Year Plan for EAM:**

- Promote an entrepreneurial program culture to support efficient and effective government infrastructure.
- Implement the entrepreneurial rate models where customers receive transparent data and each agency pays actual, appropriate costs for the operation and maintenance of their buildings, vehicles, and for the services provided by the Division.
- Provide relevant, well-informed professional expertise and leadership to other state agencies through collaborative partnerships rooted in transparency and industry best practices.
- Intelligently implement new technologies, methodologies and promote behavior changes that will support the enterprises' sustainability goals.
- Continued investment in high efficiency lighting, heating and vehicles to reduce agency costs and decrease the environmental impact of state business.
- Implementation of optimal maintenance and replacement strategies across the state buildings and fleets as a long term cost reduction and investment strategy that minimizes lifecycle operating costs for agencies.
- Expand services to local governments.



## Budget Narrative

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- Implementation of space consolidation and better utilization strategies to maximize the efficient use of State owned buildings.
- Conduct outreach and promote the Project Management program to other agencies and local governments so that we can provide state expertise, efficient and cost effective construction project managers and interior project managers.
- Strategically plan systematic restack of the state's private lease portfolio with the goal of shrinking the State's overall private sector footprint in favor of siting agencies in state-owned facilities.
- Collaborate with private sector and other governmental partners as well as state agencies to promote and thoughtfully pursue capital investment in strategically situated state-owned facilities or campuses.
- Update the state-owned facilities plan for the City of Salem, on the Capitol Mall, and in all other areas of the state; coordinate with lease expiration dates and agency program needs.
- Develop new facility standards, guidelines, and practices to provide maximum facility life cycles.
- Establish a facilities-oriented, interactive website wherein agencies can interact, communicate, share and at large.
- Conduct quarterly sessions with key building tenants to discuss policy issues and obtain feedback.
- Actively solicit feedback after completing a requested service; expand on transactional customer satisfaction surveys.
- Review division processes for streamlining, cost reduction, and efficiency opportunities.
- Enhance Fleet and Parking services, including paying for vehicles and parking on one invoice, online parking space payment and vehicle reservations, and remote vehicle rentals in the Capitol Mall area.

### **2013-15 Two-Year Plan for EAM:**

- Develop Succession Plan.
- Implement Service Level Agreements with all customers.
- Ongoing development of employees to enhance the way we currently operate with emphasis on training, education, communication, certification and support.
- Implement a performance management system.
- Conduct active outreach to increase utilization of Surplus Property Program.
- Update Surplus rate structure to ensure it is adequate to cover operational needs and is competitive with similar market services.
- Continue to input facilities data into the upgraded Facility Software system to support comprehensive reporting capabilities, data analysis, work order efficiency, and enhanced customer services.
- Implement the Facilities Software Condition Assessment Module.
- Complete the detailed CAD drawings of all DAS owned buildings and structures. Including the building measurements and grounds.
- Create a centralized electronic repository of all of the DAS owned building drawings. Have these drawings available via a website to all tenant agencies.
- Promote the Construction Project Management program to other state agencies and local government.
- Implement new service line in lease administration offering agencies an option to enforce contracts with private Landlords which bears the propensity of saving client agencies tens of thousands of dollars.
- Utilize technology for leasing analytics, customer interface and enhanced productivity as well as automating the "clearinghouse" function of real estate surplus disposition.

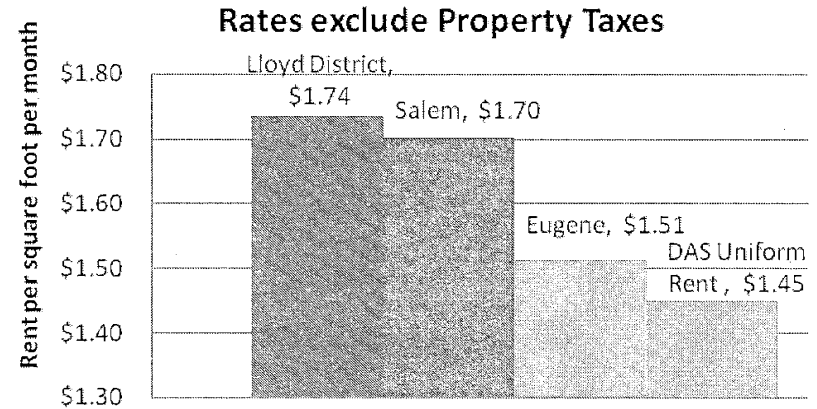
# Budget Narrative

## 2011-13 Accomplishments:

### Cost Savings:

- Over the last five years Surplus has returned an average of \$6 million per year to participating state and local agencies.
- The Federal surplus program has saved agencies and qualifying non-profits an average of \$2M per year as compared with fair market value.
- Study reveals Fleet Services provides a lower cost option for vehicle transportation needs than leasing from vendors. A recent analysis revealed that the program will save the state between \$75,000,000 and \$100,000,000 dollars versus leasing over the next 20 years.
- Through bulk fuel purchases and removing federal fuel taxes by managing fuel credit cards, Fleet reduces fuel costs to state government by approximately \$440,000 per year.
- Implementation of optimal vehicle replacement strategies across the state fleets is a long term cost reduction and investment strategy that minimizes lifecycle operating costs for agencies.
- Streamlining processes and invoicing in the Project Management Program in order to increase efficiency and reduce costs.
- Trend in Lease Rates; The Uniform rent is paid by agencies in office space owned by DAS. The rents fund the buildings' costs, including construction management, depreciation, insurance, operation, maintenance, repair, utilities, and custodial services. Over the past six years, this rate has remained below or competitive with market rates. Chart below depicts that comparison for this biennium.

## Market Rent vs. DAS Uniform Rent



### Notes:

1. One office building in Portland in DAS Uniform Rent Pool (Portland State Office Building).
2. One office building in Eugene in DAS Uniform Rent Pool (Eugene State Office Building).
3. 24 office buildings in Salem in DAS Uniform Rent Pool.
4. Market Data in all markets derived from CoStar. CoStar Group, Inc. (NASDAQ — CSGP) is commercial real estate's leading provider of information and analytic services. Founded in 1987, CoStar conducts expansive, ongoing research to produce and maintain the largest and most comprehensive database of commercial real estate information. Our suite of online services enables clients to analyze, interpret and gain unmatched insight on commercial property values, market conditions and current availabilities.

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## Sustainability:

- Renewed the Marion County EarthWISE certification.
- Renewed contract and updated e-waste policy to ensure a responsible process with Garten Services for secure e-waste data destruction, maximum reuse and responsible downstream recycling.
- Completed the renovation of the HVAC chiller plant in the Archives building. The new chiller plant incorporated heat recovery that reduced the heating and cooling cost by 25%.
- Replaced the exterior and parking lot lighting in ten buildings with new LED lighting. The new LED lighting reduced the light wattage by 265,188 watts with an expected cost saving of \$19,942 per year and LED lighting has a 20 year life expectancy which will also reduce maintenance cost.
- Continued to reduce energy consumption in DAS owned buildings (reduced 5% in 2009-2011) through a 12 month commercial energy reduction pilot project sponsored by the Energy Trust of Oregon, performing custodial services during the day and turning off the lights in buildings at 6 PM, and by working cooperatively with building tenants to turn off all equipment in the buildings at night and when not in use.
- Despite budget limitations, the program was able to continue increasing overall fuel efficiency by strategic replacement of older vehicle with newer, more fuel efficient models. An increase in the fleet's fuel efficiency of just 2 MPG saves about \$1,000,000 in fuel cost per year. This increased efficiency also means an approximate reduction of 700,000 pounds of CO2 versus 2006 levels.
- Made further progress with the Mill Creek Corporate Center development with the sale of a 52.6 acre lot for the development of a Home Depot distribution center.
- Completed Phase I and II of the Infrastructure project adding street, storm sewer, water and sewer line improvements to a portion of the Mill Creek property.

- Completed three projects that included energy savings that resulted in a cash return of \$117,000 from the Energy Trust of Oregon. Also received \$1.2 million of federal money for three additional energy saving projects.
- Through extensive client reach-out, rebuilt rapport with client agencies within the leasing section.
- Introduced flexible service delivery methodologies in Real Estate Services, better serving the client agency by tailoring services to fit the specific need.
- Consistently negotiated lease rates at or below market rates for client agencies throughout the state.

## Customer Engagement:

- Improved customer satisfaction and the condition of their buildings by reorganizing the custodial program to provide more consistent services and building cleanliness and improving the contract administration of the Floor Care contract to obtain a high quality end product.
- Improved customer communications through Continuation of the Operations and Maintenance Voice of the Customer meetings and quarterly leasing business meetings with stakeholders.
- Close collaboration of all state fleets through the Fleet Management Advisory Council drove innovative policy changes for effective management of vehicle resources across state enterprise.
- In a collaborative effort with the top ten Fleet customers, agencies have turned in 80 vehicles and reassigned over 150 to increase efficiency. The goal is to stay below 20% while meeting low use needs for Correctional Facilities, State Parks, inner city Child Welfare work and others.
- Revamped its leasing section by streamlining processes, culture shift, agency outreach, development of partnerships with private, local and other governmental agencies yielding higher productivity and positive client agency feedback.

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- Successfully merged Fleet and Parking services into one program with very minimal impact to customers.
- Entered into Intergovernmental Agreements with 12 Counties and began 32 capital improvement projects in county courthouses to improve facilities housing state courts.
- Managed airport projects for the Department of Aviation throughout the State.
- Worked with the Department of State Lands to complete the first phase of the State Lands Inventory System for tracking state owned land.
- Completed a Memorandum of Understanding with 17 other state agencies that own land to begin the process of gathering all of the needed land data.

### Environmental Factors:

- The 2012 flood which damaged 150 Fleet vehicles resulted in loss of vehicles needed for seasonal use and some new vehicles. Damaged vehicles were then sold via salvage auction instead of through the Surplus Property Program resulting in a loss of approximately \$110K in revenue for the Surplus Property Program.
- Surplus Property Program rate models which have not resulted in adequate funding to cover costs over the past two biennia has resulted in a need to recover from a negative cash position.
- Increasing number of businesses (Internet and otherwise) offering auction services to government entities is resulting in greater competition and a desire by Surplus Property Program's selling customers to get the best deal possible.
- **Economic Environment;** The recession that began in late 2007 has affected all areas of state government. Reductions in staffing levels, and budget limitation in 2009-11 biennium and continued as current service level (CSL) budgets in 2011-13 have hindered the Division's ability to serve state and local government agencies.

- Building maintenance has been deferred as a budget reduction strategy. However, as more time passes the cost to complete the needed work rises and increases in scope and complexity.
- Vehicle replacement schedules were pushed out as a reduction strategy. This was a short term, one time strategy that continued with the CSL budgeting. Vehicles that were pushed out in replacement mileage and age are now reaching the point where they must be replaced due to age and increasing breakdowns.
- Fuel costs rose over 30% in the last three years. Even though fuel efficiency has increased and overall miles driven by customers decreased, the cost for fuel continues to rise. Estimated increase in 2011-13 over 2009-11 CSL is \$3 million.
- Increased costs passed on from other programs result in increased administrative overhead for the Surplus Property Program.
- Deferred replacement & maintenance of IT systems, equipment and facilities has resulted in a backlog of costs and issues that cause daily inefficiencies. Fixing these issues will take both time and money.
- The requirement that Fleet keep vehicles until they have 130K miles results lower selling values and subsequently less operating funds for the Surplus Property Program.
- As agencies downsized and consolidated operations, leasing and building space planning services have seen a rise in demand. Leasing has specifically seen a sharp rise in demand to renegotiate contracts mid-term as agencies scramble to save money.
- Due to the private Landlord's difficulty borrowing in this economic climate, agencies have seen greatly diminished if not zero contributions from the landowner for tenant improvement expenses; ergo agencies have had to pay for

## Budget Narrative

all of the improvements needed for their space, especially when contracting space

- **Limited Expenditure Budgeting Structure;** The way Oregon uses a limited expenditure structure for budgeting of services often hinders the ability of the Division's programs to respond to changes in cost of the market commodities that flow through their budgets as they deliver services to agency and local government customers. This dynamic also limits program flexibility to accept more business or provide more service when demanded by customers.
  - Many items such as fuel and utilities are "double counted" in state budgeting. For example, the cost of paying for electricity is a limited expenditure in the Facilities budget and it is a line item expenditure in the agencies served by the program.
  - When cost of fuels and utilities rise, the program expenditure limitation can not be changed without Emergency Board approval or by taking limitation from elsewhere in the Department.
  - When customer demands for service rise, program cannot expend the needed funds to provide for the need. When agencies receive grants or additional state or federal funding to expand, Fleet does not have a corresponding amount to purchase vehicles or fuel for required employee travel.
  - Hinders the ability for the programs to market their low cost services to the local government market, which could potentially save budget strapped local jurisdictions.
  - Surplus has no control over timing, quality, or quantity of goods agencies may send the program in a budget period and sale price is controlled by the open market. This makes it extremely difficult to project revenue and expenditure requirements for the program over time. Depending on agency supply and market forces, the program's revenue can fluctuate by more than \$1 million from year to year

- Setting expenditure limitation to meet a reduction target often leads to simply deferring costs, maintenance and longer life cycles that, in turn, increase overall lifecycle cost.
- Prevents programs from investing in more efficient technologies, systems, and vehicles with a short term, higher cost but that produce greater long term cost saving and lower environmental impacts.

### **Support to Budget Strained Local Governments:**

- Increased participation of Oregon law enforcement officers served by the Law Enforcement Support Office program from 35% to more than 50%. This program saved \$1.6 million for participating agencies in fiscal year 2011.
- Surplus transferred over \$21 million (original acquisition value) of federal donation property to local governments, eligible non-profits and state agencies during the first half of the 2011 – 2013 biennium.
  - Surplus sells property for many local governments. With their ability to transfer items between customers, the program reduces costs for local governments by facilitating reuse of items. The online sale of items generates revenue for local government customers.
  - Fleet has 40 Intergovernmental Agreements with local governments and other public entities. Local governments can rent and pay for vehicles over time at low cost rather than make outright, expensive purchases. The program manages the vehicles for the customer including purchase, fuel credit cards, maintenance, and disposal.
  - Fleet uses several County shops for maintenance services including Jackson, Washington, and Multnomah.
  - The Fleet program is working with Lane County to establish multi agency cooperative services IGA that includes City of Eugene, City of Springfield, Eugene Water and Electric Board, University of Oregon, and Oregon Department of Forestry with ability to expand and add members as needed.

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- Parking Services is one of very few state operations that pays local property taxes because of the revenue generated by the parking lots and structures
- Managed major construction renovation projects for 12 Counties and began 32 construction projects in these counties.
- Locate certain agency offices in County or municipal offices whenever possible to help generate revenue for the local government and community

### Revenue Sources:

The division receives funding through service charges and rents to agencies and local governments. Rates receive review and updating each biennium. In proposing rates, the division strives to ensure that charges are 1) fair to all customers, 2) based on actual costs, and 3) easily understood. All rates were reviewed and approved by the Rates and Assessment Review Committee.

**Fleet Administration and Parking Services:** Receives funding through vehicle user and vehicle related service fees. Parking Services is funded through rental of spaces, parking meters, and enforcement citation fees.

**Surplus Property:** Receives funding through commissions on sales and sale service fees.

**Facilities Services:** Funding sources are rents, service agreements, leasing fees, and fees for service with some variation depending on the program.

*Operations and Maintenance Program:* Funding received from other state agencies occupying our facilities and paying rent -

- “Uniform Rent” is the main revenue source from a combined pool of 28 office buildings; tenants pay a fixed amount per square foot that is determined by the average cost of all buildings combined.

- “Self-Support” (13 DAS owned buildings) and “Service Agreement” (9-DAS owned) rent constitute the second-largest revenue sources. Tenants pay actual costs of the buildings they occupy for Self Support buildings and contract for specific services in Service Agreement buildings.
- “Other Services” account for the remainder of the revenue. These include operating and maintaining buildings owned by other state agencies, providing tenant requested services and specialty services, such as issuing key cards.

*Real Estate Services Program:* Funding for the program comes from fees paid for services provided by the represented state agencies.

- The Leasing Services fees are a percentage of the first two years of net rent. This fee structure mirrors that of the private sector but yields a significantly lower cost in that the fee is not calculated on the entire lease term.
- Funding sources for Statewide Property Management include an agency-wide assessment from the State of Oregon 2013-2015 Price List of Goods and Services, revenue transfers from agencies being served based on hourly rates, a portion of sale proceeds from selling surplus real property

*Planning and Construction Management Program:* Funding sources for this program include the following:

- Direct service fees based on hourly rates.
- Bonds and Depreciation Revenue for projects come through the Capital Projects Fund (fund earnings and depreciation).
- Depreciation is included in rent and is used to pay repair and replacement of DAS owned Assets. As a result, DAS owned buildings generally have a stable funding base.
- Funding is also received for projects that are managed by the program for other state agencies and local government.

# Budget Narrative

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## **Essential Packages**

**Purpose:** The essential packages present budget adjustments needed to bring the base budget to Current Service Level (CSL), the calculated cost of continuing legislatively approved programs into the 2013-2015 biennium.

**How Achieved:** See explanations under captions "Vacancy Factor and Non-PICS Personal Services", "Cost of Phased-in and Phased-out Programs and One-time Costs," "Inflation and Price List Adjustments" and "Technical Adjustments".

**Staffing Impact:** None

**Revenue Sources:** The revenue source(s) are the same as for the program unit as a whole, unless described differently within an individual package.

### **010 Non-PICS Psnl Svc / Vacancy Factor Package Description**

Package 010 includes standard inflation of 2.4% on such non-PICS items as temporaries, overtime, shift differential, all other differentials, and unemployment compensation, including the OPE associated with them, such as Mass Transit, Vacancy Savings and Pension Obligation Bond Debt Service.

The total amount of this package is \$405,625 Other Funds Limited.

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### **031 Inflation & Price List Adjustments Package Description**

This package is based on the standard 2.4% inflation factor for Services & Supplies and Capital Outlay items, except for State Government Service

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Charges, Facilities Rent, and Attorney General Charges, which are based on the 2013-2015 Price List of Goods and Services.

The total amount of this package is \$8,171,673 Other Funds Limited.

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### **033 Exceptional Inflation & Price List Adjustments Package Description**

This package is approved by the Exception Committee because the inflation factor was higher than the standard inflation factor of 2.4%.

The Enterprise Asset Management Division pays utility costs for state owned and operated buildings. To estimate our base budget for the 2013-2015 biennium of \$11,987,053 we used the 2011-2013 LAB budget of \$11,706,106 and inflated it by 2.4%.

Within the last month Enterprise Asset Management has received verifications from two of its electric providers that their rates will be higher than the estimated inflation of 2.4%. Portland General Electric (PGE) expects to raise their rates 5.0% and Pacific Power expects their rate to increase 5.2%. Because the majority of our buildings use PGE, we feel comfortable using their expected inflationary rate of 5.0%.

Enterprise Asset Management estimated base budget for utilities (using an inflation of 2.4%) in 13-15 is \$11,987,053. Using PGE's higher rate of 5.0%, the budget should be \$12,291,412.

The total amount of this package is \$304,359 Other Funds Limited.

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### **060 Technical Adjustments Package Description**

Package 060 includes the amount for technical budget adjustments.

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The DAS Transformation required movement of 2011-2013 LAB budgets to its new enterprises. To better align budgets with the new enterprise adjustments were made that reflected where the expenditures for 2013-2015 would occur.

The total amount of this package is (\$663,487) Other Funds Limited.



# Budget Narrative

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## **Policy Packages:**

### **Policy Package 081: Senate Bill 5701-A Agency Reduction**

**Companion Package:** None

**Purpose:** The purpose of this package is to capture the agency's share of the statewide efforts to restructure state government business operations and management of agency programs.

**How Achieved:** Senate Bill 5701-A, reduces personal services and data processing budgets for the Chief Operating Office, Chief Financial Office, Enterprise Technology Services, Enterprise Goods & Services, Enterprise Asset Management and DAS Business Service for a total amount of \$2,000,000.

#### **Staffing Impact:**

Abolish one permanent, full-time, Principle Executive Manager A (MMS X7000 AA) SR 24X (1.00 FTE)

#### **Revenue Sources:**

(\$200,737) – Other Funds

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### **Policy Package No. 090: Analyst Adjustments**

**Companion Package:** None

**Purpose:** To reduce budget levels for the Enterprise Asset Management.

#### **How Achieved:**

This package reduces services and supplies and capital outlay. The reduction is a risk threat in business operations due to cost avoidance

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behavior and reduces ability to hold contractors accountable for failing to meet contract requirements; reduces the ability to contract with consultants & industry experts to meet future program goals; reduces employee development, travel, professional publications, professional services and impedes ability to maintain certifications; reduces ability to hire temp staff as needed, will result in longer waits for customers and reduce customer service levels; reduces e-bay fees & shipping costs.

This package also reduces vehicle travel by 2.6% to only essential functions (reduce fuel and maintenance costs) which will likely have a negative impact on agencies' ability to deliver services and reduce state government interactions with local government and private entities.

#### **Staffing Impact:**

None

#### **Revenue Source:**

(\$1,954,712) Other Funds.

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### **Policy Package 092: PERS Taxation Policy**

**Companion Package:** None

**Purpose:** This package supports a policy change to limit tax relief calculations to PERS retirees that are Oregon residents. Non-resident retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

**How Achieved:** Non-resident retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

#### **Staffing Impact:**

None

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# Budget Narrative

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**Revenue Sources:**

(\$69,958) – Other Funds

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**Policy Package 093: Other PERS Adjustments**

**Companion Package:** None

**Purpose:** This package supports a policy change that affected other PERS Adjustments.

**How Achieved:** This package supports policy changes that reduce the PERS employer rate by approximately 320 basis points.

**Staffing Impact:**

None

**Revenue Sources:**

(\$558,997) – Other Funds

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**Policy Package 113: Enterprise Asset Management – Fleet Vehicle Purchases**

**Companion Package:** None

**Purpose:** Increase capital outlay expenditure limitation for DAS Fleet vehicle purchases. The 4000 vehicles managed by the program are used by 120 state agency and local government customers. The 2009-11 reduction of \$10 million for the vehicle purchase budget was accomplished by pushing out the replacement mileage of gas vehicles to 130,000 miles and hybrids to 175,000. This moved the cost to

replace about 400 vehicles forward in time and was a short term, one time strategy to delay the expense.

The current service level budget established from the 2009-11 reduction for DAS Fleet is not sufficient to replace the projected 700 to 800 vehicles reaching 130,000 miles and above or to fulfill agency requests for additional, new vehicles to meet their workloads or changing business needs. Due to cost, returning the fleet to optimal replacement levels will take several biennia to achieve.

- Allows investment in more hybrid and alternative vehicles with higher initial capital outlay but lower overall life cycle cost.
- Allows DAS Fleet to continue reversing the aging of vehicles used by our customers and invest in more efficient vehicles for long term cost savings.
- Allows DAS Fleet to increase replacement of older vehicles to meet customer demand for reliable, cost effective seasonal vehicles

**How Achieved:** Increase capital outlay expenditure limitation by \$3.5 million, bringing the total vehicle replacement budget from \$7,264,241 to \$11,124,241. The increase will allow purchase of approximately 175 additional vehicles and move the program forward in the multi biennial effort to attain an optimal, cost effective replacement structure without significant increases to agency rates or incurring debt.

The DAS Fleet rates will recover the cost of the replacement purchases. Because of the cessation of payments for the 2003 COP, the addition of the \$3.5 million in capital outlay limitation will not increase rates to agency customers for 2013-15. Instead, the package will keep rates flat while stabilizing the overall health of the fleet

DAS worked closely with customer agencies over the last two years to better manage vehicle utilization. The result is a drop in fleet size by 200 vehicles and reduction of the number of underutilized vehicles.

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These continuing efforts, combined with the proposed vehicle purchase budget increase will ensure a healthy, cost effective, and sustainable fleet business that will meet the needs of our state agencies as they deliver services to citizens over the next ten years and beyond.

**Staffing Impact:** None

**Revenue Source:**

\$3,500,000 Other Funds - Charges for Services  
2013-15 vehicle rental rates will provide the funds to recover the \$3,500,000. No increase in rates over 2011-13 levels.

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**Program Option Package 116:** Enterprise Asset Management - Supreme Court Building Renovation

**Companion Package:** Yes - Companion to Oregon Judicial program option package to renovate the Supreme Court Building.

**Purpose:** This package will enable the Enterprise Asset Management Division, Administration, Planning and Construction Management Program to assist and provide project management for Oregon Judicial Department's Supreme Court Building Renovation project.

**How Achieved:** In order to provide this project oversight, the division will hire two limited duration positions to fill in behind two existing project manager positions that will be assigned to the Supreme Court Renovation project. This will be a two year project and will require that two existing staff be assigned for the successful completion of the project; the staff will provide all of the project management, budget review, approving invoices, managing the consultants and construction contractors, procuring the construction contracts, updating Oregon Judicial Department officials on progress and obtaining decisions, etc... To replace the two qualified existing staff to be assigned for this high impact State project, two positions will need to be hired to fill these

reassigned positions in order for the division to continue to repair and maintain the DAS owned buildings.

The Enterprise Asset Management division has the expertise to provide the oversight of a large renovation project. Included in this request are the costs for two limited duration positions, contracting costs, estimated projected contingency fund and attorney costs.

**Staffing Impact:**

Add one limited duration full-time position, Construction Project Mgr 1, (MMN X3267 AA), SR 27, (1.00 FTE)  
Add one limited duration full-time position, Construction Project Mgr 2, (MMN X3268 AA) SR 30, (1.00 FTE)

**Revenue Source:**

\$599,591 - Other Funds Limited

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*(Note: The following package was excluded from GBB)*

**Program Option Package 114:** Enterprise Asset Management – Surplus Staffing

**Companion Reduction Package:** None

**Purpose:** The purpose of the package is to ensure that State Surplus is able to handle the increased workload issues associated with meeting customer service objectives and to enhance revenue returns for state and local government customers by selling surplus property to the general public for the greatest amount of money possible.

**How Achieved:** Add one permanent Office Specialist 1 to the State Surplus Property Team.

During the 2011-2013 biennium, these duties are currently being performed by a limited duration position. These duties have been consolidated into one position in order to avoid the pitfalls and

## Budget Narrative

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inefficiencies previously experienced when the workload was distributed among multiple positions. By specializing in this primary function, the employee is able to perform very repetitive tasks in a very efficient and accurate manner. Accuracy of information and standardization of appearance in auction postings are critical in ensuring that buying customers know what they are buying and that selling customers (agencies) get the most money for their surplus property.

The program initially hired a temporary employee to perform the duties and analyzed the impact of consolidating the duties. Analysis revealed that the increased productivity realized by the consolidation generated enough revenue to pay the cost of the position. Although the agency has requested a permanent position two times, the position remains a Limited Duration Position to-date.

Addition of this one position will allow Surplus to provide a higher level of service to the agencies it serves. This position would be focused on customer service improvement projects including utilization of a new web-based inventory system that reduces agency customer paperwork and enhances reuse of property within state and local governments. These two projects will eliminate multiple manual processes for agency customers and have been a customer request for the last decade.

If not approved, lack of staff to efficiently process property for the program will increase agency waiting periods for sending incoming property to the program; increase time and effort to sell items; decrease the program's ability to find a reuse for items within state government; decrease revenue to the program and agencies, and increase strain on the program to accomplish its mission. Surplus' ability to provide service to its agency and local government customers is directly related to its ability to process and market property in the most cost effective and efficient manner.

**Staffing Impact:**

One permanent full-time position, Office Specialist 1, (C0103), SR 12, (1.00 FTE)

**Revenue Source:**

\$112,947 Other Funds

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Enterprise Asset Management  
Cross Reference Number: 10700-060-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Temporary Appointments	-	-	4,506	-	-	-	4,506
Overtime Payments	-	-	3,541	-	-	-	3,541
Shift Differential	-	-	3,606	-	-	-	3,606
All Other Differential	-	-	88	-	-	-	88
Public Employees' Retire Cont	-	-	1,379	-	-	-	1,379
Pension Obligation Bond	-	-	133,410	-	-	-	133,410
Social Security Taxes	-	-	898	-	-	-	898
Unemployment Assessments	-	-	283	-	-	-	283
Mass Transit Tax	-	-	15,724	-	-	-	15,724
Vacancy Savings	-	-	242,190	-	-	-	242,190
<b>Total Personal Services</b>	-	-	<b>\$405,625</b>	-	-	-	<b>\$405,625</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	405,625	-	-	-	405,625
<b>Total Expenditures</b>	-	-	<b>\$405,625</b>	-	-	-	<b>\$405,625</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(405,625)	-	-	-	(405,625)
<b>Total Ending Balance</b>	-	-	<b>(\$405,625)</b>	-	-	-	<b>(\$405,625)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Enterprise Asset Management  
Cross Reference Number: 10700-060-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	7,158	-	-	-	7,158
Out of State Travel	-	-	126	-	-	-	126
Employee Training	-	-	3,180	-	-	-	3,180
Office Expenses	-	-	11,804	-	-	-	11,804
Telecommunications	-	-	21,787	-	-	-	21,787
State Gov. Service Charges	-	-	6,879,787	-	-	-	6,879,787
Data Processing	-	-	12,069	-	-	-	12,069
Publicity and Publications	-	-	1,535	-	-	-	1,535
Professional Services	-	-	9,281	-	-	-	9,281
IT Professional Services	-	-	1,155	-	-	-	1,155
Attorney General	-	-	13,035	-	-	-	13,035
Employee Recruitment and Develop	-	-	98	-	-	-	98
Dues and Subscriptions	-	-	626	-	-	-	626
Facilities Rental and Taxes	-	-	94,180	-	-	-	94,180
Fuels and Utilities	-	-	627,813	-	-	-	627,813
Facilities Maintenance	-	-	559	-	-	-	559
Agency Program Related S and S	-	-	128,890	-	-	-	128,890
Other Services and Supplies	-	-	174,931	-	-	-	174,931
Expendable Prop 250 - 5000	-	-	1,382	-	-	-	1,382
IT Expendable Property	-	-	1,027	-	-	-	1,027
<b>Total Services &amp; Supplies</b>	-	-	<b>\$7,990,423</b>	-	-	-	<b>\$7,990,423</b>
<b>Capital Outlay</b>							
Automotive and Aircraft	-	-	178,693	-	-	-	178,693

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Essential and Policy Package Fiscal Impact Summary - BPR013

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Enterprise Asset Management  
Cross Reference Number: 10700-060-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Capital Outlay</b>							
Data Processing Hardware	-	-	2,557	-	-	-	2,557
<b>Total Capital Outlay</b>	-	-	<b>\$181,250</b>	-	-	-	<b>\$181,250</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	8,171,673	-	-	-	8,171,673
<b>Total Expenditures</b>	-	-	<b>\$8,171,673</b>	-	-	-	<b>\$8,171,673</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(8,171,673)	-	-	-	(8,171,673)
<b>Total Ending Balance</b>	-	-	<b>(\$8,171,673)</b>	-	-	-	<b>(\$8,171,673)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 033 - Exceptional Inflation

Cross Reference Name: Enterprise Asset Management  
Cross Reference Number: 10700-060-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Fuels and Utilities	-	-	304,359	-	-	-	304,359
<b>Total Services &amp; Supplies</b>	-	-	<b>\$304,359</b>	-	-	-	<b>\$304,359</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	304,359	-	-	-	304,359
<b>Total Expenditures</b>	-	-	<b>\$304,359</b>	-	-	-	<b>\$304,359</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(304,359)	-	-	-	(304,359)
<b>Total Ending Balance</b>	-	-	<b>(\$304,359)</b>	-	-	-	<b>(\$304,359)</b>



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Enterprise Asset Management  
Cross Reference Number: 10700-060-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Transfers Out</b>							
Transfer Out - Intrafund	-	-	(1,477,559)	-	-	-	(1,477,559)
<b>Total Transfers Out</b>	-	-	<b>(\$1,477,559)</b>	-	-	-	<b>(\$1,477,559)</b>
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	12,824	-	-	-	12,824
Employee Training	-	-	37,881	-	-	-	37,881
Office Expenses	-	-	69,184	-	-	-	69,184
Telecommunications	-	-	89,927	-	-	-	89,927
State Gov. Service Charges	-	-	44,880	-	-	-	44,880
Data Processing	-	-	50,901	-	-	-	50,901
Publicity and Publications	-	-	16,573	-	-	-	16,573
Professional Services	-	-	300,000	-	-	-	300,000
Attorney General	-	-	326,444	-	-	-	326,444
Employee Recruitment and Develop	-	-	(1,287)	-	-	-	(1,287)
Dues and Subscriptions	-	-	3,937	-	-	-	3,937
Facilities Rental and Taxes	-	-	20,311	-	-	-	20,311
Agency Program Related S and S	-	-	3,243	-	-	-	3,243
Other Services and Supplies	-	-	(1,681,287)	-	-	-	(1,681,287)
Expendable Prop 250 - 5000	-	-	25,647	-	-	-	25,647
IT Expendable Property	-	-	17,335	-	-	-	17,335
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$663,487)</b>	-	-	-	<b>(\$663,487)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Enterprise Asset Management  
Cross Reference Number: 10700-060-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total Expenditures</b>							
Total Expenditures	-	-	(663,487)	-	-	-	(663,487)
<b>Total Expenditures</b>	-	-	<b>(\$663,487)</b>	-	-	-	<b>(\$663,487)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(814,072)	-	-	-	(814,072)
<b>Total Ending Balance</b>	-	-	<b>(\$814,072)</b>	-	-	-	<b>(\$814,072)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 081 - May 2012 E-Board

Cross Reference Name: Enterprise Asset Management  
Cross Reference Number: 10700-060-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	(133,608)	-	-	-	(133,608)
Empl. Rel. Bd. Assessments	-	-	(40)	-	-	-	(40)
Public Employees' Retire Cont	-	-	(25,479)	-	-	-	(25,479)
Social Security Taxes	-	-	(10,221)	-	-	-	(10,221)
Worker's Comp. Assess. (WCD)	-	-	(59)	-	-	-	(59)
Mass Transit Tax	-	-	(802)	-	-	-	(802)
Flexible Benefits	-	-	(30,528)	-	-	-	(30,528)
<b>Total Personal Services</b>	-	-	<b>(\$200,737)</b>	-	-	-	<b>(\$200,737)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(200,737)	-	-	-	(200,737)
<b>Total Expenditures</b>	-	-	<b>(\$200,737)</b>	-	-	-	<b>(\$200,737)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	200,737	-	-	-	200,737
<b>Total Ending Balance</b>	-	-	<b>\$200,737</b>	-	-	-	<b>\$200,737</b>
<b>Total Positions</b>							
Total Positions	-	-	-	-	-	-	(1)
<b>Total Positions</b>	-	-	-	-	-	-	<b>(1)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 081 - May 2012 E-Board

Cross Reference Name: Enterprise Asset Management  
Cross Reference Number: 10700-060-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total FTE</b>							
Total FTE							(1.00)
<b>Total FTE</b>	-	-	-	-	-	-	<b>(1.00)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 090 - Analyst Adjustments

Cross Reference Name: Enterprise Asset Management  
Cross Reference Number: 10700-060-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Office Expenses	-	-	(67,904)	-	-	-	(67,904)
Professional Services	-	-	(317,974)	-	-	-	(317,974)
Attorney General	-	-	(121,444)	-	-	-	(121,444)
Fuels and Utilities	-	-	(300,000)	-	-	-	(300,000)
Agency Program Related S and S	-	-	(530,000)	-	-	-	(530,000)
Other Services and Supplies	-	-	(617,390)	-	-	-	(617,390)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$1,954,712)</b>	-	-	-	<b>(\$1,954,712)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(1,954,712)	-	-	-	(1,954,712)
<b>Total Expenditures</b>	-	-	<b>(\$1,954,712)</b>	-	-	-	<b>(\$1,954,712)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	1,954,712	-	-	-	1,954,712
<b>Total Ending Balance</b>	-	-	<b>\$1,954,712</b>	-	-	-	<b>\$1,954,712</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 092 - PERS Taxation Policy

Cross Reference Name: Enterprise Asset Management  
Cross Reference Number: 10700-060-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
PERS Policy Adjustment	-	-	(69,958)	-	-	-	(69,958)
<b>Total Personal Services</b>	-	-	<b>(\$69,958)</b>	-	-	-	<b>(\$69,958)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(69,958)	-	-	-	(69,958)
<b>Total Expenditures</b>	-	-	<b>(\$69,958)</b>	-	-	-	<b>(\$69,958)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	69,958	-	-	-	69,958
<b>Total Ending Balance</b>	-	-	<b>\$69,958</b>	-	-	-	<b>\$69,958</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 093 - Other PERS Adjustments

Cross Reference Name: Enterprise Asset Management  
Cross Reference Number: 10700-060-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
PERS Policy Adjustment	-	-	(558,997)	-	-	-	(558,997)
<b>Total Personal Services</b>	-	-	<b>(\$558,997)</b>	-	-	-	<b>(\$558,997)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(558,997)	-	-	-	(558,997)
<b>Total Expenditures</b>	-	-	<b>(\$558,997)</b>	-	-	-	<b>(\$558,997)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	558,997	-	-	-	558,997
<b>Total Ending Balance</b>	-	-	<b>\$558,997</b>	-	-	-	<b>\$558,997</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 113 - EAM - Fleet Vehicle Purchase

Cross Reference Name: Enterprise Asset Management  
Cross Reference Number: 10700-060-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Charges for Services	-	-	3,500,000	-	-	-	3,500,000
<b>Total Revenues</b>	-	-	<b>\$3,500,000</b>	-	-	-	<b>\$3,500,000</b>
<b>Capital Outlay</b>							
Automotive and Aircraft	-	-	3,500,000	-	-	-	3,500,000
<b>Total Capital Outlay</b>	-	-	<b>\$3,500,000</b>	-	-	-	<b>\$3,500,000</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	3,500,000	-	-	-	3,500,000
<b>Total Expenditures</b>	-	-	<b>\$3,500,000</b>	-	-	-	<b>\$3,500,000</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 116 - Supreme Court Building Renovation

Cross Reference Name: Enterprise Asset Management  
Cross Reference Number: 10700-060-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Tsfr From Judicial Dept	-	-	601,082	-	-	-	601,082
<b>Total Revenues</b>	-	-	<b>\$601,082</b>	-	-	-	<b>\$601,082</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	225,984	-	-	-	225,984
Empl. Rel. Bd. Assessments	-	-	80	-	-	-	80
Public Employees' Retire Cont	-	-	43,095	-	-	-	43,095
Social Security Taxes	-	-	17,288	-	-	-	17,288
Worker's Comp. Assess. (WCD)	-	-	118	-	-	-	118
Mass Transit Tax	-	-	1,356	-	-	-	1,356
Flexible Benefits	-	-	61,056	-	-	-	61,056
<b>Total Personal Services</b>	-	-	<b>\$348,977</b>	-	-	-	<b>\$348,977</b>
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	1,350	-	-	-	1,350
Employee Training	-	-	7,000	-	-	-	7,000
Office Expenses	-	-	4,000	-	-	-	4,000
Telecommunications	-	-	3,000	-	-	-	3,000
State Gov. Service Charges	-	-	6,350	-	-	-	6,350
Data Processing	-	-	1,300	-	-	-	1,300
Publicity and Publications	-	-	1,000	-	-	-	1,000
Professional Services	-	-	155,064	-	-	-	155,064
Attorney General	-	-	50,000	-	-	-	50,000
Employee Recruitment and Develop	-	-	800	-	-	-	800

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**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 116 - Supreme Court Building Renovation

Cross Reference Name: Enterprise Asset Management  
Cross Reference Number: 10700-060-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Dues and Subscriptions	-	-	1,000	-	-	-	1,000
Facilities Rental and Taxes	-	-	13,950	-	-	-	13,950
Other Services and Supplies	-	-	1,000	-	-	-	1,000
Expendable Prop 250 - 5000	-	-	4,800	-	-	-	4,800
<b>Total Services &amp; Supplies</b>	-	-	<b>\$250,614</b>	-	-	-	<b>\$250,614</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	599,591	-	-	-	599,591
<b>Total Expenditures</b>	-	-	<b>\$599,591</b>	-	-	-	<b>\$599,591</b>
<b>Ending Balance</b>							
Ending Balance	-	-	1,491	-	-	-	1,491
<b>Total Ending Balance</b>	-	-	<b>\$1,491</b>	-	-	-	<b>\$1,491</b>
<b>Total Positions</b>							
Total Positions	-	-	-	-	-	-	2
<b>Total Positions</b>	-	-	-	-	-	-	<b>2</b>
<b>Total FTE</b>							
Total FTE	-	-	-	-	-	-	2.00
<b>Total FTE</b>	-	-	-	-	-	-	<b>2.00</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Enterprise Asset Management			ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
Source	Fund	Agency Request				Governor's Recommended	Legislatively Adopted	
Non-Business Lic & Fees	OF - L	3400	0210	3,072,716	-	-	-	-
Charges for Services	OF -NL	3200	0410	-	-	-	-	-
	OF - L	3400		56,221,626	47,458,643	53,860,885	53,747,938	-
Admin and Service Charges	OF -NL	3200	0415	-	-	-	-	-
	OF - L	3400		780,056	1,777,220	1,633,084	1,633,084	-
	OF - DS	3430		-	-	-	-	-
Fines & Forfeitures	OF - L	3400	0505	25,645	18,400	18,400	18,400	-
Rents & Royalties	OF - L	3400	0510	94,235,277	77,009,575	94,615,433	94,615,433	-
Refunding Bonds	OF - NL DS	3230	0575	-	-	-	-	-
	OF - DS	3430		17,905,409	-	-	-	-
Interest Income	LF - L	4400	0605	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		253,479	360,000	410,000	410,000	-
	OF - DS	3430		29,124	-	-	-	-
Sales Income	OF - NL	3200	0705	-	-	-	-	-
	OF - L	3400		2,279	-	-	-	-
Loan Repayments	OF - L	3400	0925	54,612	-	-	-	-
Transfer In - Intrafund	LF - L	4400	1010	-	-	-	-	-
	LF - DS	4430		320,068	-	-	-	-
	OF - CI	3010		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		18,974,029	-	-	-	-
	OF - L	3400		3,380,077	3,370,266	1,445,824	1,445,824	-
	OF - DS	3430		47,735,950	30,691,136	-	-	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Enterprise Asset Management		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
Tsfr from Judicial Department	OF - L	3400	1198	-	-	601,082	601,082	-
Transfer Out - Intrafund	LF - L	4400	2010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		(72,682,391)	(56,890,120)	(57,804,429)	(59,281,988)	-
	OF - DS	3430		(18,243,026)	-	-	-	-
Lottery Funds Limited		4400		-	-	-	-	-
Lottery Funds Debt Service Limited		4430		320,068	-	-	-	-
Other Funds Cap Improvement		3010		-	-	-	-	-
Other Funds Cap Construction		3020		-	-	-	-	-
Other Funds Non-Limited		3200		-	-	-	-	-
Other Funds Non-Limited Debt Service		3230		18,974,029	-	-	-	-
Other Funds Limited		3400		83,809,901	69,946,976	94,780,279	93,189,773	-
Other Funds Debt Service Limited		3430		47,427,457	30,691,136	-	-	-
Federal Funds Debt Service Non-Limited		6230		-	-	-	-	-
Federal Funds Limited		6400		-	-	-	-	-
				<b>150,531,455</b>	<b>100,638,112</b>	<b>94,780,279</b>	<b>93,189,773</b>	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Asset Management

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-060-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>LIMITED BUDGET (Excluding Packages)</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	26,292,217	22,088,355	22,088,355	29,059,470	28,944,832	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	44,635,986	45,293,412	45,293,412	45,293,412	45,293,412	-
<b>CAPITAL OUTLAY</b>						
Other Funds	10,306,334	7,552,106	7,552,106	7,552,106	7,552,106	-
<b>SPECIAL PAYMENTS</b>						
Other Funds	1,000,000	-	-	-	-	-
<b>TOTAL LIMITED BUDGET (Excluding Packages)</b>						
Other Funds	82,234,537	74,933,873	74,933,873	81,904,988	81,790,350	-
<b>AUTHORIZED POSITIONS</b>	219	168	168	195	195	-
<b>AUTHORIZED FTE</b>	217.38	167.71	167.71	194.21	194.21	-
<b>LIMITED BUDGET (Essential Packages)</b>						
<b>010 NON-PICS PSNL SVC / VACANCY FACTOR</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	405,673	405,625	-
<b>031 STANDARD INFLATION</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	8,083,283	7,990,423	-
<b>CAPITAL OUTLAY</b>						

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Asset Management

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-060-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	-	-	-	181,250	181,250	-
<b>033 EXCEPTIONAL INFLATION</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	304,359	304,359	-
<b>060 TECHNICAL ADJUSTMENTS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	814,072	(663,487)	-
<b>TOTAL LIMITED BUDGET (Essential Packages)</b>						
Other Funds	-	-	-	9,788,637	8,218,170	-
<b>LIMITED BUDGET (Current Service Level)</b>						
Other Funds	82,234,537	74,933,873	74,933,873	91,693,625	90,008,520	-
<b>AUTHORIZED POSITIONS</b>	219	168	168	195	195	-
<b>AUTHORIZED FTE</b>	217.38	167.71	167.71	194.21	194.21	-
<b>LIMITED BUDGET (Policy Packages)</b>						
<b>PRIORITY 0</b>						
<b>081 MAY 2012 E-BOARD</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	(201,619)	(200,737)	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	(1)	(1)	-
<b>AUTHORIZED FTE</b>	-	-	-	(1.00)	(1.00)	-
<b>090 ANALYST ADJUSTMENTS</b>						

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Asset Management

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-060-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	-	(1,954,712)	-
<b>092 PERS TAXATION POLICY</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	-	(69,958)	-
<b>093 OTHER PERS ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	-	(558,997)	-
<b>113 EAM - FLEET VEHICLE PURCHASE</b>						
<b>CAPITAL OUTLAY</b>						
Other Funds	-	-	-	3,500,000	3,500,000	-
<b>114 EAM - SURPLUS STAFFING</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	95,527	-	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	17,420	-	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	1	-	-
<b>AUTHORIZED FTE</b>	-	-	-	1.00	-	-
<b>116 SUPREME COURT BUILDING RENOVATION</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	350,468	348,977	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Asset Management

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-060-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	250,614	250,614	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	2	2	-
<b>AUTHORIZED FTE</b>	-	-	-	2.00	2.00	-
<b>TOTAL LIMITED BUDGET (Policy Packages)</b>						
Other Funds	-	-	-	4,012,410	1,315,187	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	2	1	-
<b>AUTHORIZED FTE</b>	-	-	-	2.00	1.00	-
<b>TOTAL LIMITED BUDGET (Including Packages)</b>						
Other Funds	82,234,537	74,933,873	74,933,873	95,706,035	91,323,707	-
<b>AUTHORIZED POSITIONS</b>	219	168	168	197	196	-
<b>AUTHORIZED FTE</b>	217.38	167.71	167.71	196.21	195.21	-
<b>OPERATING BUDGET</b>						
Other Funds	82,234,537	74,933,873	74,933,873	95,706,035	91,323,707	-
<b>AUTHORIZED POSITIONS</b>	219	168	168	197	196	-
<b>AUTHORIZED FTE</b>	217.38	167.71	167.71	196.21	195.21	-
<b>DEBT SERVICE (Excluding Packages)</b>						
<b>DEBT SERVICE</b>						
General Fund	3,762,279	-	-	-	-	-
Other Funds	49,682,639	30,595,107	30,595,107	-	-	-
All Funds	53,444,918	30,595,107	30,595,107	-	-	-



Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Asset Management

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-060-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>TOTAL DEBT SERVICE (Excluding Packages)</b>						
General Fund	3,762,279	-	-	-	-	-
Other Funds	49,682,639	30,595,107	30,595,107	-	-	-
All Funds	53,444,918	30,595,107	30,595,107	-	-	-
<b>DEBT SERVICE (Current Service Level)</b>						
General Fund	3,762,279	-	-	-	-	-
Other Funds	49,682,639	30,595,107	30,595,107	-	-	-
All Funds	53,444,918	30,595,107	30,595,107	-	-	-
<b>TOTAL DEBT SERVICE (Including Packages)</b>						
General Fund	3,762,279	-	-	-	-	-
Other Funds	49,682,639	30,595,107	30,595,107	-	-	-
All Funds	53,444,918	30,595,107	30,595,107	-	-	-
<b>DEBT SERVICE NONLIMITED (Excluding Packages)</b>						
<b>DEBT SERVICE</b>						
Other Funds	18,974,029	-	-	-	-	-
<b>TOTAL DEBT SERVICE NONLIMITED (Excluding Packages)</b>						
Other Funds	18,974,029	-	-	-	-	-
<b>DEBT SERVICE NONLIMITED (Current Service Level)</b>						
Other Funds	18,974,029	-	-	-	-	-
<b>TOTAL DEBT SERVICE NONLIMITED (Including Packages)</b>						
Other Funds	18,974,029	-	-	-	-	-

**Program Unit Appropriated Fund Group and Category Summary  
2013-15 Biennium  
Enterprise Asset Management**

**Version: Y - 01 - Governor's Budget  
Cross Reference Number: 10700-060-00-00-00000**

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>TOTAL BUDGET</b>						
General Fund	3,762,279	-	-	-	-	-
Other Funds	150,891,205	105,528,980	105,528,980	95,706,035	91,323,707	-
All Funds	154,653,484	105,528,980	105,528,980	95,706,035	91,323,707	-
<b>AUTHORIZED POSITIONS</b>	219	168	168	197	196	-
<b>AUTHORIZED FTE</b>	217.38	167.71	167.71	196.21	195.21	-

## ORBITS Budget Narrative

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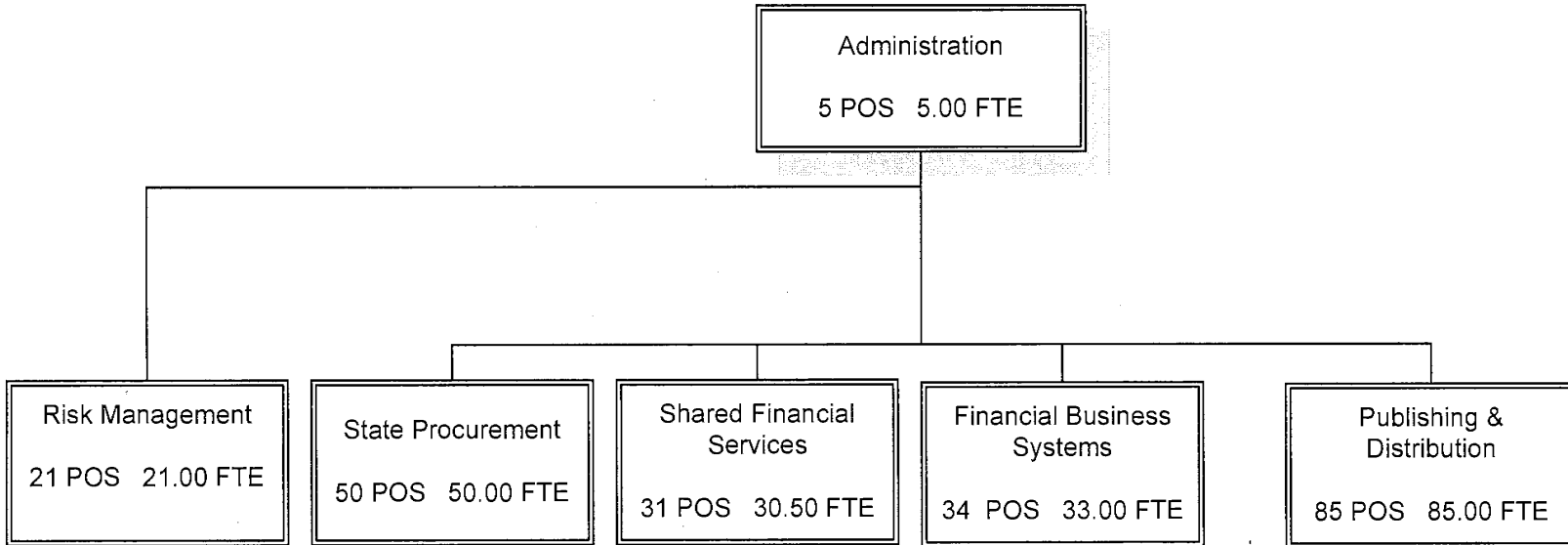
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# Budget Narrative

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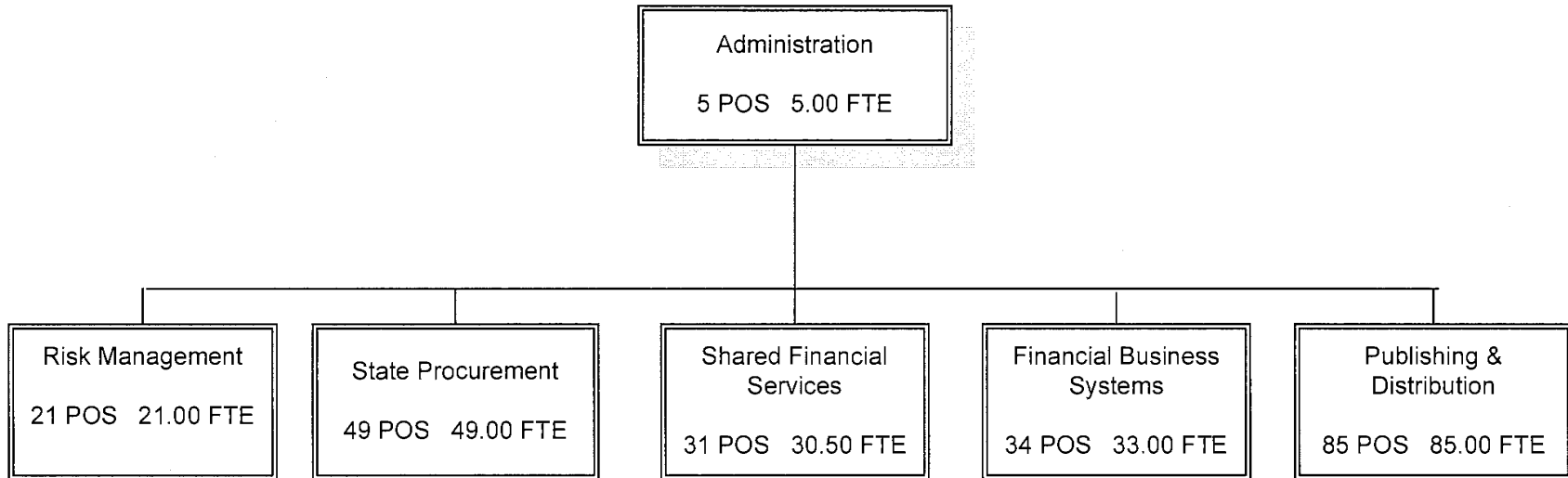
**Enterprise Good & Services  
Organization Chart  
2011 - 13  
226 POS 224.50 FTE**



# Budget Narrative

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**Enterprise Goods & Services  
Organization Chart  
2013-15  
225 POS 223.50 FTE**



# Budget Narrative

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## Enterprise Goods and Services (EGS)

### Statutory Authority:

ORS Chapter 30.260-30.300, ORS Chapter 184.305, ORS Chapter 221.760, ORS Chapter 221.770, ORS Chapters 238 and 238A, ORS Chapter 272.085, ORS Chapter 278, ORS Chapters 279 A, B, C, ORS Chapter 282, ORS Chapter 283.110, ORS Chapters 291, 292, and 293, ORS Chapter 293.560, ORS Chapter 293.565, ORS Chapter 293.570, ORS Chapter 293.575, ORS Chapter 320.100, ORS Chapter 323.455, ORS Chapter 403.165, ORS Chapter 430.380, ORS Chapter 461.547, ORS Chapter 471.810, ORS Chapter 655, OAR 125-55, OAR 125-246 through OAR 125-249

### Program Unit Narratives:

Enterprise Goods and Services (EGS) provides cost-effective services to state agencies and, in some cases, to local governments. These are value-added services that allow agencies to focus on their primary missions and core business. Specifically, EGS supports its customers by providing services in publishing and distribution, risk management, procurement services, shared financial services, and financial business systems.

The division focuses on providing assistance through responsive customer service, operational efficiency, flexible delivery and continuous performance improvement with particular attention to the following goals:

- Seeking input and collaboration through stakeholder partnerships
- Leveraging the buying power of the state to get the best value
- Responding promptly to customer requests and meeting deadlines
- Establishing systems and processes to allow data and decision making transparency
- Developing employees individually and as a collective workforce

**Enterprise Goods and Services** serve its customers with the following central-service programs:

### **Risk Management**

Risk Management (RM) insures and protects the people, property, and activities of state government. RM works daily with state agencies and their employees on claim management and risk control services. RM insures the diverse and often hazardous business of state government. The program covers \$6.8 billion in state property, 37,000 employees and all state agencies, boards, and commissions.

Risk Management manages tort, property, and Workers' Compensation claims and works proactively to mitigate the occurrence of risk by implementing various strategies with agencies to minimize or prevent loss, from minor to catastrophic. The program strives to improve government by providing the one-stop shop for management and coordination of all claims of negligence and damage involving the people, places, and actions of state government.

### **Procurement Services**

Procurement Services is the central procurement authority for state government. It combines Oregon's state and local government buying power to provide the cost-effective acquisition of commodities and services needed to support government at all levels. Procurement Services also provides and supports the state's eProcurement system and the state's Procurement Training and Certification Program.

### **Shared Financial Services**

Shared Financial Services (SFS) provides Accounting, Accounts Payable, and Accounts Receivable services to DAS, client agencies and the Governor's Office. SFS also provides budget preparation and execution services to client agencies and the Governor's Office.

## Budget Narrative

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Services include setting up accounting structures, providing appropriate and reliable financial information to managers and decision-makers, preparing financial reports for statewide year-end reporting, preparing cost allocation financial statements, maintaining fixed-asset records, accounting for Certificates of Participation and bonds, timely invoicing for DAS and client agency services and recording receipt information, daily processing of disbursements, distributions to cities and counties, and reconciling Treasury statements. SFS prepares quarterly budget plans, financial plans and reports, and projects future cash and expenditure needs for client agencies and the Governor's Office.

### **Financial Business Systems**

Financial Business Systems (FBS) is responsible for maintenance, improvements, quality assurance, training and agency assistance for the statewide payroll system, the statewide financial system, and the datamart that houses information for reporting purposes for both systems. FBS also houses shared payroll services for DAS as well as client agencies and the DAS Centralized PERS Services team that reconciles payroll and personnel data reported to the PERS retirement system. Without this program there would be no centralized payroll processing, financial system, datamart for ad hoc reporting or PERS reporting.

FBS provides the essential accounting and payroll systems with built-in controls, checks and balances needed to effectively implement the decisions of the Governor, the Legislature, and state and federal regulations. We link to other DAS Divisions through systems such as the connection between the payroll system and the human resources system and the connections between the budget system and the accounting system. We are connected to DAS services such as Purchasing, Printing & Distribution, Enterprise Technology Service's State Data Center, and more. We also link to the Oregon State Treasury, the Audits Division, and the Public Employees Retirement System.

### **Publishing & Distribution**

P&D provides integrated document publishing and distribution solutions for state agencies and local governments. Through its in-plant facility and two satellite centers (Public Service Building & Commerce Building), P&D provides digital and traditional printing solutions, variable data and secure document printing, copying, design, consultation and other related services. P&D also supplies state government with mail inserting, metering, addressing, tracking, and presorting services that result in increased security and reduced postage rates for all classes of mail (as compared to standard postal rates). In addition, P&D's interagency Shuttle Mail Service stops at more than 500 Willamette Valley locations daily, giving agencies the best rate available for next or same day delivery of documents and packages.

P&D operates two shifts, Monday through Friday for traditional printing and 24 hours per day Monday to Saturday for Data Center Print. Over a decade ago, state agencies gave up control of their own printing and mailing operations and trust P&D to provide timely, efficient and effective print and mail services that meet the agencies' needs and save money for the state. P&D has 63 fewer positions than it had at the height of the consolidation.

### **2013-19 Six-Year Plan for EGS:**

- Advance sustainable business practices for improved government
- Engage stakeholders to achieve excellence in customer service
- Leverage technology to speed processes realize advantages from economies of scale and improve access to data transparency
- Expand workforce development activities and talent management for succession planning and the next generation of government
- Create shared enterprise vision for products and services



# Budget Narrative

## **2013-15 Two-Year Plan for EGS:**

The division has planned the following actions to: Advance sustainable business practices for improved government.

- Expand training and technical support to customers.
- Complete LEAN Process Improvement efforts and increase the usage of technology to enhance delivery of service.
- Participation in the creation of Sheltered Market Program to increase participation rate of Oregon's certified small businesses.
- Move towards paperless claims handling to reduce use of paper, storage space and resources spent on document management.
- Achieve statewide sustainable purchasing goals set by the Governor and legislature in contracts through cooperative agreements.

The division has planned the following actions to: Engage stakeholders to achieve excellence in customer service

- Collaboration with the newly formed Customer Utility Board (CUB)
- Detail performance baselines and targets for all critical product and service functions and create action plans for continuous improvement
- Participate and follow up on the annual DAS customer service survey and continue to gather ongoing feedback from customers through email contacts, customer comment cards and advisory groups

The division has planned the following actions to: Leverage technology to speed processes, realize advantages from economies of scale and improve data transparency

- Improve the use of technology including consolidating multiple billing systems, electronic invoices, interface payments with Statewide Financial Management Application, new functionality for

datamart query tool, and scanning external documents to move to paperless claim files for risk management.

- Collaborate with Oregon Correction Enterprises Printing for a one stop web portal for ordering print material
- Support the full implementation of ORPIN 2.0

## **2011 - 13 Accomplishments:**

### **Publishing & Distribution**

- Implemented phase 1 of our end-to-end mail piece tracking, allowing us to track sensitive mail pieces from print to insertion
- Production incidents, defined as the number of jobs that require rework due to P&D error, dropped from 9% in 2009 to 2% in 2011
- Security Incidents(defined as an incident that compromises security or personal information – the majority are "double stuffed" envelopes) dropped from 4.5 per month to 2.3 per month in 2011-12
- Consolidate the printing of checks produced in courts around the state. Currently we produce and mail over 2,000 checks per week to Oregon citizens on behalf of 30 court systems
- Adding SAIF as a customer to produce and mail the claim checks

### **Risk Management**

- Engaged customers in the development of a revised Risk Charge Allocation Methodology which more accurately reflects the true cost of risk and exposure of agencies
- Agency Outreach: Provide quarterly loss analysis reports to agencies to identify loss trends, provide on-demand reports at agency request, presented Claims 101 to agency Risk Coordinators, successfully managed approximately \$4 million in claimed damages arising from January 2012 flood which was

# Budget Narrative

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declared a disaster area by FEMA including coordination with our excess insurance carrier, FEMA and affected state agencies

- Successfully separated the Oregon University System from the Insurance Fund and Risk claims management

## Procurement Services

- Expanded tiered delegation programs (ODOT)
- Reduced DAS Rules by 51% and have integrated rules and table of contents on line
- Completed Lean Purchase Request project resulting in 34 day process improvement
- Expanded partnership with Chemeketa Community College (CCC) to assist with their Procurement Certification Program
- 78% of Procurement Services staff completed national certification.

## Shared Financial Services

- Earned the State Controller's Division Gold Star certificate for achieving accounting goals
- Reduction in the amount of outstanding and delinquent DAS accounts receivable
- Internal SPOTS card audit with no negative findings

## Financial Business Systems

- Created and managed legislatively mandated financial transparency documents for agencies to review and remove sensitive information prior to publishing.

## Environmental Factors:

- HB 4131 will bring about organizational and workforce changes in the coming years
- The legislature redirected an additional \$5M and \$13.3M from the State Insurance fund to the General Fund in August 2011 and

June 2012 respectively. This is in addition to \$50.2M in transfers made by the 2009 legislature and means the fund is not actuarially sound

- Separation of OUS from the state resulting in substantial transfer of funds from Risk Fund. The final amount has not yet been determined pending actuarial analysis and report.
- Hardening of the insurance market resulting in increased premiums due to global events. Even with the separation of OUS, we anticipate our excess property premium will be close to last year's premium.
- Increased desire to promote Economic and Business Equity (MWESB)

## Support to budget-strained local governments:

*EGS serves local governments with programs that are especially valuable when budgets are shrinking. Here are examples of those programs:*

- Publishing and Distribution provides printing and mailing services to local governments. For example, P&D currently prepares and mails 1.5 million county property tax statements at discounted rates, prints and mails jury summons for 32 Judicial Districts. Prints on-demand 15 publications for county health organizations
- Procurement Services Strategic Sourcing program uses the statewide purchasing volume to reduce costs for state agencies and over 500 local governments, educational and nonprofit agencies through the Oregon Cooperative Procurement Program (ORCPP)
- Distribute state and federal funds to cities, counties and 911 districts
- Send notices and ensure cities certify that they are in compliance with the statute in order to receive cigarette, liquor, and fuel taxes

## Budget Narrative

- Work with Portland State University to update our state population files on an annual basis with quarterly updates to reflect the ongoing changes. This ensures that the cities and counties are getting appropriate funds for their respective shares of the state population
- Respond to requests from CPA firms asking for information related to payments made through SFMA to various political subdivisions within the state. This information is critical to the audits they perform for cities, counties and other political subdivisions
- Work with the League of Cities, the Association of Oregon Counties and the OR/CA County Association to facilitate the tax revenue payments and assist cities and counties as requested.
- Monitor county sub recipients of federal financial assistance in accordance with OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations
- Track the sale of Lottery Revenue Bonds and the debt service payments for non-state entities, including Oregon Public Broadcasting, Port of Newport, Pendleton Round-Up, Port of Morrow-Education Center, County Court Facilities, Coos Bay Railroad, Tillamook FEMA project, West Eugene EMX Extension (Lane Transit), Willow Creek Sage Improvements, Eastern Oregon Trade Center, Milton Freewater Flood Control, and Oregon Historical Society

### Oregon Tort Claim Act (OTCA):

- SB 311, passed by the 2009 Legislature, significantly increased tort cap limits for claims arising in state venue. As of July 1, 2012, the state cap is \$1.8M per claimant and \$3.6M per occurrence. There is a separate cap for property claims. These limits will continue to increase annually. Claims falling under federal jurisdiction (such as some employment and civil rights claims) are not subject to the OTCA and have no cap.
- The result of increased tort caps from 2009 limits of \$200K per claimant and \$500K per occurrence to the current \$1.8M/\$3.6M

has resulted in plaintiffs' bar submitting claims and filing lawsuits that previously we rarely received, such as severe injury claims arising out of alleged faulty road design.

- State tort caps increase annually –increasing the state's overall financial exposure. At the same time, potential budget cuts will result in reduction of funds available for DOJ defense of state agencies.

### Revenue Sources:

The division receives funding through charges for services and assessments to agencies and local governments. Rates receive review and updating each biennium. In proposing rates, the division strives to ensure that charges are 1) fair to all customers, 2) based on actual costs and 3) easily understood. All rates were reviewed and approved by the Entrepreneurial Management Committee.

**Publishing and Distribution:** The program receives funding through charges for services. Charges are based on per piece rate.

**Risk Management:** The program receives funding through charges for services. Charges to agencies are based upon the relative risk, the value of owned property and the frequency and severity of agencies' loss experience.

**Procurement Services:** The program receives funding through charges for services. It also receives part of its funding through an assessment to state agencies based on FTE and budget

**Financial Business Systems:** The program receives funding through charges for services. Each agency receives an estimate for direct charges for services. We set the amount based on a detailed breakdown of services received in the past. The cost includes

## Budget Narrative

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functional and technical support for our systems including computer center operations, systems analysis and programming services

**Shared Financial Services:** The program receives funding through charges for services

### **Non-Limited Expenditures:**

Approximately 51 percent of division expenditures are non-limited for buying insurance and paying losses from the Insurance Fund. Non-limited expenditures fall or rise with the number and severity of claims and are driven by claims against state agencies, rather than by administrative decisions. Substantial increases in state tort cap limits and subsequent increased interest by plaintiffs' bar impact cost of liability claims. Expenditures occur in five specific areas:

- Commercial insurance directly billed to agencies for their unique risks
- Property program
- Liability program
- Workers' compensation
- Inmate injury

# Budget Narrative

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## **Essential Packages**

**Purpose:** The essential packages present budget adjustments needed to bring the base budget to Current Service Level (CSL), the calculated cost of continuing legislatively approved programs into the 2013-2015 biennium.

**How Achieved:** See explanations under captions "Vacancy Factor and Non-PICS Personal Services", "Cost of Phased-in and Phased-out Programs and One-time Costs," "Inflation and Price List Adjustments" and "Technical Adjustments".

**Staffing Impact:** None

**Revenue Sources:** The revenue source(s) are the same as for the program unit as a whole, unless described differently within an individual package.

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## **010 Non-PICS Psnl Svc / Vacancy Factor Package Description**

Package 010 includes standard inflation of 2.4% on such non-PICS items as temporaries, overtime, shift differential, all other differentials, and unemployment compensation, including the OPE associated with them, such as Mass Transit, Vacancy Savings and Pension Obligation Bond Debt Service.

The total amount of this package is \$997,081 Other Funds Limited.

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## **031 Inflation & Price List Adjustments Package Description**

This package is based on the standard 2.4% inflation factor for Services & Supplies and Capital Outlay items, except for State Government Service Charges, Facilities Rent, and Attorney General Charges, which are based on the 2013-2015 Price List of Goods and Services.

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065 – Enterprise Goods and Services  
2013-15 Governor's Balanced Budget

The total amount of this package is \$9,248,567 Other Funds Limited.

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## **060 Technical Adjustments Package Description**

Package 060 includes the amount for technical budget adjustments.

The DAS Transformation required movement of 2011-2013 LAB budgets to its new enterprises. To better align budgets with the new enterprise adjustments were made that reflected where the expenditures for 2013-2015 would occur.

The total amount of this package is \$26,421,734 Other Funds Limited.

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# Budget Narrative

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## Policy Option Packages

### **Policy Package 081:** Senate Bill 5701-A Agency Reduction

**Companion Package:** None

**Purpose:** The purpose of this package is to capture the agency's share of the statewide efforts to restructure state government business operations and management of agency programs.

**How Achieved:** Senate Bill 5701-A, reduces personal services and data processing budgets for the Chief Operating Office, Chief Financial Office, Enterprise Technology Services, Enterprise Goods & Services and DAS Business Service for a total amount of \$2,000,000.

**Staffing Impact:**

None.

**Revenue Sources:**

(\$252,162) – Other Funds

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### **Policy Package No. 090:** Analyst Adjustments

**Companion Package:** None

**Purpose:** To reduce budget levels for Enterprise Goods and Services.

**How Achieved:**

This package reduces services and supplies, due to savings of doing business processes differently; eliminates limitation and funding for future Procurement Initiatives; reduces training.

**Staffing Impact:**

None

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065 – Enterprise Goods and Services  
2013-15 Governor's Balanced Budget

**Revenue Source:**

(\$2,215,811) Other Funds.

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### **Policy Package 091:** Statewide Administrative Savings

**Companion Package:** None

**Purpose:** The Governor's budget requires increased efficiency in the operation of state government, calls for additional savings in administrative expenditures, and allows for the reinvestment of some of the savings realized through efficiencies into agency programs or to other initiatives that will further improve the administrative operations of state government.

**How Achieved:** Package 091 was included in all agency budgets as a placeholder for administrative efficiencies to be found in Finance, IT, HR, Accounting, Payroll, and Procurement activities. The Improving Government subcommittee of the Enterprise Leadership Team will be identifying proposed efficiencies or changes in the delivery of service to meet the funding level in the Governor's budget, and will work with individual agencies on the impact to their budget, along with reinvestment opportunities.

**Staffing Impact:**

None

**Revenue Sources:**

(\$2,023,956) – Other Funds

### **Policy Package 092:** PERS Taxation Policy

**Companion Package:** None

**Purpose:** This package supports a policy change to limit tax relief calculations to PERS retirees that are Oregon residents. Non-resident

# Budget Narrative

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retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

**How Achieved:** Non-resident retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

**Staffing Impact:**

None

**Revenue Sources:**

(\$95,037) – Other Funds

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**Policy Package 093: Other PERS Adjustments**

**Companion Package:** None

**Purpose:** This package supports a policy change that affected other PERS Adjustments.

**How Achieved:** This package supports policy changes that reduce the PERS employer rate by approximately 320 basis points.

**Staffing Impact:**

None

**Revenue Sources:**

(\$759,393) – Other Funds

(Note: The following package was excluded at GBB)

**Program Option Package 115: Strategic Sourcing Team**

**Companion Package:** None

**Purpose:** This package will enable DAS Procurement Services to support Strategic Sourcing initiatives to consolidate enterprise-wide spending and customer needs to achieve cost savings, quality, and best value.

**How Achieved:** To implement the Strategic Sourcing Program, Procurement Services will hire six dedicated staff to augment existing staff and implement the full range of Strategic Sourcing methodologies for the procurement of enterprise-wide commodity and service needs for state agencies, local governments and other states. The Strategic Sourcing Program initiatives will include, but are not limited to:

- Developing long-term supplier and customer relationships, resulting in reduced product and service costs, while maximizing quality, customer satisfaction and supplier performance;
- Building strategic multilateral partnerships and cross-functional product and service teams to conduct opportunity assessments;
- Matching acquisition requirements and state spend with supplier capacity and capabilities;
- Increasing the states knowledge of customer needs and spending patterns to develop procurement strategies;
- Analysis of supplier markets and levers;
- Analysis of environmental and supplier diversity issues for consideration in procurement strategies;
- Streamlining the competitive process to allow for more negotiation;
- Creating customer empowerment on risk and business decision making;
- Analysis of expenditures and total cost of ownership;
- Designing procurement and negotiation strategies that maximize cost savings, improve Procurement management and supplier performance functions, and deliver other value added features through Price Agreements to customers.

## Budget Narrative

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Key functions will also include: conducting opportunity assessments with key stakeholders (i.e. Policy, CUB, Exec Team); developing supplier, customer and category profiles (i.e. supply chain, company health, cost drivers, supplier performance score cards); and create buying flexibility within Price Agreements when possible.

### **Staffing Impact:**

Add four permanent, full-time, State Procurement Analysts, (OA C0770 AA), SR 30, (4 FTE)

Add two permanent, full-time, Procurement & Contract Specialist 3, (OA C0438 AA), SR 29, (2 FTE)

### **Revenue Source:**

\$1,160,101-Other Funds Limited, Charges for Services



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Enterprise Goods & Services  
Cross Reference Number: 10700-065-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Temporary Appointments	-	-	2,466	-	-	-	2,466
Overtime Payments	-	-	494	-	-	-	494
Shift Differential	-	-	1	-	-	-	1
All Other Differential	-	-	131	-	-	-	131
Public Employees' Retire Cont	-	-	119	-	-	-	119
Pension Obligation Bond	-	-	1,041,512	-	-	-	1,041,512
Social Security Taxes	-	-	236	-	-	-	236
Mass Transit Tax	-	-	100,043	-	-	-	100,043
Vacancy Savings	-	-	(147,922)	-	-	-	(147,922)
Reconciliation Adjustment	-	-	1	-	-	-	1
<b>Total Personal Services</b>	-	-	<b>\$997,081</b>	-	-	-	<b>\$997,081</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	997,081	-	-	-	997,081
<b>Total Expenditures</b>	-	-	<b>\$997,081</b>	-	-	-	<b>\$997,081</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(997,081)	-	-	-	(997,081)
<b>Total Ending Balance</b>	-	-	<b>(\$997,081)</b>	-	-	-	<b>(\$997,081)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Enterprise Goods & Services  
Cross Reference Number: 10700-065-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	354	-	-	-	354
Out of State Travel	-	-	107	-	-	-	107
Employee Training	-	-	3,588	-	-	-	3,588
Office Expenses	-	-	12,293	-	-	-	12,293
Telecommunications	-	-	11,389	-	-	-	11,389
State Gov. Service Charges	-	-	7,279,148	-	-	-	7,279,148
Data Processing	-	-	46,969	-	-	-	46,969
Publicity and Publications	-	-	18,688	-	-	-	18,688
Professional Services	-	-	6,028	-	-	-	6,028
IT Professional Services	-	-	48,671	-	-	-	48,671
Attorney General	-	-	1,771,067	-	-	-	1,771,067
Employee Recruitment and Develop	-	-	110	-	-	-	110
Dues and Subscriptions	-	-	554	-	-	-	554
Facilities Rental and Taxes	-	-	25,690	-	-	-	25,690
Agency Program Related S and S	-	-	7,151	-	-	-	7,151
Other Services and Supplies	-	-	12,845	-	-	-	12,845
Expendable Prop 250 - 5000	-	-	1,794	-	-	-	1,794
IT Expendable Property	-	-	2,121	-	-	-	2,121
<b>Total Services &amp; Supplies</b>	-	-	<b>\$9,248,567</b>	-	-	-	<b>\$9,248,567</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	9,248,567	-	-	-	9,248,567
<b>Total Expenditures</b>	-	-	<b>\$9,248,567</b>	-	-	-	<b>\$9,248,567</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Enterprise Goods & Services  
Cross Reference Number: 10700-065-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Ending Balance</b>							
Ending Balance	-	-	(9,248,567)	-	-	-	(9,248,567)
<b>Total Ending Balance</b>	-	-	<b>(\$9,248,567)</b>	-	-	-	<b>(\$9,248,567)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

**Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments**

**Cross Reference Name: Enterprise Goods & Services  
Cross Reference Number: 10700-065-00-00-00000**

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	(134,496)	-	-	-	(134,496)
Temporary Appointments	-	-	108,464	-	-	-	108,464
Overtime Payments	-	-	1,903	-	-	-	1,903
All Other Differential	-	-	4,198	-	-	-	4,198
Empl. Rel. Bd. Assessments	-	-	(40)	-	-	-	(40)
Public Employees' Retire Cont	-	-	(24,485)	-	-	-	(24,485)
Social Security Taxes	-	-	(1,524)	-	-	-	(1,524)
Unemployment Assessments	-	-	11,208	-	-	-	11,208
Worker's Comp. Assess. (WCD)	-	-	(59)	-	-	-	(59)
Flexible Benefits	-	-	(30,528)	-	-	-	(30,528)
Reconciliation Adjustment	-	-	(1)	-	-	-	(1)
<b>Total Personal Services</b>	-	-	<b>(\$65,360)</b>	-	-	-	<b>(\$65,360)</b>

<b>Services &amp; Supplies</b>							
Instate Travel	-	-	29,061	-	-	-	29,061
Out of State Travel	-	-	17,279	-	-	-	17,279
Employee Training	-	-	148,262	-	-	-	148,262
Office Expenses	-	-	341,280	-	-	-	341,280
Telecommunications	-	-	328,299	-	-	-	328,299
State Gov. Service Charges	-	-	1,157,221	-	-	-	1,157,221
Data Processing	-	-	1,351,081	-	-	-	1,351,081
Publicity and Publications	-	-	(153,230)	-	-	-	(153,230)
Professional Services	-	-	851,277	-	-	-	851,277
IT Professional Services	-	-	3,453,360	-	-	-	3,453,360
Attorney General	-	-	512,711	-	-	-	512,711

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**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Enterprise Goods & Services  
Cross Reference Number: 10700-065-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Employee Recruitment and Develop	-	-	5,305	-	-	-	5,305
Dues and Subscriptions	-	-	27,545	-	-	-	27,545
Facilities Rental and Taxes	-	-	2,579,658	-	-	-	2,579,658
Facilities Maintenance	-	-	142,408	-	-	-	142,408
Agency Program Related S and S	-	-	14,685,238	-	-	-	14,685,238
Other Services and Supplies	-	-	833,531	-	-	-	833,531
Expendable Prop 250 - 5000	-	-	151,624	-	-	-	151,624
IT Expendable Property	-	-	25,184	-	-	-	25,184
<b>Total Services &amp; Supplies</b>	-	-	<b>\$26,487,094</b>	-	-	-	<b>\$26,487,094</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	26,421,734	-	-	-	26,421,734
<b>Total Expenditures</b>	-	-	<b>\$26,421,734</b>	-	-	-	<b>\$26,421,734</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(26,421,734)	-	-	-	(26,421,734)
<b>Total Ending Balance</b>	-	-	<b>(\$26,421,734)</b>	-	-	-	<b>(\$26,421,734)</b>
<b>Total Positions</b>							
Total Positions	-	-	-	-	-	-	(1)
<b>Total Positions</b>	-	-	-	-	-	-	<b>(1)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Enterprise Goods & Services  
Cross Reference Number: 10700-065-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total FTE</b>							
Total FTE							(1.00)
<b>Total FTE</b>	-	-	-	-	-	-	<b>(1.00)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 081 - May 2012 E-Board

Cross Reference Name: Enterprise Goods & Services  
Cross Reference Number: 10700-065-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Data Processing	-	-	(252,162)	-	-	-	(252,162)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$252,162)</b>	-	-	-	<b>(\$252,162)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(252,162)	-	-	-	(252,162)
<b>Total Expenditures</b>	-	-	<b>(\$252,162)</b>	-	-	-	<b>(\$252,162)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	252,162	-	-	-	252,162
<b>Total Ending Balance</b>	-	-	<b>\$252,162</b>	-	-	-	<b>\$252,162</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 090 - Analyst Adjustments

Cross Reference Name: Enterprise Goods & Services  
Cross Reference Number: 10700-065-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	(11,528)	-	-	-	(11,528)
Out of State Travel	-	-	(9,330)	-	-	-	(9,330)
Employee Training	-	-	(57,696)	-	-	-	(57,696)
Office Expenses	-	-	(70,000)	-	-	-	(70,000)
Data Processing	-	-	(360,000)	-	-	-	(360,000)
Publicity and Publications	-	-	(1,595)	-	-	-	(1,595)
Professional Services	-	-	(600,000)	-	-	-	(600,000)
Attorney General	-	-	(1,100,000)	-	-	-	(1,100,000)
Dues and Subscriptions	-	-	(5,662)	-	-	-	(5,662)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$2,215,811)</b>	-	-	-	<b>(\$2,215,811)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(2,215,811)	-	-	-	(2,215,811)
<b>Total Expenditures</b>	-	-	<b>(\$2,215,811)</b>	-	-	-	<b>(\$2,215,811)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	2,215,811	-	-	-	2,215,811
<b>Total Ending Balance</b>	-	-	<b>\$2,215,811</b>	-	-	-	<b>\$2,215,811</b>



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 091 - Statewide Administrative Savings

Cross Reference Name: Enterprise Goods & Services  
Cross Reference Number: 10700-065-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Undistributed (S.S.)	-	-	(2,023,956)	-	-	-	(2,023,956)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$2,023,956)</b>	-	-	-	<b>(\$2,023,956)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(2,023,956)	-	-	-	(2,023,956)
<b>Total Expenditures</b>	-	-	<b>(\$2,023,956)</b>	-	-	-	<b>(\$2,023,956)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	2,023,956	-	-	-	2,023,956
<b>Total Ending Balance</b>	-	-	<b>\$2,023,956</b>	-	-	-	<b>\$2,023,956</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 092 - PERS Taxation Policy

Cross Reference Name: Enterprise Goods & Services  
Cross Reference Number: 10700-065-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
PERS Policy Adjustment	-	-	(95,037)	-	-	-	(95,037)
<b>Total Personal Services</b>	-	-	<b>(\$95,037)</b>	-	-	-	<b>(\$95,037)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(95,037)	-	-	-	(95,037)
<b>Total Expenditures</b>	-	-	<b>(\$95,037)</b>	-	-	-	<b>(\$95,037)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	95,037	-	-	-	95,037
<b>Total Ending Balance</b>	-	-	<b>\$95,037</b>	-	-	-	<b>\$95,037</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 093 - Other PERS Adjustments

Cross Reference Name: Enterprise Goods & Services  
Cross Reference Number: 10700-065-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
PERS Policy Adjustment	-	-	(759,393)	-	-	-	(759,393)
<b>Total Personal Services</b>	-	-	<b>(\$759,393)</b>	-	-	-	<b>(\$759,393)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(759,393)	-	-	-	(759,393)
<b>Total Expenditures</b>	-	-	<b>(\$759,393)</b>	-	-	-	<b>(\$759,393)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	759,393	-	-	-	759,393
<b>Total Ending Balance</b>	-	-	<b>\$759,393</b>	-	-	-	<b>\$759,393</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Enterprise Goods & Services		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
Source	Agency Request					Governor's Recommended	Legislatively Adopted	
Central Service Charges	GF	8800	0405	-	-	-	-	-
Charges for Services	OF - N L	3200	0410	131,420,181	130,771,109	108,256,913	108,256,913	-
	OF - L	3400		6,835,257	7,791,432	67,281,180	66,121,079	-
Admin and Service Charges	OF - N L	3200	0415	-	-	-	-	-
	OF - L	3400		4,262,435	7,220,320	-	-	-
	OF - DS	3430		-	-	-	-	-
Interest Income	LF - L	4400	0605	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - NL	3200		5,548,893	4,831,920	4,330,227	4,330,277	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		13,018	20,000	-	-	-
	OF - DS	3430		-	-	-	-	-
Sales Income	OF - NL	3200	0705	70,188	-	-	-	-
	OF - L	3400		1,418	-	6,511,597	6,511,597	-
Transfer In - Intrafund	LF - L	4400	1010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CI	3010		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		20,306,854	19,255,987	28,100,997	28,100,997	-
	OF - DS	3430		-	-	-	-	-
Transfer Out - Intrafund	LF - L	4400	2010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		(18,425,110)	(17,331,120)	(19,661,732)	(19,661,732)	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		(936,936)	(751,007)	(9,813,513)	(9,813,513)	-
	OF - DS	3430		-	-	-	-	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

<b>Enterprise Goods &amp; Services</b>		<b>ORBITS Revenue Account</b>	<b>2009-2011 Actual</b>	<b>2011-2013 Legislatively Approved</b>	<b>2013-2015</b>		
<b>Source</b>	<b>Fund</b>				<b>Agency Request</b>	<b>Governor's Recommended</b>	<b>Legislatively Adopted</b>
Lottery Funds Limited	4400		-	-	-	-	-
Lottery Funds Debt Service Limited	4430		-	-	-	-	-
Other Funds Cap Improvement	3010		-	-	-	-	-
Other Funds Cap Construction	3020		-	-	-	-	-
Other Funds Non-Limited	3200		118,614,152	118,271,909	92,925,408	92,925,458	-
Other Funds Non-Limited Debt Service	3230		-	-	-	-	-
Other Funds Limited	3400		30,482,046	33,536,732	92,080,261	90,920,160	-
Other Funds Debt Service Limited	3430		-	-	-	-	-
Federal Funds Debt Service Non-Limited	6230		-	-	-	-	-
Federal Funds Limited	6400		-	-	-	-	-
			<b>149,096,198</b>	<b>138,508,641</b>	<b>185,005,669</b>	<b>183,845,618</b>	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Goods & Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-065-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>LIMITED BUDGET (Excluding Packages)</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	10,371,919	10,901,293	10,756,199	37,901,276	37,743,805	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	18,418,781	19,344,123	19,344,123	19,344,123	19,344,123	-
<b>TOTAL LIMITED BUDGET (Excluding Packages)</b>						
Other Funds	28,790,700	30,245,416	30,100,322	57,245,399	57,087,928	-
<b>AUTHORIZED POSITIONS</b>	62	58	57	226	226	-
<b>AUTHORIZED FTE</b>	61.00	57.00	56.00	224.50	224.50	-
<b>LIMITED BUDGET (Essential Packages)</b>						
<b>010 NON-PICS PSNL SVC / VACANCY FACTOR</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	997,085	997,081	-
<b>031 STANDARD INFLATION</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	9,356,573	9,248,567	-
<b>060 TECHNICAL ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	(66,207)	(65,360)	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	26,487,094	26,487,094	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Goods & Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-065-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
AUTHORIZED POSITIONS	-	-	-	(1)	(1)	-
AUTHORIZED FTE	-	-	-	(1.00)	(1.00)	-
<b>TOTAL LIMITED BUDGET (Essential Packages)</b>						
Other Funds	-	-	-	36,774,545	36,667,382	-
AUTHORIZED POSITIONS	-	-	-	(1)	(1)	-
AUTHORIZED FTE	-	-	-	(1.00)	(1.00)	-
<b>LIMITED BUDGET (Current Service Level)</b>						
Other Funds	28,790,700	30,245,416	30,100,322	94,019,944	93,755,310	-
AUTHORIZED POSITIONS	62	58	57	225	225	-
AUTHORIZED FTE	61.00	57.00	56.00	223.50	223.50	-
<b>LIMITED BUDGET (Policy Packages)</b>						
<b>PRIORITY 0</b>						
<b>081 MAY 2012 E-BOARD</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	(252,162)	(252,162)	-
<b>090 ANALYST ADJUSTMENTS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	-	(2,215,811)	-
<b>091 STATEWIDE ADMINISTRATIVE SAVINGS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	-	(2,023,956)	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Goods & Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-065-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>092 PERS TAXATION POLICY</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	-	(95,037)	-
<b>093 OTHER PERS ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	-	(759,393)	-
<b>115 PROCUREMENT SERVICES STRATEGIC SOURCE</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	1,023,451	-	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	136,650	-	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	6	-	-
<b>AUTHORIZED FTE</b>	-	-	-	6.00	-	-
<b>TOTAL LIMITED BUDGET (Policy Packages)</b>						
Other Funds	-	-	-	907,939	(5,346,359)	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	6	-	-
<b>AUTHORIZED FTE</b>	-	-	-	6.00	-	-
<b>TOTAL LIMITED BUDGET (Including Packages)</b>						
Other Funds	28,790,700	30,245,416	30,100,322	94,927,883	88,408,951	-
<b>AUTHORIZED POSITIONS</b>	62	58	57	231	225	-
<b>AUTHORIZED FTE</b>	61.00	57.00	56.00	229.50	223.50	-



Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Goods & Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-065-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>NONLIMITED BUDGET (Excluding Packages)</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	75,479,530	99,561,407	99,561,407	98,555,668	98,555,668	-
<b>TOTAL NONLIMITED BUDGET (Excluding Packages)</b>						
Other Funds	75,479,530	99,561,407	99,561,407	98,555,668	98,555,668	-
<b>NONLIMITED BUDGET (Current Service Level)</b>						
Other Funds	75,479,530	99,561,407	99,561,407	98,555,668	98,555,668	-
<b>TOTAL NONLIMITED BUDGET (Including Packages)</b>						
Other Funds	75,479,530	99,561,407	99,561,407	98,555,668	98,555,668	-
<b>OPERATING BUDGET</b>						
Other Funds	104,270,230	129,806,823	129,661,729	193,483,551	186,964,619	-
<b>AUTHORIZED POSITIONS</b>	62	58	57	231	225	-
<b>AUTHORIZED FTE</b>	61.00	57.00	56.00	229.50	223.50	-
<b>TOTAL BUDGET</b>						
Other Funds	104,270,230	129,806,823	129,661,729	193,483,551	186,964,619	-
<b>AUTHORIZED POSITIONS</b>	62	58	57	231	225	-
<b>AUTHORIZED FTE</b>	61.00	57.00	56.00	229.50	223.50	-

## ORBITS Budget Narrative

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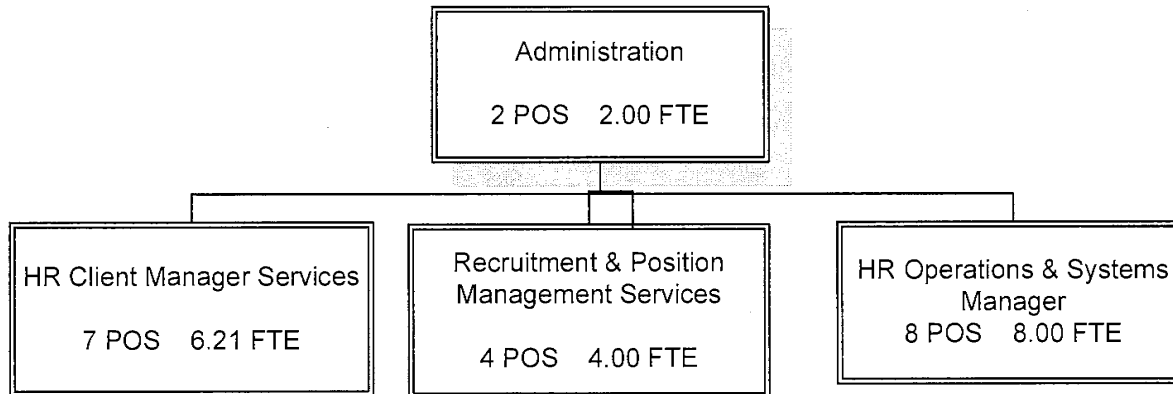
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# Budget Narrative

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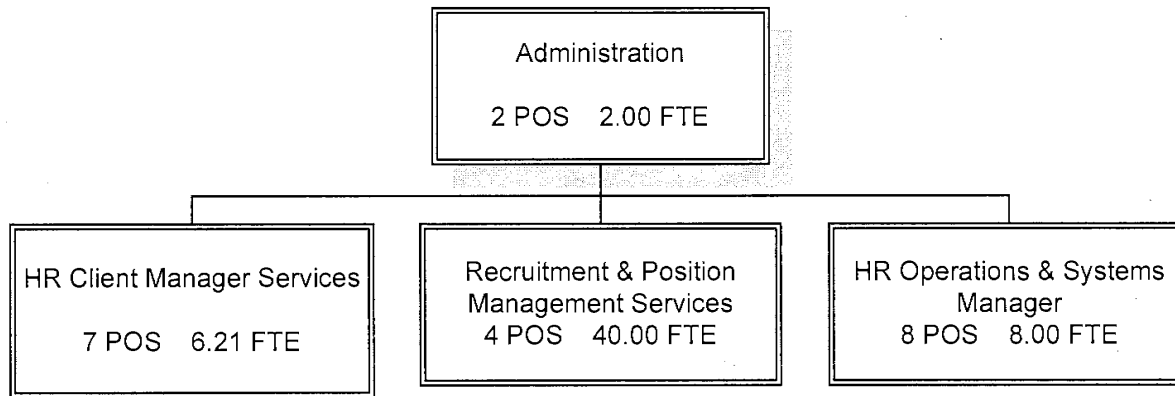
**Enterprise Human Resource Services  
Organization Chart  
2011-13  
21 POS 20.21 FTE**



# Budget Narrative

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**Enterprise Human Resource Services  
Organization Chart  
2013-15  
21 POS 20.21 FTE**



# Budget Narrative

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## Enterprise Human Resource Services

### Statutory Authority:

ORS 240, 243

OAR 105

### Program Unit Narratives:

Enterprise Human Resource Services (EHRS) provides HR services and data systems to agencies to attract, select and maintain a reliable and qualified workforce for the state enterprise. The program promotes effective and efficient use of the workforce, and; reduces employment litigation risk while ensuring accountability to workplace conduct and performance standards.

The enterprise's centralized human resource functions enable executive branch agencies to share resources and expertise. These functions also give agencies flexibility to manage their human capital in a cost-effective way, producing the following tangible benefits:

- The enterprise learning management system (iLearn Oregon) brings employee training to the desktop saving time, travel, and reducing paperwork associated with training.
- The electronic recruitment system (e-Recruit) uses an online application process that reduces paperwork for agencies and applicants. The system automates agencies' manual screening processes for minimum qualifications, and provides timely responses to applicants. It reduces the time to fill vacancies and give managers easy online access to their applicant pools.
- The position and personnel database (PPDB) securely maintains the official and confidential employment records and

drives other state systems such as the state payroll system and Public Employees Retirement System.

- Client Agency Human Resource Services provides full service HR services to state agencies using a shared service model. Client Services is a cost effective alternative for small agencies that might otherwise create their own HR management office. Additionally, this group provides the internal HR support functions for the Department of Administrative Services.
- The Client Agency HR Services program will also provide agencies with "ad hoc" services in the areas of workforce training, recruitment, position allocation, personnel investigation and general consultative services.

### 2013-19 Six-Year Plan:

EHRS objective is to accomplish the following tasks over the next six years:

- Depending on funding, implement a comprehensive human resource information system in conjunction with the Chief Human Resource Office, agency stakeholders and technology services partners.
- Increase HR client services (by 100% over current service level) to more efficiently meet the administrative services needs of state agencies.
- Institute service level agreements and improved rate methodologies in collaboration with Customer Utility Board.
- Obtain flexibility to quickly expand and contract EHRS staffing levels to meet service level demands.

## Budget Narrative

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### **2013-15 Two-Year Plan:**

EHRIS plans the following short-term actions to meet the information needs of our customers:

- Automate and improve HR information management practices to significantly reduce labor and material costs within DAS and other state agencies. More specifically, this involves changing Oregon Administrative Rules that currently require printing of PPDB records, distribution and manual filing by state agencies which can be moved entirely on-line.
- Participate in the exploration of a comprehensive human resource information system for the state enterprise to replace the existing legacy personnel database (PPDB).

EHRIS will implement the following enterprise solutions:

- Expand client agency HR services to reduce the administrative "back office" costs within small agencies.
- Improve management capabilities and support agencies in creating high performance work environments.
- Develop a referral list of human resource services to state agencies.

### **2011-13 Accomplishments:**

- Made iLearnOregon available to all state agencies with nearly four-thousand active courses and 800 on-line courses. Note: One mandatory on-line training course on domestic violence saved the state \$74,000.

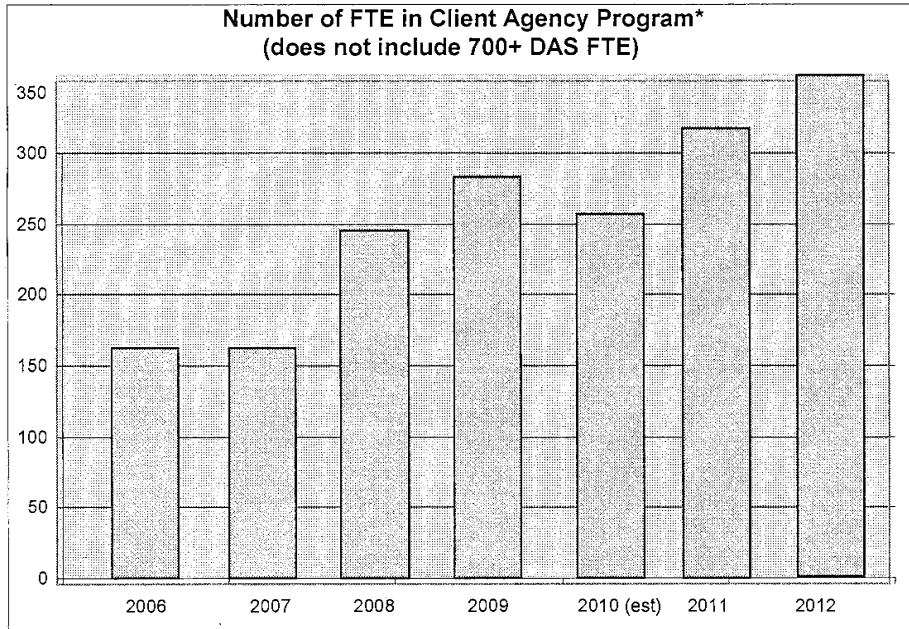
- Conducted training needs analysis of over 100 leaders allowing us to prioritize training and development resources and content.
- Lead and administered reductions in force while providing outplacement services to support those negatively impacted.
- Established an active partnership with senior leadership to recommend, support and implement Entrepreneurial Management organization infrastructure within DAS.
- Completed recruiting lean process improvement to reduce throughput time and improve candidate quality.
- Implemented "Manager's Corner" using SharePoint to provide fingertip resources improving efficiency and human resource knowledge access for leadership.
- Added the ability to capture veteran status of employees to enable reporting on the state's employment of veterans.
- Reduced cost by converting paper reports to electronic reports agencies can access on PPDB's web report site.
- In coordination with another division, was able to reduce the annual cost by \$14,672 in the day to day maintenance of the Position/Personnel Database System.

# Budget Narrative

## Trends:

### Client Agencies

The number of agencies that EHRS serves as client agencies continues to grow. The chart below shows the increase of total number of employees supported through HRSD client agency services. In the new organizational structure, DAS itself (an agency of approximately 750 FTE) becomes a client of EHRS. EHRS has begun to serve those 750 FTE plus an additional 366 FTE in the other 17 client agencies.



\* 2011/12 data based on Client Agency Contact data sheet. 2006-2009 based on previous budget narrative. 2010 not available and is an estimate only.

## Revenue Sources:

The Entrepreneurial Management reorganization separated the human resource management services functions from the human resource policy functions. The objective for service functions is that charges for services provided cover the full cost of providing the services.

In the past, the cost for EHRS services has been subsidized by a partial assessment charged to all agencies.

The EHRS is working with the client agencies to implement a cost/funding strategy that will enable the enterprise to reach between 80% - 100% of full cost recovery over a 10 year period.

For the 2013-2015 biennium the cost per unit of service charges cover approximately 30% of the full cost of providing the services. The subsidy covers the remaining 70%.

The Oregon University System no longer pays assessments to the division and does not use our systems or services.



# Budget Narrative

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## **Essential Packages**

**Purpose:** The essential packages present budget adjustments needed to bring the base budget to Current Service Level (CSL), the calculated cost of continuing legislatively approved programs into the 2013-2015 biennium.

**How Achieved:** See explanations under captions "Vacancy Factor and Non-PICS Personal Services", "Cost of Phased-in and Phased-out Programs and One-time Costs," "Inflation and Price List Adjustments" and "Technical Adjustments".

**Staffing Impact:** None

**Revenue Sources:** The revenue source(s) are the same as for the program unit as a whole, unless described differently within an individual package.

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## **010 Non-PICS Psnl Svc / Vacancy Factor Package Description**

Package 010 includes standard inflation of 2.4% on such non-PICS items as temporaries, overtime, shift differential, all other differentials, and unemployment compensation, including the OPE associated with them, such as Mass Transit, Vacancy Savings and Pension Obligation Bond Debt Service.

The total amount of this package is \$117,959 Other Funds Limited.

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## **031 Inflation & Price List Adjustments Package Description**

This package is based on the standard 2.4% inflation factor for Services & Supplies and Capital Outlay items, except for State Government

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070 Enterprise Human Resource Services  
2013-15 Governor's Balanced Budget

Service Charges, Facilities Rent, and Attorney General Charges, which are based on the 2013-2015 Price List of Goods and Services.

The total amount of this package is \$708,146 Other Funds Limited.

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## **060 Technical Adjustments Package Description**

Package 060 includes the amount for technical budget adjustments.

The DAS Transformation required movement of 2011-2013 LAB budgets to its new enterprises. To better align budgets with the new enterprise adjustments were made that reflected where the expenditures for 2013-2015 would occur.

The total amount of this package is \$1,763,155 Other Funds Limited.

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# Budget Narrative

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## Policy Option Packages

### **Policy Package No. 090:** Analyst Adjustments

**Companion Package:** None

**Purpose:** To reduce budget levels for the Enterprise Human Resource Services.

**How Achieved:**

This package eliminates publishing and distribution of hard copy personnel actions and reports to agencies. This reduction was possible because of efficiencies implemented by staff; reduces services & supplies.

**Staffing Impact:**

None

**Revenue Source:**

(\$87,329) Other Funds.

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### **Policy Package 091:** Statewide Administrative Savings

**Companion Package:** None

**Purpose:** The Governor's budget requires increased efficiency in the operation of state government, calls for additional savings in administrative expenditures, and allows for the reinvestment of some of the savings realized through efficiencies into agency programs or to other initiatives that will further improve the administrative operations of state government.

**How Achieved:** Package 091 was included in all agency budgets as a placeholder for administrative efficiencies to be found in Finance, IT, HR, Accounting, Payroll, and Procurement activities. The Improving Government subcommittee of the Enterprise Leadership Team will be identifying proposed efficiencies or changes in the delivery of service to meet the funding level in the Governor's budget, and will work with individual agencies on the impact to their budget, along with reinvestment opportunities.

**Staffing Impact:**

None

**Revenue Sources:**

(\$462,891) – Other Funds

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### **Policy Package 092:** PERS Taxation Policy

**Companion Package:** None

**Purpose:** This package supports a policy change to limit tax relief calculations to PERS retirees that are Oregon residents. Non-resident retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

**How Achieved:** Non-resident retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

**Staffing Impact:**

None

**Revenue Sources:**

(\$9,690) – Other Funds

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# Budget Narrative

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**Policy Package 093:** Other PERS Adjustments

**Companion Package:** None

**Purpose:** This package supports a policy change that affected other PERS Adjustments.

**How Achieved:** This package supports policy changes that reduce the PERS employer rate by approximately 320 basis points.

**Staffing Impact:**  
None

**Revenue Sources:**  
(\$77,427) – Other Funds

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**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Enterprise Human Resouce Services  
Cross Reference Number: 10700-070-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Pension Obligation Bond	-	-	149,463	-	-	-	149,463
Mass Transit Tax	-	-	14,518	-	-	-	14,518
Vacancy Savings	-	-	(46,022)	-	-	-	(46,022)
<b>Total Personal Services</b>	-	-	<b>\$117,959</b>	-	-	-	<b>\$117,959</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	117,959	-	-	-	117,959
<b>Total Expenditures</b>	-	-	<b>\$117,959</b>	-	-	-	<b>\$117,959</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(117,959)	-	-	-	(117,959)
<b>Total Ending Balance</b>	-	-	<b>(\$117,959)</b>	-	-	-	<b>(\$117,959)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Enterprise Human Resouce Services  
Cross Reference Number: 10700-070-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Telecommunications	-	-	704	-	-	-	704
State Gov. Service Charges	-	-	707,442	-	-	-	707,442
<b>Total Services &amp; Supplies</b>	-	-	<b>\$708,146</b>	-	-	-	<b>\$708,146</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	708,146	-	-	-	708,146
<b>Total Expenditures</b>	-	-	<b>\$708,146</b>	-	-	-	<b>\$708,146</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(708,146)	-	-	-	(708,146)
<b>Total Ending Balance</b>	-	-	<b>(\$708,146)</b>	-	-	-	<b>(\$708,146)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Enterprise Human Resource Services  
Cross Reference Number: 10700-070-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	6,925	-	-	-	6,925
Out of State Travel	-	-	729	-	-	-	729
Employee Training	-	-	33,023	-	-	-	33,023
Office Expenses	-	-	57,579	-	-	-	57,579
Telecommunications	-	-	23,585	-	-	-	23,585
State Gov. Service Charges	-	-	52,143	-	-	-	52,143
Data Processing	-	-	527,811	-	-	-	527,811
Publicity and Publications	-	-	22,654	-	-	-	22,654
Professional Services	-	-	263,031	-	-	-	263,031
IT Professional Services	-	-	429,457	-	-	-	429,457
Attorney General	-	-	136,143	-	-	-	136,143
Employee Recruitment and Develop	-	-	4,518	-	-	-	4,518
Dues and Subscriptions	-	-	8,672	-	-	-	8,672
Facilities Rental and Taxes	-	-	121,311	-	-	-	121,311
Other Services and Supplies	-	-	25,500	-	-	-	25,500
Expendable Prop 250 - 5000	-	-	8,269	-	-	-	8,269
IT Expendable Property	-	-	41,805	-	-	-	41,805
<b>Total Services &amp; Supplies</b>	-	-	<b>\$1,763,155</b>	-	-	-	<b>\$1,763,155</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	1,763,155	-	-	-	1,763,155
<b>Total Expenditures</b>	-	-	<b>\$1,763,155</b>	-	-	-	<b>\$1,763,155</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Enterprise Human Resouce Services  
Cross Reference Number: 10700-070-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Ending Balance</b>							
Ending Balance	-	-	(1,763,155)	-	-	-	(1,763,155)
<b>Total Ending Balance</b>	-	-	<b>(\$1,763,155)</b>	-	-	-	<b>(\$1,763,155)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 090 - Analyst Adjustments

Cross Reference Name: Enterprise Human Resource Services  
Cross Reference Number: 10700-070-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Out of State Travel	-	-	(130)	-	-	-	(130)
Data Processing	-	-	(76,699)	-	-	-	(76,699)
IT Professional Services	-	-	(10,000)	-	-	-	(10,000)
Dues and Subscriptions	-	-	(500)	-	-	-	(500)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$87,329)</b>	-	-	-	<b>(\$87,329)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(87,329)	-	-	-	(87,329)
<b>Total Expenditures</b>	-	-	<b>(\$87,329)</b>	-	-	-	<b>(\$87,329)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	87,329	-	-	-	87,329
<b>Total Ending Balance</b>	-	-	<b>\$87,329</b>	-	-	-	<b>\$87,329</b>



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 091 - Statewide Administrative Savings

Cross Reference Name: Enterprise Human Resouce Services  
Cross Reference Number: 10700-070-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Undistributed (S.S.)	-	-	(462,891)	-	-	-	(462,891)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$462,891)</b>	-	-	-	<b>(\$462,891)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(462,891)	-	-	-	(462,891)
<b>Total Expenditures</b>	-	-	<b>(\$462,891)</b>	-	-	-	<b>(\$462,891)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	462,891	-	-	-	462,891
<b>Total Ending Balance</b>	-	-	<b>\$462,891</b>	-	-	-	<b>\$462,891</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 092 - PERS Taxation Policy

Cross Reference Name: Enterprise Human Resouce Services  
Cross Reference Number: 10700-070-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
PERS Policy Adjustment	-	-	(9,690)	-	-	-	(9,690)
<b>Total Personal Services</b>	-	-	<b>(\$9,690)</b>	-	-	-	<b>(\$9,690)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(9,690)	-	-	-	(9,690)
<b>Total Expenditures</b>	-	-	<b>(\$9,690)</b>	-	-	-	<b>(\$9,690)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	9,690	-	-	-	9,690
<b>Total Ending Balance</b>	-	-	<b>\$9,690</b>	-	-	-	<b>\$9,690</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 093 - Other PERS Adjustments

Cross Reference Name: Enterprise Human Resouce Services  
Cross Reference Number: 10700-070-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
PERS Policy Adjustment	-	-	(77,427)	-	-	-	(77,427)
<b>Total Personal Services</b>	-	-	(\$77,427)	-	-	-	(\$77,427)
<b>Total Expenditures</b>							
Total Expenditures	-	-	(77,427)	-	-	-	(77,427)
<b>Total Expenditures</b>	-	-	(\$77,427)	-	-	-	(\$77,427)
<b>Ending Balance</b>							
Ending Balance	-	-	77,427	-	-	-	77,427
<b>Total Ending Balance</b>	-	-	\$77,427	-	-	-	\$77,427

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Enterprise Human Resource Services		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
Source								
Charges for Services	OF - N L	3200	0410	-	-	-	-	-
	OF - L	3400		-	-	6,512,141	6,512,141	-
Transfer In - Intrafund	LF - L	4400	1010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CI	3010		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		-	-	210,016	210,016	-
	OF - DS	3430		-	-	-	-	-
Transfer Out - Intrafund	LF - L	4400	2010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		-	-	(431,077)	(431,077)	-
	OF - DS	3430		-	-	-	-	-
	Lottery Funds Limited	4400		-	-	-	-	-
	Lottery Funds Debt Service Limited	4430		-	-	-	-	-
	Other Funds Cap Improvement	3010		-	-	-	-	-
	Other Funds Cap Construction	3020		-	-	-	-	-
	Other Funds Non-Limited	3200		-	-	-	-	-
	Other Funds Non-Limited Debt Service	3230		-	-	-	-	-
	Other Funds Limited	3400		-	-	6,291,080	6,291,080	-
	Other Funds Debt Service Limited	3430		-	-	-	-	-
	Federal Funds Debt Service Non-Limited	6230		-	-	-	-	-
	Federal Funds Limited	6400		-	-	-	-	-
				-	-	<b>6,291,080</b>	<b>6,291,080</b>	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Human Resource Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-070-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>LIMITED BUDGET (Excluding Packages)</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	3,725,274	3,709,305	-
<b>TOTAL LIMITED BUDGET (Excluding Packages)</b>						
Other Funds	-	-	-	3,725,274	3,709,305	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	21	21	-
<b>AUTHORIZED FTE</b>	-	-	-	20.21	20.21	-
<b>LIMITED BUDGET (Essential Packages)</b>						
<b>010 NON-PICS PSNL SVC / VACANCY FACTOR</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	117,959	117,959	-
<b>031 STANDARD INFLATION</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	717,810	708,146	-
<b>060 TECHNICAL ADJUSTMENTS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	813,155	1,763,155	-
<b>TOTAL LIMITED BUDGET (Essential Packages)</b>						
Other Funds	-	-	-	1,648,924	2,589,260	-
<b>LIMITED BUDGET (Current Service Level)</b>						
Other Funds	-	-	-	5,374,198	6,298,565	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Enterprise Human Resource Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-070-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
AUTHORIZED POSITIONS	-	-	-	21	21	-
AUTHORIZED FTE	-	-	-	20.21	20.21	-
LIMITED BUDGET (Policy Packages)						
PRIORITY 0						
090 ANALYST ADJUSTMENTS						
SERVICES & SUPPLIES						
Other Funds	-	-	-	-	(87,329)	-
091 STATEWIDE ADMINISTRATIVE SAVINGS						
SERVICES & SUPPLIES						
Other Funds	-	-	-	-	(462,891)	-
092 PERS TAXATION POLICY						
PERSONAL SERVICES						
Other Funds	-	-	-	-	(9,690)	-
093 OTHER PERS ADJUSTMENTS						
PERSONAL SERVICES						
Other Funds	-	-	-	-	(77,427)	-
TOTAL LIMITED BUDGET (Policy Packages)						
Other Funds	-	-	-	-	(637,337)	-
TOTAL LIMITED BUDGET (Including Packages)						
Other Funds	-	-	-	5,374,198	5,661,228	-
AUTHORIZED POSITIONS	-	-	-	21	21	-

**Program Unit Appropriated Fund Group and Category Summary**  
**2013-15 Biennium**  
**Enterprise Human Resource Services**

**Version: Y - 01 - Governor's Budget**  
**Cross Reference Number: 10700-070-00-00-00000**

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
AUTHORIZED FTE	-	-	-	20.21	20.21	-
<b>OPERATING BUDGET</b>						
Other Funds	-	-	-	5,374,198	5,661,228	-
AUTHORIZED POSITIONS	-	-	-	21	21	-
AUTHORIZED FTE	-	-	-	20.21	20.21	-
<b>TOTAL BUDGET</b>						
Other Funds	-	-	-	5,374,198	5,661,228	-
AUTHORIZED POSITIONS	-	-	-	21	21	-
AUTHORIZED FTE	-	-	-	20.21	20.21	-

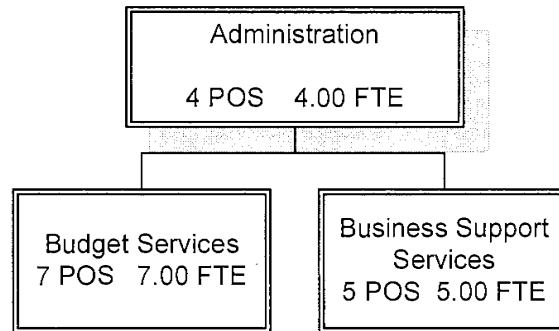




# Budget Narrative

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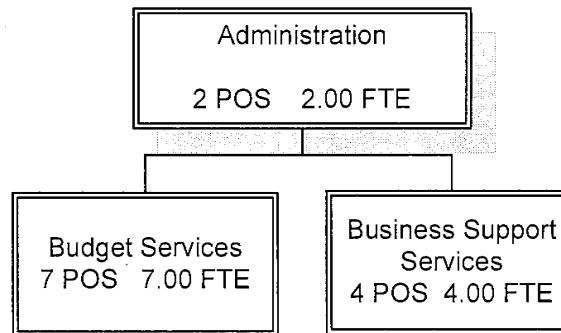
**DAS Business Services  
Organization Chart  
2011-13  
16 POS 16.00 FTE**



# Budget Narrative

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**DAS Business Services  
Organization Chart  
2013-15  
13 POS 13.00 FTE**



# Budget Narrative

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## DAS Business Services

### Statutory Authority:

ORS 184

### Program Unit Narratives:

As of July 1, 2012, the Department of Administrative Services has implemented an "Entrepreneurial Management" business model and has reorganized its operating and functional structure. Within the new structure DAS Business Services (DBS) provides budget, business continuity, performance management and data analysis services to DAS. DBS is also responsible for DAS' records management, information security and administrative rules program. Customer Utility Boards (which govern DAS's service enterprises) are staffed by the Business Services team.

The division is comprised of the following sections:

**Administration:** This unit oversees DAS Business Services and coordinates agency-wide programs as well as DAS' internal processes.

**Budget Services:** The Budget Services Unit is responsible for overseeing DAS' finances and budget. The unit coordinates rate development; calculates rates, fees and assessments; performs financial analysis for DAS divisions; develops the statewide price list of goods and services; monitors budget implementation; coordinates Emergency Board and Ways & Means requests and presentations; and prepares the agency's biennial budget. The unit is also responsible for preparing all phases of budget for the Department of Post-Secondary Education.

**Business Support Services:** The Business Support Unit is responsible for coordinating agency-wide programs, such as Oregon Administrative Rule management and development; internal policies

and procedures; information security; and records management. In addition, this unit supports the Customer Utility Boards.

### Six-Year Plan:

Continued efforts for the next six years are:

- Meet operational needs of our internal and external customers
- Develop and implement effective operational policies with clear direction.
- Review key processes using lean tools.
- Develop Emergency Management training and tools for DAS.
- Continue support of CUB and DAS performance measures.

### Two-Year Plan:

Actions planned for the coming biennium are:

- Implementing additional information security measures to ensure DAS' data and information is secure.
- Reporting quarterly on DAS performance measures.
- Supporting Customer Utility Boards for DAS Enterprises.
- Reviewing and refinement of DAS service rates methodologies.
- Developing DAS Division Budgets.
- Implementing internal performance measures.

### 2011-2013 Accomplishments:

The division met the operational needs of our internal and external customers by:

- Providing leadership and fiscal analysis in the DAS reorganization.
- Leading the review and refinement of DAS service rate methodologies.
- Leading the agency-wide effort to develop more meaningful performance metrics.
- Staffing and providing logistics for Customer Utility Boards.

# Budget Narrative

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- Developing and disseminating an operational calendar for budget development.
- Conducting trainings for contract administrators and coordinators.
- Piloting new formats and processes for meeting internal operational budget needs.
- Enhancing DAS' internal safety function.
- Improving our security stance as documented in the ESO Information Security Business Risk Assessment.
- Maintaining and updating a response plan for information security events.
- Implementing within DAS the statewide Tobacco Free Campus Executive Order.
- Raising awareness of information security through staff meetings, all-staff emails and regular articles in the internal newsletter.
- Implementing DAS Information Security Plan.

## Trends:

### *Customer Utility Boards*

With the implementation of our new entrepreneurial management service philosophy, four Customer Utility Boards have been established to govern services provided by Enterprise Technology Services, Enterprise Asset Management, Enterprise Goods and Services and Enterprise Human Resource Services.

Staffing for the CUBs is provided by DAS Business Services.

### *Performance Reporting*

A primary goal of entrepreneurial management is to have decisions made based on solid data analysis. The new DBS team has been designed with that need in mind. DBS staff will lead the refinement and

reporting of performance measures – both internally and with external stakeholders.

## Revenue Sources:

DAS Business Services funding comes primarily through charges to other DAS divisions. Using a formula for each unit, the division allocates costs among the programs. For example, the Business Service Unit costs are allocated by FTE and division assignments.

DAS Business Services also serves as the primary coordinator for service charges assessed by the Office of Minority, Women and Emerging Small Businesses, the Oregon State Library, and the State of Oregon Law Library, by collecting these assessments and transferring the money to other agencies.

DAS Business Services is also responsible for collecting and distributing such miscellaneous funding streams as the following:

- State Agency Mass Transit Assessments to Transit Districts
- City Revenue sharing of Oregon Liquor Control Commission earnings
- Department of Revenue Cigarette Tax Distribution to Cities
- Department of Revenue Amusement Device Tax Distribution to Counties
- Federal forest, mineral lease, land sale, grazing, and flood control revenues
- Oregon Lottery proceeds for state agencies, the Education Stability Fund, County Fair account and other entities
- Education Stability Fund earnings
- Medicaid Upper Limit funds
- Tobacco Settlement funds
- Lottery Bond proceeds and debt service
- Oregon Opportunity Fund
- Pension Fund Bonds and debt service
- Oregon Appropriation Bonds debt service

# Budget Narrative

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## **Essential Packages**

**Purpose:** The essential packages present budget adjustments needed to bring the base budget to Current Service Level (CSL), the calculated cost of continuing legislatively approved programs into the 2013-2015 biennium.

**How Achieved:** See explanations under captions "Vacancy Factor and Non-PICS Personal Services", "Cost of Phased-in and Phased-out Programs and One-time Costs," "Inflation and Price List Adjustments" and "Technical Adjustments".

**Staffing Impact:** None

**Revenue Sources:** The revenue source(s) are the same as for the program unit as a whole, unless described differently within an individual package.

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### **010 Non-PICS Psnl Svc / Vacancy Factor Package Description**

Package 010 includes standard inflation of 2.4% on such non-PICS items as temporaries, overtime, shift differential, all other differentials, and unemployment compensation, including the OPE associated with them, such as Mass Transit, Vacancy Savings and Pension Obligation Bond Debt Service.

The total amount of this package is \$173,506 Other Funds Limited.

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### **022 Phase-out Package Description**

Package 022 phases out positions and its related costs attributed to the following packages from the 2011-13 biennium. Package 101, Economic Recovery Team.

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075 DAS Business Services  
2013-15 Governor's Balanced Budget

The total amount of this phase-out package equals (\$224,750) Other Funds Limited.

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### **031 Inflation & Price List Adjustments Package Description**

This package is based on the standard 2.4% inflation factor for Services & Supplies and Capital Outlay items, except for State Government Service Charges, Facilities Rent, and Attorney General Charges, which are based on the 2013-2015 Price List of Goods and Services.

The total amount of this package is \$445,325 Other Funds Limited.

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### **060 Technical Adjustments Package Description**

Package 060 includes the amount for technical budget adjustments.

The DAS Transformation required movement of 2011-2013 LAB budgets to its new enterprises. To better align budgets with the new enterprise adjustments were made that reflected where the expenditures for 2013-2015 would occur.

The total amount of this package is \$955,270 Other Funds Limited.

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# Budget Narrative

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## Policy Option Packages

**Policy Package 081:** Senate Bill 5701-A Agency Adjustment

**Companion Package:** None

**Purpose:** The purpose of this package is to capture the agency's share of the statewide efforts to restructure state government business operations and management of agency programs.

**How Achieved:** Senate Bill 5701-A, reduces personal services and data processing budgets for the Chief Operating Office, Chief Financial Office, Enterprise Technology Services, Enterprise Goods & Services, Enterprise Asset Management and DAS Business Service for a total amount of \$2,000,000.

### Staffing Impact:

Abolish one permanent, full-time, Principle Executive Manager F (MESN Z7010AA) SR 35X (1.00 FTE)  
Abolish one permanent, full-time, Principle Executive Manager A (MMS X7000 AA) SR 24X (1.00 FTE)

### Revenue Sources:

\$21,780 – Other Funds

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**Policy Package No. 090:** Analyst Adjustments

**Companion Package:** None

**Purpose:** To reduce budget levels for DAS Business Services.

### How Achieved:

This package reduces services and supplies. This reduction will have minimal impact on the program.

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075 DAS Business Services  
2013-15 Governor's Balanced Budget

### Staffing Impact:

None

### Revenue Source:

(\$60,778) Other Funds.

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**Policy Package 091:** Statewide Administrative Savings

**Companion Package:** None

**Purpose:** The Governor's budget requires increased efficiency in the operation of state government, calls for additional savings in administrative expenditures, and allows for the reinvestment of some of the savings realized through efficiencies into agency programs or to other initiatives that will further improve the administrative operations of state government.

**How Achieved:** Package 091 was included in all agency budgets as a placeholder for administrative efficiencies to be found in Finance, IT, HR, Accounting, Payroll, and Procurement activities. The Improving Government subcommittee of the Enterprise Leadership Team will be identifying proposed efficiencies or changes in the delivery of service to meet the funding level in the Governor's budget, and will work with individual agencies on the impact to their budget, along with reinvestment opportunities.

### Staffing Impact:

None

### Revenue Sources:

(\$135,140) – Other Funds

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**Policy Package 092:** PERS Taxation Policy

**Companion Package:** None

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## Budget Narrative

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**Purpose:** This package supports a policy change to limit tax relief calculations to PERS retirees that are Oregon residents. Non-resident retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

**How Achieved:** Non-resident retirees will no longer receive the tax relief benefit. The policy change saves approximately 40 basis points on the PERS employer rate.

**Staffing Impact:**

None

**Revenue Sources:**

(\$7,230) – Other Funds

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**Policy Package 093:** Other PERS Adjustments

**Companion Package:** None

**Purpose:** This package supports a policy change that affected other PERS Adjustments.

**How Achieved:** This package supports policy changes that reduce the PERS employer rate by approximately 320 basis points.

**Staffing Impact:**

None

**Revenue Sources:**

(\$57,769) – Other Funds

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**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Core Services  
Cross Reference Number: 10700-075-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Pension Obligation Bond	-	-	146,656	-	-	-	146,656
Mass Transit Tax	-	-	9,495	-	-	-	9,495
Vacancy Savings	-	-	17,355	-	-	-	17,355
<b>Total Personal Services</b>	-	-	<b>\$173,506</b>	-	-	-	<b>\$173,506</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	173,506	-	-	-	173,506
<b>Total Expenditures</b>	-	-	<b>\$173,506</b>	-	-	-	<b>\$173,506</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(173,506)	-	-	-	(173,506)
<b>Total Ending Balance</b>	-	-	<b>(\$173,506)</b>	-	-	-	<b>(\$173,506)</b>



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 022 - Phase-out Pgm & One-time Costs

Cross Reference Name: Core Services  
Cross Reference Number: 10700-075-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	(1,200)	-	-	-	(1,200)
Out of State Travel	-	-	(4,300)	-	-	-	(4,300)
Employee Training	-	-	(1,975)	-	-	-	(1,975)
Office Expenses	-	-	(2,750)	-	-	-	(2,750)
Telecommunications	-	-	(53,800)	-	-	-	(53,800)
Professional Services	-	-	(45,900)	-	-	-	(45,900)
IT Professional Services	-	-	(60,000)	-	-	-	(60,000)
Dues and Subscriptions	-	-	(480)	-	-	-	(480)
Facilities Rental and Taxes	-	-	(54,345)	-	-	-	(54,345)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$224,750)</b>	-	-	-	<b>(\$224,750)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(224,750)	-	-	-	(224,750)
<b>Total Expenditures</b>	-	-	<b>(\$224,750)</b>	-	-	-	<b>(\$224,750)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	224,750	-	-	-	224,750
<b>Total Ending Balance</b>	-	-	<b>\$224,750</b>	-	-	-	<b>\$224,750</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Core Services  
Cross Reference Number: 10700-075-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Telecommunications	-	-	453	-	-	-	453
State Gov. Service Charges	-	-	444,872	-	-	-	444,872
<b>Total Services &amp; Supplies</b>	-	-	<b>\$445,325</b>	-	-	-	<b>\$445,325</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	445,325	-	-	-	445,325
<b>Total Expenditures</b>	-	-	<b>\$445,325</b>	-	-	-	<b>\$445,325</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(445,325)	-	-	-	(445,325)
<b>Total Ending Balance</b>	-	-	<b>(\$445,325)</b>	-	-	-	<b>(\$445,325)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

**Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments**

**Cross Reference Name: Core Services  
Cross Reference Number: 10700-075-00-00-00000**

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	(181,968)	-	-	-	(181,968)
Empl. Rel. Bd. Assessments	-	-	(40)	-	-	-	(40)
Public Employees' Retire Cont	-	-	(34,701)	-	-	-	(34,701)
Social Security Taxes	-	-	(13,920)	-	-	-	(13,920)
Worker's Comp. Assess. (WCD)	-	-	(59)	-	-	-	(59)
Mass Transit Tax	-	-	(1,092)	-	-	-	(1,092)
Flexible Benefits	-	-	(30,528)	-	-	-	(30,528)
Reconciliation Adjustment	-	-	(31,504)	-	-	-	(31,504)
<b>Total Personal Services</b>	-	-	<b>(\$293,812)</b>	-	-	-	<b>(\$293,812)</b>
<b>Services &amp; Supplies</b>							
Instate Travel	-	-	6,889	-	-	-	6,889
Employee Training	-	-	51,374	-	-	-	51,374
Office Expenses	-	-	93,365	-	-	-	93,365
Telecommunications	-	-	65,296	-	-	-	65,296
State Gov. Service Charges	-	-	70,515	-	-	-	70,515
Data Processing	-	-	32,679	-	-	-	32,679
Publicity and Publications	-	-	1,024	-	-	-	1,024
Professional Services	-	-	413,186	-	-	-	413,186
IT Professional Services	-	-	212,285	-	-	-	212,285
Attorney General	-	-	14,823	-	-	-	14,823
Employee Recruitment and Develop	-	-	20,411	-	-	-	20,411
Dues and Subscriptions	-	-	4,336	-	-	-	4,336
Facilities Rental and Taxes	-	-	117,900	-	-	-	117,900
Other Services and Supplies	-	-	58,386	-	-	-	58,386

Agency Request

2013-15 Biennium

Governor's Budget

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Legislatively Adopted

Essential and Policy Package Fiscal Impact Summary - BPR013

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Core Services  
Cross Reference Number: 10700-075-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Expendable Prop 250 - 5000	-	-	76,372	-	-	-	76,372
IT Expendable Property	-	-	10,241	-	-	-	10,241
<b>Total Services &amp; Supplies</b>	-	-	\$1,249,082	-	-	-	\$1,249,082
<b>Total Expenditures</b>							
Total Expenditures	-	-	955,270	-	-	-	955,270
<b>Total Expenditures</b>	-	-	\$955,270	-	-	-	\$955,270
<b>Ending Balance</b>							
Ending Balance	-	-	(955,270)	-	-	-	(955,270)
<b>Total Ending Balance</b>	-	-	(\$955,270)	-	-	-	(\$955,270)
<b>Total Positions</b>							
Total Positions							(1)
<b>Total Positions</b>	-	-	-	-	-	-	(1)
<b>Total FTE</b>							
Total FTE							(1.00)
<b>Total FTE</b>	-	-	-	-	-	-	(1.00)

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 081 - May 2012 E-Board

Cross Reference Name: Core Services  
Cross Reference Number: 10700-075-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	-	-	(308,712)	-	-	-	(308,712)
Empl. Rel. Bd. Assessments	-	-	(80)	-	-	-	(80)
Public Employees' Retire Cont	-	-	(58,871)	-	-	-	(58,871)
Social Security Taxes	-	-	(23,617)	-	-	-	(23,617)
Worker's Comp. Assess. (WCD)	-	-	(118)	-	-	-	(118)
Mass Transit Tax	-	-	(1,852)	-	-	-	(1,852)
Flexible Benefits	-	-	(61,056)	-	-	-	(61,056)
Undistributed (P.S.)	-	-	476,086	-	-	-	476,086
<b>Total Personal Services</b>	-	-	<b>\$21,780</b>	-	-	-	<b>\$21,780</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	21,780	-	-	-	21,780
<b>Total Expenditures</b>	-	-	<b>\$21,780</b>	-	-	-	<b>\$21,780</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(21,780)	-	-	-	(21,780)
<b>Total Ending Balance</b>	-	-	<b>(\$21,780)</b>	-	-	-	<b>(\$21,780)</b>
<b>Total Positions</b>							
Total Positions	-	-	-	-	-	-	(2)
<b>Total Positions</b>	-	-	-	-	-	-	<b>(2)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 081 - May 2012 E-Board

Cross Reference Name: Core Services  
Cross Reference Number: 10700-075-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total FTE</b>							
Total FTE							(2.00)
<b>Total FTE</b>	-	-	-	-	-	-	<b>(2.00)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 090 - Analyst Adjustments

Cross Reference Name: Core Services  
Cross Reference Number: 10700-075-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
IT Professional Services	-	-	(60,778)	-	-	-	(60,778)
<b>Total Services &amp; Supplies</b>	-	-	(\$60,778)	-	-	-	(\$60,778)
<b>Total Expenditures</b>							
Total Expenditures	-	-	(60,778)	-	-	-	(60,778)
<b>Total Expenditures</b>	-	-	(\$60,778)	-	-	-	(\$60,778)
<b>Ending Balance</b>							
Ending Balance	-	-	60,778	-	-	-	60,778
<b>Total Ending Balance</b>	-	-	\$60,778	-	-	-	\$60,778

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 091 - Statewide Administrative Savings

Cross Reference Name: Core Services  
Cross Reference Number: 10700-075-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Undistributed (S.S.)	-	-	(135,140)	-	-	-	(135,140)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$135,140)</b>	-	-	-	<b>(\$135,140)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(135,140)	-	-	-	(135,140)
<b>Total Expenditures</b>	-	-	<b>(\$135,140)</b>	-	-	-	<b>(\$135,140)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	135,140	-	-	-	135,140
<b>Total Ending Balance</b>	-	-	<b>\$135,140</b>	-	-	-	<b>\$135,140</b>



**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 092 - PERS Taxation Policy

Cross Reference Name: Core Services  
Cross Reference Number: 10700-075-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
PERS Policy Adjustment	-	-	(7,230)	-	-	-	(7,230)
<b>Total Personal Services</b>	-	-	<b>(\$7,230)</b>	-	-	-	<b>(\$7,230)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(7,230)	-	-	-	(7,230)
<b>Total Expenditures</b>	-	-	<b>(\$7,230)</b>	-	-	-	<b>(\$7,230)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	7,230	-	-	-	7,230
<b>Total Ending Balance</b>	-	-	<b>\$7,230</b>	-	-	-	<b>\$7,230</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 093 - Other PERS Adjustments

Cross Reference Name: Core Services  
Cross Reference Number: 10700-075-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Personal Services</b>							
PERS Policy Adjustment	-	-	(57,769)	-	-	-	(57,769)
<b>Total Personal Services</b>	-	-	<b>(\$57,769)</b>	-	-	-	<b>(\$57,769)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(57,769)	-	-	-	(57,769)
<b>Total Expenditures</b>	-	-	<b>(\$57,769)</b>	-	-	-	<b>(\$57,769)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	57,769	-	-	-	57,769
<b>Total Ending Balance</b>	-	-	<b>\$57,769</b>	-	-	-	<b>\$57,769</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

DAS Business Services		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
Charges for Services	OF - N L	3200	0410	-	-	-	-	-
Admin and Service Charges	OF - N L	3200	0415	-	-	-	-	-
	OF - L	3400		-	1,317,603	-	-	-
	OF - DS	3430		-	-	-	-	-
Transfer In - Intrafund	LF - L	4400	1010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CI	3010		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		-	-	4,711,409	4,711,409	-
	OF - DS	3430		-	-	-	-	-
Lottery Funds Limited	4400		-	-	-	-	-	
Lottery Funds Debt Service Limited	4430		-	-	-	-	-	
Other Funds Cap Improvement	3010		-	-	-	-	-	
Other Funds Cap Construction	3020		-	-	-	-	-	
Other Funds Non-Limited	3200		-	-	-	-	-	
Other Funds Non-Limited Debt Service	3230		-	-	-	-	-	
Other Funds Limited	3400		-	1,317,603	4,711,409	4,711,409	-	
Other Funds Debt Service Limited	3430		-	-	-	-	-	
Federal Funds Debt Service Non-Limited	6230		-	-	-	-	-	
Federal Funds Limited	6400		-	-	-	-	-	
				-	<b>1,317,603</b>	<b>4,711,409</b>	<b>4,711,409</b>	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Core Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-075-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>LIMITED BUDGET (Excluding Packages)</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	1,088,940	612,854	2,910,887	2,895,570	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	224,750	224,750	224,750	224,750	-
<b>TOTAL LIMITED BUDGET (Excluding Packages)</b>						
Other Funds	-	1,313,690	837,604	3,135,637	3,120,320	-
<b>AUTHORIZED POSITIONS</b>	-	6	6	16	16	-
<b>AUTHORIZED FTE</b>	-	6.00	6.00	16.00	16.00	-
<b>LIMITED BUDGET (Essential Packages)</b>						
<b>010 NON-PICS PSNL SVC / VACANCY FACTOR</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	173,506	173,506	-
<b>022 PHASE-OUT PGM &amp; ONE-TIME COSTS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	(224,750)	(224,750)	-
<b>031 STANDARD INFLATION</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	451,542	445,325	-
<b>060 TECHNICAL ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Core Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-075-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	-	-	-	(295,176)	(293,812)	-
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	1,249,082	1,249,082	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	(1)	(1)	-
<b>AUTHORIZED FTE</b>	-	-	-	(1.00)	(1.00)	-
<b>TOTAL LIMITED BUDGET (Essential Packages)</b>						
Other Funds	-	-	-	1,354,204	1,349,351	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	(1)	(1)	-
<b>AUTHORIZED FTE</b>	-	-	-	(1.00)	(1.00)	-
<b>LIMITED BUDGET (Current Service Level)</b>						
Other Funds	-	1,313,690	837,604	4,489,841	4,469,671	-
<b>AUTHORIZED POSITIONS</b>	-	6	6	15	15	-
<b>AUTHORIZED FTE</b>	-	6.00	6.00	15.00	15.00	-
<b>LIMITED BUDGET (Policy Packages)</b>						
<b>PRIORITY 0</b>						
<b>081 MAY 2012 E-BOARD</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	19,742	21,780	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	(2)	(2)	-
<b>AUTHORIZED FTE</b>	-	-	-	(2.00)	(2.00)	-
<b>090 ANALYST ADJUSTMENTS</b>						

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Core Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-075-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	-	(60,778)	-
<b>091 STATEWIDE ADMINISTRATIVE SAVINGS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	-	(135,140)	-
<b>092 PERS TAXATION POLICY</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	-	(7,230)	-
<b>093 OTHER PERS ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						
Other Funds	-	-	-	-	(57,769)	-
<b>TOTAL LIMITED BUDGET (Policy Packages)</b>						
Other Funds	-	-	-	19,742	(239,137)	-
<b>AUTHORIZED POSITIONS</b>	-	-	-	(2)	(2)	-
<b>AUTHORIZED FTE</b>	-	-	-	(2.00)	(2.00)	-
<b>TOTAL LIMITED BUDGET (Including Packages)</b>						
Other Funds	-	1,313,690	837,604	4,509,583	4,230,534	-
<b>AUTHORIZED POSITIONS</b>	-	6	6	13	13	-
<b>AUTHORIZED FTE</b>	-	6.00	6.00	13.00	13.00	-
<b>OPERATING BUDGET</b>						
Other Funds	-	1,313,690	837,604	4,509,583	4,230,534	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Core Services

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-075-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
AUTHORIZED POSITIONS	-	6	6	13	13	-
AUTHORIZED FTE	-	6.00	6.00	13.00	13.00	-
<b>TOTAL BUDGET</b>						
Other Funds	-	1,313,690	837,604	4,509,583	4,230,534	-
AUTHORIZED POSITIONS	-	6	6	13	13	-
AUTHORIZED FTE	-	6.00	6.00	13.00	13.00	-

## ORBITS Budget Narrative

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State Of Oregon

**DEPARTMENT OF ADMINISTRATIVE SERVICES**  
PROGRAM FOR REAL PROPERTY AND EQUIPMENT FINANCING

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**Certificate Of Participation (COP) Financing Request**

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Please return your response to this Survey by May 15, 2012

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**AGENCY:** Department of Administrative Services

**DIVISION:** Enterprise Asset Management - Facilities Program

**CONTACT PERSON:** Jeanette Fish

**TITLE:** Administrator

**ADDRESS:** 1225 Ferry Street NE Salem OR 97301-4281

**TELEPHONE:** 503-378-5093

**ALTERNATE CONTACT:** Deputy Administrator

Please specify the real property and/or construction projects which you expect to finance through any form of Financial agreements over the next biennium beginning July 1, 2013. Please indicate the estimated amount needed for each project and when you need those funds.

Capital Budgeting Section  
2013-2015 Governor's Balance Budget



## Budget Narrative

Project Description	2013-15				
	Site	Structure	Less: Force Account Work	Expenditures	Fund
Pendleton 202 Emergency Lighting	N/A	\$220,769	N/A	\$220,769	OF
General Services Complex Emergency Power	N/A	\$137,404	N/A	\$137,404	OF
Motor Pool Emergency Lighting	N/A	\$96,444	N/A	\$96,444	OF
Employment Soffit and Lighting	N/A	\$43,248	N/A	\$43,248	OF
Lighting Upgrade 20 Building Phase 2/Study	N/A	\$500,000	N/A	\$500,000	OF
Executive Parking Structure Clean and Seal	N/A	\$73,423	N/A	\$73,423	OF
Library Clean and Seal	N/A	\$226,519	N/A	\$226,519	OF
PSOB Stairwell Exhaust Fan	N/A	\$43,915	N/A	\$43,915	OF
Employment Chilled Water Re-Piping	N/A	\$202,437	N/A	\$202,437	OF
Salem Motor Pool SF-01 with Unit Heater	N/A	\$93,756	N/A	\$93,756	OF
Archives Reference Room Hardware	N/A	\$22,449	N/A	\$22,449	OF
Capitol Mall Parking Structure	N/A	\$31,254	N/A	\$31,254	OF
HSB Exterior Door Replacements	N/A	\$31,723	N/A	\$31,723	OF
Print Plant Back Door	N/A	\$15,496	N/A	\$15,496	OF
Revenue Building Replace Sargent Hardware	N/A	\$156,883	N/A	\$156,883	OF
Albina Employment Entry Doors	N/A	\$73,500	N/A	\$73,500	OF

088 - Capital Improvements  
2013-15 Governor's Balanced Budg

## Budget Narrative

Print Plant Irrigation/Landscape Upgrade	N/A	\$228,725	N/A	\$228,725	OF
Portland State Office Building Crash Barrier	N/A	\$10,642	N/A	\$10,642	OF
PSOB Maxicom	N/A	\$22,498	N/A	\$22,498	OF
Human Services Building Stairwell Flooring	N/A	\$88,141	N/A	\$88,141	OF
Annex Building; Window Replacement	N/A	\$31,893	N/A	\$31,893	OF
L&I Building Window Glazing	N/A	\$215,818	N/A	\$215,818	OF
NMOB Concrete Damage	N/A	\$134,245	N/A	\$134,245	OF
Employment Domestic Water Heater	N/A	\$77,215	N/A	\$77,215	OF
Capitol Mall Parking Structure 2 Entrances Gates	N/A	\$49,459	N/A	\$49,459	OF
Orange Lot Lighting Improvement	N/A	\$109,876	N/A	\$109,876	OF
Agriculture Building, Orange Lot Irrigation	N/A	\$18,089	N/A	\$18,089	OF
Alt. Ferry Street Parking Structure Stairs – Alternate	N/A	\$108,628	N/A	\$108,628	OF
All Building Re-Key	N/A	\$677,559	N/A	\$677,559	OF
Tenant Improvements	N/A	\$500,000	N/A	\$500,000	OF
Emergency Projects	N/A	\$500,000	N/A	\$500,000	OF
Sustainability Projects	N/A	\$500,000	N/A	\$500,000	OF
Project Totals	N/A	\$5,242,008	N/A	\$5,242,008	OF

088 - Capital Improvements  
2013-15 Governor's Balanced Budg

## Budget Narrative

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Carry over from 2011/13				\$750,000	OF
Total 2013-2015 Biennium Limitation Request		\$5,242,008	N/A	\$5,992,008	OF

# Budget Narrative

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## Capital Improvements

### Program Description

**Legislative Authority:** The Capital Improvement Program, authorized by ORS 276.005, is administered by the Enterprise Asset Management Division – Facilities Program and has been developed to complement the Major Construction/Acquisition Program. Where the Major Construction Program performs new construction, remodeling, and renovation projects costing \$1,000,000 or more, the Capital Improvement Program is for remodeling and renovation projects that cost less than \$1,000,000.

The purpose of the Capital Improvements Program is to:

- Maintain health and safety standards both inside and outside of the buildings
- Keep buildings in compliance with new building code and ordinance requirements (Federal, State and Local)
- Maintain Capitol Mall Buildings and grounds aesthetics
- Adjust or modify existing mechanical and electrical programs to minimize energy consumption
- Upgrade building grounds
- Evaluate and conserve maximum efficiency and use of state owned buildings
- Adapt buildings to required occupancy changes

### Revenue Forecast Narrative:

The Department of Administrative Services uses funds placed in the Capital Projects Fund, established under ORS 276.005, as the source of revenue for capital projects. Funds accrue to the account from a variety of sources; however, the primary source is the “depreciation” component of Rent. See Major Construction/Acquisition for Revenue Forecast Narrative for detail information.

## Budget Narrative

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### **Essential Packages:**

**Purpose:** The essential packages present budget adjustments needed to bring the base budget to Current Service Level (CSL), the calculated cost of continuing legislatively approved programs into the 2013-2015 biennium.

**How Achieved:** See explanations under captions "Vacancy Factor and Non-PICS Personal Services", "Cost of Phased-in and Phased-out Programs and One-time Costs," and "Inflation and Price List Adjustments".

**Staffing Impact:** None.

**Revenue Source:** The revenue source(s) are the same as for the program unit as a whole, unless described differently within an individual package.

### **021 Phase-in**

#### **Package Description**

Package 021 phases-in Capital Improvements funding carried forward from the 2013-2015 biennium for projects that need to be completed.

The total amount of Capital Improvements phased-in is \$1,491,830 Other Funds.

### 060 Technical Adjustments Package Description

Package 060 includes the amount for technical budget adjustments. The total amount of this package is \$187,615 Other Funds.



Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Capital Improvements

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-088-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>CAPITAL IMPROVEMENT (Excluding Packages)</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	3,003,145	-	-	-	-	-
<b>CAPITAL OUTLAY</b>						
Other Funds	-	4,681,401	4,681,401	4,681,401	4,681,401	-
<b>TOTAL CAPITAL IMPROVEMENT (Excluding Package</b>						
Other Funds	3,003,145	4,681,401	4,681,401	4,681,401	4,681,401	-
<b>CAPITAL IMPROVEMENT (Essential Packages)</b>						
<b>021 PHASE-IN</b>						
<b>CAPITAL OUTLAY</b>						
Other Funds	-	-	-	1,122,992	1,122,992	-
<b>060 TECHNICAL ADJUSTMENTS</b>						
<b>CAPITAL OUTLAY</b>						
Other Funds	-	-	-	-	187,615	-
<b>TOTAL CAPITAL IMPROVEMENT (Essential Package:</b>						
Other Funds	-	-	-	1,122,992	1,310,607	-
<b>CAPITAL IMPROVEMENT (Current Service Level)</b>						
Other Funds	3,003,145	4,681,401	4,681,401	5,804,393	5,992,008	-
<b>TOTAL CAPITAL IMPROVEMENT (Including Package:</b>						
Other Funds	3,003,145	4,681,401	4,681,401	5,804,393	5,992,008	-
<b>TOTAL BUDGET</b>						

**Program Unit Appropriated Fund Group and Category Summary  
2013-15 Biennium  
Capital Improvements**

**Version: Y - 01 - Governor's Budget  
Cross Reference Number: 10700-088-00-00-00000**

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	3,003,145	4,681,401	4,681,401	5,804,393	5,992,008	-

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 021 - Phase-in

Cross Reference Name: Capital Improvements  
Cross Reference Number: 10700-088-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Transfer In - Intrafund	-	-	1,491,830	-	-	-	1,491,830
<b>Total Revenues</b>	-	-	<b>\$1,491,830</b>	-	-	-	<b>\$1,491,830</b>
<b>Capital Outlay</b>							
Other Capital Outlay	-	-	1,122,992	-	-	-	1,122,992
<b>Total Capital Outlay</b>	-	-	<b>\$1,122,992</b>	-	-	-	<b>\$1,122,992</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	1,122,992	-	-	-	1,122,992
<b>Total Expenditures</b>	-	-	<b>\$1,122,992</b>	-	-	-	<b>\$1,122,992</b>
<b>Ending Balance</b>							
Ending Balance	-	-	368,838	-	-	-	368,838
<b>Total Ending Balance</b>	-	-	<b>\$368,838</b>	-	-	-	<b>\$368,838</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Capital Improvements  
Cross Reference Number: 10700-088-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Transfer In - Intrafund	-	-	187,615	-	-	-	187,615
<b>Total Revenues</b>	-	-	<b>\$187,615</b>	-	-	-	<b>\$187,615</b>
<b>Capital Outlay</b>							
Other Capital Outlay	-	-	187,615	-	-	-	187,615
<b>Total Capital Outlay</b>	-	-	<b>\$187,615</b>	-	-	-	<b>\$187,615</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	187,615	-	-	-	187,615
<b>Total Expenditures</b>	-	-	<b>\$187,615</b>	-	-	-	<b>\$187,615</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

## Budget Narrative

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### Capital Construction

#### Program Description

The Major Construction/Acquisition budget category includes: acquisition or construction of any structure or group of structures; all land acquisitions; assessments; improvements or additions to an existing structure which is to be completed within a six-year period (with an aggregate cost of \$1,000,000 or more); and planning for proposed future Capital Construction Projects.

The Department of Administrative Services, Enterprise Asset Management Division – Facilities Program, is responsible for planning, managing and coordinating the construction and remodeling of: most buildings on the Capitol Mall; Airport Road; and other facilities in Portland, Eugene, Central Point, Burns, and Pendleton. Projects are funded by the depreciation component of rent charges to agencies, or by Bonds.

This budget request focuses on:

- 1) Preservation and improvement of existing facilities,
- 2) Place holders for additional Capitol Mall construction,
- 3) Replacing functionally obsolete building equipment with newer and more flexible technology,
- 4) Planning better utilization of space and making facilities more adaptable to changing organization in government.

Several assumptions underlie the budget request:

- 1) Where needs are stable and permanent, state ownership is preferred. In the long term, ownership is more cost effective than leasing.

- 2) Where needs are varying and shorter-term, leasing is preferred as more cost effective.
- 3) The cost of facilities should be spread to all beneficiaries and funding sources.
- 4) Demand for state services and the office space to provide them will continue.

The following is a brief discussion of each of these assumptions:

Ownership Versus Leasing: The Department has conducted numerous studies comparing owning versus leasing office space for state agencies. Consistently, these studies show that permanent uses are most economical when the building is state owned. Shorter-term, changing uses are most economical when the space is leased.

The Department leases office space whenever the benefits of ownership are not clearly superior. Agencies with volatile growth and reduction patterns, regional service offices which need to react to changing population patterns and offices outside major population centers are able to react more effectively to change by operating in leased facilities.

Alternative Financing: General Fund appropriations are the least costly financing method. This option, however, has not been available since 1981. In any case, using General Fund dollars may not be best because Federal and Other Fund agencies do not then pay their share. Alternative financing has additional costs, but offers substantial long-term savings to the State and a better distribution of costs. No projects proposed in this budget request are to be funded through alternative financing.

Continued Demand for Office Space: Demand for additional office space has slowed but never ceased. It does not appear that it will

## Budget Narrative

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cease. The Department also considers the applicability of alternative worksite options, such as electronic offices and telecommuting, in determining space needs. In the past, the real estate and leasing market in Oregon experienced an oversupply. As a consequence, the State was able to sign leases and obtain office space below market rates. The time required to plan and build state facilities means work needs to start to control future long-term facility costs. Current planning requires that the agency headquarters function be on the Capitol Mall.

**SIX-YEAR PLAN:** POLICY ORS 276.429 directs the Department of Administrative Services to acquire office quarters by the method that is most appropriate under the circumstances and in the long-term best interest of the state. Numerous studies show that state ownership of permanent facilities is more cost effective. Leasing from private owners is best for shorter-term needs.

The short term focus is on preservation and improvement of existing facilities, better utilization of space in existing facilities, acquisition of space where most cost-effective. In the long-term, build-out of the Capitol Mall continues to be the most appropriate under Legislative directives concerning centralization and facility goals.

Since the 1989 Legislative Session, the use of Certificates of Participation (COP's) has been a useful and cost effective alternative financing method for construction and equipment acquisitions. COP's have the added benefit of sharing the cost more evenly between General Fund and non-General Fund agencies. In the future it is expected that Bonds will be used to finance the large construction equipment acquisitions.

**POLICY ACTION:** This budget includes a request to complete the final phases of several projects, improve HVAC systems in several buildings, and safety improvements for staff and building tenants.

089 – Capital Construction  
2013-2015 Governor's Balanced Budget

Continued attention will be placed on scheduled replacement and improvement to existing facilities. Using the state's green building policy, a sustainable approach to projects is used.

The Department continues its goal of better space utilization. In recent years, open landscape design has reduced interior walls in state offices. Open landscape with systems furniture increases the functional area of building spaces. It also provides a more attractive, efficient, and comfortable space for employees. The Department continues to identify new systems and ways to more efficiently utilize it's existing building space.

To the degree practical, the goals of preservation, increased efficiency, state-ownership, centralization, and cooperation with local governmental units will be pursued. Anticipated projects for the next six years are prioritized in the following lists.

## Budget Narrative

**REVENUE FORECAST NARRATIVE:** The Department of Administrative Services uses funds placed in the Capital Projects Fund, established under ORS 276.005 and 276.007, as the source of revenue for capital projects. Funds accrue to the account from a variety of sources; however, the primary source is the “depreciation”

component of Uniform Rent, service agreement and parking facilities income. The other major source of revenue is from the issuance of Bonds for specific projects. The following cash flow statement displays revenue and expenditures from Non-COP revenue sources.

<b>Unobligated Beginning Fund Balance - 2013-2015</b>		<b>\$6,375,937</b>
<b>Transfers</b>		
	Transfers in from Uniform Rent Depreciation Component	13,865,537
	Transfers in from Service Agreement Depreciation Component	1,909,181
	Transfer in from Parking Depreciation Component	1,381,962
	Transfer to Operations & Maintenance	0
<b>Projected Biennial Fund Revenue</b>		<b>\$23,532,617</b>
<b>Proposed Capital Projects Fund Projects</b>		
	Proposed Capital Improvements (transfer)	(5,992,008)
	Proposed CPF Funded Major Construction/Acquisition	(16,821,286)
<b>Projected Fund Ending Balance</b>		<b>\$719,323</b>

Note: The total Beginning Balance of \$6.4 million in ORBITS cross-reference no. 089 includes obligated and unobligated major construction funds from all sources.

## Budget Narrative

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### RECOMMENDED 2013-2015 MAJOR

CONSTRUCTION/ACQUISITION PROGRAM: The Department of Administrative Services 2013-2015 biennium Major Construction/Acquisition Program includes 9 projects with a combined cost of \$16,821,286. Each project cost has been inflated for construction to start in the middle of the biennium. Each project is listed with an estimated completion date. Many of these dates presume projects will start immediately after the beginning of the new biennium. Depending on which projects are approved, completion dates and schedules may need adjustment for workloads and staffing. ORS 276.229 requires that state agencies develop a four-year major construction budget. Projects for the second biennium of the four-year construction budget are listed as planning funds. The following is a brief summary of each project listed in priority order, followed by complete narratives for each project:

- (1) Public Utility Commission Building Exterior and Interior Repairs \$4,740,390 (Other Funds) The project will remove and replace the exterior siding, glazing, and roof; as well as replacing the interior sheetrock and minor HVAC improvements.
- (2) Elevator Upgrades - \$961,420 (Other Funds) The project will repair and upgrade to code the elevators in two DAS owned buildings.
- (3) Executive Building Remodeling Project Phase I - \$800,000 (Other Funds) The project is the first phase of the major remodeling of the Executive Building, this phase includes the architectural, engineering, and developing the final project cost

to submit in the next biennium.

- (4) HVAC Improvement Projects - \$4,921,160 (Other Funds) The project will cover HVAC system upgrades on several DAS owned buildings.
- (5) Roof Replacements - \$1,303,942 (Other Funds) The project will cover roof replacement on two DAS owned buildings.
- (6) Carpet Replacements - \$3,744,374 (Other Funds) The project will cover carpet replacement in several DAS owned buildings.
- (7) Planning - \$350,000 (Other Funds)
- (8) Executive Building Repairs and Upgrades- \$1 (Bonds) A new building will be built on the site where the existing Executive Building is located, adjacent to the Capitol.
- (9) Capitol Mall Office Building #3 (Yellow Lot) - \$1 (Bonds) A new building with underground parking will be constructed on the Yellow Parking Lot site.
- (10) Red Lot Building\Parking Structure - \$1 (Bonds) A new building with multi-level parking will be built on the site where the existing Real Estate Building and Red Parking Lot are located.



## Budget Narrative

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### **POLICY PACKAGES:**

**Base Budget:** The Major Construction/Acquisition Budget for 2011-2013 was \$13,243,854. It is always a one-time expenditure request and is not requested in the next biennium.

**Policy Package No. 489:** The total budget for new Major Construction/Acquisition for the 2013-2015 biennium for project numbers 1-15 below is \$16,821,286-Other Funds.

## Budget Narrative

	Amount and Fund Source			Leased Space Impact		
<b>2013-2015 Major Construction/Acquisitions</b>	Budget	Capital Projects Fund	Other Sources	Added State-Owned Office Space	Remaining Salem Leased Office Space SF*	Remaining Total Office Leased Space SF*
1 Public Utility Commission Building Exterior Replacement	\$4,740,390	\$4,740,390				
2 Elevator Upgrades	\$961,420	\$961,420				
3 Executive Building Upgrade Phase I	\$800,000	\$800,000				
4 State Data Center Add Third Cooling Tower	\$345,971	\$345,971				
5 Portland State Office Building Chiller Replacement	\$1,553,900	\$1,553,900				
6 Central Point Package Unit Replacements	\$947,920	\$947,920				
7 Human Services Building Chiller Replacement	\$1,073,369	\$1,073,369				
8 Building Automation Upgrade Phase 1 of 3	\$1,000,000	\$1,000,000				
9 Central Point Roof Replacement	\$840,798	\$840,798				
10 Real Estate Roof Replacement	\$463,144	\$463,144				
11 Archives Carpet Replacement Opt 2	\$110,087	\$110,087				
12 Human Service Carpet	\$1,967,505	\$1,967,505				
13 Revenue Carpet Replacement	\$1,281,929	\$1,281,929				
14 Agriculture Carpet Replacement	\$384,853	\$384,853				
15 Planning	\$350,000	\$350,000				
	\$16,821,286	\$16,821,286			1,307,497	4,374,061

(1) Certificates of Participation

\* Leased office space as of June 2006

089 – Capital Construction  
2013-2015 Governor's Balanced Budget

## Budget Narrative

Amount and Fund Source				Leased Space Impact		
<b>2015-2017 Major Construction/Acquisitions</b>						
	Budget	Capital Projects Fund	Other Sources	Added State-Owned Office Space	Remaining Salem Leased Office Space SF*	Remaining Total Office Leased Space SF*
					1,346,722	4,505,283

(1) Certificates of Participation

\* This square footage assumes a 3% growth factor for leases

## Budget Narrative

Amount and Fund Source				Leased Space Impact		
<b>2017-2019 Major Construction/Acquisitions</b>						
	Budget	Capital Projects Fund	Other Sources	Added State-Owned Office Space	Remaining Salem Leased Office Space SF*	Remaining Total Office Leased Space SF*
					1,387,124	4,468,047

(1) Certificates of Participation

\* This square footage assumes a 3% growth factor for leases

## BUDGET NARRATIVE

Project Title: Public Utility Commission Building Exterior Replacement -- \$4,740,390 (Other Funds)		Land Use/Zoning Requirements Satisfied:				Estimated Completion Date: June 2015	
Project Address/Location: Employment Building	<input type="checkbox"/> New <input type="checkbox"/> Addition <input checked="" type="checkbox"/> Remodel	Yes	N/A	No	N/A	Priority: 1	
		Comments: None		Comments: None		No. of Floors/Square Footage: N/A	

**Narrative**

Statement: The Exterior Siding has reached it's life expectancy and is leaking, the window glazing is not energy efficient and is also leaking. The interior sheet rock must be replaced due to the water leaking into the building. The roof is at it's replacement time as well. Because of the nature of the work, the tenants will be moved out of the building and housed in temporary rental space for the project duration.

Project Description: The project will upgrade the exterior siding, windows, roof and interior finishes.

Cost Benefit: These replacements and upgrades will increase the life of the building and prevent future water intusion.

	Provision for Future Expansion: N/A	Structural Framing: N/A	Flooring: N/A
		Exterior Walls: siding replacement	Heating/Cooling: N/A
	Provisions for Use Change: N/A	Interior Finish: replace sheetrock, finish , and paint.	Special Equipment: N/A
		Windows: replace all exterior windows	Usable Unenclosed Areas: N/A

Project Title: Elevator Upgrade - \$961,420 (Other Funds)	Land Use/Zoning Requirements Satisfied:	Estimated Completion Date: June 2015
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## BUDGET NARRATIVE

Project Address/Location: Eugene State Office Building	<input type="checkbox"/> New <input type="checkbox"/> Addition <input checked="" type="checkbox"/> Remodel	Yes	N/A	No	N/A	Priority: 2
		Comments: None		Comments: None		No. of Floors/Square Footage:

**Narrative**

**Statement:** The Elevators in the Eugene State Office Building must be renovated to increase the reliability and function ability of the elevators.  
**Project Description:** Upgraded to the elevators in two buildings.

**Cost Benefit:** the upgraded Elevators will improve the effectiveness of the elevators for the building.

	Provision for Future Expansion: N/A	Structural Framing: N/A	Flooring: N/A
		Exterior Walls: N/A	Heating/Cooling: N/A
	Provisions for Use Change: N/A	Interior Finish: N/A	Special Equipment: N/A
		Windows: N/A	Usable Unenclosed Areas: N/A

Project Title: Executive Building Upgrade Phasel - \$800,00 (Other Funds)		Land Use/Zoning Requirements Satisfied:				Estimated Completion Date: June 2014	
Project Address/Location : Central Point Office and the	<input type="checkbox"/> New <input type="checkbox"/> Addition <input checked="" type="checkbox"/> Remodel	Yes	N/A	No	N/A	Priority: 3	
Revenue Building		Comments: None		Comments: None		No. of Floors/Square Footage:	

**Narrative** This is the first Phase of the Executive Building Remodel, Repair, and Upgrade

**Statement:** This phase includes the Architectural design work, Engineering plan, and final budget development for an anticipated 15-17 request.

**Project Description:** The project will start the process of major remodeling of the Executive Building.

## BUDGET NARRATIVE

Cost Benefit:

	Provision for Future Expansion: N/A	Structural Framing: N/A	Flooring: N/A
		Exterior Walls: N/A	Heating/Cooling: N/A
	Provisions for Use Change: N/A	Interior Finish: N/A	Special Equipment: N/A
		Windows: N/A	Usable Unenclosed Areas: N/A

Project Title: HVAC Improvement Projects - \$4,921,160 (Other Funds)		Land Use/Zoning Requirements Satisfied:				Estimated Completion Date: June 2015
Project Address/Location : Central Point Office and the  Revenue Building	<input type="checkbox"/> New <input type="checkbox"/> Addition <input checked="" type="checkbox"/> Remodel	Yes	N/A	No	N/A	Priority: 3
		Comments: None		Comments: None		No. of Floors/Square Footage:

Narrative This project will replace chillers in the Portland State Office Building and the Human Services Building. It will also add a third cooling tower to the State Data Center and replace the package units in Central Point

Statement: The systems have exceeded the expected life and replacement parts are hard to find. Due to added building capacity the current systems cannot keep the temperatures within the buildings cool enough.

Project Description: The project will replace chillers in the Portland State Office Building and the Human Services Building. It will also add a third cooling tower to the State Data Center and replace the package units in Central Point

Cost Benefit: Replacement parts not available, cooling cannot be adequately maintained in the buildings, new units will be more energy efficient.

	Provision for Future Expansion: N/A	Structural Framing: N/A	Flooring: N/A
		Exterior Walls: N/A	Heating/Cooling: upgrading existing systems
	Provisions for Use Change: N/A	Interior Finish: N/A	Special Equipment: N/A
		Windows: N/A	Usable Unenclosed Areas: N/A

## BUDGET NARRATIVE

Project Title: Roof Replacements - \$1,303,942 (Other Funds)		Land Use/Zoning Requirements Satisfied:				Estimated Completion Date: June 2015	
Project Address/Location : Central Point Office and the  Revenue Building	<input type="checkbox"/> New <input type="checkbox"/> Addition <input checked="" type="checkbox"/> Remodel	Yes	N/A	No	N/A	Priority: 3	
		Comments: None		Comments: None		No. of Floors/Square Footage:	

**Narrative**

Statement: The roof or portions of the roof on two buildings are nearing the end of their useful life and need replacement.

Project Description: The project will replace the roofs on two DAS buildings.

Cost Benefit: New roofs will minimize the cost of maintenance.

	Provision for Future Expansion: N/A	Structural Framing: N/A	Flooring: N/A
		Exterior Walls: N/A	Heating/Cooling: N/A
	Provisions for Use Change: N/A	Interior Finish: N/A	Special Equipment: N/A
		Windows: N/A	Usable Unenclosed Areas: N/A

Project Title: Carpet Replacement -- \$3,744,374 (Other Funds)		Land Use/Zoning Requirements Satisfied:				Estimated Completion Date: June 2013	
Project Address/Location: Various	<input type="checkbox"/> New <input type="checkbox"/> Addition <input checked="" type="checkbox"/> Remodel	Yes	N/A	No	N/A	Priority: 4	
		Comments: None		Comments: None		No. of Floors/Square Footage:	



## BUDGET NARRATIVE

**Narrative**

Statement: The carpets in a number of the DAS building are far past their expected life. These carpets can no longer be repaired effectively and can cause tripping hazards to staff and the public. Also, the carpets cannot be steam cleaned without causing additional damage. Not deep cleaning, the carpets can pose a health risk to the building occupants.

Project Description: Replace existing carpet in various DAS owned buildings.

Cost Benefit: Risk of health issues and safety to staff and visitors will be minimized.

	Provision for Future Expansion: N/A	Structural Framing: N/A	Flooring: N/A
		Exterior Walls: N/A	Heating/Cooling: N/A
	Provisions for Use Change: N/A	Interior Finish: N/A	Special Equipment: N/A
		Windows: N/A	Usable Unenclosed Areas: N/A

## BUDGET NARRATIVE

Project Title: Planning Funds - \$350,000 (Other Funds)		Land Use/Zoning Requirements Satisfied:				Estimated Completion Date: June 2013	
Project Address/Location: Various	<input type="checkbox"/> New <input type="checkbox"/> Addition <input checked="" type="checkbox"/> Remodel	Yes	N/A	No	N/A	Priority: 9	
		Comments: None		Comments: None		No. of Floors/Square Footage:	

**Narrative**  
 Statement: During the 2013-15 biennium, the Department of Administrative Services will assess the feasibility of various projects; determine potential costs; evaluate various aspects of projects; plan for sudden demands for funds; and prepare for the 2015-17 budget. The time and expertise of staff often does not allow for consistent performance of these planning tasks and it is necessary to hire consultants.

**Project Description:** Contract with various architects, engineers, cost estimators and other specialists. Develop reliable cost information; better defined projects; and evaluate options to solve maintenance problems.

**Cost Benefit:** Benefits will result from more consistent and accurate cost projections, better planned projects and more efficient utilization of staff on project management.

	Provision for Future Expansion: N/A	Structural Framing: N/A	Flooring: N/A
		Exterior Walls: N/A	Heating/Cooling: N/A
	Provisions for Use Change: N/A	Interior Finish: N/A	Special Equipment: N/A
		Windows: N/A	Usable Unenclosed Areas: N/A

## BUDGET NARRATIVE

Project Title: Executive Building Remodeling/Renovation - \$1 (Bonds)		Land Use/Zoning Requirements Satisfied:				Estimated Completion Date: June 2013	
Project Address/Location: 155 Cottage St. N.E.	<input type="checkbox"/> New <input type="checkbox"/> Addition <input checked="" type="checkbox"/> Remodel	Yes	N/A	No	N/A	Priority: 10	
Salem, OR 97301		Comments: None		Comments: None		No. of Floors/Square Footage:	

**Narrative**  
 Statement: A portion of the Executive Building was constructed as a Post Office in the 1930's. In the 1970's, the building was expanded to its present form. The aging building requires major renovation for reliability and occupational safety. Due to the original architecture and aged condition of the existing building, a renovation of the building is planned for 15-17.

**Project Description:** the renovation and remodeling of the building will extend the life significantly as well as make the building much more energy efficient.

**Cost Benefit:** This project will create increased labor efficiencies through the consolidation of various administrative groups. Additionally, energy usage per public employee will be substantially lowered.

	Provision for Future Expansion: N/A	Structural Framing: N/A	Flooring: N/A
		Exterior Walls: N/A	Heating/Cooling: N/A
	Provisions for Use Change: N/A	Interior Finish: N/A	Special Equipment: N/A
		Windows: N/A	Usable Unenclosed Areas: N/A

Project Title: Capitol Mall Office Building #3 (Yellow Lot) - \$1 (Bonds)	Land Use/Zoning Requirements Satisfied:	Estimated Completion Date: June 2013
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## BUDGET NARRATIVE

Project Address/Location: Between Winter St/ Summer	<input type="checkbox"/> New <input type="checkbox"/> Addition <input checked="" type="checkbox"/> Remodel	Yes	N/A	No	N/A	Priority: 11
St & Marion St/Center St		Comments: None	Comments: None	No. of Floors/Square Footage:		

**Narrative**  
 Statement: State facilities on the Capitol Mall are overcrowded and inefficiently utilized. Agencies are currently located at multiple locations and spaces are nearing maximum densities. Recent renovation projects for the State Capitol Building have also led to officials and staff being displaced from the Capitol Mall Area. Additionally, existing parking capacity in the Capitol Mall Area is insufficient.

**Project Description:** A new building with underground parking will be built on the site where the existing Yellow Parking lot is located. Development of the site increases parking capacity in the Capitol Mall area.

**Cost Benefit:** This project will create increased labor efficiencies through the consolidation of various groups located within and outside of Capitol Mall area. The parking structure portion of the project, and maintenance of the structure, will be paid through parking fees. Provides more effective use of alternative transportation modes.

	Provision for Future Expansion: N/A	Structural Framing: N/A	Flooring: N/A
		Exterior Walls: N/A	Heating/Cooling: N/A
	Provisions for Use Change: N/A	Interior Finish: N/A	Special Equipment: N/A
		Windows: N/A	Usable Unenclosed Areas: N/A

Project Title: Red Lot Building/Parking Structure - \$1 (Bonds)		Land Use/Zoning Requirements Satisfied:			Estimated Completion Date: 2013	
Project Address/Location: Between Capitol St/12 <sup>th</sup> St &	<input type="checkbox"/> New <input type="checkbox"/> Addition <input checked="" type="checkbox"/> Remodel	Yes	N/A	No	N/A	Priority: 12
Marion St/Center St		Comments: None	Comments: None	No. of Floors/Square Footage:		

## BUDGET NARRATIVE

**Narrative**

**Statement:** State facilities on the Capitol Mall are overcrowded and inefficiently utilized. Agencies are currently located at multiple locations and spaces are nearing maximum densities. Recent renovation projects for the State Capitol Building have also led to officials and staff being displaced from the Capitol Mall Area. Additionally, existing parking capacity in the Capitol Mall Area is insufficient.

**Project Description:** A new building with multi-level parking will be built on the site where the existing Real Estate Building and Red Parking lot is located. The small existing Real Estate Building will be demolished in the process. Development of the site significantly increases parking capacity in the Capitol Mall area.

**Cost Benefit:** This project will create increased labor efficiencies through the consolidation of various groups located within and outside of Capitol Mall area. The parking structure portion of the project, and maintenance of the structure, will be paid through parking fees. Provides more effective use of alternative transportation modes.

	Provision for Future Expansion: N/A	Structural Framing: N/A	Flooring: N/A
		Exterior Walls: N/A	Heating/Cooling: N/A
	Provisions for Use Change: N/A	Interior Finish: N/A	Special Equipment: N/A
		Windows: N/A	Usable Unenclosed Areas: N/A

## BUDGET NARRATIVE

AGENCY: DAS - Enterprise Asset Management  
- Facilities Program  
 Agency #: 10700

Provide amounts in the table below, by expected use and repayment source, of agency financing needs for the 2013-15 biennium. Include proposed project amounts only (do not include debt service either from previously issued debt or from new issue).

Use of Bond Proceeds	Bond Type		Totals by Repayment Source	
	General Obligation Bonds	Revenue Bonds		
<b>Major Construction/ Acquisition Projects</b>				
Subtotal for General Fund Repayment:	\$	\$	\$	GF
Subtotal for Lottery Funds Repayment:	\$	\$	\$	LF
Subtotal for Other Funds Repayment:	\$	186,868,411	\$	186,868,411 OF
Subtotal for Federal Funds Repayment:	\$	\$	\$	FF
Total for Major Construction	\$	186,868,411	\$	186,868,411
<b>Equipment/Technology Projects over \$500,000</b>				
Subtotal for General Fund Repayment:	\$	\$	\$	GF
Subtotal for Lottery Funds Repayment:	\$	\$	\$	LF
Subtotal for Other Funds Repayment:	\$	\$	\$	OF
Subtotal for Federal Funds Repayment:	\$	\$	\$	FF
Total for Equipment/Technology	\$	\$	\$	
<b>Debt Issuance for Loans and Grants</b>				
Subtotal for General Fund Repayment:	\$	\$	\$	GF
Subtotal for Lottery Funds Repayment:	\$	\$	\$	LF
Subtotal for Other Funds Repayment:	\$	\$	\$	OF
Subtotal for Federal Funds Repayment:	\$	\$	\$	FF
Total for Loans and Grants:	\$	\$	\$	
Total for Debt Issuance	\$	\$	\$	
Subtotal for General Fund Repayment:	\$	\$	\$	GF
Subtotal for Lottery Funds Repayment:	\$	\$	\$	LF
Subtotal for Other Funds Repayment:	\$	186,868,411	\$	186,868,411 OF
Subtotal for Federal Funds Repayment:	\$	\$	\$	FF
<b>GRAND TOTAL 2013-15:</b>	<b>\$</b>	<b>186,868,411</b>	<b>\$</b>	<b>186,868,411</b>

## BUDGET NARRATIVE

AGENCY: DAS - Enterprise Asset Management - Facilities Program  
 Agency #: 10700

Provide amounts in the table below, by expected use and repayment source, of agency financing needs for the 2015-17 biennium. Include proposed project amounts only (do not include debt service either from previously issued debt or from new issue).

Use of Bond Proceeds	Bond Type		Totals by Repayment Source	
	General Obligation Bonds	Revenue Bonds		
<b>Major Construction/ Acquisition Projects</b>				
Subtotal for General Fund Repayment:	\$	\$	\$	GF
Subtotal for Lottery Funds Repayment:	\$	\$	\$	LF
Subtotal for Other Funds Repayment:	\$	146,549,112 \$	\$	146,549,112 OF
Subtotal for Federal Funds Repayment:	\$	\$	\$	FF
Total for Major Construction	\$	146,549,112 \$	\$	146,549,112
<b>Equipment/Technology Projects over \$500,000</b>				
Subtotal for General Fund Repayment:	\$	\$	\$	GF
Subtotal for Lottery Funds Repayment:	\$	\$	\$	LF
Subtotal for Other Funds Repayment:	\$	\$	\$	OF
Subtotal for Federal Funds Repayment:	\$	\$	\$	FF
Total for Equipment/Technology	\$	\$	\$	
<b>Debt Issuance for Loans and Grants</b>				
Subtotal for General Fund Repayment:	\$	\$	\$	GF
Subtotal for Lottery Funds Repayment:	\$	\$	\$	LF
Subtotal for Other Funds Repayment:	\$	\$	\$	OF
Subtotal for Federal Funds Repayment:	\$	\$	\$	FF
Total for Loans and Grants:	\$	\$	\$	
Total All Debt Issuance	\$	\$	\$	
Subtotal for General Fund Repayment:	\$	\$	\$	GF
Subtotal for Lottery Funds Repayment:	\$	\$	\$	LF
Subtotal for Other Funds Repayment:	\$	146,549,112 \$	\$	146,549,112 OF
Subtotal for Federal Funds Repayment:	\$	\$	\$	FF
<b>GRAND TOTAL 2015-17</b>	<b>\$</b>	<b>146,549,112</b>	<b>\$</b>	<b>146,549,112</b>

## BUDGET NARRATIVE

AGENCY: DAS - Enterprise Asset Management  
- Facilities Program  
 Agency #: 10700

Provide amounts in the table below, by expected use and repayment source, of agency financing needs for the 2017-19 biennium. Include proposed project amounts only (do not include debt service either from previously issued debt or from new issue).

Use of Bond Proceeds	Bond Type		Totals by Repayment Source
	General Obligation Bonds	Revenue Bonds	
<b>Major Construction/Acquisition Projects</b>			
Subtotal for General Fund Repayment:	\$	\$	GF
Subtotal for Lottery Funds Repayment:	\$	\$	LF
Subtotal for Other Funds Repayment:	\$	\$	OF
Subtotal for Federal Funds Repayment:	\$	\$	FF
Total for Major Construction	\$	\$	
<b>Equipment/Technology Projects over \$500,000</b>			
Subtotal for General Fund Repayment:	\$	\$	GF
Subtotal for Lottery Funds Repayment:	\$	\$	LF
Subtotal for Other Funds Repayment:	\$	\$	OF
Subtotal for Federal Funds Repayment:	\$	\$	FF
Total for Equipment/Technology	\$	\$	FF
<b>Debt Issuance for Loans and Grants</b>			
Subtotal for General Fund Repayment:	\$	\$	GF
Subtotal for Lottery Funds Repayment:	\$	\$	LF
Subtotal for Other Funds Repayment:	\$	\$	OF
Subtotal for Federal Funds Repayment:	\$	\$	FF
Total for loans and grants:	\$	\$	
Total All Debt Issuance	\$	\$	
Subtotal for General Fund Repayment:	\$	\$	GF
Subtotal for Lottery Funds Repayment:	\$	\$	LF
Subtotal for Other Funds Repayment:	\$	\$	OF
Subtotal for Federal Funds Repayment:	\$	\$	FF
<b>GRAND TOTAL 2017-19 :</b>	<b>\$</b>	<b>\$</b>	



## BUDGET NARRATIVE

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**Policy Package 489:** Capital Construction Projects

**Companion Package:** None.

**Purpose:** The Department of Administrative Services 2013-2015 biennium Major Construction/Acquisition Program includes 7 projects

with a combined cost of \$16,821,286

**How Achieved:** See construction narratives

**Staffing Impact:** None

**Revenue Source:** \$16,821,286 Other Funds

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Capital Construction

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-089-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>CAPITAL CONSTRUCTION (Excluding Packages)</b>						
<b>CAPITAL OUTLAY</b>						
Other Funds	9,606,721	13,243,854	13,243,854	-	-	-
<b>TOTAL CAPITAL CONSTRUCTION (Excluding Packages)</b>						
Other Funds	9,606,721	13,243,854	13,243,854	-	-	-
<b>CAPITAL CONSTRUCTION (Current Service Level)</b>						
Other Funds	9,606,721	13,243,854	13,243,854	-	-	-
<b>CAPITAL CONSTRUCTION (Policy Packages)</b>						
<b>PRIORITY 0</b>						
<b>489 CAPITAL CONSTRUCTION PROJECTS</b>						
<b>CAPITAL OUTLAY</b>						
Other Funds	-	-	-	15,531,342	16,821,286	-
<b>TOTAL CAPITAL CONSTRUCTION (Policy Packages)</b>						
Other Funds	-	-	-	15,531,342	16,821,286	-
<b>TOTAL CAPITAL CONSTRUCTION (Including Packages)</b>						
Other Funds	9,606,721	13,243,854	13,243,854	15,531,342	16,821,286	-
<b>TOTAL BUDGET</b>						
Other Funds	9,606,721	13,243,854	13,243,854	15,531,342	16,821,286	-

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 489 - Capital Construction Projects

Cross Reference Name: Capital Construction  
Cross Reference Number: 10700-089-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Transfer In - Intrafund	-	-	16,821,286	-	-	-	16,821,286
<b>Total Revenues</b>	-	-	<b>\$16,821,286</b>	-	-	-	<b>\$16,821,286</b>
<b>Capital Outlay</b>							
Other Capital Outlay	-	-	16,821,286	-	-	-	16,821,286
<b>Total Capital Outlay</b>	-	-	<b>\$16,821,286</b>	-	-	-	<b>\$16,821,286</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	16,821,286	-	-	-	16,821,286
<b>Total Expenditures</b>	-	-	<b>\$16,821,286</b>	-	-	-	<b>\$16,821,286</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Capital Improvement		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
Source								
Transfer In - Intrafund	LF - L	4400	1010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CI	3010		149,649	4,312,563	5,804,393	5,992,008	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		-	-	-	-	-
	OF - DS	3430		-	-	-	-	-
	Lottery Funds Limited	4400		-	-	-	-	-
	Lottery Funds Debt Service Limited	4430		-	-	-	-	-
	Other Funds Cap Improvement	3010		149,649	4,312,563	5,804,393	5,992,008	-
	Other Funds Cap Construction	3020		-	-	-	-	-
	Other Funds Non-Limited	3200		-	-	-	-	-
	Other Funds Non-Limited Debt Service	3230		-	-	-	-	-
	Other Funds Limited	3400		-	-	-	-	-
	Other Funds Debt Service Limited	3430		-	-	-	-	-
	Federal Funds Debt Service Non-Limited	6230		-	-	-	-	-
	Federal Funds Limited	6400		-	-	-	-	-
				<b>149,649</b>	<b>4,312,563</b>	<b>5,804,393</b>	<b>5,992,008</b>	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

<b>Capital Construction</b>			<b>ORBITS Revenue Account</b>	<b>2009-2011 Actual</b>	<b>2011-2013 Legislatively Approved</b>	<b>2013-2015</b>		
<b>Source</b>	<b>Fund</b>	<b>Agency Request</b>				<b>Governor's Recommended</b>	<b>Legislatively Adopted</b>	
Certificate of Participation	OF - CC 3020	0580	5,110,000	-	-	-	-	
	OF - L 3400		-	-	-	-	-	
Transfer In - Intrafund	LF - L 4400	1010	-	-	-	-	-	
	LF - DS 4430		-	-	-	-	-	
	OF - CI 3010		-	-	-	-	-	
	OF - CC 3020		14,912,536	13,243,854	15,531,342	16,821,286	-	
	OF - NL 3200		-	-	-	-	-	
	OF - NL DS 3230		-	-	-	-	-	
	OF - L 3400		-	-	-	-	-	
	OF - DS 3430		-	-	-	-	-	
Transfer Out - Intrafund	LF - L 4400	2010	-	-	-	-	-	
	LF - DS 4430		-	-	-	-	-	
	OF - CC 3020		(9,607,879)	-	-	-	-	
	OF - NL 3200		-	-	-	-	-	
	OF - NL DS 3230		-	-	-	-	-	
	OF - L 3400		-	-	-	-	-	
	OF - DS 3430		-	-	-	-	-	
	Lottery Funds Limited 4400		-	-	-	-	-	
	Lottery Funds Debt Service Limited 4430		-	-	-	-	-	
	Other Funds Cap Improvement 3010		-	-	-	-	-	
	Other Funds Cap Construction 3020		10,414,657	13,243,854	15,531,342	16,821,286	-	
	Other Funds Non-Limited 3200		-	-	-	-	-	
	Other Funds Non-Limited Debt Service 3230		-	-	-	-	-	
	Other Funds Limited 3400		-	-	-	-	-	
	Other Funds Debt Service Limited 3430		-	-	-	-	-	
	Federal Funds Debt Service Non-Limited 6230		-	-	-	-	-	
	Federal Funds Limited 6400		-	-	-	-	-	
			<b>10,414,657</b>	<b>13,243,854</b>	<b>15,531,342</b>	<b>16,821,286</b>	<b>-</b>	

## BUDGET NARRATIVE

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### **Facilities Maintenance**

The Operations and Maintenance Sections of Enterprise Asset Management accounts for over 80% of the Division's operating costs. O & M sections are directly responsible for the operation and maintenance of forty-one (41) DAS owned buildings throughout Oregon, which include state forensic crime and health labs, computer data centers, printing facilities and the Executive Residence, with over 2.9 million square feet of rentable space and an approximate building tenant population in excess of 45,000.

The O & M Sections are made up of 122 skilled technicians, laborers, custodians, and landscape professionals, who cohesively service, operate, maintain and repair all Department of Administrative Services (DAS) owned buildings, systems and equipment in Salem, Portland, Pendleton, Eugene, and Central Point. When faced with a building problem, all staff think globally across the full spectrum of the Agency's mission and understand there may be multiple levels of responsibility involved in one single issue. When O & M sections are contacted about tenant work requests it is imperative the right level of services are provided to resolve the customer issue.

A major component of the Maintenance sections mission is to maintain the integrity of the interior and exterior of DAS owned buildings, which involves scheduled, timely, and cost effective service

and repair to exterior/interior building structures, building systems and equipment which is preventive maintenance. Preventive maintenance to DAS buildings, systems and equipment is performed daily, significantly extending the age of critical and technical systems and equipment, thereby achieving greater efficiency of the Agency's mission, which, in turn, brings benefits to tenant agency customers and to the state as a whole.

These 122 staff make up six major programs in O & M, which are:

### **Maintenance Program**

- Building Security – Electronic, Keycard and Key
- Electrical & Elevators
- HVAC – Day
- Service and Repair – Day – Roofing, building exterior/interior envelope, plumbing, painting, carpentry, general maintenance repair

### **Operations Program**

- Custodial
- Landscape

## BUDGET NARRATIVE

### Facilities Maintenance Summary Report

AGENCY: DAS - Enterprise Asset Management – Facilities Program

Agency #: 10700

Value of Buildings and Building Improvements			Facilities Operations and Maintenance (O&M) Budget		
<u>Cost of Buildings (as reported to Risk Management)</u>	<u>6/30/12 Replacement Value (Risk Management)</u>		<u>Cost of Buildings (as reported to Risk Management)</u>	<u>6/30/12 Replacement Value (Risk Management)</u>	<u>Cost of Buildings (as reported to Risk Management)</u>
\$260,581,536	\$ 511,931,717		\$260,581,536	\$ 511,931,717	\$260,581,536
<b>Total Sq. Ft. of Bldgs: <u>3,271,434</u> sq. ft.</b>		<b>2013-15 Maint. Budget (no janitorial or utility) ÷ Square Feet of building: \$ <u>5.84</u>/sq. ft.</b>		<b>Utilities Budget: \$ <u>11,975,530</u></b>	
Total Outstanding Deferred Maintenance			Deferred Maintenance Budget 2013-15		
<b>As of 6/30/12 Projected 6/30/13</b>	<b>Categories 1-2</b> \$ _____ \$ <u>12,401,204</u>	<b>As of 6/30/12 Projected 6/30/13</b>	<b>Categories 1-2</b> \$ _____ \$ <u>12,401,204</u>	<b>As of 6/30/12 Projected 6/30/13</b>	<b>Categories 1-2</b> \$ _____ \$ <u>12,401,204</u>

Briefly describe the software (or manual process) used to identify routine (including preventative) facility maintenance needs.

Maintenance staff identify issues as they are out working in the buildings. Preventative Maintenance is done on all critical equipment, building envelope, and grounds. These are scheduled on a periodic basis depending on the equipment and appropriate schedule.

What data elements do you track with software (or manual process) described above? The PM scheduled is tracked in a database (FC7). The PM's are assigned to staff on a monthly basis; this is also tracked in the database. When the PM's are completed these are tracked in the database along with the amount of time and parts needed.

Briefly describe how the facilities maintenance budget is developed (note whether software (or manual process) described above is used in budget development). The Maintenance budget is based on previous biennium actual costs for the work done in that biennium with an inflation factor and contingency built in.

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## BUDGET NARRATIVE

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Briefly describe the system or process used to identify Deferred Maintenance (*e.g.*; *staff makes an annual estimate based on periodic assessments; evaluation of facilities using contract structural engineering firm, etc.*) A list of projects are created and then prioritized based on Life and Safety, these projects are put on the CC or CI lists. Any projects that are not approved or completed are then considered as deferred maintenance.

Briefly describe the process to provide funding for facilities maintenance. (*e.g.*; *biennial appropriation; assessment to applicable programs to sustain a Capital Maintenance/ Improvement Fund authorized under ORS 276.285(2); etc.*) Facilities maintenance is funded through the rents that we charge. The CC and CI projects are funded through depreciation.

**Statutory references: ORS 276.229(2), ORS 276.227(5)**



# BUDGET NARRATIVE

## Facilities Operations and Maintenance Report

AGENCY Name: DAS - Facilities Program

Agency #: 10700

	2009-11 Actuals	FTE	Leg Approved 2011-13	FTE	2011-13 Estimates	FTE	2013-15 Budget	FTE
<b>General Fund</b>								
Personal Serv - Utilities & Janitorial	\$		\$		\$		\$	
Personal Services - Maintenance	\$		\$		\$		\$	
S&S - Utilities & Janitorial	\$		\$		\$		\$	
S&S - Maintenance	\$		\$		\$		\$	
<b>GF Subtotal</b>	<b>\$ N/A</b>		<b>\$ N/A</b>		<b>\$ N/A</b>		<b>\$ N/A</b>	
<b>Lottery Funds</b>								
Personal Serv - Utilities & Janitorial	\$		\$		\$		\$	
Personal Services - Maintenance	\$		\$		\$		\$	
S&S - Utilities & Janitorial	\$		\$		\$		\$	
S&S - Maintenance	\$		\$		\$		\$	
<b>LF Subtotal</b>	<b>\$ N/A</b>		<b>\$ N/A</b>		<b>\$ N/A</b>		<b>\$ N/A</b>	
<b>Other Funds</b>								
Personal Serv - Utilities & Janitorial	\$5,809,826		\$4,768,533		\$4,634,763		\$4,911,589	
Personal Services - Maintenance	\$13,165,815		\$12,815,304		\$12,383,759		\$13,199,763	
S&S - Utilities & Janitorial	\$12,759,225		\$14,945,506		\$14,429,856		\$15,393,871	
S&S - Maintenance	\$6,829,529		\$5,736,812		\$6,351,359		\$5,908,916	
<b>OF Subtotal</b>	<b>\$38,564,395</b>		<b>\$38,266,155</b>		<b>\$37,799,737</b>		<b>\$39,414,139</b>	
<b>Federal Funds</b>								
Personal Serv - Utilities & Janitorial	\$		\$		\$		\$	
Personal Services - Maintenance	\$		\$		\$		\$	
S&S - Utilities & Janitorial	\$		\$		\$		\$	
S&S - Maintenance	\$		\$		\$		\$	
<b>FF Subtotal</b>	<b>\$ N/A</b>		<b>\$ N/A</b>		<b>\$ N/A</b>		<b>\$ N/A</b>	
<b>Total All Funds</b>	<b>\$38,564,395</b>	<b>165</b>	<b>\$38,266,155</b>	<b>143</b>	<b>\$37,799,737</b>	<b>143</b>	<b>\$39,414,139</b>	

Facilities Maintenance & Management  
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## BUDGET NARRATIVE

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The Enterprise Asset Management Operations and Maintenance budgets include costs to operate and maintain facilities and keep them in repair including utilities, janitorial and maintenance costs. Maintenance costs are categorized as external building (roof, siding, windows, etc.); interior systems (electrical mechanical, interior walls, doors, etc.); roads and ground (groundskeeper, parking lots, sidewalks, etc.) and centrally operated systems (electrical mechanical, etc.).

## BUDGET NARRATIVE

### Facilities Deferred Maintenance Detail Report

AGENCY: DAS Facilities Division  
Agency #: 10700

Building Name or Identifier	Replacement Value (as of 6/30/09)	2011-13 Deferred Maintenance Budget for this Facility	Total O/S Deferred Maint. (projected) (as of 6/30/10)	Outstanding Deferred Maintenance (projected) by Category				
				1	-	2	3	-
<b>Facilities &gt; \$1 million</b> (attach additional sheets if necessary)								
Exec Parking Structure	\$2,008,556	0	0			0		0
Executive	\$10,673,676	0	\$939,838			\$926,620		\$13,218
Executive Residence	\$3,414,252	0	0			0		0
Ferry St Parking	\$2,118,409	0	\$11,661			\$11,661		0
General Services	\$12,321,712	0	\$105,348			\$105,348		0
Heating Plant	\$1,345,710	0	0			0		0
Human Resources	\$44,906,983	0	\$2,369,837			\$2,209,792		\$160,045
Justice	\$15,540,675	0	\$400,570			\$175,000		\$225,570
Labor & Industries	\$28,307,961	0	\$40,068			\$20,000		\$20,068
Library	\$17,692,457	0	0			0		0
North Mall Office Complex	\$23,000,126	0	0			0		0
Pendleton St Office 202	\$5,889,115	0	\$19,528			\$19,528		0
Pendleton St Office, Original 201	\$4,022,048	0	0			0		0
Portland Crime Laboratory	\$17,359,155	0	\$85,033			\$85,033		0
Portland State Office	\$44,587,718	0	\$82,042			\$82,042		0
Print Plant	\$12,660,234	0	\$106,149			0		\$106,149
Property Dist Ctr	\$1,229,118	0	\$54,254			\$54,254		0
Public Service	\$25,833,914	0	\$23,126			\$23,126		0
Public Utility Commission	\$18,266,802	0	\$428,438			\$325,138		\$103,300
Real Estate Building	\$2,178,609	0	\$459,361			\$459,361		0
Revenue	\$43,432,185	\$613,123	\$2,656,692			\$3,098,941		\$170,874

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## BUDGET NARRATIVE

Salem Motor P00l	\$6,184,391	0	\$1,395,792	\$1,389,055	\$6,737
Springfield Motor Pool	\$4,725,298	0	0	0	0
State Data Center	\$23,362,996	0	\$1,563,000	\$1,563,000	0
<b>Total This Page</b>	<b>\$366,336,802</b>	<b>\$613,123</b>	<b>\$10,740,737</b>	<b>\$10,547,899</b>	<b>\$785,893</b>

Total this page	\$172,635,125	\$849,308	\$1,658,609	\$1,853,305	\$654,612
Total From page 2	\$372,529,525	\$613,123	\$10,740,737	\$10,547,899	\$785,893

<b>Total Facilities &gt; \$1 million</b> (total from detail above)	\$545,164,650	\$1,462,431	\$12,399,346	\$12,401,204	\$1,440,505
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<b>Facilities &lt; \$1 million</b> (total for all facilities < \$1 million)	\$				
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<b>Total all Facilities</b>	\$545,164,650				
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## ORBITS Budget Narrative

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# Budget Narrative

## Program Unit 090 - Miscellaneous Distributions

### Revenue Transfers In and Out (Other Funds)

- State Central Government Service Charge (090-01): State agencies, whose personal services expenditures are financed from funds other than federal government sources or from State General Fund Appropriations, are retroactively assessed a portion of the 2007-09 biennium costs of the following agencies. Each agency is charged a proportional share of 60 percent of the Legislative Assembly, 60 percent of the Emergency Board, 60 percent of the Legislative Counsel Committee, 75 percent of the Governor's Office and all of the Secretary of State Archives Division. Amounts are billed and collected quarterly and deposited into the State General Fund. The 2013-2015 assessment is \$13,844,011
- City Revenue Sharing of OLCC Earnings (090-05): Quarterly, OLCC earnings are distributed to Oregon cities. Fourteen percent of the quarter's earnings are distributed to cities, based upon the city's percent of population compared to all cities in the state. The 2013-2015 forecast is \$53,649,805.
- Revenue Sharing of Cigarette Tax Proceeds (090-06): Every month, 3.45 percent of Department of Revenue cigarette tax proceeds is distributed to cities and counties. The individual amounts distributed are based upon the percentage of the state's population living within the boundaries of the each entity. The 2013-2015 forecast is \$14,246,383.

- Amusement Tax Distributed to Counties (090-07): Quarterly, 35.325 percent of the Department of Revenue Amusement Device Tax is distributed to counties. The amount distributed to each county is based upon the percentage of the state's population living within the boundaries of the county. The 2013-2015 forecast is \$1,580,000.

### Administrative and Service Charges Revenue In: Nonlimited Special Payment to Other Governmental Unit

- Mass Transit Assessment and Distribution (090-03): The Mass Transit Assessment charges state agencies at 0.6 percent of salaries and wages paid to employees working within a transit district boundary. This assessment is paid to the Department of Administrative Services (DAS). Quarterly, DAS distributes each transit district's share. The money is distributed to mass transit districts established under ORS 267.010 to 267.390, and transportation districts established under ORS 267.510 to 267.650. Districts receiving the assessment are: Tri-County Metro Transit District; Lane Transit District; Salem Area Transit; Rogue Valley Transit District; Basin Transit District; South Clackamas Transportation District; Hood River County Transportation District; Tillamook County Transit; Sunset Empire Transportation District; and Lincoln County Transit. The 2013-2015 forecast is \$23,996,609

## Budget Narrative

### Revenue Transfers In and Out (Federal Funds)

Federal Government Forest Reserves (090-08): 25 percent of all moneys received from the sale of National Forest products within each county's boundaries is distributed to counties yearly. The state passes through both Title I and Title III funds. Oregon counties are required to spend 75 percent of Title I money on roads and 25 percent on schools. Title III funds may be spent on projects at the counties' discretion. The total 2013-2015 forecast is \$331,535,719. 75 percent of the federal roads distribution is \$248,070,629 and 25 percent of the federal schools distribution is \$82,690,210.

- Federal Mineral Lease Proceeds (090-08): The federal government distributes a portion of mineral lease proceeds from federal lands to the state. DAS passes on the proceeds to the counties where the leased land is located. Counties are required to spend this money on schools and roads. The 2013-2015 forecast is \$153,366.
- Five Percent of Federal Government Land and Material Sales (090-08): Each month, the federal government distributes to the

state five percent of proceeds from the sale of public land and materials. These moneys are distributed to counties to be used for roads and bridges. The 2013-2015 forecast is \$1,720.

- U.S. Taylor Grazing Act Fees (090-08): A portion of Taylor Grazing Act fees are distributed to the state. The state passes on these moneys to the county where the leased land is located. The money is used for grazing and range improvements. The 2013-2015 forecast is \$539,135.
- Federal Flood Moneys (090-08): The State receives a portion of lease fees paid by the federal government under the Federal Flood Control Act. These moneys are distributed to those counties where the lease is located. Counties are to spend the money on schools and roads. The 2013-2015 forecast is \$62,499.

### Essential Packages

There are no essential packages for Federal Distributions.



**Program Unit Appropriated Fund Group and Category Summary  
2013-15 Biennium  
Miscellaneous Distributions**

**Version: Y - 01 - Governor's Budget  
Cross Reference Number: 10700-090-00-00-00000**

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>NONLIMITED BUDGET (Excluding Packages)</b>						
<b>SPECIAL PAYMENTS</b>						
Other Funds	19,474,137	22,853,913	22,853,913	23,996,609	23,996,609	-
<b>TOTAL NONLIMITED BUDGET (Excluding Packages)</b>						
Other Funds	19,474,137	22,853,913	22,853,913	23,996,609	23,996,609	-
<b>NONLIMITED BUDGET (Current Service Level)</b>						
Other Funds	19,474,137	22,853,913	22,853,913	23,996,609	23,996,609	-
<b>TOTAL NONLIMITED BUDGET (Including Packages)</b>						
Other Funds	19,474,137	22,853,913	22,853,913	23,996,609	23,996,609	-
<b>OPERATING BUDGET</b>						
Other Funds	19,474,137	22,853,913	22,853,913	23,996,609	23,996,609	-
<b>TOTAL BUDGET</b>						
Other Funds	19,474,137	22,853,913	22,853,913	23,996,609	23,996,609	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Special Governmental Payments		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Source	Agency Request	Governor's Recommended
Charges for Services	OF - N L	3200	0410	-	-	-	-	-
	OF - L	3400		(6)	(13,115)	-	-	-
Admin and Service Charges	OF - N L	3200	0415	-	-	-	-	-
	OF - L	3400		-	-	13,745,954	13,745,954	-
	OF - DS	3430		-	-	-	-	-
Lottery Bonds	OF - L	3400	0565	-	3,940,550	-	-	-
Revenue Bonds	OF - NL DS	3230	0570	3,380,230	-	-	-	-
	OF - L	3400		42,771,565	-	-	-	-
Refunding Bonds	OF - NL DS	3230	0575	694,640	-	-	-	-
	OF - DS	3430		-	-	-	-	-
Certificate of Participation	OF - CC	3020	0580	-	-	-	-	-
	OF - L	3400		-	19,514,631	-	-	-
Interest Income	LF - L	4400	0605	-	-	-	-	-
	LF - DS	4430		105,416	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		84,790	-	-	-	-
	OF - L	3400		78,188	-	-	-	-
	OF - DS	3430		936,733	-	-	-	-
Sales Income	OF - NL	3200	0705	-	-	-	-	-
	OF - L	3400		10,209,684	9,707,464	-	-	-
Loan Repayments	OF - L	3400	0925	-	-	-	-	-
Other Revenues	OF - L	3400	0975	-	1	-	-	-
	OF - DS	3430		277,261,383	-	-	-	-
Transfer In - Intrafund	LF - L	4400	1010	2,807,758	3,600,135	3,931,144	3,931,144	-
	LF - DS	4430		4,969,637	9,340,203	8,970,125	8,888,175	-
	OF - CI	3010		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		4,849	-	-	-	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Special Governmental Payments		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
Source								
	OF - NL DS	3230		14,768	-	-	-	-
	OF - L	3400		443,680	75,000	-	-	-
	OF - DS	3430		138,941,736	-	-	-	-
Transfer Out - Intrafund	LF - L	4400	2010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		(112,173)	-	-	-	-
	OF - L	3400		(49,368)	-	-	-	-
	OF - DS	3430		(353,560)	-	-	-	-
Tsfr to OR Business Development	LF - L	4400	2123	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - L	3400		(1,251,920)	(1,178,663)	(1,361,675)	(1,361,675)	-
	OF - DS	3430		-	-	-	(5,000,000)	-
Tsfr to Judicial Dept	OF - L	3400	2198	(2,064,900)	(2,343,470)	(2,392,804)	(2,392,804)	-
Tsfr to Govt Ethics Comm	OF - L	3400	2199	(900,000)	(800,000)	(1,300,000)	(1,300,000)	-
Tsfr to State Police	OF - L	3400	2257	-	-	(3,341,813)	(3,341,813)	-
Tsfr to State Library	OF - L	3400	2543	(6,009,510)	(5,385,331)	(5,349,662)	(5,349,662)	-
	Lottery Funds Limited	4400		2,807,758	3,600,135	3,931,144	3,931,144	-
	Lottery Funds Debt Service Limited	4430		5,075,053	9,340,203	8,970,125	8,888,175	-
	Other Funds Cap Improvement	3010		-	-	-	-	-
	Other Funds Cap Construction	3020		-	-	-	-	-
	Other Funds Non-Limited	3200		4,849	-	-	-	-
	Other Funds Non-Limited Debt Service	3230		4,062,255	-	-	-	-
	Other Funds Limited	3400		43,227,413	23,517,067	-	-	-
	Other Funds Debt Service Limited	3430		416,786,292	-	-	(5,000,000)	-
	Federal Funds Debt Service Non-Limited	6230		-	-	-	-	-
	Federal Funds Limited	6400		-	-	-	-	-
				<b>471,963,620</b>	<b>36,457,405</b>	<b>12,901,269</b>	<b>7,819,319</b>	-

# Budget Narrative

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## **Tobacco Settlement**

### **Program Description**

#### **Program Unit 092 - Tobacco Settlement Funds**

The Department of Administrative Services (DAS) will likely receive Tobacco Settlement funds during the 2013-2015 biennium.

- \$1,269,870 will go to Department of Justice for staff to use in enforcing Oregon's non-participating manufacturer's laws
- \$31,208,300 will go to Department of Post-Secondary Education for debt service payments on Oregon Opportunity Bonds, plus an additional \$65,416 for Treasury and trustee charges.

In 2003, the Legislature approved \$450 million in Oregon Appropriation Bonds to help the state cope with the 2001-2003 budget shortfall. Debt service on the appropriation bonds over the next 10 years will be paid with Tobacco Settlement funds.

- During the 2013-2015 biennium \$28,890,905 will transfer to the Oregon Appropriation Bond Fund (094-03) to make debt service payments.
- An additional \$15,000 will also be transferred for trustee charges and for State Government Service Charges – Treasury Fees.

#### **Essential Packages**

There are no essential packages for Tobacco Settlement Fund

# Budget Narrative

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## Miscellaneous Distributions

### Program Description

#### Mission Statement and Statutory Authority:

In accordance with legislative directives, the Department of Administrative Services receives and distributes certain federal, lottery and state moneys to state agencies, local governments.

Distributions include:

- ORS 291.407 -- State Agency Mass Transit Assessments and Distributions to Transit Districts
- ORS 291.276 -- State Central Government Service Charge
- ORS 221.770 -- City Revenue Sharing of Oregon Liquor Control Commission (OLCC) Earnings
- ORS 323.455 -- Department of Revenue Cigarette Tax Distribution to Cities
- ORS 320.100 -- Department of Revenue Amusement Device Tax Distribution to Counties
- ORS 293.560 -- 25% U.S. Forest Service National Forest Products Sale Proceeds Distributed to Counties
- ORS 293.565 -- Federal Mineral Leases Proceeds Distributed to Counties
- ORS 272.085 -- 5% Land Sales Proceeds Distributed to Counties
- ORS 293.575 -- U.S. Taylor Grazing Fees Distributed to Counties
- ORS 293.570 -- Federal Flood Control Proceeds Distributed to Counties
- ORS 461.540 -- Oregon Lottery Proceeds Distributed to State Agencies, the Education Stability Fund, County Fair Account and Other Entities
- ORS 348.696 -- Education Stability Fund Earnings Distributed to the State School Fund and the State Scholarship Commission
- ORS 440.420 Medicaid Upper Limit Payment Limit Funds

- ORS 293.285 Tobacco Settlement Account Funds
- Lottery Bond Proceeds
- Oregon Opportunity Fund
- Lottery Bond Debt Service
- Pension Fund Bonds and Debt Service
- Oregon Appropriation Bonds Debt Service

# Budget Narrative

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## Program Unit Narrative:

The Department of Administrative Services (DAS) receives federal, lottery and state moneys from several sources. The department receives the money and records it as revenue, then spends it or transfers it to state agencies, cities, counties, transit districts and other entities. These entities spend the amounts as the law directs.

This section of the narrative describes nine distinct program units. They are:

- 1.) **Program Unit 090 (Miscellaneous Distributions):** This program unit includes payment of Mass Transit Taxes. It also includes transfers of revenue from the State Central Government Service Charge, from the Oregon Liquor Control Commission, from the Department of Revenue, and from the Federal Government.
- 2.) **Program Unit 092 (Tobacco Settlement Funds):** This program unit includes proposed distributions of Tobacco Settlement funds.
- 3.) **Program Unit 094 (Bonds):** This program unit includes Lottery Revenue Bonds, Article XI-O Bonds and Oregon Appropriation Bonds.
- 4.) **Program Unit 095 (Statewide Lottery Distributions):** With this program unit, revenue transfers in from the Oregon State Lottery Fund to the Administrative Services Economic Development Fund (ORS 461.540). Revenue transfers out as directed by Constitution and statutes.
- 5.) **Program Unit 096 (Education Stability Fund):** With this program unit, revenue transfers in from Program Unit 095 (15 percent of net lottery proceeds). Revenue transfers out for public education purposes (section 4 (4)(d), Article XV, Oregon Constitution; ORS 348.696).

6.) **Program Unit 097 (Oregon Education Fund):** With this program unit, revenue transfers in from Program Unit 096 (75 percent of Program Unit 096 interest earnings) to be used for public education purposes.

7.) **Program Unit 098 (Parks & Natural Resources Fund):** With this program unit, revenue transfers in from Program Unit 095 (15 percent of net lottery proceeds). Revenue transfers out for parks and natural resources purposes (section 4 (5), Article XV, Oregon Constitution).

8.) **Program Unit 099 (Special Governmental Payments):** This program unit handles payments and transfers of specific amounts from the General Fund and from other sources as directed by law.

DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE

Tobacco Settlement		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
Other Revenues	OF - L	3400	0975	-	9,731,232	9,731,232	9,731,232	-
	OF - DS	3430		159,754,502	176,206,638	145,627,524	145,627,524	-
Transfer Out - Intrafund	LF - L	4400	2010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		-	(78,704)	(15,000)	(15,000)	-
	OF - DS	3430		(139,032,856)	(144,638,350)	(28,890,905)	(28,890,905)	-
Tsfr to Dept of Justice	OF - L	3400	2137	(980,381)	(1,165,593)	(1,269,870)	(1,269,870)	-
Tsfr to Oregon Health Authority	LF - L	4400	2443	-	-	-	-	-
	OF - L	3400		(6,500,000)	-	-	-	-
Tsfr to Dept Post-Secondary Education	LF - DS	4400	2523	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - L	3400		-	-	-	(65,416)	-
	OF-DS	3430		-	-	-	(31,208,300)	-
Tsfr to OR Health & Science Univ	OF - L	3400	2590	-	(66,472)	(65,416)	-	-
	OF - DS	3430		(28,509,000)	(31,568,288)	(31,208,300)	-	-
Lottery Funds Limited		4400		-	-	-	-	-
Lottery Funds Debt Service Limited		4430		-	-	-	-	-
Other Funds Cap Improvement		3010		-	-	-	-	-
Other Funds Cap Construction		3020		-	-	-	-	-
Other Funds Non-Limited		3200		-	-	-	-	-
Other Funds Non-Limited Debt Service		3230		-	-	-	-	-
Other Funds Limited		3400		(7,480,381)	8,420,463	8,380,946	8,380,946	-
Other Funds Debt Service Limited		3430		(7,787,354)	-	85,528,319	85,528,319	-
Federal Funds Debt Service Non-Limited		6230		-	-	-	-	-
Federal Funds Limited		6400		-	-	-	-	-
				<b>(15,267,735)</b>	<b>8,420,463</b>	<b>93,909,265</b>	<b>93,909,265</b>	<b>-</b>

## Budget Narrative

### DAS Debt Service

#### Program Description

#### Program Unit 093 – DAS Debt Service

This Program Unit includes debt service payments that are specific to DAS Operational.

**Enterprise Asset Management (093-01)** The Facilities Division pays debt service on Certificates of Participation (COP's) that pay for the construction and improvements of many state buildings. The Facilities Division occasionally refinances COP's to take advantage of lower interest rates. Revenue for the debt payments comes from rents, service agreements, service charges, parking fees, and earnings on funds. The Facilities Division will incur additional COP debt if and when future projects receive approval for financing. The total amount of debt the Facilities Division plans to pay during 2013-15 appears in table below.

In addition to COP's the State utilizes Energy Loan Program funds (also known as SELP). The purpose of SELP funding is to promote energy conservation and renewable energy resource development. The program offers low-interest loans for projects.

<u>Projects Financed</u>	<u>2013-2015 Debt Payments</u>	<u>Maturity Date</u>
COP - Justice Building	397,800	11/1/2013
COP - Underground Parking Structure	3,282,270	11/1/2014
Art XI-Q Bonds - Portland State Office Building	3,272,366	11/1/2014
Art XI-Q Bonds - Archives Building Refunding	694,190	5/1/2015
COP - Public Utility Commission Building	1,740,180	11/1/2015
COP - Human Resources Building	6,903,021	11/1/2015
COP - Employment Building HVAC	355,039	5/1/2016
COP - Burns Data Warehouse	52,824	11/1/2013
SELP - Energy Loan	96,000	12/15/2016
COP - Albina Building	679,044	11/1/2017
COP - Public Service Building	698,973	11/1/2018
Art XI-Q Bonds - Central Point State Police Facility	498,064	5/1/2022
COP - Agriculture Building	910,426	11/1/2023
COP - Emergency Coordination Center	1,005,640	5/1/2026
COP - North Mall Office Building	2,233,742	5/1/2026
COP - Portland Crime Lab, Clackamas County	1,957,101	5/1/2014
Art XI-Q Bonds - Portland Crime Lab, Clackamas County	202,312	11/1/2028
COP - Data Consolidation Center Phase I,II,III	3,051,239	11/1/2030
Art XI-Q Bonds - Data Consolidation Center Phase I,II,III	527,133	11/1/2030
COP - DEQ Health Lab Phase I, II, III	4,024,203	5/1/2032
Art XI-Q Bonds - DEQ Health Lab Phase I, II, III	417,472	5/1/2032
COP - Eugene State Office Building	809,315	5/1/2023
<b>TOTAL</b>	<b>33,808,354</b>	



## Budget Narrative

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**Enterprise Technology Service (093-02)** During the 2009-2011 biennium debt service was issued for CNIC Phase II, CIMS, CAM, SAN, CNIC Transition Phase II and Hardware & Software purchase. This debt service will be paid in full during the 2013-2015 biennium

The total amount of this package is \$1,310,662 Other Funds Debt Service.

**Chief Human Resource Office (093-03)** This package was removed at GBB.

Policy option package 104, Human Resource Information System (HRIS System) Selection and Implementation is to provide the State of Oregon with a single, comprehensive Human Resource Information System to replace the 22 year old, state developed system that is beyond its useful life. The current HRIS no longer adequately supports the state functions it was originally designed to process and has been modified since implementation to accommodate changes to HR policy, statute, and other business process changes. The system is not capable of being upgraded to meet the modern HR system needs with complex human resource requirements faced by roughly 90 executive branch state agencies and 130 boards and commissions.

The total amount of this package is \$0.

**Chief Financial Office (093-04)** Policy option package 102, Facilities Assessment and Planning Software & Analytics, continues Phase III of the project that included hiring for consultant services to include Project Management, Business Analysis, Quality Assurance and Condition Assessment Management. This project was formerly budgeted in Capital Construction in 2011-2013. Debt service will be used to further implement the project.

The total amount of this package is \$401,389 Other Funds Debt Service.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 102 - Facilities Assessment & Planning Software

Cross Reference Name: DAS Debt Service  
Cross Reference Number: 10700-093-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Admin and Service Charges	-	-	401,386	-	-	-	401,386
<b>Total Revenues</b>	-	-	<b>\$401,386</b>	-	-	-	<b>\$401,386</b>
<b>Debt Service</b>							
Principal - COP	-	-	130,000	-	-	-	130,000
Interest - COP	-	-	271,386	-	-	-	271,386
<b>Total Debt Service</b>	-	-	<b>\$401,386</b>	-	-	-	<b>\$401,386</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	401,386	-	-	-	401,386
<b>Total Expenditures</b>	-	-	<b>\$401,386</b>	-	-	-	<b>\$401,386</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE

DAS Debt Service		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
Admin and Service Charges	OF - N L	3200	0415	-	-	-	-	-
	OF - L	3400		-	-	-	-	-
	OF - DS	3430		-	-	-	401,386	-
Transfer In - Intrafund	LF - L	4400	1010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CI	3010		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		-	-	-	-	-
	OF - DS	3430		-	-	36,426,996	36,426,996	-
	Lottery Funds Limited	4400		-	-	-	-	-
	Lottery Funds Debt Service Limited	4430		-	-	-	-	-
	Other Funds Cap Improvement	3010		-	-	-	-	-
	Other Funds Cap Construction	3020		-	-	-	-	-
	Other Funds Non-Limited	3200		-	-	-	-	-
	Other Funds Non-Limited Debt Service	3230		-	-	-	-	-
	Other Funds Limited	3400		-	-	-	-	-
	Other Funds Debt Service Limited	3430		-	-	36,426,996	36,828,382	-
	Federal Funds Debt Service Non-Limited	6230		-	-	-	-	-
	Federal Funds Limited	6400		-	-	-	-	-
				-	-	<b>36,426,996</b>	<b>36,828,382</b>	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 DAS Debt Service

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-093-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>DEBT SERVICE (Excluding Packages)</b>						
<b>DEBT SERVICE</b>						
Other Funds	-	-	-	35,119,016	35,119,016	-
<b>TOTAL DEBT SERVICE (Excluding Packages)</b>						
Other Funds	-	-	-	35,119,016	35,119,016	-
<b>DEBT SERVICE (Current Service Level)</b>						
Other Funds	-	-	-	35,119,016	35,119,016	-
<b>DEBT SERVICE (Policy Packages)</b>						
<b>PRIORITY 0</b>						
<b>102 FACILITIES ASSESSMENT &amp; PLANNING SOFTWA</b>						
<b>DEBT SERVICE</b>						
Other Funds	-	-	-	401,386	401,386	-
<b>104 CHRO - REPLACE PPDB</b>						
<b>DEBT SERVICE</b>						
Other Funds	-	-	-	5,527,114	-	-
<b>TOTAL DEBT SERVICE (Policy Packages)</b>						
Other Funds	-	-	-	5,928,500	401,386	-
<b>TOTAL DEBT SERVICE (Including Packages)</b>						
Other Funds	-	-	-	41,047,516	35,520,402	-
<b>TOTAL BUDGET</b>						
Other Funds	-	-	-	41,047,516	35,520,402	-

# Budget Narrative

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## Bonds

### Program Description

#### Program Unit 094 - Bonds

This Program Unit includes payments of specific amounts as directed by law.

**Lottery Bonds (094-01)** Total amount of State Government Service Charges of \$19,501 to pay for State Treasury Fees associated with this account.

**Article XI – O Bonds (094-02)** During the 2003 Legislative session, HB 3659 authorized the sale of bonds, and subsequent debt service payments, to help cope with the short fall created in the Public Employees Retirement System (PERS) fund during the 2001-2003 biennium. In October 2003, \$20 billion in general obligation bonds were sold. These funds allowed PERS to reduce the rate that is charged against payroll by 6.6%. The Department of Administrative Services (DAS) collects funds from participating agencies to pay for debt service charges and Treasury Department service fees related to this debt. Funds are collected through the Oregon State Payroll System (OSPS) and through self-assessment for participating agencies that do not use OSPS. The amount of \$330,237,002 for debt service is transferred to this Program Unit from Program Unit 095 and an additional \$687,711 for State Government Service Charges – Treasury Fees.

**Oregon Appropriation Bonds (094-03)** During Special Sessions of the 2001 Legislature, authority was given to the Department of Administrative Services (DAS) to sell Oregon Appropriation Bonds to compensate for the revenue shortfalls and balance the 2001-03 budget. HB 5551, passed during the 2003 Legislative session, authorizes DAS to make debt service payments on the bonds from Tobacco Settlement Proceeds. The amount of \$28,890,905 for debt

service is transferred to this Program Unit from Program Unit 092. An additional \$51,694. for State Government Service Charges – Treasury Fees is transferred.

**Build America Bonds (094-04)** See Package 022, the \$1 placeholder was phased out.

# Budget Narrative

## **Essential Packages**

**Purpose:** The essential packages present budget adjustments needed to bring the base budget to Current Service Level (CSL), the calculated cost of continuing legislatively approved programs into the 2011-13 biennium.

**How Achieved:** See explanations under captions "Vacancy Factor and Non-PICS Personal Services", "Cost of Phased-in and Phased-out Programs and One-time Costs," and "Inflation and Price List Adjustments".

**Staffing Impact:** None.

**Revenue Sources:** The revenue source(s) are the same as for the program unit as a whole, unless described differently within an individual package.

## **Essential Packages**

### **022 Phase-out Pgm & One-time Costs**

#### **Package Description**

During Special Sessions of the 2010 Legislature, House Bill 5101 approved \$1 in Federal Funds Nonlimited expenditure authority for debt service in anticipation of the sale of Build America Bonds (BABs), authorized by the American Recovery and Reinvestment Act of 2009 (ARRA). BABs are issued as taxable bonds for government-purpose construction projects that are eligible to be funded with tax-exempt bonds. The BAB program provides a 35 percent federal subsidy of interest costs to help cover the usual premium that taxable bonds require over tax-exempt bonds and allows government issuers access to the larger market for taxable securities. State agencies do not have Federal Funds debt service limitations to enable them to use the subsidy for payment of debt service in 2011-13. Although the decision

regarding the use of BABs, and the extent to which they will be used is made by the Treasurer's Office at the time of sale, sufficient limitation is needed to position agencies in the event that it is determined that BABs are the most cost efficient way to issue the debt. Since it is unknown how many, if any, of these limitations will be needed, Nonlimited expenditure authority makes more sense than giving these agencies limitation which might not be used and artificially inflates the overall budget. If an increase in limitation is needed, the Department of Administrative Services can grant increases for the correct amount. All increases to Nonlimited expenditures are sent to the Legislative Fiscal Office for review before they are changed.

This package phases out a total of (\$1) of Federal Funds

### **031 Inflation & Price List Adjustments**

#### **Package Description**

This package is based on the standard 2.4% inflation factor for Services & Supplies and Capital Outlay items, except for State Government Service Charges, Facilities Rent, and Attorney General Charges, which are based on the 2013-2015 Price List of Goods and Services.

The total amount of this package is (\$149,612) Other Funds Limited.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Bonds  
Cross Reference Number: 10700-094-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
State Gov. Service Charges	-	-	(149,612)	-	-	-	(149,612)
<b>Total Services &amp; Supplies</b>	-	-	<b>(\$149,612)</b>	-	-	-	<b>(\$149,612)</b>
<b>Total Expenditures</b>							
Total Expenditures	-	-	(149,612)	-	-	-	(149,612)
<b>Total Expenditures</b>	-	-	<b>(\$149,612)</b>	-	-	-	<b>(\$149,612)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	149,612	-	-	-	149,612
<b>Total Ending Balance</b>	-	-	<b>\$149,612</b>	-	-	-	<b>\$149,612</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 022 - Phase-out Pgm & One-time Costs

Cross Reference Name: Bonds  
Cross Reference Number: 10700-094-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Federal Funds	-	-	-	-	-	(1)	(1)
<b>Total Revenues</b>	-	-	-	-	-	(\$1)	(\$1)
<b>Debt Service</b>							
Principal - Bonds	-	-	-	-	-	(1)	(1)
<b>Total Debt Service</b>	-	-	-	-	-	(\$1)	(\$1)
<b>Total Expenditures</b>							
Total Expenditures	-	-	-	-	-	(1)	(1)
<b>Total Expenditures</b>	-	-	-	-	-	(\$1)	(\$1)
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-



**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Bonds	Source	Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
Admin and Service Charges	OF - N L	3200	0415	-	-	-	-	-
	OF - L	3400		-	802,129	687,711	687,711	-
	OF - DS	3430		-	303,859,959	303,859,959	303,859,959	-
Interest Income	LF - L	4400	0605	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		2,178	959,898	959,898	959,898	-
	OF - DS	3430		-	-	-	-	-
Federal Funds	FF - DS	6230	0995	-	1	-	-	-
	FF - L	6400		-	-	-	-	-
Transfer In - Intrafund	LF - L	4400	1010	-	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CI	3010		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		-	78,704	15,000	15,000	-
	OF - DS	3430		-	144,638,350	28,890,905	28,890,905	-
	Lottery Funds Limited	4400		-	-	-	-	-
	Lottery Funds Debt Service Limited	4430		-	-	-	-	-
	Other Funds Cap Improvement	3010		-	-	-	-	-
	Other Funds Cap Construction	3020		-	-	-	-	-
	Other Funds Non-Limited	3200		-	-	-	-	-
	Other Funds Non-Limited Debt Service	3230		-	-	-	-	-
	Other Funds Limited	3400		2,178	1,840,731	1,662,609	1,662,609	-
	Other Funds Debt Service Limited	3430		-	448,498,309	332,750,864	332,750,864	-
	Federal Funds Debt Service Non-Limited	6230		-	1	-	-	-
	Federal Funds Limited	6400		-	-	-	-	-
				<b>2,178</b>	<b>450,339,041</b>	<b>334,413,473</b>	<b>334,413,473</b>	<b>-</b>

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Bonds

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-094-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>LIMITED BUDGET (Excluding Packages)</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	908,518	908,518	908,518	908,518	-
<b>TOTAL LIMITED BUDGET (Excluding Packages)</b>						
Other Funds	-	908,518	908,518	908,518	908,518	-
<b>LIMITED BUDGET (Essential Packages)</b>						
<b>031 STANDARD INFLATION</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	-	-	-	(149,612)	(149,612)	-
<b>TOTAL LIMITED BUDGET (Essential Packages)</b>						
Other Funds	-	-	-	(149,612)	(149,612)	-
<b>LIMITED BUDGET (Current Service Level)</b>						
Other Funds	-	908,518	908,518	758,906	758,906	-
<b>TOTAL LIMITED BUDGET (Including Packages)</b>						
Other Funds	-	908,518	908,518	758,906	758,906	-
<b>OPERATING BUDGET</b>						
Other Funds	-	908,518	908,518	758,906	758,906	-
<b>DEBT SERVICE (Excluding Packages)</b>						
<b>DEBT SERVICE</b>						
Other Funds	-	448,498,309	448,498,309	359,127,907	359,127,907	-
<b>TOTAL DEBT SERVICE (Excluding Packages)</b>						

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Bonds

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-094-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	-	448,498,309	448,498,309	359,127,907	359,127,907	-
<b>DEBT SERVICE (Current Service Level)</b>						
Other Funds	-	448,498,309	448,498,309	359,127,907	359,127,907	-
<b>TOTAL DEBT SERVICE (Including Packages)</b>						
Other Funds	-	448,498,309	448,498,309	359,127,907	359,127,907	-
<b>DEBT SERVICE NONLIMITED (Excluding Packages)</b>						
<b>DEBT SERVICE</b>						
Federal Funds	-	1	1	1	1	-
<b>TOTAL DEBT SERVICE NONLIMITED (Excluding Packages)</b>						
Federal Funds	-	1	1	1	1	-
<b>DEBT SERVICE NONLIMITED (Essential Packages)</b>						
<b>022 PHASE-OUT PGM &amp; ONE-TIME COSTS</b>						
<b>DEBT SERVICE</b>						
Federal Funds	-	-	-	(1)	(1)	-
<b>TOTAL DEBT SERVICE NONLIMITED (Essential Packages)</b>						
Federal Funds	-	-	-	(1)	(1)	-
<b>DEBT SERVICE NONLIMITED (Current Service Level)</b>						
Federal Funds	-	1	1	-	-	-
<b>TOTAL DEBT SERVICE NONLIMITED (Including Packages)</b>						
Federal Funds	-	1	1	-	-	-
<b>TOTAL BUDGET</b>						

**Program Unit Appropriated Fund Group and Category Summary  
2013-15 Biennium  
Bonds**

**Version: Y - 01 - Governor's Budget  
Cross Reference Number: 10700-094-00-00-00000**

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	-	449,406,827	449,406,827	359,886,813	359,886,813	-
Federal Funds	-	1	1	-	-	-
All Funds	-	449,406,828	449,406,828	359,886,813	359,886,813	-

# Budget Narrative

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## Distributions - Lottery Proceeds

### Program Description

#### Program Unit 095 - Lottery Proceeds

- Administrative Services Economic Development Fund Distributions: Quarterly, proceeds from the Oregon Lottery are paid into the Department of Administrative Services Economic Development Fund. The Department of Administrative Services distributes these moneys, as directed by the Legislature, to the Education Stability Fund, to governments, nonprofit organizations, and others for education, economic development and natural resources. The 2013-2015 revenue forecast is \$1,048,795,584 .

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Lottery Distribution		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
Interest Income	LF - L	4400	0605	763,912	1,100,000	2,000,000	2,000,000	-
	LF - DS	4430		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		-	-	-	-	-
	OF - DS	3430		-	-	-	-	-
Transfer In - Intrafund	LF - L	4400	1010	-	1,361,248	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - CI	3010		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		-	-	-	-	-
	OF - DS	3430		-	-	-	-	-
Tsfr From Lottery Commission	LF - L	4400	1177	869,218,662	887,851,926	870,673,807	789,363,615	-
	LF - DS	4430		216,056,143	240,443,627	272,377,196	259,431,969	-
Transfer Out - Intrafund	LF - L	4400	2010	(362,571,194)	(375,937,668)	(381,137,975)	(350,033,687)	-
	LF - DS	4430		(5,292,705)	(9,007,471)	(8,970,125)	(8,888,175)	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		-	-	-	-	-
	OF - DS	3430		-	-	-	-	-
Tsfr to Counties	LF - L	4400	2080	(29,533,651)	(37,118,584)	(37,990,381)	(37,415,971)	-
	OF - L	3400		-	-	-	-	-
	FF - L	6400		-	-	-	-	-
Tsfr to Governor's Office	LF - L	4400	2121	(1,973,963)	(1,856,674)	(2,358,947)	(2,358,947)	-
	OF - L	3400		-	-	-	-	-
Tsfr to OR Business Development	LF - L	4400	2123	(30,982,222)	(53,564,851)	(37,137,277)	(72,668,541)	-
	LF - DS	4430		(68,318,637)	(79,199,162)	(56,560,265)	(56,294,272)	-
	OF - L	3400		-	-	-	-	-
	OF - DS	3430		-	-	-	-	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Lottery Distribution		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
Source	Agency Request					Governor's Recommended	Legislatively Adopted	
Tsfr to Veteran's Affairs	LF - DS	4430	2274	-	-	(2,093,963)	-	-
Tsfr to Dept of Energy	LF - DS	4430	2330	(516,587)	(2,079,252)	(2,166,050)	(3,434,606)	-
Tsfr to Oregon Health Authority	LF - L	4400	2443	(8,740,018)	(10,972,521)	(11,430,510)	(10,487,956)	-
	OF - L	3400		-	-	-	-	-
Tsfr to Dept Post-Secondary Education	LF - DS	4400	2523	-	-	-	-	-
	LF - DS	4430		-	-	-	(38,240,873)	-
	OF - L	3400		-	-	-	-	-
	OF-DS	3430		-	-	-	-	-
Tsfr to OR University System	LF - L	4400	2580	(9,665,082)	(8,825,680)	(11,430,510)	-	-
	LF - DS	4430		(10,241,152)	(13,438,106)	(38,788,687)	-	-
Tsfr to Dept of Education	LF - L	4400	2581	(428,422,165)	(374,741,287)	(391,169,853)	(314,547,564)	-
	LF - DS	4430		(34,354,110)	(47,811,752)	(42,049,340)	(41,689,533)	-
Tsfr to Comm Coll/Wkfrc Dev	LF - DS	4430	2586	(8,258,845)	(6,841,327)	(10,579,594)	-	-
Tsfr to OR Health & Science Univ	OF - L	3400	2590	-	-	-	-	-
	OF - DS	3430		-	-	-	-	-
Tsfr to Dept of Education	LF - L	4400	2603	(20,445)	(18,354)	(18,354)	(18,354)	-
Tsfr to Dept of Forestry	LF - DS	4430	2629	(1,370,183)	(2,450,334)	(2,523,132)	(3,316,986)	-
Tsfr to Parks and Rec	LF - L	4400	2634	-	-	-	(3,832,595)	-
	LF- DS	4430		-	-	-	(536,453)	-
Tsfr to Fish & Wildlife	LF- DS	4430	2635	-	-	-	(242,676)	-
Tsfr to Water Resources	LF - DS	4430	2690	(347,610)	(706,090)	(574,025)	(1,622,331)	-
Tsfr to Watershed Enhancement Board	LF - L	4400	2691	-	-	-	-	-
Tsfr to Dept of Transportation	LF - DS	4430	2730	(79,058,568)	(69,455,197)	(97,261,416)	(95,169,421)	-

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**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Lottery Distribution	Source	Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
	OF - L	3400		-	-	-	-	-
Tsfr to Housing & Comm Svcs	LF - DS	4430	2914	(8,297,746)	(10,358,055)	(10,810,599)	(9,996,643)	-
	General Fund	8000		-	-	-	-	-
	General Fund Debt Service	8030		-	-	-	-	-
	General Fund Revenue	8800		-	-	-	-	-
	Lottery Funds Limited	4400		(1,926,166)	27,277,555	-	-	-
	Lottery Funds Debt Service Limited	4430		-	(903,119)	-	-	-
	Other Funds Cap Improvement	3010		-	-	-	-	-
	Other Funds Cap Construction	3020		-	-	-	-	-
	Other Funds Non-Limited	3200		-	-	-	-	-
	Other Funds Non-Limited Debt Service	3230		-	-	-	-	-
	Other Funds Limited	3400		-	-	-	-	-
	Other Funds Debt Service Limited	3430		-	-	-	-	-
	Federal Funds Debt Service Non-Limited	6230		-	-	-	-	-
	Federal Funds Limited	6400		-	-	-	-	-
				<b>(1,926,166)</b>	<b>26,374,436</b>	-	-	-



# Budget Narrative

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## Education Stability Fund

### Program Description

#### Program Unit 096 - Education Stability Fund

Education Stability Funds Distribution: Each quarter, the Department of Administrative Services (DAS) transfers money to the Education Stability Fund (096) from the DAS Economic Development Fund (095). The 2013-2015 forecast is \$207,103,516.

**Education Stability Fund (096-01):** The Education Stability Fund (ESF) receives 18 percent of the net Lottery proceeds. The state may spend only the interest earnings from the fund. The law gives 75 percent of the interest earned to Department of Education (through Program Unit 097). The Department of Post-Secondary Education receives the remaining 25 percent. The 2013-2015 forecast is \$188,783,205.

**Oregon Growth Account (096-02):** As a sub-account of the ESF, the Oregon Growth Account (OGA) receives 10 percent of the Lottery proceeds transferred to the ESF (ORS.348.702). All declared earnings from this account are dedicated to the Higher Education Technology Transfer Fund. The 2013-2015 forecast is 0.

**Oregon Resource and Technology Development Sub-account (096-03):** The Oregon Resource and Technology Development Subaccount (ORTDS) is a sub-account of the OGA. The Other Funds balance in this account resulted from a transfer from the original Oregon Resource and Technology Fund and \$5,000,000 in Tobacco Settlement moneys transferred from the Health Care Trust Fund during the 2001-2003 biennium. As with the OGA, all declared earnings from this account are dedicated to the Higher Education Technology Fund. The 2013 – 2015 forecast is \$0.

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Education Stability Fund		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
Source								
Interest Income	LF - L	4400	0605	328,793	1,606,173	1,354,335	320,178	-
	LF - DS	4430		-	-	-	960,535	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		-	-	-	-	-
	OF - DS	3430		-	-	-	-	-
Transfer In - Intrafund	LF - L	4400	1010	195,499,729	201,888,570	204,733,430	188,783,205	-
	LF - DS	4430		-	1,204,630	1,015,751	-	-
	OF - CI	3010		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		-	-	-	-	-
	OF - DS	3430		-	-	-	-	-
Transfer Out - Intrafund	LF - L	4400	2010	(17,995,970)	-	-	-	-
	LF - DS	4430		-	(1,204,630)	(1,015,751)	(960,535)	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		-	-	-	-	-
	OF - DS	3430		-	-	-	-	-
Tsfr to Dept Post-Secondary Education	LF - DS	4400	2523	-	-	-	(320,178)	-
	LF - DS	4430		-	-	-	-	-
	OF - L	3400		-	-	-	-	-
	OF - DS	3430		-	-	-	-	-
Tsfr to State Library	OF - L	3400	2543	-	-	-	-	-
Tsfr to Student Access Comm	LF - L	4400	2575	(5,998,657)	(401,543)	(338,584)	-	-
Tsfr to Dept of Education	LF - L	4400	2581	(180,699,184)	(182,239,000)	-	-	-
	LF - DS	4430		-	-	-	-	-

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

<b>Education Stability Fund</b>		<b>ORBITS Revenue Account</b>	<b>2009-2011 Actual</b>	<b>2011-2013 Legislatively Approved</b>	<b>2013-2015</b>		
<b>Source</b>	<b>Fund</b>				<b>Agency Request</b>	<b>Governor's Recommended</b>	<b>Legislatively Adopted</b>
Lottery Funds Limited	4400		(8,865,289)	20,854,200	205,749,181	188,783,205	-
Lottery Funds Debt Service Limited	4430		-	-	-	960,535	-
Other Funds Cap Improvement	3010		-	-	-	-	-
Other Funds Cap Construction	3020		-	-	-	-	-
Other Funds Non-Limited	3200		-	-	-	-	-
Other Funds Non-Limited Debt Service	3230		-	-	-	-	-
Other Funds Limited	3400		-	-	-	-	-
Other Funds Debt Service Limited	3430		-	-	-	-	-
Federal Funds Debt Service Non-Limited	6230		-	-	-	-	-
Federal Funds Limited	6400		-	-	-	-	-
			<b>(8,865,289)</b>	<b>20,854,200</b>	<b>205,749,181</b>	<b>189,743,740</b>	<b>-</b>

# Budget Narrative

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## Oregon Education Fund

### Program Description

#### Program Unit 097 - Education Fund

Oregon Education Fund Distribution: Funds transferred from the Education Stability Fund (096) are transferred through this Program Unit (097) to the Department of Education.

The 2013-2015 forecast is \$460,890.

DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE

Oregon Education Fund		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
Source								
Interest Income	LF - L	4400	0605	87,666	-	-	-	-
	LF - DS	4430		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		-	-	-	-	-
	OF - DS	3430		-	-	-	-	-
Transfer In - Intrafund	LF - L	4400	1010	-	-	-	-	-
	LF - DS	4430		17,995,970	1,204,630	1,015,751	960,535	-
	OF - CI	3010		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		-	-	-	-	-
	OF - DS	3430		-	-	-	-	-
Tsfr to Dept of Education	LF - L	4400	2581	-	-	-	-	-
	LF - DS	4430		(19,991,164)	(4,663,086)	(408,245)	(460,890)	-
	Lottery Funds Limited	4400		87,666	-	-	-	-
	Lottery Funds Debt Service Limited	4430		(1,995,194)	(3,458,456)	607,506	499,645	-
	Other Funds Cap Improvement	3010		-	-	-	-	-
	Other Funds Cap Construction	3020		-	-	-	-	-
	Other Funds Non-Limited	3200		-	-	-	-	-
	Other Funds Non-Limited Debt Service	3230		-	-	-	-	-
	Other Funds Limited	3400		-	-	-	-	-
	Other Funds Debt Service Limited	3430		-	-	-	-	-
	Federal Funds Debt Service Non-Limited	6230		-	-	-	-	-
	Federal Funds Limited	6400		-	-	-	-	-
				<b>(1,907,528)</b>	<b>(3,458,456)</b>	<b>607,506</b>	<b>499,645</b>	<b>-</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Parks and Natural Resources Fund		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
Transfer In - Intrafund	LF - L	4400	1010	162,913,940	169,244,333	171,457,650	157,319,338	-
	LF - DS	4430		-	-	-	-	-
	OF - CI	3010		-	-	-	-	-
	OF - CC	3020		-	-	-	-	-
	OF - NL	3200		-	-	-	-	-
	OF - NL DS	3230		-	-	-	-	-
	OF - L	3400		-	-	-	-	-
	OF - DS	3430		-	-	-	-	-
Tsfr to Parks and Rec	LF - L	4400	2634	(81,456,970)	(84,622,166)	(85,728,825)	(78,659,669)	-
	LF- DS	4430		-	-	-	-	-
Tsfr to Watershed Enhancement Board	LF - L	4400	2691	(81,456,970)	(84,622,167)	(85,728,825)	(78,659,669)	-
	Lottery Funds Limited	4400		-	-	-	-	-
	Lottery Funds Debt Service Limited	4430		-	-	-	-	-
	Other Funds Cap Improvement	3010		-	-	-	-	-
	Other Funds Cap Construction	3020		-	-	-	-	-
	Other Funds Non-Limited	3200		-	-	-	-	-
	Other Funds Non-Limited Debt Service	3230		-	-	-	-	-
	Other Funds Limited	3400		-	-	-	-	-
	Other Funds Debt Service Limited	3430		-	-	-	-	-
	Federal Funds Debt Service Non-Limited	6230		-	-	-	-	-
	Federal Funds Limited	6400		-	-	-	-	-
				-	-	-	-	-

# Budget Narrative

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## **Parks and Natural Resources Fund**

### **Program Description**

#### **Program Unit 098 - Parks & Natural Resources Fund**

Parks and Natural Resources Fund – Quarterly, moneys are transferred to the Parks and Natural Resources Fund (098) from the Department of Administrative Services Economic Development Fund (095). The Parks and Natural Resources Fund receives fifteen percent of net Lottery proceeds. These funds are divided equally between the Oregon Watershed Enhancement Board and Parks and Recreation Department.

The 2013 – 2015 forecast is \$157,319,338.

# Budget Narrative

## Special Governmental Payments

### Program Description

#### Program Unit 099 - Special Governmental Payments

This Program Unit includes payments of specific amounts from the General Fund and from other sources as directed by law.

**Other Distributions (099-01)** Transfer to Oregon Business Development. The 2013-2015 total distribution is \$5,000,000.

**Statewide Services (099-02)** Assessments that are invoiced & collected by DAS and transferred in full to Oregon Law Library, Oregon State Library, Office of Minority, Women and Emerging Small Business, Oregon Government Ethic Commission and Oregon State Police. The 2013-2015 budget continues this distribution in the amount of \$13,745,954 Other Funds Limited Transfers Out.

**KSYS/So. Oregon Public Television (099-03)** Lottery-backed bonds are authorized for Southern Oregon Public Television (KSYS) – distributed through 099-03. Amounts to pay debt service on these bonds and state government related charges are included here. The 2013-2015 budget continues this distribution in the amount of \$1,750,227 Lottery Funds Debt Service Limited. GBB added \$250,000 General Funds.

**County Fair Account (099-07)** Yearly lottery funded distributions are made from this account to support county fair programs across the state. The 2013-2015 budget continues this distribution in the amount of \$3,576,453 Lottery Funds Limited.

**Oregon Historical Society (099-11)** During the 2011-2013 session, Senate Bill 5508, Package 819, approved funds for payment of mortgage costs associated with the society's storage facility in Gresham. The 2013-2015 budget continues this distribution in the

amount of \$210,392 Lottery Funds Debt Service Limited and phased out (\$2,549,322) Other Funds Limited. GBB added \$500,000 General Funds.

#### **CHAMPS – Pendleton Round Up (099-14)**

In 2011-2013 the Governor's CHAMPS program established Pendleton Round Up. The 2013-2015 budget continues this distribution in the amount of \$87,314 Lottery Funds Debt Service Limited.

#### **Port of Morrow Education Center (099-18)**

In 2009-2011 legislation established Port of Morrow Education Center. During the 2011-2013 session, Senate Bill 5508, Package 819, approved funds The purpose of Willow/CreekSage Center Improvements, including construction of sidewalks or other walways. The 2013-2015 budget continues this distribution in the amount of \$174,628 Lottery Funds Debt Service Limited and phased out (\$3,251,756) Other Funds Limited.

#### **Port of Newport NOAA (099-19)**

In 2009-2011 legislation granted \$22,007,935 to the Port of Newport National Oceanographic & Atmospheric Administration. The 2013-2015 budget continues this distribution in the amount of \$3,115,750 Lottery Funds Debt Service Limited.

#### **OJD Court Facilities (099-20)**

In 2009-2013 legislation established the OJD Court Facilities. The 2013-2015 budget continues this distribution in the amount of \$984,167 Lottery Funds Debt Service Limited and phased out (\$3,940,550) Other Funds Limited.

#### **Tillamook FEMA Match (099-21)**

In 2009-2011 legislation established the Tillamook FEMA Match. The 2013-2015 budget continues this distribution in the amount of \$770,046 Lottery Funds Debt Service Limited.



# Budget Narrative

## **Lane Transit EmX (099-22)**

In 2009-2011 legislation established the Lane Transit EmX. During the 2011-2013 session, Senate Bill 5508, Package 805, approved funds The West Eugene EmX Extension; this project supports the acquisition, construction and procurement of the components of an extension of the bus rapid transit system in West Eugene. The 2013-2015 budget continues this distribution in the amount of \$515,472 Lottery Funds Debt Service Limited and phased out (\$4,283,854) Other Funds Limited.

## **Coos Bay Railway (099-23)**

In 2009-2011 legislation established the Coos Bay Railway. The 2013-2015 budget continues this distribution in the amount of \$310,712 Lottery Funds Debt Service Limited.

## **Citizens Review Board (099-24)**

House Bill 2634, Package 840, established a new agency in the executive branch. Expenses are supported by donations. To the extent needed DAS is to provided reimbursable funding for start-up costs. Operations Division (022-01) will transfer monies to Citizens Review Board. Operational costs for 2011-2013 is \$75,000 Other Funds. For 2013-2015 the amount of \$75,001 was phased out.

## **Mill Creek Debt Service (099-25)**

Senate Bill 5505, Package 810, moves the budget for Mill Creek debt service from Facilities Division (011-03) to Special Government Payments (099-25). This is where payments are typically made on behalf of other entities. The 2013-2015 budget continues this distribution in the amount of \$843,109 General Fund Debt Service Limited.

## **Go! Oregon (099-26)**

Senate Bill 5505, Package 810, moves the budget for Go! Oregon debt service from Facilities Division (011-03) to Special Government Payments (099-26). This is where payments are typically made on behalf of other entities. The 2013-2015 budget continues this

distribution in the amount of \$2,232,627 General Fund Debt Service Limited.

## **Confluence Project (099-27)**

Senate Bill 5508, Package 805, appropriates monies to the Confluence Project, a collaborative effort of Pacific Northwest tribes, civic group from Washington and Oregon, artists, architects, and landscape designers. Each of its seven sites along the Columbia River features an art installation interpreting the area's ecology and history. Special Payments for 2011-2013 is \$100,000 General Funds. In 2013-2015, this was phased out in the amount of \$100,000.

## **Boardman Health (099-28)**

Senate Bill 5508, Package 805, appropriates monies to the Boardman Health Clinic, which gives Columbia River Community Health Services the amount needed to complete the funding package for this project. The new 15,000 square foot medical facility replaces a 5,000 square foot building that can no longer expand with the existing footprint. Special Payments for 2011-2013 is \$400,000 General Funds. In 2013-2015, this was phased out in the amount of \$400,000.

## **Southwestern Oregon Community College (099-29)**

Senate Bill 5508, Package 805, appropriates monies to the College's Curry Campus project. The money will help finish equipping and furnishing the facility. Special Payments for 2011-2013 is \$400,000 General Funds. In 2013-2015, this was phased out in the amount of \$400,000.

## **Marine Reserves Building (099-30)**

Senate Bill 5508, Package 805, appropriates monies to Port Orford to purchase a building The planned marine reserve research and interpretive center. Special Payments for 2011-2013 is \$425,000 General Funds. In 2013-2015, this was phased out in the amount of \$425,000.

## Budget Narrative

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### **Eastern Oregon Trade Center (099-32)**

Senate Bill 5508, Package 805, approved funds The City of Hermiston The purpose of acquiring, developing, constructing and equipping the Trade Center. The 2013-2015 budget continues this distribution in the amount of \$538,595 Lottery Funds Debt Service Limited and phased out (\$6,478,890) Other Funds Limited.

### **Milton-Freewater Flood Control (099-33)**

Senate Bill 5508, Package 805, approved funds for Milton-Freewater Water Control District for public infrastructure improvements, including levee restoration/repair projects and bridge projects in Milton-Freewater and surrounding areas. The 2013-2015 budget continues this distribution in the amount of \$513,360 Lottery Funds Debt Service Limited.

# Budget Narrative

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## **Essential Packages**

**Purpose:** The essential packages present budget adjustments needed to bring the base budget to Essential Budget Level (EBL), the calculated cost of continuing legislatively approved programs into the 2011-2013 biennium.

**How Achieved:** See explanations under captions "Vacancy Factor and Non-PICS Personal Services", "Cost of Phased-in and Phased-out Programs and One-time Costs," and "Inflation and Price List Adjustments".

**Staffing Impact:** None.

**Revenue Sources:** The revenue source(s) are the same as The program unit as a whole, unless described differently within an individual package.

## **022 Phase-out Pgm & One-time Costs Package Description**

This package phases out one time expenditures for the following; Oregon Historical Society (\$2,549,322 Other Funds Limited); Port of Morrow (\$3,251,756 Other Funds Limited); OJD Court Facilities (\$3,940,550 Other Funds Limited); Lane Transit EmX (\$4,283,854 Other Funds Limited); Citizens Initiative Review Commission (\$75,001 Other Funds Limited); Confluence Project (\$100,000 General Funds); Boardman Health (\$400,000 General Fund); SW Oregon Community College (\$400,000 General Fund); Marine Reserves Building (\$425,000 General Fund); Eastern Oregon Trade Center (\$6,478,890 Other Funds Limited); Milton Freewater Flood Control (\$2,950,809 Other Funds Limited);

Total amount of this package is (\$1,325,000) General Fund and (\$23,530,182) Other Funds Limited.

# Budget Narrative

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## Policy Option Packages

**Policy Option Package 118:** Oregon Government Ethics Commission

**Companion Package:** 100 with Oregon Government Ethics Commission

**Purpose:** This package establishes a one-time assessment to fund building the agency's electronic reporting system.

**How Achieved:**

This system will allow lobbyists and public officials to file their statutorily required reports with the Commission electronically. The electronic reports would be available to the public through the agency's website, giving the public instant access to the information reported. The one-time assessment will be calculated using the same process as that of the agency's funding assessment. The total amount charged in Table 2-5 of the Statewide Price List was calculated for the state agency share of the Oregon Government Ethics Commission's budget. From this amount, 100 percent of the total amount is based on full-time equivalent positions. The on-line electronic reporting system should reduce time and resources used to report information to the Government Ethics Commission as required by law. The regular and special assessments will be subject to adjustments during the state budget process.

**Staffing Impact:**

None

**Revenue Source:**

\$400,000 - Other Funds Limited, Admin & Service Charges, Interagency Transfer to OGEC

**Policy Package No. 090:** Analyst Adjustments

**Companion Package:** None

**Purpose:** To reduce budget levels for the Special Government payments.

**How Achieved:**

This package reduces Other Special Payments to Oregon Public Broadcasting (\$250,000 General Fund) and Oregon Historical Program (\$500,000 General Fund).

**Staffing Impact:**

None

**Revenue Source:**

(\$750,000) General Fund

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 022 - Phase-out Pgm & One-time Costs

Cross Reference Name: Special Governmental Payments  
Cross Reference Number: 10700-099-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(1,325,000)	-	-	-	-	-	(1,325,000)
Lottery Bonds	-	-	(3,940,550)	-	-	-	(3,940,550)
Cert of Participation	-	-	(19,514,631)	-	-	-	(19,514,631)
Other Revenues	-	-	(1)	-	-	-	(1)
Transfer In - Intrafund	-	-	(75,000)	-	-	-	(75,000)
<b>Total Revenues</b>	<b>(\$1,325,000)</b>	<b>-</b>	<b>(\$23,530,182)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$24,855,182)</b>
<b>Services &amp; Supplies</b>							
Professional Services	-	-	(3,940,550)	-	-	-	(3,940,550)
Intra-agency Charges	-	-	(75,000)	-	-	-	(75,000)
Other COP Costs	-	-	(314,631)	-	-	-	(314,631)
<b>Total Services &amp; Supplies</b>	<b>-</b>	<b>-</b>	<b>(\$4,330,181)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$4,330,181)</b>
<b>Special Payments</b>							
Other Special Payments	(1,325,000)	-	(19,200,001)	-	-	-	(20,525,001)
<b>Total Special Payments</b>	<b>(\$1,325,000)</b>	<b>-</b>	<b>(\$19,200,001)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$20,525,001)</b>
<b>Total Expenditures</b>							
Total Expenditures	(1,325,000)	-	(23,530,182)	-	-	-	(24,855,182)
<b>Total Expenditures</b>	<b>(\$1,325,000)</b>	<b>-</b>	<b>(\$23,530,182)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$24,855,182)</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 022 - Phase-out Pgm & One-time Costs

Cross Reference Name: Special Governmental Payments  
Cross Reference Number: 10700-099-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 090 - Analyst Adjustments

Cross Reference Name: Special Governmental Payments  
Cross Reference Number: 10700-099-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	750,000	-	-	-	-	-	750,000
<b>Total Revenues</b>	<b>\$750,000</b>	-	-	-	-	-	<b>\$750,000</b>
<b>Special Payments</b>							
Other Special Payments	750,000	-	-	-	-	-	750,000
<b>Total Special Payments</b>	<b>\$750,000</b>	-	-	-	-	-	<b>\$750,000</b>
<b>Total Expenditures</b>							
Total Expenditures	750,000	-	-	-	-	-	750,000
<b>Total Expenditures</b>	<b>\$750,000</b>	-	-	-	-	-	<b>\$750,000</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Administrative Svcs, Dept of  
Pkg: 118 - Oregon Government Ethics Commission

Cross Reference Name: Special Governmental Payments  
Cross Reference Number: 10700-099-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
Admin and Service Charges	-	-	400,000	-	-	-	400,000
<b>Total Revenues</b>	-	-	<b>\$400,000</b>	-	-	-	<b>\$400,000</b>
<b>Transfers Out</b>							
Tsfr To Govt Ethics Comm	-	-	(400,000)	-	-	-	(400,000)
<b>Total Transfers Out</b>	-	-	<b>(\$400,000)</b>	-	-	-	<b>(\$400,000)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-



**DETAIL OF LOTTERY FUNDS, OTHER FUNDS AND FEDERAL FUNDS REVENUE**

Miscellaneous Distributions		Fund	ORBITS Revenue Account	2009-2011 Actual	2011-2013 Legislatively Approved	2013-2015		
						Agency Request	Governor's Recommended	Legislatively Adopted
Source								
Admin and Service Charges	OF - N L	3200	0415	19,506,427	22,853,913	23,996,609	23,996,609	-
	OF - L	3400		-	-	-	-	-
	OF - DS	3430		-	-	-	-	-
Federal Funds	FF - DS	6230	0995	-	-	-	-	-
	FF - L	6400		-	323,765,350	331,535,719	331,535,719	-
Tsfr From Dept of Revenue	OF - L	3400	1150	16,976,971	16,968,667	15,826,383	15,826,383	-
Tsfr From Or Liquor Cntrl Comm	OF - L	3400	1845	42,919,530	47,444,400	53,649,805	54,792,909	-
Tsfr to Cities	OF - L	3400	2070	(50,831,720)	(55,138,734)	(60,772,997)	(60,772,997)	-
Tsfr to Counties	LF - L	4400	2080	-	-	-	-	-
	OF - L	3400		(9,135,595)	(9,481,770)	(8,703,191)	(8,703,191)	-
	FF - L	6400		-	(323,765,350)	(331,535,719)	(331,535,719)	-
Lottery Funds Limited		4400		-	-	-	-	-
Lottery Funds Debt Service Limited		4430		-	-	-	-	-
Other Funds Cap Improvement		3010		-	-	-	-	-
Other Funds Cap Construction		3020		-	-	-	-	-
Other Funds Non-Limited		3200		19,506,427	22,853,913	23,996,609	23,996,609	-
Other Funds Non-Limited Debt Service		3230		-	-	-	-	-
Other Funds Limited		3400		(70,814)	(207,437)	-	1,143,104	-
Other Funds Debt Service Limited		3430		-	-	-	-	-
Federal Funds Debt Service Non-Limited		6230		-	-	-	-	-
Federal Funds Limited		6400		-	-	-	-	-
				<b>19,435,613</b>	<b>22,646,476</b>	<b>23,996,609</b>	<b>25,139,713</b>	<b>-</b>

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Special Governmental Payments

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-099-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
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**LIMITED BUDGET (Excluding Packages)**

**SERVICES & SUPPLIES**

Lottery Funds	713,364	-	-	-	-	-
Other Funds	7,268,065	2,328,031	4,330,181	4,330,181	4,330,181	-
All Funds	7,981,429	2,328,031	4,330,181	4,330,181	4,330,181	-

**SPECIAL PAYMENTS**

General Fund	9,196,214	1,325,000	1,325,000	1,325,000	1,325,000	-
Lottery Funds	2,094,394	3,576,453	3,576,453	3,576,453	3,576,453	-
Other Funds	35,448,928	19,200,000	19,200,001	19,200,001	19,200,001	-
All Funds	46,739,536	24,101,453	24,101,454	24,101,454	24,101,454	-

**TOTAL LIMITED BUDGET (Excluding Packages)**

General Fund	9,196,214	1,325,000	1,325,000	1,325,000	1,325,000	-
Lottery Funds	2,807,758	3,576,453	3,576,453	3,576,453	3,576,453	-
Other Funds	42,716,993	21,528,031	23,530,182	23,530,182	23,530,182	-
All Funds	54,720,965	26,429,484	28,431,635	28,431,635	28,431,635	-

**LIMITED BUDGET (Essential Packages)**

**022 PHASE-OUT PGM & ONE-TIME COSTS**

**SERVICES & SUPPLIES**

Other Funds	-	-	-	(4,330,181)	(4,330,181)	-
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**SPECIAL PAYMENTS**

General Fund	-	-	-	(1,325,000)	(1,325,000)	-
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Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Special Governmental Payments

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-099-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	-	-	-	(19,200,001)	(19,200,001)	-
All Funds	-	-	-	(20,525,001)	(20,525,001)	-
<b>TOTAL LIMITED BUDGET (Essential Packages)</b>						
General Fund	-	-	-	(1,325,000)	(1,325,000)	-
Other Funds	-	-	-	(23,530,182)	(23,530,182)	-
All Funds	-	-	-	(24,855,182)	(24,855,182)	-
<b>LIMITED BUDGET (Current Service Level)</b>						
General Fund	9,196,214	1,325,000	1,325,000	-	-	-
Lottery Funds	2,807,758	3,576,453	3,576,453	3,576,453	3,576,453	-
Other Funds	42,716,993	21,528,031	23,530,182	-	-	-
All Funds	54,720,965	26,429,484	28,431,635	3,576,453	3,576,453	-
<b>LIMITED BUDGET (Policy Packages)</b>						
<b>PRIORITY 0</b>						
<b>090 ANALYST ADJUSTMENTS</b>						
<b>SPECIAL PAYMENTS</b>						
General Fund	-	-	-	-	750,000	-
<b>TOTAL LIMITED BUDGET (Policy Packages)</b>						
General Fund	-	-	-	-	750,000	-
<b>TOTAL LIMITED BUDGET (Including Packages)</b>						
General Fund	9,196,214	1,325,000	1,325,000	-	750,000	-
Lottery Funds	2,807,758	3,576,453	3,576,453	3,576,453	3,576,453	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Special Governmental Payments

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-099-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	42,716,993	21,528,031	23,530,182	-	-	-
All Funds	54,720,965	26,429,484	28,431,635	3,576,453	4,326,453	-
<b>NONLIMITED BUDGET (Excluding Packages)</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	4,849	-	-	-	-	-
<b>TOTAL NONLIMITED BUDGET (Excluding Packages)</b>						
Other Funds	4,849	-	-	-	-	-
<b>NONLIMITED BUDGET (Current Service Level)</b>						
Other Funds	4,849	-	-	-	-	-
<b>TOTAL NONLIMITED BUDGET (Including Packages)</b>						
Other Funds	4,849	-	-	-	-	-
<b>OPERATING BUDGET</b>						
General Fund	9,196,214	1,325,000	1,325,000	-	750,000	-
Lottery Funds	2,807,758	3,576,453	3,576,453	3,576,453	3,576,453	-
Other Funds	42,721,842	21,528,031	23,530,182	-	-	-
All Funds	54,725,814	26,429,484	28,431,635	3,576,453	4,326,453	-
<b>DEBT SERVICE (Excluding Packages)</b>						
<b>DEBT SERVICE</b>						
General Fund	-	6,575,467	6,813,955	6,815,736	6,815,736	-
Lottery Funds	5,072,338	8,164,343	8,497,075	8,970,125	8,970,125	-
Other Funds	418,615,284	-	-	-	-	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Special Governmental Payments

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-099-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
All Funds	423,687,622	14,739,810	15,311,030	15,785,861	15,785,861	-
<b>TOTAL DEBT SERVICE (Excluding Packages)</b>						
General Fund	-	6,575,467	6,813,955	6,815,736	6,815,736	-
Lottery Funds	5,072,338	8,164,343	8,497,075	8,970,125	8,970,125	-
Other Funds	418,615,284	-	-	-	-	-
All Funds	423,687,622	14,739,810	15,311,030	15,785,861	15,785,861	-
<b>DEBT SERVICE (Current Service Level)</b>						
General Fund	-	6,575,467	6,813,955	6,815,736	6,815,736	-
Lottery Funds	5,072,338	8,164,343	8,497,075	8,970,125	8,970,125	-
Other Funds	418,615,284	-	-	-	-	-
All Funds	423,687,622	14,739,810	15,311,030	15,785,861	15,785,861	-
<b>TOTAL DEBT SERVICE (Including Packages)</b>						
General Fund	-	6,575,467	6,813,955	6,815,736	6,815,736	-
Lottery Funds	5,072,338	8,164,343	8,497,075	8,970,125	8,970,125	-
Other Funds	418,615,284	-	-	-	-	-
All Funds	423,687,622	14,739,810	15,311,030	15,785,861	15,785,861	-
<b>DEBT SERVICE NONLIMITED (Excluding Packages)</b>						
<b>DEBT SERVICE</b>						
Other Funds	689,790	-	-	-	-	-
<b>TOTAL DEBT SERVICE NONLIMITED (Excluding Packages)</b>						
Other Funds	689,790	-	-	-	-	-

**Program Unit Appropriated Fund Group and Category Summary  
2013-15 Biennium  
Special Governmental Payments**

**Version: Y - 01 - Governor's Budget  
Cross Reference Number: 10700-099-00-00-00000**

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>DEBT SERVICE NONLIMITED (Current Service Level)</b>						
Other Funds	689,790	-	-	-	-	-
<b>TOTAL DEBT SERVICE NONLIMITED (Including Pack</b>						
Other Funds	689,790	-	-	-	-	-
<b>TOTAL BUDGET</b>						
General Fund	9,196,214	7,900,467	8,138,955	6,815,736	7,565,736	-
Lottery Funds	7,880,096	11,740,796	12,073,528	12,546,578	12,546,578	-
Other Funds	462,026,916	21,528,031	23,530,182	-	-	-
All Funds	479,103,226	41,169,294	43,742,665	19,362,314	20,112,314	-

Program Unit Appropriated Fund Group and Category Summary  
 2013-15 Biennium  
 Cop Issuance Costs - L - CC

Version: Y - 01 - Governor's Budget  
 Cross Reference Number: 10700-189-00-00-00000

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>LIMITED BUDGET (Excluding Packages)</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	40,000	-	-	-	-	-
<b>TOTAL LIMITED BUDGET (Excluding Packages)</b>						
Other Funds	40,000	-	-	-	-	-
<b>LIMITED BUDGET (Current Service Level)</b>						
Other Funds	40,000	-	-	-	-	-
<b>TOTAL LIMITED BUDGET (Including Packages)</b>						
Other Funds	40,000	-	-	-	-	-
<b>NONLIMITED BUDGET (Excluding Packages)</b>						
<b>SERVICES &amp; SUPPLIES</b>						
Other Funds	102,933	-	-	-	-	-
<b>TOTAL NONLIMITED BUDGET (Excluding Packages)</b>						
Other Funds	102,933	-	-	-	-	-
<b>NONLIMITED BUDGET (Current Service Level)</b>						
Other Funds	102,933	-	-	-	-	-
<b>TOTAL NONLIMITED BUDGET (Including Packages)</b>						
Other Funds	102,933	-	-	-	-	-
<b>OPERATING BUDGET</b>						
Other Funds	142,933	-	-	-	-	-
<b>TOTAL BUDGET</b>						

**Program Unit Appropriated Fund Group and Category Summary**

**Version: Y - 01 - Governor's Budget**

**2013-15 Biennium**

**Cross Reference Number: 10700-189-00-00-00000**

**Cop Issuance Costs - L - CC**

Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	142,933	-	-	-	-	-





# Budget Narrative

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## House Bill 4131 Report

This narrative includes initial actions necessary for the Department of Administrative Services to reorganize in response to House Bill 5002 of 2009 session and comply with the recent passage of span-of-control legislation under House Bill 4131.

DAS' budget bill (HB 5002) from the 2009 session caused the state to reexamine DAS' organizational structure and funding methodology. DAS implemented the Entrepreneurial Management Advisory Council (EMAC) on December 8, 2010. EMAC consisted of a wide range of stakeholders including customers, DAS leaders, private sector representatives, and union leadership. EMAC was tasked with the initial effort to "slot" DAS services into utility or marketplace services, define the rules of engagement of the resulting enterprises, and recommend the membership and charter of the utility customer boards that will govern the utility services. Based on recommendations by EMAC, DAS leadership developed a new organizational structure, assessed and identified positions that require reclassification in that structure.

On April 11, 2012, the Governor signed HB 4131 restricting state agencies that employ more than 100 budgeted positions and have a ratio of less than 11 to 1 of non-supervisory budgeted positions to supervisory budgeted positions from hiring additional supervisory positions until they make demonstrable progress towards an 11:1 ratio.

DAS' Phase I reclass package, submitted to and approved by the Chief Financial Office and Legislative Fiscal Office, brought DAS closer to achieving the goal of increasing the ratio of non-supervisory to supervisory positions set forth by HB 4131. Previously, DAS had a 7:1 span-of-control. With the approval of Phase I, DAS reduced a net of 16 supervisory positions bringing the span of control to 8:1 for the current period.

The agency is working on the Phase II package to complete our reorganization under the entrepreneurial model. We expect this package to be completed by the spring of 2013. It will include the conversion of additional positions from supervisory to non-supervisory status in compliance with HB 4131 to bring DAS' span-of-control to 9:1.

# Budget Narrative

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## Affirmative Action Report

### Introduction:

The Department of Administrative Services (DAS) developed an Affirmative Action Plan to act upon its value for workplace diversity, respect, and equal employment opportunities to include women, people of color, and persons with disabilities. This is the 2012 review of the 2011-2013 Affirmative Action Plan.

### 2011-2013 Analysis and Goals

#### Summary:

DAS was well-represented in all job categories during the 2011-2013 period. Overall, the organization achieved and maintained a diverse workforce. There is some underutilization of people from protected classes in certain job groups such as women, persons of color and persons with disabilities in skilled craftworker and service maintenance worker. As described below, the agency focused on specific areas for 2011-2013 including recruitment and retention, broadening our outreach through networking partnerships with Governor's Office on Diversity & Inclusion and other state agencies. Many diversity training opportunities were made available through this reporting period for all staff.

### 2011-2013 Development & Implementation of Programs (Action Plan)

Volunteer and Paid Internship Opportunities: DAS provides voluntary opportunities within its Divisions. The purpose is to enhance the quality and quantity of services offered to our customers; e.g., the office of the Chief Human Resource Officer sponsored an internship for a minority female high-school student in office operations; and Enterprise Goods and Services had two Chemeketa Community College students learning the surplus supply processes.

### Diversity Outreach

Community Outreach: DAS distributed job announcements to individual organizations and trade groups as well as through network channels of the Governor's Office on Diversity and Inclusion. DAS maintains contact with community organizations through staff attendance at group meetings and conferences and staff actively seek opportunities to meet personally with officials representing such organizations and community partners such as:

- ❖ Oregon Hispanic Employees Network
- ❖ Statewide Affirmative Action Monthly Workshop
- ❖ DAS Diversity Council
- ❖ Monthly Statewide Recruiters Meeting

# Budget Narrative

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- ❖ Statewide Diversity Conference
- ❖ Ethnic Cultural Events
- ❖ Veterans Events

College/University Career/Job Fairs: Due to budget reductions to the general fund, DAS staff only attended one career fair in 2011 - 2012.

Annual Diversity Conference: DAS was a key partner with ten other agencies in coordinating the 2011 18<sup>th</sup> Annual Diversity Conference. This Conference provided an opportunity to attend a variety of workshops. In addition to two keynote speakers — D.J. Vanas (Eagle Bear) and Geri Jewell — the conference featured a host of provocative workshops, such as:

- Religion in the Workplace
- Conversations on Race
- Emotional Intelligence
- The Clouds of Prejudice

DAS Diversity Council promotes diversity awareness through communication in the monthly newsletters and “Did You Know” articles that are published for agency employee once a month.

Job Rotations: DAS provides employees the opportunity to work in other positions, work units, or locations in order to enhance their knowledge and skill levels. The increased knowledge and skill levels benefit both the Agency and the employees involved. Job Rotations are valuable tools as the Agency develops staff for positions of higher responsibility. Job Rotations may also provide stepping-stones to career progression for women, people with disabilities and people of color.

New Employee Orientation: New Employee Orientation covers Affirmative Action and diversity topics. All employees receive a copy of the Workforce Diversity and Cultural Competency policy which is discussed at the orientation.

Diversity Awareness Program: The Diversity Council offers a comprehensive website where staff may read articles and participate in workshops, events and meetings. The Council provides opportunities for employees to learn about diversity, increase awareness and embrace a welcoming environment. The Council provides diversity awareness through communication in the agency’s monthly newsletter and “Did You Know” articles that are published once a month and event flyers are posted throughout the buildings.

## **Recruitment/Selection**

Order of Recruitment Lists: In order to promote a diverse applicant pool, job vacancy recruitments are generally conducted on an open competitive basis. The Agency attracts applicants from inside and outside the State system.

# Budget Narrative

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Position Descriptions: Position Descriptions include standards for reflecting sensitivity and respect for diverse cultures and performance appraisals include performance requirements in promoting and fostering a diverse and discrimination/harassment-free workplace.

Interview Panels: Hiring managers will make every reasonable effort to ensure that interview panels include women and/or people of color. In order to promote neutrality in the selection of supervisory, management, and executive service positions, the panel should include at least one member from outside the functional unit or the division.

## **Advertising**

Advertisements: Oregon State government has a current advertising broker contract with Bernard Hodes Group for advertising services related to job announcements. Bernard Hodes offers the SmartPost Job Distribution System. SmartPost has the ability to post jobs to thousands of job boards and includes a recommendation on which sites to utilize for a specific classification or audience. Announcements were also sent to Community Newsletters, Minority Newspapers and Periodicals such as Asian Reporter, Skanner, and Portland Observer. This is achieved by networking with the Governor's Office on Diversity and Inclusion who sends out job announcements to their associates.

## **Affirmative Action Policy Statement:**

DAS is committed to establishing and maintaining a diverse workforce, reflective of the diverse population within the State of Oregon. DAS is committed to an affirmative action program that provides equal opportunities for all persons regardless of race, color, religion, sex, sexual orientation, national origin, marital status, age, or disability.

It is also the policy of DAS to provide an environment for each applicant and employees that is free from sexual harassment, as well as harassment and intimidation on account of an individual's race, color, religion, gender, sexual orientation, national origin, age, or disability.

DAS commitment toward affirmative action and diversity in the workplace is realized through a variety of programs and measures.

- DAS is an equal-opportunity employer that is committed to a pro-active role in the recruitment and selection process. DAS will use diverse recruitment strategies to identify and attract candidates, and establish interview panels that represent protected-class groups.
- DAS is committed to providing broad and culturally enriched training, career growth and developmental opportunities to all employees on an equal basis, enabling them to further advance and promote their knowledge, skills, and abilities, and their value of diversity.

DAS will not discriminate, nor tolerate discrimination, against any applicant employee because of physical or mental disability in regard to any position for which the known applicant for employment is qualified.

DAS agrees to take affirmative action to employ, advance in employment, and otherwise treat known qualified individuals with disabilities without regard to their physical or mental disabilities in all human resources selection and decision practices, such as: advertising, benefits,

## Budget Narrative

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compensation, discipline (including probation, suspension, and /or termination for cause or layoff) recreational programs, and training, DAS will also continue to administer these practices without regard to race, color, religion, gender, sexual orientation, national origin, age, or disability.

Additionally, all applicants and employees are protected from coercion, intimidation, interference, or discrimination for filing a complaint or assisting in an investigation under this policy.

DAS will not discriminate or tolerate discrimination, against any employee because they are a member of, apply to be a member of, perform, has performed, applied to perform or have an obligation to perform service in a uniformed service.

The Reasonable Accommodation Policy is consistent with the Americans with Disabilities Act of 1991.

## Budget Narrative

06/30/12 STATISTICS - EEO CATEGORY	TOTAL DAS EMP	WOMEN	WOMEN PARITY	WOMEN GOAL	WOMEN UNDER GOAL	PEOPLE OF COLOR	PEOPLE OF COLOR PARITY	PEOPLE OF COLOR GOAL	PEOPLE OF COLOR UNDER GOAL	PEOPLE W/DISB	PEOPLE W/DISB PARITY	PEOPLE W/DISB GOAL	PEOPLE W/DISB UNDER GOAL
A01 MIDDLE MANAGEMENT (SR 24-30)	18	9	50.00%	7.7		2	11.11%	2.4	0.4	1	5.55%	1	
A02 UPPER MANAGEMENT (SR 31+)	63	27	42.85%	23		3	4.76%	7.6	4.6	0	0.00%	3.7	3.7
A OFFICIAL/ADMINISTRATOR	81	36	44.44%			5	6.17%		5	1	1.23%		3.7
B02 COMMUNICATION/EDITOR	2	2	100.00%	0.8		1	50.00%	0.1		0	0.00%	0.1	0.1
B07 PURCHASING AGENT/ANALYST	41	26	63.41%	17.7		2	4.87%	2.1	0.1	0	0.00%	2.4	2.4
B09 SOCIAL SCIENCE/PLANNER/RESRCHR	5	0	0.00%	2.1	2.1	1	20.00%	0.5		0	0.00%	0.3	0.3
B10 PERSONNEL/EMPLOYMENT	30	25	83.33%	17.2		3	10.00%	3.4	0.4	1	3.33%	1.8	0.8
B12 COMPUTER ANALYST	189	53	28.04%	61.2	8.2	25	13.22%	24.5		7	3.70%	11.3	4.3
B15 ACCOUNTING/FINANCE/REVENUE	52	36	69.23%	27.5		8	15.38%	6.7		0	0.00%	3.1	3.1
B16 PROGRAM COORDINATOR/ANALYST	70	40	57.14%	28.7		9	12.85%	6.6		1	1.42%	4.2	3.2
B PROFESSIONALS	389	182	46.78%		10.3	49	12.59%		0.5	9	2.31%		14.2
C02 ENGINEERING/CONSTRUCTION	6	0	0.00%	1.1	1.1	1	16.66%	0.4		0	0.00%	0.3	0.3
C04 COMPUTER	3	1	33.33%	1		0	0.00%	0.3	0.3	0	0.00%	0.1	0.1
C05 AUDIO-VISUAL	4	4	100.00%	1.6		0	0.00%	0.3	0.3	0	0.00%	0.2	0.2
C TECHNICIANS	13	5	38.46%		1.1	1	7.69%		0.6	0	0.00%		0.6
D01 SECURITY OFFICER	1	1	100.00%	0.4		0	0.00%	0		0	0.00%	0	
D PROTECTIVE SERVICE WORKERS	1	1	100.00%			0	0.00%			0	0.00%		
F00 ADMINISTRATIVE SUPPORT	87	65	74.71%	61.1		7	8.04%	8.4	1.4	2	2.29%	5.2	3.2
F ADMINISTRATIVE SUPPORT	87	65	74.71%			7	8.04%		1.4	2	2.29%		3.2
G03 TRADES/MAINTENANCE REPAIR	3	1	33.33%	0.4		0	0.00%	0.2	0.2	0	0.00%	0.1	0.1
G04 PRINTING	13	5	38.46%	4.2		0	0.00%	1.1	1.1	1	7.69%	0.7	
G05 MECHANIC/BOILER OPERATOR	26	2	7.69%	3.2	1.2	3	11.53%	1.9		0	0.00%	1.5	1.5
G06 TRADES	11	1	9.09%	1.2	0.2	1	9.09%	0.7		2	18.18%	0.6	
G SKILLED CRAFT WORKER	53	9	16.98%		1.4	4	7.54%		1.3	3	5.66%		1.6
H00 SERVICE MAINTENANCE WORKER	94	22	23.40%	35.5	13.5	29	30.85%	10.9		4	4.25%	5.6	1.6
H SERVICE MAINTENANCE WORKER	94	22	23.40%		13.5	29	30.85%			4	4.25%		1.6
<b>TOTALS</b>	<b>728</b>	<b>330</b>	<b>45.32%</b>		<b>23.3</b>	<b>93</b>	<b>12.77%</b>		<b>9.6</b>	<b>19</b>	<b>2.60%</b>		<b>25.4</b>

# BUDGET NARRATIVE

**Audit Title:** State Cell Phone Plans  
**Audit Number:** 2009-18

**Division:** State Procurement Office  
**Issue Date:** August 2009

*DAS Management generally agrees with the recommendations as stated in the report.*

<b>Audit Recommendation:</b>	<b>Response/Action Taken:</b>
<p>Work with cell phone vendors to ensure that electronic billing and usage data are available when needed.</p>	<p>SPO agrees with the recommendation. SPO has already provided agencies with the tools necessary to manage their wireless accounts, including the contractual requirement that all cell phone contractors provide electronic billing and usage data are available when needed. All cell phone contractors are also required to provide an array of program setup, training, services and reports as needed per their contracts.</p> <p>In early 2012, the Western States Contracting Alliance (WSCA) finalized an agreement with "Wireless Watchdogs, LLC" to provide "Contract Compliance / Cost Recovery" and "Optimization / Right Sizing Plans". In June 2012, the State of Oregon executed a participating addendum with "Wireless Watchdogs, LLC" to enable the use of the WSCA contract by the Oregon Cooperative Procurement Program (ORCPP) members. "Wireless Watchdogs, LLC" provides Bill Analysis &amp; Optimization, Reporting &amp; Management, and Support &amp; Services and customers pay "Wireless Watchdogs, LLC" a per device, per month charge to provide these services.</p>

Related Policy Option Package for 13-15: No



## BUDGET NARRATIVE

<b>Audit Title:</b>	Agencies Should Explore Opportunities to Earn Purchase Card Rebates	<b>Division:</b>	State Controller's Division
<b>Audit Number:</b>	2010-12	<b>Issue Date:</b>	January 2010

*DAS Management generally agrees with the recommendations as stated in the report.*

<b>Audit Recommendation:</b>	<b>Response/Action Taken:</b>
<p>Ensure US Bank's annual rebate report data is provided to state agencies that participate in the purchase card program.</p>	<p>Although agencies received communication indicating the availability of rebate information upon request, very few agency staff requested the information. Every year the Statewide Financial Management Services (SFMS) section of the State Controller's Division (SCD) sends rebate information to the agencies that have earned a rebate. Beginning with the 2009 rebate SFMS will also communicate to the agencies that did not earn a rebate.</p>
<p>Explore options with US Bank to provide interim rebate reports to state agencies.</p>	<p>The US Bank Relationship Manager provided the first interim rebate report the second week in December. We understand this information will be sent to the Deputy State Controller quarterly. Plans are to disseminate quarterly information to each agency that participates in the Small Purchase Order Transaction System (SPOTS) program. To avoid confusion between the fiscal year 2009 (FY09) rebate information and the quarterly information, SFMS will not send quarterly data to agencies until after the FY09 rebate process is finalized.</p>
<p>On a trial basis, provide state agencies with significant purchase card spending with the option to use the mail code electronic payment method in the state accounting system and work with them to ensure accuracy of mail code selection.</p>	<p>SFMS will be placing an edit in the Statewide Financial Management Application's (AFMA) mail code payment processing for SPOTS cards. After the edit is in place SCD will offer an automated clearing house (ACH) option to the eight agencies using SFMA for SPOTS card payments with a 2009 yearly spend of over \$750,000. The purpose of the edit is to assure there is a relationship between the agency number of the requestor and the mail code. Because some agencies have multiple US Bank managing accounts, this process will not be fool proof. SFMS staff met with the SFMA programming staff to review edit requirements. Based on their response and the SFMS staffs' workload, we expected to have this edit in place by the end of the first calendar quarter in 2010.</p>
<p>Periodically assess the costs and benefits of providing a widely available electronic payment system, whether through upgrading the state accounting system, negotiating new terms with the purchase card provider, or some other mechanism.</p>	<p>The resources to perform an upgrade of this magnitude to SFMA were removed with the April 1, 2009 SFMS Enhancement Package reduction. With successful mail code edits in place we plan to make electronic payments available to all participating SFMA SPOTS agencies over the next year.</p>

Related Policy Option Package for 13-15: No

# BUDGET NARRATIVE

**Audit Title:** Faster Progress Needed on Security Issues  
**Audit Number:** 2010-15

**Division:** State Data Center  
**Issue Date:** March 2010

*DAS Management partially agrees with the recommendations as stated in the report.*

<b>Audit Recommendation:</b>	<b>Response/Action Taken:</b>
<p>Revise the shared services governance structure to facilitate timely resolution of security issues. SDC governance should ensure that customers' needs are appropriately considered without encumbering the SDC staffs ability to implement timely solutions or carry out their security responsibilities.</p>	<p>Management disagrees with this recommendation. The governance structure has been carefully balancing these timelines and implementations to ensure that critical business functions are not interrupted and security issues are resolved or adequately mitigated. The customer-agencies and SDC are very serious about the security of the information technology resources required to do business. Although the objective of the audit did not include a determination of risk, materiality and business impact, the current SDC governance is structured to meet this responsibility.</p> <p>"Governance," as defined by the Control Objectives for Information and Related Technologies (COBIT), is "a structure of relationships and processes to direct and control the enterprise in order to achieve the enterprise's goals by adding value while balancing risk versus return over IT and its processes." This type of partnering with our customers is what has achieved the balance of risk and IT value as required by the business. The daily defense and incident management that are part of the SDC operation are all aggressive actions that have protected the state from serious attacks. These types of daily decisions and 24/7 operations are not managed by a governance structure.</p> <p>Brief Explanation of Actions Taken/Current Status:                      ETS has no additional information to report at this time.</p>
<p>Formally assign an individual to be responsible for assuring both physical and logical access of SDC information assets. This individual should be accountable to senior management and have the authority and resources to implement security measures according to the enterprise security plan and its associated standards.</p>	<p>Management agrees with this recommendation. In October 2009, the SDC hired just such a person to lead the security program for the SDC. This person acts independently of the technical team, which is responsible for implementing technical security. The person in this position reports directly to the manager of Plans and Controls with regular interaction with the administrator and deputy administrator. The position's key responsibilities include the following:</p> <ul style="list-style-type: none"> <li>○ Monitoring and tracking the security posture of the SDC</li> <li>○ Tracking and reporting progress on remediating identified vulnerabilities</li> <li>○ Managing the continued development of the SDC security plans, procedures and standards</li> <li>○ Conducting liaison with the DAS Enterprise Security Office</li> </ul> <p>Brief Explanation of Actions Taken/Current Status:                      As stated in the DAS response, Management agreed with the OAD finding and has formally assigned an</p>

## BUDGET NARRATIVE

	<p>ETS manager responsible for assuring both physical and logical access for ETS information assets. With its recent reorganization, ETS is strengthening its information security program and completed its recruitment for additional staff.</p>
<p>Develop and implement an SDC security plan with associated standards and procedures in accordance with the proposed Information Security Plan for the State of Oregon and the associated Statewide Information Security Standards document.</p>	<p>Management agrees with this recommendation. Our current strategic plan includes a focus on security, as well as standards, procedures and architecture for each technical domain. In place today is a well executed incident response procedure and layers of security protection that help fend off thousands of attempted attacks and intrusions daily.</p> <p>Consistent with the auditors' recommendation, the next phase in the SDC maturity will be development of a consolidated SDC security plan. This plan will incorporate and align individual plans developed by each technical domain to create one unified plan. The plan will use as its base the proposed security plan and standards for the State of Oregon. We anticipate completion of the consolidated plan by the end of this calendar year.</p> <p>Brief Explanation of Actions Taken/Current Status:</p> <p>ETS continues to develop its security plan and expects completion by the end of 2012. Additionally as part of the ETS Information Technology Service Management goal the Information Security Management process will attain the "mature" process level by the end of the 2011-2013 biennium.</p>
<p>Take aggressive action to implement the recommendations included in our confidential security reports and the Pacific Northwest National Laboratory's (PNNL) security vulnerability assessment.</p>	<p>Management partially agrees with this recommendation. The SDC has resolved many of the vulnerabilities highlighted in the PNNL assessment—particularly those that lie exclusively within the SDC's purview. The remaining unresolved vulnerabilities require significant interaction with customer-agency personnel. The SDC must approach resolution of these vulnerabilities in a way that does not risk interrupting or causing critical business systems to fail. Balancing the available resources, both at the SDC and its customer-agencies, is a critical factor in setting remediation priorities and timelines.</p> <p>The SDC and DAS management continue to take appropriate action to address all known security vulnerabilities. The SDC evaluates each perceived vulnerability for its risk to the state's information assets. Based on that evaluation, the SDC develops an approach to mitigate that risk. Every solution must preserve the delicate balance between solving the problem and increasing the risk of system failure or disruption of business flow by using an overly aggressive tactic.</p> <p>The SDC strategic plan aggressively seeks to achieve the following:</p>

## BUDGET NARRATIVE

- Generate cost savings and implement solutions that avoid future costs.
- Accommodate the increasing technology growth required that agencies require to do their business.
- Answer the need for new technology services to the state.
- Manage and improve the day-to-day operations.
- Consolidate the state's vast array of computing resources and architecture.

The strategic plan contains a focus area dedicated to security. Each focus area's plan outcomes are used in development of inter-related and dependent projects that we prioritize with input from customer-agency directors and chief information officers. The security focus area describes planned and expected outcomes over a five-year period. When the SDC receives findings such as those from PNNL, we consider whether they require adjustments to current plans.

The SDC has taken steps to remediate previous findings of vulnerabilities identified by PNNL and the Secretary of State's auditors. We will address remaining vulnerabilities in accordance with the SDC strategic plan. Our security plan takes into account the materiality of the vulnerability, the risk of disrupting business flow, and the availability of resources to support the fix.

### Brief Explanation of Actions Taken/Current Status:

ETS has remediated many of the SOS and PNNL vulnerability recommendations to date and has made significant progress on many of the remaining recommendations that lie exclusively within ETS purview that management agreed or partially agreed in its response to the Oregon Audits Division. In order to fully address several findings, ETS has developed agency budget requests for the 2013-15 biennial budget seeking funding and additional staffing levels.

Related Policy Option Package for 13-15: Yes

# BUDGET NARRATIVE

**Audit Title:** Operations Are Stable, But Some Areas Need Improvement  
**Audit Number:** 2010-22

**Division:** State Data Center  
**Issue Date:** May 2010

*DAS Management partially agrees with the recommendations as stated in the report.*

<b>Audit Recommendation:</b>	<b>Response/Action Taken:</b>
<p>In order to establish a common understanding with the majority of its customers regarding which critical services will be required or delivered at the SDC: We recommend that SDC Management establish service level agreements with all its customers.</p>	<p>Management partially agrees with this recommendation. The SDC has obtained signed service level agreements from the majority of its customers (agencies that receive more than 90-percent of the computing services offered by the SDC). The Secretary of State validated seven of these agreements by April 1, 2010, and by April 15, the remaining agencies had signed agreements. We believe having these agreements in place satisfies COBIT (Control Objective for Information and Related Technology) – “Define and agree to SLAs for all critical IT services based on customer requirements and IT capabilities.” The SDC’s other customers submit Technical Support Orders or Service Requests. We believe these documents are sufficient when combined with the SDC Service Catalog, Roles and Responsibilities Matrices, and service rates sheets.</p> <p>Brief Explanation of Actions Taken/Current Status:                      ETS has no additional information to report at this time.</p>
<p>In order to provide efficient and cost effective services and appropriately plan for future needs: We recommend that SDC implement a configuration management system with associated procedures. We also recommend that management implement processes to develop and maintain performance metrics, and plan for future capacity needs.</p>	<p>Management agrees with this recommendation. Developing and maintaining service-level performance metrics is scheduled for completion by the end of this year. Formal configuration and capacity management processes have been planned for next biennium and will only be superseded by plan changes that result in initiatives with either higher cost efficiency or service benefits. The SDC’s strategic plan for implementation of 29 of these types of key processes is based on the industry recommendations for Information Technology Service Management. ITSM implements a guided process and controls for an enterprise-level computing environment. These recommendations include ensuring that other key supporting processes are implemented prior to configuration management, performance metrics and capacity management. For example, implementing service level agreements to ensure that cost-justifiable capacity and performance are available to sustain the agreed-upon workloads. Or, implementing a single source asset repository and processes to monitor and record changes to assets. The service level agreements’ asset repository and change management tools and processes have all been implemented.</p> <p>Brief Explanation of Actions Taken/Current Status:                      ETS has chartered a project to implement configuration management, patch management, and compliance and auditing capabilities to address this recommendation. This project has been assigned a high priority to both the ETS Tactical and Strategic planning processes with June 2013 as an estimated completion date for the initial phase of this project. ETS has developed agency budget requests for the 2013-15 biennial</p>

## BUDGET NARRATIVE

	<p>budget seeking funding and additional staffing levels.</p>
<p>In order to reduce delays in restoring state computer systems after a disaster: We recommend that SDC management assign a higher priority to disaster recovery and allocate sufficient resources to create and test disaster recovery plans to ensure timely restoration of the SDC operating environment.</p>	<p>Management agrees with this recommendation. The SDC has kept disaster recovery as its number one priority for the last year and will continue to do so. As the audit points out, much work and testing has been accomplished; however, more work is planned as well as a need to ensure long-term, regular ongoing testing. The SDC is working with the state's DR vendor to complete regular updates to a comprehensive plan to restore data center infrastructure. The next update to the plan is scheduled for completion in December 2010 and again in June 2011. This plan provides the strategies, resources, procedures and disaster time checklist required to recover from any short- or long-term technology interruption. It has been used for the periodic disaster testing conducted to date. In concert with what the SDC is doing and coordinating, the agencies also are preparing by developing for their critical systems the application and data recovery plans, data dependencies, and designation of their needed application recovery sequences. Backup, Restore and Recovery Service are defined in the current service level agreements, SDC Service Catalog, and Roles and Responsibility Matrices. This recommendation was reissued in audit number 107-2012-03-01 and further information is available in that response.</p> <p>Brief Explanation of Actions Taken/Current Status:</p> <p>ETS has established a Disaster Recovery plan and has performed successful infrastructure recovery tests on all technical platforms and tested the recovery of the identified critical infrastructure applications based on customer business requirements and budget allowances. ETS has requested funding and position authority for a Disaster Recovery Manager to operate the Disaster Recovery program in a 2013-15 Policy Option Package (POP).</p> <p>In April 2012 ETS completed a DR tabletop exercise of Technology Availability Plans, a tabletop cyber terrorism exercise in May 2012, and completed FEMA Introduction to Incident Command System training for all ETS managers.</p> <p>Additionally, ETS conducted a disaster recovery test for its x86 and AIX platforms in October 2012 and is reviewing and resolving action items identified during this test and will continue to partner with customer agencies to further develop and define agency application disaster recovery priorities and with the Executive Leadership Team (ELT) to establish enterprise disaster recovery options based on disaster type and scope.</p>

Related Policy Option Package for 13-15: Yes

## BUDGET NARRATIVE

**Audit Title:** Agencies Ensured Contracts With Former Employees Were Properly Awarded  
**Audit Number:** 2012-05

**Division:** Multi-Agency  
**Issue Date:** February 2012

<b>Audit Recommendation:</b>	<b>Response/Action Taken:</b>
No recommendations made in audit.	DAS acknowledges the opportunities for improvement presented in the audit report and generally agrees with them.

Related Policy Option Package for 13-15: No

## BUDGET NARRATIVE

**Audit Title:** Computer Controls Review  
**Audit Number:** 107-2012-03-01

**Division:** State Data Center  
**Issue Date:** March 2012

*DAS Management generally agrees with the recommendations as stated in the report.*

<b>Audit Recommendation:</b>	<b>Response/Action Taken:</b>
<p>Complete and test disaster recovery plans. These efforts should ensure detailed restoration instructions are completed; realistic recovery timelines and priorities are established; recovery roles, responsibilities and expectations are defined; infrastructure configurations are documented and maintained; and all critical disaster recovery processes are tested.</p>	<p>Management agrees with the recommendation. The SDC has established a Disaster Recovery plan and has performed successful infrastructure recovery tests on all technical platforms and tested the recovery of the identified critical infrastructure applications based on customer business requirements and budget allowances. The SDC has requested funding and position authority for a Disaster Recovery Manager to operate the Disaster Recovery program in a 2013-15 Policy Option Package (POP).</p> <p>The SDC will test disaster recovery plans and processes for several of the large agencies at the SDC by June 2013 and will continue to partner with customer agencies to further develop and define agency application disaster recovery priorities and with the Executive Leadership Team (ELT) to establish enterprise disaster recovery options based on disaster type and scope.</p> <p><b>Brief Explanation of Actions Taken/Current Status:</b></p> <p>ETS has established a Disaster Recovery plan and has performed successful infrastructure recovery tests on all technical platforms and tested the recovery of the identified critical infrastructure applications based on customer business requirements and budget allowances. ETS has requested funding and position authority for a Disaster Recovery Manager to operate the Disaster Recovery program in a 2013-15 Policy Option Package (POP).</p> <p>In April 2012 ETS completed a DR tabletop exercise of Technology Availability Plans, a tabletop cyber terrorism exercise in May 1021, and completed FEMA Introduction to Incident Command System training for all ETS managers.</p> <p>Additionally, ETS conducted a disaster recovery test for its x86 and AIX platforms in October 2012 and is reviewing and resolving action items identified during this test and will continue to partner with customer agencies to further develop and define agency application disaster recovery priorities and with the Executive Leadership Team (ELT) to establish enterprise disaster recovery options based on disaster type and scope.</p>



## BUDGET NARRATIVE

Related Policy Option Package for 13-15: Yes

Maintain an accurate listing of all media tapes in its possession and in authorized offsite locations, and perform regular reviews and timely follow up on any discrepancies.

Management agrees with the recommendation. The State Data Center will continue to work with the agencies on the tape inventory inherited as part of the initial CNIC consolidation as well as develop an accurate listing of all media tapes and their location by December 2012. The SDC will perform scheduled tape inventories and review the results to determine the accuracy of the tape media control inventory and make process and procedural adjustments as necessary.

Brief Explanation of Actions Taken/Current Status:

ETS has completed the transition to a new offsite media storage vendor in October 2012. As part of this transition, ETS completed a comprehensive media inventory and implemented onsite removable media destruction and certification. ETS will track and manage its removable media from inception through destruction through the use of the ETS policies and procedures and the media management software provided by the new offsite vendor for Windows servers and the existing tape management processes used for the mainframe and iSeries media tapes.

There has been significant agency input on the agency media tapes inherited by ETS through the CNIC project. ETS has destroyed the media through its on-site destruction process or migrated the information to more current technology.

Related Policy Option Package for 13-15: No

## BUDGET NARRATIVE

**Audit Title:** Strategies to Better Address Federal Level of Effort Requirements  
**Audit Number:** 2012-11

**Division:** Budget and Management  
**Issue Date:** April 2012

*DAS Management generally agrees with the recommendations as stated in the report.*

<b>Audit Recommendation:</b>	<b>Response/Action Taken:</b>
<p>To increase awareness and coordination of Level of Effort requirements during budgeting, we recommend management in the Department of Administrative Services:</p> <ul style="list-style-type: none"> <li>• require programs to distinctly identify all funding sources related to Level of Effort programs; and</li> <li>• convene key agency staff to identify potential partnerships across agencies to manage General Fund shortages and surpluses in relation to Level of Effort requirements.</li> </ul>	<p>The Department of Administrative Services (DAS) generally agrees with the first set of recommendations. These efforts have historically been done on an “as-needed” basis. DAS recognizes the desire to make these requirements more formal in the future. Agency programs are currently required to identify and maintain documentation regarding funding sources for programs with Level of Effort requirements. DAS will strengthen language in future Budget Instructions to require agencies to produce documentation on request. From increased documentation, DAS can better determine the frequency in which agencies and programs need to be convened.</p> <p>Staff from affected agencies is then convened when the potential exists that Levels of Effort may not be adequately maintained. These meetings of key staff discuss existing partnerships and brainstorm ways in which agencies can better manage General Fund in relation to Level of Effort requirements. The Budget Instruction updates are planned to occur in Spring 2014 during the next budget cycle.</p>
<p>To maximize state resources, allocate General Funds strategically, and ensure continued compliance with Level of Effort requirements, we recommend management from Oregon agencies subject to federal Level of Effort requirements:</p> <ul style="list-style-type: none"> <li>• encourage program staff to work with their federal agency contact to understand possible financial sources available to meet Level of Effort requirements, including funds outside of those directly budgeted for that program;</li> <li>• work with the Legislative Fiscal Office to make information</li> </ul>	<p>While the second set of recommendations is not specific DAS, the Department does play a key role in encouraging cross-agency efforts of coordination and communication in an effort to identify problems at the earliest stage. The state budget process provides a conduit for communication during the preparation cycle, and as was effectively demonstrated in the 2009-11 biennium, during the interim when working in tandem with the Legislative Fiscal Office and the Joint Legislative Emergency Board.</p>

## BUDGET NARRATIVE

available to Oregon Legislative members explaining Level of Effort requirements and consequences for lack of compliance;

- conduct regular communications among program, financial, and budget staff within each agency to discuss Level of Effort compliance and cross-program expenditure possibilities; and
- strengthen certification procedures across programs to allow more cross-program expenditures while ensuring compliance with federal mandates.

Related Policy Option Package for 13-15: No

# INFORMATION TECHNOLOGY PROJECTS IN 2013-15

(THAT EQUAL OR EXCEED \$150,000)

Agency Name:	DEPARTMENT OF ADMINISTRATIVE SERVICES					
Project Name:	HUMAN RESOURCE INFORMATION SYSTEM SELECTION AND IMPLEMENTATION					
Mandated Project?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	By: Legislature, Federal Gov, Other (identify it)				
Budget?	<input type="checkbox"/> Base <input checked="" type="checkbox"/> POP	Which agency or state plans or goals does it align with and/or support?				
Project Purpose	<input type="checkbox"/> Routine Lifecycle Replacement		<input type="checkbox"/> Upgrade/Enhance Existing System		<input checked="" type="checkbox"/> New System	
Project Status	<input type="checkbox"/> Concept Stage		<input type="checkbox"/> Planning Stage		<input type="checkbox"/> Ready to Implement	
SDC Involvement	<input type="checkbox"/> None		<input checked="" type="checkbox"/> Minor		<input type="checkbox"/> Active	
Estimate SDC Costs	\$		<input type="checkbox"/> Preliminary Estimate		<input type="checkbox"/> Project Design Estimate	

Project Description: The purpose of this package is to provide the necessary resources to identify a commercial off the shelf (COTS) human resource information system (HRIS) to replace the state's existing Position Personnel Data Base (PPDB) and Position Information Control System (PICS) beginning in the 2013-15 biennium. This project will span at least two biennia before full implementation. The overall cost for future biennia will be identified through the completion of this policy package.

Cost Summary							
Total estimated cost by fund (13-15):	General Fund	Lottery Funds	Other Funds	Non-Limited	Federal Funds	Non-Limited	Total Funds
	\$	\$	\$40,112,131	\$	\$	\$	\$40,112,131
Total estimated cost by fund (all biennia):	\$	\$	\$40,112,131	\$	\$	\$	\$40,112,131
Estimated Cost by category (13-15):	Personal Services		Services & Supplies	Capital Outlay		Special Payments	Debt Service
	\$2,056,027		\$38,056,104	\$		\$	\$
Estimated Cost by category (all biennia):	\$2,056,027		\$38,056,104	\$		\$	\$
						Positions:	8.0
Expected Start Date: July 1, 2013						Internal	
Expected Completion Date: June 30, 2015						Contractor	
						FTE:	8.0

\_\_\_\_ Agency Request

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# INFORMATION TECHNOLOGY PROJECTS IN 2013-15

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## Definitions:

### Project Purpose:

- Routine Lifecycle Replacement—Normal and regularly scheduled, part of the normal planned lifecycle replacement cycle
- Upgrading or Enhancing an Existing System—Change to an existing information system that results in improvements in functionality or enables the system to continue being supported by the vendor. Improved functionality enables the system to perform new tasks.
- New System—Developing or acquiring and using a new information system

### Project Status

- Concept Stage - Determining the feasibility and benefits of the project. The Agency may or may not move forward with the project upon completion of this stage.
- Planning Stage - Project is in the planning stages and will move forward at some point in time upon receipt of legislative approval/funding
- Ready to Implement - The planning is near final stage and this project will be implemented upon receipt of legislative approval/funding
- Continuation of Existing Project - Project covers more than a single biennium. This funding request represents the portion of the project still to complete.

### Enterprise Technology Services (ETS) Involvement

- None—Project does not have an impact on the SDC
- Minor—SDC involvement is expected to be minimal (e.g. less than 8 hours of work)
- Active—Will need to have specific actions taken by the SDC in order to complete project that will require SDC involvement (e.g. between 8 and 80 hours)
- Participating Partner—Will need to work with SDC for significant time to insure that the project can move into production. SDC time greater than 80 hours. Examples may include SDC architecture and provisioning work.

### Estimate ETS Costs

- Preliminary Estimate - Rough Order of Magnitude estimate based on high level project information available at the current stage in the project's lifecycle
- Project Design Estimate – Cost estimate based on detailed project information (i.e. cost estimate provided after some level of architecture and design work between the agency and the SDC has been completed)

# INFORMATION TECHNOLOGY PROJECTS IN 2013-15

(THAT EQUAL OR EXCEED \$150,000)

Agency Name:	DEPARTMENT OF ADMINISTRATIVE SERVICES					
Project Name:	EQUIPMENT LIFECYCLE REPLACEMENT					
Mandated Project?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	By: Legislature, Federal Gov, Other (identify it)				
Budget?	<input type="checkbox"/> Base <input checked="" type="checkbox"/> POP	Which agency or state plans or goals does it align with and/or support?				
Project Purpose	<input checked="" type="checkbox"/> Routine Lifecycle Replacement		<input type="checkbox"/> Upgrade/Enhance Existing System		<input type="checkbox"/> New System	
Project Status	<input type="checkbox"/> Concept Stage		<input type="checkbox"/> Planning Stage		<input type="checkbox"/> Ready to Implement	
SDC Involvement	<input type="checkbox"/> None		<input type="checkbox"/> Minor		<input checked="" type="checkbox"/> Active	
Estimate SDC Costs	\$		<input type="checkbox"/> Preliminary Estimate		<input type="checkbox"/> Project Design Estimate	
Project Description: Replacement of hardware used to provide Information Technology (IT) services to state agencies. Equipment replaced will include data storage, servers, data networking and telephony equipment.						
Cost Summary						
Total estimated cost by fund (13-15):	General Fund	Lottery Funds	Other Funds	Non-Limited	Federal Funds	Total Funds
	\$	\$	\$730,000	\$	\$	\$730,000
Total estimated cost by fund (all biennia):	\$	\$	\$	\$	\$	\$
Estimated Cost by category (13-15):	Personal Services	Services & Supplies	Capital Outlay	Special Payments	Debt Service	
	\$	\$	\$8,500,000	\$	\$	
Estimated Cost by category (all biennia):	\$	\$	\$	\$	\$	
					Positions:	0
					Internal	
Expected Start Date: July 1, 2013					Contractor	
Expected Completion Date: June 30, 2015					FTE:	0

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# INFORMATION TECHNOLOGY PROJECTS IN 2013-15

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## Definitions:

### Project Purpose:

- Routine Lifecycle Replacement—Normal and regularly scheduled, part of the normal planned lifecycle replacement cycle
- Upgrading or Enhancing an Existing System—Change to an existing information system that results in improvements in functionality or enables the system to continue being supported by the vendor. Improved functionality enables the system to perform new tasks.
- New System—Developing or acquiring and using a new information system

### Project Status

- Concept Stage - Determining the feasibility and benefits of the project. The Agency may or may not move forward with the project upon completion of this stage.
- Planning Stage - Project is in the planning stages and will move forward at some point in time upon receipt of legislative approval/funding
- Ready to Implement - The planning is near final stage and this project will be implemented upon receipt of legislative approval/funding
- Continuation of Existing Project - Project covers more than a single biennium. This funding request represents the portion of the project still to complete.

### State Data Center (SDC) Involvement

- None—Project does not have an impact on the SDC
- Minor—SDC involvement is expected to be minimal (e.g. less than 8 hours of work)
- Active—Will need to have specific actions taken by the SDC in order to complete project that will require SDC involvement (e.g. between 8 and 80 hours)
- Participating Partner—Will need to work with SDC for significant time to insure that the project can move into production. SDC time greater than 80 hours. Examples may include SDC architecture and provisioning work.

### Estimate SDC Costs

- Preliminary Estimate - Rough Order of Magnitude estimate based on high level project information available at the current stage in the project's lifecycle
- Project Design Estimate – Cost estimate based on detailed project information (i.e. cost estimate provided after some level of architecture and design work between the agency and the SDC has been completed)

# INFORMATION TECHNOLOGY PROJECTS IN 2013-15

(THAT EQUAL OR EXCEED \$150,000)

Agency Name:	DEPARTMENT OF ADMINISTRATIVE SERVICES							
Project Name:	PERFORMANCE OPTIMIZATION							
Mandated Project?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		By: Legislature, Federal Gov, Other (identify it)					
Budget?	<input type="checkbox"/> Base <input checked="" type="checkbox"/> POP		Which agency or state plans or goals does it align with and/or support?					
Project Purpose	<input type="checkbox"/> Routine Lifecycle Replacement		<input type="checkbox"/> Upgrade/Enhance Existing System		<input checked="" type="checkbox"/> New System			
Project Status	<input checked="" type="checkbox"/> Concept Stage		<input type="checkbox"/> Planning Stage		<input type="checkbox"/> Ready to Implement		<input type="checkbox"/> Continuation of Existing Project	
SDC Involvement	<input type="checkbox"/> None		<input type="checkbox"/> Minor		<input checked="" type="checkbox"/> Active		<input type="checkbox"/> Participating Partner	
Estimate SDC Costs	\$		<input type="checkbox"/> Preliminary Estimate		<input type="checkbox"/> Project Design Estimate			
Project Description: ETS initiatives to optimize performance for system infrastructure, support services, disaster recovery and system administration to support statewide services.								
Cost Summary								
Total estimated cost by fund (13-15):	General Fund	Lottery Funds	Other Funds	Non-Limited	Federal Funds	Non-Limited	Total Funds	
	\$	\$	\$11,688,454	\$	\$	\$	\$	
Total estimated cost by fund (all biennia):	\$	\$	\$	\$	\$	\$	\$	
Estimated Cost by category (13-15):	Personal Services		Services & Supplies		Capital Outlay		Special Payments	Debt Service
	\$3,934,618		\$1,990,900		\$5,762,936		\$	\$
Estimated Cost by category (all biennia):	\$		\$		\$		\$	\$
							Positions:	15
							Internal	
Expected Start Date: July 1, 2013							Contractor	
Expected Completion Date: June 30, 2015							FTE:	15

\_\_\_\_ Agency Request

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\_\_\_\_ Legislatively Adopted

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# INFORMATION TECHNOLOGY PROJECTS IN 2013-15

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## Definitions:

### Project Purpose:

- Routine Lifecycle Replacement—Normal and regularly scheduled, part of the normal planned lifecycle replacement cycle
- Upgrading or Enhancing an Existing System—Change to an existing information system that results in improvements in functionality or enables the system to continue being supported by the vendor. Improved functionality enables the system to perform new tasks.
- New System—Developing or acquiring and using a new information system

### Project Status

- Concept Stage - Determining the feasibility and benefits of the project. The Agency may or may not move forward with the project upon completion of this stage.
- Planning Stage - Project is in the planning stages and will move forward at some point in time upon receipt of legislative approval/funding
- Ready to Implement - The planning is near final stage and this project will be implemented upon receipt of legislative approval/funding
- Continuation of Existing Project - Project covers more than a single biennium. This funding request represents the portion of the project still to complete.

### State Data Center (SDC) Involvement

- None—Project does not have an impact on the SDC
- Minor—SDC involvement is expected to be minimal (e.g. less than 8 hours of work)
- Active—Will need to have specific actions taken by the SDC in order to complete project that will require SDC involvement (e.g. between 8 and 80 hours)
- Participating Partner—Will need to work with SDC for significant time to insure that the project can move into production. SDC time greater than 80 hours. Examples may include SDC architecture and provisioning work.

### Estimate SDC Costs

- Preliminary Estimate - Rough Order of Magnitude estimate based on high level project information available at the current stage in the project's lifecycle
- Project Design Estimate – Cost estimate based on detailed project information (i.e. cost estimate provided after some level of architecture and design work between the agency and the SDC has been completed)

# INFORMATION TECHNOLOGY PROJECTS IN 2013-15

(THAT EQUAL OR EXCEED \$150,000)

Agency Name:	DEPARTMENT OF ADMINISTRATIVE SERVICES		
Project Name:	ENTERPRISE EMAIL		
Mandated Project?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	By: Legislature, Federal Gov, Other (identify it)	
Budget?	<input checked="" type="checkbox"/> Base <input checked="" type="checkbox"/> POP	Which agency or state plans or goals does it align with and/or support?	
Project Purpose	<input type="checkbox"/> Routine Lifecycle Replacement <input checked="" type="checkbox"/> Upgrade/Enhance Existing System <input type="checkbox"/> New System		
Project Status	<input type="checkbox"/> Concept Stage <input type="checkbox"/> Planning Stage <input type="checkbox"/> Ready to Implement <input checked="" type="checkbox"/> Continuation of Existing Project		
SDC Involvement	<input type="checkbox"/> None <input type="checkbox"/> Minor <input checked="" type="checkbox"/> Active <input type="checkbox"/> Participating Partner		
Estimate SDC Costs	\$	<input type="checkbox"/> Preliminary Estimate <input type="checkbox"/> Project Design Estimate	

Project Description: Consolidate statewide email systems to centralized platform.

Cost Summary	General Fund	Lottery Funds	Other Funds	Non-Limited	Federal Funds	Non-Limited	Total Funds
Total estimated cost by fund (13-15):	\$	\$	\$637,064	\$	\$	\$	\$
Total estimated cost by fund (all biennia):	\$	\$	\$	\$	\$	\$	\$
Estimated Cost by category (13-15):	Personal Services	Services & Supplies	Capital Outlay	Special Payments	Debt Service		
	\$	\$	\$637,064	\$	\$		
Estimated Cost by category (all biennia):	\$	\$	\$	\$	\$		

		Positions:	0
Expected Start Date:	July 1, 2013	Internal	
Expected Completion Date:	June 30, 2015	Contractor	
		FTE:	0

\_\_\_\_ Agency Request

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\_\_\_\_ Legislatively Adopted

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# INFORMATION TECHNOLOGY PROJECTS IN 2013-15

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## Definitions:

### Project Purpose:

- Routine Lifecycle Replacement—Normal and regularly scheduled, part of the normal planned lifecycle replacement cycle
- Upgrading or Enhancing an Existing System—Change to an existing information system that results in improvements in functionality or enables the system to continue being supported by the vendor. Improved functionality enables the system to perform new tasks.
- New System—Developing or acquiring and using a new information system

### Project Status

- Concept Stage - Determining the feasibility and benefits of the project. The Agency may or may not move forward with the project upon completion of this stage.
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- Ready to Implement - The planning is near final stage and this project will be implemented upon receipt of legislative approval/funding
- Continuation of Existing Project - Project covers more than a single biennium. This funding request represents the portion of the project still to complete.

### State Data Center (SDC) Involvement

- None—Project does not have an impact on the SDC
- Minor—SDC involvement is expected to be minimal (e.g. less than 8 hours of work)
- Active—Will need to have specific actions taken by the SDC in order to complete project that will require SDC involvement (e.g. between 8 and 80 hours)
- Participating Partner—Will need to work with SDC for significant time to insure that the project can move into production. SDC time greater than 80 hours. Examples may include SDC architecture and provisioning work.

### Estimate SDC Costs

- Preliminary Estimate - Rough Order of Magnitude estimate based on high level project information available at the current stage in the project's lifecycle
- Project Design Estimate – Cost estimate based on detailed project information (i.e. cost estimate provided after some level of architecture and design work between the agency and the SDC has been completed)

# INFORMATION TECHNOLOGY PROJECTS IN 2013-15

(THAT EQUAL OR EXCEED \$150,000)

Agency Name:	DEPARTMENT OF ADMINISTRATIVE SERVICES						
Project Name:	SECURITY						
Mandated Project?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	By: Legislature, Federal Gov, Other (identify it)			Secretary of State Audit findings surrounding information security		
Budget?	<input type="checkbox"/> Base <input checked="" type="checkbox"/> POP	Which agency or state plans or goals does it align with and/or support?					
Project Purpose	<input type="checkbox"/> Routine Lifecycle Replacement <input checked="" type="checkbox"/> Upgrade/Enhance Existing System <input type="checkbox"/> New System						
Project Status	<input type="checkbox"/> Concept Stage <input checked="" type="checkbox"/> Planning Stage <input type="checkbox"/> Ready to Implement <input type="checkbox"/> Continuation of Existing Project						
SDC Involvement	<input type="checkbox"/> None <input type="checkbox"/> Minor <input checked="" type="checkbox"/> Active <input type="checkbox"/> Participating Partner						
Estimate SDC Costs	\$ <input type="checkbox"/> Preliminary Estimate <input type="checkbox"/> Project Design Estimate						
Project Description: Provides security training and system tools for encryption, vulnerability scans, monitoring, and user access to meet federal regulatory compliance for sensitive data such as HIPPA, FTI, and other sensitive data types.							
Cost Summary							
Total estimated cost by fund (13-15):	General Fund	Lottery Funds	Other Funds	Non-Limited	Federal Funds	Total Funds	
	\$	\$	\$1,173,899	\$	\$	\$	
Total estimated cost by fund (all biennia):	\$	\$	\$	\$	\$	\$	
Estimated Cost by category (13-15):	Personal Services	Services & Supplies	Capital Outlay	Special Payments	Debt Service		
	\$243,058	\$207,016	\$723,825	\$	\$		
Estimated Cost by category (all biennia):	\$	\$	\$	\$	\$		
					Positions:	1	
					Internal		
Expected Start Date:	July 1, 2013					Contractor	
Expected Completion Date:	June 30, 2015					FTE:	1

\_\_\_\_ Agency Request

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\_\_\_\_ Legislatively Adopted

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# INFORMATION TECHNOLOGY PROJECTS IN 2013-15

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## Definitions:

### Project Purpose:

- Routine Lifecycle Replacement—Normal and regularly scheduled, part of the normal planned lifecycle replacement cycle
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### State Data Center (SDC) Involvement

- None—Project does not have an impact on the SDC
- Minor—SDC involvement is expected to be minimal (e.g. less than 8 hours of work)
- Active—Will need to have specific actions taken by the SDC in order to complete project that will require SDC involvement (e.g. between 8 and 80 hours)
- Participating Partner—Will need to work with SDC for significant time to insure that the project can move into production. SDC time greater than 80 hours. Examples may include SDC architecture and provisioning work.

### Estimate SDC Costs

- Preliminary Estimate - Rough Order of Magnitude estimate based on high level project information available at the current stage in the project's lifecycle
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# INFORMATION TECHNOLOGY PROJECTS IN 2013-15

(THAT EQUAL OR EXCEED \$150,000)

Agency Name:	DEPARTMENT OF ADMINISTRATIVE SERVICES						
Project Name:	GROWTH						
Mandated Project?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		By: Legislature, Federal Gov, Other (identify it)				
Budget?	<input type="checkbox"/> Base <input checked="" type="checkbox"/> POP		Which agency or state plans or goals does it align with and/or support?				
Project Purpose	<input type="checkbox"/> Routine Lifecycle Replacement <input checked="" type="checkbox"/> Upgrade/Enhance Existing System <input checked="" type="checkbox"/> New System						
Project Status	<input type="checkbox"/> Concept Stage <input checked="" type="checkbox"/> Planning Stage <input type="checkbox"/> Ready to Implement <input type="checkbox"/> Continuation of Existing Project						
SDC Involvement	<input type="checkbox"/> None <input type="checkbox"/> Minor <input checked="" type="checkbox"/> Active <input type="checkbox"/> Participating Partner						
Estimate SDC Costs	\$		<input type="checkbox"/> Preliminary Estimate <input type="checkbox"/> Project Design Estimate				
Project Description: Increased capacity for data storage, servers, and security to support customer requests and new services.							
Cost Summary							
Total estimated cost by fund (13-15):	General Fund	Lottery Funds	Other Funds	Non-Limited	Federal Funds	Non-Limited	Total Funds
	\$	\$	\$3,428,248	\$	\$	\$	\$3,428,248
Total estimated cost by fund (all biennia):	\$	\$	\$	\$	\$	\$	\$
Estimated Cost by category (13-15):	Personal Services		Services & Supplies	Capital Outlay		Special Payments	Debt Service
	\$712,491		\$565,753	\$2,150,004		\$	\$
Estimated Cost by category (all biennia):	\$		\$	\$		\$	\$
Expected Start Date:		July 1, 2013				Positions:	3
Expected Completion Date:		June 30, 2015				Internal	
						Contractor	
						FTE:	3

\_\_\_\_ Agency Request

Governor's Balanced

\_\_\_\_ Legislatively Adopted

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# INFORMATION TECHNOLOGY PROJECTS IN 2013-15

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## Definitions:

### Project Purpose:

- Routine Lifecycle Replacement—Normal and regularly scheduled, part of the normal planned lifecycle replacement cycle
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- Minor—SDC involvement is expected to be minimal (e.g. less than 8 hours of work)
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- Participating Partner—Will need to work with SDC for significant time to insure that the project can move into production. SDC time greater than 80 hours. Examples may include SDC architecture and provisioning work.

### Estimate SDC Costs

- Preliminary Estimate - Rough Order of Magnitude estimate based on high level project information available at the current stage in the project's lifecycle
- Project Design Estimate – Cost estimate based on detailed project information (i.e. cost estimate provided after some level of architecture and design work between the agency and the SDC has been completed)